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# **BMJ Open**

# Minimal important differences for improvement in shoulder condition patient-reported outcomes: a systematic review to inform a BMJ Rapid Recommendation

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#### Title page

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Minimal important differences for improvement in shoulder condition patient-reported outcomes: a systematic review to inform a *BMJ* Rapid Recommendation

#### **Abstract**

Objectives: To identify credible anchor-based minimal important differences (MIDs) for patient-reported outcome measures (PROMs) relevant to a BMJ Rapid Recommendations addressing subacromial decompression surgery for shoulder pain.

Design: Systematic review

Outcome measures: Estimates of anchor-based MIDs, and their credibility, for PROMs judged by the parallel BMJ Rapid Recommendations panel as important for informing their recommendation (Pain, function and health-related quality of life (HRQoL)).

Data sources: MEDLINE, EMBASE, and PsycINFO up to August 2018

Study selection and review methods: We included original studies of any intervention for shoulder conditions reporting estimates of anchor-based MIDs for relevant PROMs. Two reviewers independently evaluated potentially eligible studies according to pre-defined selection criteria. Six reviewers, working in pairs, independently extracted data from eligible studies using a pre-designed, standardized, pilot-tested extraction form and independently assessed the credibility of included studies using an MID credibility tool.

Results: We identified 22 studies involving 5,562 patients that reported 74 empirically-estimated anchor-based MIDs for 10 candidate instruments to assess shoulder pain, function, and HRQoL. We identified MIDs of high credibility for pain and function outcomes and of low credibility for HRQoL We offered median estimates for the systematic review team who applied these MIDs in GRADE evidence summaries and in their interpretations of results in the linked systematic review addressing the effectiveness of surgery for shoulder pain.

Conclusions: Our review provides anchor-based MID estimates, as well as a rating of their credibility, for PROMs for patients with shoulder conditions. The MID estimates inform the interpretation for a linked systematic review and guideline addressing subacromial decompression surgery for shoulder pain, and could also prove useful for authors addressing other interventions for shoulder problems.

Registration: Registered in <a href="https://www.crd.york.ac.uk/prospero/">https://www.crd.york.ac.uk/prospero/</a> (No. CRD42018106531).

Manuscript has 3,145-word count for main text, 4 tables, 1 figure, and 58 references.

#### **Article summary**

#### Strengths and limitations of this study

Strengths of our review include a comprehensive search for anchor-based MIDs for instruments commonly used in RCTs of shoulder conditions conducted without restrictions of study design or language of publication.

We undertook judgements of MID credibility using a formal instrument with demonstrated reliability. Most studies (n=14) provided highly credible estimates.

The range of reported MIDs was wide for some of the PROMs. Although participants' disease/conditions, sample size, anchors and analytic methods varied among included studies, we cannot convincingly relate these characteristics to variability in estimates. Detect these reasons for the variability in our review due to limit data available.

For some instruments used in RCTs of surgery for shoulder we did not find any study estimating MIDs in our target patient population.

#### **Background**

The shoulder is the body's most mobile joint, allowing movement in many directions. Shoulder conditions, including arthritis, adhesive capsulitis, rotator cuff conditions, dislocations, fractures, shoulder instability and shoulder separation are common problems that cause pain and disability <sup>1</sup>. Up to 26% of adults have recently experienced shoulder pain <sup>2</sup>. In the United States, the evaluation and management of one shoulder condition - rotator cuff tears - costs \$3 billion each year <sup>3 4</sup>.

The relationship between shoulder pain in an individual and the physical cause is often not clear: anatomical abnormalities are frequently not the cause of an individual patient's shoulder pain. Subacromial pain syndrome – also known as shoulder impingement syndrome or rotator cuff disease is a broad diagnosis that includes several specific conditions and is one of most common diagnoses for patients with shoulder or upper extremity pain or disability <sup>5</sup> <sup>6</sup>. Subacromial pain syndrome encompasses all non-traumatic shoulder conditions including partial tear of the rotator cuff, tendon cuff degeneration, bursitis, tendinosis, supraspinatus tendinopathy, or biceps tendinitis <sup>6</sup>. It is most often unilateral.

Investigating interventions to address shoulder conditions such as shoulder pain requires measurement of patients' pain and function, best undertaken using patient-reported outcome

measures (PROMs). PROMs are reported directly by the patient and address aspects of the patient's experience and perspective without interpretation by the clinician or caregiver <sup>7</sup>. Investigators of interventions for shoulder conditions often include PROMs addressing shoulder pain, function and health-related quality of life (HRQoL) as their primary outcomes <sup>1</sup> <sup>8-14</sup>. Interpreting PROMs can, however, be challenging. In particular, interpretation requires knowing if an apparent treatment effect is trivial in magnitude, small but important, moderate or large. Statistical significance provides no insight into this issue <sup>15</sup>.

To aid interpretation of PROM findings, researchers developed the concept of the minimal important difference (MID): the smallest change – either positive or negative - that patients perceive as important <sup>16</sup> <sup>17</sup>. The MID can help clinicians, patients, and clinical practice guideline developers interpret the magnitude of effects of interventions on PROMs <sup>15</sup> <sup>18</sup> <sup>19</sup>.

There are two common approaches for determining the MID: anchor-based and distribution-based methods <sup>20</sup>. Distribution-based methods rely solely on the statistical characteristics of PROMs (e.g., mean and standard deviation of PROM scores). These statistical characteristics do not reflect the patient's perspective, severely limiting the distribution-based approach in aiding interpretation of results <sup>18</sup> <sup>21</sup>.

Investigators using the anchor-based approach choose an independent interpretable measure as an external criterion or anchor and then examine the relation between the target PROM instrument and that anchor <sup>18</sup>. Although there is no "gold standard" anchor-based methodology, our group has used the existing literature and expert input to develop an instrument that measures the credibility of anchor-based MIDs. Among desirable criteria to establish a trustworthy MID is a requirement for at least a moderate correlation between change in the target PROM instrument and the change on the anchor <sup>20</sup> <sup>22</sup>.

Although systematic reviews addressing MIDs in shoulder PROMs are available  $^{23-27}$ , they are dated and have not applied an assessment of credibility. Therefore, we set out to identify the most credible anchor-based MID estimates to inform a systematic review addressing the effectiveness of subacromial decompression surgery for shoulder pain. Our review informed an associated BMJ Rapid Recommendations and facilitated interpretation of critical outcomes of interest, including shoulder pain, function, and health-related quality of life (HRQoL). The BMJ Rapid Recommendations project is a collaboration between the MAGIC foundation (www.magicproject.org) and the BMJ, with the goal of providing timely, trustworthy practice guidelines  $^{28}$ .

A variety of study designs could inform MIDs for PROMs chosen by investigators for the RCTs. Therefore, in this systematic review, we (1) summarize MID estimate that come largely from observational studies for the PROMs chosen by the triallists in RCTs that investigated the effect of surgery on shoulder pain, and (2) assessed the credibility of these MID estimates.

Methods

**Protocol** 

We conducted this systematic review based on a registered published PROSPERO protocol (No. CRD42018106531).

#### Guideline panel and patient involvement

The *BMJ* Rapid Recommendations guideline panel provided critical oversight to this systematic review. The panel included academic and community-based practitioners (orthopedic surgeons, general internists, physiotherapists, a rheumatologist, a general practitioner and a geriatrician), methodologists, and patients with lived experience of shoulder pain. The panel members also provided input into the methodology of our review. Patients helped, in particular, to identify the outcomes of interest for which we identified MID estimates <sup>28</sup>. This study builds on methods used in a similar *BMJ* Rapid Recommendation on arthroscopic knee surgery <sup>29 30</sup>.

#### **Instruments under consideration**

The *BMJ* Rapid Recommendations panel, informed by the Outcome Measures in Rheumatology (OMERACT) shoulder core outcomes set <sup>31</sup>, nominated shoulder pain, function, and health-related quality of life (HRQoL) as critical patient-important outcomes of interest in the management of shoulder conditions. Following guidance from the panel, the systematic review team addressing the effectiveness of surgery for subacromial pain syndrome sought evidence for each of these outcomes in the eligible RCTs. We worked closely with that review team and addressed each of the PROMs corresponding to these constructs included as outcomes in the RCTs that proved eligible for the systematic review addressing the impact of shoulder surgery (the subacromial decompression surgery) (Table 1).

#### Literature search and study identification

This project utilized a database that includes all articles reporting anchor-based MID from 1989 to April 2015 (the MID concept was first described in the medical literature in 1989<sup>16</sup>)<sup>32</sup>. We obtained full access to the database of these MIDs – the leaders (ACL, TD, GG) of that project are participants in the current review.

We conducted a comprehensive search for relevant studies addressing MIDs from February 2015 to August 2018 using the MEDLINE, EMBASE, and PsycINFO databases. For outcomes that did not fully meet the definition of patient-reported outcomes (such as Constant score <sup>33 34</sup>) or were not identified in the systematic review informing the database of MIDs, we conducted a comprehensive search for relevant studies from January 1989 to August 2018. We used the MID search strategy filter from the previous MID database development project including a shoulder filter for the relevant PROMs. We also hand searched references from related reviews. There were no language restrictions. Appendix 1 presents the full search strategy.

#### **Study selection**

We included studies with any intervention, including expectant management. We included original reports of all studies that estimated MID(s) using anchor-based methods for any candidate PROM (Table 1). If, for a particular PROM, MID(s) were available for a shoulder condition, we restricted ourselves to those MIDs. If no study estimated MIDs in patients with shoulder conditions, we used the results from studies focusing on upper extremity musculoskeletal conditions. We did not

consider studies that estimated MIDs in patients with lower extremity or other conditions. Because RCTs evaluated the effects of an intervention on pain, function and HRQoL that would require MIDs for improvement, we did not include MIDs for deterioration.

Eligible studies used any design including retrospective and prospective observational studies or clinical trials that compared the results of a target PROM instrument to an anchor, regardless of the credibility of the design, conduct, or results of the study. Two reviewers independently performed title and abstract screening and, subsequently, full-text screening of studies included by either reviewer. At full-text screening, reviewers resolved the disagreement by discussion or, if needed, by consultation with a third reviewer.

#### **Data abstraction**

Six reviewers, working in three pairs, independently extracted the following data from eligible studies using a pre-designed, standardized, pilot-tested extraction table: first author name; publication year; country(ies); demographic characteristics of participants (e.g. sample size, age, sex, condition or disease); intervention; characteristics of the PROM (e.g., construct(s), domains(s), and range); anchor details (e.g., construct(s), threshold, range of options, categories or values); details in MID determination methods (e.g. number of participants used to estimate the MID, duration of follow-up from baseline, analysis methods and correlation between the anchor and PROM). Reviewers resolved disagreements by discussion.

#### Credibility assessment

The MID database project included the development of an instrument to assess the credibility of anchor-based MID estimates and tested its reliability (it proved reliable – manuscript in preparation, data available upon request). We defined the credibility of studies estimating the MIDs as the extent to which the methodology and performance of studies are likely to have protected against misleading estimates <sup>32</sup>. We used an abridged version of the MID credibility tool developed by our group to measure the credibility of MIDs. The tool needs assess many aspects of the MIDs (Table 2) and has proved reliable (manuscript in preparation). Six reviewers, working in three pairs,

independently assessed the credibility of included studies. Reviewers resolved disagreements by discussion. We deemed that the MID estimate had high credibility if 3 or more of the 5 criteria were met (either 'Definitely yes' or 'To a great extent' for each item); otherwise, we deemed that the MID had low credibility. We regard the credibility as a dichotomous variable (high and low) and do not quantify the credibility.

#### **Synthesis of results**

We described the characteristics of eligible studies including MID estimates, demographic characteristics of participants, intervention, and characteristics of the instrument and anchor. We identified the median, minimum and maximum values across the range of high credibility trustworthy MID estimates generated from the eligible studies for the PROMs of interest. If all MIDs estimates were of low credibility, we presented these estimates.

For each MID with multiple estimates of the MID we considered variables that may influence the

#### Results

We found 6 eligible studies from the existing database of anchor-based MIDs and 1 study from the references in related reviews. We identified 2,643 records through our search of electronic databases, of which 534 were duplicates, leaving 2,109 records for the title and abstract screening. We excluded 1,962 records based on our title and abstract screening and assessed 147 full-text articles, of which 15 were eligible. Therefore, 22 studies were eligible for this review. Figure 1 summarizes the study identification process.

Table 3 presents the characteristics of the 22 eligible studies <sup>24</sup> <sup>35</sup>-55. Sample sizes ranged from 20 <sup>49</sup> to 1,856 <sup>46</sup>, with a total of 5,562 participants providing MID estimates for 2 relevant instruments assessing shoulder pain, 1 assessing function, 5 assessing shoulder symptoms and function and 2 assessing HRQoL (Table 3). The 22 studies reported 74 anchor-based MIDs estimates. Twenty-one of 22 studies employed a variety of transition ratings as the anchor to determine the MIDs, of which 5 had a follow-up period of less than 3 months <sup>38</sup> <sup>43</sup> <sup>44</sup> <sup>48</sup> <sup>49</sup>. One study used the Pen shoulder score (cut-off point: 8.6) as the anchor to determine the MIDs for pain measurement (PNRS) <sup>42</sup>. Of the 22 studies, 19 reported the absolute estimates for the MIDs and three - addressing the Constant score, quick DASH, and Oxford Shoulder Score (OSS) – relative estimates <sup>35</sup> <sup>39</sup> <sup>43</sup>. Patients underwent surgical interventions in four studies <sup>36</sup> <sup>40</sup> <sup>46</sup> <sup>47</sup>; four studies used both surgical and non-surgical interventions <sup>41</sup> <sup>51</sup> <sup>54</sup> <sup>55</sup>; 13 used non-surgical interventions <sup>24</sup> <sup>35</sup> <sup>37-39</sup> <sup>42-45</sup> <sup>48</sup> <sup>49</sup> <sup>52</sup> <sup>53</sup>; and one did not report the type of intervention <sup>50</sup>.

The analysis methods for estimating the MID included mean change in patients who had experienced a small but minimally important difference over time <sup>35-40 48 49 52 54 55</sup>; mean difference in groups perceived to have changed versus not changed <sup>24 40 46 47 53</sup>; and ROC curves <sup>35 38-45 50 51</sup>. Fourteen studies provided highly credible estimates and eight studies provided low credibility estimates <sup>37 39 42 43 47 48 54 55</sup>. Studies with high credibility reported MID estimates for Constant Score, Simple Shoulder Test (SST), Pain Visual Analog Scale (VAS), Disability of the Arm, Shoulder and Hand [DASH], Oxford Shoulder Score (OSS), and SF-12 (Table 1). Studies provided low credibility MID estimates for the Pain Numeric Rating Scale (PNRS), Quick DASH, Neer score, and EQ-5D-3L (Table 1). No studies estimate MIDs for the following instruments in shoulder or upper extremity conditions: PainDETECT Numerical Rating Scale (0-10), Shoulder Disability Questionnaire (SDQ), Project on Research and Intervention in Monotonous work (PRIM) score, Watson-Sonnabend score, 15D, Short Form 36 (SF-36), and Hospital Anxiety and Depression Score [HADS].

Table 4 presents median, maximum, and minimum estimates of MIDs according to credibility, with the best estimates suggested to the systematic review team shaded. For the MID estimates with high credibility, MIDs for the SST (1.5 to 2.1) and overall pain VAS (1.4 to 1.6) were consistent across the 2 available estimates. The MIDs for the Constant Score (3 to 16.6), DASH (4.4 to 25.4), and OSS (4.0 to 14.7) were, however, inconsistent among 6-10 estimates provided.

Available evidence permitted subgroup analyses exploring potential sources of heterogeneity only for surgical versus non-surgical interventions for the Constant Score and Simple Shoulder Test (SST) and follow-up time (less than 3 months or  $\geq$  3 months) for the Oxford Shoulder Score (OSS). In no case did these differences explain the variation in the MID. Appendix 2 provides details of the MID estimates and the results of subgroup analysis.

#### Discussion

We identified 22 studies involving 5,562 patients that reported 74 empirically-estimated anchorbased MIDs for 10 candidate instruments to assess shoulder pain, function, and HRQoL. The majority of studies used a global rating of change (transition rating) as the anchor and had a follow-up period of over 3 months. We identified MIDs of high credibility for pain and function outcomes and of low credibility for HRQoL. MIDs estimates often varied widely; we offered median estimates for the systematic review team and guideline panel. We also provided the systematic review team with the median, minimum and maximum values across the range of high credibility trustworthy MID estimates generated from the eligible studies for the PROMs of interest. The only instance in which the variability in scores was sufficiently great that choice of one of the extremes rather than the median could substantially influence conclusions was for the Constant score.

