

Proposed rule changes for November 2014

Guidelines for IEEE-SA Meetings

- **All IEEE-SA standards meetings shall be conducted in compliance with all applicable laws, including antitrust and competition laws.**
- **Don't discuss the interpretation, validity, or essentiality of patents/patent claims.**
- **Don't discuss specific license rates, terms, or conditions.**
 - Relative costs, including licensing costs of essential patent claims, of different technical approaches may be discussed in standards development meetings.
 - Technical considerations remain primary focus
- **Don't discuss or engage in the fixing of product prices, allocation of customers, or division of sales markets.**
- **Don't discuss the status or substance of ongoing or threatened litigation.**
- **Don't be silent if inappropriate topics are discussed... do formally object.**

If you have questions, contact the IEEE-SA Standards Board Patent Committee Administrator at patcom@ieee.org or visit <http://standards.ieee.org/about/sasb/patcom/index.html>

See *IEEE-SA Standards Board Operations Manual*, clause 5.3.10 and “Promoting Competition and Innovation: What You Need to Know about the IEEE Standards Association's Antitrust and Competition Policy” for more details.

This slide set is available
at <https://development.standards.ieee.org/myproject/Public/mytools/mob/slideset.ppt>

4.1.5 Revision of OM and WG P&P

- Revisions to these documents shall be submitted by a Sponsor member to the Sponsor no less than 30 day in advance of a Sponsor Vote to approve them. The Sponsor member proposing the revision may modify the proposed revision during the 30 days prior to a Sponsor Vote (in response to comments). Insufficient time to consider complex modifications is a valid reason to vote disapprove. A motion to revise these documents shall require a vote of approve by at least two thirds of all voting members of the Sponsor. Votes to approve revisions shall be taken at a plenary session. If approved, revisions become effective at the end of the plenary session where the votes were taken.
- We have 6 officers, 10 voting WG/TAG chairs (one overlap)
 - 15 voting members, 2/3 approval is 10 affirmative votes

OM proposed changes
No proposed changes

WG P&P proposed changes

Overview

- After a Sponsor's P&P is approved, AudCom reviews one of the WG's P&P
- IEEE 802's WG P&P was submitted for review
- However, it is not based on the current WG P&P baseline
- Goal is to update the WG P&P to use the current WG P&P baseline

Changes, Clause 1-3

- Clause 1 → Clause 1.01
 - Removed “Unless otherwise specified, the term WG applies to both WGs and TAGs”
- Clause 2 → Clause 1.02
 - Change “by the WG” to be “by the IEEE 802 Working Groups and Technical Advisory Groups, hereinafter referred to as “the Working Group”.
- Clause 3 → Clause 1.03
 - No changes

Clause 4 → Clause 1.04

- Same except last paragraph:
 - Was “The standards development process should strive to have both a balance of interests and not be dominated by any single interest category.”
 - Now “In addition, due process requires openness and balance (i.e., the standards development process shall strive to have a balance of interests and not to be dominated by any single interest category). However, for the IEEE Standards Sponsor ballot, there shall be a balance of interests without dominance by any single interest category.”

Clause 5 → Clause 2.0

- Deleted first paragraph “Each draft standard produced by a WG must be within the scope of Sponsor and the scope of the WG as determined by the Sponsor. It must also be within the scope of an approved PAR.”
- Item a)
 - Was “within the allotted times (normally 48 months) that are assigned to them by the Sponsor”
 - Now “as specified by the PAR, and in compliance with IEEE Standards policies and procedures”
- Delete item f) “Maintain and amend existing standards issued by IEEE developed by the WG”

Clause 5 → Clause 2.0 (cont.)

- Deleted last two paragraphs:

“Primary responsibility for achieving these goals shall sit with the WG Chair. The WG Chair may assign activities within the WG (in ways compliant with this document and other WG approved documents) so as to facilitate completion of those activities. The WG chair may assign to and be advised by others (Task Group Chairs, Task Force Chairs, Advisory Groups, etc) on matters within the WG to ensure they are attended to and completed.

The WG shall periodically review and confirm that the response to the five criteria, refer to the “Criteria for standards development” subclause in the IEEE 802 LMSC Operations Manual , used to approve its PAR(s) still reflect the state of the project(s) to which they relate. Should a WG need to modify the responses to the five criteria during a projects’ development in order to accurately reflect the state of the project, the modified responses shall be submitted to the Sponsor for approval.”

