

Submitted to *American Educational Research Journal*, June 7, 2018
Revision 1 submitted September 11, 2018
Final version submitted November 12, 2018
Accepted November 13, 2018

STARTING EARLY: THE BENEFITS OF ATTENDING
EARLY CHILDHOOD EDUCATION PROGRAMS AT AGE 3

Arya Ansari
Robert C. Pianta
Jessica V. Whittaker
Virginia E. Vitiello
Erik A. Ruzek

University of Virginia

Running Head: The Benefits of Early Childhood Education
Word Count: 120 (Abstract), 8,935 (Focal Text),
3 Tables, 1 Figure, 4 Appendices

We gratefully acknowledge the support of our many partners: school district leaders, community programs, teachers, parents, and children. Their enthusiastic cooperation and participation made much of this work possible. We also extend appreciation and recognition to Marcia Kraft-Sayer, Marianna Lyulchenko, Laura Helferstay, and Brittany Kerr who each made valuable contributions to the project. The research reported here was supported by the Institute of Education Sciences, U.S. Department of Education, through Grant #R305N160021 to the University of Virginia. The opinions expressed are those of the authors and do not represent views of the Institute or the U.S. Department of Education.

Abstract

This investigation considered the short-term benefits of early childhood education participation at age 3 for 1,213 children from low-income families living in a large and linguistically diverse county. Although no benefits emerged for executive functioning, children who participated in formal early childhood programs at the age of 3 entered pre-K the following year demonstrating stronger academic skills, and less optimal social behavior than their peers with no earlier educational experience. However, these academic benefits were short-lived and did not persist through the end of pre-K, in large part because children who did not attend these programs at age 3 caught-up with their classmates who did. Roughly a quarter of this convergence in academics was attributed to children's subsequent classroom experiences.

Keywords: convergence; early childhood education; informal care; persistence

Starting Early: The Benefits of Attending Early Childhood Education Programs at Age 3

With mounting evidence that high quality early childhood education (ECE) programs help prepare children for school (Phillips et al., 2017; Yoshikawa et al., 2013), public funding for ECE has seen a rapid increase, with most state programs providing one year of public ECE for 4 year olds (Friedman-Krauss, Barnett, Weisenfeld, Kasmin, DiCrecchio, & Horowitz, 2018). In many locations, increased access to public ECE programs has not been limited to 4 year olds, with programs also enrolling younger children. As a result, there has been a rise in ECE enrollment rates among 3 year olds, such that many children today participate in ECE for multiple years before entering kindergarten (e.g., Aikens, Klein, Tarullo, & West, 2013; Jenkins, Farkas, Duncan, Burchinal, & Vandell, 2016). Indeed, national statistics reveal that between birth and 2 years of age, roughly 16% of children experience ECE, but the percentage enrolled increases to 35% of 3 year olds and 60% of 4 year olds (NSECE Project Team, 2015) and the median age of ECE entry is 3 years of age (authors' calculations from the ECLS-K: 2011). Given the widespread utilization of ECE as an educational and developmental resource for children from low-income communities, there is a growing need to understand the extent to which earlier exposure to these programs serving our nation's youngest and most at-risk children provide them with developmental benefits and whether detected benefits persist over time.

In the present study, we add to the research base on the encouraging findings regarding the short-term benefits of contemporary ECE programs serving 4-year-olds, by examining the benefits of ECE participation for children of economic disadvantage who enroll in these programs at the age of 3, within a large, culturally and linguistically diverse county. It is important to note that, at this age (and in the participating state), the primary programs serving 3-year-olds are Head Start and other community-based programs that span across local and

national chains (Friedman-Krauss et al., 2018). And, we extend the contributions to the literature by considering the extent to which there is convergence in the benefits of ECE by the end of children's 4-year-old pre-K year (from here forth referred to as the pre-K year), and if so, *why* convergence occurs and *whether* it occurs as a result of catch-up (i.e., children without prior ECE experience making ground) or fadeout (children with prior ECE experience losing ground). In doing so, this investigation is poised to add to the limited literature that has considered children's ECE experiences at age 3 and, thus, can provide greater insight into the implications of program participation and the conditions under which large, diverse communities construct and implement early education systems that promote learning and, ultimately, reduce achievement and opportunity gaps. At the same time, this research may also inform the debates surrounding the nature of convergence by providing new insight into the factors that contribute to (or inhibit) the persistence of early benefits.

Short-term Effects of ECE

Numerous studies have now demonstrated that the effects of contemporary and large-scale ECE programs on children's short-term academic development is quite positive (for reviews see: Duncan & Magnuson, 2013; Phillips et al., 2017; Yoshikawa et al., 2013). Children of all backgrounds—and especially those from low-income and disadvantaged homes—who attended high quality early childhood programs at age 4 enter kindergarten more ready academically, with an average treatment effect of approximately 0.25 standard deviation units (Bailey, Duncan, Odgers, & Wu, 2017). Despite these promising findings, there remain far fewer studies of program participation at age 3, which is concerning because, as noted above, there is now a substantial proportion of 3-year-olds enrolled in ECE, and there is considerable variation in these benefits as a function of program design, populations and ages served, and the broader

community context (Duncan & Magnuson, 2013; Phillips et al., 2017). As has been argued, the consistency and variation in the short-term academic benefits of contemporary ECE programs serving diverse populations across different locations require attention and clarification (Phillips, Johnson, Weiland, & Hutchison, 2017).

In contrast to the short-term academic outcomes of ECE, the findings for children's socioemotional development and their executive functioning, which are recognized as two key skills for lifelong learning (Heckman & Kautz, 2012; Masten et al., 2012; McClelland et al., 2013), remain far more mixed (Phillips et al., 2017; Yoshikawa et al., 2013). Indeed, some educational scholars have documented negative effects of ECE enrollment for children's behavior (e.g., Ansari, 2018; Bassok, Gibbs, & Latham, 2018; Magnuson et al., 2007; National Institute of Child Health & Human Development [NICHD] Early Child Care Research Network, 2003) and others have documented positive or null effects (e.g., Forry, Davis, & Welti, 2013; Puma et al., 2012; Weiland & Yoshikawa, 2013; Zachrisson, Dearing, Lekhal, & Toppelberg, 2013) for these dimensions of children's development.

Additionally, when considering explanations for variation in the immediate benefits (or drawbacks) of ECE, one point of discussion is children's age of entry. For example, in some studies children who enter ECE programs by 2½ to 3 years of age and who remain in ECE through age 4 display stronger academic skills (but weaker social behavior skills in the short-term) as compared with children entering ECE at a later age or non-attenders (Burchinal et al., 2016; Loeb et al., 2004, 2007; Puma et al., 2012). On the other hand, others report that children who have more years of ECE experience benefit less from these arrangements over time (Jenkins et al., 2016; Yoshikawa et al., 2013). However, studies of the benefits of attending pre-kindergarten programs at age 4 greatly outnumber evaluations of programs serving 3 year olds,

which significantly limits our understanding of the effects of ECE programs given enrollment trends and the fact that more children today experience multiple years of ECE before entering kindergarten (Aikens et al., 2013; Friedman-Krauss et al., 2018; Jenkins et al., 2016).

Persistence and Convergence of ECE Effects

A common finding across studies that have had the advantage of following preschool-enrolled children for multiple years is that program benefits diminish (Camilli, Vargus, Ryan & Barnett, 2010; Clements, Sarama, Wolfe, & Spitler, 2013; Lipsey, Farran, & Durkin, 2018; Puma et al., 2012), a phenomenon known as convergence. Indeed, prior studies have found that convergence is most rapid during the year or two after program completion (Ansari, 2018; Li, Leak, Duncan, Magnuson, Schindler, & Yoshikawa, 2016). Contemporary ECE programs, on average, confer immediate academic benefits of roughly 0.25 standard deviation units; but after 12-24 months from program completion, these benefits are only 0.10 standard deviation units (Bailey et al., 2017). Whether contemporary programs have persisting benefits for children's social-behavior development and their executive function skills is unclear. However, studies that have tracked children over time report that immediate negative behavioral effects of ECE enrollment converge fairly rapidly after program completion, such that there are only small differences in children's social-behavior as a function of earlier ECE participation (Dearing, Zachrisson & Naerde, 2015; Pingault et al., 2015). For example, using data from the Early Childhood Longitudinal Study Kindergarten Cohort of 1998, Ansari (2018) found that preschool graduates entered kindergarten demonstrating elevated levels of behavior problems, with effect sizes of approximately 0.20 standard deviation units; but after 24 months from program completion, these differences were only 0.10 standard deviation units.

