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Submission Title: A Stochastic Indoor Radio Channel Model for THz WPANs/WLANs

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Abstract: Building upon the experimental understanding of the THz indoor radio channel and a deterministic ray tracing propagation simulator, a stochastic channel modeling approach is proposed. Such a model becomes inevitable for the system conception of THz WPANs or WLANs as soon as a system design is developed based on system simulations. Then, realistic channel conditions must be respected. For this purpose, the model features broadband channel realizations with 50 GHz bandwidth, is fully polarimetric, includes spatial channel information and allows for the fast generation of channel realizations.

Re: 15-10-0436-01-0thz_Towards_a_300_GHz_Channel_Model.pdf

Purpose: Foundation document for a THz WPAN/WLAN channel model

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A Stochastic Indoor Radio Channel Model for THz WPANs/WLANs

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Outline

1. Introduction

2. Modeling Concept

3. The THz Indoor Radio Channel Model

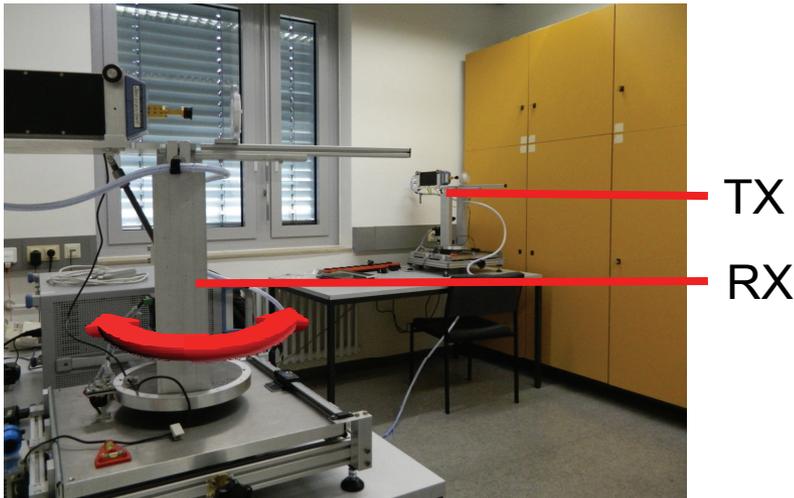
4. Validation

5. Summary/Outlook

Introduction (1)

1. Preliminary work: indoor radio channel measurements

- Based on a vector network analyzer
- Rotation of TX and RX in the azimuth: $360^\circ \times 360^\circ$
- Automatic setup

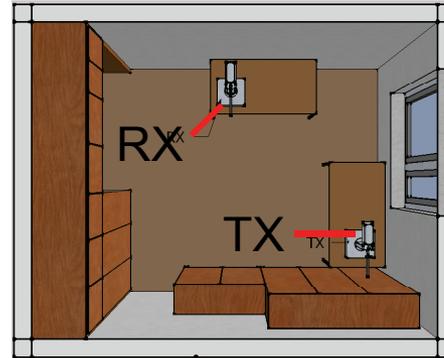


Measurement parameter	Value
Frequency	275 - 325 GHz
Angular resolution	2°
Dynamic range	145 dB
Measurement duration for one position ($360^\circ \times 360^\circ$)	90 h

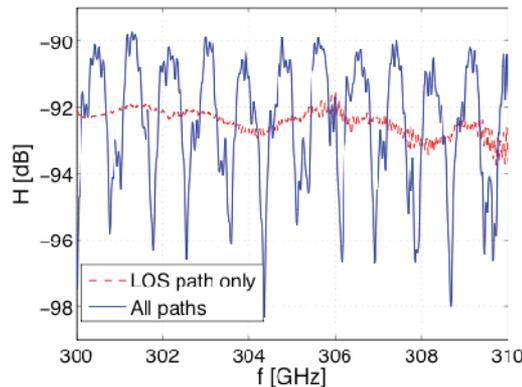
Introduction (2)

Conducted measurements:

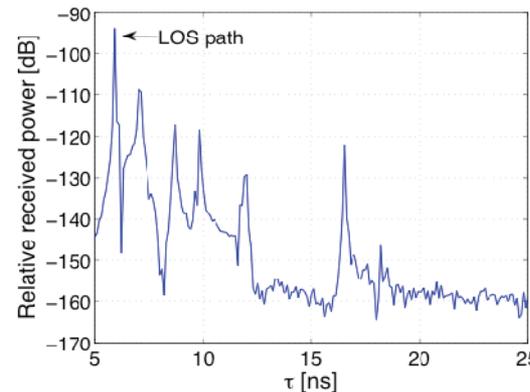
- Office environment
- 50 GHz wide channels
- Power delay profiles
- Angular power profiles



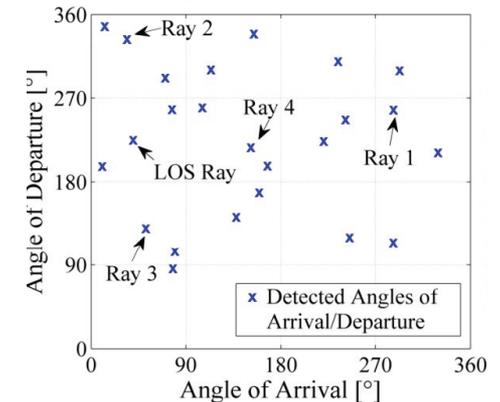
1.) Channel transfer function



2.) Power delay profile



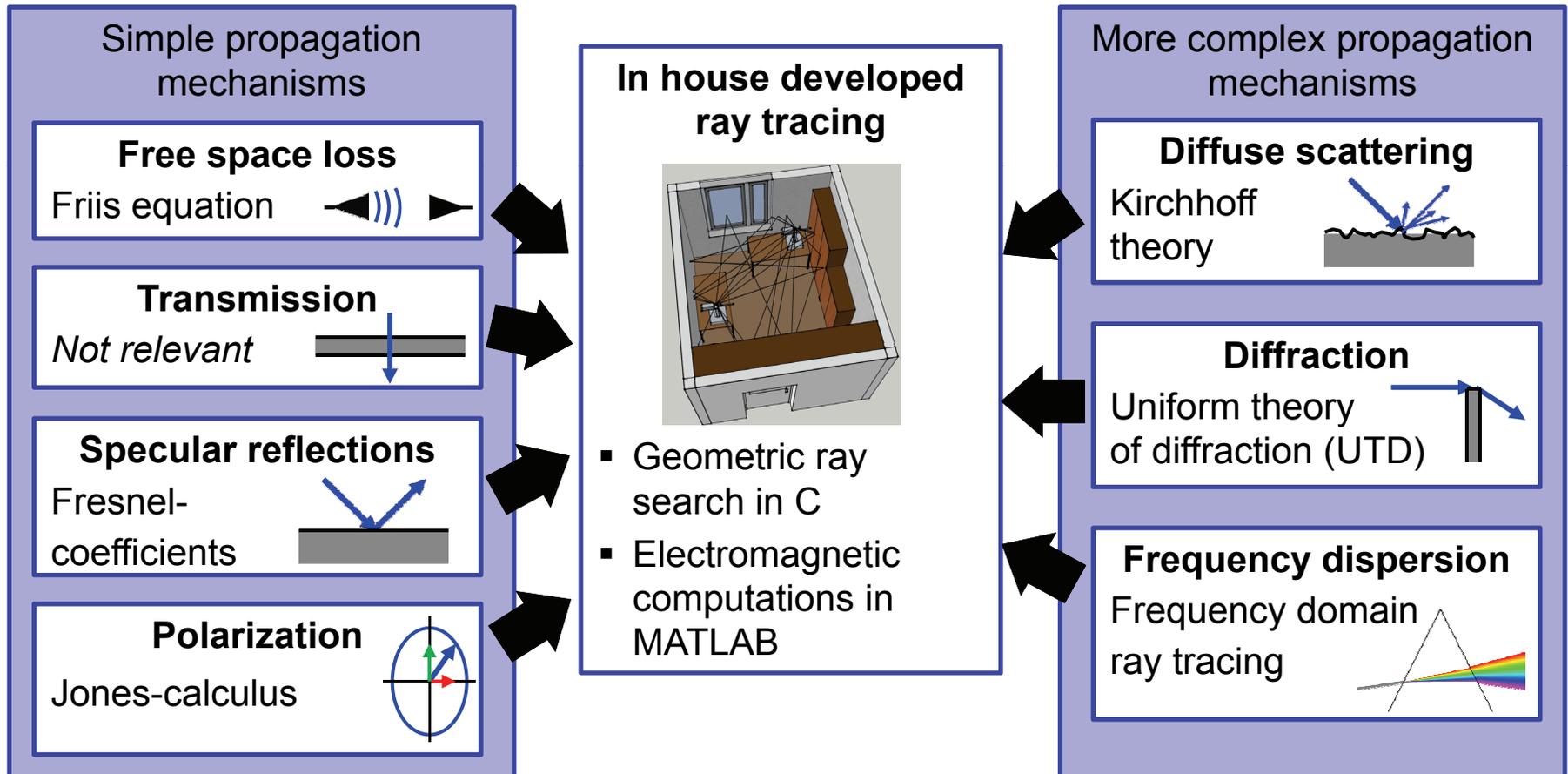
3.) Angular power profile



Problem: measurements very time-consuming
→ Propagation model necessary for extensive THz radio channel studies

