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Insights About Translation from Neuropsychology, Self-Talk Strategies, and Interventions

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Through both theoretical accounts and empirical studies, it is widely understood that writing is a complex process (Lienemann, Graham, Leader-Janssen, & Reid, 2006); therefore, determining effective instructional strategies for teaching writing and implementing writing instruction are challenges for many teachers. Nonetheless, writing is an important skill that all children need to develop. It is the primary tool for expressing knowledge and one of the main response outputs that teachers use to assess their students' educational performance (Graham & Harris, 2004). Because students use writing to collect and organize material, share and remember information and, ultimately, acquire and demonstrate knowledge, the academic development of students with writing difficulties is at risk (Graham & Harris, 2005).

Fortunately, researchers across disciplinary fields are examining written expression with particular emphasis on the associated neuropsychological processes and instructional approaches. Psychologists, educational specialists, and neuroscientists are all contributing to the scientific investigation of this multifaceted developmental process.

Even with an emphasis on written expression, the complexity of the processes involved has precluded researchers from forming a complete understanding of the cognitive and neurocognitive relationships inherent in written language. It is generally accepted that skilled writers use cognitive processes (i.e., planning, translating, reviewing, self-regulation) to manage the writing task (Graham & Harris, 1996). They are also fluent in text production processes (i.e., text generation and

transcription) and knowledgeable about writing content, audience needs, and specific genres (McCutchen, 2006). In contrast, students with writing difficulties do significantly less planning and revising and frequently just write down any information that may be relevant to the topic, paying precious little attention to the intended audience or text organization (Graham & Harris, 2009). In addition, poor writers tend to produce text that lacks clarity as well as being shorter, poorly organized, and less interesting than good writers (Hooper, Swartz, Wakely, de Kruif, & Montgomery, 2002).

In this chapter, we provide an overview of the current literature regarding beginning writers, with a particular focus on the cognitive and neuropsychological research that has implications for the translation process during writing. This overview will highlight specific theories with direct relevance to translation during writing, as well as provide a discussion of self-talk procedures and how they can provide a “window” into the various aspects of the translation process. We also discuss several evidence-based approaches to the remediation of written language problems, with a particular focus on explicit instruction and strategy instruction, and their potential impact on translation. As the reader will note, these combined efforts have yielded significant findings with respect to our understanding of early translation processes in young elementary school children, but there remains a myriad of questions to be examined in this understudied yet critical aspect of written expression.

TRANSLATION DURING COMPOSING

In order to write, a person must have an idea, know the meaning of the symbols, translate the idea to symbols, and have the ability to form the symbols. Furthermore, the writer needs to comprehend the structure (i.e., sentence, paragraph, and text), content (i.e., ideas and their relationships), and purpose (i.e., writer’s goals and audience) of the writing process (Collins & Gentner, 1980). In addition to these skills, a number of neuropsychological functions are considered important for the writing process including memory, attention, graphomotor output, sequential processing, higher-order cognition, language, and visual–spatial functions (Levine et al., 1993); however, the current literature does not fully account for the relationships among these processes and some necessary functions still remain undefined. If translation is a multidimensional process, as noted in [Chapter 1](#), then a variety of neuropsychological functions will likely be involved in the unfolding of the translation process. For example, a number of studies have shown the importance of specific linguistic factors (e.g., semantics, grammar), along with academic functions such as handwriting and spelling, as key dimensions of written expression (Berninger & Rutberg, 1992; Hooper, Wakely, de Kruif, & Swartz, 2006; Sandler et al., 1992; Wakely, Hooper, de Kruif, & Swartz, 2006); however, how these functions contribute to the translation of ideas into text continues to require scientific examination. Further, the developmental process of writing and its associated cognitive underpinnings in young children is an area that has received relatively little attention (Hooper et al., 2006), but the application of these findings to the translational process may hold critical clues for increasing our understanding of

whereas a spelling factor did at some grade levels (Graham, Berninger, R. Abbott, S. Abbott, & Whitaker, 1997). Taken together, these investigators concluded that transcription may impose constraints on compositional quality. Intact handwriting and spelling may facilitate good translation of thought into text, but even individuals with good core handwriting and spelling skills may experience difficulties in translating their thoughts efficiently and effectively into text, perhaps secondary to other neuropsychological functions (e.g., planning) that may be developing more slowly and/or in a dysfunctional fashion (Graham et al., 2009; also see [Chapter 5](#) in this book, for such evidence). In other words, good transcription does not guarantee good translation!

