BMJ Open Respiratory Research

Complex breathlessness intervention in idiopathic pulmonary fibrosis (BREEZE-IPF): a feasibility, wait-list design randomised controlled trial

Michael George Crooks , , , 2 Caroline Wright , , , Simon Hart, , Victoria Allgar, Anne English, Flavia Swan , Judith Dyson, Gerry Richardson, Maureen Twiddy, Judith Cohen, Andrew Simpson, Chao Huang, Dominic L Sykes , , Miriam Johnson

To cite: Crooks MG, Wright C, Hart S, et al. Complex breathlessness intervention in idiopathic pulmonary fibrosis (BREEZE-IPF): a feasibility, wait-list design randomised controlled trial. BMJ Open Respir Res 2025;12:e002327. doi:10.1136/ bmjresp-2024-002327

Additional supplemental material is published online only. To view, please visit the journal online (https://doi. org/10.1136/bmjresp-2024-002327).

Received 19 January 2024 Accepted 5 December 2024



@ Author(s) (or their employer(s)) 2025. Re-use permitted under CC BY. Published by BMJ Group.

¹Respiratory Research Group, Hull York Medical School, Cottingham, UK ²Hull University Teaching Hospitals NHS Trust, Hull, UK ³Plymouth University, Plymouth, UK ⁴NHS Humber Foundation Trust, Hull, UK ⁵Hull York Medical School, Hull. UK ⁶Centre for Health Economics, University of York, York, UK

Correspondence to

BMJ Group

Dr Michael George Crooks; michael.crooks@nhs.net

⁷University of Hull, Hull, UK

ABSTRACT

Introduction Breathlessness is common and impairs the quality of life of people with idiopathic pulmonary fibrosis (IPF) and non-IPF fibrotic interstitial lung diseases (ILD). We report the findings of a multicentre, fast-track (wait-list), mixed-methods, randomised controlled, feasibility study of a complex breathlessness intervention in breathless IPF and non-IPF fibrotic ILD patients.

Methods Breathless IPF and non-IPF fibrotic ILD patients were randomised to receive the intervention within 1 week (fast-track) or after 8 weeks (wait-list). The intervention comprised two face-to-face and one telephone appointment during a 3-week period covering breathing control, handheld fan-use, pacing and breathlessness management techniques, and techniques to manage anxiety. Feasibility and clinical outcomes were assessed to inform progression to, and optimal design for, a definitive trial. A qualitative substudy explored barriers and facilitators to trial and intervention delivery.

Results 47 patients (M:F 38:9, mean (SD) age 73.9 (7.2)) were randomised with a recruitment rate of 2.5 participants per month across three sites. The adjusted mean differences (95% CI) for key clinical outcomes at 4 weeks post randomisation were as follows: Chronic Respiratory Questionnaire breathlessness mastery domain (0.45 (-0.07, 0.97)); and numerical rating scales for 'worst' (-0.93 (-1.95, 0.10)), 'best' (-0.19 (-1.38, 1.00)), 'distress caused by' (-1.84 (-3.29, -0.39)) and 'ability to cope with' (0.71 (-0.57, 1.99)) breathlessness within the past 24 hours. The qualitative substudy confirmed intervention acceptability and informed feasibility and acceptability of study outcome measures.

Conclusion A definitive trial of a complex breathlessness intervention in patients with IPF and non-IPF fibrotic ILD is feasible with preliminary data supporting intervention effectiveness.

Trial registration number ISRCTN13784514.

INTRODUCTION

Idiopathic pulmonary fibrosis (IPF) is a progressive and fatal lung disease and other non-IPF fibrotic interstitial lung diseases

WHAT IS ALREADY KNOWN ON THIS TOPIC

⇒ Breathlessness is the most common and disabling symptom experienced by people with pulmonary fibrosis. Non-pharmacological interventions can improve mastery and distress caused by breathlessness in cardiorespiratory disease but people with pulmonary fibrosis are under-represented in these studies.

WHAT THIS STUDY ADDS

⇒ In this mixed-methods, randomised controlled, feasibility study, we demonstrate that a definitive trial of a complex breathlessness intervention in breathless idiopathic pulmonary fibrosis (IPF) and non-IPF fibrotic interstitial lung disease (ILD) patients is both feasible and acceptable. Additionally, we demonstrate preliminary data supporting the interventions' effectiveness, warranting confirmation in a phase 3 trial.

HOW THIS RESEARCH MIGHT AFFECT RESEARCH, PRACTICE OR POLICY

⇒ This study has confirmed feasibility and informed the design of a multicentre, phase 3, wait-list (fasttrack) design randomised controlled trial of the studied complex breathlessness intervention in IPF and non-IPF fibrotic ILD. Our preliminary findings, if confirmed in the definitive trial, suggest that the intervention has the potential to improve breathlessness management for patients living with fibrotic ILD.

