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# Evidence review of hydroxyurea for the prevention of sickle cell complications in low-income countries

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## ABSTRACT

Hydroxyurea is widely used in high-income countries for the management of sickle cell disease (SCD) in children. In Kenyan clinical guidelines, hydroxyurea is only recommended for adults with SCD. Yet many deaths from SCD occur in early childhood, deaths that might be prevented by an effective, disease modifying intervention. The aim of this review was to summarise the available evidence on the efficacy, effectiveness and safety of hydroxyurea in the management of SCD in children below 5 years of age to support guideline development in Kenya. We undertook a systematic review and used the Grading of Recommendations Assessment, Development and Evaluation system to appraise the quality of identified evidence. Overall, available evidence from 1 systematic review (n=26 studies), 2 randomised controlled trials (n=354 children), 14 observational studies and 2 National Institute of Health reports suggest that hydroxyurea may be associated with improved fetal haemoglobin levels, reduced rates of hospitalisation, reduced episodes of acute chest syndrome and decreased frequency of pain events in children with SCD. However, it is associated with adverse events (eg, neutropenia) when high to maximum tolerated doses are used. Evidence is lacking on whether hydroxyurea improves survival if given to young children. Majority of the included studies were of low quality and mainly from high-income countries. Overall, available limited evidence suggests that hydroxyurea may improve morbidity and haematological outcomes in SCD in children aged below 5 years and appears safe in settings able to provide consistent haematological monitoring.

## INTRODUCTION

It is estimated that 7% of the world's population are carriers for haemoglobin disorders (sickle cell anaemia (SCA) and thalassaemia) and between 300 000 and 500 000 infants with the severe heterozygous forms of these diseases are born each year.<sup>1</sup> Sickle cell disease (SCD) is the most important potentially devastating, recessively inherited condition. The well-described protective effect of sickle cell trait on mortality from malaria, and consequentially from serious bacterial diseases,<sup>2</sup> has resulted in high prevalence of this gene disorder in many African countries. In SCD, deformation of red blood cells ('sickling') and vaso-occlusive phenomena are characteristic and result in pain, tissue injury and haemolysis.<sup>3</sup> Disease severity varies widely but overall mortality is substantially increased and life expectancy decreased when compared with the general population. Despite the availability of effective treatments for improving clinical outcomes of SCD, mortality is high in

## What is already known on this topic?

- Hydroxyurea represents the only widely used drug which modifies sickle cell disease (SCD) pathogenesis.
- In adults hydroxyurea has transformed the treatment of SCD and is associated with decreases in hospitalisations, acute chest syndrome episodes and painful crises and improved quality of life.

## What this study adds

- Evidence on potential benefits of use of hydroxyurea on children below 5 years with SCD is that it is associated with decreased pain crises and dactylitis.
- Contextual issues of a low-income country like Kenya that may influence recommendation for use of hydroxyurea in children with SCD.

children aged between 6 months and 3 years in Africa,<sup>4 5</sup> often before confirmatory diagnosis in such settings. Early detection and intervention may reduce this high burden of disease.

Treatment of patients with SCD is largely supportive with hydroxyurea (HU) representing the only widely used drug which modifies disease pathogenesis. It improves clinical outcomes by increasing fetal haemoglobin (HbF), which in turn reduces risks of 'sickling' events. In adults, it is associated with decreases in hospitalisations, acute chest syndrome episodes and painful crises, and improved quality of life.<sup>6</sup> It was approved by the US Food and Drug Administration for treatment of SCD in adults in 1998 and has also become widely used for management of children with SCD in high-income countries.<sup>7</sup>

In Kenya, current clinical guidelines recommend HU only for adults who present with more than three sickle cell crises in a year. For children only supportive care (analgesics, supplementary folic acid and malaria prophylaxis when travelling to malaria endemic zones, penicillin prophylaxis and blood transfusion whenever necessary) is necessary.<sup>8</sup> To help update clinical recommendations for care of children below 5 years of age with SCD in Kenya, we conducted a review of the available evidence on the effectiveness and safety of HU,

compared with standard supportive care, on SCD-related mortality and morbidity. As making practice recommendations requires consideration of the quality of research evidence and local context, we also highlight contextual issues likely to be of importance to Kenya and countries with similar health systems challenges.

## METHODS

### Search strategy

A standard search was performed in the Cochrane Library, MEDLINE (using the PubMed clinical query filters),<sup>9</sup> and one clinical trials registry (<http://clinicaltrials.gov>). Reference lists of identified relevant articles were scanned to identify additional studies for inclusion. The search terms used were: (child OR neonate OR newborn OR infant) AND (SCD OR SCA) AND (HU OR hydroxycarbamide). No language limits were applied. Having identified a well-conducted systematic review published in December 2008,<sup>7</sup> we restricted our search to studies published between January 2007 and March 2012 to supplement the findings of the existing review. Our purpose was not, however, to formally update this systematic review.

### Study selection criteria

Studies with more than 10 participants, randomised controlled trials (RCTs) and observational studies, in low-income and high-income countries were eligible for inclusion. Case reports, letters and commentaries were excluded. We also considered two National Institute of Health (NIH) reports on HU treatment for SCD.<sup>10–11</sup> Although our focus was on children aged below 5 years, we also considered relevant studies which enrolled children up to 18 years of age as there is a paucity of data on younger children. The outcomes specified as 'critical' included: mortality, rates of hospitalisation and severe neurological events (cerebrovascular accident). Outcomes considered important were: morbidity (ie, pain episodes) and toxicity (see table 1). We also extracted data on additional relevant outcomes reported in the identified studies including treatment effect on HbF level and organ function (see tables 2 and 3). Three reviewers independently screened titles, abstracts and full articles, and applied the predefined study selection criteria to identify eligible studies.

### Data extraction

Data were abstracted by a single reviewer and a co-investigator verified accuracy. Abstracted data included: study characteristics (eg, study design, settings), doses of HU, duration of HU therapy and outcome measures (see web only table 5).

### Data synthesis

There was heterogeneity due to differences in study designs (RCTs vs observational studies) and outcome measures, so statistical pooling of results was considered inappropriate. The results are therefore presented as a narrative summary.