Authors of the linked review used these MIDs (Pain VAS 0-10 1.5 units, the Constant score 0-100 scale 8.3 units, and EQ 5-D, 0.07 units) to gauge the importance of possible difference patients in GRADE evidence summaries and to dichotomize the improvements (proportions of patients achieving MID or more); the *BMJ* Rapid Recommendations guideline panel used them to inform their judgements of magnitude of effect in formulating their recommendations. The systematic review informed the *BMJ* Rapid Recommendations panel in their development of the guideline.

Strengths of our review include a comprehensive search for anchor-based MIDs for instruments commonly used in RCTs of shoulder conditions conducted without restrictions of study design or language of publication. We undertook judgements of MID credibility using a formal instrument with demonstrated reliability. Most studies (n=14) provided highly credible estimates. These MIDs not only can help clinicians, patients, and clinical practice guideline developers interpret the magnitude of effects of interventions on PROMs, they also can be used in power calculations in future trials on shoulder conditions.

For the credibility assessment, we found that the anchor instrument directly addressed the patient's perspective, and judged the understanding the anchor instrument for patients as 'Definitely yes' or 'To a great extent', for all the MID estimates. Approximately half of the estimates did not report the correlation between the anchor and the PROM. We judged the precision of the MID estimation and the threshold or difference between groups on the anchor used to estimate the MID as "Definitely no" or "Not so much" for most MID estimates.

The results of our systematic review have limitations. The range of reported MIDs was wide for some of the PROMs (e.g., 0.3 to 30 for Constant score; 4.4 to 25.41 for Disabilities of the Arm,

Shoulder and Hand (DASH)). Baseline characteristics (participants' disease/conditions, sample size, PROMs or instruments), anchors and analytic methods varied among included studies; though others have detected associations between methodological approaches and MIDs <sup>56</sup>, our attempts to establish a clear relation between these variables and the MID were not successful. For some instruments used in RCTs of surgery for shoulder pain - the Shoulder Disability Questionnaire (SDQ), Short Form 36 (SF-36), and 15D - we did not find any study estimating MIDs in our target patient population. For others, MIDs for shoulder conditions closely related to subacromial syndrome, or for shoulder conditions at all, were not available, and we therefore relied on estimates from any upper extremity problem population.

With respect to the assessment of credibility, a formal assessment of the validity of the instrument has not been undertaken. Moreover, one might challenge our judgment in inferring high credibility if 3 or more criteria were met. Finally, investigators used different methods to relate the anchor to a transition rating; the optimal approach remains uncertain <sup>56 57</sup>.

Our results are consistent with previous studies <sup>23-25</sup>. A previous review of MIDs of upper extremity instruments that appeared in selected orthopedic journals from 2014 to 2016 found a wide range of MIDs for the Constant Score (8-36) and reported a pain VAS MID of 1.4 on 10-point scale <sup>26</sup>. Reviews of pain VAS MIDs in shoulder injuries found a range of 0.5 to 3.0 <sup>24 36 46 47</sup>. A review of pain ratings in a wide variety of conditions reported VAS MIDs of 0.1 to 8.2 and noted that absolute MIDs are higher in patients with more pain at baselines <sup>27</sup>. Only one study included in our review reported MID estimates separately according to the baseline severity <sup>48</sup> but these estimates had low credibility due to problems in the anchor selected and failure to report the correlation between the anchor and the instrument. Two other reviews of shoulder instrument MIDs, primarily from rotator cuff injuries reported MID values of 10.2 to 20 for DASH, and 4.0 to 13.4 for OSS <sup>23 25</sup>. Participants' disease/conditions, baseline scale score, and inappropriate analytic methods can cause serious bias in determining MIDs <sup>56 58</sup>; researchers should pay more attention to these factors during the MID estimation studies.

#### Conclusion

Our review provides anchor-based MID estimates, as well as a rating of their credibility, for PROMs for measurement instruments addressing patients with shoulder conditions. The review identified methodological limitations of the primary studies, future studies should strive for high precision of MID estimation, seek to identify difference between groups and reasons for those differences, and report correlations between the anchor and the PROM <sup>56 58</sup>.

The MID estimates inform the interpretation for a linked systematic review and guideline on arthroscopy for shoulder pain. Researchers addressing a wide variety of shoulder conditions can in future make use of our summary MIDs to inform sample size and aid in interpretation of results.

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 their review of this manuscript.

#### **Contributors statement**

GG and RAS conceived the study idea; QH designed the search strategy; QH, YW, DZ and screened studies for eligibility; QH, TD, YW, DZ, RAS, and AQ extracted data and assessed the credibility; QH wrote the first draft of the manuscript; GG, TD, POV, TL, TA, ACL and RAS interpreted the data analysis and critically revised the manuscript. QH is the guarantor.

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Ethical approval statement: Not required.

Data sharing statement: No additional data available.

#### **Appendices**

Appendix 1: Search terms and strategies.

Appendix 2: Description of MIDs according to subgroups and the results of subgroup analysis.

Appendix 3: Reporting checklist (PRISMA checklist).

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Figure 1: Flowchart for eligible studies identification according to PRISMA guidelines

Table 1: Patient-reported outcome measure instruments considered in this review

Instrument with full name	General score	Higher	Construct(s) measured
and abbreviation	range	scores are	
		better or	
		worse	
Pain Numeric Rating Scale	0-10/0-100	Worse	Pain
(PNRS)			
Pain Visual Analogue Scale	0-10/0-100	Worse	Pain

(VAS)			
PainDETECT Numerical	0-10/0-100	Worse	Pain
Rating Scale	0-10/0-100	Worse	1 4111
Disability of the Arm,	0-100	Worse	Symptom and function
Shoulder and Hand (DASH)	0-100	Worse	Symptom and function
Quick DASH	0-100	Worse	Symptom and function
_			Symptom and function
Shoulder Disability	0-100	Worse	Pain-related function of the
Questionnaire (SDQ)	0.10	7	shoulder
Simple Shoulder Test (SST)	0-12	Better	Shoulder comfort and
			function
Oxford Shoulder Score (OSS)	0-48	Better	Shoulder function and pain
Project on Research and	0–36 for each	Worse	Pain or other complains
Intervention in Monotonous	region		
work (PRIM) score	4		
Neer score	0-100	Worse	Function
Constant (Murley) Score	0-100	Better	Shoulder function, pain, ADL
(CS/CMS)			function, the range of motion,
			strength
Watson-Sonnabend score	Pain: 0-10;	Pain: worse;	Satisfaction, pain and 0-3
	Function: 0-42	Function:	discrete for 14 function items
		better	
Short Form 36 (SF-36)	0-100	Better	Health-related quality of life
Short Form 12 (SF-12)	0-100	Better	Health-related quality of life
EuroQol 5 dimensions 3 level	-0.59-1	Better	Health-related quality of life
index (EQ-5D-3L)			
15D	0-1	Better	Health-related quality of life
Hospital Anxiety and	0-42	Worse	Anxiety and depression
Depression Score (HADS)			
` '	ı	·	

Table 2 The criteria for credibility assessment

Item	Assessment aspects	Results
1	Whether the anchor instrument directly addressed the	$0 = N_0$
	patient's perspective.	1 = Yes
		2 = Impossible to tell
2	Whether patients could easily understand the anchor	0 = Definitely no
	instrument.	1 = Not so much
		2 = To a great extent

<sup>@</sup> For anchors with categorical scales the Spearman rather the Pearson's correlation, is appropriate.

### **Table 3: Characteristics of eligible studies**

Author (Year)	Disease/conditions	Particip ants in baseline	Intervention	Instrument/scale	Anchor	Follow- up period
Simovitch 2018	Cuff tear arthropathy, a combination of osteoarthritis and rotator cuff insufficiency	1,865	Total shoulder arthroplasty	Constant score; SST; Pain VAS;	Global rating question	40.2 to 49.7 months
Negahban 2015	Shoulder disorders including impingement syndrome/tendonitis, frozen shoulder, shoulder instability	200	Physiotherapy	DASH (Persian version)	Global rating of shoulder function	1 month
Holmgren 2014	Subacromial impingement syndrome	93	Physiotherapy	Constant (Murley) shoulder assessment score	Patient's global impression of change	3 months
Rysstad 2017	Subacromial pain syndrome (SPS)	50	Physiotherapy	DASH (Norwegian version)	Patient's perceived recovery	3 to 4 months
van de Water 2014	Isolated proximal humeral fracture	20	Active rehabilitation	Constant Score; OSS; DASH	Patient perception of change	1.5 months
Christiansen 2015	8-12 weeks after arthroscopic decompression surgery for subacromial impingement syndrome	112	Physiotherapy	OSS; Modified Constant Score;	Patient Global Impression of Change (PGIC)	3 months  3 to months  1.5 months  3 months
Kukkonen 2013	Rotator cuff tears (both partial and full thickness)	781	Arthroscopy	Constant score;	The two-stage question of the patient satisfaction	3 months
Michener 2011	Shoulder pain with or without surgery	136	Rehabilitation	PNRS	Pen shoulder score	3 to weeks
Christie 2011	Rheumatic disease (inflammatory or degenerative disease) undergoing elective shoulder surgery	100	Arthroplasty or other surgery (not specified)	DASH; OSS; Pain VAS at activity; Pain VAS at rest; Constant score	Shoulder symptoms question "At one-year follow-up, the patients were also asked to rate their shoulder symptoms at present compared with baseline"	12 months
Ekeberg 2010	Rotator cuff disease	121	Local ultrasound- guided injections of triamcinolone and xylocain	OSS	Main complaint score (-9 (worst) to 9 (best))	2 to weeks
Mintken 2009	Shoulder pain	101	Physical therapy	PNRS; QuickDASH;	Global rating of change (GRC)	2 to weeks
Tubach 2006	Acute rotator cuff syndrome	252	NSAID therapy or placebo	PNRS; Neer score;	Response to NSAID treatment question	7 days
Mahabier 2017	Humeral shaft fracture	140	Operative and mjopen.bmj.com/sit	DASH;	Transition item:	1.5 to 12

			BMJ Open			Page 24 of
			nonoperative	Constant (Murley)	perception of change in	months
			treatment of	score;	the general condition of	
			humeral shaft		the affected upper limb	
			fracture			
Tashjian 2017	Osteoarthritis, rheumatoid	326	Total shoulder	SST	Improvement after	3.5 years
	arthritis, rotator cuff		arthroplasty	Pain VAS	treatment	
	arthropathy, advanced rotator		(primary anatomic			
	cuff disease		or reverse)			
Dritsaki 2017	Rheumatoid arthritis with pain	488	Tailored exercise	EQ-5D-3L;	Participant self-rated	4 to 8
	and dysfunction of the hands		program	EQ-5D-3L VAS;	improvement in their	months
	and/or wrists			SF-12-physical;	hands and wrist	
				SF-12-mental		
Tashjian 2009	Rotator cuff tendonitis, rotator	81	Non-surgical	Pain VAS	Four-item anchor	3.6
•	cuff tear (partial or full-		management	-	instrument: response	months
	thickness)		<b>5</b>		to treatment	
Schmitt 2004	Musculoskeletal proximal upper	211	Occupational or	DASH	Global disability rating	3 months
	extremity problem	5	physical therapy			
van Kampen	Shoulder problems	128	Operative or non-	DASH;	Global rating scale for	6 months
2013	choulds problems		operative treatment	QuickDASH	function	
Lundquist 2014	Shoulder conditions (rotator	81	NR	DASH (Danish	Global impression of	2.3
4	cuff/impingement, adhesive			version)	change	months
	capsulitis, humeroscapular			,		
	instability, humeroscapular					3.6 months 3 months 6 months 2.3 months
	arthrosis, humeral fracture,					
	other or unspecified should					
	disorder)					
Tashjian 2010	Rotator cuff tendonitis, rotator	81	Nonsurgical	SST	15-item function	3.6
2010	cuff tear (partial or full-		management		question;	months
	thickness)				4-item improvement	
					question	
Marks 2014	Trapeziometacarpal joint	177	Conservative	SF-12-physical;	Patient perceived	12 months
VINING BUIT	osteoarthritis	1//	treatment or surgery	SF-12-physical,	change in thumb	12 monus
	ostowi minis		(resection/suspensio	ST 12 mentar	condition	
			n/interposition		Condition	
			arthroplasty or arthrodesis)			
Castuisi: 2014	Imanovahlat-t CCT	27	,	Constant	The three stars in the	27 11
Castricini 2014	Irreparable rotator cuff Tears	27	Shoulder	Constant and	The three-stage question	27 months
			arthroplasty surgery	Murley score	of the patient	
			and rehabilitation		satisfaction.	

SST: Simple shoulder test; VAS: Visual analogue scale; DASH: Disabilities of the Arm, Shoulder and Hand; OSS:

Oxford shoulder score; SF-12: Short Form Health Survey 12; EQ-5D-3L: Euro-Quality of life 5 dimensions 3 level

index; PNRS: Pain Numerical Rating Scale; NR: Not reported

Table 4: Summary of MIDs for improvement for interested instruments according to the credibility

Instrument/domain (score range)	Number	Median estimate	Minimum	Maximum
	of		estimate	estimate
	estimates			
High credibility	•	6		
Absolute MIDs		7.		
Constant score (0-100) <sup>1</sup>	10	8.3	3	16.6
SST (0-12)	2	1.8	1.5	2.1
Pain VAS (overall) (0-10)	2	1.5	1.4	1.6
Pain VAS (Activity) (transfer to 0-10)	1	2.1	_	
Pain VAS (at rest) (transfer to 0-10)	1	3.0		
DASH (0-100)	6	10.2	4.4	25.4
OSS: (0-48) <sup>2</sup>	8	5.3	4.0	14.7
SF-12 (0-100)	1	Physical:1		
	1	Mental: 4		
Relative MIDs (relative to baseline)				
Constant score (0-100)	1	15%		

OSS (0-48)	1	11%		
Low credibility				
Absolute MIDs				
Constant score (0-100)	9	19.0	0.3	36.0
SST (0-100)	6	2.1	1.4	2.9
Pain VAS (overall) (0-10)	5	1.4	0.5	2.7
DASH (0-100)	1	12.4		
PNRS (0-10)	5	3.4	1.1	6.3
Quick DASH (0-100)	1	13.4		
Neer score (0-100)	3	2.0	1.5	3.7
EQ-5D-3L (-0.59 to 1)	2;	Raw index: 0.07;	0.02;	0.11
	2	VAS: 7.18	6.86	7.50
SF-12 (0-100)	2;	Physical: 2.2	2.0;	2.4;
	2	Mental: 0.9	0.9	1.0
Relative MIDs (relative to baseline)		12		
Constant score (0-100)	1	22%		
Quick DASH (0-100)	1	8%		
The range of the Constant scare is 2 100 in	van de Wate	2014 49		

<sup>1</sup> The range of the Constant score is 2-100 in van de Water 2014 49.

MID: minimal important difference; SST: Simple shoulder test; VAS: Visual analogue scale; DASH: Disabilities of the

Arm, Shoulder and Hand; OSS: Oxford shoulder score; SF-12: Short Form Health Survey 12; EQ-5D-3L: Euro-Quality

of life 5 dimensions 3 level index; PNRS: Pain Numerical Rating Scale; NR: Not reported

<sup>2</sup> The range of the OSS is 12-60 in Christie 2011 36.