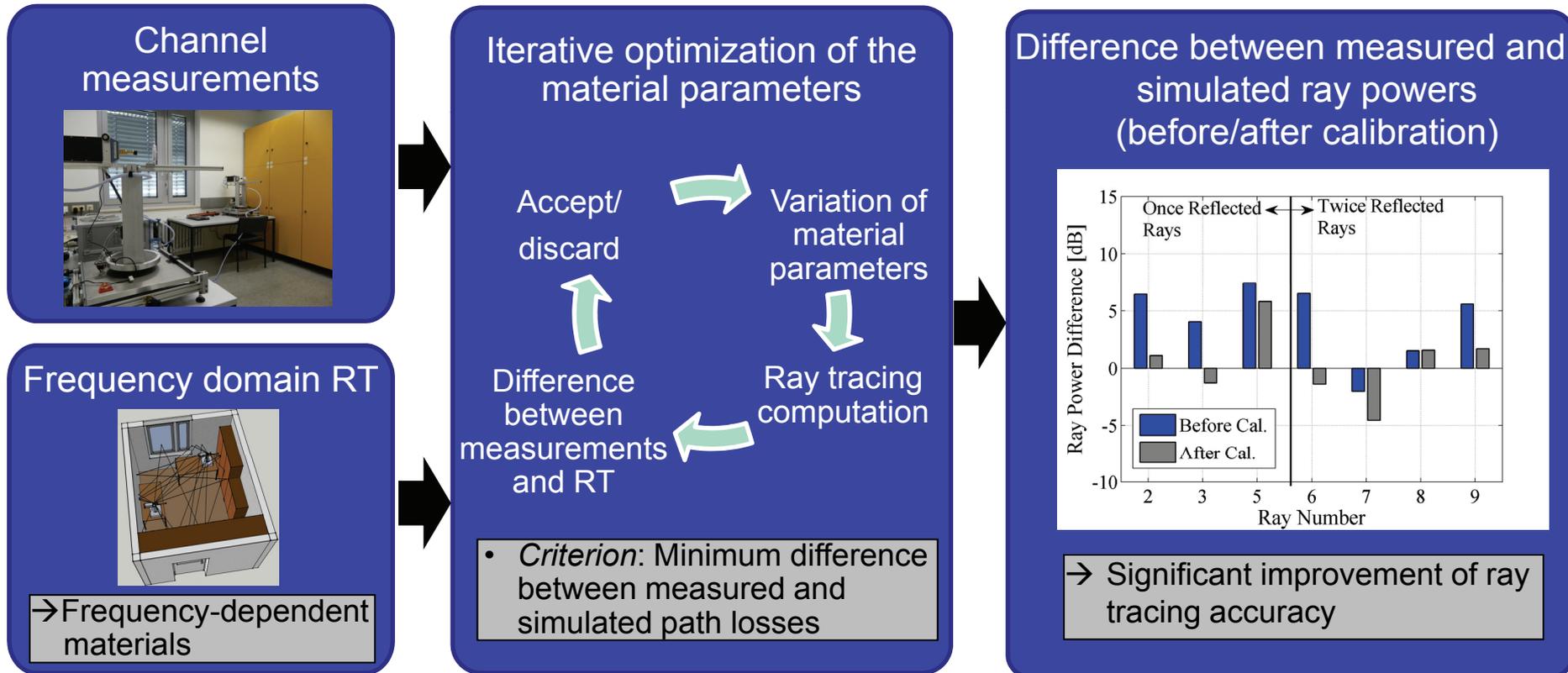
Introduction (3)

2. Preliminary work: ray tracing (RT) propagation modeling



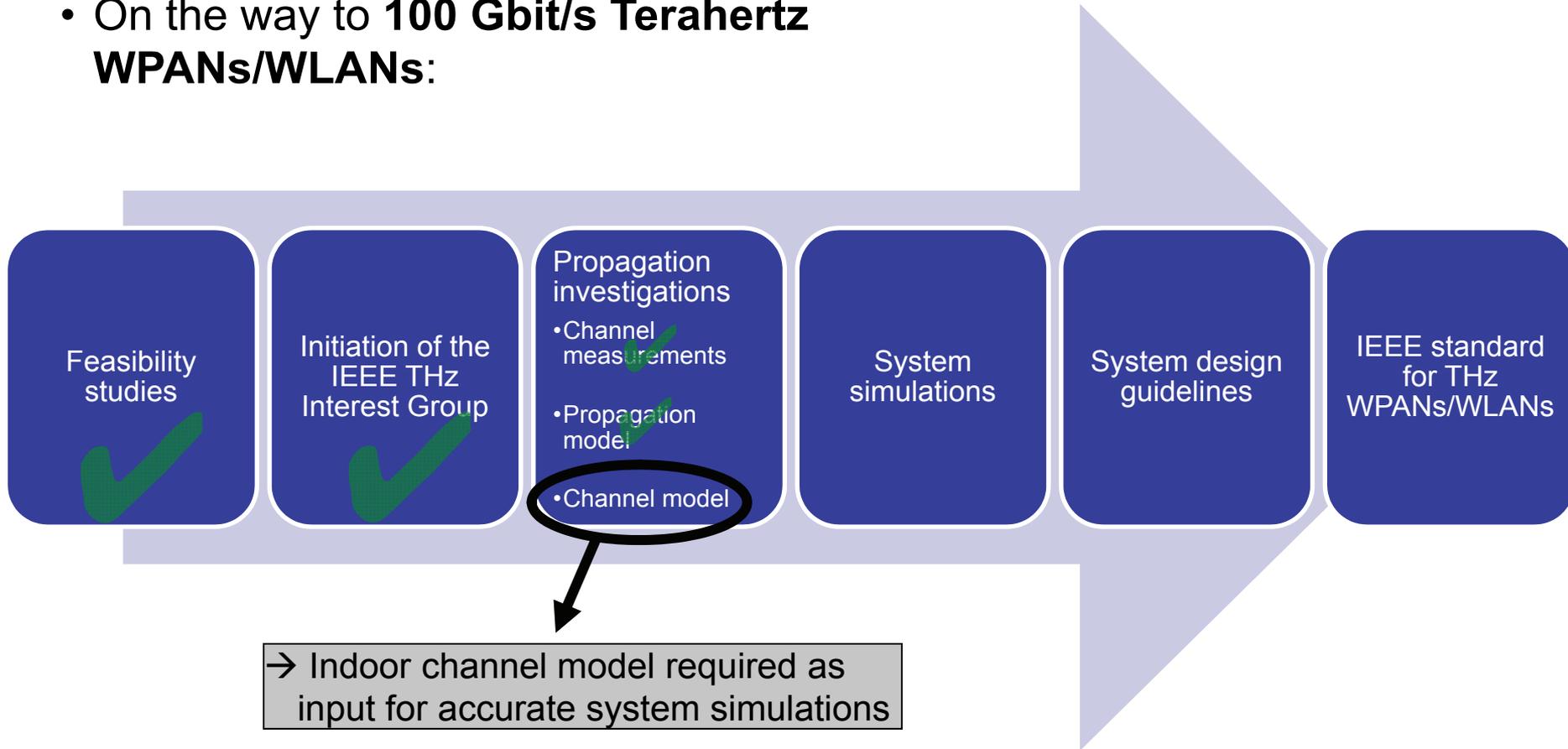
Introduction (4)

- *Idea*: optimize material parameters for RT
- **Validation** and **calibration** of the **RT tool** based on measurements



Introduction (5)

- On the way to **100 Gbit/s Terahertz WPANs/WLANs**:



Outline

1. Introduction

2. Modeling Concept

- **Requirements**
- **Methodology**
- **Parameter Derivation**

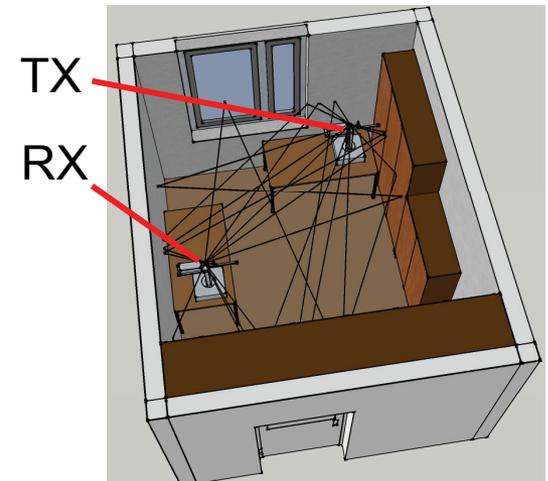
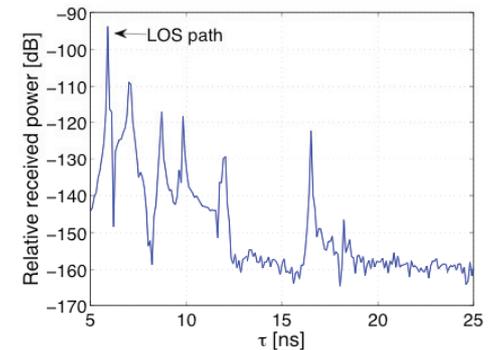
3. The THz Indoor Radio Channel Model

4. Validation

5. Summary/Outlook

Modeling Concept – Requirements

- Aim: complete channel realizations as input for system simulations
- Requirements:
 1. Correct fading modeling, IQ-modulation schemes
 - **Complex amplitude** values
 2. Antenna polarization
 - **Fully polarimetric** realizations
 3. Smart antennas
 - **Spatial** channel properties
 4. Fast generation of channels
 - **Stochastic** model
 5. Consideration of channel frequency dispersion
 - Modeling in **frequency domain**
 6. Significant data
 - Extensive **ray tracing simulations**

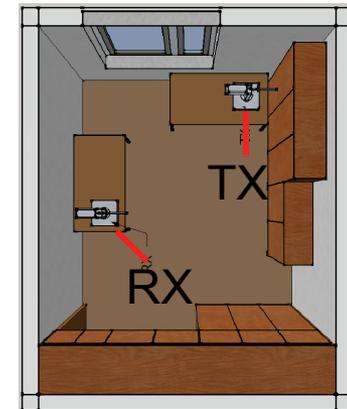


Modeling Concept – Methodology (1)

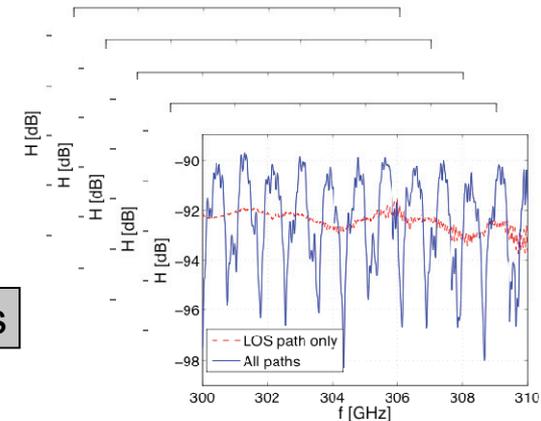
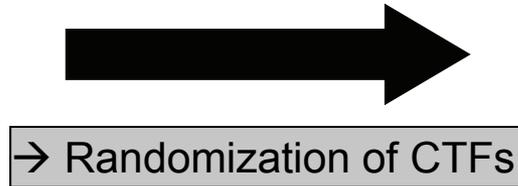
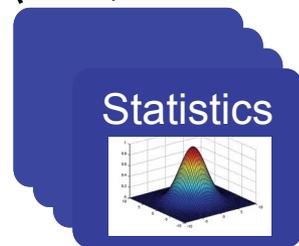
- Idea: modeling of the **channel transfer function (CTF)** in frequency domain

Requirements

1. Complex amplitude values
2. Fully polarimetric realizations
3. Spatial channel properties
4. Stochastic model
5. Frequency dispersion
6. Extensive ray tracing simulations



$$H(f, \phi, \theta) = \sum_{i=1}^{N_{Rays}} \underbrace{a_i}_{\text{Complex amplitude}} \cdot \underbrace{e^{j\phi_i}}_{\text{Phase}} \cdot \underbrace{D_i(f)}_{\text{Frequency dispersion}} \cdot \underbrace{\delta(\phi - \phi_i)}_{\text{Spatial channel}} \cdot \underbrace{\delta(\theta - \theta_i)}_{\text{Spatial channel}}$$



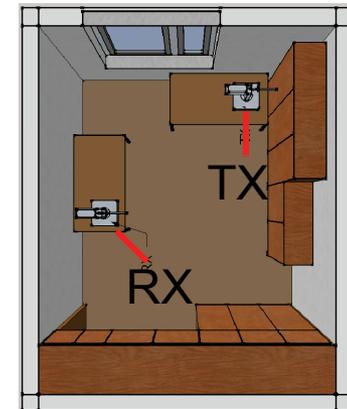
Modeling Concept – Methodology (2)

- Required input data:

1. TX position
2. Link distance
3. Frequency

- Data to be randomized:

1. Number of rays
2. Times of arrival
3. Amplitudes
4. Polarization
5. Phases
6. Dispersion functions
7. Angular information



$$H(f, \phi, \theta) = \sum_{i=1}^{N_{\text{Rays}}} a_i \cdot e^{j\phi_i} \cdot D_i(f) \cdot \delta(\phi - \phi_i) \cdot \delta(\theta - \theta_i)$$

Modeling Concept – Methodology (3)

- Basic form of randomized CTF:

$$H(f, \phi, \theta) = \sum_{i=1}^{N_{Rays}} a_i \cdot e^{j\phi_i} \cdot D_i(f) \cdot \delta(\phi - \phi_i) \cdot \delta(\theta - \theta_i)$$

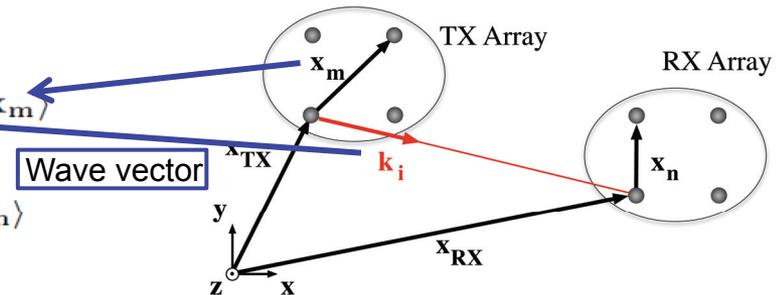
- Consideration of directive antennas:

$$H(f) = \sum_{i=1}^{N_{Rays}} H_i \cdot g_{TX}(f, \phi_{AoD,i}, \theta_{AoD,i}) \cdot g_{RX}(f, \phi_{AoA,i}, \theta_{AoA,i})$$

Angular and frequency-dependent antenna gain

- Antenna arrays: CTF between mth and nth array element

$$H_{m,n}(f) = \sum_{i=1}^{N_{Rays}} H_i \cdot g_m(f, \phi_{AoD,i}, \theta_{AoD,i}) e^{j\langle \mathbf{k}_i(f) \cdot \mathbf{x}_m \rangle} \cdot g_n(f, \phi_{AoA,i}, \theta_{AoA,i}) e^{j\langle \mathbf{k}_i(f) \cdot \mathbf{x}_n \rangle}$$