### Quality assessment

Assessment of the quality of evidence was done using the Grading of Recommendations Assessment, Development and Evaluation tool.<sup>18</sup> This was done by two reviewers independently and disagreements resolved through discussion. The unique features of Grading of Recommendations Assessment, Development and Evaluation include: (1) explicit, comprehensive criteria for downgrading and upgrading quality of evidence ratings; (2) explicit evaluation of the importance of outcomes; and (3) clear separation of quality of evidence from the strength

**Table 1** Summary outcomes for children receiving hydroxyurea for sickle cell disease

Outcome	Effect
<b>Blood markers</b>	
Haemoglobin level	Not significantly different
Percentage of fetal haemoglobin	Increased (very low quality evidence)
<b>Clinical outcomes</b>	
Pain crises	Decreased (low quality evidence)
Hospitalisations	Decreased (low quality evidence)
Blood transfusion therapy	Insufficient data
Acute chest syndrome	Insufficient data
Secondary stroke	Decreased (very low quality evidence) No effect (low quality evidence)
<b>Prevention of end organ damage</b>	
Spleen	No significant difference (low quality evidence)
Kidney	No significant difference (low quality evidence)
Brain (transcranial Doppler velocity)	Decreased (very low quality evidence)
<b>Mortality</b>	
Mortality	Decreased effect
<b>Toxicity</b>	
Neutropenia	Mild to moderate (moderate quality evidence)
Leg ulcers	Insufficient data
Thrombocytopenia	Insufficient data
Anaemia	Insufficient data

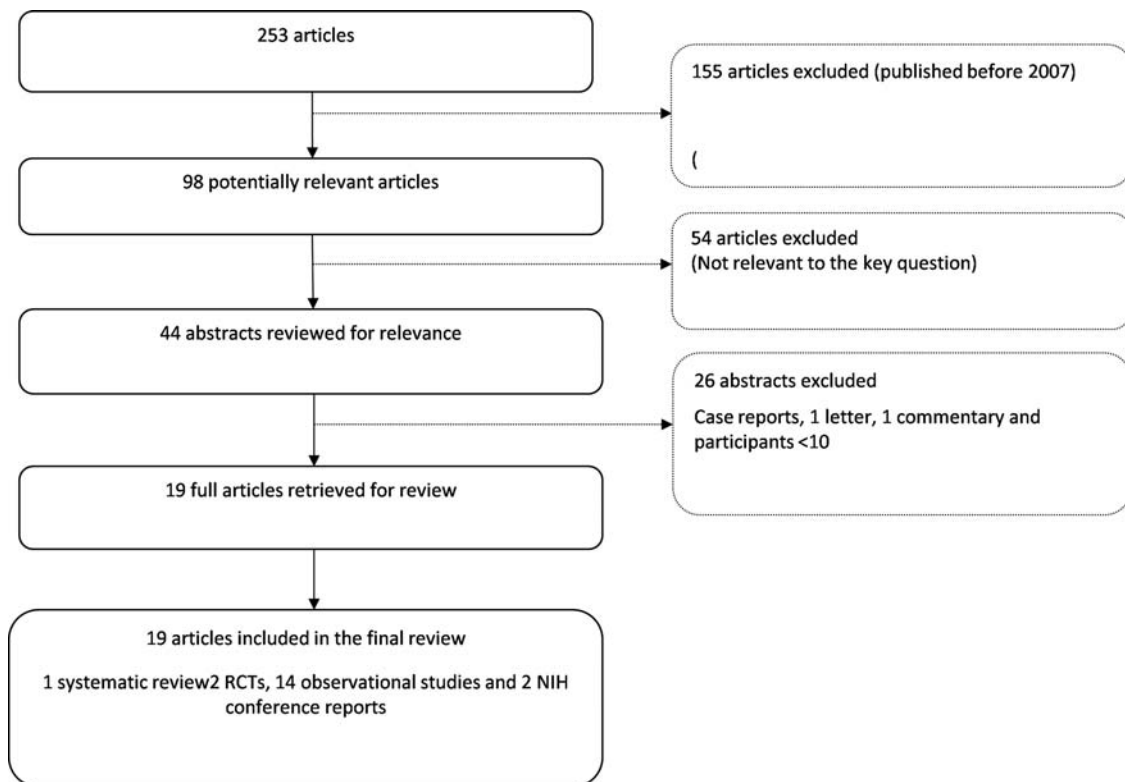
of care recommendations. The approach classifies the quality of evidence into four categories: high, moderate, low or very low. The quality of evidence is taken into account in the narrative synthesis of findings.

## RESULTS

Overall, we identified 98 studies from the searches and included 19 reports in this review (1 systematic review,<sup>7</sup> 2 multicentre RCTs<sup>19–20</sup> and 16 observational studies inclusive of two NIH reports<sup>10–16</sup> 21–28 (figure 1)). The majority of included studies were conducted in high-income countries (n=11); only two studies were conducted in low-income and middle-income countries. The doses of HU were varied (range: 10 mg/kg/day to 35 mg/kg/day). Control treatments were placebo (in one RCT) and standard treatment without HU.

### A. Systematic review

The 2008 systematic review<sup>7</sup> included 1 RCT (n=25) and 22 observational studies (that included 15–225 participants and 3 case reports). Children studied ranged from ages 2–22 years. The authors concluded that there was high quality evidence suggesting that use of HU resulted in: reductions in hospitalisation events (n=5 studies, 56% to 87% decline in yearly rate); and increased total haemoglobin (n=16 studies, 5% to 20%) and HbF (n=17 studies, 93% to 366% increase) in children with severe SCA. They also stated that there was moderate quality evidence suggesting that HU reduced painful crisis (n=5 studies). In addition, low quality evidence suggested that HU was associated with decreased transfusions (three studies) and neurological events (three studies), and improvement in splenic function (three studies). Common adverse events were of mild to moderate severity and included: mild to moderate neutropenia (500 to



**Figure 1** Flow diagram of the study selection criteria.

$<1500/\mu\text{L}$ )<sup>3</sup>, mild thrombocytopenia ( $<80\times 10^3/\mu\text{L}$ )<sup>3</sup>, severe anaemia (haemoglobin  $<50\text{ g/L}$ ), rash or nail changes and headache. Severe adverse events (eg, leukaemia or secondary malignancies) were rare and not clearly attributable to HU.

### B. Post systematic review RCTs and observational studies

One double-blind RCT<sup>19</sup> (N=193) was conducted in 13 centres in the USA. It enrolled children aged 9–18 months with HbSS (homozygous type of SCA) or Hb S/  $\beta$ -thalassaemia irrespective of clinical severity. HU was administered as a standard dose of 20 mg/kg without escalating to maximum tolerated dose. The primary end points were changes in spleen and renal function. There were no significant differences between the HU and the placebo groups in these end points: 19 of 70 (27%) patients had decreased spleen function at exit in the HU group versus 28 of 74 (38%) patients in the placebo group (mean difference –11%, 95% CI –26% to 5%,  $p=0.21$ ) and a difference in the mean increase in the diethylenetriaminepentaacetic acid glomerular filtration rate in the HU group versus the placebo group of 2 mL/min per 1.73 m<sup>2</sup>, 95% CI –16 to 20,  $p=0.84$ . The quality of evidence for the reported outcomes was rated as low (table 2).