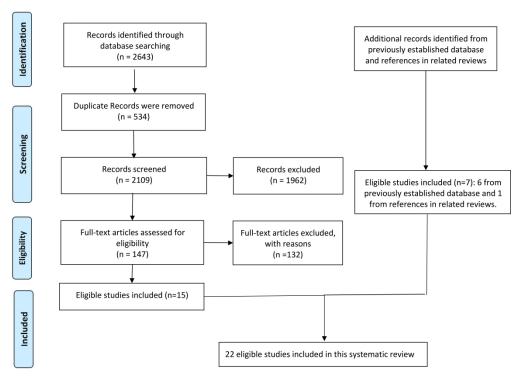


Figure 1 Flowchart for eligible studies identification according to PRISMA guidelines

Database(s): OVID Medline Epub Ahead of Print, In-Process & Other Non-Indexed Citations, Ovid MEDLINE(R) Daily and Ovid MEDLINE(R) 1946 to Present

Search Strategy:

#	Searches	Results
	(clinical* important difference? or clinical* meaningful difference? or clinical*	
	meaningful improvement? or clinical* relevant mean difference? or clinical* significant	
	change? or clinical* significant difference? or clinical* important improvement? or	
1	clinical* meaningful change? or mcid or minim* clinical* important or minim* clinical*	10562
	detectable or minim* clinical* significant or minim* detectable difference? or minim*	
	important change? or minim* important difference? or smallest real difference? or	
	subjectively significant difference?).tw.	
2	"Quality of Life"/	165230
	"outcome assessment".mp. or outcome assessment/ or treatment outcome/ or	
	treatment failure/ [mp=title, abstract, original title, name of substance word, subject	
3	heading word, floating sub-heading word, keyword heading word, protocol	948610
	supplementary concept word, rare disease supplementary concept word, unique	
	identifier, synonyms]	
4	exp pain/	361898
5	exp disease attributes/ or exp "signs and symptoms"/	2823288
6	or/2-5	3651696
7	1 and 6	5289

8	health status indicators/ or "severity of illness index"/ or sickness impact profile/ or interviews as topic/ or questionnaires/ or self report/	679871
	interviews as topic, or questionnaires, or sen report	
9	Pain Measurement/	77822
10	patient satisfaction/ or patient preference/	80034
11	or/8-10	794501
12	7 and 11	2391
13	limit 12 to yr="1989 -Current"	2389
14	(quality of life or life 1atisfy??? or hrqol or hrql).mp.	290843
	(assessment? Outcome? or measure? Outcome? or outcome? Studies or outcome?	
	Study or outcome? Assessment? or outcome? management or outcome? measure* or	
15	outcome? research or patient? outcome? or research outcome? or studies outcome?	1196557
	or study outcome? or therap* outcome? or treatment outcome? or treatment	
	failure?).mp.	
16	pain????.mp.	693582
17	((activity or sever* or course) adj3 (disease or disabilit* or symptom*)).mp.	246717
18	or/14-17	2160347
19	1 and 18	6305
20	(questionnaire? or instrument? or interview? or inventor* or test??? or scale? or	7570045
20	subscale? or survey? or index?? or indices or form? or score? or measurement?).mp.	7579315
0.4	(patient? rating? or subject* report? or subject* rating? or self report* or self	400044
21	evaluation? or self appraisal? or self assess* or self rating? or self rated).mp.	183341

41	((should\$ or rotator cuff) adj5 (bursitis or adhesive capsulitis or periarthr iti\$ or frozen or impinge\$ or tend?nitis or pain\$)).tw.	20086
42	exp Upper Extremity/	154603
43	or/28-42	235079
44	limit 43 to yr="1989 -Current"	173628
45	Hawkins-Kennedy.mp.	31
46	("University of California Los Angeles shoulder rating scale" or UCLA).mp.	4559
47	("American Shoulder and Elbow Surgeon questionnaire" or ASES).mp.	1296
48	(Upper Extremity Functional Index or UEFI).mp.	39
49	(Upper Extremity Functional Scale or UEFS).mp.	22
50	("American Shoulder and Elbow Surgeon questionnaire" or ASES).mp.	1296
51	(Penn Shoulder Score or PSS).mp.	8051
52	("Shoulder Pain and Disability Index" or SPADI).mp.	393
53	(Shoulder Disability Questionnaire or SDQ).mp.	1439
54	("Project on Research and Intervention in Monotonous work" or PRIM).mp.	426
55	(Neer score or NS).mp.	63982
56	(Constant Murley Score or CMS or constant score or CS).mp.	64167
57	(Watson-Sonnabend score or WSS).mp.	1467
58	(PainDETECT Numerical Rating Scale or PainDETECT).mp.	209
59	or/45-58	144686

80	77 or 79	900

Database(s): Embase 1974 to 2018 August 10

#### Search Strategy:

#	Searches	Results
1	(clinical* important difference? or clinical* meaningful difference? or clinical*	16030
	meaningful improvement? or clinical* relevant mean difference? or clinical* significant	
	change? or clinical* significant difference? or clinical* important improvement? or	
	clinical* meaningful change? or mcid or minim* clinical* important or minim* clinical*	
	detectable or minim* clinical* significant or minim* detectable difference? or minim*	
	important change? or minim* important difference? or smallest real difference? or	
	subjectively significant difference?).tw.	
2	"Quality of Life"/	371636
3	"outcome assessment(health care)".mp. or treatment outcome/ or treatment failure/	830270
	[mp=title, abstract, heading word, drug trade name, original title, device manufacturer,	
	drug manufacturer, device trade name, keyword, floating subheading word, candidate	
	term word]	
4	exp pain/	1079551
5	exp disease course/ or exp "physical disease by body function "/	9191603

6	or/2-5	9628948
7	1 and 6	11859
8	health status indicators/ or "severity of illness index"/ or sickness impact profile/ or interviews/ or questionnaire/ or self report/	786093
9	Pain Measurement/	5221
10	patient satisfaction/ or patient preference/	125926
11	or/8-10	888283
12	7 and 11	2911
13	limit 12 to yr="1989 -Current"	2904
14	(quality of life or life 1atisfy??? or hrqol or hrql).mp.	462977
15	(assessment? Outcome? or measure? Outcome? or outcome? Studies or outcome?  Study or outcome? Assessment? or outcome? management or outcome? measure* or outcome? research or patient? outcome? or research outcome? or studies outcome? or study outcome? or therap* outcome? or treatment outcome? or treatment failure?).mp.	1540053
16	pain????.mp.	1106808
17	((activity or sever* or course) adj3 (disease or disabilit* or symptom*)).mp.	1088931
18	or/14-17	3576012
19	1 and 18	9705
20	(questionnaire? or instrument? or interview? or inventor* or test??? or scale? or subscale? or survey? or index?? or indices or form? or score? or measurement?).mp.	9331391

21	(patient? rating? or subject* report? or subject* rating? or self report* or self evaluation? or self appraisal? or self assess* or self rating? or self rated).mp.	242895
22	(patient? report* or patient? observ* or patient? satisf*).mp.	214735
23	anchor base??.mp.	694
24	or/20-23	9449030
25	19 and 24	8469
26	limit 25 to yr="1989 -Current"	8423
27	13 or 26	8714
28	shoulder.mp.	83119
29	shoulder impingement syndrome.mp.	2389
30	subacromial.mp.	2767
31	painful arc syndrome.mp.	17
32	supraspinatus syndrome.mp.	16
33	rotator cuff.mp.	13465
34	upper limb.mp.	26023
35	upper extremity.mp.	23277
36	exp shoulder/	55236
37	exp shoulder Impingement Syndrome/	2303
38	exp rotator Cuff/	6589
39	exp shoulder pain/	13048

40	exp shoulder joint/	55236				
41	((should\$ or rotator cuff) adj5 (bursitis or adhesive capsulitis or periarthr iti\$ or frozen	25617				
41	or impinge\$ or tend?nitis or pain\$)).tw.	23017				
42	exp Upper Extremity/	247960				
43	or/28-42	321155				
44	limit 43 to yr="1989 -Current"	290504				
45	Hawkins-Kennedy.mp.	46				
46	(University of California Los Angeles shoulder rating scale or UCLA).mp.	6102				
47	7 ((American Shoulder and Elbow Surgeon questionnaire) or ASES).mp.					
48	(Upper Extremity Functional Index or UEFI).mp.					
49	(Upper Extremity Functional Scale or UEFS).mp.	35				
50	((American Shoulder and Elbow Surgeon questionnaire) or ASES).mp.	1600				
51	(Penn Shoulder Score or PSS).mp.	10814				
52	((Shoulder Pain and Disability Index) or SPADI).mp.	555				
53	(Shoulder Disability Questionnaire or SDQ).mp.	1990				
54	((Project on Research and Intervention in Monotonous work) or PRIM).mp.	521				
55	(Neer score or NS).mp.	85103				
56	(Constant Murley Score or CMS or constant score or CS).mp.	82387				
57	(Watson-Sonnabend score or WSS).mp.	1851				
58	(PainDETECT Numerical Rating Scale or PainDETECT).mp.	357				

59	or/45-58	189411
60	limit 59 to yr="1989 -Current"	177302
61	(simple shoulder test or SST).mp.	5180
62	(Visual Analogue Scale or VAS).mp.	76248
63	(Short form or SF?36 or SF?12 or SF?8).mp.	47823
64	(EuroQol or EQ?5D).mp.	7284
65	15?D.mp.	2744
66	(Oxford shoulder score or OSS).mp.	2838
67	(RC-Quality Of Life or RC-QOL).mp.	14
68	(Western Ontario Rotator Cuff Index or WORC).mp.	186
69	((Disability of the Arm, Shoulder and Hand) or DASH).mp.	5405
70	(Medical outcomes study or MOS).mp.	17455
71	(Health Assessment Questionnaire or HAQ or HAQ?DI).mp.	11808
72	(Numerical Rating Scale or NRS).mp.	10819
73	(Numeric pain rating scales or NPRS).mp.	640
74	((Hospital Anxiety and Depression Score) or HADS).mp.	9146
75	or/61-74	178346
76	limit 75 to yr="2015 -Current"	51547
77	27 and 76	937
78	44 or 60	460897

79	27 and 78	763
80	77 or 79	1600

Database(s): PsycINFO 1987 to August Week 1 2018

# Search Strategy:

#	Searches	Results					
	(clinical* important difference? or clinical* meaningful difference? or clinical*						
	meaningful improvement? or clinical* relevant mean difference? or clinical* significant						
1	change? or clinical* significant difference? or clinical* important improvement? or						
	clinical* meaningful change? or mcid or minim* clinical* important or minim* clinical*	2062					
	detectable or minim* clinical* significant or minim* detectable difference? or minim*						
	important change? or minim* important difference? or smallest real difference? or						
	subjectively significant difference?).tw.						
2	("Quality of Life" or "outcome assessment" or "treatment outcome" or "treatment	157000					
	failure" or pain or "disease attributes" or "signs and symptoms").mp.	157999					
3	1 and 2	720					

	("health status indicators" or "severity of illness index" or "sickness impact profile" or	
4	"interviews" or questionnaires or "self report" or "pain measurement" or "patient	298303
	satisfaction" or "patient preference").mp.	
5	3 and 4	185
6	limit 5 to yr="1989 -Current"	185
7	(quality of life or life 1atisfy??? or hrqol or hrql).mp.	67910
	(assessment? Outcome? or measure? Outcome? or outcome? Studies or outcome?	
8	Study or outcome? Assessment? or outcome? management or outcome? measure* or	
	outcome? research or patient? outcome? or research outcome? or studies outcome?	89594
	or study outcome? or therap* outcome? or treatment outcome? or treatment	
	failure?).mp.	
9	pain????.mp.	93558
10	(activity or sever* or course or (disease or disabilit* or symptom*)).mp.	1112369
11	or/7-10	1224703
12	1 and 11	1648
13	(questionnaire? or instrument? or interview? or inventor* or test??? or scale? or	1776162
13	subscale? or survey? or index?? or indices or form? or score? or measurement?).mp.	1770102
	(patient? rating? or subject* report? or subject* rating? or self report* or self	
14	evaluation? or self appraisal? or self assess* or self rating? or self rated).mp. [mp=title,	148082
17	abstract, heading word, table of contents, key concepts, original title, tests &	170002
	measures]	

(patient? report* or patient? observ* or patient? satisf*).mp.	14671					
anchor base??.mp.	108					
or/13-16	1799670					
12 and 17	1504					
limit 18 to yr="1989 -Current"	1502					
6 or 19	1502					
(Shoulder or subacromial or "painful arc syndrome" or "supraspinatus syndrome" or						
"rotator cuff" or "upper limb" or "upper extremity").mp.	6818					
limit 21 to yr="1989 -Current"	6778					
("University of California Los Angeles shoulder rating scale" or UCLA or "Hawkins-						
Kennedy" or "American Shoulder and Elbow Surgeon questionnaire" or ASES or						
"Upper Extremity Functional Index" or UEFI or "Upper Extremity Functional Scale" or UEFS or "American Shoulder and Elbow Surgeon questionnaire" or ASES or "Penn						
Disability Questionnaire" or SDQ or "Project on Research and Intervention in						
Monotonous work" or PRIM or "Neer score" or NS or "Constant Murley Score" or CMS						
or "constant score" or CS or "Watson-Sonnabend score" or WSS or "PainDETECT						
Numerical Rating Scale" or PainDETECT).mp.						
limit 23 to yr="1989 -Current"	17923					
("simple shoulder test" or SST or "Visual Analogue Scale" or VAS or "Short form" or	49736					
SF?36 or SF?12 or SF?8 or EuroQol or EQ?5D or15?D or "Oxford shoulder score" or	.5.55					
	anchor base??.mp.  or/13-16  12 and 17  limit 18 to yr="1989 -Current"  6 or 19  (Shoulder or subacromial or "painful arc syndrome" or "supraspinatus syndrome" or "rotator cuff" or "upper limb" or "upper extremity").mp.  limit 21 to yr="1989 -Current"  ("University of California Los Angeles shoulder rating scale" or UCLA or "Hawkins-Kennedy" or "American Shoulder and Elbow Surgeon questionnaire" or ASES or "Upper Extremity Functional Index" or UEFI or "Upper Extremity Functional Scale" or UEFS or "American Shoulder and Elbow Surgeon questionnaire" or ASES or "Penn Shoulder Score" or PSS or "Shoulder Pain and Disability Index" or SPADI or "Shoulder Disability Questionnaire" or SDQ or "Project on Research and Intervention in Monotonous work" or PRIM or "Neer score" or NS or "Constant Murley Score" or CMS or "constant score" or CS or "Watson-Sonnabend score" or WSS or "PainDETECT Numerical Rating Scale" or PainDETECT).mp.  limit 23 to yr="1989 -Current"  ("simple shoulder test" or SST or "Visual Analogue Scale" or VAS or "Short form" or					

		1
	OSS or "RC-Quality Of Life" or RC-QOL or "Western Ontario Rotator Cuff Index" or	
	WORC or "Disability of the Arm, Shoulder and Hand" or DASH or "Medical outcomes	
	study" or MOS or "Health Assessment Questionnaire" or HAQ or HAQ?DI or	
	"Numerical Rating Scale" or NRS or "Numeric pain rating scales" or NPRS or "Hospital	
	Anxiety and Depression Score" or HADS).mp.	
26	limit 25 to yr="2015 -Current"	15110
27	20 and 26	93
28	22 or 24	24612
29	20 and 28	55
30	27 or 29	143

# Appendix 2: Description of MIDs according to subgroups and the results of subgroup analysis

Table S1: Summary of all MIDs for improvement for interested PROs or instruments

Instrument/domain	Number of estimates	Median estimate	Minimum estimate	Maximum estimate	Mean	Standard deviation	95% CI	
(score range)	esumates	esumate	esumate	esumate		deviation		Prote
Absolute MIDs								cted b
Constant score (0-	19	12.80	0.3	36	14.06	9.22	9.62 to 18.51	у соруг
100)								ight, in
Simple shoulder text	8	1.93	1.4	2.9	1.99	0.53	1.54 to 2.42	cluding
(SST) (0-100)		,0						for use
Pain VAS (overall)	7	1.40	0.5	2.70	1.58	0.68	0.95 to 2.21	Protected by copyright, including for uses related to text and data mining, Al training, and similar technologies.
(0-10)			2.					ed to te
Pain VAS (Activity)	1	2.1	(0)	<b>&gt;</b>				xt and c
(transfer to 0-10)			4	2				lata mir
Pain VAS (at rest)	1	3.04		0,				iing, Al
(transfer to 0-10)								training
Disabilities of the	7	10.20	4.4	25.41	11.56	6.73	5.34 to 17.78	, and s
Arm, Shoulder and								imilar t
Hand (DASH) (0-								echnolo
100)								gies.
Oxford shoulder	8	5.3	4	14.7	6.51	10.7	3.59 to 9.43	
score (OSS) (0-48)								
PNRS (0-10)	5	3.43	1.1	6.25	3.50	2.01	1.00 to 6.00	

Quick DASH	1	13.4					
Neer score	3	1.99	1.51	3.73	2.41	1.17	-0.49 to 5.31
EQ-5D-3L	2;	Raw: 0.07;	0.02;	0.11;	0.07;	0.06;	-0.51 to 0.64;
	2	VAS: 7.18	6.86	7.50	7.18	0.45	3.11 to 11.25
SF-12 (0-100)	3;	Physical: 2.04	1.0;	2.44;	1.83;	0.74;	-0.02 to 3.67;
	3	Mental: 0.96	0.86	4.00	1.94	1.78	-2.49 to 6.37
Relative MIDs							ected
Constant score (0-	2	18.6%	15.2%	22%	18.6%	4.8%	-24.60 to 61.8
100)							gir,
Oxford shoulder	1	11.10%					
score (OSS) (0-48)		,0					l or use
Quick DASH	1	8%	5				i ea
							-24.60 to 61.8
							moogles