This convergence in the short-term effects of program participation has been found to occur for one of two reasons (Bailey et al., 2017; Barnett, 2011; Yoshikawa et al., 2013): (a) catch-up, or (b) fadeout. When considering the academic outcomes of ECE, catch-up results from non-ECE attendees making ground on their classmates with prior ECE experience, whereas fadeout occurs when ECE attendees demonstrate slowed progress over time. In contrast, when unpacking the immediate negative social-behavioral effects of ECE, catch-up occurs when non-ECE attendees demonstrate increased social-behavioral difficulties over time, whereas fadeout would occur when ECE graduates display reductions in problem behavior. Several hypotheses have been put forth as potential explanations for the convergence in program impacts, including: theories of sustaining environments, models of skill building, and the social group adaptation hypothesis (Bailey et al., 2017; Pingault et al., 2015). We touch on these arguments below to frame an analysis of the multi-year benefits of children enrolling in ECE programs as early as age 3. It is important to note that theories of sustaining environments and models of skill-building are generally concerned with children's academic achievement, whereas the social group adaptation hypothesis addresses children's social-behavioral development.

Sustaining environments. Children from different backgrounds enter school with wide-ranging differences in personal, experiential, and social psychological factors that affect their transition to (and subsequent experiences in) school (Entwisle & Alexander, 1988). One of these experiential differences includes enrollment in ECE programs even before entering pre-K as a 4 year old. Presumably, educational experiences in ECE as a 3 year old (and earlier ages as well) could provide a basis for further educational progressions as a 4 year old, or could serve as a lost opportunity if subsequent educational opportunities fail to build on prior gains (Claessens, Engel, & Curran, 2014; Magnuson et al., 2007). This alignment of educational systems (or lack thereof),

which is described as “sustaining environments,” is of interest because the benefits of ECE only persist if graduates of these programs continue to learn new skills at the same or a faster rate as compared with their peers who did not attend ECE at age 3. Accordingly, misalignment across children’s early educational experiences can be one of the primary reasons for convergence as described earlier.

Models of skill building. A second argument surrounding the convergence of early ECE effects stems from theories of skill-building. Although early investments are thought to shape children’s long-term development by providing foundational skills necessary to succeed in school (Cunha, et al., 2006; Duncan et al., 2007), Bailey and colleagues (2017) argue that for long-term benefits to emerge, the skills children learn in ECE must matter in relation to learning subsequent skills and not otherwise develop among children not enrolled in these programs. In support of these very points, mastery of more constrained skills, such as letter-word identification and counting, occurs within a short time span given their fixed endpoints (Paris, 2005). Such constrained skills may be both responsive to instruction and fundamental for later learning and, as a result, exposure to early education programming may produce a noticeable positive effect in the short-term. However, convergence, for those skills, is also probable if children not exposed to ECE acquire such skills shortly after school entry.

Social group adaptation. The final point of consideration underlying convergence—and in particular, for the diminishing negative behavioral effects of ECE—stems from process related to social group adaptation (Pingault et al., 2015). Within this framework, it is argued that the vast majority of children enter school-based settings at some point in their life (some earlier and some later) and therefore all children must adapt to and integrate into social groups. When children enter ECE for the first time, they must adapt to social and school-based settings often resulting in

heightened behavior problems (e.g., Ansari, 2018; Bassok et al., 2018; Dearing et al., 2015; NICHD Early Child Care Research Network, 2003; Pingault et al., 2015). Because this same adaptation process unfolds for *all* children, any initial differences that stem from ECE enrollment are hypothesized to diminish as non-ECE enrolled children enter school and adapt to their social settings at a future point in time (Pingault et al., 2015). Under this frame, any immediate negative social-behavioral effects of ECE participation may have more to do with children adapting to new social groups, which is inevitable for all children, rather than a specific effect of ECE. However, several studies find lingering—albeit small—persisting negative associations between ECE enrollment and children’s social-behavioral functioning through the early elementary school years (e.g., Ansari, 2018; Belsky et al., 2007; Bassok et al., 2018).

The Current Study

In light of interest in the benefits of ECE programs and increased numbers of children entering ECE at an earlier age, as well as increasing importance of identifying conditions that sustain program benefits, the current investigation addresses the following research questions:

1. Do children who attended ECE at the age of 3 demonstrate stronger academic, socioemotional, and executive function skills at the *start* of the following school year (i.e., their 4-year-old pre-K year) as compared with children without prior ECE experience as 3-year-olds?
2. To what extent do benefits of ECE participation at age 3 persist through the *end* of the following school year, and is there evidence for convergence?

In addition, if there is empirical evidence of convergence, then:

3. To what extent is convergence attributed to catch-up among children who did not participate in ECE at age 3 as compared with fadeout among those who did?

4. What share of this convergence is attributed to child, family, and classroom-wide factors?

We hypothesized that children who attended ECE at age 3 would demonstrate stronger academic skills upon school entry in the following year, but we did not make directional hypotheses about the possible associations between ECE participation and children's social-behavioral development or their executive functioning given the conflicting evidence in the existing literature (Phillips et al., 2017). Additionally, given the ambiguities surrounding the persistence of ECE effects outlined above, we also left the remainder of our study aims as largely exploratory. But based on prior theory and other work in the literature we: (a) expected that if convergence were to occur, then it would be larger for more constrained skills (e.g., letter-word identification) than unconstrained skills (e.g., vocabulary knowledge; Bailey et al., 2017; Paris, 2005); and (b) if there were any negative social-behavioral effects of ECE, then they would converge—at least partially—by the end of the pre-K year (Pingault et al., 2015).

Method

Recruitment and participants

Data for the current investigation were drawn from a sample of children from low-income families who lived in a large, culturally, and linguistically diverse county that served roughly 200,000 students from pre-K through twelfth grade in the 2016-2017 school year. Within this county, teachers were recruited in the fall of 2016 from the entire population of school and community-based pre-K programs that served children from low-income families. As part of the recruitment procedures, all pre-K teachers in public schools were considered eligible for participation, but in community-based programs, teachers were only eligible if they taught at a center in which more than five publicly-funded pre-K children were enrolled. Of the 155 preschool teachers, 138 teachers in 83 schools/centers consented to participate (89%

participation rate; range of classrooms per school = 1-9; roughly 1.64 classrooms per school). Participating teachers sent all parents or guardians of their students a consent form and a short family demographic questionnaire. Children were considered eligible to participate in the larger study if they turned 4 years old by September 30 and were not receiving special education services (except for speech). On average, seven out of every ten parents who received the recruitment packets at the beginning of the school year in each classroom consented to allow their children to participate in the study (five classrooms had low parental consent and ranged from 5-22%; the remainder ranged from 25-100%). Of the 1,500 consented children, approximately 71% attended full-day pre-K classrooms within schools, whereas the remainder attended a Head Start classroom (18%) or full-day community-based pre-K (11%), which consisted of subsidized slots in private child care centers.

The analytic sample for the current investigation includes 1,213 children and families of the original recruitment sample who had valid reports of age 3 ECE participation (more details provided below). Two-hundred and eighty-seven children were excluded from our study because their parents did not return the demographic questionnaire at the beginning of the year ($n = 236$) or their parents did not answer the question on the demographic questionnaire regarding age 3 ECE experiences ($n = 51$). But in terms of child gender, child race/ethnicity, and home language, which were provided by the school and, therefore, available for the majority of study participants, we found no significant differences between our study sample and those children who were excluded. Children who were included in our sample, nevertheless, were roughly half a month older ($p < .05$), more likely to have attended a Head Start classroom (19% versus 14%, $p < .05$), and less likely to have attended a community-based classroom (9% versus 18%, $p < .001$) at the age of 4. On the other hand, children who were included in our sample were no more or

less likely to have attended a school-based classroom at the age of 4 as compared with children who were excluded from our analytic sample.

At the aggregate level, the 1,213 children who were included in our final analytic sample were racially and ethnically diverse (61% Latino, 17% Black, 12% Asian/other, and 10% White), came from households with an income-to-needs ratio of 0.87 ($SD = 0.54$), had mothers who were 34 years of age ($SD = 7.20$), and had mothers who averaged a little over a high school education ($M = 12.66$, $SD = 1.81$). There were an equal number of males (50%) and females (50%) and children were 4.41 years of age ($SD = 0.29$) at pre-K entry (or 3.31 year of age at entry into ECE). Eighty percent of study children spoke a language other than English in the home. For other sample descriptive information stratified by age 3 ECE arrangement, see Table 1.