Data relevant to the critical and important outcomes that are the subject of this review are drawn from the two multicentre RCTs and 14 observational studies, and are summarised below.

### Mortality

Two studies reported on this outcome; in the RCT there were no deaths in the 24 months of follow-up.<sup>19</sup> Nzouakou *et al*<sup>22</sup> (n=123) reported four deaths in a retrospective cohort study during follow-up, though the patients had stopped taking HU 1–5 years before their deaths. The deaths were attributed to toxic shock, severe vaso-occlusive crises, heart failure and non-specified cardiac failure.

### Hospitalisations

There were no significant differences in hospitalisation rates between comparison groups in the RCT (HR=0.73, 95% CI 0.53 to 1.00,  $p=0.05$ ).<sup>19</sup> However, in one retrospective longitudinal study (n=312) adherence to HU resulted in decreased risk of SCD-related hospitalisations (HR=0.65, 95% CI 0.43 to 0.97,  $p=0.035$ ),<sup>12</sup> in the 1st year following initiation of HU. Nzouakou *et al*<sup>22</sup> reported a mean decrease of 13.4 days of hospitalisation under HU in comparison with the period before HU initiation ( $p<0.0001$ ) observed in 64 patients. Similarly in one prospective single-centre study (n=47) HU was associated with a decrease in mean hospitalisation days from 29.3 days/year (95% CI 7 to 84) before HU to 3.2 days/year (95% CI 0 to 15) after HU,  $p<0.01$ .<sup>21</sup>

### Neurological events

HU has been reported to prevent secondary stroke but not primary stroke in children. Ali *et al*<sup>16</sup> (n=43), reported that only one child in the HU group had clinical stroke recurrence, incidence rate 2/100 person-years, compared with 20/33 in the non-HU group, incidence rate 29/100 person-years; HR 9.4, 95% CI 1.3 to 70.6,  $p=0.03$ . Four observational studies<sup>13 23–25</sup> reported data on other neurological end points: two<sup>13 25</sup> of them reported on transcranial Doppler (TCD) velocity (elevated velocities have been shown to be associated with increased risk of stroke)<sup>25</sup>. In one prospective pilot study (n=14),<sup>13</sup> the average TCD values decreased with average reduction of  $25.6\pm 27.6\text{ cm/s}$  (95% CI 8.1 to 43.1,  $p<0.01$ ) in the right middle cerebral artery (MCA) and  $26.8\pm 32.6\text{ cm/s}$  (95% CI 6.1 to 47.6,  $p<0.05$ ) in the left MCA following use of HU. In the second study,<sup>25</sup> HU resulted in a significant decrease in TCD velocity in the right MCA ( $166\pm 27\text{ cm/s}$  to  $135\pm 27\text{ cm/s}$ ,  $p<0.001$ ) and the left MCA ( $168\pm 26\text{ cm/s}$  to  $142\pm 27\text{ cm/s}$ ,  $p<0.001$ ). In one retrospective cohort study (n=52),<sup>24</sup> 96% of

**Table 2** Grade summary of findings for Wang *et al* 2011<sup>19</sup>

Outcomes	Illustrative comparative risks* (95% CI)		Relative effect (95% CI)	No. of participants (studies)	Quality of the evidence (GRADE)
	Assumed risk Placebo	Corresponding risk Hydroxyurea			
Spleen function	Moderate		Mean difference –11% (–26 to 5)	144 (1 study)	⊕⊕⊕⊖ low†‡§
Spleen scan uptake					
Renal function	The mean renal function in the control groups was	The mean renal function in the intervention groups was	Mean difference 2 mL/min per 1.73 m <sup>2</sup> (–16 to 20)	133 (1 study)	⊕⊕⊕⊖ low†§
Mean DTPA GFR mL/min per 1.73 m <sup>2</sup>	mL/min per 1.73 m <sup>2</sup>	2 higher (16 lower to 20 higher)			
Haematological data(HbF) Percentage	The mean haematological data at exit in the control groups was 17.1%	The mean haematological data at exit in the intervention groups was 22.4% (5.3% higher)	Mean difference 6.7 (4.8 to 8.7)	158 (1 study)	⊕⊕⊕⊖ low†§
Pain episodes (vaso-occlusive pain episodes)	Study population 773 per 1000	583 per 1000 (464 to 708)	HR 0.59 (0.42 to 0.83)	193 (1 study)	⊕⊕⊕⊖ low†
Number of events	Moderate				
Number of transfusions	Study population 340 per 1000	204 per 1000 (125 to 329)	HR 0.55 (0.32 to 0.96)	193 (1 study)	⊕⊕⊕⊖ low†
Number of events	Moderate				
Acute chest syndrome	Study population 186 per 1000	71 per 1000 (30 to 164)	HR 0.36 (0.15 to 0.87)	193 (1 study)	⊕⊕⊕⊖ low†§
Number of events	Moderate				
Dactylitis	Study population 433 per 1000	142 per 1000 (82 to 247)	HR 0.27 (0.15 to 0.5)	193 (1 study)	⊕⊕⊕⊖ low†§
Number of events	Moderate				
Rate of hospitalisation	Study population 866 per 1000	769 per 1000 (655 to 866)	HR 0.73 (0.53 to 1)	193 (1 study)	⊕⊕⊕⊖ low†§
Number of events	Moderate				
Moderate neutropenia	Study population 186 per 1000	460 per 1000 (295 to 649)	HR 3.0 (1.7 to 5.1)	193 (1 study)	⊕⊕⊕⊖ low†§
Absolute neutrophil count	Moderate				
Follow-up: 24 months	High				

\*The basis for the assumed risk (eg, the median control group risk across studies) is provided in footnotes. The corresponding risk (and its 95% CI) is based on the assumed risk in the comparison group and the relative effect of the intervention (and its 95% CI).

†Trial downgraded due to indirectness (trial done in USA).

‡Wide CIs.

§Small sample size/small number of events (decreased spleen function events, 19/70 in the HU group and 28/74 in the placebo group).

GRADE Working Group grades of evidence; High quality: Further research is very unlikely to change our confidence in the estimate of effect. Moderate quality: Further research is likely to have an important impact on our confidence in the estimate of effect and may change the estimate. Low quality: Further research is very likely to have an important impact on our confidence in the estimate of effect and is likely to change the estimate. Very low quality: We are very uncertain about the estimate.