Table S2: Summary of MIDs for improvement for interested PROs or instruments according to intervention administered during the study to measure the PRO or instrument

Instrument/domain (score range)	Number of estimates	Median estimate	Minimum estimate	Maximum estimate	Mean	Standard deviation	95% CI
Surgical intervention	estimates	esumate	estimate	estimate		deviation	
Absolute MIDs							
Constant score (0-	7	10.40	0.3	16.6	9.31	6.47	3.34 to 15.29
100)		)	0.0	10.0	0.01	<b>5</b>	3.34 to 15.29  1.28 to 2.55  0.84 to 2.40
Simple shoulder text	6	1.65	1.4	2.9	1.92	0.61	1.28 to 2.55
(STT) (0-100)							
Pain VAS (overall)	6	1.5	0.5	2.7	1.62	0.74	0.84 to 2.40
(0-10)							
Pain VAS (Activity)	1	2.1	۷.				3
(transfer to 0-10)							
Pain VAS (at rest)	1	3.04					
(transfer to 0-10)				0			<u>.</u>
Disabilities of the	1	10.1					2
Arm, Shoulder and							, s
Hand (DASH) (0-							
100)							
Quick DASH	1	13.4					ç
Oxford shoulder	1	6.9					
score (OSS) (0-48)							
Non-surgical							

	I		I	I	1	ı	I	
intervention								
Absolute MIDs								
Constant score (0-	10	16	5.1	30	15.99	7.74	10.45 to 21.53	
100)								
Simple shoulder text	2	2.19	2.05	2.33	2.19	0.20	0.41 to 3.97	
(STT) (0-100)							ָר ס	j
Pain VAS (overall)	1	1.37	One				ected b	
(0-10)	C		estimatio				y copyr	
			n				ignt, in	
Disabilities of the	3	10.2	4.4	25.41	13.34	10.85	-13.62 to 40.29 for uses related to text and data mining, and similar technologies.  2.97 to 9.94  1 to 6  -0.49 to 5.31  -0.51 to 0.64;  3.11 to 11.25  -0.30 to 4.78;  0.27 to 1.55	:
Arm, Shoulder and		.0					ror use	•
Hand (DASH) (0-							s relate	. <u>[</u>
100)			۷.				0 10 18	o lice
Oxford shoulder	7	5	4	14.7	6.46	3.77	2.97 to 9.94	963010
score (OSS) (0-48)							ara min	•
PNRS (0-10)	5	3.43	1.1	6.25	3.50	2.01	1 to 6	
Neer score	3	1.99	1.51	3.73	2.41	1.17	-0.49 to 5.31	
EQ-5D-3L	2;	Raw: 0.07;	0.02;	0.11;	0.07;	0.06;	-0.51 to 0.64;	
	2;	VAS: 7.18	6.86	7.50	7.18	0.45	3.11 to 11.25	:
SF-12	2;	Physical: 2.24;	2.04;	2.44;	2.24;	0.28;	-0.30 to 4.78;	
	2	Mental: 0.91	0.86	0.96	0.91	0.07	0.27 to 1.55	•
Relative MIDs								
Constant score (0-	2	18.6%	15.2%	22.0%	18.6	4.81	-24.6 to 61.8	
100)					%			ı

Oxford shoulder	1	11.1%					
score (OSS) (0-48)							
Quick DASH	1	8%					
Surgical or non-							
surgical intervention							
Absolute MIDs							Prot
Constant score (0-	2	21.05	6.1	36.0	21.05	21.14	-169 to 211
100)	C						у соруг
Disabilities of the	2	9.55	6.7	12.4	9.55	4.03	Protected by copyright, including for uses related to text and data mining, Al training, and similar technologies.  76 10 45.7 66 66 -66 -66 -67 -7
Arm, Shoulder and							studing
Hand (DASH) (0-		, O					for use
100)							s relate
Quick DASH	1	13.4	۷.				d to tex
SF-12	1	Physical:1					t and d
	1	Mental: 4					ata min
Not report the				0			ing, Alt
intervention							raining
Absolute MIDs							and si
Disabilities of the	1	11.7					milar te
Arm, Shoulder and							chnolo <u>;</u>
Hand (DASH) (0-							gies.
100)							

Table S3: Summary of MIDs for improvement for interested PROs or instruments using transit anchor according to follow-up period

to follow-up period								Prot
Instrument/domain	Number of	Median	Minimum	Maximum	Mean	Standard	95% CI	ected b
(score range)	estimates	estimate	estimate	estimate		deviation		у сору
Less than 3 months								right, in
Absolute MIDs	•							cluding
Constant score (0-	1	11.60						for use
100)			4					s relate
Disabilities of the	1	25.1	7.					ed to tex
Arm, Shoulder and								ct and d
Hand (DASH) (0-			7					lata mir
100)				0				Protected by copyright, including for uses related to text and data mining, Al training, and
Oxford shoulder	4	4.45	4	7	4.98	1.37	2.79 to 7.16	training
score (OSS) (0-48)								S
PNRS (0-10)	4	3.99	1.10	6.25	3.83	2.16	0.40 to 7.26	imilar te
Neer score	3	1.99	1.51	3.73	2.41	1.17	-0.49 to 5.31	technologies.
Relative MIDs								gies.
Quick DASH	1	8%						
3 months or more								
Absolute MIDs								

Constant score (0-	18	13.9	0.3	36	14.20	9.47	9.49 to 18.91
	. •				5	• • • • • • • • • • • • • • • • • • • •	
100)							
Simple shoulder text	8	1.93	1.4	2.9	1.99	0.53	1.54 to 2.43
(STT) (0-100)							
Pain VAS (overall)	7	1.4	0.5	2.7	1.58	0.68	0.95 to 2.21
(0-10)							Prot
Pain VAS (Activity)	1	2.10					ected b
(transfer to 0-10)							у соруі
Pain VAS (at rest)	1	3.04					ight, in
(transfer to 0-10)							cluding
Disabilities of the	6	10.15	4.4	12.4	9.25	3.09	Protected by copyright, including for uses related to text and day 9 12.
Arm, Shoulder and			5				s relate
Hand (DASH) (0-			۷.				ed to te
100)							et and d
Oxford shoulder	4	6.25	5	14.7	8.05	4.50	0.88 to 15.22 min
score (OSS) (0-48)				0			ing, Al
PNRS (0-10)	1	2.17					training
Quick DASH	1	13.4					, and si
EQ-5D-3L	2;	Raw: 0.07;	0.02;	0.11;	0.07;	0.06;	-0.51 to 0.64;
	2	VAS: 7.18	6.86	7.50	7.18	0.45;	3.11 to 11.25 old
							0.88 to 15.22 mining, Al training, and similar technologies.  -0.51 to 0.64; and similar technologies.
SF-12 (0-100)	3	Physical: 2.04	1.00;	2.44;	1.83;	0.74;	-0.02 to 3.67;
	3	Mental: 0.96	0.86	4.00	1.94	1.78	-2.49 to 6.37
Relative MIDs							

Cons	stant	score (0-	2	18.6%	15.2%	22.0%	18.6%	4.81%	-24.6%	to
100)									61.8%	
Oxfo	rd	shoulder	1	11.1%						
score	e (OS	SS) (0-48)								

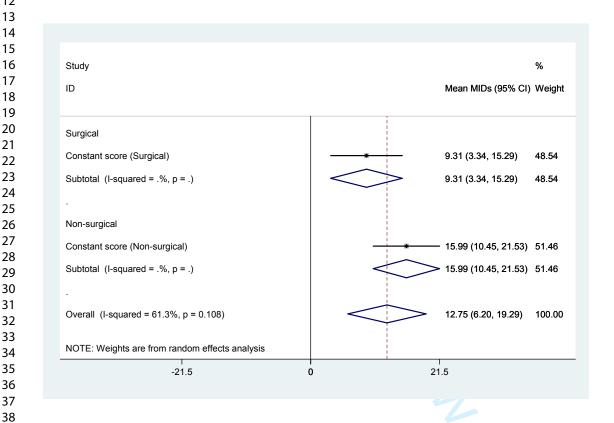


Figure S1: Subgroup analysis for Constant score by intervention type. MID, minimally important difference

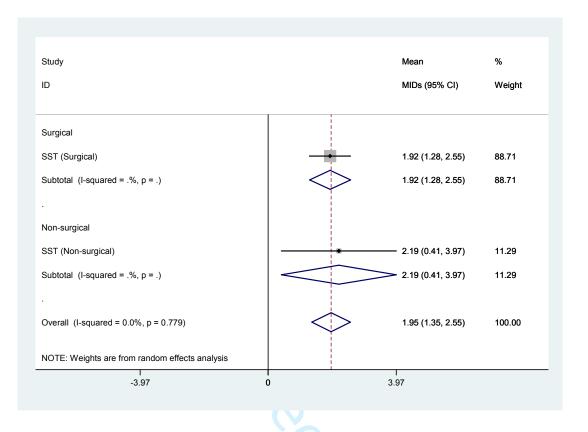


Figure S2: Subgroup analysis for SST by intervention type. MID, minimally important difference; SST: Simple

#### **Shoulder Test**

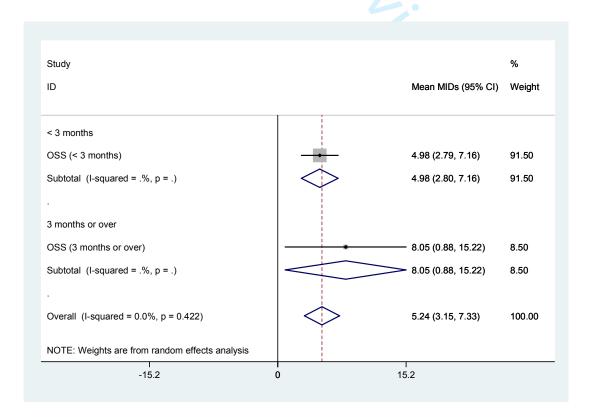


Figure S3: Subgroup analysis for OSS by follow-up time. MID, minimally important difference; OSS: Oxford

## **Shoulder Score**





# PRISMA 2009 Checklist

Page 53 of 53		BMJ Open Cred b	
PRISMA 2	2009 (	BMJ Open  Checklist  Checklist	
5 Section/topic	#	Checklist item includin	Reported on page #
7 TITLE		g for	
7 Title	1	Identify the report as a systematic review, meta-analysis, or both.	1
ABSTRACT	<u> </u>	s rel	
12 Structured summary 13 14	2	Provide a structured summary including, as applicable: background; objectives; data sources study eligibility criteria, participants, and interventions; study appraisal and synthesis methods; results; limitations and implications of key findings; systematic review registration number.	1
INTRODUCTION		oges an	
Rationale	3	Describe the rationale for the review in the context of what is already known.	2-3
18 Objectives 19 20	4	Provide an explicit statement of questions being addressed with reference to participants in erventions, comparisons, outcomes, and study design (PICOS).	3
METHODS		ng, t	
Protocol and registration	5	Indicate if a review protocol exists, if and where it can be accessed (e.g., Web address), and if available, provide registration information including registration number.	3
25 Eligibility criteria 26	6	Specify study characteristics (e.g., PICOS, length of follow-up) and report characteristics (e.g., years considered, language, publication status) used as criteria for eligibility, giving rationale.	4
21 Information sources	7	Describe all information sources (e.g., databases with dates of coverage, contact with study authors to identify additional studies) in the search and date last searched.	4
Search	8	Present full electronic search strategy for at least one database, including any limits used, such that it could be repeated.	Appendix 1
Study selection	9	State the process for selecting studies (i.e., screening, eligibility, included in systematic (i.e., screening, eligibility, eligibility, included in systematic (i.e., screening, eligibility, e	4
Data collection process	10	Describe method of data extraction from reports (e.g., piloted forms, independently, in duplicate) and any processes for obtaining and confirming data from investigators.	4-5
3 Data items 38	11	List and define all variables for which data were sought (e.g., PICOS, funding sources) and the simplifications made.	5-6
An Risk of bias in individual studies	12	Describe methods used for assessing risk of bias of individual studies (including specifications of whether this was done at the study or outcome level), and how this information is to be used in any data synthesis.	6
42 Summary measures	13	State the principal summary measures (e.g., risk ratio, difference in means).	6
43 Synthesis of results 45 46	14	Describe the methods of handling data and combining results of studies, if done, including measures of consistency (e.g., I²) for Eachemeta/analysis- http://bmjopen.bmj.com/site/about/guidelines.xhtml	6



44

45 46 47

# PRISMA 2009 Checklist

1 PRISMA 20	)09 °	BMJ Open  Checklist	Page 54 of 5
4		Page 1 of 2	
Section/topic	#	Checklist item 277 for or	Reported on page #
Risk of bias across studies	15	Specify any assessment of risk of bias that may affect the cumulative evidence (e.g., publication bias, selective reporting within studies).	6
1 Additional analyses 12	16	Describe methods of additional analyses (e.g., sensitivity or subgroup analyses, meta-reक्षेत्रहांon), if done, indicating which were pre-specified.	6
RESULTS		to to	
15 Study selection	17	Give numbers of studies screened, assessed for eligibility, and included in the review, with sassons for exclusions at each stage, ideally with a flow diagram.	5-6 and figure 1
17 18 Study characteristics	18	For each study, present characteristics for which data were extracted (e.g., study size, Fig. 2008), follow-up period) and provide the citations.	6
20 Risk of bias within studies	19	Present data on risk of bias of each study and, if available, any outcome level assessment (see item 12).	6
Results of individual studies	20	For all outcomes considered (benefits or harms), present, for each study: (a) simple sumenar data for each intervention group (b) effect estimates and confidence intervals, ideally with a forest plot	6
24 Synthesis of results	21	Present results of each meta-analysis done, including confidence intervals and measures of consistency.	6
Risk of bias across studies	22	Present results of any assessment of risk of bias across studies (see Item 15).	6
27 Additional analysis	23	Give results of additional analyses, if done (e.g., sensitivity or subgroup analyses, meta-	6
29 DISCUSSION		nilar i com	
30 Summary of evidence	24	Summarize the main findings including the strength of evidence for each main outcome; ongider their relevance to key groups (e.g., healthcare providers, users, and policy makers).	7
3 Limitations 34	25	Discuss limitations at study and outcome level (e.g., risk of bias), and at review-level (e.g., incomplete retrieval of identified research, reporting bias).	7
35 Conclusions 36	26	Provide a general interpretation of the results in the context of other evidence, and implication of the research.	7
37 FUNDING		Dep	
38 39 Funding 40	27	Describe sources of funding for the systematic review and other support (e.g., supply of data; role of funders for the systematic review.	8

42 From: Moher D, Liberati A, Tetzlaff J, Altman DG, The PRISMA Group (2009). Preferred Reporting Items for Systematic Reviews and Meta-Analyses: The PRISMA Statement. PLoS Med 6(7): e1000097.
43 doi:10.1371/journal.pmed1000097
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# Minimal important differences for improvement in shoulder condition patient-reported outcomes: a systematic review to inform a BMJ Rapid Recommendation

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# Title page

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Minimal important differences for improvement in shoulder condition patient-reported outcomes: a systematic review to inform a *BMJ* Rapid Recommendation

#### **Abstract**

Objectives: To identify credible anchor-based minimal important differences (MIDs) for patient-reported outcome measures (PROMs) relevant to a BMJ Rapid Recommendations addressing subacromial decompression surgery for shoulder pain.

Design: Systematic review

Outcome measures: Estimates of anchor-based MIDs, and their credibility, for PROMs judged by the parallel BMJ Rapid Recommendations panel as important for informing their recommendation (Pain, function and health-related quality of life (HRQoL)).

Data sources: MEDLINE, EMBASE, and PsycINFO up to August 2018

Study selection and review methods: We included original studies of any intervention for shoulder conditions reporting estimates of anchor-based MIDs for relevant PROMs. Two reviewers independently evaluated potentially eligible studies according to pre-defined selection criteria. Six reviewers, working in pairs, independently extracted data from eligible studies using a pre-designed, standardized, pilot-tested extraction form and independently assessed the credibility of included studies using an MID credibility tool.

Results: We identified 22 studies involving 5,562 patients that reported 74 empirically-estimated anchor-based MIDs for 10 candidate instruments to assess shoulder pain, function, and HRQoL. We identified MIDs of high credibility for pain and function outcomes and of low credibility for HRQoL We offered median estimates for the systematic review team who applied these MIDs in GRADE evidence summaries and in their interpretations of results in the linked systematic review addressing the effectiveness of surgery for shoulder pain.