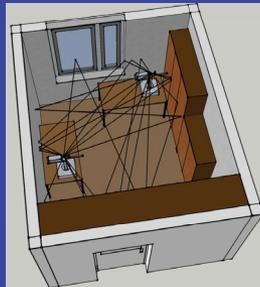
Modeling Concept – Parameter Derivation

- Aim: realistic and extensive data basis for model

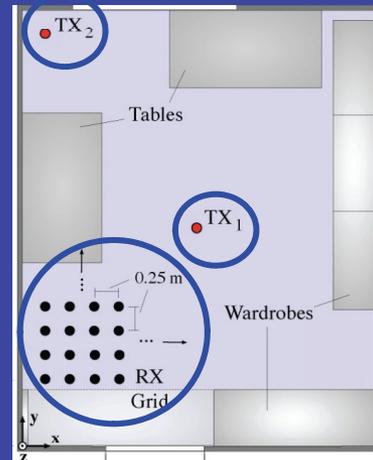
1. Channel measurements



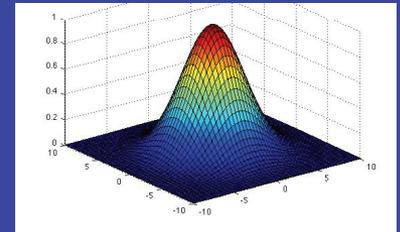
2. Ray tracing calibration



3. Extensive RT simulations



4. Parameter derivation



- For both TX placements
- For 180 RX positions

- 3 different TX/RX constellations
- $f = 275 - 325$ GHz

- Frequency domain ray tracing
- Accuracy improvement

- 2 TX placements
- 180 RX positions
- $f = 275 - 325$ GHz

→ Significant, measurement-based data for statistics

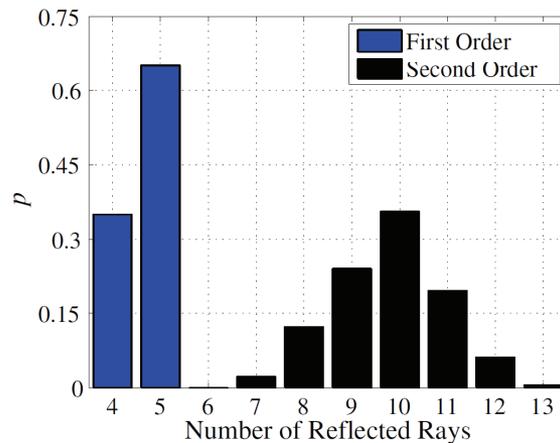
Outline

1. Introduction
2. Modeling Concept
- 3. The THz Indoor Radio Channel Model**
 - **Number of Rays**
 - **Times of Arrival**
 - **Amplitudes**
 - ...
4. Validation
5. Summary/Outlook

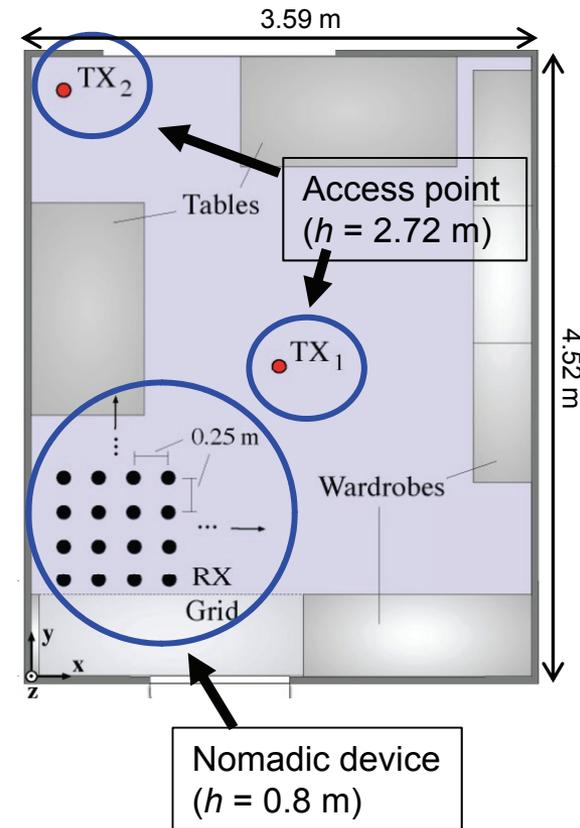
THz Channel Model – Number of Rays



- **Setup: nomadic device to access point connection; realistic office scenario**
- 2 alternative TX positions: TX₁ and TX₂
- Ocurring number of rays:
(for TX₁; probabilities for TX₂ in [1])



→ Number of reflections are randomized according to empiric histogram



THz Channel Model – Times of Arrival



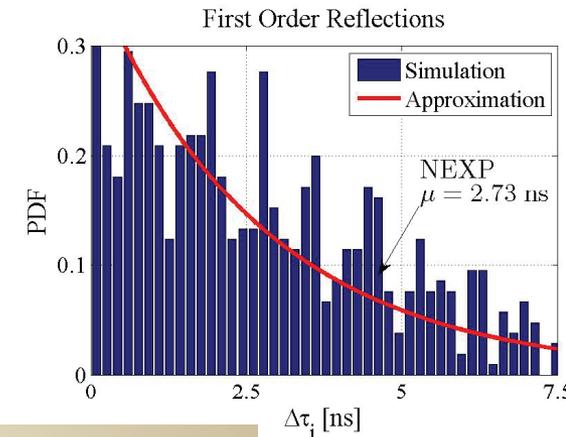
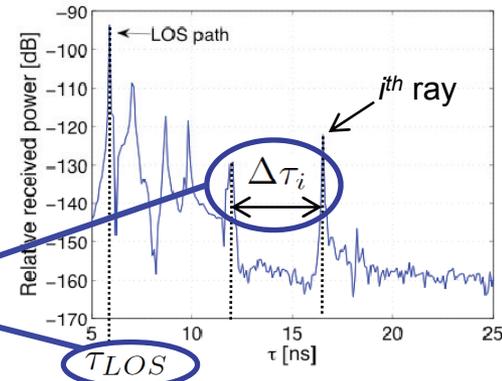
- Analytic arrival time of the line-of-sight (LOS) ray:

$$\tau_{LOS} = \frac{d}{c}$$

- Recursive time of arrival (ToA) of the j^{th} ray with respect to the previous path:

$$\tau_i = \tau_{i-1} + \Delta\tau_i$$

- Histogram of the occurring relative ToAs:
 - Approximation with negative exponential distribution (parameters in [1])



→ Recursive randomization of the ToAs

Different treatment of the first arriving rays (omitted here) → [1]

THz Channel Model – Amplitudes (1)

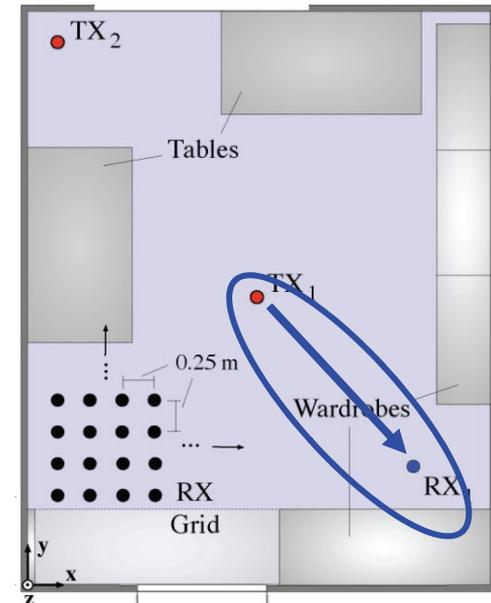
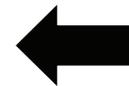
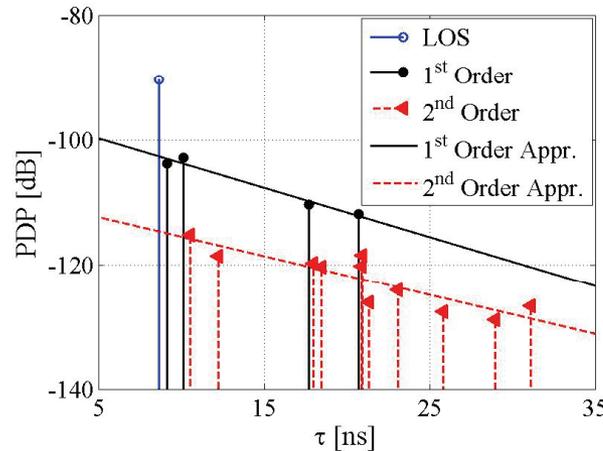


- LOS amplitude: analytical dependent on distance ($f_0 = 300$ GHz)

$$a_{LOS} = 20 \cdot \log_{10} \left(\frac{c}{4\pi f_0} \right) - 20 \cdot \log_{10} (d)$$

$$= -81.98 \text{ dB} - 20 \cdot \log_{10} (d[\text{m}])$$

- Example: power delay profile for connection TX₁/RX₁



→ Only up to second order rays relevant because of high losses

→ Amplitude modeling with **random logarithmic-linear decay over time**

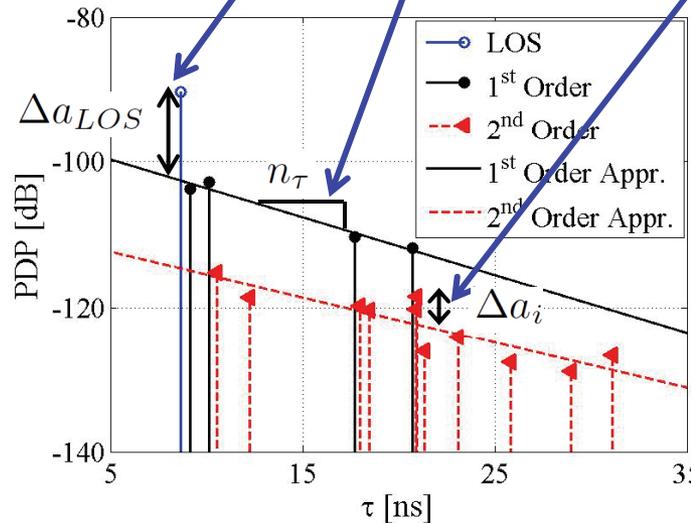
THz Channel Model – Amplitudes (2)