Clause 6.1 → Clause 3.0

- Added “A person may simultaneously hold the positions of Secretary and Treasurer.”
- Membership requirements for Chair and Vice Chairs
 - Was “All WG Chairs and Vice Chairs shall be members of the IEEE SA and non-student members or affiliate of either the IEEE or the IEEE Computer Society.
 - Now “The Chair and Vice Chair(s) shall each be IEEE members of any grade, except Student grade, or IEEE Society affiliates, and also be members of IEEE-SA.”
- Deleted “The specific WG officers and their activities should be described in a WG Operations Manual if one exists.”
- Deleted last two paragraphs

Clause 6.1 → Clause 3.0 (cont.)

- Deleted last two paragraphs
- “At the first plenary session, the WG shall elect its chair and vice chair(s) in accordance with the procedures of the Sponsor, and, where necessary, Robert’s Rules of Order. WG officers should read the training material available through IEEE Standards Development Online [6] .”
 - Covered under elections
- “Initial appointments and temporary appointments to fill vacancies due to resignations or removals for cause, may be made by the Sponsor Chair, and shall be valid until the end of the next plenary session.”
 - Covered in 3.2 Temporary appointments to vacancies

Clause 6.2 \rightarrow 3.1

- Election of officers
- No changes, we are allowed to define “a similar process”

Clause 6.3 → 3.2

- Temporary appointments (may be modified)
- Slight rewording
 - Was “If an office becomes vacant due to resignation, removal, lack of nomination at an election or for another reason, a temporary appointment shall be made for a period of up to six months. In the case of Chair or Vice-Chair, the Sponsor Chair shall make the temporary appointment, with input from the WG. In the case of Secretary, the WG Chair shall make the temporary appointment. An appointment or election for the vacated office shall be conducted at the earliest practical time.”
 - Now “If an office other than the Chair or Vice Chair becomes vacant for any reason (such as resignation, removal, lack of nomination at an election), a temporary appointment shall be made by the Chair for a period of up to six months. In the case of Chair or Vice Chair, the Sponsor Chair shall make the temporary appointment, with input from the Working Group. An appointment or election for the vacated office shall be made in accordance with the requirements in Clause 3.0 and 3.1 at the earliest practical time.”

Clause 6.4 → 3.3

- Removal of officers (may be modified)
- No changes

6.5 → 3.4

- Added new paragraphs:
 - Elected and appointed officers of the Working Group have a fiduciary duty to the IEEE when acting in their officer role and thus are required (a) to act in the best interest of the IEEE, its members and the general public and not on behalf of any individual, entity or interest group, (b) to comply with all applicable policies and procedures, and (c) to see that participants of the Working Group conduct themselves in accordance with the applicable policies and procedures.
 - The officers of the Working Group shall manage the day-to-day operations of the Working Group. The officers are responsible for implementing the decisions of the Working Group and managing the activities that result from those decisions.

6.5.1 → 3.4.1 (Chair)

- Can be modified only to add responsibilities
 - Note: I deleted from the baseline “Forming Study Groups as necessary” as it conflicts with our P&P
- Deleted “The main responsibility of the WG Chair is to enable the WG to operate in an orderly fashion, produce a draft standard, recommended practice, or guide, or to revise an existing document.”
- Some of the tasks are reworded but same intent.
- Added “Being familiar with training materials available through IEEE Standards Development Online.”

6.5.1 → 3.4.1 (cont.)

- Deleted the following:
 - n) To call meetings and issue a notice for each meeting at least 30 calendar days prior to the meeting
 - o) To ensure agendas are published at least 14 calendar days before a meeting
 - p) To ensure important requested documents are issued to members of the WG, the Sponsor, and liaison groups.
 - q) To ensure a membership roster is created and maintained
 - r) To ensure participant attendance is recorded at each meeting
 - s) To be responsible for the management and distribution of WG documentation in compliance with IEEE-SA guidelines, including but not limited to guidelines with regard to posting and distribution of drafts and approved IEEE standards.
 - t) To ensure lists of unresolved issues, action items, and assignments are maintained
 - u) To maintain liaison with other organizations at the direction of the Sponsor or at the discretion of the WG Chair with the approval of the Sponsor

6.5.1 → 3.4.1 (cont. 2)

- Deleted the following:
 - v) To ensure that any financial operations of the WG comply with the requirements of clause 14 of this P&P
 - w) To establish WG rules beyond the WG rules set down by the Sponsor. These rules must be written and all WG members must be aware of them
 - x) To assign/unassign subtasks and task leaders (e.g., secretary, subgroup chair, etc.)
 - y) To determine if the WG is dominated by an organization and, if so, treat that organizations' vote as one (with the approval of the Sponsor)
 - z) To manage balloting of projects
 - aa) To decide which matters are procedural and which matters are technical
 - ab) To decide procedural matters or defer them to a vote by the WG
 - ac) To place issues to a vote by WG members
 - ad) To preside over WG meetings and activities of the WG according to all of the relevant policies and procedures

6.5.2 → 3.4.2 (Vice Chair)

- Added “Being knowledgeable in IEEE standards processes and parliamentary procedures and assisting the Chair in ensuring that the processes and procedures are followed.”