Measures

Early childhood education enrollment. During the beginning of children's 4-year-old pre-K year, parents were asked about their children's primary (and if applicable, secondary) caregiving arrangement during the prior school year when children were 3 years of age. Similar to prior studies (e.g., Crosnoe, Purtell, Davis-Kean, Ansari, & Benner, 2016), we categorized children as having attended ECE if they had *any* exposure to "a childcare center or preschool classroom" at the age of 3, which included private childcare centers, church-based programs, school-based programs, and Head Start. Children who were only cared for by their parents, relatives, babysitters, or family child care providers were categorized as having attended informal care. Based on this classification strategy, 204 children were considered to have attended a formal ECE program at the age of 3, and the remainder, and majority, had no formal ECE experience during the year before pre-K ($n = 1,009$, roughly 75% of whom were cared for by their parents at home). Of the children who attended a formal ECE program at age 3, 54%

subsequently attended a school-based program, 16% attended Head Start, and 30% attended a community-based program at age 4. As a precaution, we also considered whether the benefits of ECE enrollment at age 3 reported below varied as a function of the subsequent type of classroom children attended at age 4 and found no differences (results available from authors).

Academic achievement. Children's academic achievement was directly assessed during the fall and spring of the pre-K year with four subtests from the Woodcock Johnson III Psychoeducational Battery (WJ-III; Woodcock, McGrew, & Mather, 2001). First, the Letter Word Identification subscale was used to measure children's *literacy skills* ($\alpha = 0.94$). As part of this assessment, children were required to identify printed letters and words. Next, the Picture Vocabulary subtest ($\alpha = 0.81$) was used to measure children's *language skills* and required that children identify objects that were depicted in a series of pictures. Finally, two subscales of the WJ-III were administered to measure children's *math skills*: Applied Problems ($\alpha = 0.93$) and Quantitative Concepts ($\alpha = 0.91$). The Applied Problems subscale required that children perform basic math calculations in response to orally presented problems, whereas the Quantitative Concepts battery required children to identify number patterns. These two subscales were composited to create an indicator of math achievement (within time $r_s = 0.69-0.71$). For the purposes of the present study, we used standard scores for these assessments, which were externally benchmarked and describe children's academic performance relative to the average performance of their same-age peers. The test developers benchmarked these test scores such that the average standard score was 100 with a standard deviation of 15.

It is important to note that children were assessed in English unless they failed the language screener (PreLAS; Duncan & De Avila, 1998); if this was the case, and they spoke Spanish, then they were assessed with Woodcock-Muñoz (Woodcock & Munoz-Sandoval,

1996), in the fall of pre-K (17%) in addition to the English assessments. In the spring, however, all children were assessed only in English. For the purposes of the present study, we used children's scores from the English version of these assessments during the fall of pre-K.

Socioemotional skills. In the fall (November-December) and spring (April-May) of the pre-K year, children's teachers were asked to rate a series of items according to how well they described the study child. These items were derived from the Teacher Child Rating Scale (TCRS; Hightower, 1986) and were based on a 5-point Likert scale (1 = *not at all*, 3 = *moderately well*, 5 = *very well*). Overall, these survey items from the TCRS tap into two different dimensions of children's socioemotional skills: social competence (15 items, $\alpha = .0.94$; e.g., tolerates frustration, a self-starter, accepts imposed limits) and conduct problems (6 items, $\alpha = 0.89$; e.g., disruptive in class, defiant, and overly aggressive with their peers).

Executive function. Children's executive function skills were measured with three direct assessments in the fall and spring of the pre-K year, namely: the Backward Digit Span Task (BDS; Carlson, 2005), the Head, Toes, Knees, Shoulders Task (HTKS; McClelland, Cameron, Connor, Farris, Jewkes, & Morrison, 2007), and the Pencil Tap Task (Smith-Donald, Raver, Hayes, & Richardson, 2007). As part of the BDS assessment, a trained data collector read a string of numbers to the child and the child then had to repeat back the reverse string of numbers. The HTKS battery required children to do the opposite of what the data collector asked of them (e.g., touch their head when told to touch their toes). And, finally, as part of the Pencil Tap Task, children were instructed to tap their pencil once when the assessor tapped twice (and vice versa). Each of these measures has been extensively used and validated with preschool-aged children (Carlson, 2005; McClelland et al., 2013; McClelland & Cameron, 2012; Smith-Donald, et al., 2007). Because the associations between ECE participation and all three subscales were the

same, we standardized children's scores on each of the assessment batteries and created an overall composite of executive function (see Willoughby, Blair, & The Family Life Project Investigators, 2016 for a discussion of conceptual, pragmatic, and statistical evidence for compositing measures of executive functioning).

Analytic Strategy

One of the main concerns with studies on ECE (and educational research more generally) is that children's enrollment in these programs is not exogenous, which can undermine causal inference as factors that select children into ECE might also influence their success in school (Duncan & Magnuson, 2013; NICHD Early Child Care Research Network & Duncan, 2003). To address this issue of selection, all models in this study adjust for factors that capture *children's own characteristics* (age, gender, race/ethnicity), their *parents' capacity and resources* (years of education, home language, income to needs ratio), and other *household characteristics* (parent age, household size, number of children in the home). Each of these covariates was informed by prior studies, including a number of conceptual studies done on parents' ECE selection behaviors (e.g., Bassok et al., 2018; Chaudry, Henly, & Meyers, 2010; Coley, Votruba-Drzal, Collins, & Miller, 2014; Crosnoe et al., 2016; Early & Burchinal, 2001; Gordon, Fujimoto, Kaestner, Korenman, & Abner, 2013; Magnuson et al., 2007; Winsler et al., 2008). These variables were derived from either the parent survey at the start of the pre-K year or reported on by the school or center. All models also (a) address missing data (mean of 8%, range = 0-21%) via the imputation of 50 datasets with chained equations and (b) accounted for dependence in child outcomes with robust standard errors clustered at the classroom level. Additionally, because not all of our outcomes were externally benchmarked (e.g., socio-emotional development and executive

functioning), we calculate and report effect sizes based on the standard deviation of the overall study sample after imputation (i.e., $B_{\text{predictor}}/SD_{\text{outcome}}$).

With the above analytic framework in mind, our first set of analyses examined the benefits of children's participation in ECE at the age of 3 for their academic, socioemotional, and executive functioning in the fall and spring of their pre-K year. To address this research question, we estimated six regression models in Stata (StatCorp, 2009) that considered the associations between ECE enrollment at age 3 with each of the fall of pre-K outcomes. These same models were then re-estimated with the spring of pre-K outcomes substituted in.

Then, as a means of capturing whether there was evidence of convergence in the benefits of ECE across the pre-K year, we created a difference score (spring of pre-K outcomes – fall of pre-K outcomes) that captured the regression slopes of children's enrollment in ECE (versus informal care) for their early learning and development (for a similar approach see: Ansari, 2018; Magnuson et al., 2007). To illustrate the meaning of this variable consider the following example. If we found a positive and statistically significant association between ECE enrollment and academic achievement in the fall of pre-K and a negative and statistically significant association for the difference score, this would suggest that enrollment in ECE at age 3 is associated with more optimal academic performance at the start of pre-K, but these associations diminish by the end of the pre-K year. And because our academic measures were externally benchmarked, this allowed us to decompose the convergence estimates to gauge the extent to which ECE attendees lost ground (i.e., fadeout) as compared with non-ECE attendees who made up ground (i.e., catch-up). To do so, we estimated the marginal effects based on a model where the difference score was the outcome for children with and without prior ECE experiences (holding all covariates constant at their mean).

Finally, we took two approaches to explore the underlying reasons for convergence. The first was to compare a bivariate model that only regressed the difference scores on ECE enrollment with a model that included the characteristics of children and their families. In doing so, this model illustrates the degree of convergence that is attributable to our demographic controls. Second, to determine the extent to which the remaining share of convergence was attributed to classroom-level processes, we added classroom fixed effects (that is, dummy variables for all classrooms except one), which allowed us to hold constant *all* classroom-wide characteristics (e.g., teachers' qualifications, dosage and quality of instruction, individualization, classroom resources and materials, peer characteristics) that were the same for students in the same classroom.