(ILD) can follow a similar disease course.¹ Antifibrotic drugs slow the rate of lung function decline, reduce the risk of exacerbations and potentially prolong survival.^{2 3} However, they do not reduce the symptom burden or improve the quality of life of those affected.⁴

Breathlessness is the most common symptom in both IPF and non-IPF fibrotic ILD, ⁵ limiting daily activities and adversely affecting quality of life. 6 Chronic breathlessness profoundly



impacts all aspects of an individual's life and is associated with anxiety, depression⁷ and reduced survival.⁸

Pulmonary rehabilitation (PR) improves symptoms and quality of life for pulmonary fibrosis patients, but it is not suited or available to all. Palliative care services to support symptom relief are recommended in national guidelines. 10 However, there is marked variation in service provision for chronic breathlessness and limited evidence to guide the most effective interventions for people with pulmonary fibrosis. Non-pharmacological interventions improve breathlessness mastery and the distress caused by breathlessness due to cardiorespiratory diseases, 11 12 but people with ILD were under-represented in these trials. 13

The complex breathlessness intervention in this study has shown promise for improving the severity, 'distress caused by' and 'ability to cope with' breathlessness, measured using numerical rating scales (NRS).¹⁴ An adequately powered randomised controlled trial (RCT) in breathless pulmonary fibrosis patients is needed.

To inform the feasibility and optimal design of a definitive trial, we undertook a feasibility study. Based on preliminary data demonstrating that patients benefit from the intervention and following patient and carer feedback, a fast-track (wait-list) design was used. 15

METHODS

To inform a phase 3 RCT to evaluate the clinical effectiveness and cost-effectiveness of a complex breathlessness intervention in breathless people with IPF and non-IPF fibrotic ILD, we conducted a multicentre, fast-track (waitlist), mixed-methods, feasibility RCT. The study protocol has been published elsewhere 16 and our methods are summarised here.

Study population

We recruited patients with chronic breathlessness due to IPF or non-IPF fibrotic ILD over 18 months to provide sufficient data to assess feasibility parameters. Eligible participants provided written informed consent and were randomised 1:1, stratified by site, to either fast-track or wait-list groups using random permuted blocks. It was impossible to blind patients, clinicians or trial staff to treatment group allocation.

Participants were recruited from three centres in England (one tertiary ILD service, one urban district hospital and one rural district hospital) between July 2018 and January 2020. Eligible participants were aged ≥50 years with a multidisciplinary team diagnosis of IPF or other fibrotic ILD, had mMRC breathlessness grade 3 or 4 and had oxygen saturations ≥90% while breathing air or their usual oxygen prescription. Those with another cardiorespiratory disease as the primary cause for breathlessness and those having completed PR or breathlessness clinic attendance within 3 months of screening were excluded.

Initially, only those with IPF were eligible. Due to data demonstrating the parallels between IPF and other fibrotic ILD and the potential for palliative interventions to improve breathlessness in this population, ¹¹⁷ eligibility criteria were amended in March 2019 to include people with non-IPF fibrotic ILD (fibrotic non-specific interstitial pneumonia, fibrotic hypersensitivity pneumonitis, fibrotic organising pneumonia and unclassifiable fibrotic ILD).

Study intervention

The intervention was delivered during three consultations with a trained practitioner (Any healthcare professional who was trained in delivery of the breathlessness intervention was able to deliver the intervention. In this feasibility study, this role was undertaken by allied health professionals including physiotherapists and an occupational therapist.). The first two were face-to-face, lasted approximately 1 hour, and took place 1 week apart. A final telephone consultation lasting 10-15 min was conducted 1 week later. The intervention was individually tailored, but included the following core aspects:

- Breathing control techniques (eg, diaphragmatic and rectangular breathing). 18-21
- A handheld fan with instructions for use. 21 22
- Pacing and breathlessness management during everyday activities, including positions for recovery from exertional breathlessness and information on the importance of exercise. $^{18\ 20\ 23}$
- Techniques to promote relaxation and manage anxiety and panic (eg, preparation and positions to relax, and mindfulness).24

All participants were given a breathlessness information leaflet (adapted, with permission, from the Breathlessness Intervention Service at Addenbrooke's Hospital, Cambridge).

Delivery of the breathlessness intervention core components and breathlessness leaflet provision was recorded to monitor fidelity.

Outcomes

Feasibility

Feasibility outcomes related to:

- Recruitment (eligibility to consent ratio; recruitment rate; and retention/follow-up rates at 4, 8, 12 and 16 weeks).
- Data quality (completion of questionnaires and other assessments at baseline, 4, 8, 12 and 16 weeks and patterns of missing data).
- Intervention (adherence in delivery/uptake and acceptability to participants).

Anonymous, paper 'Invitation' and 'Study Experience' Surveys were distributed during the first and last visits, respectively, to capture participants' views about the recruitment process and overall experience of participation.



Effectiveness

The following clinical outcomes were measured for a signal of effectiveness and to inform outcome selection and sample size for a phase 3 trial:

Breathlessness

The breathlessness mastery domain of the Chronic Respiratory Disease Questionnaire (CRQ). ²⁵ The mastery domain has been used successfully as a primary outcome in clinical trials and patient and public feedback reported completion of the full CRQ to be burdensome to participants with this level of breathlessness. ¹⁹ Consequently, only the mastery domain was measured.

