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Supporting quality data and
decisions for higher education.



ASSOCIATION
FOR INSTITUTIONAL
RESEARCH

**SPECIAL ISSUE EDITED BY HENRY
ZHENG AND KAREN WEBBER
FEATURING FOUR ARTICLES ON
ARTIFICIAL INTELLIGENCE AND
ADVANCED ANALYTICS**

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PREFACE

Artificial Intelligence and Advanced Analytics in Higher Education: Implications for Institutional Research and Institutional Effectiveness Practitioners

New technologies in our post-pandemic world have prompted substantial changes in every facet of higher education. The emergence of Big Data is one of several key facilitating conditions that accelerated the adoption of artificial intelligence (AI) and machine learning (ML) in key application areas. According to Gartner (2023), Big Data are the high-volume, high-velocity, and/or high-variety information assets that demand cost-effective and innovative forms of information processing that enable enhanced insight and decision-making, and process automation. Considerations for when, how, and why we use Big Data and forms of AI data-informed analytics are critical in institutional research (IR) and institutional effectiveness (IE).

Recently, Chat Generative Pre-trained Transformer (ChatGPT) and generative AI tools including those listed by Dilmengali (2023), have grabbed our attention for their novelty and ability to provide answers to questions in a conversational style. Although they have risks (Reagan, 2023), and refinements are being introduced constantly

(as is inherent in a continuous learning model), we find the hands-on user experience of these AI chatbots simultaneously interesting and worrisome. ChatGPT bots and image-building tools such as DALL-E from OpenAI seem to be the latest in AI applications that have generated media hysteria. Other AI-supported systems have been used in higher education, however, including the Georgia Institute of Technology's use of AI Jill Watson (Goel & Polepeddi, 2019) for student tutoring and the U.S. Department of Education's use of a chatbot for federal financial aid (Aidan) (Federal Student Aid, n.d.). The soaring interest in ChatGPT and other AI tools signal that the AI/ML revolution is accelerating (McKendrick, 2021). According to Bill Gates (2023), there have been two technology revolutions in his lifetime: the first was the introduction of a graphical user interface as the forerunner of every modern operating system; and now there is a second revolution: "The development of AI is as fundamental as the creation of the microprocessor, the personal computer, the Internet, and the mobile phone. It will change the way people work, learn, travel, get health care, and communicate with each other" (Gates, 2023).

In this special volume of the biannual Association for Institutional Research's (AIR) *Professional File*, we briefly describe some of the key factors that

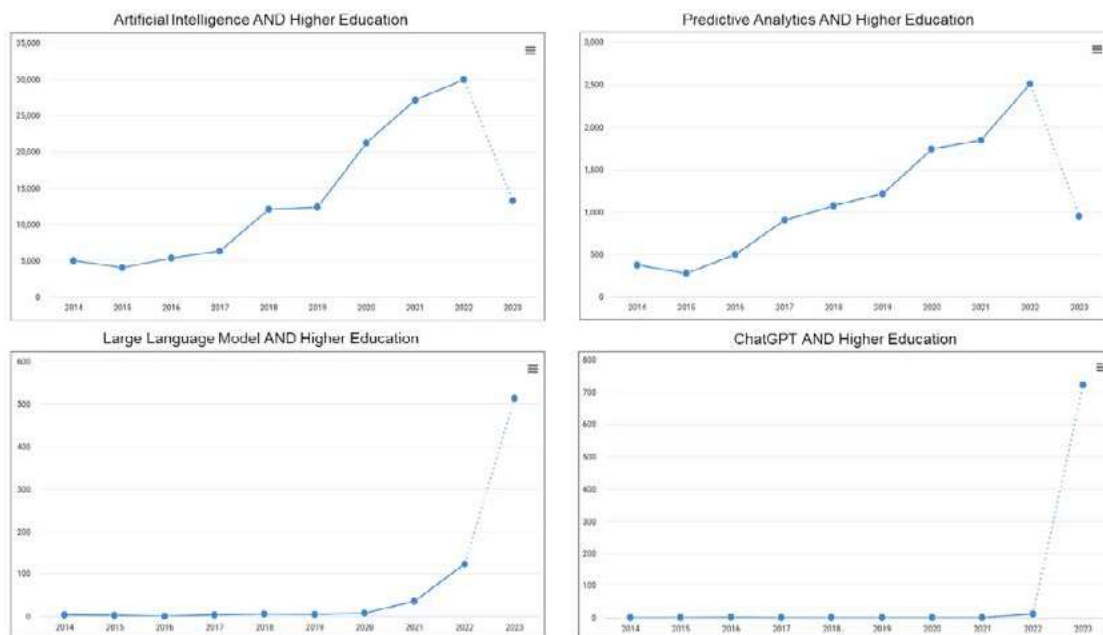


helped drive the development of AI and ML in higher education; we also include a focus on the implications and opportunities for IR and IE professionals. Although this topic continues to evolve, we think it is important to forge ahead with some discussion, while acknowledging that some aspects of these new tools will change—and will change rapidly. Nevertheless, as critical colleagues on our campus and in policy agencies, we need to be engaged with others on this topic right away. We believe it is essential that IR/IE colleagues (who either already have or who want a seat at the table) contribute actively to discussions about AI in higher education. Being involved in these discussions with senior administrative officials and academic instructional staff members can help cement the perception that IR/IE professionals are knowledgeable, broadly skilled, and able to situate issues within the context of a specific campus environment (yes, IR/IE professionals are indeed

multitalented). We could wait 6 to 12 months or more and see how the AI tools evolve, but we believe it is more valuable for IR/IE leaders to get engaged as soon as possible, considering the issues and implications, while being mindful of the likelihood that there will be changes to the tools, techniques, data governance, and other institutional policies.

