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# **BMJ Open** Safety, feasibility and efficacy of metformin and sitagliptin in patients with a TIA or minor ischaemic stroke and impaired glucose tolerance

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#### ABSTRACT

Introduction Impaired glucose tolerance (IGT) is highly prevalent after stroke and is associated with recurrent stroke and unfavourable outcome.

Objectives We aimed to assess the feasibility, safety and effects on glucose metabolism of metformin or sitagliptin in patients with transient ischaemic attack (TIA) or minor ischaemic stroke and IGT.

Design We performed a multicentre, randomised, controlled, open-label phase II trial with blinded outcome assessment.

Interventions Patients were randomised in a 2:1:1 ratio to 'no medication', sitagliptin or metformin.

Primary and secondary outcome measures Primary outcome measures were baseline adjusted differences of 2-hour postload glucose; secondary outcome measures fasting glucose, glycosylated haemoglobin 1c (HbA1c) levels, tolerability and safety of metformin and sitagliptin at 6 months. Patients on metformin or sitagliptin were contacted by telephone for recording of possible adverse events and to support continuation of treatment at 2 weeks, 6 weeks and 3 months after inclusion. These events were not analysed as outcome measures. **Results** Fifty-three patients were randomised to control group, 26 to metformin and 22 to sitagliptin. We found no significant differences in 2-hour postload glucose between patients on antidiabetic drugs and controls ((-0.04 mmol/L (95% CI -0.53 to 0.45)). Patients in the treatment arms had reduced fasting glucose: ((-0.21 mmol/L (95% Cl -0.36 to -0.06)) and HbA1c levels ((-1.16 mmol/mol (95% CI -1.84 to -0.49)). Thirteen patients (50%) on metformin and 7 (32%) on sitagliptin experienced side effects. Sixteen patients (61%) in the metformin and 13 (59%) in the sitagliptin group were still on treatment after 6 months.

Conclusions Metformin and sitagliptin were both effective in reducing fasting glucose and HbA1c levels in patients with recent TIA or minor ischaemic stroke and IGT. However, the reduction of glucose levels and sample size was relatively small. The clinical relevance, therefore, needs to be tempered. A phase III trial is needed to investigate whether medical treatment, compared with lifestyle intervention or a combination of both, not only improves glucose metabolism in IGT, but also leads to reduction of recurrent TIA or ischaemic stroke in these patients.

# Strengths and limitations of this study

- Our study was a randomised, open-label controlled trial with blinded outcome assessment.
- A relatively large proportion of patients discontinued medication despite support with frequent telephone calls.
- We did not study the effectiveness of the newer GLP1 analogues or SGLT2 inhibitors, which appeared to be more promising drugs to improve glucose metabolism as well as cardiovascular outcome.
- The oral glucose tolerance test was repeated after 2-12 weeks to exclude the acute phase effect.
- Multiple glucose tests were used to determine disturbed glucose tolerance.

Trial registration number NL3048.

# INTRODUCTION

Protected by copyright, including for uses related to text and data mining, Al Impaired glucose tolerance (IGT) refers to a metabolic state that precedes diabetes mellitus, and is highly prevalent in patients with transient ischaemic attack (TIA) or isch-g aemic stroke.<sup>1-4</sup> IGT can be transient, indicating an acute stress response or persistent, <u>0</u> reflecting undiagnosed impaired glucose metabolism.<sup>1</sup> In non-diabetic stroke patients with IGT in the acute phase after stroke and repeated glucose assessment after 3 months, 22%–47% had persistent IGT.<sup>15-7</sup> IGT is associated with recurrent stroke, other cardiovascular events, poor functional outcome and  $\overline{\mathbf{g}}$ mortality in stroke patients.<sup>8–11</sup> The mechanisms underlying this association are not fully understood, but may include endothelial dysfunction, chronic inflammation, hypercoagulability and impaired fibrinolysis.<sup>12-14</sup>

IGT is often part of the metabolic syndrome, and is associated with the individual components of this cluster of clinical abnormalities: central obesity, hypertension,

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Dr Elizabeth Osei: l.osei.neurologie@gmail.com hypertriglyceridaemia and low HDL. Therefore, IGT can be considered to represent a disturbed metabolism to a greater extend.<sup>15</sup>

Tight glycaemic control might reduce the risk of stroke in patients with diabetes or IGT. A recent randomised controlled trial showed that pioglitazone can prevent stroke and myocardial infarction among patients with insulin resistance after ischaemic stroke or TIA, but pioglitazone also gave a higher risk of weight gain, oedema and fractures.<sup>16</sup> A meta-analysis of glucose-lowering pharmacological interventions in patients with IGT found no favourable effects on all-cause mortality or death due to major cardiovascular events, with the possible exception of stroke.<sup>17</sup>

Metformin is recommended as first-line treatment in type 2 diabetes mellitus; it is one of the most effective oral drugs in the management of type 2 diabetes, and is cheap compared with the newer antidiabetic drugs. Results from our recent study suggest that metformin treatment is safe in patients with TIA or ischaemic stroke and IGT, and probably leads to improved glucose tolerance.<sup>18</sup> However, 50% of the patients experienced gastrointestinal side effects leading to permanent discontinuation in 25%. More gradual increase in dose of metformin and better information and support on the temporary nature of the side effects might diminish the high incidence of side effects and discontinuation of treatment.