- Delay-amplitude function for the reflected rays:

$$a_{i,Refl} = a_{LOS} - \Delta a_{LOS} - n_{\tau} \cdot (\tau_i - \tau_{LOS}) + \Delta a_i$$

- Description with three random parameters:



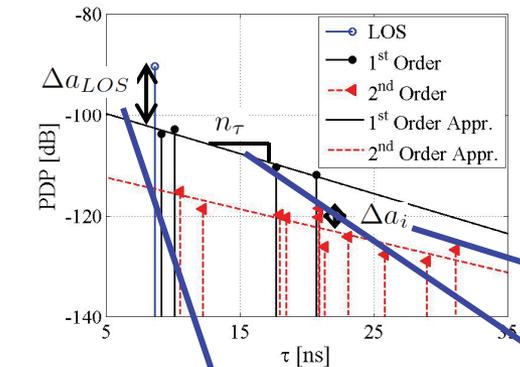
Histograms for the three parameters required

→ Amplitudes assignable based on ToAs of the rays

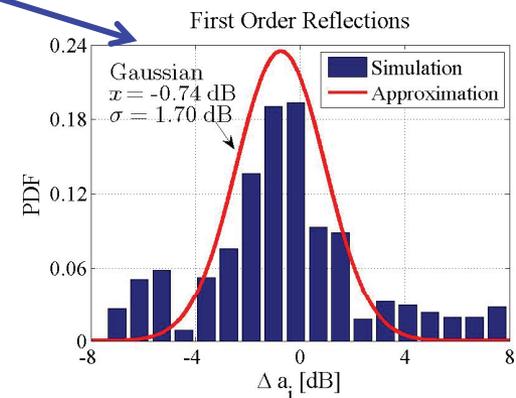
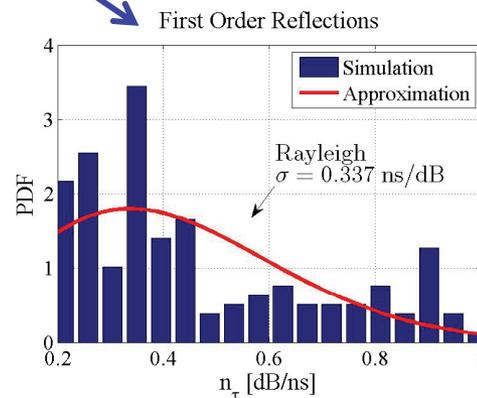
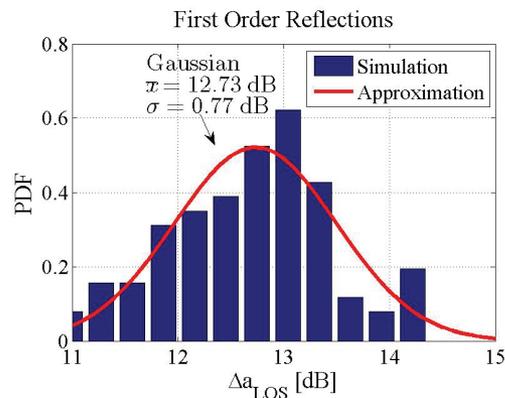
THz Channel Model – Amplitudes (3)



- Derivation of histograms for the delay-amplitude parameters:



→ Consideration of 180 RX positions
→ Approximation of the empirical histograms with analytical PDFs (parameters in [1])



THz Channel Model – Polarization



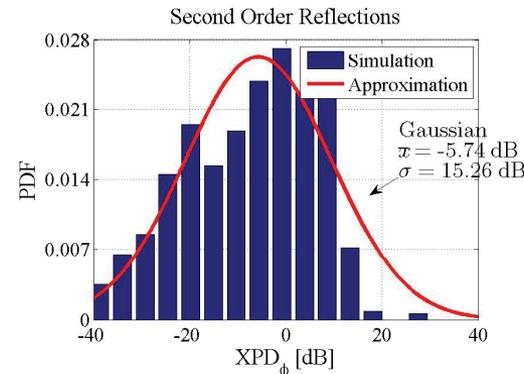
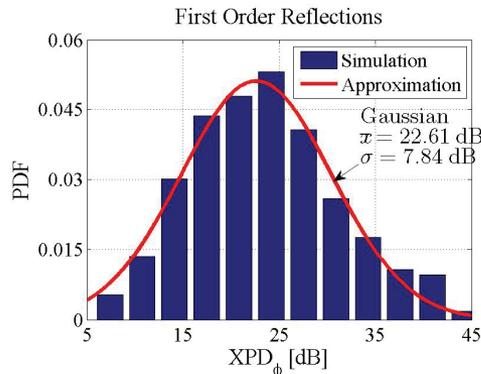
- So far: randomized amplitudes contain co and cross polarization

$$a_{i,Refl} = \sqrt{a_{i,Refl,co}^2 + a_{i,Refl,cross}^2}$$

→ Decomposition into co and cross components via random cross polarization discrimination (XPD)

$$a_{i,Refl,co} = a_{i,Refl} - 20 \cdot \log_{10} \left(1 + \frac{1}{10^{\frac{XPD}{20}}} \right)$$

$$a_{i,Refl,cross} = a_{i,Refl} - 20 \cdot \log_{10} \left(1 + 10^{\frac{XPD}{20}} \right)$$



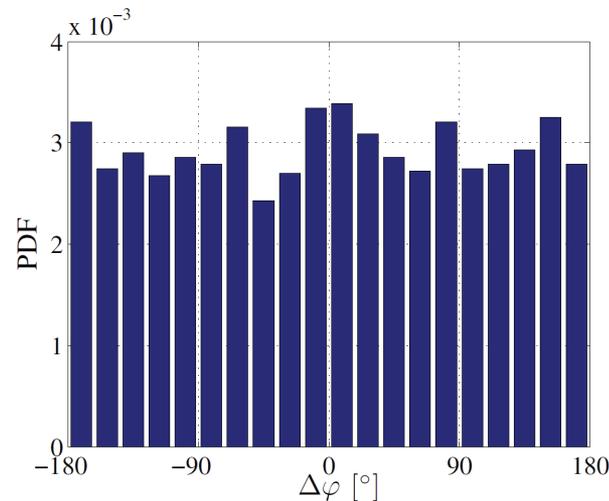
THz Channel Model – Phases



- Analytic phase of the LOS ray:

$$\varphi_{LOS} = -2\pi f_0 \cdot \tau_{LOS}$$

- Phase histogram of all reflected rays:



→ Randomization of the phases according to a **uniform distribution**

THz Channel Model – Dispersion Functions (1)



- Carrier frequency $f_0 = 300$ GHz
- Huge bandwidths up to 50 GHz
- CTFs become dispersive
- Introduction of a **frequency-dependent dispersion function** necessary

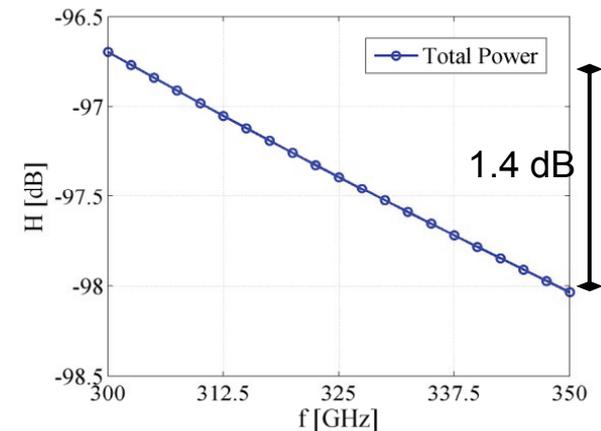
$$H(\underline{f}, \phi, \theta) = \sum_{i=1}^{N_{Rays}} a_i \cdot e^{j\varphi_i} \cdot \underbrace{D_i(\underline{f})}_{\text{circled}} \cdot \delta(\phi - \phi_i) \cdot \delta(\theta - \theta_i)$$

$$D_i(\underline{f}) = \frac{f_0}{f\zeta}$$

300 GHz

Ray-specific dispersion coefficient

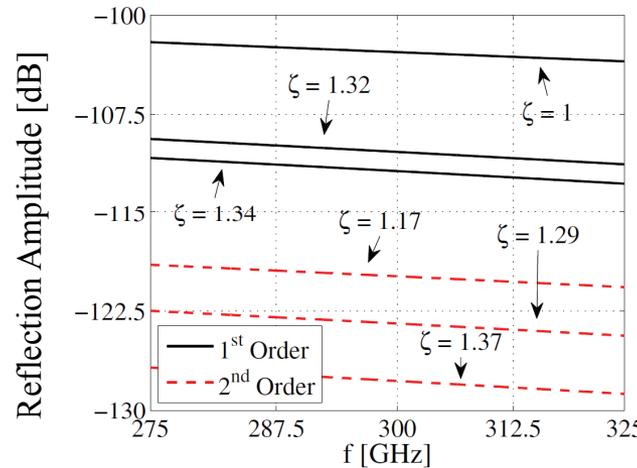
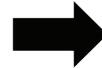
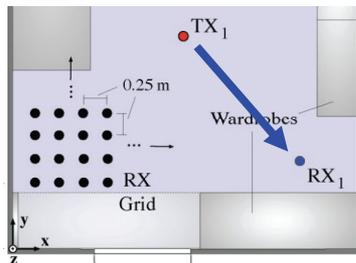
Example: CTF for a LOS link, $d = 5.3$ m