ANC, absolute neutrophil count; DTPA, diethylenetriaminepentaacetic acid; GFR, glomerular filtration rate; GRADE, Grading of Recommendations Assessment, Development and Evaluation; HbF, fetal haemoglobin; HU, hydroxyurea; RR, rate ratio.

the patients had stable MRI findings after HU while 28% of the study participants had MRI-identified silent brain ischaemia prior to use of HU. An additional non-inferiority randomised multicentre trial (n=161)<sup>20</sup> compared standard treatment (transfusions/chelation, n=66) with alternative treatment (HU/phlebotomy, n=67) in children with SCA. The findings showed no benefit of HU on prevention of secondary stroke: there was no stroke on the transfusion/chelation arm but 7/67 (10%) on the HU/phlebotomy arm (which was still within non-inferiority stroke margin), and no difference in the liver iron concentration in comparison with the baseline in the two arms.

### Pain episodes

HU was associated with decreased frequency of pain events in four studies (one RCT and three observational studies). In the RCT,<sup>19</sup> HU significantly reduced pain episodes over 24 months of follow-up (177 events in 62 patients in the HU group vs 375 events in 75 patients in the control group,  $p<0.002$ ) compared with placebo. Similarly, HU was associated with significant

reductions in pain episodes in the two retrospective studies and one prospective study: Stallworth *et al*<sup>26</sup> (n=523), (Rate ratio, RR=0.79, 95% CI 0.71 to 0.89,  $p<0.0001$ ); Candrilli *et al*<sup>12</sup> (n=312), (HR=0.66, 95% CI 0.47 to 0.92,  $p=0.0130$ ) and Mellouli *et al*<sup>21</sup> (n=47), (21 out of 38 patients treated with HU for recurrent crises (>three crises/year) had no further crises).

### Fetal Haemoglobin (HbF)

Three studies (one RCT and two observational studies, n=213) reported statistically significant increases in HbF.<sup>13 14 19</sup> In the RCT,<sup>19</sup> HU was associated with a higher mean exit concentration of HbF (by 5.3%) compared with that in the placebo group ( $p<0.0001$ ).

### Toxicity

Three studies<sup>19 21 22</sup> reported on this outcome. The multicentre RCT reported that HU was associated with a significantly higher frequency of episodes of mild to moderate neutropenia that resulted in temporary treatment cessation (107 events in 45



**Table 3** Grade summary of findings tables for the observational studies<sup>12–17</sup>

Outcomes	Illustrative comparative risks* (95% CI)		Relative effect (95% CI)	No. of participants (studies)	Quality of the evidence (GRADE)
	Assumed risk	Corresponding risk Hydroxyurea			
Haematological data (HbF) Percentage	Not estimable	Not estimable	Significant increase in HbF after HU use In one study the change was 11.2 (7.1 to 15.4). <sup>13</sup> In another HbF changed from 19.8±6.9 to 24.4±6.3 <sup>17</sup>	45 (3 studies)	⊕⊕⊕⊕ very low†
Pain episodes (vaso occlusive pain events) Number of events	Study population Moderate		RR 0.79 (0.71 to 0.89)	523 (1 study)	⊕⊕⊕⊕ very low‡
Hospitalisation Number of events	Study population Moderate		HR 0.65 (0.43 to 0.97)	312 (1 study)	⊕⊕⊕⊕ very low§¶
HRQL PedsQL Score	The mean HRQL using pedsQL self report in the control groups was 69 scale scores	The mean HRQL using pedsQL self report in the intervention groups was 75 higher (62 to 86.4 higher)**	HU group=75 (62.0 to 86.4) No HU group=69 (54.1 to 79.9)	191 (1 study)	⊕⊕⊕⊕ very low**,††,‡‡
Neurological event number of events Follow-up: 111 patient-years	Study population 606 per 1000 Moderate	1000 per 1000 (702 to 1000)	HR 9.4 (1.3 to 70.6)	43 (1 study)	⊕⊕⊕⊕ very low§§

\*The basis for the assumed risk (eg, the median control group risk across studies) is provided in footnotes. The corresponding risk (and its 95% CI) is based on the assumed risk in the comparison group and the relative effect of the intervention (and its 95% CI).

†There were no comparison groups, the studies were before and after studies.

‡Patient assignment to groups incompletely reported.

§Before and after design.

¶Downgraded due to indirectness (study done in North Carolina).

\*\*Small sample size (n=191) leading to wide CI (imprecision).

††Selection bias likely as patient selection to comparison study groups done by investigator.

‡‡Downgraded due to indirectness (study conducted in North America).

§§Small sample size (n=43) leading to wide CI (imprecision).

GRADE Working Group grades of evidence; High quality: Further research is very unlikely to change our confidence in the estimate of effect. Moderate quality: Further research is likely to have an important impact on our confidence in the estimate of effect and may change the estimate. Low quality: Further research is very likely to have an important impact on our confidence in the estimate of effect and is likely to change the estimate. Very low quality: We are very uncertain about the estimate.

GRADE, Grading of Recommendations Assessment, Development and Evaluation; HbF, fetal haemoglobin; HRQL, health related quality of life; HU, hydroxyurea; PedsQL, paediatric quality of life; RR, rate ratio.

patients vs 34 events in 18 patients in the placebo arm over 24 months; HR 3.0, 95% CI 1.7 to 4.1,  $p<0.001$ ). Persistent or recurrent neutropenia (nine children in the HU arm vs five children in the placebo arm) led to a decrease in HU dosage to 17.5 mg/kg/day. One retrospective cohort study (without comparator data),<sup>22</sup> (n=123) of children on HU treatment reported that 41 patients (33%) experienced 66 adverse events, including four deaths (described above), during a median follow-up of 2.8 years (range 0.02–10.5; frequency 12% per patient-year). The most frequent event in this study was leg ulcers; some had cutaneous reactions such as skin dryness and some minor haematological disorders. The 66 adverse events did not require changing HU dose for 25 (38%) episodes but led to dose reduction for 16 (24%) others and to HU withdrawal for 21 (32%). Mellouli *et al* (n=47) reported one episode of severe thrombocytopenia with severe leucopenia that resolved after stopping HU, another episode of pancytopenia was attributed to parvovirus infection.

Additional findings on adherence, health related quality of life outcomes and findings from the two NIH reports are summarised in web appendix 1.

## DISCUSSION

### Interpretation of results

Adult studies are considered to provide strong evidence for the efficacy of HU with decreases in severe painful episodes, hospitalisations, number of blood transfusions and acute chest syndrome.<sup>10</sup> The data also suggest that the risks of HU are acceptable in adults as compared with the risks of untreated

SCD,<sup>11</sup> with reduced mortality after 9 years of follow-up in those on treatment initiated for multiple vaso-occlusive crises.<sup>6</sup>

The available evidence on the efficacy, effectiveness and safety of HU in childhood SCD is mostly from high-income countries and for children up to 18 years of age. Studies on children below 5 years are very limited, with only one recent RCT enrolling children (n=193)<sup>19</sup> from the age of 9–18 months, yet this age group experiences mortality rates as high as 7.3 per 100 person-years of observation in African settings, with children below 5 years accounting for 70% of all deaths.<sup>29–30</sup> Furthermore, mortality remains high in the group of children (aged >3 years) who may benefit from HU therapy if initiated early in life.<sup>31</sup> Dosages of HU used in childhood studies ranged from 10 mg/kg/day to 35 mg/kg/day. In most studies, HU was started at the lower dosage and escalated to maximum tolerated dose with continuous haematological monitoring to safeguard against potential neutropenia.