Conclusions: Our review provides anchor-based MID estimates, as well as a rating of their credibility, for PROMs for patients with shoulder conditions. The MID estimates inform the interpretation for a linked systematic review and guideline addressing subacromial decompression surgery for shoulder pain, and could also prove useful for authors addressing other interventions for shoulder problems.

Registration: Registered in <a href="https://www.crd.york.ac.uk/prospero/">https://www.crd.york.ac.uk/prospero/</a> (No. CRD42018106531).

Manuscript has 3,145-word count for main text, 4 tables, 1 figure, and 58 references.

#### **Article summary**

#### Strengths and limitations of this study

Our review includes a comprehensive search for anchor-based MIDs for instruments commonly used in RCTs of shoulder conditions conducted without restrictions of study design or language of publication.

We undertook judgements of MID credibility using a formal instrument with demonstrated reliability and most studies provided highly credible estimates.

The range of reported MIDs was wide for some of the PROMs.

Although participants' disease/conditions, sample size, anchors and analytic methods varied among included studies, we cannot convincingly relate these characteristics to variability in estimates.

For some instruments used in RCTs of surgery for shoulder we did not find any study estimating MIDs in our target patient population.

#### **Background**

The shoulder is the body's most mobile joint, allowing movement in many directions. Shoulder conditions, including arthritis, adhesive capsulitis, rotator cuff conditions, dislocations, fractures, shoulder instability and shoulder separation are common problems that cause pain and disability <sup>1</sup>. Up to 26% of adults have recently experienced shoulder pain <sup>2</sup>. In the United States, the evaluation and management of one shoulder condition - rotator cuff tears - costs \$3 billion each year <sup>3 4</sup>.

The relationship between shoulder pain in an individual and the physical cause is often not clear: anatomical abnormalities are frequently not the cause of an individual patient's shoulder pain. Subacromial pain syndrome – also known as shoulder impingement syndrome or rotator cuff disease is a broad diagnosis that includes several specific conditions and is one of most common diagnoses for patients with shoulder or upper extremity pain or disability <sup>5</sup> <sup>6</sup>. Subacromial pain syndrome encompasses all non-traumatic shoulder conditions including partial tear of the rotator cuff, tendon cuff degeneration, bursitis, tendinosis, supraspinatus tendinopathy, or biceps tendinitis <sup>6</sup>. It is most often unilateral.

Investigating interventions to address shoulder conditions such as shoulder pain requires measurement of patients' pain and function, best undertaken using patient-reported outcome measures (PROMs). PROMs are reported directly by the patient and address aspects of the patient's

experience and perspective without interpretation by the clinician or caregiver <sup>7</sup>. Investigators of interventions for shoulder conditions often include PROMs addressing shoulder pain, function and health-related quality of life (HRQoL) as their primary outcomes <sup>1</sup> <sup>8-14</sup>. Interpreting PROMs can, however, be challenging. In particular, interpretation requires knowing if an apparent treatment effect is trivial in magnitude, small but important, moderate or large. Statistical significance provides no insight into this issue <sup>15</sup>.

To aid interpretation of PROM findings, researchers developed the concept of the minimal important difference (MID): the smallest change – either positive or negative - that patients perceive as important <sup>16</sup> <sup>17</sup>. The MID can help clinicians, patients, and clinical practice guideline developers interpret the magnitude of effects of interventions on PROMs <sup>15</sup> <sup>18</sup> <sup>19</sup>.

There are two common approaches for determining the MID: anchor-based and distribution-based methods <sup>20</sup>. Distribution-based methods rely solely on the statistical characteristics of PROMs (e.g., mean and standard deviation of PROM scores). These statistical characteristics do not reflect the patient's perspective, severely limiting the distribution-based approach in aiding interpretation of results <sup>18</sup> <sup>21</sup>.

Investigators using the anchor-based approach choose an independent interpretable measure as an external criterion or anchor and then examine the relation between the target PROM instrument and that anchor <sup>18</sup>. Although there is no "gold standard" anchor-based methodology, our group has used the existing literature and expert input to develop an instrument that measures the credibility of anchor-based MIDs. Among desirable criteria to establish a trustworthy MID is a requirement for at least a moderate correlation between change in the target PROM instrument and the change on the anchor <sup>20</sup> <sup>22</sup>.

Although systematic reviews addressing MIDs in shoulder PROMs are available <sup>23-27</sup>, they are dated and have not applied an assessment of credibility. Therefore, we set out to identify the most credible anchor-based MID estimates to inform a systematic review addressing the effectiveness of subacromial decompression surgery for shoulder pain. Our review informed an associated BMJ Rapid Recommendations and facilitated interpretation of critical outcomes of interest, including shoulder pain, function, and health-related quality of life (HRQoL). The BMJ Rapid Recommendations project is a collaboration between the MAGIC foundation (www.magicproject.org) and the BMJ, with the goal of providing timely, trustworthy practice guidelines 28.

A variety of study designs could inform MIDs for PROMs chosen by investigators for the RCTs. Therefore, in this systematic review, we (1) summarize MID estimate that come largely from observational studies for the PROMs chosen by the triallists in RCTs that investigated the effect of surgery on shoulder pain, and (2) assessed the credibility of these MID estimates.

#### Methods

#### Protocol

We conducted this systematic review based on a registered published PROSPERO protocol (No.

CRD42018106531).

#### Guideline panel and patient involvement

The *BMJ* Rapid Recommendations guideline panel provided critical oversight to this systematic review. The panel included academic and community-based practitioners (orthopedic surgeons, general internists, physiotherapists, a rheumatologist, a general practitioner and a geriatrician), methodologists, and patients with lived experience of shoulder pain. The panel members also provided input into the methodology of our review. Patients helped, in particular, to identify the outcomes of interest for which we identified MID estimates <sup>28</sup>. This study builds on methods used in a similar *BMJ* Rapid Recommendation on arthroscopic knee surgery <sup>29 30</sup>.

#### **Instruments under consideration**

The *BMJ* Rapid Recommendations panel, informed by the Outcome Measures in Rheumatology (OMERACT) shoulder core outcomes set <sup>31</sup>, nominated shoulder pain, function, and health-related quality of life (HRQoL) as critical patient-important outcomes of interest in the management of shoulder conditions. Following guidance from the panel, the systematic review team addressing the effectiveness of surgery for subacromial pain syndrome sought evidence for each of these outcomes in the eligible RCTs. We worked closely with that review team and addressed each of the PROMs corresponding to these constructs included as outcomes in the RCTs that proved eligible for the systematic review addressing the impact of shoulder surgery (the subacromial decompression surgery) (Table 1).

## Literature search and study identification

This project utilized a database that includes all articles reporting anchor-based MID from 1989 to April 2015 (the MID concept was first described in the medical literature in 1989<sup>16</sup>)<sup>32</sup>. We obtained full access to the database of these MIDs – the leaders (ACL, TD, GG) of that project are participants in the current review.

We conducted a comprehensive search for relevant studies addressing MIDs from February 2015 to August 2018 using the MEDLINE, EMBASE, and PsycINFO databases. For outcomes that did not fully meet the definition of patient-reported outcomes (such as Constant score <sup>33 34</sup>) or were not identified in the systematic review informing the database of MIDs, we conducted a comprehensive search for relevant studies from January 1989 to August 2018. We used the MID search strategy filter from the previous MID database development project including a shoulder filter for the relevant PROMs. We also hand searched references from related reviews. There were no language restrictions. Appendix 1 presents the full search strategy.

## **Study selection**

We included studies with any intervention, including expectant management. We included original reports of all studies that estimated MID(s) using anchor-based methods for any candidate PROM (Table 1). If, for a particular PROM, MID(s) were available for a shoulder condition, we restricted ourselves to those MIDs. If no study estimated MIDs in patients with shoulder conditions, we used the results from studies focusing on upper extremity musculoskeletal conditions. We did not consider studies that estimated MIDs in patients with lower extremity or other conditions. Because

RCTs evaluated the effects of an intervention on pain, function and HRQoL that would require MIDs for improvement, we did not include MIDs for deterioration.

Eligible studies used any design including retrospective and prospective observational studies or clinical trials that compared the results of a target PROM instrument to an anchor, regardless of the credibility of the design, conduct, or results of the study. Two reviewers independently performed title and abstract screening and, subsequently, full-text screening of studies included by either reviewer. At full-text screening, reviewers resolved the disagreement by discussion or, if needed, by consultation with a third reviewer.

#### **Data abstraction**

Six reviewers, working in three pairs, independently extracted the following data from eligible studies using a pre-designed, standardized, pilot-tested extraction table: first author name; publication year; country(ies); demographic characteristics of participants (e.g. sample size, age, sex, condition or disease); intervention; characteristics of the PROM (e.g., construct(s), domains(s), and range); anchor details (e.g., construct(s), threshold, range of options, categories or values); details in MID determination methods (e.g. number of participants used to estimate the MID, duration of follow-up from baseline, analysis methods and correlation between the anchor and PROM). Reviewers resolved disagreements by discussion.

#### Credibility assessment

The MID database project included the development of an instrument to assess the credibility of anchor-based MID estimates and tested its reliability (it proved reliable – manuscript in preparation, data available upon request). We defined the credibility of studies estimating the MIDs as the extent to which the methodology and performance of studies are likely to have protected against misleading estimates <sup>32</sup>. We used an abridged version of the MID credibility tool developed by our group to measure the credibility of MIDs. The tool needs assess many aspects of the MIDs (Table 2) and has proved reliable (manuscript in preparation). Six reviewers, working in three pairs, independently assessed the credibility of included studies. Reviewers resolved disagreements by discussion. We deemed that the MID estimate had high credibility if 3 or more of the 5 criteria were met (either 'Definitely yes' or 'To a great extent' for each item); otherwise, we deemed that the MID had low credibility. We regard the credibility as a dichotomous variable (high and low) and do not quantify the credibility.

#### **Synthesis of results**

We described the characteristics of eligible studies including MID estimates, demographic characteristics of participants, intervention, and characteristics of the instrument and anchor. We identified the median, minimum and maximum values across the range of high credibility trustworthy MID estimates generated from the eligible studies for the PROMs of interest. If all MIDs estimates were of low credibility, we presented these estimates.

For each MID with multiple estimates of the MID we considered variables that may influence the MID. These included: the intervention type (surgical or non-surgical); and, for transition anchors,

the period from first to second instrument administration (< 3 months versus 3 months or more). We tested the subgroup effect by examining the interaction between each variable and the MID (P-value of <0.05 was deemed statistically significant).

#### Results

 We found 6 eligible studies from the existing database of anchor-based MIDs and 1 study from the references in related reviews. We identified 2,643 records through our search of electronic databases, of which 534 were duplicates, leaving 2,109 records for the title and abstract screening. We excluded 1,962 records based on our title and abstract screening and assessed 147 full-text articles, of which 15 were eligible. Therefore, 22 studies were eligible for this review. Figure 1 summarizes the study identification process.

Table 3 presents the characteristics of the 22 eligible studies <sup>24</sup> <sup>35</sup>-55. Sample sizes ranged from 20 <sup>49</sup> to 1,856 <sup>46</sup>, with a total of 5,562 participants providing MID estimates for 2 relevant instruments assessing shoulder pain, 1 assessing function, 5 assessing shoulder symptoms and function and 2 assessing HRQoL (Table 3). The 22 studies reported 74 anchor-based MIDs estimates. Twenty-one of 22 studies employed a variety of transition ratings as the anchor to determine the MIDs, of which 5 had a follow-up period of less than 3 months <sup>38</sup> <sup>43</sup> <sup>44</sup> <sup>48</sup> <sup>49</sup>. One study used the Pen shoulder score (cut-off point: 8.6) as the anchor to determine the MIDs for pain measurement (PNRS) <sup>42</sup>. Of the 22 studies, 19 reported the absolute estimates for the MIDs and three - addressing the Constant score, quick DASH, and Oxford Shoulder Score (OSS) – relative estimates <sup>35</sup> <sup>39</sup> <sup>43</sup>. Patients underwent surgical interventions in four studies <sup>36</sup> <sup>40</sup> <sup>46</sup> <sup>47</sup>; four studies used both surgical and non-surgical interventions <sup>41</sup> <sup>51</sup> <sup>54</sup> <sup>55</sup>; 13 used non-surgical interventions <sup>24</sup> <sup>35</sup> <sup>37-39</sup> <sup>42-45</sup> <sup>48</sup> <sup>49</sup> <sup>52</sup> <sup>53</sup>; and one did not report the type of intervention <sup>50</sup>.

The analysis methods for estimating the MID included mean change in patients who had experienced a small but minimally important difference over time <sup>35-40 48 49 52 54 55</sup>; mean difference in groups perceived to have changed versus not changed <sup>24 40 46 47 53</sup>; and ROC curves <sup>35 38-45 50 51</sup>. Fourteen studies provided highly credible estimates and eight studies provided low credibility estimates <sup>37 39 42 43 47 48 54 55</sup>. Studies with high credibility reported MID estimates for Constant Score, Simple Shoulder Test (SST), Pain Visual Analog Scale (VAS), Disability of the Arm, Shoulder and Hand [DASH], Oxford Shoulder Score (OSS), and SF-12 (Table 1). Studies provided low credibility MID estimates for the Pain Numeric Rating Scale (PNRS), Quick DASH, Neer score, and EQ-5D-3L (Table 1). No studies estimate MIDs for the following instruments in shoulder or upper extremity conditions: PainDETECT Numerical Rating Scale (0-10), Shoulder Disability Questionnaire (SDQ), Project on Research and Intervention in Monotonous work (PRIM) score, Watson-Sonnabend score, 15D, Short Form 36 (SF-36), and Hospital Anxiety and Depression Score [HADS].

Table 4 presents median, maximum, and minimum estimates of MIDs according to credibility, with the best estimates suggested to the systematic review team shaded. For the MID estimates with high credibility, MIDs for the SST (1.5 to 2.1) and overall pain VAS (1.4 to 1.6) were consistent across the 2 available estimates. The MIDs for the Constant Score (3 to 16.6), DASH (4.4 to 25.4), and OSS (4.0 to 14.7) were, however, inconsistent among 6-10 estimates provided.

Available evidence permitted subgroup analyses exploring potential sources of heterogeneity only for surgical versus non-surgical interventions for the Constant Score and Simple Shoulder Test (SST) and follow-up time (less than 3 months or  $\geq$  3 months) for the Oxford Shoulder Score (OSS). In no case did these differences explain the variation in the MID. Appendix 2 provides details of the MID estimates and the results of subgroup analysis.

#### Discussion

We identified 22 studies involving 5,562 patients that reported 74 empirically-estimated anchorbased MIDs for 10 candidate instruments to assess shoulder pain, function, and HRQoL. The majority of studies used a global rating of change (transition rating) as the anchor and had a follow-up period of over 3 months. We identified MIDs of high credibility for pain and function outcomes and of low credibility for HRQoL. MIDs estimates often varied widely; we offered median estimates for the systematic review team and guideline panel. We also provided the systematic review team with the median, minimum and maximum values across the range of high credibility trustworthy MID estimates generated from the eligible studies for the PROMs of interest. The only instance in which the variability in scores was sufficiently great that choice of one of the extremes rather than the median could substantially influence conclusions was for the Constant score.

Authors of the linked review used these MIDs (Pain VAS 0-10 1.5 units, the Constant score 0-100 scale 8.3 units, and EQ 5-D, 0.07 units) to gauge the importance of possible difference patients in GRADE evidence summaries and to dichotomize the improvements (proportions of patients achieving MID or more); the *BMJ* Rapid Recommendations guideline panel used them to inform their judgements of magnitude of effect in formulating their recommendations. The systematic review informed the *BMJ* Rapid Recommendations panel in their development of the guideline.

Strengths of our review include a comprehensive search for anchor-based MIDs for instruments commonly used in RCTs of shoulder conditions conducted without restrictions of study design or language of publication. We undertook judgements of MID credibility using a formal instrument with demonstrated reliability. Most studies (n=14) provided highly credible estimates. These MIDs not only can help clinicians, patients, and clinical practice guideline developers interpret the magnitude of effects of interventions on PROMs, they also can be used in power calculations in future trials on shoulder conditions.

For the credibility assessment, we found that the anchor instrument directly addressed the patient's perspective, and judged the understanding the anchor instrument for patients as 'Definitely yes' or 'To a great extent', for all the MID estimates. Approximately half of the estimates did not report the correlation between the anchor and the PROM. We judged the precision of the MID estimation and the threshold or difference between groups on the anchor used to estimate the MID as "Definitely no" or "Not so much" for most MID estimates.