Results

Beginning and end of pre-K year outcomes

As can be seen in Table 2, after adjusting for child, family, and household factors, participation in ECE programs at the age of 3 (relative to non-participation) was associated with stronger language, literacy, and math achievement upon school entry during the following year ($p < .01$). Effect sizes (ES) were as follows: 0.28 for language, 0.26 for literacy, and 0.20 for math. Results for executive functioning were not significant, but ECE attendees did demonstrate elevated levels of teacher-reported conduct problems at the start of the pre-K year (ES = 0.27, $p < .01$). Taken together, these results indicate that participation in ECE at age 3 was related to more advanced academic skills at pre-K entry, but less positive behavioral adjustment.

When assessing these students at the end of the pre-K year, we found that these academic associations did *not* persist over time (see Table 2). On the other hand, ECE attendees continued to demonstrate higher levels of conduct problems (ES = 0.24, $p < .01$) and at the end of the pre-K

year, they exhibited less optimal social competence ($ES = -0.22, p < .05$) as compared with children who attended informal care during the year prior.

Significance and source of convergence

We followed up these end-of-pre-K models with a series of convergence analyses, which confirmed that for each of the academic outcomes there was empirical evidence of convergence ($ps < .001$; see Table 3). That is, the associations between ECE enrollment at age 3 was significantly smaller by the end of the following pre-K year. Although the associations with literacy and math shrank by roughly 80-100%, the links between ECE enrollment and children's language skills shrank by only 60%. When decomposing these estimates, we find that this convergence largely stemmed from "catch-up" among informal care participants (see Figure 1), whose gains were considerably larger than the 3-year-old enrollees. Although age 3 ECE attendees also gained in skills significantly from fall to spring of their 4-year-old pre-K year, their gains were significantly smaller throughout the year. Similar patterns emerged for children's social competence: According to teachers, all children demonstrated improvement in social-behavior across the school year; however, these improvements were significantly greater among informal care participants. And, finally, even though ECE participants did not demonstrate stronger (or weaker) executive function skills at the beginning or end of the pre-K year, there was evidence to suggest that the difference across time was significant (see Table 3). This difference over time was attributed to the fact that children without prior ECE experiences at age 3 made larger executive function gains throughout the year than ECE graduates.

Having established that there was empirical evidence of convergence that largely stemmed from "catch-up", we next explored the portion of this convergence that was attributed to children's individual and family characteristics and classroom characteristics. As can be seen

in Table 3, roughly 20% of the catch-up effect in academic outcomes were attributed to the child and family covariates (i.e., column 2 versus column 1) and approximately 25% was attributed to classroom-wide factors (i.e., column 3 versus column 2). The inclusion of both the child and family demographic controls and classroom fixed effects accounted for approximately 40% of the total “catch-up” documented in this study (i.e., column 3 versus column 1). Moreover, approximately 20% of the reversal in children’s executive functioning was attributed to our child and family demographic covariates, but none of the reversal was explained by the classroom-wide factors. However, it is important to note that in using measurable covariates of child and family demographics there is an imbalance between how much variation we can capture at the family versus classroom level.

Finally, because existing studies have found that children’s social behavior is predictive of their future academic achievement (e.g, Arnold, Kupersmidt, Voegler-Lee, & Marshall, 2012; Hartman, Winsler, & Manfra, 2017) and we found that ECE attendees entered the pre-K year demonstrating elevated levels of behavior problems, we also considered the extent to which these negative social-behavioral effects might account for some of the aforementioned convergence in children’s academic achievement. Results from this exploratory analysis revealed that approximately 10% of the remaining convergence (net of demographics and classroom-wide factors) was attributed to the age 3 ECE enrollees less optimal social-behavior at pre-K entry. Overall, the inclusion of children’s social behavior in addition to the demographic controls and classroom fixed effects accounted for roughly half of the total documented convergence.

Robustness check

Given the non-experimental design of the present study, there are threats to inference regarding the associations between ECE enrollment at age 3 and children’s early learning, even

with our demographic controls. To address the possibilities of both measured and unmeasured confounds, we took several additional precautions to ensure that our findings are robust.

Propensity score matching. First, we employed propensity score matching methods, which are recognized as one of the strongest means of addressing issues of selection on observables (Rosenbaum & Rubin, 1983). Although propensity scores do not change the causal identification strategy, propensity scores consider whether there is overlap in the unmatched sample and the functional form assumptions are driving our findings. For these reasons, we matched children who were in an informal care arrangement at the age of 3 with those who attended ECE. We used the nearest neighbor method (with up to four matches) with a caliper of .05, ensuring a sufficient overlap between the two groups on their propensity scores. With these specifications, we matched roughly 98-99% of children who experienced ECE at age 3 with 44-51% of children in informal care (sample sizes vary across the 50 imputed datasets). To ensure that these propensity scores were successful, we assessed the quality of the matches by (a) examining whether there were significant differences between groups after matching and (b) checking the standardized mean difference between the groups to ensure that they were less than 10% of a standard deviation, a benchmark used to indicate negligible differences (Austin, 2011). Before matching, roughly 50% of the indicators were significantly different across groups, but after matching, there were no longer any significant differences (see Appendix Table 1). Likewise, after matching, none of the differences across conditions exceeded 10% of a standard deviation, suggesting that balance was achieved. Having successfully achieved balance, we replicated all models from Table 2 within the matched samples and these models confirmed our general conclusions discussed above (see Appendix Table 2). In fact, the average difference in the reported effect sizes between our OLS specification and the propensity scores models was

less than 0.01 and there continued to be evidence of convergence across the pre-K year (see Appendix Table 3).

Classroom fixed effects. In our primary models discussed above, we estimated classroom fixed effects to explore the underlying reasons for convergence. But similar fixed effects models can also be estimated when examining the benefits of children’s participation in ECE at the age of 3 for their early learning outcomes in the fall and spring of their pre-K year. In doing so, the variance occurs within (rather than between) classrooms and, thus, this analytic strategy addresses potential issues of selection on both observed and unobserved variables. Results from these classroom fixed effects models were also similar to our OLS models outlined above (see Appendix Table 2). In this instance, the average difference in our reported effect sizes between our OLS specification and the classroom fixed effects models was roughly 0.04, which lends confidence to our general conclusions.

Impact Threshold for Confounding Variables. Finally, we assessed the potential confounding role of unmeasured confounds through Impact Threshold for Confounding Variables analyses (ITCV; Frank, 2000) for all significant associations between ECE enrollment and children’s outcome scores during the fall and spring of pre-K. In short, ITCV measures the degree to which an unknown variable would have to be correlated with both the predictor and outcome variables to negate the observed associations. The equation takes the following form: $r_{xy} - r^{\#}_{xy} / 1 - r^{\#}_{xy}$, where $r^{\#}_{xy} = t / \text{SQRT}[(n - q - 1) + t^2]$. In this equation, t is the critical t -value, n is the sample size, and q is the number of model parameters. When covariates are included in our models, the equation becomes: $\text{ITCV}_{\text{no covariates}} \times [\text{SQRT}(1 - R^2_{xg})(1 - R^2_{yg})]$, where g is the set of covariates, R^2_{xg} is the R^2 value from a regression predicting the focal independent variable by the covariates, and R^2_{yg} is the R^2 value from a regression predicting the outcome by the

covariates. Higher ITCV values would suggest that some omitted third variable would have to be strongly correlated with both the focal predictor and outcome to negate the observed associations and, therefore, increase confidence in our general conclusions.

As can be seen in Appendix Table 4, results from these analyses revealed that an unknown confound would, conditional on the other covariates in our models, negate our findings reported in Table 2 only if the unmeasured variable correlated with *both* the predictor and our academic outcomes at roughly 0.20 (range 0.15-0.27). Similarly, an unknown confound would wash out our socioemotional findings reported in Table 2 only if the unmeasured variable correlated with *both* the predictor and the outcomes at roughly 0.15 (range 0.13-0.19). To put things in perspective, the only covariate that approached these thresholds was parent education, which correlated with ECE enrollment at 0.12 and with our academic outcomes at 0.19 (range = 0.18-0.20). None of the other covariates, conditional on maternal education, approached these thresholds, suggesting that our findings are likely robust to unmeasured variables.

We ran similar ITCV analyses for our convergence analyses from the covariate adjusted models reported in Table 3 and found that these results were even more robust: The average correlation required to negate the observed convergence in academic achievement was 0.26 (range 0.21-0.32; see Appendix Table 4). On the other hand, however, the differential improvement in children's social competence across the pre-K year as a function of ECE enrollment was more susceptible to omitted variables and required only an average correlation of 0.05 with both the predictor and outcome to negate the observed associations across time.