Although the predictive value of transcription functions is critical to our understanding of written expression of ideas, and in the prediction of writing trajectories (Hooper, Roberts, Nelson, Zeisel, & Kasambira-Fannin, 2010), other research has focused on the processes that contribute to transcription. For example, one function necessary for transcription into written word spelling is phonemic awareness. Phonemic awareness is essential in literacy acquisition (Edwards, 2003; Juel, Griffith, & Gough, 1986), that is, the development of both reading and spelling (Mehta, Foorman, Branum-Martin, & Taylor, 2005). Children will not acquire spelling-sound correspondence knowledge until a prerequisite amount of phonemic awareness is attained; moreover, such constraints due to lack of spelling-sound correspondence knowledge will likely place limitations on transcription and thus on a young writer's ability to translate ideas into writing (Puranik, Lonigan, & Kim, 2011).

Indeed, Abbott, Berninger, and Fayol (2010) found a relationship across adjacent grades from word spelling to text composition, suggesting that individual differences in spelling are related to individual differences in written composition, but this relationship was found consistently from spelling to text composition across grades 1–7 but only from text composition to spelling at some grade levels. Berninger, Abbott, Nagy, and Carlisle (2010) also provided longitudinal findings showing that phonological, orthographic, and morphological linguistic awareness undergoes growth (developmental change) in the first four grades, which has implications for spelling development, as shown with additional new analyses reported in [Chapter 4](#) in this book. Research has also shown that task requirements in the curriculum change in the upper grades when children also have to integrate reading and writing during the translation process for writing (Altemeier, Abbott, & Berninger, 2008; Altemeier, Jones, Abbott, & Berninger, 2006). Considerable research points to the translation process for writing becoming more complex with increasing age (Caravolas, Hulme, & Snowling, 2001; Ehri, 1997; Foorman, Francis, Novy, & Liberman, 1991; Juel, 1988; Mehta et al., 2005; Shanahan, 1984).

In addition to transcription skills, core linguistic capabilities, and selected aspects of short- and long-term memory abilities, another critical set of neuropsychological functions that have been shown to influence written expression development is the various executive functions (Hooper et al., 2002; Repovš & Baddeley, 2006). Executive functions include multiple neurocognitive abilities such as planning/problem solving, inhibitory control, and set shifting, but also working memory (Hayes & Chenoweth, 2006; Swanson & Berninger, 1994). Research examining the role of executive functions in the writing process has indicated that

poor writers in elementary school are less proficient in certain executive functions (Hooper et al., 2002). For example, Hooper et al. (2002) reported that children with writing problems experienced significantly greater difficulties in their initiation and set-shifting executive functions, functions that could be directly linked to their translation abilities, but not sustaining and inhibitory control abilities when compared to typical writing peers. Other research has studied the executive functions in integrating reading and writing during note taking and report writing in elementary school students (Altemeier et al., 2006). Inhibition and set shifting have longer developmental trajectories than other executive functions, but their contribution to written expression has only begun to be examined. Furthermore, it is important to remember that executive functions vary by grade and may be influenced by developmental level for other neuropsychological skills. For example, first and second grade students do not have as much automaticity with tasks as do their older counterparts, and consequently they will be in need of more external support for planning abilities than older students (Altemeier et al., 2006). How these various executive functions change over time, particularly in relationship to translation, remains an active topic of investigation.

The contributions of working memory to writing is well established (e.g., Lea & Levy, 1999; McCutchen, 2000). Whether working memory is poorly developed for an individual (Vanderberg & Swanson, 2007) and/or if there are increased demands placed on the working memory system by task requirements such as graphic execution and control (Bourdin & Fayol, 1994), studies of translation should examine working memory. The working memory systems underlie the active maintenance and simultaneous management of multiple ideas, the retrieval of grammatical rules from long-term memory, and the recursive self-monitoring that is required during the act of writing (Kellogg, 1999); thus, working memory undoubtedly contributes to the translation (Vanderberg & Swanson, 2007; Whitaker, Berninger, Johnston, & Swanson, 1994). More generally, working memory has been found to make both general and domain-specific (e.g., verbal versus visual-spatial) contributions to the writing process (Hooper et al., 2006; McCutchen, 1996; Swanson & Berninger, 1994). A breakdown in working memory may lead to problems with written output (Levy & Marek, 1999), perhaps secondary to its influence on translating ideas into text. A variety of studies have indicated that poor writers typically have reduced working memory capacity or inefficient working memory that could undermine the entire translational process. How developmental changes in this system contribute to deficits or facility in the translation process remains to be determined.