The results of our systematic review have limitations. The range of reported MIDs was wide for some of the PROMs (*e.g.*, 0.3 to 30 for Constant score; 4.4 to 25.41 for Disabilities of the Arm, Shoulder and Hand (DASH)). Baseline characteristics (participants' disease/conditions, sample size,

PROMs or instruments), anchors and analytic methods varied among included studies; though others have detected associations between methodological approaches and MIDs <sup>56</sup>, our attempts to establish a clear relation between these variables and the MID were not successful. For some instruments used in RCTs of surgery for shoulder pain - the Shoulder Disability Questionnaire (SDQ), Short Form 36 (SF-36), and 15D - we did not find any study estimating MIDs in our target patient population. For others, MIDs for shoulder conditions closely related to subacromial syndrome, or for shoulder conditions at all, were not available, and we therefore relied on estimates from any upper extremity problem population. With respect to the assessment of credibility, a formal assessment of the validity of the instrument has not been undertaken. Moreover, one might challenge our judgment in inferring high credibility if 3 or more criteria were met. Finally, investigators used different methods to relate the anchor to a transition rating; the optimal approach remains uncertain <sup>56 57</sup>.

Our results are consistent with previous studies <sup>23-25</sup>. A previous review of MIDs of upper extremity instruments that appeared in selected orthopedic journals from 2014 to 2016 found a wide range of MIDs for the Constant Score (8-36) and reported a pain VAS MID of 1.4 on 10-point scale <sup>26</sup>. Reviews of pain VAS MIDs in shoulder injuries found a range of 0.5 to 3.0 <sup>24 36 46 47</sup>. A review of pain ratings in a wide variety of conditions reported VAS MIDs of 0.1 to 8.2 and noted that absolute MIDs are higher in patients with more pain at baselines <sup>27</sup>. Only one study included in our review reported MID estimates separately according to the baseline severity <sup>48</sup> but these estimates had low credibility due to problems in the anchor selected and failure to report the correlation between the anchor and the instrument. Two other reviews of shoulder instrument MIDs, primarily from rotator cuff injuries reported MID values of 10.2 to 20 for DASH, and 4.0 to 13.4 for OSS <sup>23 25</sup>. Participants' disease/conditions, baseline scale score, and inappropriate analytic methods can cause serious bias in determining MIDs <sup>56 58</sup>; researchers should pay more attention to these factors during the MID estimation studies.

#### Conclusion

Our review provides anchor-based MID estimates, as well as a rating of their credibility, for PROMs for measurement instruments addressing patients with shoulder conditions. The review identified methodological limitations of the primary studies, future studies should strive for high precision of MID estimation, seek to identify difference between groups and reasons for those differences, and report correlations between the anchor and the PROM <sup>56 58</sup>.

The MID estimates inform the interpretation for a linked systematic review and guideline on arthroscopy for shoulder pain. Researchers addressing a wide variety of shoulder conditions can in future make use of our summary MIDs to inform sample size and aid in interpretation of results.

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#### **Contributors statement**

GG and RAS conceived the study idea; QH designed the search strategy; QH, YW, DZ and screened studies for eligibility; QH, TD, YW, DZ, RAS, and AQ extracted data and assessed the credibility; QH wrote the first draft of the manuscript; GG, TD, POV, TL, TA, ACL, and RAS interpreted the data analysis and critically revised the manuscript. QH is the guarantor.

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#### Competing interests' statement

All authors have completed the ICMJE uniform disclosure form and declare no support from any organization for the submitted work. There are no other relationships or activities that could appear to have influenced the submitted work.

Ethical approval statement: Not required.

**Data sharing statement:** No additional data available.

#### **Appendices**

Appendix 1: Search terms and strategies.

Appendix 2: Description of MIDs according to subgroups and the results of subgroup analysis.

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Figure 1: Flowchart for eligible studies identification according to PRISMA guidelines

Table 1: Patient-reported outcome measure instruments considered in this review

Instrument with full name	General score	Higher	Construct(s) measured
and abbreviation	range	scores are	
		better or	
		worse	
Pain Numeric Rating Scale	0-10/0-100	Worse	Pain
(PNRS)			
Pain Visual Analogue Scale	0-10/0-100	Worse	Pain
(VAS)			
PainDETECT Numerical	0-10/0-100	Worse	Pain

Rating Scale			
Disability of the Arm,	0-100	Worse	Symptom and function
Shoulder and Hand (DASH)			
Quick DASH	0-100	Worse	Symptom and function
Shoulder Disability	0-100	Worse	Pain-related function of the
Questionnaire (SDQ)			shoulder
Simple Shoulder Test (SST)	0-12	Better	Shoulder comfort and
			function
Oxford Shoulder Score (OSS)	0-48	Better	Shoulder function and pain
Project on Research and	0-36 for each	Worse	Pain or other complains
Intervention in Monotonous	region		
work (PRIM) score			
Neer score	0-100	Worse	Function
Constant (Murley) Score	0-100	Better	Shoulder function, pain, ADL
(CS/CMS)			function, the range of motion,
			strength
Watson-Sonnabend score	Pain: 0-10;	Pain: worse;	Satisfaction, pain and 0-3
	Function: 0-42	Function:	discrete for 14 function items
		better	
Short Form 36 (SF-36)	0-100	Better	Health-related quality of life
Short Form 12 (SF-12)	0-100	Better	Health-related quality of life
EuroQol 5 dimensions 3 level	-0.59-1	Better	Health-related quality of life
index (EQ-5D-3L)			
15D	0-1	Better	Health-related quality of life
Hospital Anxiety and	0-42	Worse	Anxiety and depression
Depression Score (HADS)		1	

Table 2 The criteria for credibility assessment

Item	Assessment aspects	Results
1	Whether the anchor instrument directly addressed the	$0 = N_0$
	patient's perspective.	1 = Yes
		2 = Impossible to tell
2	Whether patients could easily understand the anchor	0 = Definitely no
	instrument.	1 = Not so much
		2 = To a great extent
		3 = Definitely yes
		4 = Impossible to tell

3	The correlation between the anchor and the PRO.@	0 = Definitely no
		1 = Not so much
		2 = To a great extent
		3 = Definitely yes
		NR= Not reported
4	The precision of the MID estimation.	0 = Definitely no
		1 = Not so much
		2 = To a great extent
		3 = Definitely yes
		NR= Not reported
5	Whether the threshold or difference between groups on	0 = Definitely no
	the anchor used to estimate the MID represented a small	1 = Not so much
	but important change.	2 = To a great extent
		3 = Definitely yes
		NR= Not reported

<sup>@</sup> For anchors with categorical scales the Spearman rather the Pearson's correlation, is appropriate.

## **Table 3: Characteristics of eligible studies**

Author (Year)	Disease/conditions	Particip ants in baseline	Intervention	Instrument/scale	Anchor	Follow- up period
Simovitch 2018	Cuff tear arthropathy, a combination of osteoarthritis and rotator cuff insufficiency	1,865	Total shoulder arthroplasty	Constant score; SST; Pain VAS;	Global rating question	40.2 to 49.7 months
Negahban 2015	Shoulder disorders including impingement syndrome/tendonitis, frozen shoulder, shoulder instability	200	Physiotherapy	DASH (Persian version)	Global rating of shoulder function	1 month
Holmgren 2014	Subacromial impingement syndrome	93	Physiotherapy	Constant (Murley) shoulder assessment score	Patient's global impression of change	3 months
Rysstad 2017	Subacromial pain syndrome (SPS)	50	Physiotherapy	DASH (Norwegian version)	Patient's perceived recovery	3 to 4 months
van de Water 2014	Isolated proximal humeral fracture	20	Active rehabilitation	Constant Score; OSS; DASH	Patient perception of change	1.5 months
Christiansen 2015	8-12 weeks after arthroscopic decompression surgery for subacromial impingement syndrome	112	Physiotherapy	OSS; Modified Constant Score;	Patient Global Impression of Change (PGIC)	3 months  3 to months  1.5 months  3 months
Kukkonen 2013	Rotator cuff tears (both partial and full thickness)	781	Arthroscopy	Constant score;	The two-stage question of the patient satisfaction	3 months
Michener 2011	Shoulder pain with or without surgery	136	Rehabilitation	PNRS	Pen shoulder score	3 to weeks
Christie 2011	Rheumatic disease (inflammatory or degenerative disease) undergoing elective shoulder surgery	100	Arthroplasty or other surgery (not specified)	DASH; OSS; Pain VAS at activity; Pain VAS at rest; Constant score	Shoulder symptoms question "At one-year follow-up, the patients were also asked to rate their shoulder symptoms at present compared with baseline"	12 months
Ekeberg 2010	Rotator cuff disease	121	Local ultrasound- guided injections of triamcinolone and xylocain	OSS	Main complaint score (-9 (worst) to 9 (best))	2 to weeks
Mintken 2009	Shoulder pain	101	Physical therapy	PNRS; QuickDASH;	Global rating of change (GRC)	2 to weeks
Tubach 2006	Acute rotator cuff syndrome	252	NSAID therapy or placebo	PNRS; Neer score;	Response to NSAID treatment question	7 days
Mahabier 2017	Humeral shaft fracture	140	Operative and mjopen.bmj.com/sit	DASH;	Transition item:	1.5 to 12

			ымь орси			rage 2+ c
			nonoperative treatment of	Constant (Murley) score;	perception of change in the general condition of	months
			humeral shaft fracture		the affected upper limb	
Tashjian 2017	Osteoarthritis, rheumatoid arthritis, rotator cuff arthropathy, advanced rotator cuff disease	326	Total shoulder arthroplasty (primary anatomic or reverse)	SST Pain VAS	Improvement after treatment	3.5 years
Dritsaki 2017	Rheumatoid arthritis with pain and dysfunction of the hands and/or wrists	488	Tailored exercise program	EQ-5D-3L; EQ-5D-3L VAS; SF-12-physical; SF-12-mental	Participant self-rated improvement in their hands and wrist	4 to smonths
Tashjian 2009	Rotator cuff tendonitis, rotator cuff tear (partial or full-thickness)	81	Non-surgical management	Pain VAS	Four-item anchor instrument: response to treatment	3.6 months
Schmitt 2004	Musculoskeletal proximal upper extremity problem	211	Occupational or physical therapy	DASH	Global disability rating	3 months
van Kampen 2013	Shoulder problems	128	Operative or non- operative treatment	DASH; QuickDASH	Global rating scale for function	6 months
Lundquist 2014	Shoulder conditions (rotator cuff/impingement, adhesive capsulitis, humeroscapular instability, humeroscapular arthrosis, humeral fracture, other or unspecified should disorder)	81	NR	DASH (Danish version)	Global impression of change	2.3 months
Tashjian 2010	Rotator cuff tendonitis, rotator cuff tear (partial or full-thickness)	81	Nonsurgical management	SST	15-item function question; 4-item improvement question	3.6 months
Marks 2014	Trapeziometacarpal joint osteoarthritis	177	Conservative treatment or surgery (resection/suspensio n/interposition arthroplasty or arthrodesis)	SF-12-physical; SF-12-mental	Patient perceived change in thumb condition	12 months
Castricini 2014	Irreparable rotator cuff Tears	27	Shoulder arthroplasty surgery	Constant and Murley score	The three-stage question of the patient	27 months

SST: Simple shoulder test; VAS: Visual analogue scale; DASH: Disabilities of the Arm, Shoulder and Hand; OSS:

Oxford shoulder score; SF-12: Short Form Health Survey 12; EQ-5D-3L: Euro-Quality of life 5 dimensions 3 level

index; PNRS: Pain Numerical Rating Scale; NR: Not reported

Table 4: Summary of MIDs for improvement for interested instruments according to the credibility

Instrument/domain (score range)	Number	Median estimate	Minimum	Maximum
	of		estimate	estimate
	estimates			
High credibility	•	6		
Absolute MIDs		7.		
Constant score (0-100) <sup>1</sup>	10	8.3	3	16.6
SST (0-12)	2	1.8	1.5	2.1
Pain VAS (overall) (0-10)	2	1.5	1.4	1.6
Pain VAS (Activity) (transfer to 0-10)	1	2.1	_	
Pain VAS (at rest) (transfer to 0-10)	1	3.0		
DASH (0-100)	6	10.2	4.4	25.4
OSS: (0-48) <sup>2</sup>	8	5.3	4.0	14.7
SF-12 (0-100)	1	Physical:1		
	1	Mental: 4		
Relative MIDs (relative to baseline)				
Constant score (0-100)	1	15%		

OSS (0-48)	1	11%		
Low credibility				
Absolute MIDs				
Constant score (0-100)	9	19.0	0.3	36.0
SST (0-100)	6	2.1	1.4	2.9
Pain VAS (overall) (0-10)	5	1.4	0.5	2.7
DASH (0-100)	1	12.4		
PNRS (0-10)	5	3.4	1.1	6.3
Quick DASH (0-100)	1	13.4		
Neer score (0-100)	3	2.0	1.5	3.7
EQ-5D-3L (-0.59 to 1)	2;	Raw index: 0.07;	0.02;	0.11
	2	VAS: 7.18	6.86	7.50
SF-12 (0-100)	2;	Physical: 2.2	2.0;	2.4;
	2	Mental: 0.9	0.9	1.0
Relative MIDs (relative to baseline)		12		
Constant score (0-100)	1	22%		
Quick DASH (0-100)	1	8%		

<sup>1</sup> The range of the Constant score is 2-100 in van de Water 2014 49.

MID: minimal important difference; SST: Simple shoulder test; VAS: Visual analogue scale; DASH: Disabilities of the

Arm, Shoulder and Hand; OSS: Oxford shoulder score; SF-12: Short Form Health Survey 12; EQ-5D-3L: Euro-Quality

of life 5 dimensions 3 level index; PNRS: Pain Numerical Rating Scale; NR: Not reported

<sup>2</sup> The range of the OSS is 12-60 in Christie 2011 <sup>36</sup>.

Figure 1 Flowchart for eligible studies identification according to PRISMA guidelines

#	Searches	Results
	(clinical* important difference? or clinical* meaningful difference? or clinical*	
	meaningful improvement? or clinical* relevant mean difference? or clinical* significant	
	change? or clinical* significant difference? or clinical* important improvement? or	
1	clinical* meaningful change? or mcid or minim* clinical* important or minim* clinical*	10562
	detectable or minim* clinical* significant or minim* detectable difference? or minim*	
	important change? or minim* important difference? or smallest real difference? or	
	subjectively significant difference?).tw.	
2	"Quality of Life"/	165230
	"outcome assessment".mp. or outcome assessment/ or treatment outcome/ or	
	treatment failure/ [mp=title, abstract, original title, name of substance word, subject	
3	heading word, floating sub-heading word, keyword heading word, protocol	948610
	supplementary concept word, rare disease supplementary concept word, unique	
	identifier, synonyms]	
4	exp pain/	361898
5	exp disease attributes/ or exp "signs and symptoms"/	2823288
6	or/2-5	3651696
7	1 and 6	5289
	health status indicators/ or "severity of illness index"/ or sickness impact profile/ or	070074
8	interviews as topic/ or questionnaires/ or self report/	679871
9	Pain Measurement/	77822
10	patient satisfaction/ or patient preference/	80034
11	or/8-10	794501
12	7 and 11	2391
13	limit 12 to yr="1989 -Current"	2389
14	(quality of life or life 1atisfy??? or hrqol or hrql).mp.	290843
	(assessment? Outcome? or measure? Outcome? or outcome? Studies or outcome?	
	Study or outcome? Assessment? or outcome? management or outcome? measure* or	
15	outcome? research or patient? outcome? or research outcome? or studies outcome?	1196557
	or study outcome? or therap* outcome? or treatment outcome? or treatment	
	failure?).mp.	
16	pain????.mp.	693582
17	((activity or sever* or course) adj3 (disease or disabilit* or symptom*)).mp.	246717