Discussion

With the growing investments in ECE programs for 3- and 4-year-old children in the United States (Duncan & Magnuson, 2013; Phillips et al., 2017; Yoshikawa et al., 2013), there

has been increasing research and policy interest in understanding the extent of program benefits and whether these effects persist over time. The purpose of the current investigation was to add to this growing literature by: (a) examining the benefits of ECE participation for children from low-income families who enroll in these programs at the age of 3 in a large, culturally, and linguistically diverse county, which reflects many of the demographic trends of the future; and (b) analyzing the nature and source of convergence, which is a topic that remains far less well understood. A number of relevant findings emerged from this effort, which we discuss in more detail below.

To begin, the present investigation contributes to the relatively small number of studies that have looked specifically at enrollment in ECE during the third year of life and how that enrollment correlates with children's early learning and development throughout the 4-year-old pre-K year. What our results reveal is that children who attended Head Start and other community- and school-based programs at the age of 3 (Friedman-Krauss et al., 2018) entered pre-K the following year demonstrating stronger language, literacy, and math skills. These effect sizes ranged from approximately 0.20-0.30, which is on par with the few other existing evaluations of ECE programs serving 3-year-olds (e.g., 0.15-0.35; Puma et al., 2012) and meta-analytic averages reported by Bailey and colleagues (2017) regarding ECE programs more broadly. In fact, the ethnically diverse children in our study sample who came from low-income homes and experienced ECE at age 3 entered the pre-K year demonstrating academic skills that were not too far off from national averages. In this regard, there is reason for optimism.

Even though the above results are consistent with both experimental and quasi-experimental findings in the existing literature, the other pattern of results reported in this investigation are potentially more concerning. More specifically, the estimated associations

between ECE enrollment as 3-year-olds and children's executive functioning at school entry during the following pre-K year were close to zero, and children with earlier ECE experiences demonstrated elevated levels of behavior problems at the beginning and end of their 4-year-old pre-K year and demonstrated less optimal social competence by the end of the year. It is of course possible that parents place more behaviorally challenging children in ECE at younger ages, which we unfortunately could not consider. However, two recent studies found that net of the covariates included in our models, there was little evidence of such child effects: Worse behaved children (or higher functioning children) were not more likely to experience ECE (Coley et al., 2016; Crosnoe et al., 2016). Thus, the negative behavioral outcomes of ECE that have been documented elsewhere with children from middle-class families (Ansari, 2018; Bassok et al., 2018; Belsky et al., 2007; Magnuson et al., 2007; NICHD Early Child Care Research Network, 2003) are also apparent, in this study, for children from lower-income homes.

There is likely no single explanation for these negative social-behavioral patterns. Indeed, some education scholars have argued that these associations may result from disruptions in parent-child relationships or by way of exposure to new high stress contexts and peers (Huston et al., 2015). But if this were the sole explanation, then our findings run counter to the social group adaptation hypothesis (Pingault et al., 2015), which contends that the negative behavioral effects of ECE are likely to rapidly converge because children who experience informal care must adapt to social group settings after the transition to school, which ECE attendees have already experienced beforehand. There is of course still a long-window for these negative behavioral associations to converge throughout children's educational careers, but one would presume that this adaptation process would occur immediately after the counterfactual condition enters school (Dearing et al., 2015; Pingault et al., 2015). Given these conflicting findings, future

studies in this area should more carefully consider *why* these negative associations emerge, as they are likely to have downstream consequences. Notably, similar to (Ansari, 2018), we also found that roughly 10% of the convergence in academic achievement was attributed to the fact that graduates of ECE entered the pre-K year with less optimal social-behavior, indicating these behavioral shortcomings may have interfered with classroom adjustment in ways that resulted in fewer gains in areas of math, language, and literacy throughout the school year.

Our results also support some of the arguments put forth by Bailey and colleagues (2017), who suggest that targeting malleable skills that would develop absent of intervention is insufficient for generating long-term impacts, in part because many of these skills are likely to develop rapidly among children in the counterfactual condition. Put another way, although children with an ECE experience at age 3 entered the pre-K year with early academic “advantages”, these advantages might disappear when children not exposed to ECE at age 3 are exposed to instruction in pre-K that is better aligned to lower-level skills. In terms of basic counting skills and letter-word identification, which represent some of the skills that all children might be expected to develop before the transition to kindergarten (Paris, 2005), we documented 80-100% convergence by the end of the pre-K year. Children with earlier ECE experiences entered the pre-K year with a modest advantage in these domains, but these advantages shrunk between the two groups because those in the comparison condition made large strides during the pre-K year and, as a result, caught up with their more advanced classmates. In contrast, for higher-order skills, such as children’s vocabulary knowledge, which is more open to ongoing development and improvement (Paris, 2005), convergence appeared less steep (roughly 60%). To put these estimates in context, consider the work of Bailey and colleagues (2017) who found that the cognitive impacts of early childhood education decreased by roughly 60% in the year

after program completion. Likewise, results from the Head Start Impact Study suggested that for academic outcomes, program impacts for 3 year olds diminished by approximately 75% through the following school year (Puma et al., 2012). Thus, the estimates of convergence reported herein are not too dissimilar from those reported in the extant literature.

In light of the above patterns of convergence, what our results make clear is that we must carefully think about the distinction between skills that would and would not develop in the early elementary years (or in pre-K) in the absence of ECE (Bailey et al., 2017), which has important implications for the ways in which we structure children's early school experiences. For example, when children are exposed to academic activities in ECE programs, it is most often targeted at basic literacy skills (Chien et al., 2010; Pianta, Whittaker, Vitiello, Ansari, & Ruzek, 2018) and, therefore, children have fewer opportunities to develop other, more unconstrained abilities, such as language skills, which represent skills that many preschool programs actually fail to impact (National Early Literacy Panel, 2008). For these reasons, the pattern of findings reported herein provide some suggestive evidence that ECE programs may need to increase attention to unconstrained skills. At the same time, however, it is also possible that the documented associations—regardless of the learning domain—were simply not large enough to persist over time. Accordingly, in addition to paying careful attention to the development of unconstrained skills, to optimize the immediate benefits of ECE, there is likely a need to enrich these programs by providing supports for learning and teaching, including with validated curriculum and professional development.

Beyond the malleability and development of skills, our results also contribute to the extant literature by highlighting the role of children's subsequent experiences in the classroom that help preserve (or erase) some of the early academic advantages of ECE seen at the start of

school. It seems somewhat promising that a quarter of the convergence documented in this study was attributed to classroom-wide factors during the pre-K year, suggesting that convergence is—at least partially—addressable at the classroom-level. These findings are, thus, both similar to research suggesting that children’s subsequent classroom and school experiences matter for the maintenance of the early ECE boost (Ansari & Pianta, 2018; Currie & Thomas, 2000; Swain, Springer, & Hofer, 2015; Johnson & Jackson, 2017; Zhai, Raver, & Jones, 2012) and different from existing work suggesting that classroom processes account for little to no amount of convergence (Bassok et al., 2018; Claessens, Engel, & Curran, 2014; Jenkins et al., 2018).

One likely explanation for these differences is the way in which we addressed this question. In the current study, we used classroom fixed effects, which accounted for *all* classroom-wide factors that contribute to convergence, whereas the vast majority of the existing literature has tested *individual* classroom factors. And even though the specific mechanisms driving these findings at the classroom-level may be unclear and beyond the scope of our study, our findings do indicate that the subsequent classroom experiences matter. Thus, what our results make clear is that as the next wave pre-K and ECE evaluations unfold, the research community needs to pay much closer attention to the specific aspects of the classroom that contribute to (or prevent) convergence. Areas that require attention include (but are not limited to): the alignment of instructional content across school years, teachers’ use of differentiation, teachers’ grouping strategies, classroom quality, the role of children’s peers, and children’s individual experiences in the classroom (Ansari & Purtell, 2018; Phillips et al., 2017). This effort is especially important given the growing number of 3 year olds who experience a year of ECE before their pre-K year (Friedman-Krauss et al., 2018). To the extent that these experiences are not aligned, then the impacts of ECE and pre-K will not be optimized.