6.5.3 → 3.4.3 (Secretary)

- New tasks (if not give to Secretary, needs to be given to other officers)
 - a) Scheduling meetings in coordination with the Chair and distributing meeting notices.
 - b) Distributing meeting agenda (as per 6.0). Notification of the potential for action shall be included on any distributed agendas for meetings.
 - c) Recording minutes of each meeting according to Clause 6.5 and IEEE guidelines (see <http://standards.ieee.org/develop/policies/stdslaw.pdf>), and publishing them within 60 calendar days of the end of the meeting.
 - d) Creating and maintaining the participant roster and submitting it to the IEEE Standards Association annually.
 - e) Being responsible for the management and distribution of Working Group documentation.
 - f) Maintaining lists of unresolved issues, action items, and assignments.
 - g) Recording attendance of all attendees.
 - h) Maintaining a current list of the names of the voting members and distributing it to the members upon request.
 - l) Forwarding all changes to the roster of voting members to the Chair.
 - j) Being familiar with training materials available through IEEE Standards Development Online

6.5.4 → 3.4.4 (Treasurer)

- Two changes
 - Added “Being familiar with training materials available through IEEE Standards Development Online”
 - Deleted “Bring any discrepancies between the requirements of the IEEE financial operations manual and sponsor P&P to the attention of the sponsor”

7.1 through 7.2.4

- 7.1 → 4.1: No substantive changes
- 7.2, 7.2.1-7.2.4 → 4.1.1, 4.1.1.1-4.1.1.4:
Copy from our existing rules

7.2.5 → /dev/null

- Was:
 - The rights of the WG's members include the following:
 - a) To receive a notice of the next session
 - b) To receive a copy of the minutes
 - c) To vote at meetings if and only if present
 - d) To vote in WG Letter Ballots
 - e) To examine all Working Draft documents
 - f) To lodge complaints about WG operation with the Sponsor
 - g) To petition the Sponsor in writing
 - Members of WG/TAG's are members of IEEE 802 LMSC. A petition signed by two-thirds of the membership of IEEE 802 LMSC forces the Sponsor to implement the resolution if allowed by applicable governance.

7.2.6 → /dev/null

- Meetings and participation, was:
 - WG meetings are open to anyone who has complied with the registration requirements (if any) for the meeting. Only members have the right to participate in the discussions. The privilege of observers to participate in discussions may be granted by the WG Chair.

New subclause, 4.2

- 4.2 Review of Membership
- The Chair shall review the voting membership list at least annually. Voting members are expected to fulfill the obligations of active participation as defined in Clause 4.1.1. When a voting member is found in habitual default of these obligations, the Chair shall consider the matter for appropriate action, which may include termination of membership.

8.1 → 4.3

- 1st paragraph: No change
- 2nd paragraph: “~~The WG Chair~~ A Working Group officer or ~~WG Chair's~~ designee” shall maintain a current and accurate roster of members and participants in the Working Group.
- Item d)
 - Was: “Members (including names, email addresses, and affiliations for all members)”
 - Now: “Members and participants: for each, include name, email address, affiliation, and membership status (e.g., participant, voting member, etc.).”

8.1 → 4.3 (cont.)

- New paragraph:
 - All Working Group members are required to review their information contained in the roster following each meeting they attend. If a Working Group meets only virtually, it shall determine a schedule to check the accuracy of the roster periodically.
- Last paragraph:
 - “... unless all Working Group members and participants have submitted ...”.

8.2 and 7.3

- 8.2 → 4.4: No changes
- 7.3 → 5.0: Subgroups
 - The Working Group may, from time to time, form subgroups for the conduct of its business. Membership in the subgroup is granted to any member of the Working Group. Such formation shall be explicitly noted in ~~an official record, such as the~~ meeting minutes. At the time of formation, the Working Group shall determine the scope and duties delegated to the subgroup, and may decide to allow participation of non-Working Group members and the terms and conditions under which such members participate in the subgroup. Any changes to its scope and duties will require the approval of the Working Group. Any resolution of a subgroup shall be subject to confirmation by the Working Group.”
 - The Chair of the Working Group shall appoint the Chair of the subgroup.