According to Digital Science’s Dimensions Database (dimensions.digital-science.com, accessed May 23, 2023), the number of publications in higher education related to AI in general as well as publications specific to large language models (LLMs), predictive analytics, and ChatGPT, climbed a steep trajectory in the past few years. As shown in Figure 1, publications about general AI and predictive analytics have been growing steadily since 2017, but publications about LLMs and generative AI models such as ChatGPT have exponentially increased only within the past year.

Figure 1. Scholarly Publications in Key Artificial Intelligence–Related Areas in Higher Education



If the speed that ChatGPT grabbed people's attention is stunning, the subsequent rush to leverage its growth is equally dazzling. Companies and organizations rushed to create plugins to ChatGPT. (A ChatGPT plugin is a software add-on that integrates other applications into the ChatGPT AI chatbot. Plugins allow a third-party software or content generator to tap into ChatGPT's capabilities for search optimization and conversational interaction.) As of June 17, 2023, less than 7 months since the official launch of ChatGPT, nearly 500 plugins have been published and connected to ChatGPT 4.0. For example, the plugin ScholarAI allows users to use ChatGPT's interface to answer questions on scholarly articles and research papers. The plugin SummarizeAnything helps users summarize books, articles, and website content. More plugins and similar products are likely to follow.

AI and other advanced analytics in higher education can serve to benefit students in a number of ways. Informed by the work of Zeide (2019) and Holmes and Tuomi (2022), we group the current AI and advanced analytic techniques available in higher education into four categories:

- 1| **Institutional use**, including marketing and student recruitment, estimating class size, optimizing course catalog descriptions, allocating resources, network security, and facial recognition
- 2| **Student support**, including academic monitoring, course scheduling, suggesting majors and career pathways, allocating financial aid, identifying students at risk, and supporting mental health
- 3| **Instruction**, including personalized learning, creating library guides, using generative language models (e.g., ChatGPT, DALL-E), and making grading more efficient
- 4| **Scholarly research**, including synthesizing literature, drafting grant proposals, and creating new knowledge in many disciplines (both within individual disciplines as well as cross-disciplinary collaborations)

During the early years when AI was introduced to higher education, both in the United States and in other countries, we saw some promising applications of AI and ML. Early adopters sought to enhance student success through tools such as online chat assistants, homework tutoring chatbots, or course learning systems that sought to gather student learning data from multiple sources. Some of the early tools were not user friendly, lacked comprehensive data, and/or did not have faculty buy-in and so did not remain viable. However, these early tools sharpened our thinking, and the ensuing refinements moved members of the higher education community forward on how digital technologies can contribute positively to the higher education mission.

Over the past few years, Georgia State University (GSU) has become well known for its success in gathering and using voluminous data points every day that are related to student characteristics (e.g., financial aid need) to predict and track student academic progress. Their extensive use of the data-enabled digital systems, in combination with human advisors, has produced a significant impact on student success and graduation. The GSU system was quite successful, and GSU now hosts the National Institute of Student Success (NISS), a national effort aimed at helping institution officials to identify potential challenges related to student access, finding ways to maximize impact and ensure success for all students.

A number of institutions are incorporating AI into teaching and learning as well as into campus operations. For example, team members at Rensselaer Polytechnic Institute have incorporated an AI-powered assistant into a language-immersive classroom that helps students learn to speak Mandarin (Su, 2018). According to Gardner (2018), leaders at Elon University are using an AI-based course planning and advising system developed by a tech company, Stellic, to plan courses, consider cocurricular activities, and keep students on the path to graduation. Also according to Gardner, leaders at the University of Iowa are using AI to monitor campus buildings for energy efficiency and to monitor for facilities problems. These and other examples of AI-based systems can promote student and institution success, but they also require staff to have robust technical skills and relevant ways of thinking about data.

An important concern about the use of Big Data or comprehensive predictive analytic models is the high potential for the unintended inclusion of bias, either through training data that do not fully represent the population under study or that fail to contextualize the results to a broader population. The unique changes that occurred during or as a result of the Covid-19 pandemic, as well as continued emphases on the need for diversified campuses, left many institution officials unable to reliably use historical data for predicting the future.