At the start of our study, selective dipeptidyl peptidase-4 (DPP-4) inhibitors were considered to have fewer side effects than metformin. Sitagliptin, a selective DPP-4 inhibitor, improves glycaemic control and  $\beta$ -cell function and has a safety profile similar to placebo, with low risk of gastrointestinal side effects. Also, it is associated with weight loss and a lower risk of hypoglycaemic.<sup>19 20</sup> Currently, glucagon-like peptide 1 (GLP1) analogues or sodium-glucose co-transporter-2 (SGLT2) inhibitors are the promising newer antidiabetic medication, which have a glucose lowering effect and also can lower cardiovascular risk.<sup>21 22</sup> However, these medications were not readily available at the start of our study.

However, several trials showed that lifestyle modification is at least as effective as drug treatment,<sup>23 24</sup> but more difficult to sustain.

The aim of the study was to explore the feasibility, safety and effects on glucose metabolism of both metformin and sitagliptin in patients with TIA or minor ischaemic stroke and IGT. Considering our previous study,<sup>18</sup> we also assessed whether a more gradual increase in dose of metformin and better support and information on this treatment reduce the incidence of side effects in these patients.

#### MATERIALS AND METHODS Study design

The design of the metformin and sitagliptin in patients with impAired glucose tolerance and a recent TIA or minor ischaemic Stroke (MAAS) trial has been reported earlier.<sup>25</sup> In summary, the MAAS-trial is a phase II, prospective, randomised, open-label, blinded end point (PROBE) and multicentre trial of standard care plus metformin or sitagliptin, as compared with standard care without anti-diabetic treatment. The study was conducted in two stroke centres in the Netherlands from 2014 to 2019.

# **Study population**

Patients were eligible for inclusion if they were 18 years or older and had a clinical diagnosis of TIA (defined as a transient episode of neurological dysfunction caused by focal brain, spinal cord or retinal ischaemia, without acute infarction on CT scan or MRI scan), amaurosis fugax (transient monocular visual loss associated with thromboembolic gevents, which was also categorised as TIA) or minor ischaemic stroke (defined as brain or retinal cell death attributable to ischaemia, based on imaging or clinical objective evidence of cerebral or retinal focal ischaemic injury in a defined ັດ vascular distribution, and other etiologies excluded, with a modified Rankin scale (mRS) score of 3 or less)<sup>26</sup> within the  $\mathbf{a}$ previous 6 months. The mRS is a scale for measuring the **o** degree of disability or dependence in the daily activities of gatients. The scale runs from 0 (no symptoms) to 6 (death). mRS score of 3 describes patients with moderate disability, a who require some help, but can walk unassisted. The diagnosis TIA (symptoms <24 hours) or ischaemic stroke was made by a neurologist according to standard guidelines. All patients underwent a CT scan of the brain to confirm the diagnosis.

Eligible patients were required to have IGT, defined as 2-hour postload glucose levels between 7.8 and 11.0 mmol/L after standard oral glucose tolerance test (OGTT), which was performed on the day of the visit to the TIA outpatient clinic or on the first day after admission on the stroke unit.<sup>27 28</sup> The glucose levels were ⊳ measured by application of a drop of blood from a finger prick to a disposable test strip, which was then inserted into an electronic blood glucose meter. The fasting glucose levels were also assessed in the laboratory. We previously stated in the study protocol that the OGTT should be repeated after 2-6 weeks to rule out laboratory error and the acute phase effect. Due to logistic reasons, this time frame was broadened to 2-12 weeks. If the second OGTT confirmed the diagnosis of IGT, and all the selection criteria were fulfilled, the patients were asked for written informed consent by the investigators. Patients were excluded if they had a history of diabetes mellitus, defined as the use of oral or parenteral antidiabetic medication. Other exclusion criteria included a history of diabetic ketoacidosis, symptoms of type 1 diabetes, signs of renal impairment, known liver disease or disturbed liver function tests, history of lactic acidosis, heart failure, pancreatitis, chronic hypoxic lung disease stage III-IV, digoxin use, pregnancy or breast feeding (for detailed list of inclusion and exclusion criteria, see study protocol).<sup>25</sup>

# Patient and public involvement

Patients were not involved in the design, or conduct, or reporting, or dissemination plans of our research.

## **Study procedures**

Before randomisation and at 6 months, all patients underwent OGTT with 75g of glucose. At baseline, data on clinical features of TIA or ischaemic stroke, demographic data, medical history, vascular risk factors and medication use were obtained. Fasting glucose levels, glycosylated haemoglobin 1c (HbA1c) levels, body mass index (BMI), blood pressure and lipid profile were also assessed at baseline and at 6 months.