10 → 6.0 Meetings

- Working Group meetings may be conducted either exclusively in-person or in-person with one or more participants contributing via electronic means, or exclusively via electronic means. Working Group meetings shall be held, as decided by the Working Group, the Chair, or by petition of 15% or more of the voting members, to conduct business, such as making assignments, receiving reports of work, ~~considering~~ progressing draft standards, resolving differences between subgroups, and considering views and objections from any source. A ~~WG~~ meeting notice shall be ~~announced~~ distributed, by a Working Group officer or designee, 30 calendar days in advance to all participants. A ~~n~~ meeting agenda shall be distributed to all participants at least 14 calendar days in advance of a meeting. (Meetings of subgroups may be held as decided upon by the members or Chair of the subgroup.) Notification of the potential for action shall be included on any distributed agendas for meetings.

10 → 6.0 Meetings (cont.)

- ~~The WG, or meeting host, may charge a meeting fee to cover services needed for the conduct of the meeting. The fee shall not be used to restrict participation by any interested parties.~~
- While having a balance of all interested parties is not an official requirement for a WG, it is a desirable goal. As such, the officers of the WG should consider issues of balance and dominance that may arise and discuss them with the Sponsor. ~~A balanced body is required for IEEE Standards Sponsor ballot.~~
- Participants shall be asked to state their employer and affiliation at each Working Group meeting as required by ~~5.3.3.1 of SASB OM [2]~~ the IEEE-SA SA Standards Board Operations Manual (Section 5.3.3.1 Disclosure of Affiliation).
- Please note that all IEEE Standards development meetings are open to anyone who has a material interest, has complied with the registration requirements (if any) and wishes to attend. However, some meetings may occur in Executive Session (see Clause 6.3).

9.5 → 6.1 Quorum

- ~~The presence of a~~A quorum ~~must~~ shall be identified and announced ~~by the WG Chair at the beginning of each meeting~~ before the initiation of Working Group business at a meeting, but if a quorum is not present, actions may be taken subject to confirmation by letter or electronic ballot, as detailed in Subclause 7.2 or at the next Working Group meeting. Unless otherwise approved by the Sponsor, a quorum shall be defined as one-third of Working Group voting members. ~~If a quorum is not present actions may be taken subject to confirmation by letter or electronic ballot, as detailed in 9.4, or at the next Working Group meeting.~~

11 → 6.2 Conduct

- ~~It is expected that participants in the WG behave in a professional manner at all times.~~ Meeting attendees Participants shall demonstrate respect and courtesy towards ~~officers and~~ each other, and shall allow each participant ~~while allowing participants~~ a fair and equal opportunity to contribute to the meeting, in accordance with the IEEE Code of Ethics ~~[9]~~.
- All Working Group participants shall ~~comply with all applicable laws (nation-based and international) and~~ act in accordance with all IEEE Standards policies and procedure ~~[3]~~. Where applicable, Working Group participants shall comply with IEEE Policies Section 9.8 on Conflict of Interest.

New subclauses 6.3 and 6.4

- 6.3 Executive Session
 - Meetings to discuss personnel or sensitive business matters (e.g., the negotiation of contracts), or for other appropriate non-public matters (e.g., the receipt of legal advice), may be conducted in Executive Session.
- 6.4 Meeting Fees
 - The Working Group, or meeting host, may charge a meeting fee to cover services needed for the conduct of the meeting. The fee shall not be used to restrict participation by any interested parties.

New subclause 6.5 Minutes (1)

- 6.5 Minutes (shall not be modified)
 - The minutes shall concisely record the essential business of the Working Group, including the following items at a minimum:
 - a) Name of group
 - b) Date and location of meeting
 - c) Officer presiding, including the name of the secretary who wrote the minutes
 - d) Attendance, including affiliation
 - e) Call to order, Chair's remarks
 - f) Approval of minutes of previous meeting
 - g) Approval of agenda

New subclause 6.5 Minutes (2)

- (6.5 continued)
 - h) Technical topics
 - 1) Brief summary of discussion and conclusions
 - 2) Motions, including the names of mover and seconder
 - i) Items reported out of executive session
 - j) Next meeting--date and location
 - Copies of handouts and subgroup reports may be included in the minutes or made available as separate items.
- Previously, we had in Secretary's duties:
 - The meeting minutes are to include:
 - List of participants, their employers and affiliations
 - Next meeting schedule
 - Agenda as revised at the start of the meeting
 - Voting record (Resolution, Mover / Second, Numeric results)