Along with applications in teaching and learning and overall student success, AI is growing its applications in research as well. We have an explosive list of AI applications in business and industry such as health care, banking, and retail customer service. AI is gaining strength in university endeavors such as [Emory University's AI. Humanity Initiative](#) and the [Graz Center for Machine Learning](#). Both of

these initiatives are focused on interdisciplinary efforts to consider ways in which AI can improve aspects of society. We believe that collaborative, interdisciplinary efforts like these will make dramatic improvements in our higher education systems and overall quality of life.

An ongoing concern about data analytics will be ensuring ample representation of the population under study and/or that the analyses are contextualized to the broader population. The unique changes that occurred during or as a result of the Covid-19 pandemic, as well as continued emphases on the need for diversified campuses, left many institution officials unable to use historical data to reliably predict the future. Vigilance with continued improvements in data security and unbiased models will continue as we progress in the use of AI in higher education, and IR practitioners must be an integral part of these discussions.

Foreseeing the significant changes and implications from AI-assisted education technology implementation in all aspects of education, the U.S. Department of Education issued a guidance document (U.S. Department of Education, 2023) acknowledging that AI poses both risks and opportunities in teaching, learning, research, and assessment. The report recommends several key considerations as key stakeholder continue to explore the use of AI in educational and other academic endeavors:

- **Emphasize humans-in-the-loop:** Keep a humanistic view of teaching front and center.
- **Align AI models to a shared vision for education:** Humans, not machines, should determine educational goals and measure the degree to which models fit and are useful.

- **Design AI using modern learning principles:** Connect AI algorithms with principles of collaborative and social learning and respect the student not just for their cognition but also for the whole human skillset.
- **Prioritize strengthening trust:** Incorporate safety, usability, and efficacy in creating a trusting environment for the use of AI.
- **Inform and involve educators:** Show the respect and value we hold for educators by informing and involving them in every step of the process of designing, developing, testing, improving, adopting, and managing AI-enabled edtech.
- **Develop education-specific guidelines and guardrails:** The issues are not only data privacy and security, but also new issues such as bias, transparency, and accountability.

Clearly, the growth of AI tools in the world around us will also impact current strategies and actions in higher education. Allowing only a short time to adjust, higher education officials must continue to consider its impact on student and institutional success. This special volume of the *Professional File* includes four thoughtful articles related to specific facets of AI and/or advanced analytics in higher education today. In this volume we seek (a) to bring attention to and provide an effective introduction to AI/ML developments in higher education; (b) to introduce IR/IE professionals to some of the latest developments in AI/ML, especially in generative AI, natural language processing, and predictive analytics; and (c) discuss policy, ethics, privacy, and IR/IE workforce implications of these new developments. Each article covers a specific facet or application of AI in higher education. Time and space do not allow us to cover all of the equally important topics, but we offer these topics as a starting point for future discussions.

In the first article, Kelli Bird describes promises as well as the cautions that must be considered in the use of predictive analytics to identify at-risk students. With her eyes wide open to the potential challenges of algorithmic bias and the need for a personal touch, Bird offers examples of success in student support that have occurred through carefully considered predictive modeling. Bird makes an excellent point that, as more-advanced analytics tools become available, the main challenge will not be whether the algorithms (i.e., from machines) are able to identify at-risk students better and more efficiently than humans. Instead, most of the challenges will surround the question of how humans will use the output that machines provide. This aligns with the U.S. Department of Education's key observation that humans, not machines, should determine educational goals and measure the degree to which models fit and are useful.

In the second article, Emily Oakes, Yih Tsao, and Victor Borden urge readers to consider how predictive analytics at large scale as well as applications of AI can be used to center the student voice in developing higher education access and policy development related to learning analytics and AI-embedded student supports. Like Bird, these authors remind readers to be mindful of the potential biases that can be inadvertently built into analytic models, and they urge researchers to ground data in a social justice framework. This cannot be a one-and-done approach, but instead must include a general framework that is used for all analytics tasks as well as the policies governing the collection, management, and implementation of data-based systems. Oakes, Tsao, and Borden's article aligns well with some of the keen observations made by Cathy O'Neil in her bestselling book, *Weapons of Math Destruction*, such as suggesting that, lacking a humanistic perspective,

machine algorithms would rely on historical data and learning models that cause harm to those less favored by historical data and machine logics.

We know that academic advising is critical to student success, however, resource-constrained higher education institutions might not have the capacity to offer comprehensive student support that can yield success. Aspects of AI including LLMs enable large-scale collection of data and automated data systems to assist; authors of the third article describe an enterprise-level academic system called AutoScholar. Professor Rawatlal developed the system and colleague Rubby Dhunpath led the implementation of a multifaceted advising system that provides information to students as well as to their instructors, department leaders, and other administrative managers who seek to examine student success across a college or total institution. Authors Rawatlal and Dhunpath describe the AutoScholar system and acknowledge the importance of being able to provide advising information to students, regardless of institutional resources. They acknowledge the high benefits of a data-informed application that augments automated information with human judgement.