Patients were randomised to receive either open-label metformin or sitagliptin or 'no medication' in a 1:1:2 ratio for 6 months. The treatment assignment was concealed. Patients allocated to metformin started with 500 mg two times per day, which was gradually increased in a 6-week period to 1000 mg two times per day (week 1: 2 times 500 mg, week 3: 2 times 850 mg, week 7: 2 times 1000 mg). If intolerable side effects for the patients occurred after increasing the dose, the previous dose was resumed and a second challenge was performed in the next week. Patients allocated to sitagliptin were treated with a fixed daily dose of 100 mg.

Patients on metformin or sitagliptin were contacted by telephone for recording of possible adverse events and to support continuation of treatment at 2 weeks, 6 weeks and 3 months after inclusion. These events were not analysed as outcome measures. At the follow-up visit at 6 months, patients were asked to complete a single questionnaire to assess compliance and nature of any of the side effects of the study medication.

Irrespective of treatment allocation, patients received usual care from the neurologist, including antithrombotic and antihypertensive agents as well as cholesterol lowering drugs, where appropriate.<sup>29</sup> In addition, a stroke nurse specialist provided general lifestyle advice concerning diet, smoking and physical exercise.

#### Study outcomes

The primary efficacy outcome was the level of 2-hour postload plasma glucose. Safety and acceptability outcomes were the number of adverse events and serious adverse events, and the number of patients still on treatment after 6 months.

Secondary outcomes were the fasting plasma glucose levels, the BMI at 6 months, presence of normal glucose tolerance at 6 months. Moreover, we studied HbA1c levels, low-density lipoprotein (LDL) levels, systolic and diastolic blood pressure at 6 months. Also, the percentage of patients with normalised fasting glucose (defined as fasting plasma glucose levels <5.6 mmol/L) and normalised HbA1c levels (defined as HbA1c levels <39mmol/mol) at 6 months was assessed. Outcome assessment was blinded for treatment allocation.

## Statistical analyses

We estimated that a sample size of 100 patients, (25 on metformin, 25 on sitagliptin and 50 in the control group) would provide a power of 80%, to detect a statistically significant (alpha <0.05) effect, based on an assumed difference of 8% in 2-hour postload glucose level after 6 months between treatment and controls, assuming a mean glucose level of 9.0 mmol/L in the control group, with an SD of 1.0 mmol/L.

The primary effect analysis estimated the difference in **-**2-hour postload glucose levels at 6 months between the three groups, adjusted for baseline glucose level. Analyses were done by intention to treat and all patients who were ŝ randomised to a study arm were included in the prespecified analyses.

We estimated the baseline adjusted differences of 2 hour postload glucose levels, fasting glucose levels, HbA1c levels, BMI, LDL levels and systolic and diastolic blood pressure between treatment groups with 95% CIs with multivariable linear regression, but report unadjusted analyses as well. We previously stated in our protocol that adjustments were made with a multivariable linear regression model that included the following factors: age, sex, uses related time to treatment and baseline waist circumference. We later, but before closure of the database, decided to add baseline glucose levels to the adjustments and replace baseline waist circumference by baseline BMI.<sup>30</sup>

We compared the percentage of patients still on treatment after 6 months, the incidence of (serious) adverse events and percentage of patients with a normal glucose tolerance, normal fasting glucose and normal HbA1c levels at 6 months between treatment groups with  $\chi^2$  test of independence. A p<0.05 was considered to indicate statistical significance.

Furthermore, we expressed the association of the treatment groups with normalised glucose tolerance, fasting glucose and HbA1c levels with ORs and corresponding CI. These estimates were also made with multivariable logistic regression and adjusted for age, sex, time to treatment, BMI and baseline glucose levels.

In addition, subgroup analyses were performed in patients who used metformin 1000 mg two times per day, and patients with a combination of IGT, impaired fasting glucose and impaired HbA1c levels at randomisation. We also performed an 'on-treatment analysis'. The analysis technologies was performed using STATA V.12.1 statistical package (StataCorp).

# RESULTS

A total of 263 patients with IGT based on the first OGTT underwent a repeated OGTT. Of these patients, 162 (62%) had normalised IGT. The remaining 101 patients were included in our study. Fifty-three patients were allocated to the control group, 26 patients to metformin and 22 patients to sitagliptin.

The baseline characteristics of the enrolled patients are described in table 1.