THz Channel Model – Dispersion Functions (2)



- Ray-specific dispersion coefficient ζ to be determined
- Example: Amplitudes of reflected rays for an exemplary TX/RX constellation



$$D_i(f) = \frac{f_0}{f\zeta}$$

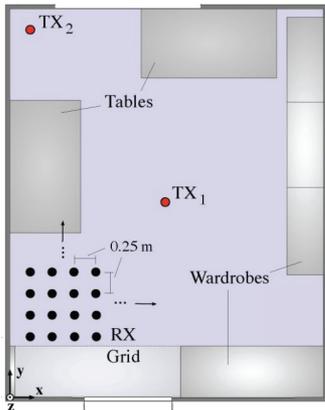
→ LOS ray: $\zeta = 1$

→ Reflections: randomization of ζ necessary

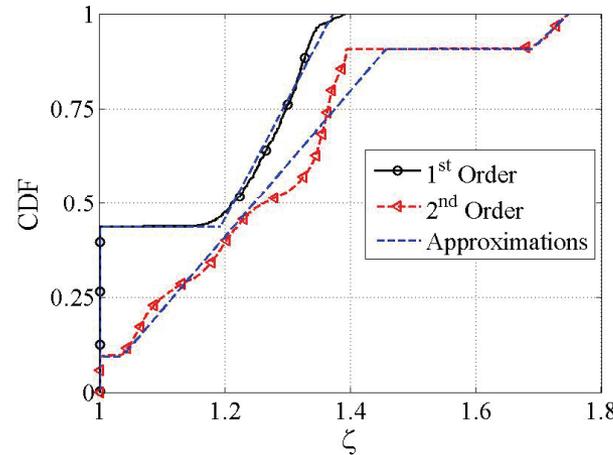
THz Channel Model – Dispersion Functions (3)



- Empiric cumulative distribution functions (CDFs) of the occurring ζ (TX₁, 180 RX positions, $f = 275 - 325$ GHz)



Each reflection at the 180 RX positions



$$CDF_{\zeta} = \begin{cases} 0 & \zeta < 1 \\ b_1 & 1 \leq \zeta \leq \zeta_1 \\ a_2 \cdot \zeta + b_2, & \zeta_1 \leq \zeta \leq \zeta_2 \\ b_3 & \zeta_2 \leq \zeta \leq \zeta_3 \\ a_4 \cdot \zeta + b_4, & \zeta_3 \leq \zeta \leq \zeta_4 \\ 1 & \zeta_4 < \zeta. \end{cases}$$

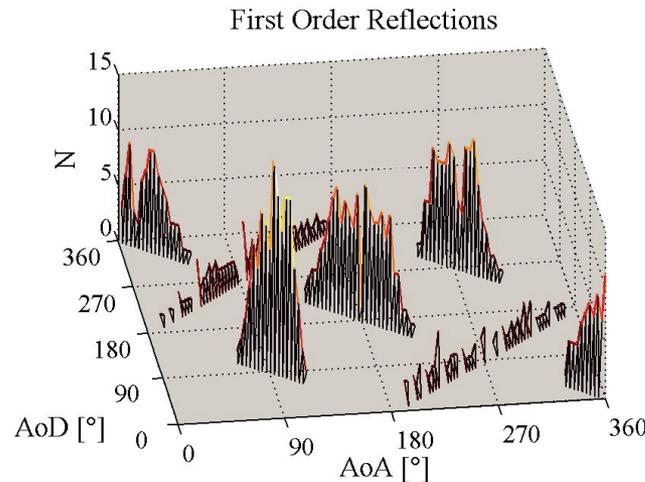
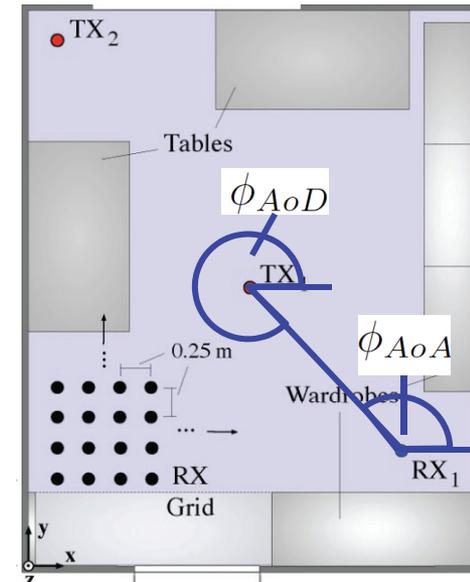
→ Approximation with linear polynomials (parameters a and b in [1])

→ Randomization of the dispersion functions possible

THz Channel Model – Angular Information (1)



- **Spatial information obligatory** for simulations including antennas
- Joint modeling of the AoAs/AoDs required for realistic channels
- AoAs/AoDs dependent on random RX placement
→ Randomization of angles in the **AoA/AoD domain**



Histogram of occurring angles in the AoA/AoD domain:

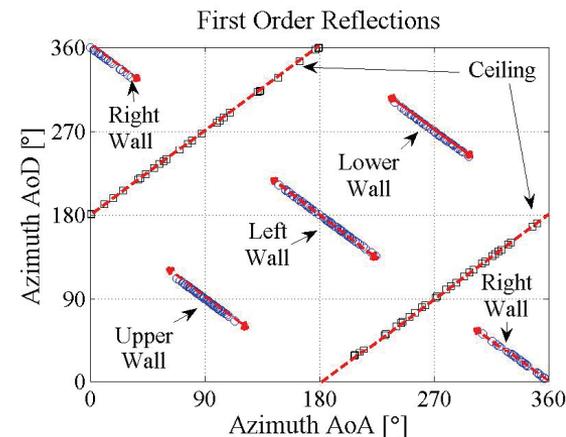
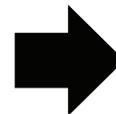
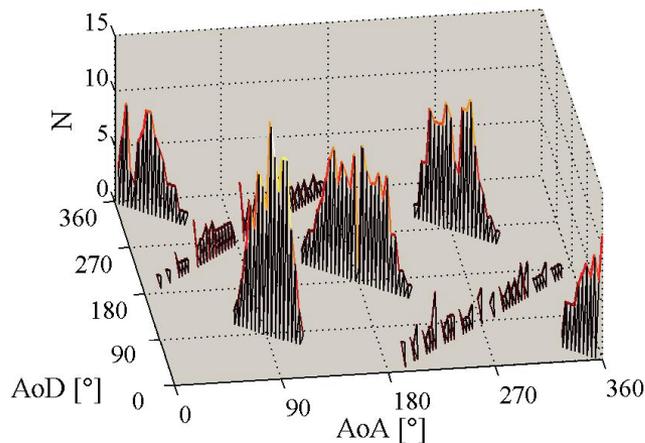
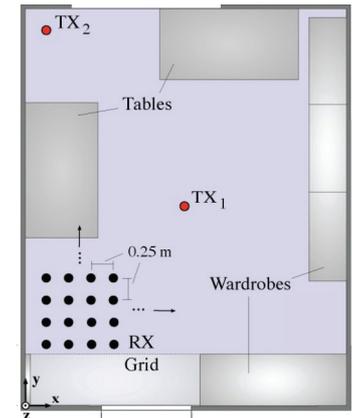
→ Rays depart/arrive only within a few limited angular ranges

THz Channel Model – Angular Information (2)