In the fourth and final article in this volume, Michael Urmeneta starts with a review of recent discussions on the potential impact of AI in higher education, the increasing proliferation of AI tools, and the need for ethics and accountability. Urmeneta reflects on transitions that helped carve out the path toward AI and advanced data analytics in higher education as well as on the need for ethics and accountability, and offers a cogent discussion on many important implications for IR and IE professionals. Although our landscape for ML and other forms of AI continues to evolve, Urmeneta reminds us that the future is here, and it is important that we understand the

technologies, how we will use them, and how we will ensure that the data are used responsibly and with transparency. As those who are deeply embedded in the collection, storage, analysis, and reporting of data, IR and IE professionals must firmly understand the data, and how they are being used within a particular context and without black box designs. IR professionals can ensure ethical deployment, privacy and confidentiality of data, and guard against bias. We like Urmeneta's comment, "Being a passive spectator is neither optional nor tenable." With AI and advanced data analytics, we encourage IR/IE professionals to seize the day!

Although the first paper on AI was published more than 50 years ago and has been embedded in business and industry practices for a few decades, applications of AI are quite new in the higher education arena. We realize that we offer this volume to *Professional File* readers closer to the beginning of the journey into AI and advanced analytics in the higher education context. The months ahead will see a growth in publications on this topic in higher education, but we are confident that the articles herein can help *Professional File* readers to contemplate their role and ways to stay actively involved.

In its policy guidance document, the U.S. Department of Education (2023, p. 4) acknowledged, "AI is advancing exponentially, with powerful new AI features for generating images and text becoming available to the public and leading to changes in how people create text and images. The advances in AI are not only happening in research labs but also are making news in mainstream media and in educational-specific publications." With the rapid speed of AI-related developments, the U.S. Department of Education considered its policy guidance document not as a definitive document but

rather as a starting point for discussion. Likewise, we believe that this volume of *Professional File* offers beginning conversations from the authors.

We hope you enjoy the articles in this volume. We believe that AI and advanced analytics will continue to grow in our world of higher education, and, as they grow, we hope you will contribute to the positive impact of AI for IR and IE practitioner success.

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Centering Student Voice in Developing Learning Analytics and Artificial Intelligence–Embedded Supports

Emily Oakes, Yih Tsao, and Victor Borden

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Abstract

Accelerating advancements in learning analytics and artificial intelligence (AI) offers unprecedented opportunities for improving educational experiences. Without including students' perspectives, however, there is a potential for these advancements to inadvertently marginalize or harm the very individuals these technologies aim to support. This article underscores the risks associated with sidelining student voices in decision-making processes related to their data usage. By grounding data use within a social justice framework, we advocate for a more equitable and holistic approach. Drawing on previous research as well as insights we have gathered from a student panel, we outline effective methods to integrate student voices. We conclude by emphasizing the long-term implications for the institutional research field, arguing for a shift toward more inclusive and student-centric practices in the realm of learning analytics and AI-embedded supports.

INTRODUCTION: ADVANCEMENTS IN ANALYTICS AND ARTIFICIAL INTELLIGENCE

Institutional research (IR) professionals have become increasingly central to college and university efforts to improve student success through the use of empirical research and reporting. This tradition goes back to the early 20th century when information technologies and statistical methods were relatively cumbersome, through the information technology explosion of the late 20th century when tools like personal computers, spreadsheets, and statistical packages allowed for more-rapid deployment of research results. The 20-plus years since the beginning of the new millennium have seen another explosion of capacity, with institutional data supplemented by diffuse information systems available from national data systems that can be used for benchmarking and tracking students from their early school years, through college, and into the workforce. Officials at many colleges and universities have had great success leveraging such data systems, as countless sessions at the annual Association for Institutional Research (AIR) Forum have demonstrated.

Recent advances in predictive analytics have opened new possibilities in providing direct support to students—to the instructors who teach them, to the advisors who support them, and to many other new types of professionals that have roles in helping students navigate the increasingly complicated choices available to them within a particular institution and across the higher education landscape. Artificial intelligence (AI) now offers a quantum leap in capabilities that students, faculty, and staff can leverage to support student learning and success. However, there is much peril along

with the promise of these technologies: instructors cannot easily tell whether the work submitted by students represents solely their own thinking or if it was aided by AI. It has been demonstrated, too, that AI can contribute to widening equity gaps due to bias inherent in algorithms as well as to equity gaps in access to and use of this powerful technology (Ahn, 2022; Alonso et al., 2020).

While some tremendous successes have already been realized, there are incalculable opportunities still to be discovered. Critical to the discovery of those opportunities is ensuring the involvement of the voice of our most important population: students. An oft-cited achievement in the use of institutional data is Georgia State University's (GSU) predictive analytics service. Since partnering with EAB in 2012, GSU has seen its graduation rates increase by more than 35 percentage points; as of 2023 those rates have been consistent across racial and ethnic lines for 7 years. The institution has increased degrees awarded by 84% and more than doubled the number awarded to low-income and minority students. Powering their alerts are 10 years of data that were reviewed to identify 800 factors that correlate with challenges completing their degrees on time (Calhoun-Brown, 2023). Of equal importance, 42 advisors were hired alongside the service's launch, enabling more advisor–student interactions (Kurzweil & Wu, 2015). GSU has profoundly and positively impacted its students' paths to success, as have many other institutions, aided by the use of advanced information and analytic capacities.