NORTH CAROLINA WRITING SKILLS DEVELOPMENT PROJECT

Our research team has focused on the relationships and developmental stability of specific neuropsychological functions hypothesized to be involved in writing expression (Hooper et al., 2011). Relatively few researchers have empirically studied these components simultaneously and over time, which is the goal of the North Carolina Writing Skills Development Project. The primary purpose of this study

was to develop an empirical measurement model that encompassed the neuropsychological components that have been deemed as important to the development of written language. Once derived, could these neuropsychological components remain stable over first and second grades and would they show significant concurrent and predictive relationships with written expression?

The sample included 205 first grade students recruited from a single school district, some of whom were at risk for writing disabilities. We plan to track these students into the fourth grade, although our initial data analyses only report findings from students who were followed into the second grade. Measures were aligned with major neuropsychological components as extracted from key theoretical models of written expression, such as the Hayes and Flower, modified Hayes and Flower model, and the not-so-simple view of writing models, along with available empirical findings examining the neuropsychological contributors to writing in children. These included fine-motor speed, language, short-term memory, long-term memory, and targeted attention/executive functions including working memory. Using confirmatory factor analyses strategies and longitudinal structural equation modeling methods, we documented the three core latent traits that were stable at both grades 1 and 2: fine-motor, language, and attention/executive functions. These empirically derived factors were highly related to written expression and spelling at both grades 1 and 2, with the first grade latent traits accounting for 52% of the variance in second grade written expression and 55% for spelling. At both grades, the language and attention/executive functions latent traits were more highly associated with written expression and spelling than the fine-motor latent trait (Hooper et al., 2011).

This model provides a foundation for researchers who desire to examine the neuropsychological contributors to writing development in the early grades. We discovered that the impact of fine-motor, language, and attention/executive functions on written expression and spelling was stable from first to second grade. The language and attention/executive function abilities were likely to be particularly important mediators of the translation process in early writing.

SELF-TALK STRATEGIES AND TRANSLATION

Closely related to neuropsychological functions and translation are the connections between inner thoughts and written output and the related processes for making these connections, which are often studied using qualitative assessment strategies, such as self-talk and think-aloud strategies. Although the major cognitive models and associated neuropsychological findings provide significant clues with respect to *what* may be contributing to the translating process of writing, they do not necessarily inform *how* this process may be evolving during the actual writing task. Understanding the cognitive processes involved in writing and how they develop over time still leaves questions as to how the processes are effectively utilized during writing. Further, given known individual differences across nearly all cognitive abilities, it remains to be seen how students with differing skill levels of writing utilize these self-talk processes during the translational process, or even if they are aware of these processes.

strategies) for developing goal-setting statements, explicitly reviewing the text for the purpose of generating ideas, and utilizing reflective selection to choose among the ideas—potentially key facets of translation in the writing process.

Finally, Green and Sutton (2003) investigated how providing support during writing, in the form of “think-aloud” strategies, to 600 11-year-old students contributed to improving the writing process. Students were asked to verbalize their thoughts as they planned a piece of writing, fill out planning sheets, and participate in a semistructured interview about the writing process. The goal here was to probe qualitatively children’s thinking as they faced a writing stimulus and planned their writing, and to understand the children’s own perceptions of their strengths and weaknesses as well as their strategies in planning their written work. Results suggested that writing performance improved when the students considered the audience and purpose of the writing task. These findings provided important clues to key components of the translation process during written language in children.

EVIDENCE-BASED INSTRUCTION FOR FACILITATING TRANSLATION

Several evidence-based efforts have been successful in improving the translation process for children at risk for writing problems. The overarching question here is whether translation of thought into text can be facilitated or improved by specific instructional strategies. And, if so, how does this occur? One basic comparison among treatment approaches differentiates those that rely primarily on explicit skill instruction versus those that primarily depend on strategy instruction, either of which can be implemented within a longitudinal efficacy design as explained in the section “Longitudinal Efficacy in Writing.”