Finally, even though our models explained roughly half of the convergence documented in the associations between ECE enrollment and children's early academic achievement, the other half remains unexplained. Consequently, one might wonder what other factors may contribute to these findings. As noted above, by using measurable covariates to tap into the convergence attributed to child and family characteristics and classroom-fixed effects to tap into convergence attributed to classroom-wide factors, there is an imbalance between how much variation we can capture at these two levels. Whereas our classroom-fixed effects capture *all* differences across classrooms, we could *not* account for all differences between children. Accordingly, there are other child and family-level factors that are likely to contribute to the convergence documented in this study. For example, one important source of convergence that we could not consider includes parental efforts in the home to prepare children for school. To the extent that parents of non-ECE participants place greater effort in the home to prepare children for school, especially in terms of teaching their children more constrained skills such as counting and letter knowledge, then that is likely to account for some of the unexplained convergence. For these reasons, studies with more in depth data on children and families are needed to more carefully consider the role of children's home experiences and the interplay between the home and school in the dissipating academic benefits of ECE.

Taken together, the results from this investigation provide important insight into the potential benefits of ECE enrollment at age 3 for children from low-income homes, but need to be interpreted in light of a few key limitations. Primarily, the current sample distribution of ECE attenders and non-attenders provided us 0.80 power to detect a 0.22 effect size of ECE enrollment on the child outcomes of interest, but we were limited in that we did not have enough statistical power to examine heterogeneity in these associations. Consequently, we could

conclude that roughly a quarter of the convergence in the links between ECE and children's academic learning was attributed to classroom-wide factors, but we could not test for moderation by specific aspects of the classroom which would have provided us with more specific information about malleable classroom factors that could be targets for intervention.

Moreover, consistent with other studies in the early childhood literature (e.g., Bassok et al., 2018; Curenton, Dong, & Shen, 2015; Magnuson et al., 2007), all of the covariates used in our models (including our propensity score models) were assessed after ECE attendance. Some of these variables were time invariant, but even so, the best implementation of these longitudinal models is with the use of pretreatment covariates. In addition, the design of our study was non-experimental and even though our findings were comparable across various analytic specifications and we were able to gauge the role of unmeasured variables—all of which lend greater confidence to our general conclusions—these results should be interpreted with caution. For example, without having assessment of outcomes at the beginning and end of the age 3 year, we cannot know for certain if the trends observed are continuations of trends during the age 3 year or if children lost ground between the end of the age 3 year and the start of pre-K. Thus, it is possible that our estimates of the benefits of ECE at age 3 are over- or under-estimated. But it is important to note that that our effect sizes are on par with the existing literature and the pattern of convergence reported herein has been demonstrated in both experimental and non-experimental studies (e.g., Ansari, 2018; Bassok et al., 2018; Bailey et al., 2017; Lipsey et al., 2018; Puma et al., 2012). The main difference between our investigation and these other studies is that we demonstrate these patterns of convergence for a younger group of children.

It was also unfortunate that we could not determine what type of ECE programs children attended at age 3, which were based on parent-report and not verified to ensure that children did

in fact attend these programs nor could we determine whether children attended the same school or center at ages 3 and 4. And given our study design, we do not know whether pre-K teachers knew what type of program children attended at age 3. But these limitations are true for most studies of pre-K and ECE more generally (e.g., Bassok et al., 2018; Crosnoe, 2007; Loeb et al., 2007; Magnuson et al., 2007). In addition, even though we measured a representative sample of children's skills, our assessment batteries by no means cover all potential malleable skills that might be impacted by school exposure. Finally, our results are also not generalizable to ECE programs beyond the participating county; nonetheless, because our study provides further insight into the experiences of children in a large, culturally, and linguistically diverse community, this limitation is somewhat mitigated. As states move forward with the expansion of ECE for younger children, attempts at replication across different communities is of growing importance. However, even with the potential limitations of focusing on one community, our analyses have greater external validity as our approach to assessing the source and nature of convergence can be widely applied when studying the persistence of ECE effects. Indeed, although many long-term pre-K and ECE evaluations do not have observable data on children's subsequent classroom and school experiences, fixed effects can be implemented to understand the source of convergence, which to our knowledge, has rarely been done in the extant literature.

With these limitations and future directions in mind, the present study provides new insight into the efficacy of contemporary ECE programs serving 3 year olds from low-income and ethnically homes. Our findings add to the existing knowledge base by revealing that these children from low-income homes display heightened behavior problems as a result of ECE participation and that these negative "effects" persist at least for 12 months from program completion and have downstream implications for convergence. Our results also provide further

evidence that children who attended ECE at age 3 entered the pre-K year more ready academically, but these advantages were short-lived. When taken together, these findings corroborate some of the evidence from Tennessee (Lipsey et al., 2018) and the national evaluation of Head Start (Puma et al., 2012), in addition to mathematics interventions for preschool-aged children (Clements et al., 2013), each of which also documented only short-term academic benefits of program participation. At the same time, however, having explored the source and nature of convergence, the present study pushes this discussion forward by revealing that (a) convergence in the academic benefits of ECE was largely attributed to catch-up (not fadeout) and (b) approximately a quarter of this convergence was attributed to classroom-wide factors during the following year. Accordingly, convergence—at least in the short-term—can be partially mitigated and teachers and classrooms play an important role in this effort.

References

- Ansari, A. (2018). The persistence of preschool effects from early childhood through adolescence. *Journal of Educational Psychology, 110*, 952-973. doi: 10.1037/edu0000255
- Ansari, A., & Pianta, R. C. (2018). Variation in the long-term benefits of child care: The role of classroom quality during middle childhood. *Developmental Psychology, 54*, 1854-1867. doi: 10.1037/dev0000513.
- Ansari, A., & Purtell, K. M. (2018). What happens next? Delivering on the promise of preschool. *Early Childhood Research Quarterly, 45*, 177-182. doi:10.1016/j.ecresq.2018.02.015
- Aikens, N., Klein, A. K., Tarullo, L., & West, J. (2013). *Getting ready for kindergarten: Children's progress during Head Start, FACES 2009*. Washington, DC: Mathematica Policy Research.
- Arnold, D. H., Kupersmidt, J. B., Voegler-Lee, M. E., & Marshall, N. A. (2012). The association between preschool children's social functioning and their emergent academic skills. *Early Childhood Research Quarterly, 27*, 376-386. doi: 10.1016/j.ecresq.2011.12.009
- Austin, P. C. (2011). An introduction to propensity score methods for reducing the effects of confounding in observational studies. *Multivariate Behavioral Research, 46*, 399-424. doi: 00273171.2011.568786
- Bailey, D., Duncan, G. J., Odgers, C. L., & Yu, W. (2017). Persistence and fadeout in the impacts of child and adolescent interventions. *Journal of Research on Educational Effectiveness, 10*, 7-39. doi:10.1080/19345747.2016.1232459
- Barnett, W. S. (2011). Effectiveness of early educational intervention. *Science, 333*, 975-978. doi: 10.1126/science.1204534
- Bassok, D. (2010). Do Black and Hispanic children benefit more from preschool? Understanding

differences in preschool effects across racial groups. *Child Development*, 81, 1828–1845.

doi:10.1111/j.1467-8624.2010.01513.x

Bassok, D., Gibbs, C. R., & Latham, S. (2018). Preschool and children's outcomes in elementary school: Have patterns changed nationwide between 1998 and 2010? *Child Development*.

Advance online publication. doi: 10.1111/cdev.13067

Belsky, J., Vandell, D. L., Burchinal, M., Clarke-Stewart, K. A., McCartney, K., & Owen, M. T.

(2007). Are there long-term effects of early child care?. *Child Development*, 78, 681-701.

doi:10.1111/j.1467-8624.2007.01021.x

Burchinal, M., Zaslow, M., & Tarullo, L. (Eds.). (2016). Quality thresholds, features, and dosage in early care and education: Secondary data analyses of child outcomes. *Monographs of the Society for Research in Child Development*, 81. doi:10:1111/mono.12236

Camilli, G., Vargas, S., Ryan, S., & Barnett, W. S. (2010). Meta-analysis of the effects of early education interventions on cognitive and social development. *Teachers College Record*, 112, 579-620.

Carlson, S. M. (2005). Developmentally sensitive measures of executive function in preschool children. *Developmental Neuropsychology*, 28, 595-616. doi:10.1207/s15326942dn2802_3

Chaudry, A., Henly, J., & Meyers, M. (2010). *Conceptual frameworks for child care decision-making*. Washington, DC: Administration for Children & Families.