But, as noted, GSU's successes involved more than just leveraging new analytic technologies. The institution was already seeing consistent improvements in its graduation rates before the implementation of its advising alert system in 2012

(GSU, 2021). In their 2015 case study, Kurzweil & Wu (2015) noted that GSU's incredible results are not related to a single solution, but rather to the institution's overall approach to problem solving. Staff members at GSU use the institution's data warehouse to find barriers to graduation and resolve those barriers through a cycle of implementing interventions to remove identified barriers; they assess their effectiveness and scale them up if they find them to be effective. As Kurzweil & Wu (2015, p. 18) note, "It is the process, and not merely its outputs, that other institutions should seek to replicate."

GSU's process included opportunities for centering the student voice. In this article we first describe considerations and risks when student voices are not included in deciding how their data will be used. Next, we discuss ways to ground data use in a social justice framework. Finally, we share perspectives and recommendations on how to support students' successes.

Although applications of AI often operate on a more diverse range of data types and use techniques that are different from predictive analytics, the issues considered in this article apply equally, if not more strongly, given that the user of AI's output is even farther removed from the analysis process than is the user of predictive analytics.

Considerations and Risks When Student Voices Are Not Included

Understanding that there are risks when students, especially students from marginalized populations, are not involved in uses of their data is critical to avoiding those risks. Fortunately, many lessons have already been learned regarding a lack of participation in data use generally that institutional researchers can consider in the context of their work

as they move forward in deploying AI as part of their information use strategies.

First, concerns have been raised among scholars and practitioners working toward data justice that data reflect social ideas of the default as implicitly defined by those with power in a particular context: White, heterosexual, cisgender, abled, neurotypical, financially comfortable, and so on (Benjamin, 2019; D'Ignazio & Klein, 2020). When data are captured, structured, interpreted, and applied on the assumption of a particular default, those who fall outside of that category are less likely to benefit and more likely to possibly experience harm.

Consider AI researcher, artist, and advocate Joy Buolamwini's now-famous experience discovering bias in facial analysis software (Kantayya, 2020). While interacting with the software, Buolamwini found that the software was unable to identify her darker-skinned face (a label that itself implies a default), despite successfully capturing her lighter-skinned colleagues' faces. The software was similarly able to identify the features of a plain white mask she placed over her own face (Kantayya, 2020). Buolamwini and computer scientist Timnit Gebru had previously found that multiple data sets used to train facial recognition software had included majority lighter-skinned subjects, causing the software to frequently misclassify darker-skinned faces, with the greatest number of errors occurring when the software attempted to analyze the faces of darker-skinned women (Buolamwini & Gebru, 2018). These issues of bias and unfairness occur with generative AI, such as Chat Generative Pre-trained Transformer (ChatGPT), as well, and thus require training users on diverse data, careful monitoring, and other bias mitigation tactics (Kasneci et al., 2023). Mitigation strategies should be defined in use policies informed by impacted populations

(i.e., students of various identities) to surface issues that others outside those populations may not be aware of. As institutions invest in data-powered identity-based outreach, AI video assessment and proctoring, AI-assisted admissions, or staff interview software, and so on, their similar investments in mitigation strategies will only grow in importance.

Early alert systems are a useful tool for demonstrating the practical risks when services do not incorporate student-guided use policy. Early alert systems are frequently implemented in higher education in an effort to increase retention (Parnell et al., 2018). These systems use data about students that are based on some predefined metrics to identify when students are at greater risk of incurring negative academic consequences, and send an alert to instructors or academic support staff so that they may intervene as appropriate (Hanover Research, 2014). Interventions might include offering tutoring, having a student meet with an advisor, assigning a mentor, or referring a student to a relevant social service (Ekowo & Palmer, 2017).

Numerous risks arise when a diversity of student voices have not been considered in the development, deployment, and operation of early alert systems. First, the integration of multiple data sets means that a risk label can be made more broadly visible, which creates opportunities for riskiness to be assumed in contexts unrelated to the one that the risk was measured against in the first place (Benjamin, 2019; Prinsloo & Slade, 2016). This is additionally problematic given that student identities and circumstances frequently change: while data about students often tend to be rigid, the realities of their lives are not (Slade & Prinsloo, 2013). Without an opportunity to dispute

or otherwise provide narrative context alongside their data, circumstances perceived as negative and permanently recorded by an institution official can follow students throughout their academic careers.