NRS (score 0–10) for 'best breathlessness', 'worst breathlessness', 'distress caused by breathlessness' and 'coping with breathlessness' are all within the past 24 hours. ¹⁸ ^{26–28} NRS scores are unidimensional scales recommended for breathlessness measurement in advanced disease, ²⁷ with established minimal clinically important differences. ²⁹

Quality of life

St Georges Respiratory Questionnaire for patients with IPF (SGRQ-I),³⁰ EQ-5D-5L and EQ-VAS³¹ were used to measure disease specific and generic health-related quality of life.

Mond

The hospital anxiety and depression scale (HADS)³² was used to measure anxiety and depression.

Physical activity, functional status and exercise capacity

The Australian-modified Karnofsky Performance Status (AKPS)³³ was used to assess functional status and exercise capacity was assessed using the incremental shuttle walk test (ISWT).³⁴

Physical activity was measured during normal daily life using an ankle-worn Actigraph GT3XP-BT (Actigraph, Florida, USA) for 7 days. Minimum wear time of \geq 10 hours on any given day was required for the data inclusion. The number of valid days, wear time, step count, sedentary time, light activity time and moderate/vigorous activity time were reported descriptively according to Freedson *et al.*³⁵

Pulmonary function

Pulmonary function tests were conducted in accordance with American Thoracic Society/European Respiratory Society Guidelines.³⁶

Health economics

Health service utilisation was recorded including the following: GP attendance, practice nurse attendance, outpatient appointment attendance (consultant), specialist nurse review (out-patient or home-visit), emergency department attendance, hospital admission, hospice admission. The EQ-5D-5L and EQ-VAS and ICECAP

supportive care measure (ICECAP-SCM) were collected to assess the feasibility of QALY calculation.

Qualitative substudy

In-depth, semistructured interviews were conducted with a purposive sample of patients and carers by two post-doctoral researchers (no previous knowledge of the participants) to determine the acceptability of trial processes and the intervention. Interviews were also conducted with recruiters and practitioners at each site to investigate their experience of trial processes and the intervention. A theoretical framework was used³⁷ to explore determinants of intervention concordance.

Data analysis

Feasibility randomised controlled trial

The trial is reported in accordance with the CONSORT 2010 statement extension to pilot and feasibility trials.³⁸ Analyses were conducted on an intention-to-treat basis.

Participant characteristics are summarised descriptively. Mean and 95% CIs are reported for key feasibility and clinical outcomes. Candidate definitive trial primary outcomes (CRQ mastery and NRS breathlessness scores) are plotted graphically as change from baseline by the treatment group at each time point. The corresponding effect sizes are calculated with 95% CIs at the primary end point (visit 1) to inform the definitive trial sample size.

Descriptive statistics are reported for other clinical outcomes. Due to this being a feasibility study, no formal statistical tests were undertaken.

Qualitative substudy

Interview data were transcribed, anonymised and subject to reflexive thematic analysis³⁹ to identify and interpret themes in accordance with the aim (acceptability of trial processes and the intervention). Analysis was undertaken by two researchers with input from a third over a period of discussion to inductively generate, review and refine analytic themes through the lens of our theory. NVivo (V.11) software was used for analysis (QSR International 2012). Patient/carer dyad transcripts were analysed together to capture content and interactions.

Patient and public involvement

Pulmonary Fibrosis Support Group members contributed to study inception and design, including informing the research question and wait-list design. The research team included a patient representative who contributed to the drafting of study documents. A patient attended trial management group meetings and study progress was discussed at quarterly Pulmonary Fibrosis Support Group meetings.



Site description	Number of recruits (n)	Duration site open for recruitment (months)	Recruitment rate (n per month)
Tertiary ILD Centre	44	20	2.2
Urban District General Hospital	4	5	0.8
Rural District General Hospital	2	17	0.12

Adverse events

Adverse events are defined in the full study protocol¹⁶ and were reported in accordance with UK NHS Research Ethics Service Guidelines.

RESULTS

Multicentre, fast-track (wait-list), randomised controlled feasibility trial

Study recruitment

Three sites opened to recruitment between July 2018 and February 2020 and 96 potential participants were assessed for eligibility with 64 considered eligible (eligibility rate 66.7%; 95% CI 56.3% to 76%). 50 participants consented (consent rate 78.1%; 95% CI 66.0% to 87.5%) and 47 patients were randomised (25 in wait-list group and 22 in

fast-track group). The recruitment rate across study sites is presented in table 1.

Retention at 4weeks (proposed definitive trial primary outcome time point) was 87% (41/47). Figure 1 shows participant flow.

Participant characteristics

Randomised participants (n=47) had a mean age of 73.9 (SD 7.2) years and 80.8% (n=38) were male. 74.5% (n=35) of participants had IPF and 19.1% (n=9) had non-IPF fibrotic ILD. A diagnosis was unavailable for three participants but investigators confirmed fibrotic ILD. Participant characteristics and baseline assessments are summarised in table 2. 11 (23%) participants had previously participated in pulmonary rehabilitation (5/22)

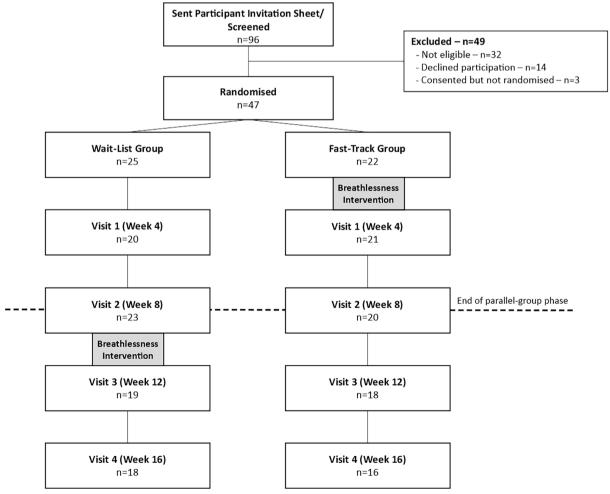


Figure 1 Consort diagram showing participant recruitment and retention throughout the trial.