A consistent feature of these studies was the provision of high quality supportive care in addition to HU. Of specific relevance to low-income settings is the use of regular haematological monitoring. Thus in the RCT conducted in early childhood full blood counts were drawn every 2–4 weeks except for HbF which was obtained every 6 months. Results were used to identify mild to moderate neutropenia and guide treatment cessation until blood counts normalised. The consequences of continuing HU therapy in the presence of mild to moderate neutropenia when haematological monitoring is either not possible at all or based on much reduced sampling frequency, is not known.

**Table 4** Grade summary of findings for Ware *et al* 2012<sup>20</sup>

Outcomes	Comparator arm Transfusions with chelation	Intervention arm Hydroxyurea with phlebotomy	Relative effect (95% CI)	No. of participants (studies)	Quality of the evidence (GRADE)	Comments
<b>Secondary stroke recurrence</b> recurrence rate Follow-up: 6 months	Recurrence rate of 0%, 0.0 events per 100 patient years in comparator arm versus recurrence rate of 10%, 5.6 events per 100 patient years in the intervention arm		Rate difference of 0.1	161 (1 study)	⊕⊕⊕⊕ low†‡§¶	
<b>Iron overload</b> Liver iron concentration mg/g dry weight liver Follow-up: 6 months	The median iron overload in the control groups was 17.3 mg/g dry weight liver (8.8–30.7 IQR)	The median iron overload in the intervention groups was 17.2 mg/g dry weight liver (10.0–30.6 IQR)	Change from baseline (median (IQR)) –2.2 (–5.5 to 4.9) in the comparator group –1.2 (–2.8 to 7.2) in the intervention group	161 (1 study)	⊕⊕⊕⊕ low †‡§¶ **,††	

\*Children enrolled in the study were above 5 years old yet interested in data of children below 5 years.

†The use of hydroxyurea overlapped at some point with transfusions during the dose escalation.

‡The study setting is in high-income setting where there is thorough laboratory monitoring in contrast with low-income setting that the study question focuses on.

§Some point estimates in the study have wide CIs.

¶Sample sizes in both arms were small (hydroxyurea with phlebotomy, n=67; while transfusions with chelation, n=66).

GRADE Working Group grades of evidence; High quality: Further research is very unlikely to change our confidence in the estimate of effect. Moderate quality: Further research is likely to have an important impact on our confidence in the estimate of effect and may change the estimate. Low quality: Further research is very likely to have an important impact on our confidence in the estimate of effect and is likely to change the estimate. Very low quality: We are very uncertain about the estimate.

GRADE, Grading of Recommendations Assessment, Development and Evaluation.

Given these caveats, available low quality evidence suggest that HU used in children, if adequately adhered to by patients and appropriately monitored, may be associated with reduced rates of hospitalisation and reduced frequency of painful vaso-occlusive crises. On the other hand low quality evidence suggests that HU is not beneficial in preventing secondary episodes of stroke<sup>20</sup> (table 4). Data on longer term adverse events are very limited, few children under 5 years of age have been studied and follow-up times in the summarised studies was short.

### Weaknesses of the current literature

The majority of the studies summarised in the 2008 systematic review<sup>7</sup> and published since this review, were observational (22 studies and 14 studies, respectively) increasing the possibility of bias and confounding. Further, most had retrospective designs with assignment to HU based on patient or physician preference and there was substantial heterogeneity in outcomes measured. Only one RCT enrolling children from infancy and early childhood has been published to date. Additional limitations of summarised evidence from a Kenyan perspective and when considering guidelines for those aged less than 5 years include: (1) indirectness of evidence: most studies were done in USA and enrolled children upto 18 years of age and adults; (2) very limited data on critical outcomes and; (3) imprecision as most studies had small numbers of participants (only two studies, both retrospective,<sup>12 26</sup> enrolled more than 300 patients).

### Implications for policy, practice and future research

Use of HU in children under 5 years of age with SCD who have vaso-occlusive crises and dactylitis and whose treatment can be appropriately monitored appears reasonable although the quality of evidence supporting this position is low to moderate.<sup>32</sup> However, it is not yet clear if treatment as a prophylactic therapy can be recommended for children of this age with SCD, especially in low-income settings where the ability to monitor therapy may be limited.

Additional RCTs are needed to assess the effectiveness and safety of HU in children below 5 years in Africa ideally using pragmatic regimens with low frequency haematological monitoring. Further studies are also needed to establish the optimal

dosing of HU. Such studies are justified as HU is relatively cheap. In Kenya, in the private sector, the average price for a 500 mg HU capsule is approximately US\$0.47,<sup>33</sup> which translates to treatment cost per month of about US\$14. However, alternative formulations will be required for children and monitoring will increase treatment costs. Formal cost-effectiveness analyses to examine costs and health gains of HU are therefore needed to inform decisions on the feasibility and desirability of scaling up treatment in low-income settings such as Kenya.

### CONCLUSION

Our findings suggest that HU, the only available disease modifying therapy, might improve haematological outcomes (HbF), decrease hospitalisation rates and reduce pain episodes. Very low quality data exist on the effects of HU in children below 5 years in low-income countries where capacity for haematological monitoring is very limited. Further pragmatic RCTs with linked cost-effectiveness analyses are needed to address this gap.

**Correction notice** The license of this article has also changed since publication to CC BY 4.0.

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## **Web appendix 1. Adherence and health related quality of life outcomes**

### **Adherence**

Two studies reported on this outcome. Thornburg et al 2010 ,[21] a cross- sectional study (n= 75 children ) reported an increase in Fetal Hemoglobin associated with good adherence measured with the parent/proxy Morisky score (mean change: 8.0%, 95% CI 6.2 to 9.8;  $p<0.0001$ ). One retrospective longitudinal study, [14] (n=312) reported a 35% adherent rate to hydroxyurea defined as a medication possession ratio (MPR) $\geq 0.80$  (the mean MPR was 0.60). In the twelve months following hydroxyurea initiation, adherence was associated with decreased risk of SCD related hospitalization (HR=0.65,  $p=0.0351$ ), decreased vaso-occlusive events (HR=0.66,  $p=0.0130$ ), reduced costs (all cause and SCD related inpatient: \$5,286,  $p<0.0001$  and \$4,403,  $p<0.0001$  respectively; total costs, \$6,529,  $p<0.0001$  and \$5,329;  $p<0.0001$ )

### **Health related Quality Of Life (HQRL)**

In one retrospective cohort study, [22] (n=191) hydroxyurea was associated with a higher median (Inter quartile range, IQR) self reported peds quality of life score (pedsQL) compared to control (hydroxyurea group 75 (62.0, 86.4) versus control group 69.0 (54.1, 79.9);  $p=0.04$ ).