In their review of relevant literature, Braunack-Mayer et al. (2020) found that students have expressed concern across multiple studies about being labeled “at risk”; these authors note that being categorized in certain ways could bias their instructors such that they exclude the categorized students from future academic opportunities. In this way, the label “risky” becomes a quality inherent to a student, detached from its use as a descriptor applied to those who are being failed by a specific process or system. Nopper (2019, p. 170) refers to the “digital profile assessed to make inferences regarding character in terms of credibility, reliability, industriousness, responsibility, morality, and relationship choices” as “digital character” that is used to paternalistically “help” individuals, often without their knowledge or consent. (See also Braunack-Mayer et al., 2020.) This focus on applying interventions based on a student’s digital character situates them as data objects or passive recipients of services rather than as autonomous agents (Kruse & Pongsajapan, 2012; Prinsloo & Slade, 2016; Roberts et al., 2016; Rubel & Jones, 2014). Given that groups of students have also expressed such concerns about threats to their autonomy by these systems themselves, it is critical that they are provided mechanisms for having their voices considered (Roberts et al., 2016). This example is not intended to imply that all early alert systems are problematic—there is evidence that students do consider them beneficial (Atif et al., 2015; Roberts et al., 2016). Rather, the example is used here to illustrate the potential issues that may arise if development of such systems is not aligned with student-informed policies for use.

Grounding Data Use in a Social Justice Framework

To productively address risks like those described, we suggest that higher education officials align their efforts to grow data capacities and use AI-infused solutions with their diversity, equity, and inclusion priorities. This is not a novel approach to data use: the social impacts of mass data use have received increasing attention for more than a decade. In 2012, Facebook gained significant media attention around its nonconsensual research on and manipulation of users' moods; the use of its data by political consulting firm Cambridge Analytica in 2018 helped raise public consciousness about mass data's capabilities and misuses (Meyer, 2014; Zialcita, 2019). Zuboff (2019) described how surveillance capitalism—the widespread collection and commodification of personal data by corporations—poses significant threats to society, privacy, and autonomy. Relatedly, O'Neil (2016) laid out numerous examples of the harm Big Data algorithms can cause across contexts, including their use in college rankings and teacher evaluations, and Wachter-Boettcher (2017) discussed the lack of diversity and inclusivity in the technology industry, leading to sexist, inaccessible, and otherwise biased systems. Additionally, Noble (2018) detailed the ways that search engines reinforce racism, sexism, and other forms of oppression; Benjamin (2019) broadened Noble's work, discussing additional applications of data that cause harm to vulnerable populations, including in AI systems.

Applications of data and the calculations we apply to data (i.e., algorithms) have been investigated from a variety of perspectives and within numerous contexts. Out of these investigations has developed the concept of data justice—a framework for engaging with the ways datafication and society

intersect with an explicit social justice focus. While there are diverse approaches to and definitions of data justice, there are some themes, including the recommendation to meaningfully collaborate with the individuals whose data will be captured and used during the conception, development, and implementation of data-based systems and the policies governing them (Dencik et al., 2019; Dencik & Sanchez-Monedero, 2022). In academia, these individuals are often our students.

In the remainder of this article, we consider the implications of using a social justice framework for advancing the use of generative AI and other Big Data applications within higher education institutions. This framework derives from a focus on minoritized populations, such as Indigenous peoples and other racial/ethnic minorities, who are often underrepresented within postsecondary institutions. We believe, however, that the ideas pertain more generally to students who, although often the largest group of constituents of a college or university, are not consulted about the use of their personal data within such applications.

PERSPECTIVES AND RECOMMENDATIONS

Numerous communities have shared their perspectives on and recommendations for data use as it relates to their unique experiences. While these communities are not monolithic, the concerns they raise reflect themes that might otherwise go unidentified by those who develop and deploy AI and Big Data applications (D'Ignazio & Klein, 2020).

One such group advocating for data justice is the Native Nations Institute (NNI). The NNI defines a Native nation's data as “any facts, knowledge, or

information about the nation and about its citizens, lands, resources, programs, and communities. Information ranging from demographic profiles to educational attainment rates, maps of sacred lands, songs, and social media activities are all data” (Rainie et al., 2017, p. 1). The NNI aims to

promote Indigenous data sovereignty using the CARE Principles for Indigenous Data Governance that were developed by the Research Data Alliance’s International Indigenous Data Sovereignty Interest Group in 2018 and published in 2020 (Carroll et al., 2020). The CARE Principles and their subcomponents are summarized in Table 1.

Table 1. The CARE Principles for Indigenous Data Governance

| Principle | Component |
|-----------------------------|--|
| Collective Benefit | For inclusive development and innovation For improved governance and citizen engagement For equitable outcomes |
| Authority to Control | Recognizing rights and interests Data for governance Governance of data |
| Responsibility | For positive relationships For expanding capability and capacity For Indigenous languages and worldviews |
| Ethics | For minimizing harm and maximizing benefits For justice For future use |

Source: Adapted from Carroll et al., 2020, Figure 2.

The Responsibility principle’s first subsection, “For positive relationships,” identifies that “Indigenous data use is unviable unless linked to relationships built on respect, reciprocity, trust, and mutual understanding, as defined by the Indigenous Peoples to whom those data relate” (Carroll et al., 2022, p. 4). The following subsections, “For Expanding Capability and Capacity” and “For Indigenous Languages and Worldviews,” require efforts to increase data literacy and to ground data in the world views and the lived experiences of Indigenous peoples, respectively. Each of these

subsections implies some form of collaboration between institution officials using Indigenous students’ data and the students themselves: to create mutual understanding, to increase data literacy between both parties, and to enable Indigenous students to (consensually) share their experiences.