Table 2 Participant characteristics					
	Wait-list (n=25)	Fast-track (n=22)	Overall (n=47)		
Age-mean (SD)					
Age in years	72.5 (7.2)	75.6 (6.8)	73.9 (7.2)		
Gender-n (%)					
Male	21 (84.0%)	17 (77.3%)	38 (80.9%)		
Female	4 (16.0%)	5 (22.7%)	9 (19.1%)		
Ethnicity-n (%)					
White British	25 (100%)	22 (100%)	47 (100%)		
Other	0 (0%)	0 (0%)	0 (0%)		
Smoking history—n (%)					
Non-smoker	4 (16.0%)	6 (27.3%)	10 (21.3%)		
Past smoker	20 (80.0%)	16 (72.7%)	36 (76.6%)		
Current smoker	1 (4.0%)	0 (0%)	1 (2.1%)		
Pack years-mean (SD)	27.3 (28.7)	41.1 (21.4)	33.3 (26.4)		
Diagnosis-n (%)					
IPF	19 (76.0%)	16 (72.7%)	35 (74.5%)		
Other fibrotic ILD	4 (16.0%)	5 (22.7%)	9 (19.1%)		
Missing data	2 (8.0%)	1 (4.5%)	3 (6.4%)		
BMI-n	n=25	n=21	n=46		
BMI-mean (SD)	27.6 (3.9)	27.8 (4.0)	27.7 (3.9)		
mMRC-n (%)					
3	18 (72.0%)	13 (59.1%)	31 (66.0%)		
4	7 (28.0%)	9 (40.9%)	16 (34.0%)		
Spirometry data—mean (SD)	n=22	n=19	n=41		
FVC	2.31 (0.65)	2.41 (0.65)	2.37 (0.64)		

participants in the fast-track arm and 6/25 in the wait-list arm).

Feasibility outcomes

Feasibility outcomes were assessed against predefined, stop-go criteria. All feasibility criteria were met, indicating that a definitive trial is feasible.

The eligibility:consent ratio was 1.28:1 and the recruitment rate was 2.5 patients per month. Participant retention at visit 1 (week 4) was 87% and 72% at visit 4. Intervention adherence was 94% and 100% for the two face-to-face breathlessness clinic attendances and 94% for the telephone appointment. Feasibility outcomes and their respective stop-go criteria are presented in online supplemental table 1.

Key clinical outcomes (candidate primary outcomes for a definitive trial)

The key clinical outcomes and candidate primary outcomes for a definitive trial were the CRQ mastery domain score and NRS scores for 'worst', 'best', 'distress caused by' and 'ability to cope with' breathlessness during the past 24 hours. The unadjusted and adjusted between-group differences at visit 1 and the calculated effect sizes are presented in table 3 and figure 2. The change from baseline for key clinical outcomes at each study time point is presented in figure 3.

Other clinical outcomes

A large proportion of missing data was observed for the ISWT, lung function tests and activity monitoring data. This was attributed to reduced acceptability and feasibility of performing these assessments in participants with chronic breathlessness and limited performance status.

There was no evidence of disease progression based on forced vital capacity measurement at baseline, week 8 and week 16. There were numerical decreases in the mean HADS scores from baseline in both depression and anxiety domains following intervention delivery in both fast-track and wait-list groups. Other clinical outcome data are presented in online supplemental tables 2–7.

Safety

There were five serious adverse events (SAE) reported during the study (wait-list=2, fast-track=3). None were related to the intervention or study procedures. Three participants died (wait-list=1, fast-track=2). Adverse event data can be seen in online supplemental table 8a,b.

Health economic feasibility

Baseline EQ-5D-5L questionnaires were completed for all participants. Follow-up response rates were lower but acceptable (16-week follow-up response rate: 73% for fasttrack group and 72% for wait-list group). EQ-5D-5L and EQ-VAS scores remained relatively unchanged between baseline and visit 1 in the fast-track group but increased numerically between visits 2 and 3 in the wait-list group (online supplemental table 9).

Resource use questionnaires had high response rates across all visits. Healthcare resource utilisation data are presented in online supplemental tables 10–13. ICECAP-SCM data are presented in online supplemental table 14.

Qualitative substudy

16 participants were interviewed: 6 patients (5 males, age range 62–80 years, IPF duration 2–6 years), 3 carers (all female) and six clinical practitioners (three research nurses and three physiotherapists). All carers were interviewed with patient-participants.

Data were themed around (1) acceptability of trial processes (study participation and outcome measures) and (2) acceptability of the intervention. Figure 4 illustrates themes and subthemes which are described below.