subscale? or si (patient? rating evaluation? or  22 (patient? repor 23 anchor base?? 24 or/20-23 25 19 and 24 26 limit 25 to yr="/ 27 13 or 26 28 shoulder.mp. 29 shoulder impini 30 subacromial.m 31 painful arc syne 32 supraspinatus: 33 rotator cuff.mp. 34 upper limb.mp. 35 upper extremity 36 exp shoulder in 37 exp shoulder in 38 exp rotator Cuff 39 exp shoulder in 40 exp shoulder in 41 or impinings or 42 exp Upper Extr 43 or/28-42 44 limit 43 to yr="/ 45 Hawkins-Kenne 46 ("University of in		2160247
20 (questionnaire subscale? or subscale. Or		2160347
subscale? or sign (patient? rating evaluation? or 22 (patient? report 23 anchor base?? 24 or/20-23 25 19 and 24 26 limit 25 to yr="2" 27 13 or 26 28 shoulder.mp. 29 shoulder impine 30 subacromial.mm 31 painful arc synd 32 supraspinatus 33 rotator cuff.mp. 34 upper limb.mp. 35 upper extremity 36 exp shoulder limit 38 exp rotator Cuff 39 exp shoulder per 40 exp shoulder per 40 exp shoulder per 40 exp shoulder per 40 exp shoulder per 41 or impinge\$ or 42 exp Upper Extremity 43 or/28-42 44 limit 43 to yr="2" 45 Hawkins-Kenne 46 ("University of a sep shoulder") 45 Hawkins-Kenne 46 ("University of a sep shoulder") 47 exp Upper Extremity 48 exp Upper Extremity 49 e		6305
evaluation? or  22 (patient? repor  23 anchor base??  24 or/20-23  25 19 and 24  26 limit 25 to yr="/  27 13 or 26  28 shoulder.mp.  29 shoulder impine  30 subacromial.m  31 painful arc syne  32 supraspinatus:  33 rotator cuff.mp.  34 upper limb.mp.  35 upper extremity  36 exp shoulder lr  38 exp rotator Cuff  39 exp shoulder p  40 exp shoulder p  40 exp shoulder p  41 or impinge\$ or  42 exp Upper Extr  43 or/28-42  44 limit 43 to yr="/  45 Hawkins-Kenne  46 ("University of or  28 exp rotator Cuff  49 exp shoulder p  40 exp shoulder p  40 exp shoulder p  41 or impinge\$ or  42 exp Upper Extr  43 or/28-42  44 limit 43 to yr="/  45 Hawkins-Kenne  46 ("University of or  46 limit 25 to yr="/  47 exp shoulder p  48 exp rotator Cuff  49 exp shoulder p	re? or instrument? or interview? or inventor* or test??? or scale? or survey? or index?? or indices or form? or score? or measurement?).mp.	7579315
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25 19 and 24 26 limit 25 to yr="/ 27 13 or 26 28 shoulder.mp. 29 shoulder impine 30 subacromial.m 31 painful arc syno 32 supraspinatus 3 33 rotator cuff.mp. 34 upper limb.mp. 35 upper extremity 36 exp shoulder lr 38 exp rotator Cuff 39 exp shoulder p 40 exp shoulder p 40 exp shoulder p 41 or impinge\$ or 42 exp Upper Extr 43 or/28-42 44 limit 43 to yr="/ 45 Hawkins-Kenne 46 ("University of	??.mp.	513
26 limit 25 to yr="/ 27 13 or 26 28 shoulder.mp. 29 shoulder impine 30 subacromial.m 31 painful arc syne 32 supraspinatus 3 33 rotator cuff.mp. 34 upper limb.mp. 35 upper extremity 36 exp shoulder lr 38 exp rotator Cuff 39 exp shoulder p 40 exp shoulder p 40 exp shoulder p 41 or impinge\$ or 42 exp Upper Extr 43 or/28-42 44 limit 43 to yr="/ 45 Hawkins-Kenne 46 ("University of		7657299
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28 shoulder.mp. 29 shoulder impini 30 subacromial.m 31 painful arc synd 32 supraspinatus: 33 rotator cuff.mp. 34 upper limb.mp. 35 upper extremity 36 exp shoulder lr 38 exp rotator Cuff 39 exp shoulder p 40 exp shoulder p 40 exp shoulder p 41 or impinge\$ or 42 exp Upper Extr 43 or/28-42 44 limit 43 to yr="/45 Hawkins-Kenner 46 ("University of	="1989 -Current"	5456
29 shoulder impine 30 subacromial.m 31 painful arc syno 32 supraspinatus a 33 rotator cuff.mp 34 upper limb.mp 35 upper extremity 36 exp shoulder/ 37 exp shoulder Ir 38 exp rotator Cuff 39 exp shoulder p 40 exp shoulder p 40 exp shoulder p 41 or impinge\$ or 42 exp Upper Extr 43 or/28-42 44 limit 43 to yr="/ 45 Hawkins-Kenne 46 ("University of		5548
30 subacromial.m 31 painful arc syne 32 supraspinatus: 33 rotator cuff.mp. 34 upper limb.mp. 35 upper extremity 36 exp shoulder/ 37 exp shoulder Ir 38 exp rotator Cuff 39 exp shoulder p 40 exp shoulder p 40 exp shoulder p 41 or impinge\$ or 42 exp Upper Extr 43 or/28-42 44 limit 43 to yr="/" 45 Hawkins-Kenne 46 ("University of		68799
31 painful arc synd 32 supraspinatus 33 rotator cuff.mp. 34 upper limb.mp. 35 upper extremity 36 exp shoulder / 37 exp shoulder Ir 38 exp rotator Cuff 39 exp shoulder p 40 exp shoulder p 40 exp shoulder p 41 ((should\$ or rotation or impinge\$ or 42 exp Upper Extremation 43 or/28-42 44 limit 43 to yr="/45 Hawkins-Kenned 46 ("University of the state of the	ingement syndrome.mp.	1748
32 supraspinatus: 33 rotator cuff.mp. 34 upper limb.mp. 35 upper extremity 36 exp shoulder/ 37 exp shoulder Ir 38 exp rotator Cuff 39 exp shoulder p 40 exp shoulder p 41 ((should\$ or rotation or impinge\$ or exp Upper Extremity 43 or/28-42 44 limit 43 to yr="/45 Hawkins-Kenner 46 ("University of exp Upper Extremity 46 ("University of exp Upper Extremity 47 exp Upper Extremity 48 exp Upper Extremity 49 exp Upper Extremity 40 exp Upper Extremity 41 exp Upper Extremity 42 exp Upper Extremity 43 or/28-42	mp.	2417
33 rotator cuff.mp. 34 upper limb.mp. 35 upper extremity 36 exp shoulder/ 37 exp shoulder Ir 38 exp rotator Cuff 39 exp shoulder p 40 exp shoulder p 41 ((should\$ or roon or impinge\$ or 42 exp Upper Extremity 43 or/28-42 44 limit 43 to yr="/" 45 Hawkins-Kenner 46 ("University of the strength of the str	vndrome.mp.	10
34 upper limb.mp. 35 upper extremity 36 exp shoulder/ 37 exp shoulder Ir 38 exp rotator Cuf 39 exp shoulder p 40 exp shoulder p 41 ((should\$ or roor impinge\$ or 42 exp Upper Extr 43 or/28-42 44 limit 43 to yr="/45 Hawkins-Kenner 46 ("University of or impinge")	s syndrome.mp.	18
35 upper extremity 36 exp shoulder/ 37 exp shoulder Ir 38 exp rotator Cuf 39 exp shoulder p 40 exp shoulder p 41 ((should\$ or roor impinge\$ or 42 exp Upper Extr 43 or/28-42 44 limit 43 to yr="/ 45 Hawkins-Kenne 46 ("University of	np.	11181
36 exp shoulder/ 37 exp shoulder Ir 38 exp rotator Cuf 39 exp shoulder p 40 exp shoulder p 41 ((should\$ or roor impinge\$ or 42 exp Upper Extr 43 or/28-42 44 limit 43 to yr="/ 45 Hawkins-Kenner 46 ("University of	ıp.	16544
37 exp shoulder Ir 38 exp rotator Cuf 39 exp shoulder p 40 exp shoulder jc 41 ((should\$ or roor or impinge\$ or 42 exp Upper Extr 43 or/28-42 44 limit 43 to yr="/" 45 Hawkins-Kenne 46 ("University of	nity.mp.	24219
38 exp rotator Cuff 39 exp shoulder p 40 exp shoulder jc 41 ((should\$ or roor or impinge\$ or 42 exp Upper Extr 43 or/28-42 44 limit 43 to yr="/" 45 Hawkins-Kenner 46 ("University of	1	11799
39 exp shoulder p 40 exp shoulder jc 41 ((should\$ or ro or impinge\$ or 42 exp Upper Extr 43 or/28-42 44 limit 43 to yr="/" 45 Hawkins-Kenne 46 ("University of	Impingement Syndrome/	1610
40 exp shoulder jour ((should\$ or rown or impinge\$ or exp Upper Extrustrustrustrustrustrustrustrustrustrus	euff/	5622
((should\$ or ro or impinge\$ or 42 exp Upper Extr 43 or/28-42 44 limit 43 to yr="/45 Hawkins-Kenne 46 ("University of	pain/	4199
or impinge\$ or  22 exp Upper Extr  43 or/28-42  44 limit 43 to yr="/  45 Hawkins-Kenne  46 ("University of	joint/	17536
43 or/28-42 44 limit 43 to yr="/ 45 Hawkins-Kenne 46 ("University of	rotator cuff) adj5 (bursitis or adhesive capsulitis or periarthr iti\$ or frozen or tend?nitis or pain\$)).tw.	20086
44 limit 43 to yr="/ 45 Hawkins-Kenne 46 ("University of	xtremity/	154603
45 Hawkins-Kenno		235079
45 Hawkins-Kenno	="1989 -Current"	173628
		31
	of California Los Angeles shoulder rating scale" or UCLA).mp.	4559
47 ("American Sho	Shoulder and Elbow Surgeon questionnaire" or ASES).mp.	1296
48 (Upper Extrem	mity Functional Index or UEFI).mp.	39

Search Strategy:

	cn Strategy:	Danulta
#	Searches	Results
	(clinical* important difference? or clinical* meaningful difference? or clinical*	
	meaningful improvement? or clinical* relevant mean difference? or clinical* significant	
1	change? or clinical* significant difference? or clinical* important improvement? or	
	clinical* meaningful change? or mcid or minim* clinical* important or minim* clinical*	16030
	detectable or minim* clinical* significant or minim* detectable difference? or minim*	
	important change? or minim* important difference? or smallest real difference? or	
	subjectively significant difference?).tw.	
2	"Quality of Life"/	371636
	"outcome assessment(health care)".mp. or treatment outcome/ or treatment failure/	
	[mp=title, abstract, heading word, drug trade name, original title, device manufacturer,	000070
3	drug manufacturer, device trade name, keyword, floating subheading word, candidate	830270
	term word]	
4	exp pain/	1079551
5	exp disease course/ or exp "physical disease by body function "/	9191603
6	or/2-5	9628948
7	1 and 6	11859
	health status indicators/ or "severity of illness index"/ or sickness impact profile/ or	70000
8	interviews/ or questionnaire/ or self report/	786093
9	Pain Measurement/	5221
10	patient satisfaction/ or patient preference/	125926
11	or/8-10	888283
12	7 and 11	2911
13	limit 12 to yr="1989 -Current"	2904
14	(quality of life or life 1atisfy??? or hrqol or hrql).mp.	462977
	(assessment? Outcome? or measure? Outcome? or outcome? Studies or outcome?	
	Study or outcome? Assessment? or outcome? management or outcome? measure* or	
15	outcome? research or patient? outcome? or research outcome? or studies outcome?	1540053
	or study outcome? or therap* outcome? or treatment outcome? or treatment	
	failure?).mp.	
16	pain????.mp.	1106808
17	((activity or sever* or course) adj3 (disease or disabilit* or symptom*)).mp.	1088931
18	or/14-17	3576012

50	((American Shoulder and Elbow Surgeon questionnaire) or ASES).mp.	1600
51	(Penn Shoulder Score or PSS).mp.	10814
52	((Shoulder Pain and Disability Index) or SPADI).mp.	555
53	(Shoulder Disability Questionnaire or SDQ).mp.	1990
54	((Project on Research and Intervention in Monotonous work) or PRIM).mp.	521
55	(Neer score or NS).mp.	85103
56	(Constant Murley Score or CMS or constant score or CS).mp.	82387
57	(Watson-Sonnabend score or WSS).mp.	1851
58	(PainDETECT Numerical Rating Scale or PainDETECT).mp.	357
59	or/45-58	189411
60	limit 59 to yr="1989 -Current"	177302
61	(simple shoulder test or SST).mp.	5180
62	(Visual Analogue Scale or VAS).mp.	76248
63	(Short form or SF?36 or SF?12 or SF?8).mp.	47823
64	(EuroQol or EQ?5D).mp.	7284
65	15?D.mp.	2744
66	(Oxford shoulder score or OSS).mp.	2838
67	(RC-Quality Of Life or RC-QOL).mp.	14
68	(Western Ontario Rotator Cuff Index or WORC).mp.	186
69	((Disability of the Arm, Shoulder and Hand) or DASH).mp.	5405
70	(Medical outcomes study or MOS).mp.	17455
71	(Health Assessment Questionnaire or HAQ or HAQ?DI).mp.	11808
72	(Numerical Rating Scale or NRS).mp.	10819
73	(Numeric pain rating scales or NPRS).mp.	640
74	((Hospital Anxiety and Depression Score) or HADS).mp.	9146
75	or/61-74	178346
76	limit 75 to yr="2015 -Current"	51547
77	27 and 76	937
78	44 or 60	460897
79	27 and 78	763
80	77 or 79	1600

Database(s): PsycINFO 1987 to August Week 1 2018 Search Strategy:

#	Searches	Results						
	(clinical* important difference? or clinical* meaningful difference? or clinical*							
	meaningful improvement? or clinical* relevant mean difference? or clinical* significant							
	change? or clinical* significant difference? or clinical* important improvement? or							
1	change? of clinical significant difference? of clinical important improvement? of clinical* meaningful change? or mcid or minim* clinical* important or minim* clinical*	2062						
	detectable or minim* clinical* significant or minim* detectable difference? or minim*							
	important change? or minim* important difference? or smallest real difference? or							
	subjectively significant difference?).tw.							
2	("Quality of Life" or "outcome assessment" or "treatment outcome" or "treatment	157999						
	failure" or pain or "disease attributes" or "signs and symptoms").mp.							
3	1 and 2	720						
	("health status indicators" or "severity of illness index" or "sickness impact profile" or							
4	"interviews" or questionnaires or "self report" or "pain measurement" or "patient	298303						
	satisfaction" or "patient preference").mp.							
5	3 and 4	185						
6	limit 5 to yr="1989 -Current"	185						
7	(quality of life or life 1atisfy??? or hrqol or hrql).mp.	67910						
	(assessment? Outcome? or measure? Outcome? or outcome? Studies or outcome?							
	Study or outcome? Assessment? or outcome? management or outcome? measure* or							
8	outcome? research or patient? outcome? or research outcome? or studies outcome?	89594						
	or study outcome? or therap* outcome? or treatment outcome? or treatment							
	failure?).mp.							
9	pain????.mp.	93558						
10	(activity or sever* or course or (disease or disabilit* or symptom*)).mp.	1112369						
11	or/7-10	1224703						
12	1 and 11	1648						
	(questionnaire? or instrument? or interview? or inventor* or test??? or scale? or							
13	subscale? or survey? or index?? or indices or form? or score? or measurement?).mp.	1776162						
	(patient? rating? or subject* report? or subject* rating? or self report* or self							
	evaluation? or self appraisal? or self assess* or self rating? or self rated).mp. [mp=title,							
14	abstract, heading word, table of contents, key concepts, original title, tests &	148082						
	measures]							
15	(patient? report* or patient? observ* or patient? satisf*).mp.	14671						
	anchor base??.mp.							
16	anunui pase ( (.iiip.	108						

17	or/13-16	1799670
18	12 and 17	1504
19	limit 18 to yr="1989 -Current"	1502
20	6 or 19	1502
21	(Shoulder or subacromial or "painful arc syndrome" or "supraspinatus syndrome" or "rotator cuff" or "upper limb" or "upper extremity").mp.	6818
22	limit 21 to yr="1989 -Current"	6778
23	("University of California Los Angeles shoulder rating scale" or UCLA or "Hawkins-Kennedy" or "American Shoulder and Elbow Surgeon questionnaire" or ASES or "Upper Extremity Functional Index" or UEFI or "Upper Extremity Functional Scale" or UEFS or "American Shoulder and Elbow Surgeon questionnaire" or ASES or "Penn Shoulder Score" or PSS or "Shoulder Pain and Disability Index" or SPADI or "Shoulder Disability Questionnaire" or SDQ or "Project on Research and Intervention in Monotonous work" or PRIM or "Neer score" or NS or "Constant Murley Score" or CMS or "constant score" or CS or "Watson-Sonnabend score" or WSS or "PainDETECT Numerical Rating Scale" or PainDETECT).mp.	18283
24	limit 23 to yr="1989 -Current"	17923
25	("simple shoulder test" or SST or "Visual Analogue Scale" or VAS or "Short form" or SF?36 or SF?12 or SF?8 or EuroQol or EQ?5D or15?D or "Oxford shoulder score" or OSS or "RC-Quality Of Life" or RC-QOL or "Western Ontario Rotator Cuff Index" or WORC or "Disability of the Arm, Shoulder and Hand" or DASH or "Medical outcomes study" or MOS or "Health Assessment Questionnaire" or HAQ or HAQ?DI or "Numerical Rating Scale" or NRS or "Numeric pain rating scales" or NPRS or "Hospital Anxiety and Depression Score" or HADS).mp.	49736
26	limit 25 to yr="2015 -Current"	15110
27	20 and 26	93
28	22 or 24	24612
29	20 and 28	55
30	27 or 29	143