When considering the use of early alert systems, it is important to note that the CARE Principles for Indigenous Data Governance specify that ethical data not portray Indigenous peoples in terms of

deficit, and that benefits and harms should be evaluated from the perspective of the Indigenous peoples the data relate to (Carroll et al., 2020). This guidance provides a model for data use policy development that may be applied to other student populations regardless of identity; rather than administrators determining what may harm or benefit communities, administrators can consult with those communities to provide their contextualized view of potential risks and benefits, and to describe assets to highlight with students.

Although designed with specific focus on a highly marginalized population, the principles can be applied more generally to incorporating student voice into the formulation of machine learning (ML), AI, and other Big Data applications and resources. However, these principles also remind us that we need to pay special attention to the voices of marginalized student populations, such as racially minoritized students and other subgroups that are not well represented by the dominant student culture.

Other issues related to data capture have been identified as well. Ruberg & Ruelos (2020) note that it is difficult to accurately represent gender and sexuality using traditional demographic capture-and-reporting techniques. Those authors provide multiple recommendations based on their findings: (1) When capturing gender and sexuality, multiple answer possibilities should be available. (2) Gender and sexuality identities may change, and all reported identities are valid unless the individual states otherwise. (3) Collaboration with relevant communities is critical for understanding and accurately capturing their identities.

Finally, marginalized groups are often centered and surveilled by both punitive and purportedly supportive systems, which promotes feelings of

threatening hypervisibility (Benjamin, 2019). Asher et al.'s (2022) survey of student perspectives on library analytics found that students in minority racial/ethnic groups and those of lower socioeconomic status were more concerned than the overall student population about the privacy of their personal data, thus supporting this perspective in the academic context (Asher et al., 2022). Collaborating with students, especially those who experience heightened surveillance, may help to shift support methods such that students experience them in a less threatening manner. To this point, GSU's predictive advising service provides another example: risk factors are shared with students as well as with advisors, promoting transparent conversations; and advisors are thoroughly trained on how to use the service as well as how to have discussions about its outputs with students (Bailey et al., 2019).

Methods for Including Student Voices

There are a variety of potential methods for involving student perspectives when developing access and use policies. West et al. (2020) note that these methods could include research into students' descriptions of their own needs, concerns, and ideas for how learning analytics might benefit them, as well as the creation of user users' stories and principles against which data-based tools may be built. Jones et al. (2019, 2020, 2023) demonstrate adaptable methods for gathering student feedback in their studies by collecting student perspectives in three phases across 3 years: first, they conduct interviews with undergraduate students across eight U.S. institutions, then they send a quantitative survey to random samples of students across the same eight institutions, and finally they hold virtual focus groups centered on discussions of data use scenarios

rooted in real-life practice. Data for Black Lives' report, *Data Capitalism + Algorithmic Racism* (Milner & Traub, 2021), suggests a few methods for supporting collective data practice that can be adjusted for the higher education context, such as Collington's (2019) proposed "system including a digital platform for debating and deciding priorities for use of public data" (Milner & Traub, 2021, p. 26).

An even more-robust strategy is provided in *A Toolkit for Centering Racial Equity Throughout Data Integration from Actionable Intelligence for Social Policy*, which includes guidance for involving community voices at every stage of design, use, and implementation of data-infused practices (Hawn Nelson et al., 2020). While the *Toolkit* was developed to support those using data for civic purposes, many of its recommendations apply to higher education data uses and align with calls from the learning analytics field to include student voices at all levels of data use, from design development through membership in oversight committees (Braunack-Mayer et al., 2020). Among other recommendations, the *Toolkit* suggests involving diverse community members in discussions about algorithms and their purposes early in the design stage, inviting people with multiple perspectives to provide potential interpretations of data that will be used.

Using a Student Panel Methodology to Center Student Voice

A method that incorporated both surveys and focus groups was devised as part of a university-wide student success initiative within the authors' institution. Fifteen students were initially recruited from across the institution's seven campuses, and most of the same students attended each panel, which helped to establish an environment of open sharing. For the panel exploring student views

on the use of learning analytics and Big Data, the student panelists first reviewed a set of materials related to the use of learning analytics at several different universities, as well as among a community of learning management system users. Students then completed a survey including questions about their awareness of the types of data collected, about their privacy and agency regarding learning data, about issues related to instructors and advisors who have access to and use the data, as well as questions about the benefits and risks with the use of these data. Student responses were split somewhat evenly on the awareness of the types of data that were being collected, but the majority (70%) of the students disagreed with the statement that they were adequately informed about how their data were being used. Interestingly, while more than 80% of the students agreed that there are benefits to making these data available to their instructors, 40% agreed with the reverse statement that such awareness may also negatively impact their motivation and engagement in a course.

The panel discussion focused on four questions for which the students used Google's Jamboard app to record and organize their ideas into themes. The four questions asked were the following:

1. What were your reactions to learning about the kind of learning data that your instructors can access?
2. What were your reactions to advisors' use of Early Alert Systems?
3. How do you feel about your learning data being used to identify that you are struggling in a course?
4. What would you like your instructor to communicate to you about learning data use in your courses?