Explicit Writing Instruction

One evidence-based instructional approach aims at improving translation by improving transcription through explicit instruction (e.g., see [Chapter 7](#) in this book). When transcription skills in children with low handwriting skills are improved, some transfer to improved composition has been observed (Berninger et al., 1997; also studies reviewed in [Chapter 7](#)). Improving transcription may improve translation in children by overcoming the “bottleneck” responsible for the struggle to get their ideas down on paper or on the computer screen, but these children may also benefit from explicit instruction in translation as well (Berninger, 2009; Berninger & Abbott, 2002). From a cognitive perspective, instructional approaches that improve the automaticity of transcription free-up working memory that supports the other ongoing processes during translation (see [Chapter 7](#)). Many schools are not providing explicit, systematic instruction in transcription skills, and those for whom this may be an impediment to their writing may experience associated problems during translation.

Other research is examining optimal transcription mode for individual writers, for example, handwriting or keyboarding. Although developmental research

showed that second, fourth, and sixth graders wrote longer essays at a faster rate and expressed more ideas, much remains to be learned about tailoring optimal transcription mode to individual child writers during writing instruction. Children with transcription disabilities require not only accommodation but also specialized instruction.

Research has shown that for students with writing problems, explicit writing instruction appears to be essential (Berninger, 2009; Gleason & Isaacson, 2001; Hooper et al., 2009; Troia, 2002). In addition to improving transcription skills, explicit instruction has been shown to improve planning capabilities that, in turn, have produced increased length, better organization, and improved quality of students' compositions (Baker, Chard, Ketterlin-Geller, Apichatabutra, & Doabler, 2009; Graham & Harris, 2009; Harris & Graham, 2009). In general, the magnitude of the treatment effects has ranged from small (Berninger & Abbott, 2002; Hooper et al., 2011) to large (Englert et al., 2009; Graham & Perin, 2007), depending on the outcome variables used, instructional formats employed, the age of the students, and the specific interventions that were implemented. But see Berninger et al. (2000) for a double dose approach to getting all low achieving spellers up to at least average range for grade.

Longitudinal Efficacy in Writing

In *The North Carolina Writing Development Project*, we are conducting an ongoing evidence-based intervention for early elementary school students at risk for writing problems (Hooper et al., 2011). This study will provide us with some of the first longitudinal efficacy data in teaching writing skills. In general, longitudinal efficacy refers to following the same group of students over time after an intervention or series of interventions during this time period. Figure 8.1 depicts this longitudinal treatment design in which at-risk students are identified by a targeted screening at Time 1 and then randomly assigned to an explicit treatment versus

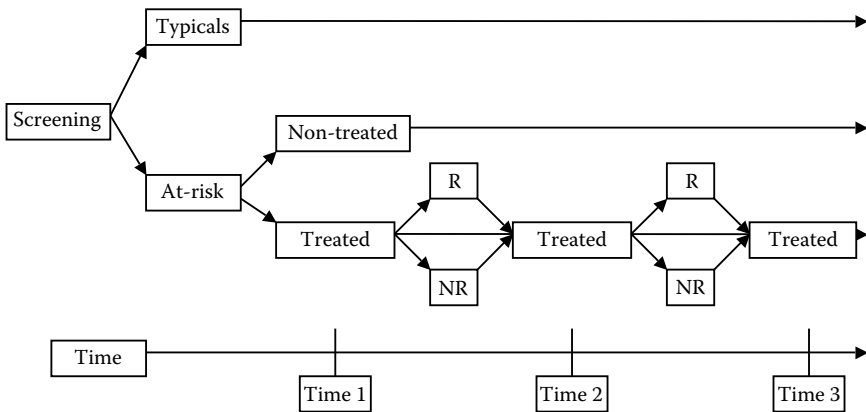


Figure 8.1 Longitudinal treatment design.

other (e.g., alternative treatment) and/or no treatment (e.g., business-as-usual) conditions. It is important to note that typical or nonaffected students are also identified by the initial screening. Although not a necessary component to treatment efficacy, this group allows for the comparison of learning slopes to typical, nonaffected students in an effort to determine if the intervention(s) can “normalize” a student’s performance in a specific academic area. Once the groups are determined, students receive ongoing assessments (e.g., pretest and posttest) to determine who responds (R) and who does not respond (NR) to Time 1 treatment. Some longitudinal efficacy studies simply track students over multiple time points following the designated intervention; however, other studies track students over multiple time points following multiple interventions. In the latter condition, response-to-treatment then becomes a variable for inclusion in the next round of data analyses.