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Age in years, mean (SD)

Vascular risk factors Current smoking, n (%) BMI in kg/m<sup>2</sup>, mean (SD) BMI 20-29, n (%) BMI 30-39, n (%) BMI 40-49, n (%) Hypertension, n (%)

Hypercholesterolaemia, n (%)

Systolic blood pressure in mm Hg, mean (SD) Diastolic blood pressure in mm Hg, mean (SD)

Ischaemic cardiovascular disease, n (%)

Atrial fibrillation,

Vascular history

Ischaemic stroke,

Cardioembolism,

Unknown, n (%) Medication use

Diuretics, n (%)

Small vessel occlusion, n (%) Other determined aetiology, n (%)

Platelet aggregate inhibitors, n (%)

Renin-angiotensin-aldosterone system inhibitors, n (%)

Glucose assessment during admission/visiting TIA clinic 2-hour postload glucose levels in mmol/L, mean (SD) Fasting glucose levels in mmol/L, mean (SD)

Total cholesterol levels in mmol/L, mean (SD)

Laboratory assessment during admission/visiting TIA outpatient clinic

Oral anticoagulant, n (%) Statin use, n (%) Beta-blockers, n (%)

Calcium antagonists, n (%)

LDL levels in mmol/L, mean (SD) HDL levels in mmol/L, mean (SD) Triglyceride levels in mmol/L, mean (SD)

HbA1c levels in mmol/L, mean (SD) Impaired fasting glucose ≥5.6 mmol/L, n (%) Impaired HbA1c levels ≥39 mmol/L, n (%)

**TOAST** classification Large artery atherosclerosis,

n (%)

Event

n (%)

n (%)

n (%)

Table 1

Men, n (%)

**Baseline characteristics** 

Days between event and second OGTT, median (p25-p75)

Control (n=53)	Metformin (n=26)	Sitagliptin (n=22)
68 (11)	70 (10)	66 (11)
30 (57)	15 (58)	9 (41)
53 (26–82)	46 (28–59)	56 (26–65)
9 (17)	4 (15)	4 (18)
28 (4)	27 (3)	28 (4)
38 (72)	20 (77)	18 (82)
14 (26)	6 (23)	4 (18)
1 (2)	0	0
41 (77)	18 (69)	17 (77)
47 (89)	22 (85)	20 (91)
7 (13)	3 (12)	3 (14)
149 (21)	139 (20)	142 (21)
82 (12)	76 (9)	79 (14)
11 (21)	11 (43)	4 (18)
25 (47)	16 (62)	14 (64)
10 (19)	2 (8)	5 (23)
10 (10)	2 (0)	0 (20)
5 (9)	4 (15)	3 (14)
25 (47)	15 (58)	7 (32)
1 (2)	0	1 (4)
12 (23)	5 (19)	6 (27)
46 (87)	22 (85)	17 (77)
7 (13)	4 (15)	5 (21)
47 (89)	24 (92)	20 (91)
16 (30)	7 (27)	5 (23)
19 (36)	12 (46)	9 (41)
16 (30)	9 (35)	8 (36)
13 (25)	5 (19)	4 (18)
4.8 (1)	4.6 (1.2)	5.1 (1.2)
2.9 (0.9)	2.9 (0.9)	3.1 (1.0)
1.4 (0.7)	1.6 (0.8)	1.3 (0.3)
1.4 (0.6)	1.1 (0.4)	1.5 (0.6)
9 (0.9)	8.9 (0.9)	8.9 (0.8)
5.6 (0.6)	5.5 (0.7)	5.6 (0.9)
38 (2.3)	38 (3.5)	37 (2.9)
25 (47)	12 (46)	10 (45)
17 (32)	11 (42)	10 (45)

BMI, body mass index; HbA1c, glycosylated haemoglobin 1 c; HDL, high-density lipoprotein; LDL, low-density lipoprotein; OGTT, oral glucose tolerance test; ; TIA, transient ischaemic attack; TOAST, Trial of Org 10 172 in Acute Stroke Treatment.

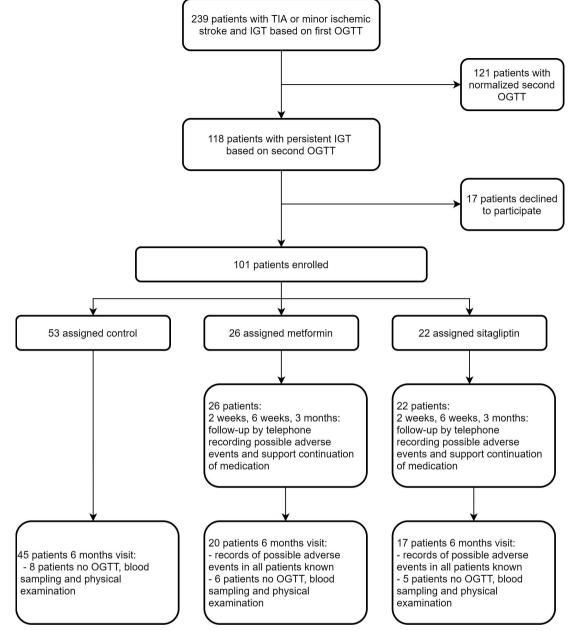


Figure 1 Flow chart of enrolment and follow-up. IGT, impaired glucose tolerance; OGTT, oral glucose tolerance test; TIA, transient ischaemic attack.

Of the total study population, 54 patients (53%) were men, mean age was 68 years (SD 11), 55 patients (54%) had ischaemic stroke, and 47 (46%) had small vessel disease according to the TOAST criteria. The median time between the ischaemic event and randomisation was 51 days (IQR 38). Forty-seven patients (47%) also had impaired fasting glucose levels and 38 patients (37%) impaired HbA1c levels. The treatments groups were well matched, except for diastolic blood pressure and triglycerides levels, which were both lower in the metformin group (table 1).