After completing the analysis, the students were

split into two groups to formulate a plan or list of recommendations regarding safeguards/procedures that should be in place to ensure that inequities or biases are not introduced in the use

of learning data in a course. Table 2 shows an organization of the thematic responses to this task from the student panelists.

Table 2. Thematic Responses from the Breakout Room Activity During the Panel

| Themes | Examples/Explanations |
|--|---|
| Possible forms of biases in current practices | <ul style="list-style-type: none"> • Instructor shows favoritism for students struggling less. • Not all struggling students receive the appropriate outreach. • There are biases regarding students' socioeconomic status backgrounds. • Student backgrounds (e.g., they were homeschooled, are first-generation students) lead to different knowledge or resources used to reach out to students with invisible needs. |
| Theme 1 Transparency/Open Communication | <ul style="list-style-type: none"> • Student consent should be collected before the data are collected and shared with instructors, advisors, or any other parties. • The types of data collected or shared should be communicated clearly to both students and instructors. • Researchers should explain to the students how the data are being used or will be used. • Students should have access to their own learning data. • All students should have equal access to resources and support. |
| Theme 2 Training | <ul style="list-style-type: none"> • Instructors, advisors, and anyone who may be in close contact with any student data should receive bias and diversity training. • Instructors and advisors should be trained in how to be sensitive to when and especially how to reach out to struggling students with more care and attention to their words. • Instructors should be trained in how to initiate contact with students. |
| Theme 3 Human Oversight | <ul style="list-style-type: none"> • There should be a separate office that analyzes student data before the data are used by instructors or advisors for reaching out to students, or by students themselves. • There should be more communications or surveying of students to better understand their perspectives and opinions. • Teachers and administrators or advisors should be allowed to review their decisions based on their bias trainings. |

Through the survey responses and the panel discussions, student data use is clearly a topic that is sensitive and requires more attention to its ethics and to the treatment of individuals. When using AI and Big Data in higher education, we must be more diligent in protecting the humans behind the numbers. Students may feel uncomfortable when they become aware of the data that are being collected about them; that sense of discomfort can escalate when the data are shared outside of the context where they are generated, such as in-class data being shared with an academic advisor. Finally, the panel discussion revealed a concern about how students are treated when the data are used: Will they be treated fairly? Is outreach done with sensitivity and care? And how can marginalization and biases be avoided in terms of access to resources and support?

This student panel methodology serves to center student voice in IR and to inform policies. To accurately represent students' voices, however, it is essential to reflect the diversity of the student body to avoid bias. For example, while this student panel was recruited from various campuses of the same institution, more than half of the student panelists were from the main campus. Even though this accurately reflects the representation of students across the university, it skews the possible viewpoints and practices experienced by the students. Similarly, their classification (year), gender, race/ethnicity, socioeconomic status, and other demographics should also be taken into consideration when recruiting to prevent representation disparity in data that could lead to unjust applications, such as Buolamwini's facial analysis software, as mentioned before (Buolamwini & Gebru, 2018).

THE IMPORTANCE OF BRINGING IN STUDENT VOICES AND IMPLICATIONS FOR THE INSTITUTIONAL RESEARCH FIELD

Actionable Intelligence for Social Policy's *Toolkit* (Hawn Nelson et al., 2020), discussed earlier, recommends questioning how data use can help communities (i.e., students, in our context) to interrogate systems, as opposed to using data only to identify how to treat those communities. To align with effective and ethical practice, we recommend that institutional researchers intentionally and continually frame their work as student-centric as opposed to intervention-centric, and that they direct their actions in response to collaborations with students primarily toward the systems the students interact with instead of the students themselves (Hawn Nelson et al., 2020; Kruse & Pongsajapan, 2012; Slade & Prinsloo, 2013). Actionable Intelligence for Social Policy's *Toolkit* (Hawn Nelson et al., 2020) includes activities that may be adapted for this purpose. Practical steps for operationalizing racial equity in data use are included, as well as numerous real-world examples of the guidance in practice. Once again, GSU's approach to data use in support of student success provides an example of this practice in action: by asking first whether the institution is the problem (i.e., by interrogating the institution's systems), GSU has been able to find and resolve significant barriers facing students (Kurzweil & Wu, 2015; Zipper, 2022). It is crucial to involve student voices: in addition to data, students can provide context for *why* something was a barrier as well as advice for how institutions can break down barriers.

It is critical that student voices are actively centered when developing data access and use policies. When we authentically include student voices in the development of data policy, we can uncover novel opportunities that will be situated in the contexts of our most important constituents. We can learn what they value and what their challenges are from their own perspectives instead of mediated, decontextualized data sets. Including students in the development of data policy and system development increases trust, and fosters development of systems and initiatives that support success as students define it. In this article, we have shared one approach used for our context and numerous other approaches that could be adapted, and we invite institutional researchers to consider how they may take advantage of these methods for their contexts as well.

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