Patients and carers had positive experiences of recruitment and reported reasons for engaging, such as self-help and the possibility of helping others in the future. The frequency of and travel to appointments and feeling

Table 3 The unadjusted and adjusted between-group differences of the proposed primary outcomes at visit 1, with Cls and calculated effect size

Proposed primary outcome	Unadjusted mean difference (95% CI) at visit 1	Mean difference (95% CI) at visit 1 adjusting for baseline score	Calculated effect size
CRQ: breathlessness mastery domain	0.45 (-0.32, 1.23)	0.45 (-0.07, 0.97)	0.38
NRS breathlessness score: worst	-0.80 (-1.83, 0.24)	-0.93 (-1.95, 0.10)	0.47-0.55
NRS breathlessness score: best	-0.35 (-1.62, 0.92)	-0.19 (-1.38, 1.00)	0.09-0.16
NRS breathlessness score: caused distress	-1.55 (-3.05, -0.05)	-1.84 (-3.29, -0.39)	0.70-0.84
NRS breathlessness score: how well coped	0.53 (-0.77, 1.82)	0.71 (-0.57, 1.99)	0.21-0.28

overwhelmed by provided information ('information overload') were *barriers to continuing* with the trial. Travel and appointment frequency were alleviated by the offer of home visits. The large amount of information offered, referred to by one patient as 'a bit of a pain....' was mitigated by summarising and tailoring the information for the individual. Experience of the tests (outcome assessments) was generally positive with participants understanding the need and relevance. The main negative experience was the ISWT.

Practitioners were similarly positive about trial procedure, other than (1) the time associated with increased workload ("the only challenge ... trying to fit them in within the week") and (2) the need for repeated trial and intervention training when new staff started.

Patients', carers' and practitioners' experience of the intervention was overwhelmingly positive but the greatest barrier to engagement was physical and psychological health. A practitioner reported, "if people are more poorly, they're probably, not, not engaged as well as other[s]", and a patient shared, "you're in a depression, you don't think about anything, you don't think logically at all ... you can't manage that [the condition] because it manages you." Patients and carers cited practitioner attributes as facilitators to intervention engagement, including enthusiasm, flexibility, knowledge and availability (one patient said, "caring ... we really rated him... [he was] just at the end of the phone"). Patients reported poor memory and attention span as a barrier to engaging with the intervention. In relation to breathing exercises, one participant reported, "they said think of a window and then ... I'd forgotten ... too much to absorb". Participants described having favourite elements of the intervention, for example, the relaxation CD was referred to by one patient as "absolutely superb", whereas another said the

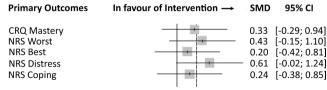


Figure 2 Forest plot of calculated standardised effect sizes for definitive trial candidate primary outcomes.

handheld fan was "the most constructive...". This linked with *tailoring the intervention* to an individual's lifestyle and physical needs/abilities (eg, timing and duration of physical activity). Finally, the physical and psychological impact of the intervention was experienced as beneficial by most participants. Reported benefits included greater control over physical symptoms which had an impact on mood. A carer said, "it's been a great boost", a patient noticed he was "better in myself" and a practitioner reported, "he was so elated ... because he had a bit of control over it".

Study invitation and experience survey data indicated that participants understood the purpose of the trial and the breathlessness management techniques. Most participants described their overall experience of taking part in the study as good (23/25, 92%); two described their experience as fairly good. The main challenges associated with the trial were travel, number and, for many, the arduous nature of appointments, the number of questionnaires and difficulties with those assessments requiring physical exertion such as the ISWT.

A summary of the mixed-methods analysis is detailed in online supplemental table 15.

DISCUSSION

A definitive randomised controlled trial to assess the effectiveness and cost-effectiveness of a holistic complex breathlessness intervention in patients with pulmonary fibrosis is feasible and acceptable to patients and healthcare practitioners. The trialled breathlessness intervention led to numerical improvements in all key clinical outcomes, providing a signal of effectiveness that warrants definitive assessment in a phase 3 trial.

In this feasibility study, we achieved predefined stop-go criteria for all outcomes except recruitment rate and participant retention to 16weeks, both of which achieved intermediate ratings. All data quality outcomes met their prespecified targets and the intervention was found to be both feasible to deliver with fidelity and acceptable to patients.

Recruitment was notably higher in the tertiary ILD centre than in district hospitals and it was observed that the pulmonary fibrosis patients in district hospital sites are often referred to the tertiary centres for diagnosis and treatment. To address the intermediate outcome for