Of 18 patients (18%) the 6 months follow-up was not completed, and they did not undergo an OGTT, blood analysis, and physical examination; 8 (15%) in the control group, 5 (19%) in the metformin group and 5 (23%)

in the sitagliptin group (p=0.72) (figure 1). Underlying **Technologi** reasons were lack of motivation to continue the study and logistic problems to visit the hospital again. The patients of whom the 6-month-follow up visit was not completed, were older (71 vs 67 years), more often female (56% vs 45%), more often had ischaemic strokes instead of TIA (56% vs 43%), and ischaemic cardiovascular diseases in their medical history (33% vs 24%). These differences were not statistically significant (table 2).

At 6 months follow-up, patients with metformin had a mean 2-hour postload glucose level of 8 mmol/L, with sitagliptin 8.1 mmol/L and with no medication 8.1 mmol/L. The baseline adjusted difference in 2-hour postload glucose levels between treatment groups compared with control was not significant: -0.04 mmol/L (95% CI -0.53

	Visit at 6 months, n=83	No visit at 6 months, n=18	P value
ge in years, mean (SD)	67 (10)	71 (13)	0.138
len, n (%)	46 (55)	8 (44)	0.397
ays between event and OGTT, median (p25–p75)	49 (26–63)	59 (27–82)	0.313
ascular risk factors			
urrent smoking, n (%)	16 (19)	1 (6)	0.158
MI in kg/m², mean (SD)	28 (4)	27 (3)	0.195
ypertension, n (%)	63 (76)	13 (72)	0.743
ypercholesterolaemia, n (%)	73 (88)	16 (89)	0.911
trial fibrillation, n (%)	10 (12)	3 (17)	0.596
ystolic blood pressure in mm Hg, mean (SD)	144 (19)	147 (29)	0.619
iastolic blood pressure in mm Hg, mean (SD)	81 (11)	75 (16)	0.079
ascular history			
chaemic cardiovascular disease, n (%)	20 (24)	6 (33)	0.416
vent			
chaemic stroke, n (%)	36 (43)	10 (56)	0.347
OAST classification			0.584
arge artery atherosclerosis, n (%)	4 (22)	13 (16)	
ardio embolism, n (%)	3 (17)	9 (11)	
mall vessel occlusion, n (%)	7 (39)	40 (48)	
ther determined aetiology, n (%)	1 (6)	1 (1)	
nknown, n (%)	3 (17)	20 (24)	
aboratory assessment during admission/visiting TIA outpatient cli	inic		
otal cholesterol levels in mmol/L, mean (SD)	4.8 (1.1)	4.8 (1.2)	0.850
DL levels in mmol/L, mean (SD)	3 (0.9)	2.9 (0.9)	0.851
DL levels in mmol/L, mean (SD)	1.5 (0.7)	1.3 (0.4)	0.224
riglyceride levels in mmol/L, mean (SD)	1.3 (0.6)	1.4 (0.5)	0.82
lucose assessment during admission/visiting TIA clinic			
asting glucose levels in mmol/L, mean (SD)	5.6 (0.7)	5.4 (0.8)	0.209
hour post-load glucose levels in mmol/L, mean (SD)	8.9 (0.9)	8.9 (1)	0.793
bA1c levels in mmol/L, mean (SD)	38 (2.7)	37 (3.3)	0.134
npaired fasting glucose ≥5.6 mmol/L, n (%)	42 (51)	12 (67)	0.215
npaired HbA1c levels ≥39mmol/L, n (%)	45 (56)	10 (56)	0.903

to 0.45) (table 3, figure 2). The difference with controls was not significant, either for metformin (-0.13 mmol/L; 95% CI -1.14-0.87), or for sitagliptin (-0.03 mmol/L; 95% CI -0.55-0.5). At 6 months, 17 patients (39%) in the control group, 7 (33%) in the metformin group and 7 (41%) in the sitagliptin group (p 0.87) reverted to normal glucose tolerance (table 4).

Patients on metformin or sitagliptin had lower fasting glucose levels (-0.21 mmol/L; 95% CI -0.36 to -0.06) and HbA1c levels (-1.16 mmol/mol; 95% CI -1.84 to -0.49) than the control group (table 3, figure 2).

Also, both sitagliptin and metformin showed a trend to a higher rate of conversion to normalised fasting glucose and HbA1c levels at 6 months compared with no medication (table 4).