National Institute of Health (NIH) reports on hydroxyurea treatment

The two NIH reports [10, 11] published in 2008 and based on systematic review data prepared through the Agency for Healthcare Research and Quality (AHRQ) and presentations by experts highlighted a number of issues related to the efficacy, effectiveness and safety of hydroxyurea, as briefly outlined below.



**Evidence from the NIH reports;****Efficacy and effectiveness of hydroxyurea**

The efficacy of hydroxyurea treatment for adults with SCD-SS (homologous genotype) is established.

Although the evidence for efficacy of hydroxyurea treatment for children is not as strong, the emerging data encourages HU treatment in children with SCD on the basis of observational studies in both adults and children suggesting reductions in complications of SCD (including pain, hospitalizations, blood transfusions and the acute chest syndrome) and decreasing mortality.

**Toxicity**

Short term effects (within 6 months of HU initiation) included; decreased leukocyte count (leucopenia), decreased platelet count (thrombocytopenia), decreased erythrocyte count (anemia) and decreased reticulocyte count. Long term effects (more than 6months of HU initiation) include; birth defects in the offspring of people receiving the drug, growth delays in children receiving the drug and cancer in both children and adults who have received the drug. More information on the incidence and severity of these side effects was considered acceptable compared with the risks of untreated SCD in adults.

**Web only Table 5:** Characteristics of included studies

Author Year	Design Setting	Sample size Population	Intervention	Comparator	Outcomes
Wang et al 2011	Multicentre randomized controlled trial	n=193 Age (9-18 months) HbSS or Hb Thalassaemia	Hydroxyurea (20mg/kg)	Placebo	<b>Primary endpoints</b>
	13 centres in USA		96 children received it 1 incorrect diagnosis  Follow-up  4 withdrawals (3 lost to follow up, 1 incorrect diagnosis)  91 analyzed	97 children  88 analyzed	1. Spleen function(splenic uptake on $99^m$ Tc-sulphur colloid liver spleen scans)  Decreased spleen function at exit (compared with baseline)  <ul style="list-style-type: none"> <li>Intervention: 19/70 (27% Failure)</li> <li>Comparator: 28/74 (38% Failure) (P value 0.21)</li> </ul> 2. Renal function (mean DTPA GFR ml/min per 1.73 m <sup>2</sup> )  Hydroxycarbamide group (n=67), at entry Glomerular filtration Rate (GFR) was 123ml/min while at exit it was 146ml/min, having 18% difference  Placebo group (n=66), at entry GFR was 125 ml/min while at exit 146ml/min, having a 17% difference. (P value 0.84, difference between Hydroxyurea group and placebo group)  <b>Secondary outcomes</b> <ul style="list-style-type: none"> <li>HU decreased pain (177 events in 62 patients vs. 375 events in 75 patients in the placebo group, p=0.002)</li> <li>Decreased dactylitis (24 events in 14 patients vs. 123 events in 75 patients in the placebo group&lt;0.0001)</li> </ul>
Thornburg et al 2010	Single Institution Cross sectional Study, Duke's university Medical Centre (DUMC).	n=75,-Age- (<18yrs) Children with SCA.	Hydroxyurea(mean dose 24mg/kg)	No comparator	Good adherence was estimated at 82% with visual analog scale,  84% with Morisky score,  85% with medical provider report  77% with clinic visits  49% on the basis of pharmacy refills.

					<p>Increase in HbF was moderately associated with good adherence as measured with the parent/proxy Morisky</p> <p>Score</p> <p>R=-0.39,95% CI,-0.58—0.17;p&lt;0.01</p> <p>Prescription refills</p> <p>R=0.39;95% CI 0.16-0.57;p&lt;0.01</p>
<b>Thornburg et al 2011</b>	Retrospective Cohort study, Milwaukee in North America and DUMC	n=191, Children with SCD Age (2-18yrs) Children on chronic transfusion therapy were excluded from the analysis because transfusions are disease modifying therapy.	Hydroxyurea N=114	No hydroxyurea N=77	<p><b>Primary outcome</b></p> <p>HRQL (Health related quality Of life) measured using Median (Inter Quartile Range) Peds QL generic core scales.</p> <p>children in HU group had higher median (IQR) PedsQL self report total scale scores than children in the no Hydroxyurea group [HU group 75 (62.0,86.4),no HU group 69.0 (54.1,79.9); p=0.04]</p> <p>Child self-report physical functioning scores were significantly higher for children taking Hydroxyurea [HU group 79.7 (62.5,90.6), no HU group 71.4(58.6, 81.2); p=0.01]</p> <p>Similarly, parent proxy-report physical functioning scores were significantly higher for children taking HU[Hydroxyurea group 75 (53.9,87.5),no HU group 71.9(53.2,90.6); p=0.05]</p>
<b>Greenway et al 2011</b>	Single institution retrospective cohort study at DUMC	n=35-Children with SCD	Hydroxyurea/phlebotomy	Transfusions without Hydroxyurea	<p>Stroke recurrence and other neurological outcomes.</p> <p>At the end of extended follow up the average duration of transfusions was 7.2±6.1 years for those who continued Hydroxyurea therapy compared with 12.1±2.7 for those who returned to transfusion therapy(p=0.04)</p> <p>The average lifetime number of transfusions was 84±98 in</p>

Those 20 patients compared with 523±256 for those 8 who restarted transfusion therapy (p<0.001).

During 14 year follow up period,10 of the original 35patients (29%) had a recurrent stroke while on hydroxyurea/Phlebotomy

The recurrent stroke event rate observed over the entire treatment and extended follow up period is 4.6 (95% CI 2.2-8.4) per 100 patient years.