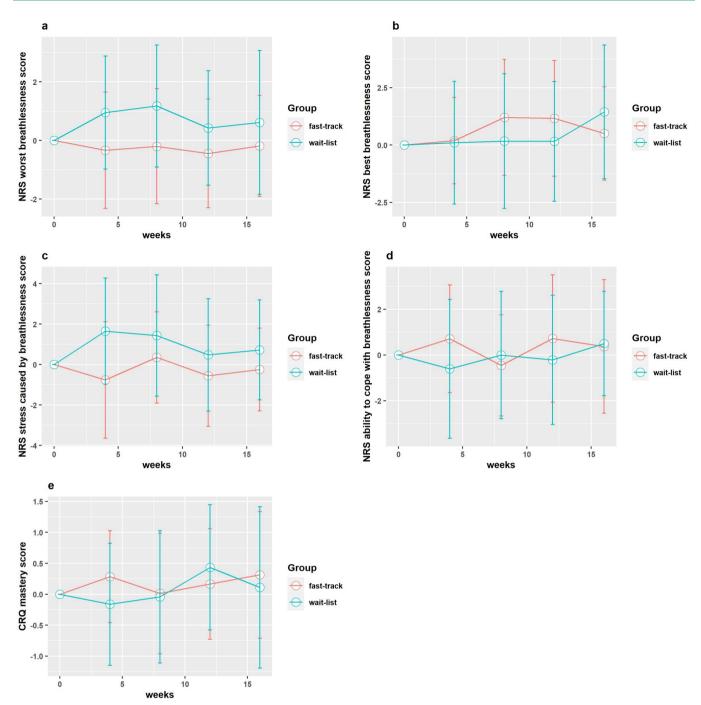


Figure 3 Change from baseline at subsequent study visits for the definitive trial candidate primary outcome measures.

(a) NRS worst breathlessness score: changes from baseline (positive changes indicate getting worse) (b) NRS best breathlessness score: changes from baseline (positive changes indicate getting worse) (c) NRS distress caused by breathlessness score: changes from baseline (positive changes indicate getting worse). (d) NRS ability to cope with breathlessness score: changes from baseline (positive changes indicate getting better) (e) CRQ mastery score: changes from baseline (positive changes indicate getting better).

recruitment and retention feasibility criteria, the definitive trial should ensure that enough tertiary ILD centres are included as sites to meet recruitment targets.

In terms of demonstrating the signal of intervention effectiveness, candidate primary outcome measures all demonstrated numerical improvement with a moderate and fair effect size for CRQ breathlessness mastery and NRS 'worst breathlessness' and equivalent to a moderate

minimum clinically important difference (MCID). Participants reported finding the CRQ burdensome, even though only the mastery domain was used. The improvement for the 'distress caused by breathlessness' NRS represented a moderate effect size but this dimension has not previously been validated in this population. Therefore, we suggest that the optimal primary outcome for the definitive trial is NRS 'worst breathlessness'. 27 28

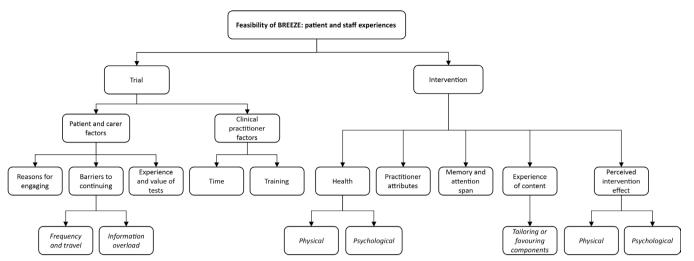


Figure 4 Qualitative substudy themes and subthemes.

Based on the findings of this study, to demonstrate the MCID (1-point change), ²⁷ ²⁹ a minimum sample size of 62 participants per group completing the trial would be required for 90% power and 0.05 significance in the definitive trial. The recruitment target for the definitive trial will need to take account of the retention rate observed in this feasibility study to achieve the required number of completing participants.

The missing data for effort-dependent assessments such as ISWT appear surprising at first glance given the reasonable completion rates in clinical practice during pulmonary rehabilitation. However, our study participants are those with severe breathlessness and poor performance status, a group that often do not attend or complete pulmonary rehabilitation. These missing data reflect the lack of acceptability of this assessment to our study participants.

The qualitative substudy and mixed-methods analysis identified both barriers and facilitators to definitive trial recruitment. Simplifying study visits by reducing questionnaire burden, remote data collection and discontinuing effort-dependent assessments were considered important.

Our data provide positive signs of intervention effectiveness that warrant confirmation in a definitive phase 3 trial. Our findings inform the design of such a trial involving patients with chronic breathlessness caused by IPF or non-IPF fibrotic ILD.

CONCLUSIONS

A definitive trial is feasible and acceptable to patients and healthcare practitioners with some minor adaptations to trial design. A primary outcome of NRS (worst in the past 24 hours) has been identified and with a signal of benefit. These data will inform the design and delivery of a definitive RCT of the complex breathlessness intervention in patients with pulmonary fibrosis.

X Dominic L Sykes @Sykesy5

Acknowledgements This study is funded by the National Institute for Health and Care Research for Patient Benefit grant. The views expressed are those of the author(s) and not necessarily those of the NIHR or the Department of Health and Social Care. We would like to thank the patient and carer members of the Hull Pulmonary Fibrosis Support Group and Action for Pulmonary Fibrosis for supporting this study. We would also like to acknowledge all patient and carer participants.

Contributors Conception and design: MGC, CW, SH, VA, AE, FS, JD, GR, MT, JC, AS, CH, MJ. Data acquisition, analysis and/or interpretation: MGC, CW, SH, VA, AE, FS, JD, GR, MT, JC, AS, CH, DLS, MJ. Drafting and approval of manuscript: MGC, CW, SH, VA, AE, FS, JD, GR, MT, JC, AS, CH, DLS, MJ. Guarantor: MGC.