Table S1: Summary of all MIDs for improvement for interested PROs or instruments

Instrument/domain (score range)	Number of estimates	Median estimate	Minimum estimate	Maximum estimate	Mean	Standard deviation	95% CI
Absolute MIDs							
Constant score (0-100)	19	12.80	0.3	36	14.06	9.22	9.62 to 18.51
Simple shoulder text (SST) (0-100)	8	1.93	1.4	2.9	1.99	0.53	1.54 to 2.42
Pain VAS (overall) (0- 10)	7	1.40	0.5	2.70	1.58	0.68	0.95 to 2.21
Pain VAS (Activity) (transfer to 0-10)	1	2.1					
Pain VAS (at rest) (transfer to 0-10)	1	3.04					
Disabilities of the Arm, Shoulder and Hand (DASH) (0-100)	7	10.20	4.4	25.41	11.56	6.73	0.95 to 2.21  5.34 to 17.78  3.59 to 9.43  1.00 to 6.00
Oxford shoulder score (OSS) (0-48)	8	5.3	4	14.7	6.51	10.7	3.59 to 9.43
PNRS (0-10)	5	3.43	1.1	6.25	3.50	2.01	1.00 to 6.00
Quick DASH	1	13.4					
Neer score	3	1.99	1.51	3.73	2.41	1.17	-0.49 to 5.31
EQ-5D-3L	2; 2	Raw: 0.07; VAS: 7.18	0.02; 6.86	0.11; 7.50	0.07; 7.18	0.06; 0.45	-0.51 to 0.64; 3.11 to 11.25
SF-12 (0-100)	3; 3	Physical: 2.04 Mental: 0.96	1.0; 0.86	2.44; 4.00	1.83; 1.94	0.74; 1.78	-0.02 to 3.67; -2.49 to 6.37
Relative MIDs							
Constant score (0-100)	2	18.6%	15.2%	22%	18.6%	4.8%	-24.60 to 61.8
Oxford shoulder score (OSS) (0-48)	1	11.10%					
Quick DASH	1	8%					

Table S2: Summary of MIDs for improvement for interested PROs or instruments according to intervention administered during the study to measure the PRO or instrument

Instrument/domain	Number	Median	Minimum	Maximum	Mean		95% CI
(score range)	of	estimate	estimate	estimate		deviation	
!	estimates			<u> </u>		-	
Surgical			1	1			
intervention				<u> </u>	<del> </del>		
Absolute MIDs				<u> </u>	<del> </del>	-	
Constant score (0-	7	10.40	0.3	16.6	9.31	6.47	3.34 to 15.29
100)				<u>                                     </u>	1		
Simple shoulder text	6	1.65	1.4	2.9	1.92	0.61	1.28 to 2.55
(STT) (0-100)				<u> </u>	<u> </u>	-	
Pain VAS (overall) (0-	6	1.5	0.5	2.7	1.62	0.74	0.84 to 2.40
10)		*		<u> </u>	<u> </u>	-	0.84 to 2.40
Pain VAS (Activity)	1	2.1	,	1			
(transfer to 0-10)				<u> </u>			
Pain VAS (at rest)	1	3.04	,	1			
(transfer to 0-10)				<u> </u>		<u> </u>	
Disabilities of the	1	10.1	1	1			
Arm, Shoulder and			,	1			
Hand (DASH) (0-100)		· O_					
Quick DASH	1	13.4					
Oxford shoulder	1	6.9	<b>!</b>	Ţ			
score (OSS) (0-48)				<u> </u>			
Non-surgical							
intervention							
Absolute MIDs							
Constant score (0-	10	16	5.1	30	15.99	7.74	10.45 to 21.53
100)							
Simple shoulder text	2	2.19	2.05	2.33	2.19	0.20	-13.62 to 40.29  2.97 to 9.94  1 to 6  -0.49 to 5.31  -0.51 to 0.64; 3.11 to 11.25
(STT) (0-100)							
Pain VAS (overall) (0-	1	1.37	One		4		
10)			estimation				
Disabilities of the	3	10.2	4.4	25.41	13.34	10.85	-13.62 to 40.29
Arm, Shoulder and			,				
Hand (DASH) (0-100)				'			
Oxford shoulder	7	5	4	14.7	6.46	3.77	2.97 to 9.94
score (OSS) (0-48)				!			
PNRS (0-10)	5	3.43	1.1	6.25	3.50	2.01	1 to 6
Neer score	3	1.99	1.51	3.73	2.41	1.17	-0.49 to 5.31
EQ-5D-3L	2;	Raw: 0.07;	0.02;	0.11;	0.07;	0.06;	-0.51 to 0.64;
,	2;	VAS: 7.18	6.86	7.50	7.18	0.45	3.11 to 11.25
SF-12	2;	Physical: 2.24;	2.04;	2.44;	2.24;	0.28;	-0.30 to 4.78;
, 1	2	Mental: 0.91	0.86	0.96	0.91	0.07	0.27 to 1.55
Relative MIDs			+				
Constant score (0-	2	18.6%	15.2%	22.0%	18.6%	4.81	-24.6 to 61.8
100)			,				
Oxford shoulder	1	11.1%	+	<del>                                     </del>			+
Oxidia Siloulaci i	1 *	11.1/0		'			

score (OSS) (0-48)	1						
	1	8%					
Quick DASH Surgical or non-	1	O70					
Surgical or non- surgical intervention							
Absolute MIDs							
Constant score (0-	2	21.05	6.1	36.0	21.05	21.14	-169 to 211
100)							
Disabilities of the	2	9.55	6.7	12.4	9.55	4.03	-26.66 to 45.76
Arm, Shoulder and							
Hand (DASH) (0-100)							
Quick DASH	1	13.4					
SF-12	1	Physical:1					
	1	Mental: 4					
Not report the							į
intervention							
Absolute MIDs							
Disabilities of the	1	11.7					
Arm, Shoulder and							
Hand (DASH) (0-100)							

Table S3: Summary of MIDs for improvement for interested PROs or instruments using transit anchor according to follow-up period

Instrument/domain	Number of	Median	Minimum	Maximum	Mean	Standard	95% CI	
(score range)	estimates	estimate	estimate	estimate		deviation		
Less than 3 months								
Absolute MIDs								
Constant score (0-	1	11.60						
100)								
Disabilities of the	1	25.1						
Arm, Shoulder and								
Hand (DASH) (0-100)								_
Oxford shoulder	4	4.45	4	7	4.98	1.37	2.79 to 7.16	rote
score (OSS) (0-48)								Protected b
PNRS (0-10)	4	3.99	1.10	6.25	3.83	2.16	0.40 to 7.26	d b
Neer score	3	1.99	1.51	3.73	2.41	1.17	-0.49 to 5.31	00
Relative MIDs								pyr
Quick DASH	1	8%					-0.49 to 5.31	ght
3 months or more								inc
Absolute MIDs								bui
Constant score (0-	18	13.9	0.3	36	14.20	9.47	9.49 to 18.91	ō
100)								for a
Simple shoulder text	8	1.93	1.4	2.9	1.99	0.53	1.54 to 2.43	uses
(STT) (0-100)			4					s re
Pain VAS (overall) (0-	7	1.4	0.5	2.7	1.58	0.68	0.95 to 2.21	ateo
10)								related to text and
Pain VAS (Activity)	1	2.10						text
(transfer to 0-10)								and
Pain VAS (at rest)	1	3.04						ป data
(transfer to 0-10)								
Disabilities of the	6	10.15	4.4	12.4	9.25	3.09	6.01 to 12.49	inir
Arm, Shoulder and				$O_{\lambda}$				ij,
Hand (DASH) (0-100)								<u>'</u>
Oxford shoulder	4	6.25	5	14.7	8.05	4.50	0.88 to 15.22	aini
score (OSS) (0-48)								ng,
PNRS (0-10)	1	2.17						and
Quick DASH	1	13.4						sin
EQ-5D-3L	2;	Raw: 0.07;	0.02;	0.11;	0.07;	0.06;	6.01 to 12.49  0.88 to 15.22  -0.51 to 0.64;	ilar
	2	VAS: 7.18	6.86	7.50	7.18	0.45;	3.11 to 11.25	tec
							3.11 to 11.25	hno
SF-12 (0-100)	3	Physical: 2.04	1.00;	2.44;	1.83;	0.74;	-0.02 to 3.67;	<b>J</b> og
	3	Mental: 0.96	0.86	4.00	1.94	1.78	-2.49 to 6.37	
Relative MIDs								
Constant score (0-	2	18.6%	15.2%	22.0%	18.6%	4.81%	-24.6% to 61.8	3%
100)								
Oxford shoulder	1	11.1%						
score (OSS) (0-48)								

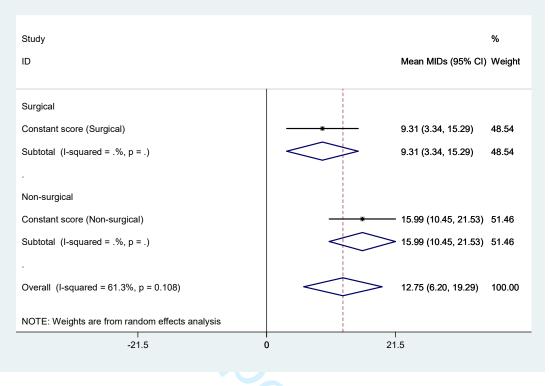


Figure S1: Subgroup analysis for Constant score by intervention type. MID, minimally important difference

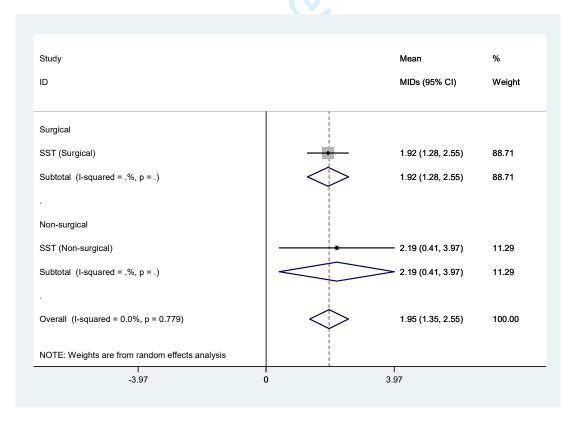


Figure S2: Subgroup analysis for SST by intervention type. MID, minimally important difference; SST: Simple Shoulder Test

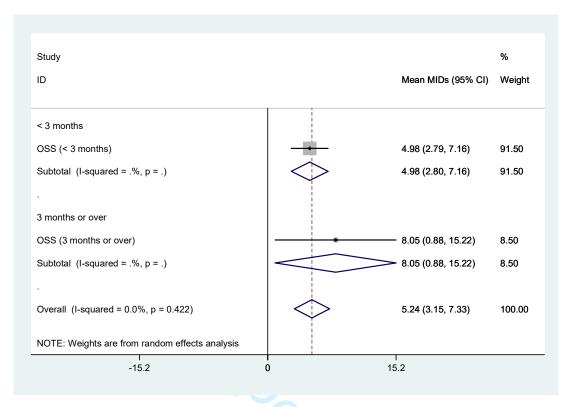


Figure S3: Subgroup analysis for OSS by follow-up time. MID, minimally important difference; OSS: Oxford Shoulder Score



## PRISMA 2009 Checklist

		BMJ Open	Paç
PRISMA 2	2009	Checklist  Checklist item	
Section/topic	#	Checklist item Checklist item	Reported on page #
TITLE		or 2	
Title	1	Identify the report as a systematic review, meta-analysis, or both.	1
ABSTRACT	<u> </u>	bru:	
Structured summary	2	Provide a structured summary including, as applicable: background; objectives; data distributions; study eligibility criteria, participants, and interventions; study appraisal and synthesis methods; results; limitables; conclusions and implications of key findings; systematic review registration number.	1
INTRODUCTION		ges an	
Rationale	3	Describe the rationale for the review in the context of what is already known.	2-3
Objectives	4	Provide an explicit statement of questions being addressed with reference to participants, interventions, comparisons, outcomes, and study design (PICOS).	3
METHODS		ng m	
Protocol and registration	5	Indicate if a review protocol exists, if and where it can be accessed (e.g., Web address) and, if available, provide registration information including registration number.	3
Eligibility criteria	6	Specify study characteristics (e.g., PICOS, length of follow-up) and report characteristics (e.g., years considered, language, publication status) used as criteria for eligibility, giving rationale.	4
Information sources	7	Describe all information sources (e.g., databases with dates of coverage, contact with study authors to identify additional studies) in the search and date last searched.	4
Search	8	Present full electronic search strategy for at least one database, including any limits used, such that it could be repeated.	Appendix 1
Study selection	9	State the process for selecting studies (i.e., screening, eligibility, included in systematic eview, and, if applicable, included in the meta-analysis).	4
Data collection process	10	Describe method of data extraction from reports (e.g., piloted forms, independently, n deplicate) and any processes for obtaining and confirming data from investigators.	4-5
Data items	11	List and define all variables for which data were sought (e.g., PICOS, funding sources) and any assumptions and simplifications made.	5-6
Risk of bias in individual studies	12	Describe methods used for assessing risk of bias of individual studies (including specification of whether this was done at the study or outcome level), and how this information is to be used in any data synthesis.	6
Summary measures	13	State the principal summary measures (e.g., risk ratio, difference in means).	6
Synthesis of results	14	Describe the methods of handling data and combining results of studies, if done, including measures of consistency (e.g., I <sup>2</sup> ) for each meta-analysis.  For peer review only—http://bmjopen.bmj.com/site/about/guidelines.xhtml	6



## **PRISMA 2009 Checklist**

f 42		BMJ Open	
PRISMA 2	009	BMJ Open  Checklist  Page 1 of 2	
		Page 1 of 2	
Section/topic	#	Checklist item 28777	Reported on page #
Risk of bias across studies	15	Specify any assessment of risk of bias that may affect the cumulative evidence (e.g., publication bias, selective reporting within studies).	6
Additional analyses	16	Describe methods of additional analyses (e.g., sensitivity or subgroup analyses, median expression), if done, indicating which were pre-specified.	6
RESULTS		nus 1 to 1	
Study selection	17	Give numbers of studies screened, assessed for eligibility, and included in the review of the reasons for exclusions at each stage, ideally with a flow diagram.	5-6 and figure 1
Study characteristics	18	For each study, present characteristics for which data were extracted (e.g., study size a COS, follow-up period) and provide the citations.	6
Risk of bias within studies	19	Present data on risk of bias of each study and, if available, any outcome level asses ment (see item 12).	6
Results of individual studies	20	For all outcomes considered (benefits or harms), present, for each study: (a) simple summary data for each intervention group (b) effect estimates and confidence intervals, ideally with a forest plot	6
Synthesis of results	21	Present results of each meta-analysis done, including confidence intervals and me	6
Risk of bias across studies	22	Present results of any assessment of risk of bias across studies (see Item 15).	6
Additional analysis	23	Give results of additional analyses, if done (e.g., sensitivity or subgroup analyses, neetagegression [see Item 16]).	6
DISCUSSION	<u>'</u>		
Summary of evidence	24	Summarize the main findings including the strength of evidence for each main outcome consider their relevance to key groups (e.g., healthcare providers, users, and policy makers).	7
Limitations	25	Discuss limitations at study and outcome level (e.g., risk of bias), and at review-level (e.g., incomplete retrieval of identified research, reporting bias).	7
Conclusions	26	Provide a general interpretation of the results in the context of other evidence, and implications for future research.	7
FUNDING	•	D	
Funding	27	Describe sources of funding for the systematic review and other support (e.g., supply of data); role of funders for the systematic review.	8

From: Moher D, Liberati A, Tetzlaff J, Altman DG, The PRISMA Group (2009). Preferred Reporting Items for Systematic Reviews and Meta-Analyses The PRISMA Statement. PLoS Med 6(7): e1000097. doi:10.1371/journal.pmed1000097

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