9.1 → 7.1 Approval of an action

- In item b) “... , excluding abstentions), ~~unless identified otherwise elsewhere in these P&P~~ provided a majority of all the voting members of the Working Group responded.
- Note that the baseline WG P&P only requires 2/3 approval to forward a standard. Sponsor ballot still require 75%. I will ask AudCom for clarification

9.2 → 7.2

- 9.2 → 7.2: No changes
- 9.3 → 7.3
 - Note: The baseline only has three items here, do we want to keep all of ours?
 - Deleted “e) Permit officer to run for election who has held the office for five (5) or more full or partial terms
 - Added:
 - Footnote to a) Approval of change of the WG scope, “Item a) is subject to confirmation by the Sponsor. “
 - “b) Establishment of fees, if necessary

13.0 → 8.0 Communications

- Added “Communications shall be in compliance with the Sponsor’s communication requirements.”

12 → 9.0 Appeals

- Was:
 - The WG recognizes the right of appeal. If technical or procedural appeals are referred back to the WG, every effort should be made to ensure that impartial handling of complaints regarding any action or inaction on the part of the WG is performed in an identifiable manner.
 - If the WG must conduct an appeal hearing, it shall model its appeals process based on the appeals processes of the IEEE-SA Standards Board.
- Now:
 - Any person dissatisfied with a technical decision shall follow the approved procedures for providing technical input to the Working Group, including but not limited to presenting the concern to the Working Group, and making a technical comment during the applicable comment submission and/or balloting period.
 - Procedural concerns within the Working Group shall first be presented to the Working Group Chair for resolution. If the procedural concern is not resolved after presentation to the Chair, the concern can be brought to the Sponsor for resolution.

9.6 → /dev/null

- Was: 9.6 Actions requiring an electronic ballot
 - Approval to forward a draft standard to the Sponsor shall require approval by a Working Group Electronic Ballot. Abstains shall require a reason be given, and Do Not Approve votes shall require comments on changes required to modify the vote to Approve. For a letter ballot on a draft standard to be valid a majority of all the voting members of the Working Group must have responded Approve, Do Not Approve, or Abstain. Comment resolution, recirculations, etc should be consistent with Sponsor ballot rules and 5.4.3.2 of the IEEE-SA Standards Board Operations Manual (SASB OM).
 - The response time for a Working Group letter ballot on a draft shall be at least thirty days. However, for recirculation ballots the response time shall be at least fifteen days.
 - Submission of a draft standard or a revised standard to the Sponsor shall be accompanied by any outstanding negative votes and a statement of why these unresolved negative votes could not be resolved.
 - Revised drafts approved in subsequent WG letter ballot for forwarding to the Sponsor Ballot Group do not require Sponsor approval for forwarding.

9.7 → /dev/null

- Was: 9.7 Roll call votes
 - A roll call vote may be held at the discretion of the chair.
 - In addition, a roll call vote may be called for by any member of the group, at any time from when the question has been put until the vote tally is completed. The call does not require a second, and cannot be debated, amended, or have any other subsidiary motion applied to it.
 - Upon a call for a roll call vote, the chair shall proceed according to these three options.
 - a) The chair may hold the vote
 - b) The chair may hold a vote on the question of whether to hold a roll call vote. This vote shall achieve greater than 25% of the members voting Yes to pass. The 25% is counted by dividing the count of Yes votes by the sum of the Yes and No votes. This vote is not subject to a roll call vote.
 - c) The chair may refuse the request for a roll call vote if this privilege is being abused by members repeatedly calling for a roll call vote. The chair shall allow both the majority and minority reasonable and fair use of the roll call vote.
 - Each roll call vote and call for a roll call vote shall be recorded in minutes of the meeting. For each roll call vote, the minutes shall include each member's name, their vote and the final result of the vote. For each call for a roll call vote, the minutes shall include:
 - i. The name of the requestor of the roll call vote.
 - ii. The decision of the chair on the request and, when applicable, the results of the vote on whether to hold the roll call or the reasons of the chair for denying the roll call vote.

14 WG Financial Operations

- Not present in baseline
- Added verbatim at the end as 10.0
- Not clear if AudCom will approve
- Do we need it?
- If so, does it need to be edited?
- We can put this in the IEEE 802 LMSC OM instead of the WG P&P.

Changes to Chair's Guidelines

No proposed changes