In the North Carolina Project, employing a randomized control trial design, students were identified as being at risk ($n = 138$) or typical ($n = 67$) in writing in grade 1, and the at-risk group was randomly assigned to treatment ($n = 68$) or business-as-usual conditions ($n = 70$) for grade 2. The writing intervention comprised Lesson Set 4 from the *Process Assessment of the Learner (PAL) Reading and Writing Lessons* for second graders with spelling problems (Berninger & Abbott, 2003), with the intervention occurring in small groups of 3–6 students twice a week over the course of 12 weeks during the spring of second grade. Our results indicated the overall rate of growth in writing skills significantly accelerated following the treatment for the at-risk treatment group when compared to the nontreatment at-risk group. Although the children in our studies were identified using different inclusion criteria (at risk in a variety of writing problems) than those in the studies on which the lessons were based, which included only second graders with spelling disabilities (Lesson Set 4 *PAL Reading and Writing Lessons*), improvement in translation could be inferred by the improvement in the writing products in our study. Of interest to how neuropsychological functions interact with treatment, we did not uncover any significant moderator effects from our neurocognitive variables (fine-motor, language, executive functions). However, the findings suggested that examination of these types of interactions could yield important findings in future studies, particularly with respect to response-to-intervention methods (also [Figure 3.1](#) is relevant to this claim). Following the longitudinal efficacy design, our students have now completed the third grade intervention using Lesson Set 7 in the *PAL Reading and Writing Lessons*, and a fourth grade intervention is planned, and findings from those interventions are forthcoming.

To summarize, the findings from the North Carolina Writing Development Project suggest the need for ongoing exploration of evidence-based treatments in writing, particularly with respect to longitudinal efficacy, and support further ongoing examination of possible neuropsychological moderators for effective treatment in samples with a variety of writing or writing-related problems rather than a specific one. Further research is needed to determine whether explicit instruction has to be related to specific diagnosed writing deficits to be optimally effective in improving the translation process.

instruction (e.g., teach strategies for planning, revising, and editing; set clear and specific goals for what writers are to accomplish in their writing product) that have evolved from use of the SRSD model. Taken together, these strategies have helped students improve five main areas in writing: the genre needs in writing, the quality of the written output, the knowledge of writing, the approach to writing, and a student's self-efficacy for writing. Improvements have also been reported in core components of writing such as planning, revising, content-specific messages, and mechanics. Maintenance and generalization of these skills have been demonstrated across genres, students with different needs, and educational settings (Harris & Graham, 2009). Furthermore, the teaching of strategy development with students in late elementary school and beyond coincides with what is known about development of the prefrontal cortex and associated brain functions at this developmental time period (Hooper et al., 2002).

As well, there is a strong match between the ascendance of executive functions with respect to their importance to writing as children age, and the use of instructional strategies that capitalize on their capabilities to learn and deploy such strategies. The instruction that occurs via the SRSD approach for specific strategies is directly tied to the writing process as well as the writing product. The specific features of each strategy not only relate to how the students will change their approach to the writing task but also have an effect on how they move through the writing process, including the translational phase. Consequently, this evidence-based intervention likely holds significant promise for modifying the translation process during written expression in positive ways.

CONCLUSIONS

In accordance with the focus of this volume, more research is needed on the translation process during composing. Cognitive models have provided many key components that are needed to engage in successful and consistent translation, but more remains to be learned. The not-so-simple view of writing encourages the field to investigate how writing supports externalizing cognition, that is, access to thoughts and thinking by producing products of translation which can be visibly inspected and reinspected, thus overcoming limitations in internal working memory from which stored contents may disappear and not be readily accessed over time. In this chapter, we emphasized the theoretical models and empirical support for neuropsychological functions critical to the translation process in the written language of young elementary school children. Key among these are neuropsychological functions for language, executive functions, and working memory. These processes may not only predict translation during composing across development but also may be influenced by developmental changes in translation and can inform instruction. Moreover, these neuropsychological processes may be the window on individual differences that may place qualifications on all the other models and frameworks—how translation works may be influenced to some degree by individual differences in an individual writer's neuropsychological processing.

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