# Prespecified subgroup analyses

Patients who were on high dose metformin (1000 mg two times per day) did not have better outcomes regarding the

(metformin and sitagliptin combined compared with no medication)			
	Unadjusted	Adjusted	
Baseline adjusted differences in 2 hour post-load glucose in mmol/L (95% Cl)	-0.06 (-0.56 to 0.44)	-0.04 (-0.53 to 0.45)	
Baseline adjusted differences in fasting glucose levels in mmol/L (95% Cl)	-0.22 (-0.37 to -0.06)	-0.21 (-0.36 to -0.06)	
Baseline adjusted differences in HbA1c levels in mmol/mol (95% Cl)	-1.14 (-1.8 to -0.47)	-1.16 (-1.84 to -0.49)	
Baseline adjusted differences in LDL levels in mmol/L (95% Cl)	-0.12 (-0.28 to 0.04)	-0.11 (-0.27 to 0.06)	
Baseline adjusted differences in BMI in kg/m <sup>2</sup> (95% Cl)	0.1 (-0.37 to 0.57)	0.06 (-0.41 to 0.52)	
Baseline adjusted differences in systolic blood pressure levels in mm Hg (95% Cl)	–2.91 (–7.59 to 1.77)	-2.46 (-6.98 to 2.06)	
Baseline adjusted differences in diastolic blood pressure levels in mm Hg (95% CI)	-1.8 (-5.08 to 1.47)	-1.57 (-4.91 to 1.78)	

Adjusted for age, sex, time to treatment, BMI and baseline glucose levels.

BMI, body mass index; HbA1c, glycosylated haemoglobin 1 c; LDL, low-density lipoprotein.

baseline adjusted differences than control patients. The same applied for the majority of patients with a combination of IGT, impaired fasting glucose and impaired HbA1c levels at randomisation. In addition, the on-treatment analyses mostly did not significantly differ from the intention to treat analyses (table 5).

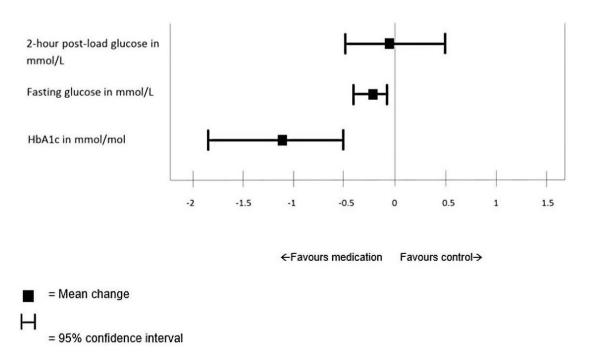
#### **Adverse events**

The follow-up at 2 and 6 weeks, 3 months, and 6 months by telephone for recording of possible adverse events were completed in all patients on sitagliptin or metformin.

Thirteen patients (50%) in the metformin group and 7 (32%) in the sitagliptin group experienced side effects. The most common side effects were gastrointestinal complaints (table 6). There were no serious adverse events. Five patients (19% of the metformin group) lowered the metformin dose because of side effects.

A total of 24 patients discontinued the medication, of these 6 (25%) experienced side effects. Of the 10 patients with medication who did not come for the 6 months visit, 3 (30%) experienced side effects.

Sixteen patients (61%) in the metformin group and 13 patients (59%) in the sitagliptin group (p=0.86) were still on treatment after 6 months.



Adjustments for age, sex, time event to randomization, baseline BMI, baseline glucose levels

**Figure 2** Mean change in glucose levels from baseline to 6 months with metformin or sitagliptin combined compared with no medication, n=87. BMI, body mass index; HbA1c, glycosylated haemoglobin 1 c.

Table 4 Percentage of patients with normalised 2-hour postload glucose, fasting glucose and HbA1c levels after 6 months compared with baseline	and HbA1c leve	ls after 6 month	is compared wi	ith baseline	0
	Control (n=53)	Metformin (n=26)	Sitagliptin (n=22)	P value	P value Adjusted OR (95% CI)
Normalised 2 hours postload glucose (<7.8mmol/L) at 6 months, n (%)	17 (39)	7 (33)	7 (41)	0.872	0.872 1.07 (0.59 to 1.95)
Normalised fasting glucose (<5.6 mmol/L) at 6 months, n (%)	22 (48)	16 (70)	16 (89)	<0.007	<0.007 3.01 (1.49 to 6.11)
Difference in patients with normalised fasting glucose at 6 months compared with baseline, (%)	-5	16	34		
Normalised HbA1c (<39mmol/mol), n (%)	21 (47)	11 (55)	11 (65)	0.432	0.432 1.83 (0.8 to 4.16)
Difference in patients with normalised HbA1c at 6 months compared with baseline, (%)	-13	7	10		
Adiusted for ade sex time to treatment BMI and baseline durose levels					

mass index; HbA1c, glycosylated haemoglobin Vpoq

# DISCUSSION **Principal findings**

We found that metformin and sitagliptin had no significant effect on 2-hour postload glucose levels in patients with minor ischaemic stroke or TIA and IGT, but both moderately reduced fasting glucose and HbA1c levels. Although metformin and sitagliptin were both safe, metformin more often caused side effects, but not a higher rate of treatment discontinuation than sitagliptin.