(a) Azimuth modeling

1. LOS path: random RX placement in horizontal plane
→ uniform $\Phi_{AoA,LOS}$ distribution between $[0^\circ; 360^\circ]$
2. Reflections:
 - Angular ranges defined through **line segments in the AoA/AoD domain**

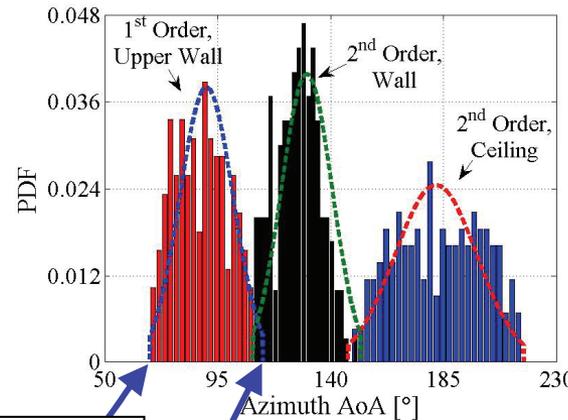
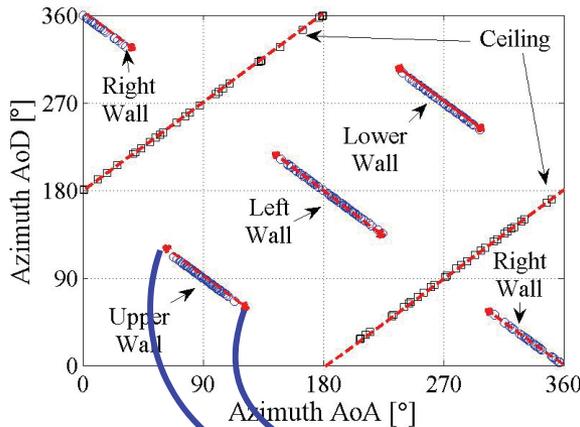


THz Channel Model – Angular Information (3)



- Line segments in the AoA/AoD domain defined through start and stop points $\phi_{AoA,Start}, \phi_{AoA,Stop}$
- Gaussian distributions of occurring angles along the line segments:

First Order Reflections



$\phi_{AoA,Start}$

$\phi_{AoA,Stop}$

→ Randomization of the **azimuth AoAs** from the Gaussian PDFs (parameters in [1])

- Gaussian PDF parameters:

$$\bar{x} = \frac{\phi_{AoA,Start} + \phi_{AoA,Stop}}{2}$$

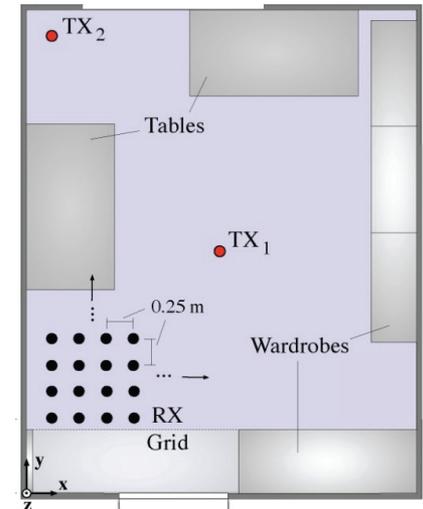
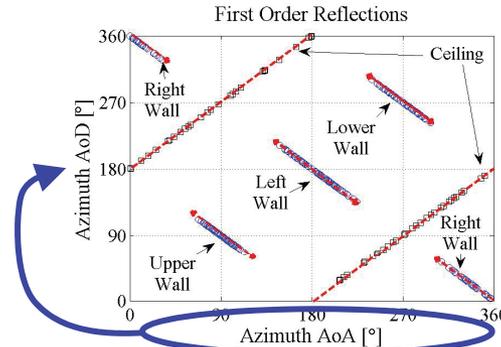
$$\sigma = \left| \frac{\phi_{AoA,Stop} - \phi_{AoA,Start}}{\sqrt{-8 \ln C}} \right|$$

THz Channel Model – Angular Information (4)



- Azimuth AoAs randomizable

→ Determination of the azimuth AoDs from the AoAs:



- Analytic expressions:

$$\phi_{AoD,i} = \begin{cases} 360^\circ - \phi_{AoA,i} & , \text{LOS} \\ \psi_\phi + \phi_{AoA,i} & , 1^{st} \text{ order, ceiling} \\ \psi_\phi - \phi_{AoA,i} & , 1^{st} \text{ order, walls} \\ \psi_\phi - \phi_{AoA,i} & , 2^{nd} \text{ order, ceiling} \\ \psi_\phi + \phi_{AoA,i} & , 2^{nd} \text{ order, walls.} \end{cases}$$

↑ Multiples of 180° (cf. [1])

→ Azimuth AoDs from the analytic dependencies

THz Channel Model – Angular Information (5)



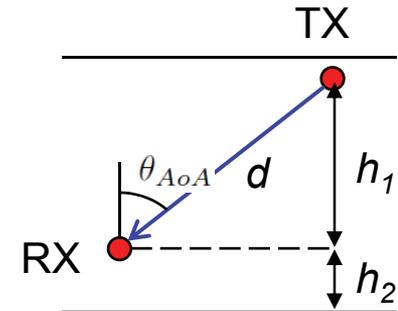
(b) Elevation modeling

1. LOS path: analytic

$$\theta_{AoA,LOS} = \arccos\left(\frac{h_1}{d}\right)$$

2. First order ceiling ray: analytic

$$\theta_{AoA,Ceil} = \arctan\left(\frac{\sqrt{d^2 - h_1^2}}{h_1 + 2h_2}\right)$$



3. All other rays: random relative to the LOS

- Distance dependence to be respected → more realistic

$$\theta_{AoA,i} = \theta_{AoA,LOS} + \Delta\theta_{AoA,i}$$

Exponential decrease over distance (parameters in [1])

$$\Delta\theta_{AoA,i} = c_{\Delta\theta} \cdot e^{d \cdot \mu_{\Delta\theta}} + \chi_{\Delta\theta_{AoA}}$$

Gaussian distributed random variable

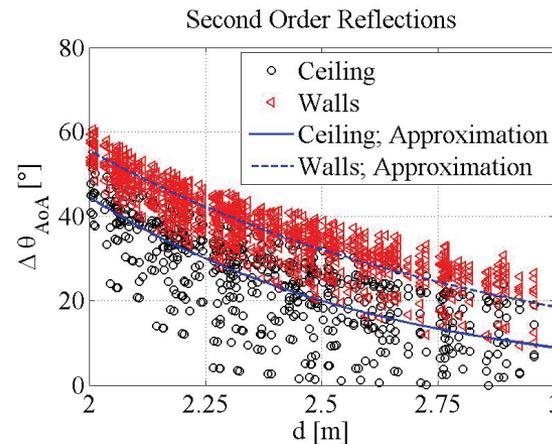
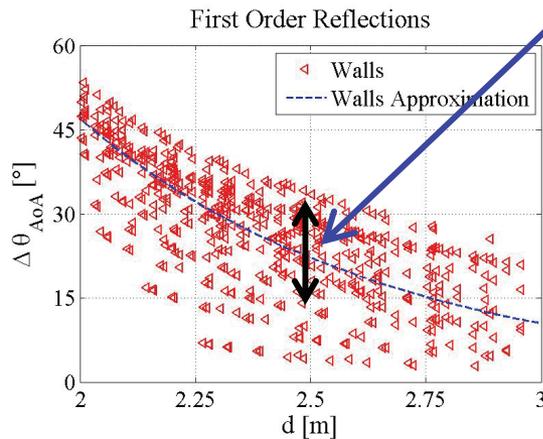
THz Channel Model – Angular Information (6)



- From previous slide:

$$\Delta\theta_{AoA,i} = c_{\Delta\theta} \cdot e^{d \cdot \mu_{\Delta\theta}} + \chi_{\Delta\theta_{AoA}}$$

- Separate treatment of first and second order paths
- Differentiation of second order ceiling and wall reflections



→ Relative elevation AoAs randomizable

THz Channel Model – Angular Information (7)



- Determination of the elevation AoD from the AoA:

1. LOS path: $\theta_{AoD,LOS} = 180^\circ - \theta_{AoA,LOS}$

2. First order ceiling: $\theta_{AoD,Ceil} = 90^\circ - 3 \arctan \left(\frac{h_1 + 2h_2}{\sqrt{d^2 - h_1^2}} \right)$

3. Others: $\theta_{AoD} = \begin{cases} 180^\circ - \theta_{AoA} & , \text{ wall reflections} \\ \psi_\theta + \theta_{AoA} & , \text{ ceiling reflections} \end{cases}$