Funding The study was funded by the National Institute for Health and Care Research (NIHR) Research for Patient Benefit (PB-PG-1216-20020). The views expressed are those of the author(s) and not necessarily those of the NIHR or the Department of Health and Social Care.

Competing interests None declared.

Patient and public involvement Patients and/or the public were involved in the design, conduct, reporting or dissemination plans of this research. Refer to the Methods section for further details.

Patient consent for publication Not applicable.

Ethics approval This study involves human participants and was approved by the Yorkshire and The Humber – Bradford Leeds Research Ethics Committee (REC reference: 18/YH/0147). The Hull Health Trials Unit (UKCRC CTU registration number 58) supported trial delivery. Participants gave informed consent to participate in the study before taking part.

Provenance and peer review Not commissioned; externally peer reviewed.

Data availability statement All data relevant to the study are included in the article or uploaded as supplementary information.

Supplemental material This content has been supplied by the author(s). It has not been vetted by BMJ Publishing Group Limited (BMJ) and may not have been peer-reviewed. Any opinions or recommendations discussed are solely those of the author(s) and are not endorsed by BMJ. BMJ disclaims all liability and responsibility arising from any reliance placed on the content. Where the content includes any translated material, BMJ does not warrant the accuracy and reliability of the translations (including but not limited to local regulations, clinical guidelines, terminology, drug names and drug dosages), and is not responsible for any error and/or omissions arising from translation and adaptation or otherwise.

Open access This is an open access article distributed in accordance with the Creative Commons Attribution 4.0 Unported (CC BY 4.0) license, which permits others to copy, redistribute, remix, transform and build upon this work for any purpose, provided the original work is properly cited, a link to the licence is given, and indication of whether changes were made. See: https://creativecommons.org/licenses/by/4.0/.

ORCID iDs

Michael George Crooks http://orcid.org/0000-0001-6876-0258 Caroline Wright http://orcid.org/0000-0002-6965-5270 Flavia Swan http://orcid.org/0000-0001-7723-5244



Dominic L Sykes http://orcid.org/0000-0002-0925-7772

REFERENCES

- 1 Kwon BS, Choe J, Chae EJ, et al. Progressive fibrosing interstitial lung disease: prevalence and clinical outcome. Respir Res 2021:92:982
- 2 Flaherty KR, Wells AU, Cottin V, et al. Nintedanib in Progressive Fibrosing Interstitial Lung Diseases. N Engl J Med 2019;381:1718–27.
- 3 Taniguchi H, Ebina M, Kondoh Y, et al. Pirfenidone in idiopathic pulmonary fibrosis. Eur Respir J 2010;35:821–9.
- 4 Toellner H, Hughes G, Beswick W, et al. Early clinical experiences with nintedanib in three UK tertiary interstitial lung disease centres. Clin Transl Med 2017;6:41.
- 5 Carvajalino S, Reigada C, Johnson MJ, et al. Symptom prevalence of patients with fibrotic interstitial lung disease: a systematic literature review. BMC Pulm Med 2018;18:78.
- 6 Nishiyama O, Taniguchi H, Kondoh Y, et al. Health-related quality of life in patients with idiopathic pulmonary fibrosis. What is the main contributing factor? *Respir Med* 2005;99:408–14.
- 7 Currow DC, Chang S, Reddel HK, et al. Breathlessness, Anxiety, Depression, and Function-The BAD-F Study: A Cross-Sectional and Population Prevalence Study in Adults. J Pain Symptom Manage 2020;59:197–205.
- 8 Nishiyama O, Taniguchi H, Kondoh Y, et al. A simple assessment of dyspnoea as a prognostic indicator in idiopathic pulmonary fibrosis. Eur Respir J 2010;36:1067–72.
- 9 Yu X, Li X, Wang L, et al. Pulmonary Rehabilitation for Exercise Tolerance and Quality of Life in IPF Patients: A Systematic Review and Meta-Analysis. Biomed Res Int 2019;2019:1–9.
- 10 NICE. Idiopathic pulmonary fibrosis in adults, 2015. Available: https://www.nice.org.uk/guidance/qs79/chapter/quality-statement-5-palliative-care
- 11 Brighton LJ, Miller S, Farquhar M, et al. Holistic services for people with advanced disease and chronic breathlessness: a systematic review and meta-analysis. *Thorax* 2019;74:270–81.
- 12 Swan F, Newey A, Bland M, et al. Airflow relieves chronic breathlessness in people with advanced disease: An exploratory systematic review and meta-analyses. Palliat Med 2019;33:618–33.
- 13 Sharp C, Lamb H, Jordan N, et al. Development of tools to facilitate palliative and supportive care referral for patients with idiopathic pulmonary fibrosis. BMJ Support Palliat Care 2018;8:340–6.
- 14 Douglas L, English A, Obita GP, et al. P229 Breath-taking outcomes: evaluation of a specialist breathlessness clinic. Thorax 2016;71:A211.
- 15 Higginson IJ, Booth S. The randomized fast-track trial in palliative care: role, utility and ethics in the evaluation of interventions in palliative care? *Palliat Med* 2011;25:741–7.
- Wright C, Hart SP, Allgar V, et al. A feasibility, randomised controlled trial of a complex breathlessness intervention in idiopathic pulmonary fibrosis (BREEZE-IPF): study protocol. ERJ Open Res 2019;5:00186-2019.
- 17 Visca D, Mori L, Tsipouri V, et al. Effect of ambulatory oxygen on quality of life for patients with fibrotic lung disease (AmbOx): a prospective, open-label, mixed-method, crossover randomised controlled trial. Lancet Respir Med 2018;6:759–70.
- 18 Johnson MJ, Kanaan M, Richardson G, et al. A randomised controlled trial of three or one breathing technique training sessions for breathlessness in people with malignant lung disease. <u>BMC Med</u> 2015;13:213.
- 19 Higginson IJ, Bausewein C, Reilly CC, et al. An integrated palliative and respiratory care service for patients with advanced disease and refractory breathlessness: a randomised controlled trial. Lancet Respir Med 2014;2:979–87.
- 20 Farquhar MC, Prevost AT, McCrone P, et al. The clinical and cost effectiveness of a Breathlessness Intervention Service for patients