# Interpretation in relation to other studies

In contrast to our previous study,<sup>18</sup> we did not find an effect of treatment on 2-hour postload glucose levels. We 9 chose this outcome measure because 2-hour postload glucose levels detect more patients with impaired glucose 8 metabolism than fasting glucose or HbA1c.<sup>27</sup> However, an OGTT is not easy to reproduce accurately, due to interindividual and intraindividual variations, random variations of plasma glucose concentrations, and the effects of administration of hyperosmolar glucose concentration on gastric emptying.<sup>31</sup>

Interestingly, we did find a significant effect of metformin and sitagliptin on fasting glucose levels in a relative short period of 6 months and on HbA1c levels, which is a reflection of the average glucose levels of the past 2-3 months. In our previous study, we did not find a significant reduction in fasting glucose levels, maybe due to the shorter follow-up and smaller study population of đ our previous study. The HbA1c levels were not measured te in our previous study.

Both studies reported a non-significant effect on BMI, lipid levels and blood pressure, while other studies report a decrease in weight, cholesterol levels and blood pressure with treatment with metformin or sitagliptin.<sup>19 20 24 32-34</sup> This might be explained by our relatively short follow-up period and small sample size.

≥ We found a similar percentage of patients who experienced side effects, but a higher proportion of patients who discontinued metformin (40% in the current study vs ğ 22% in our previous study). The slow increase in dosage of metformin and better information and support in our study did not lead to a decrease in occurrence of side Ś effects, compared with our previous study.<sup>18</sup>

We compared our results to a study which assessed the efficacy and safety of sitagliptin compared with metformin in patients with type 2 diabetes.<sup>20</sup> In our study, we found a higher incidence of side effects (32% vs 6%) and higher rates of discontinuation of sitagliptin (41% vs 12%). This might  $\mathbf{g}$ be explained by the fact that patients with TIA or ischaemic 🖇 stroke are on average older, and the majority uses other drugs such as statins, antihypertensives and antithrombotic drugs, which may increase the risk of side effects.

Although statistically relevant, the reduction in glucose levels in our study was relatively small and the clinical relevance is not certain at this point. However, in our study, the study medication led to a higher rate of regression to normal glucose metabolism than no medication. Multiple studies have shown that regression to normal glucose tolerance is

Table 5  Subgroup analyses of primary and secondary outcome measures between treatment groups				
	Patients who used metformin 2000 mg daily (n=11)	Patients with impaired glucose tolerance, fasting glucose and HbA1c (n=34)	On-treatment analyses (n=82)	
Baseline adjusted differences in 2 hours postload glucose in mmol/L (95% CI)	–0.16 (1.47 to 1.14)	–0.29 (–1.19 to 0.63)	-0.26 (-0.8 to 0.29)	
Baseline adjusted differences in fasting glucose levels in mmol/L (95% Cl)	-0.44 (-0.87 to -0.02)	–0.03 (–0.35 to 0.28)	-0.22 (-0.4 to -0.04)	
Baseline adjusted differences in HbA1c levels in mmol/mol (95% Cl)	-2.49 (-4.46 to -0.52)	–0.93 (–2.38 to 0.51)	–1.1 (–1.87 to –0.33)	
Baseline adjusted differences in LDL levels in mmol/L (95% CI)	0.36 (–0.08 to 0.79)	–0.06 (–0.35 to 0.23)	-0.04 (-0.23 to 0.14)	
Baseline adjusted differences in BMI in kg/m <sup>2</sup> (95% CI)	-0.04 -1.04 to 0.96)	-0.3 (-1.12 to 0.52)	-0.13 (-0.57 to 0.31)	
Baseline adjusted differences in systolic blood pressure levels in mm Hg (95% CI)	-0.6 (-14.22 to 13.02)	–0.99 (–9.26 to 7.28)	–1.41 (–6.6 to 3.78)	
Baseline adjusted differences in diastolic blood pressure levels in mm Hg (95% Cl)	-4.29 (-15.15 to 6.56)	–1.16 (7.27 to 4.95)	-1.04 (-4.89 to 2.82)	

Adjusted for age, sex, time to treatment, BMI and baseline glucose levels.

BMI, body mass index; HbA1c, glycosylated haemoglobin 1 c; LDL, low-density lipoprotein.

associated with reduction in cardiovascular risk during the time of intensive glucose lowering treatment, and that it possibly reduces mortality on the long term.<sup>35 36</sup>

Table 6  Side effects after 6 months in both treatment    groups			
	Metformin, n=26	Sitagliptin, n=22	
Patients with side effects, n (%)	13 (50)	7 (32)	
Discontinuation of medication because of side effects, n (%)	4 (15)	2 (9)	
Type of side effects			
Nausea, n	8	2	
Diarrhoea, n	8	0	
Stomach ache, n	6	2	
Loss of appetite, n	4	1	
Dry mouth, n	1	2	
Influenza like symptoms, n	1	1	
Muscle pain, n	-	2	
Joint pain, n	-	1	
Dizziness, n	-	1	
Vomiting, n	1	-	
Headache, n	1	-	
Other, n	7	-	

# **Strengths and limitations**

Protected by copyright, including for uses related to text and Our study has limitations. First, our study had an open dal label design, and no placebo was used. However, outcome assessment was blinded for treatment allocation which decreased the risk of performance bias, and with this design, the study results are easier to relate to real life treatment strategies. We also did not study the effectiveness of the newer GLP1 analogues or SGLT2 inhibitors, which appeared to be more promising drugs to improve glucose metabolism as well as cardiovascular outcome.