4 of 20 (20%) patients who had transfusions overlapped with HU had recurrent stroke at median of 2.6 years (range 0.49-4.28 years) and 6 of 15(40%) patients who did not have transfusions overlapped with Hydroxyurea had recurrent stroke at a median of 0.94 years (range 0.19-7.08 years) (p=0.006)

<b>Thornburg et al 2009</b>	Prospective Pilot study (Follow up for two years) at Duke's Medical Centre.	n=14 Children with Sick cell anemia Age 18mnths-5yrs	Hydroxyurea(MTD 28mg/kg)	No comparator group(comparison of the values between entry and exit)	<p>Assess safety and efficacy of HU</p> <p><b>Hematological Efficacy(n=14)</b> HbF% Before 14.6±9.0, After 25.9±6.6), (p&lt;0.001)</p> <p><b>Renal function (GFR)-n=11</b> After 2 years, the average GFR value did not rise as expected in this age range(mean change 5.1ml/min/1.73m<sup>2</sup> ;95% CI=-4.39 to 14.6;p=0.26)</p> <p><b>Brain function (n=12)</b> At study exit, average TCD values significantly decreased with an average reduction of 25.6±27.6 cm/sec in the right MCA (95% CI=8.1 to 43.1;p&lt;0.01) and 26.8±32.6cm/sec in the left MCA(95% CI =6.1 to 47.6;p&lt;0.05)</p> <p><b>Neurocognitive testing (n=9)</b></p>
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					<p>Between entry and exit, mean standard scores increased by 2.0 points, not statistically significant (95% CI=-21.4 to 25.5;p=0.70)</p> <p><b>HRQL Testing</b></p> <p>Mixed model analysis indicated no significant changes over time for global HRQL between study time points (slope=1.0;95% CI=-3.9 to 5.9;p=0.67)</p> <p>However, IOF (Impact on Family) scores significantly decreased from baseline to exit (slope=-5.3;95% CI=-8.2 to -2.5;p=0.001), indicating parental Perception of less impact of the child's disease on family Functioning over time.</p>
<b>Stallworth et al 2010</b>	<p>Retrospective cohort study</p> <p>From South Carolina Medicaid System.</p>	<p>n=523,Children with SCD(Age ≤17)</p> <p>Control n =348</p> <p>Intervention= 175 records</p>	Hydroxyurea	Not treated with hydroxyurea	<p><b><u>Those receiving care in specialized clinics.</u></b></p> <p>Pain Episodes (RR=0.79, p&lt;0.0001)</p> <p>Pain acute care ( RR=0.90, p=0.01)</p> <p><b><u>Compared with the non-HU cohort, the HU group evinced a significantly higher risk of experiencing vaso-occlusive pain episodes</u></b></p> <p>Vaso-occlusive pain episodes (RR=3.32,p&lt;0.0001) ACS/Pneumonia episodes (RR=2.66.p&lt;0.0001), and higher outpatient, inpatient/emergency, and total service costs (RR=1.85, 2.11, 2.10 and p&lt;0.0001 respectively) over time.</p>
<b>Tripathi et al 2011</b>	<p>Cohort study from South Carolina Medicaid Programme.</p>	<p>n=523,Children with SCD (Age ≤17yrs) (HbSS-homozygous)</p> <p>Control N=348</p> <p>Intervention N=175</p>	Hydroxyurea	Not treated with Hydroxyurea	<p><b><u>Organic Specific complications</u></b></p> <p>More in HU treated group compared to non HU group</p> <p>Cardiovascular complications[OR]=3.15, CI:1.97-5.03</p> <p>Hepatic complications OR 5.41, CI 3.54-8.27</p> <p>Renal complications OR 5.09; CI 3.37-7.67</p> <p>Pulmonary complications OR 4.07; CI 1.88-8.79</p>



<b>Hankins et al 2008</b>	Retrospective cohort study 9 year data collection	Children with SCD(3.0-17.6) N=52 Median age 9.9 yrs	Hydroxyurea Initial dose( 15-20mg/kg/day) MTD not exceed 30-35mg/kg/day	—	6/43 (non-splenectomized) -14% recovered splenic filtrative function. <ul style="list-style-type: none"><li>Brain</li></ul> <u>Before HU</u> 7/25 (28%) had SBI (silent brain Ischemia) 17/25 (68%) had vessel tortuosity  <u>After HU</u> 24/25 (96%) had stable MRIs (Magnetic Resonance Imaging)
<b>Vasavda et al 2008</b>	Prospective study In King's College Hospital and St.Thomas Hospital in London	n=30(24 adults and 6 paediatrics)  Adult and paediatrics Had $\alpha$ -Thalassaemia genotype	Hydroxyurea <b>Adults</b> Started at 500mg/d or 15mg/kg/d whichever is higher. Increased in 500mg steps after 4-6wks to MTD <b>Pediatrics</b> Started at 15mg/kg/d only increased by 5mg/kg/d. Mean dose(1g/d) 300mg-2g.	—	Magnitude change in each parameter because of HU Therapy and this change among SCD patients with co-existing $\alpha$ -thalassaemia (n=10) and those without (n=20). Magnitude change differed significantly for the following parameters; Total Hb      p=0.033 HbF            p=0.024 MCV           p=0.002 MCH           p=0.043 RBC            p=0.035
<b>Zimmerman et al 2007</b>	Prospective single institution study at Duke's University Medical centre	n=59,Children with SCA	Hydroxyurea MTD 27.9 $\pm$ 2.7mg/kg Median 28.6(18.8-32.6) 10 $\pm$ 5months of therapy	—	Significant decreases were observed in the right middle cerebral artery (MCA) (166 $\pm$ 27cm/s to 135 $\pm$ 27cm/s, p<0.001) and left (MCA)(168 $\pm$ 26cm/s to 142 $\pm$ 27cm/s, p<0.001) velocities The magnitude of the decline in TCD flow velocity was significantly correlated to the maximal baseline TCD flow velocity ( $r^2=0.12$ , p=0.04)