Claessens, A., Engel, M., & Curran, F. C. (2014). Academic content, student learning, and the persistence of preschool effects. *American Educational Research Journal*, 51, 403–434.

doi:10.3102/0002831213513634

Clements, D. H., Sarama, J., Wolfe, C. B., & Spitler, M. E. (2013). Longitudinal evaluation of a scale-up model for teaching mathematics with trajectories and technologies: Persistence of

effects in the third year. *American Educational Research Journal*, *50*, 812–850. doi:10.3102/0002831212469270

Coley, R. L., Votruba-Drzal, E., Collins, M., & Cook, K. D. (2016). Comparing public, private, and informal preschool programs in a national sample of low-income children. *Early Childhood Research Quarterly*, *36*, 91-105. doi:10.1016/j.ecresq.2015.11.002

Crosnoe, R. (2007). Early child care and the school readiness of children from Mexican immigrant families. *International Migration Review*, *41*, 152–181. doi:10.1111/j.1747-7379.2007.00060.x

Cunha, F., Heckman, J. J., Lochner, L., & Masterov, D. V. (2006). Interpreting the evidence on life cycle skill formation. *Handbook of the Economics of Education*, *1*, 697-812. doi:10.1016/S1574-0692(06)01012-9

Curenton, S. M., Dong, N., & Shen, X. (2015). Does aggregate school-wide achievement mediate fifth grade outcomes for former early childhood education participants?. *Developmental Psychology*, *51*, 921-934. doi: 10.1037/a0039295

Currie, J., & Thomas, D. (2000). School quality and the longer-term effects of Head Start. *The Journal of Human Resources*, *35*, 755–774. doi:10.2307/146372

Dearing, E., Zachrisson, H. D., & Nærde, A. (2015). Age of entry into early childhood education and care as a predictor of aggression: faint and fading associations for young Norwegian children. *Psychological Science*, *26*, 1595-1607. doi: 10.1177/0956797615595011

Duncan, S. E., & De Avila, E. A. (1998). *PreLAS 2000*. Monterey, CA: CTB/McGraw-Hill.

Duncan, G. J., & Magnuson, K. (2013). Investing in preschool programs. *The Journal of Economic Perspectives*, *27*, 109-132. doi: 10.1257/jep.27.2.109

Duncan, G. J., Dowsett, C. J., Claessens, A., Magnuson, K., Huston, A. C., Klebanov, P., ... &

- Sexton, H. (2007). School readiness and later achievement. *Developmental Psychology, 43*, 1428-1446. doi: 10.1037/0012-1649.43.6.1428
- Early, D. M., & Burchinal, M. R. (2001). Early childhood care: Relations with family characteristics and preferred care characteristics. *Early Childhood Research Quarterly, 16*, 475–497. doi: 10.1016/S0885-2006(01)00120-X
- Entwisle, D. R., & Alexander, K. L. (1988). Factors affecting achievement test scores and marks of black and white first graders. *The Elementary School Journal, 449-471*.
- Forry, N. D., Davis, E. E., & Welti, K. (2013). Ready or not: Associations between participation in subsidized child care arrangements, pre-kindergarten, and Head Start and children's school readiness. *Early Childhood Research Quarterly, 28*, 634-644.
doi.org:10.1016/j.ecresq.2013.03.009
- Frank, K. A. (2000). Impact of a confounding variable on a regression coefficient. *Sociological Methods & Research, 29*, 147-194. doi: 10.1177/0049124100029002001
- Friedman-Krauss, A., Barnett, W. S., Weisenfeld, G. G., Kasmin, R., DiCrecchio, N., Horowitz, M. (2018). *The state of preschool 2017*. New Brunswick, NJ: National Institute for Early Education Research.
- Gordon, R. A., Fujimoto, K., Kaestner, R., Korenman, S., & Abner, K. (2013). An assessment of the validity of the ECERS-R with implications for measures of child care quality and relations to child development. *Developmental Psychology, 49*, 146-160.
doi:10.1037/a0027899
- Hartman, S.C., Winsler, A., & Manfra, L. (2017). Behavioral concerns among low-income ethnically diverse children in child care: Importance for school readiness and kindergarten achievement. *Early Education and Development, 28*, 255-273. doi;

10.1080/00220671.2016.1145095

Heckman, J. J., & Kautz, T. (2012). Hard evidence on soft skills. *Labour Economics*, *19*, 451-464. doi: 10.1016/j.labeco.2012.05.014

Hightower, A. D. (1986). The Teacher–Child Rating Scale: A brief objective measure of elementary children's school problem behaviors and competencies. *School Psychology Review*, *15*, 393-409

Huston, A. C., Bobbitt, K. C., & Bentley, A. (2015). Time spent in child care: How and why does it affect social development?. *Developmental Psychology*, *51*, 621-634. doi: 10.1037/a0038951

Jenkins, J. M., Farkas, G., Duncan, G. J., Burchinal, M., & Vandell, D. L. (2016). Head Start at ages 3 and 4 versus Head Start followed by state pre-k: Which is more effective?. *Educational Evaluation and Policy Analysis*, *38*, 88-112. doi: 10.3102/0162373715587965

Jenkins, J. M., Watts, T. W., Magnuson, K., Gershoff, E. T., Clements, D. H., Sarama, J., & Duncan, G. J. (2018). Do high-quality kindergarten and first-grade classrooms mitigate preschool fadeout?. *Journal of Research on Educational Effectiveness*, *11*, 339-374. doi: 10.1080/19345747.2018.1441347

Johnson, R. C., & Jackson, C. K. (2017). *Reducing inequality through dynamic complementarity: Evidence from Head Start and public school spending (no.w23489)*. National Bureau of Economic Research.

Li, W., Leak, J., Duncan, G. J., Magnuson, K., Schindler, H., & Yoshikawa, H. (2016). *Is timing everything? How early childhood education program impacts vary by starting age, program duration and time since the end of the program*. Working Paper. National Forum on Early

Childhood Policy and Programs, Meta-analytic Database Project. Center on the Developing Child, Harvard University.

Lipsey, M. W., Farran, D. C., & Durkin, K. (2018). Effects of the Tennessee Prekindergarten Program on children's achievement and behavior through third grade. *Early Childhood Research Quarterly, 45*, 155-176. doi: 10.1016/j.ecresq.2018.03.005

Loeb, S., Bridges, M., Bassok, D., Fuller, B., & Rumberger, R. W. (2007). How much is too much? The influence of preschool centers on children's social and cognitive development. *Economics of Education Review, 26*, 52-66. doi: 10.1016/j.econedurev.2005.11.005

Loeb, S., Fuller, B., Kagan, S. L., & Carrol, B. (2004). Child care in poor communities: Early learning effects of type, quality, and stability. *Child Development, 75*, 47-65. doi: 10.1111/j.1467-8624.2004.00653.x

Magnuson, K. A., Ruhm, C., & Waldfogel, J. (2007). Does prekindergarten improve school preparation and performance?. *Economics of Education Review, 26*, 33-51. doi:10.1016/j.econedurev.2005.09.008

Masten, A. S., Herbers, J. E., Desjardins, C. D., Cutuli, J. J., McCormick, C. M., Sapienza, J. K., ... & Zelazo, P. D. (2012). Executive function skills and school success in young children experiencing homelessness. *Educational Researcher, 41*, 375-384. doi: 10.3102/0013189X12459883

McClelland, M. M., Cameron, C. E., Connor, C. M., Farris, C. L., Jewkes, A. M., & Morrison, F. J. (2007). Links between behavioral regulation and preschoolers' literacy, vocabulary, and math skills. *Developmental Psychology, 43*, 947-959. doi: 10.1037/0012-1649.43.4.947

McClelland, M. M., & Cameron, C. E. (2012). Self-regulation in early childhood: Improving conceptual clarity and developing ecologically valid measures. *Child Development*

Perspectives, 6, 136-142. doi: 10.1111/j.1750-8606.2011.00191.x

McClelland, M. M., Acock, A. C., Piccinin, A., Rhea, S. A., & Stallings, M. C. (2013). Relations between preschool attention span-persistence and age 25 educational outcomes. *Early Childhood Research Quarterly*, 28, 314-324. doi: 10.1016/j.ecresq.2012.07.008

National Early Literacy Panel. (2008). *Developing early literacy: A scientific synthesis of early literacy development and implications for intervention*. Jessup, MD: National Institute for Literacy and National Center for Family Literacy.

NICHD Early Child Care Research Network & Duncan, G. J. (2003). Modeling the impacts of child care quality on children's preschool cognitive development. *Child Development*, 74, 1454–1475. doi: 10.1111/1467-8624.00617

National Institute of Child Health and Human Development, Early Child Care Research Network (2003). Does amount of time spent in child care predict socioemotional adjustment during the transition to kindergarten?. *Child Development*, 74, 976-1005. doi.org/10.1111/1467-8624.00582

NSECE Project Team (2015). *Tables on households' ECE usage and costs to parents*. Chicago, IL; NORC.