Furthermore, a relatively large proportion of patients Dd discontinued medication (40%), despite support with frequent telephone calls. The majority of these patients did not report side effects as cause of the discontinuation. This finding is in concordance with results of other studies of preventive medication in this patient group.<sup>37</sup> hnolog The patient compliance might even be less than reported, which can explain the relatively small effect on glucose levels we found.

Also, we had a relatively large proportion of patients who did not attend the 6 months visit. This could have impaired the power of our results and the clinical relevance. However, in all patients the frequency and nature of side effects was known.

# **Clinical implications**

It is important to investigate the treatment options of IGT. IGT represents a disturbed metabolism, and interventions

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to improve this metabolism and reduce glucose levels might reduce the risk of cardiovascular disease in stroke patients with impaired glucose metabolism. Our study is one of the few which assessed whether glucose lowering therapy is effective in minor stroke patients with impaired glucose metabolism. A recent trial reported that pioglitazone can prevent cardiovascular disease in stroke patients with insulin resistance.<sup>16</sup> A meta-analysis on glucoselowering pharmacological interventions in patients with IGT found possible beneficial effects on the incidence of stroke.<sup>17</sup> Antidiabetic medication might not only have positive effects on glucose, but also on weight, cholesterol levels and blood pressure, and therefore, might be effective in the treatment of the other abnormalities of the metabolic syndrome.<sup>19 20 24 32–34</sup>

A recent study showed no long-term beneficial effect of intensive glucose lowering in patients with type 2 diabetes.<sup>36</sup> So, although it is not known yet whether this will actually result in less (recurrent) cardiovascular morbidity and mortality, the results of our study could be important in optimising the secondary prevention in stroke patients with IGT, who have a high risk of recurrent stroke and other cardiovascular diseases.<sup>89</sup>

A phase III study is needed to investigate whether glucose lowering therapy is effective in reducing the incidence of recurrent stroke or other cardiovascular diseases. We found a significant effect of metformin and sitagliptin on fasting glucose levels and HbA1c levels, but not on 2-hour postload glucose levels. Fasting glucose levels and HbA1c levels are easy tests to perform. However, without the OGTT, more than half of patients with impaired glucose metabolism would be missed for inclusion.

Although there were more adverse events in the metformin group, the rate of discontinuation was the same in both treatment groups. Metformin is regarded as one of the most effective oral drugs in the management of type 2 diabetes.<sup>27</sup> A recent randomised trial reported that sitagliptin did not have a significant effect on the incidence of cardiovascular diseases in patient with type 2 diabetes, thereby making sitagliptin a less suitable drug to investigate the long-term effects in pre-diabetes.<sup>34</sup> Pioglitazone has also been proven effective as glucose lowering therapy in stroke patients, but pioglitazone gives a higher risk of weight gain, oedema and fracture.<sup>16</sup>

In the DPP-trial, the incidence of diabetes during 10 years follow-up was lower in the lifestyle group compared with the metformin group. This could mean that lifestyle modification is more effective than antidiabetic medication.<sup>38</sup> The superior effect of lifestyle modification is possibly due to additional beneficial effects on the other components of the metabolic syndrome like disturbed cholesterol levels, high blood pressure and obesity. One can debate whether treatment should only focus on glucose lowering, or that treatment of all components of the metabolic syndrome are more effective.

Therefore, for a next phase III study, one might consider to compare the effect of lifestyle modification with metformin and/or one of the new promising drugs

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which actually reduce cardiovascular complications in patients with type 2 diabetes, like GLP1 analogues or SGLT2 inhibitors.<sup>21 22</sup>

In conclusion, metformin and sitagliptin are both effective and safe in reducing fasting glucose and HbA1c levels in patients with recent TIA or minor ischaemic stroke and IGT. However, the reduction of glucose levels and sample size was relatively small. The clinical relevance therefore needs to be tempered. The role of antidiabetic medication as treatment of IGT as a target for secondary preven-Protected by copyright, including for uses related to text and data mining, Al training, and similar technologies tion should be further explored.

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Data availability statement Data are available on reasonable request. Individual participant data that underlie the results reported in this article after deidentification (text, tables, figures and appendices) will be shared. The study protocol, statistical analysis plan and analytical code will be available. The data will be available immediately and ending 5 years following article publication. The shared data are accessible for investigators whose proposed use of the data has been approved by an independent review committee identified for this purpose. The type of analyses is to achieve aims in the proposed proposal. Proposals should be directed to AZ, a.zandbergen@erasmusmc.nl. To gain access, data requestors will need to sign a data access agreement. A link for the shared data will be sent to the data requestors.

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