<b>Italia et al 2008</b>	Prospective cohort study in India.	n=77 patients(48males, 29 females) -Grp 1:29 Adult Sickle homozygous(18-35yrs) -Grp 2:25 Pediatric homozygous(5-17yrs) -Grp 3:23 adult sickle $\beta$ -Thalassaemia cases(18-35yrs) -Control grp of 20 adults with homozygous SCD.	Hydroxyurea (10-15mg/kg/d)	—	<b><u>Mean clinical scores before and After HU therapy</u></b>
					<p><b><u>Before</u></b></p> <p>Grp 1 <math>12.6 \pm 1.8</math></p> <p>Grp 2 <math>14.0 \pm 1.7</math></p> <p>Grp 3 <math>12.9 \pm 1.2</math></p> <p>(<math>p &lt; 0.001</math>)</p> <p><b><u>After</u></b></p> <p><math>7.2 \pm 0.9</math></p> <p><math>7.5 \pm 0.9</math></p> <p><math>7.3 \pm 0.7</math></p> <p><b>No significant change in the hematological or clinical data was observed among the control group</b></p> <p>Clinical score was <math>11.7 \pm 1.2</math> before and <math>12.1 \pm 1.4</math> after two years</p> <p>HbF (<math>16.3 \pm 7.3\%</math> initially and <math>15.7 \pm 6.5\%</math> after two years.</p> <p>Mean hemoglobin level was <math>8.8 \pm 0.9</math>g/dl and <math>8.6 \pm 1.1</math>g/dl</p>
<b>Candrilli et al 2011</b>	Retrospective longitudinal study in North Carolina Medicaid programme (June 2000 through August 2008)	n=312 met inclusion criteria (mean age $21 (\pm 12.2)$ years. <b>Inclusion criteria</b> Medicaid enrollees with SCD	Hydroxyurea	—	<p>35% adherent defined as a medication possession ratio(MPR)<math>\geq 0.80</math></p> <p>Mean MPR was 0.60</p> <p>In the twelve months following HU initiation, adherence was associated with:</p> <ol style="list-style-type: none"> <li>1. Decreased risk of SCD related hospitalization(hazard ratio [HR]=0.65, <math>p=0.0351</math></li> <li>2. All-cause and SCD related emergency department visit (HR=0.72, <math>p=0.0388</math>; HR=0.58,<math>p=0.0079</math></li> </ol>

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≥2HU  
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respectively)

3. Vaso- occlusive event (HR=0.66, p=0.0130)
4. Adherence was associated with reductions in health care costs such as all-cause and SCD related inpatient(-\$5,286,p<0.0001;-  
\$4,403,p<0.0001 respectively) total costs (-\$6,529, p<0.0001;-\$5,329;  
p<0.0001 respectively)

<b>Nzouakou R et al 2010</b>	Retrospective cohort study in Henri-Mondor Hospital in Creteil and Tenon Hospital in Paris	n= 123 of which n=12 were less than 18years old <b>Inclusion criteria;</b> Homozygous SCD patients treated with HU	Hydroxyurea	—	<p><b>HU tolerance and safety</b></p> <p>41 (33%) patients experienced 66 adverse events, including 4 deaths during a median follow up of 2.8years (range 0.02-10.5; frequency 12% per patient year). The four patients who died during follow up, had all stopped taking HU 1-5 years before their deaths. Causes of death were: toxic shock, severe VOC, heart failure in a patient suffering from pulmonary hypertension and non specified cardiac failure.</p> <p><b>HU efficacy</b></p> <p>The total number of crises during the year preceding HU treatment was 276 (mean 2.8±1.8 per patient-year) which is significantly higher than the 88 crises (mean 0.7 ±0.8 per patient-year) observed during the first year under HU (p&lt;0.0001)</p> <p>For 64 patients, data on hospitalization durations during the year preceding HU were available: a mean decrease of 13.4 days of hospitalization under HU (p&lt;0.0001) was observed</p>
<b>Ali SB et al 2011</b>	Prospective cohort study in Sickel cell Unit	N=43(children <18 years of age)	Hydroxyurea(HU) N=10	-No HU n=33	<p>Average HU dose at MTD was 25.4 ± 3.4 mg/kg/day.</p> <p>43 children followed up for 111 person_years.</p>

	in West Of Indies in Jamaica	Included children with first clinical stroke between January 1,2000 and September 30, 2009			<p>Of the 10 who agreed to start HU, only one child had clinical stroke recurrence incidence rate 2/100 person years compared to 20/33 in the non-HU group, incidence rate 29/100 person years(Hazard Ratio(HR) 9.4,95% confidence interval 1.3-70.6,p&lt;0.03).</p> <p>In Non-HU group four died versus zero in the HU group.</p> <p>When the Non-HU group was compared to HU group the following was observed:</p> <p>13(53% versus10%) had moderate –severe physical disability (p=0.017) and 12(44% versus 20%) required special education or were too disabled to attend school.</p>
<b>Mellouli F et al 2007</b>	Prospective, single centre study of a cohort of clinical patients	27 children with HbSS and 20 children with HbS/BetaThal: 30 male 17 female.	Started on 10-15mg/kg/day but due to formulation to get this dose administration was 3-7 days per week; dose increased to max of 30-35mg/kg/day as tolerated and depending on haematological monitoring	Before and after study within individual patients but ‘before data’ based on retrospective review of records, then active 2 monthly follow up	<p>Noted 1 episode of severe thrombocytopenia with severe leucopenia that resolved after stopping HU, another episode of pancytopenia was attributed to parvovirus infection</p> <ul style="list-style-type: none"> <li>• Reduction in mean number of days hospitalized from 29,3 days/yr (95% CI 7–84) to 3,2 days/yr (0-15), P&lt;0.01</li> <li>• 21/38 patients treated with HU for recurrent crises (&gt;3/yr) had no further crises</li> <li>• 7 patients treated with HU post an episode of acute chest syndrome had no further episode</li> <li>• 2 patients treated with HU as prophylaxis after a 1<sup>st</sup> CVA had no repeat CVA</li> <li>• Reduction in number of transfusions / yr from 4 (range 0-12) to 0.2 (range 0-5), p&lt;0.01</li> </ul> <p>There were improvements in HbF, and mean Hb and falls in mean WBC</p>

<b>Ware et al 2012</b>	Multicentre Single-masked Noninferiority trial  26 pediatric sickle cell Programmes	n=161 children with Sickle Cell Anemia (SCA), previous stroke and ≥18 months of transfusions with documented iron overload.	Hydroxyurea plus overlap transfusions during dose escalation to maximum tolerated dose (MTD) 26.2 ±4.9 mg/kg/d with monthly phlebotomy 67 children included in Intention to treat analysis 24 completed treatment phase 64 completed the 6 month follow up study 7 had recurrent stroke	Transfusion and chelation with deferasirox 66 children included in intention to treat analysis 26 completed treatment phase 61 completed the 6 month follow up study 1 died on study	<p><b>Primary endpoints</b></p> <p><b>1. Secondary stroke recurrence</b></p> <ul style="list-style-type: none"> <li>Intervention 7 strokes in 67 (10% had secondary stroke) i.e. 5.6 events per 100 patient years</li> <li>Comparator 0 strokes in 66 subjects had secondary strokes i.e. 0 events per 100 patient years</li> </ul> <p><b>2. Iron overload (Liver iron Concentration (LIC) defined as ≥5mg/g dry weight liver)</b></p> <ul style="list-style-type: none"> <li>Intervention 15.7mg/g dry weight liver</li> <li>Comparator 16.6mg/g dry weight liver</li> </ul> <p>After interim analysis the study was closed due to futility in achieving the composite primary endpoint i.e. without reaching superior iron unloading with intervention treatment the observed unbalanced recurrence rates did not warrant study continuation.</p>
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