Linear behavior over distance (parameters in [1])

$$\psi_\theta = a_{\psi_\theta} \cdot d + b_{\psi_\theta} + \chi_{\psi_\theta}$$

Gaussian distributed random variable

→ Complete **determination of all angles** possible

THz Channel Model – Realization Generation



1. Define TX position, specify link distance, give frequency range
2. Randomize number of reflected rays (first and second order)
3. Determine ToAs (LOS analytic, reflections recursive)
4. Obtain parameters of ToA-amplitude functions and determine amplitudes
5. Separate polarization components via random cross polarization discriminations
6. Assign uniformly distributed phases to the rays
7. Determine dispersion coefficients from empiric distributions
8. Randomize AoAs/AoDs in the azimuth/elevation

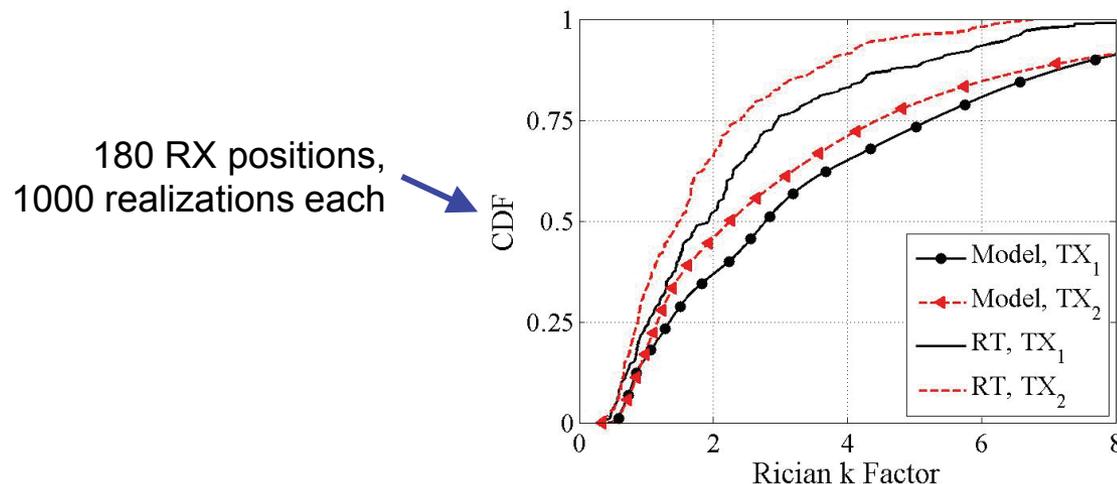
→ Complete channel realization

Outline

1. Introduction
2. Modeling Concept
3. The THz Indoor Radio Channel Model
- 4. Validation**
 - **Broadband Channel Properties**
 - **Spatial Characteristics**
 - **MIMO Capabilities**
 - **Model Limitations/Advantages**
5. Summary/Outlook

Validation – Broadband Channel Properties

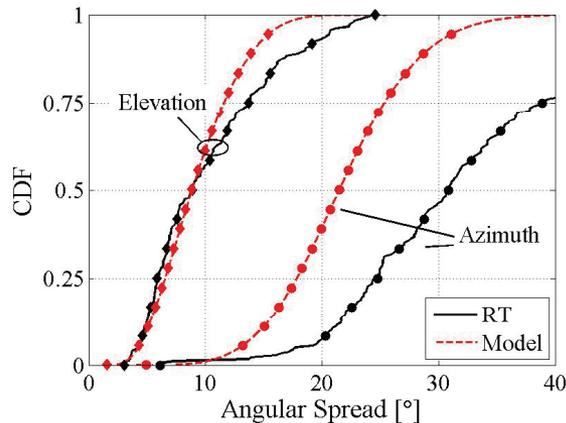
- Idea: validation of the stochastic model against RT simulations
- Methodology: RT simulations for 180 RX placements vs. 1000 stochastic realizations each, 275 – 325 GHz
- Comparison of the resulting Rician k factors (measure for the multipath richness of a channel):



Similar curves regardless of TX position
 → Randomization of **realistic multipath powers**

Validation – Spatial Characteristics

- Comparison of the angular spreads for TX₁ (measure for the **spatial dispersion** of a channel):



- Realistic spatial properties generated by model
- Remaining deviations in the azimuth due to neglected parameter interdependencies

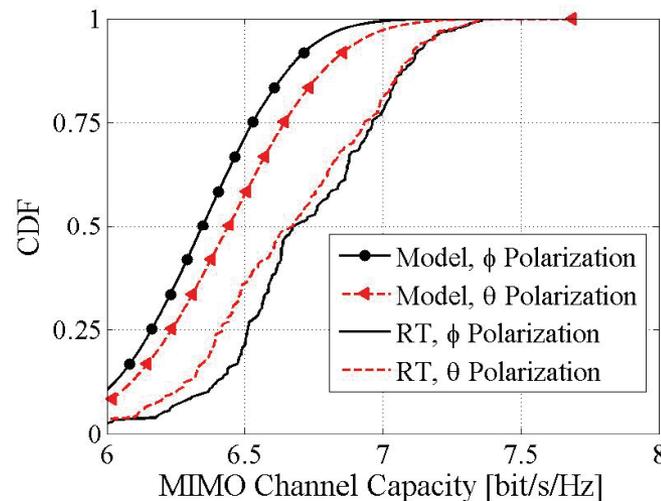
- Angular spreads averaged over all 180 positions:

	$\overline{\sigma_{\phi, AoA}}$		$\overline{\sigma_{\theta, AoA}}$		$\overline{\sigma_{\phi, AoD}}$		$\overline{\sigma_{\theta, AoD}}$	
	TX ₁	TX ₂	TX ₁	TX ₂	TX ₁	TX ₂	TX ₁	TX ₂
RT	32.1°	27.7°	10.3°	5.9°	20.1°	24.0°	19.6°	16.2°
Model	21.7°	18.5°	9.3°	3.9°	21.7°	17.3°	18.8°	14.8°

- Spatial channel characteristics reproduced well on average regardless of TX position

Validation – MIMO Capabilities

- Test of the model capabilities regarding **multi antenna systems**
 - Evaluation of MIMO channel capacities (10 dB SNR, 3x3 MIMO, TX₁)
- Simultaneous validation of broadband *and* spatial characteristics



- Accurate reproduction of MIMO capacities for both polarizations
- Model **suitable** for the **simulation of multi antenna systems**

Model Limitations/Advantages

- The approach is limited in the following ways:
 - The model is **stochastic**
 - It cannot be position-specific
 - Parameter sets are **scenario-specific**
 - **No correlation properties** between individual parameters are taken into account

- But:

- The model provides a **good overall accuracy**
- Simple **parametrizable** PDFs form the basis
- It is much **faster than RT** (1000x or more)

Outline

1. Introduction
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4. Validation
- 5. Summary/Outlook**

Summary

A **stochastic THz indoor radio channel model** has been introduced that

- includes all relevant channel characteristics:
 - (1) complex amplitudes
 - (2) polarization
 - (3) times of arrival
 - (4) spatial information
 - (5) dispersion
- is **flexibly parametrizable**
- features a **significant data basis** obtained from **measurement-calibrated RT**
- is significantly **faster than RT simulations** (at least 1000x)
- has been **validated**

Outlook

Next steps:

- The approach will have to be transferred to other setups
→ **More parameter sets** have to be derived
- System simulations are to be conducted
→ A **THz WLAN/WPAN system concept** has to be developed

References

- [1] S. Priebe, T. Kürner: “Stochastic Modeling of THz Indoor Radio Channels“, accepted for publication in *IEEE Transactions on Wireless Communications*, 12 pages, 2013.
- [2] S. Priebe, M. Kannicht, M. Jacob, T. Kürner: “Ultra Broadband Indoor Channel Measurements and Calibrated Ray Tracing Propagation Modeling at THz Frequencies “, submitted to the *Journal of Communications and Networks*, 11 pages, 2013.
- [3] S. Priebe, M. Jacob, T. Kürner: “*Calibrated Broadband Ray Tracing for the Simulation of Wave Propagation in mm and sub-mm Wave Indoor Radio Channels*“, in Proc. 18th European Wireless Conference (EW), 10 pages (electronic), Poznan, April 2012.

Channel Realization Generator

A generator for channel realizations according to the proposed model has been implemented in C++ and can be obtained from the authors for further use.

The output format is „.mat“.

A MATLAB installation is required.

Technical Expectations Document (TED)

All information contained in this presentation is meant to be included in the technical expectations document 15-11-0745-08-0thz-thz-ig-technical-expectations-document-ted.doc.

Thank you for paying attention.

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