- with advanced non-malignant disease and their informal carers: mixed findings of a mixed method randomised controlled trial. *Trials* 2016;17:185.
- 21 Bausewein C, Booth S, Gysels M, et al. Effectiveness of a hand-held fan for breathlessness: a randomised phase II trial. BMC Palliat Care 2010:9:22.
- 22 Johnson MJ, Booth S, Currow DC, et al. A Mixed-Methods, Randomized, Controlled Feasibility Trial to Inform the Design of a Phase III Trial to Test the Effect of the Handheld Fan on Physical Activity and Carer Anxiety in Patients With Refractory Breathlessness. J Pain Symptom Manage 2016;51:807–15.
- 23 Farquhar MC, Prevost AT, McCrone P, et al. Is a specialist breathlessness service more effective and cost-effective for patients with advanced cancer and their carers than standard care? Findings of a mixed-method randomised controlled trial. BMC Med 2014:12:194.
- 24 Bausewein C, Booth S, Gysels M, et al. Non-pharmacological interventions for breathlessness in advanced stages of malignant and non-malignant diseases. Cochrane Database Syst Rev 2008;2008:CD005623.
- 25 Wijkstra PJ, TenVergert EM, Van Altena R, et al. Reliability and validity of the chronic respiratory questionnaire (CRQ). Thorax 1994:49:465–7.
- 26 Gift AG, Narsavage G. Validity of the numeric rating scale as a measure of dyspnea. *Am J Crit Care* 1998;7:200–4.
- 27 Lovell N, Etkind SN, Bajwah S, et al. To What Extent Do the NRS and CRQ Capture Change in Patients' Experience of Breathlessness in Advanced Disease? Findings From a Mixed-Methods Double-Blind Randomized Feasibility Trial. J Pain Symptom Manage 2019;58:369–81.
- 28 Johnson MJ, Close L, Gillon SC, et al. Use of the modified Borg scale and numerical rating scale to measure chronic breathlessness: a pooled data analysis. Eur Respir J 2016;47:1861–4.
- 29 Ekström M, Johnson MJ, Huang C, et al. Minimal clinically important differences in average, best, worst and current intensity and unpleasantness of chronic breathlessness. Eur Respir J 2020;56:1902202.
- 30 Prior TS, Hoyer N, Shaker SB, et al. Validation of a derived version of the IPF-specific Saint George's Respiratory Questionnaire. Respir Res 2021;22:259.
- 31 Herdman M, Gudex C, Lloyd A, et al. Development and preliminary testing of the new five-level version of EQ-5D (EQ-5D-5L). Qual Life Res 2011:20:1727–36.
- 32 Bjelland I, Dahl AA, Haug TT, et al. The validity of the Hospital Anxiety and Depression Scale. J Psychosom Res 2002;52:69–77.
- 33 Johnson MJ, Bland JM, Davidson PM, et al. The relationship between two performance scales: New York Heart Association Classification and Karnofsky Performance Status Scale. J Pain Symptom Manage 2014;47:652–8.
- 34 Holland AE, Spruit MA, Troosters T, et al. An official European Respiratory Society/American Thoracic Society technical standard: field walking tests in chronic respiratory disease. Eur Respir J 2014;44:1428–46.
- 35 Freedson PS, Melanson E, Sirard J. Calibration of the Computer Science and Applications, Inc. accelerometer. *Med Sci Sports Exerc* 1998;30:777–81.
- 36 Stanojevic S, Kaminsky DA, Miller MR, et al. ERS/ATS technical standard on interpretive strategies for routine lung function tests. Eur Respir J 2022;60:2101499.
- 37 Michie S, Johnston M, Abraham C, et al. Making psychological theory useful for implementing evidence based practice: a consensus approach. Qual Saf Health Care 2005;14:26–33.
- 38 Eldridge SM, Chan CL, Campbell MJ, et al. CONSORT 2010 statement: extension to randomised pilot and feasibility trials. BMJ 2016;355:i5239.
- 39 Braun V, Clarke V. Reflecting on reflexive thematic analysis. Qualitative Research in Sport, Exercise and Health 2019;11:589–97.