Paris, S. G. (2005). Reinterpreting the development of reading skills. *Reading Research Quarterly*, 40, 184–202. doi:10.1598/RRQ.40.2.3

Phillips, D., Johnson, A., Weiland, C., & Hutchison, J. E. (2017). *Public preschool in a more diverse America: Implications for next-generation evaluation research*. Ann Arbor, MI: Poverty Solutions.

Phillips, D., Lipsey, M. W., Dodge, K.A., Haskins, R., Bassok, D., Burchinal, M. R., Duncan, G. J... Weiland, C. (2017). *Puzzling it out: The current state of scientific knowledge on pre-*

- Kindergarten effects. A consensus statement.* Washington, DC: Brookings Institution.
- Pianta, R. C., Whittaker, J. E., Vitiello, V. E, Ansari, A., & Ruzek, E. (2018). Classroom processes and practices in public pre-K programs: Describing and predicting educational opportunities in the early learning sector. *Early Education and Development, 29*, 797-813. doi: 10.1080/10409289.2018.1483158
- Pingault, J. B., Tremblay, R. E., Vitaro, F., Japel, C., Boivin, M., & Côté, S. M. (2015). Early Nonparental care and social behavior in elementary school: Support for a social group adaptation hypothesis. *Child Development, 86*, 1469-1488. doi: 10.1111/cdev.12399
- Puma, M., Bell, S., Cook, R., Heid, C., Broene, P., Jenkins, F., ... & Downer, J. (2012). *Third grade follow-up to the Head Start Impact Study: Final report.* Washington, DC: U.S. Department of Health and Human Services.
- Rosenbaum, P. & Rubin, D. (1983). The central role of the propensity score in observational studies for causal effects, *Biometrika, 70*, 41-55. doi:10.1093/biomet/70.1.41
- Smith-Donald, R., Raver, C. C., Hayes, T., & Richardson, B. (2007). Preliminary construct and concurrent validity of the Preschool Self-regulation Assessment (PSRA) for field-based research. *Early Childhood Research Quarterly, 22*, 173-187. doi: 10.1016/j.ecresq.2007.01.002
- StataCorp. (2009). *Stata User's Guide, Release 11.* Stata Press: College Station, TX.
- Swain, W. A., Springer, M. G., & Hofer, K. G. (2015). Early grade teacher effectiveness and pre-K effect persistence: Evidence from Tennessee. *AERA Open, 1*. doi: 10.1177/2332858415612751
- Woodcock, R. W., McGrew, K. S., & Mather, N. (2001). *Woodcock-Johnson III tests of achievement.* Itasca, IL: Riverside Publishing.

- Yoshikawa, H., Weiland, C., Brooks-Gunn, J., Burchinal, M., Espinosa, L. M., Gormley, W. T., . . . Zaslow, M. J. (2013). Investing in our future: The evidence base on preschool education. New York, NY: Foundation for Child Development.
- Weiland, C., & Yoshikawa, H. (2013). Impacts of a prekindergarten program on children's mathematics, language, literacy, executive function, and emotional skills. *Child Development, 84*, 2112-2130. doi: 10.1111/cdev.12099
- Willoughby, M. T., Blair, C. B., & The Family Life Project Investigators. (2016). Measuring executive function in early childhood: A case for formative measurement. *Psychological Assessment, 28*, 319-330. doi: 10.1037/pas0000152
- Winsler, A., Tran, H., Hartman, S. C., Madigan, A. L., Manfra, L., & Bleiker, C. (2008). School readiness gains made by ethnically diverse children in poverty attending center-based childcare and public school pre-kindergarten programs. *Early Childhood Research Quarterly, 23*, 314-329. doi: 10.1016/j.ecresq.2008.02.003
- Woodcock, R. W., Mather, N., McGrew, K. S., & Wendling, B. J. (2001). *Woodcock-Johnson III tests of cognitive abilities*. Riverside Publishing Company.
- Woodcock, R. W., & Sandoval, A. F. M. (1996). *Batería Woodcock-Muñoz: Pruebas de habilidad cognitiva-revisada*. Riverside Publishing Company.
- Zachrisson, H. D., Dearing, E., Lekhal, R., & Toppelberg, C. O. (2013). Little evidence that time in child care causes externalizing problems during early childhood in Norway. *Child Development, 84*, 1152-1170. Doi: 10.1111/cdev.12040
- Zhai, F., Raver, C. C., & Jones, S. M. (2012). Academic performance of subsequent schools and impacts of early interventions: Evidence from a randomized controlled trial in Head Start settings. *Children and Youth Services Review, 34*, 946–954. doi: 10.1016/j.childyouth

.2012.01.026

Table 1.

Descriptive statistics for the focal variables of interest, separated by type of care at age 3.

	Informal care	Early childhood education	Sig. group diff.
Child and family characteristics			
Child age at pre-K entry	52.91 (3.47)	52.84 (3.43)	
Child male	0.51	0.50	
Child race/ethnicity			
Latino	0.64	0.47	***
Black	0.15	0.26	***
White	0.10	0.12	
Other	0.12	0.15	
Home language			
English	0.17	0.36	***
Spanish	0.60	0.42	***
Other	0.24	0.22	
Parent years of education	12.54 (1.77)	13.24 (1.90)	***
Parent age	34.19 (7.17)	33.97 (7.36)	
Income-to-needs ratio	0.85 (0.51)	0.97 (0.64)	**
Household size	4.84 (1.56)	4.54 (1.53)	*
Number of children under 18 in household	2.52 (1.27)	2.47 (1.17)	
Fall of preschool outcomes			
Academic achievement			
Literacy	90.81 (15.04)	97.33 (13.66)	***
Language	85.71 (13.52)	91.40 (11.56)	***
Math	88.67 (13.26)	93.70 (11.76)	***
Applied Problems	90.91 (15.00)	96.11 (13.23)	***
Quantitative Concepts	88.71 (12.45)	92.01 (12.65)	***
Socioemotional skills			

Conduct problems	1.77 (0.88)	2.02 (1.06)	***
Social competence	3.53 (0.76)	3.48 (0.82)	
Executive function	-0.02 (0.74)	0.13 (0.84)	*
Backward Digit Span	1.16 (0.50)	1.23 (0.56)	
Head Toes Knees Shoulders	14.08 (21.69)	18.01 (24.20)	*
Pencil Tap	0.48 (0.35)	0.53 (0.35)	†
Spring of preschool outcomes			
Academic achievement			
Literacy	97.16 (13.62)	98.66 (13.42)	
Language	88.41 (11.23)	92.47 (10.66)	***
Math	93.51 (12.41)	95.73 (12.16)	*
Applied Problems	96.34 (12.52)	99.02 (11.56)	**
Quantitative Concepts	91.01 (14.17)	92.75 (14.44)	
Socioemotional skills			
Conduct problems	1.73 (0.87)	1.96 (0.99)	**
Social competence	3.76 (0.79)	3.60 (0.82)	*
Executive function	0.02 (0.78)	0.03 (0.84)	
Backward Digit Span	1.43 (0.77)	1.49 (0.82)	
Head Toes Knees Shoulders	31.24 (27.92)	31.81 (28.39)	
Pencil Tap	0.72 (0.31)	0.70 (0.32)	
Sample size	1,009	204	

Notes. Estimates generated before multiple imputation and may not sum to 1.00 due to rounding.

Estimates correspond to means or proportions and those in brackets correspond to standard deviations.

*** $p < .001$.

** $p < .01$.

* $p < .05$.

† $p < .10$.

Table 2.

Associations between early childhood education enrollment at age 3 and children's early learning and development during the fall and spring of the pre-K year.

	Fall of pre-K year				Spring of pre-K year			
	b	se	p	es	b	se	p	es
Academic achievement								
Literacy	4.00	1.25	**	0.26	-0.25	1.13		-0.02
Language	4.06	0.93	***	0.28	1.56	0.83	†	0.14
Math	2.61	0.96	**	0.20	0.50	0.93		0.04
Socioemotional skills								
Conduct problems	0.25	0.08	**	0.27	0.22	0.08	**	0.24
Social competence	-0.07	0.08		-0.09	-0.18	0.07	*	-0.22
Executive function	0.04	0.06		0.05	-0.08	0.06		-0.10

Notes. Estimates reported in this table for the associations between ECE enrollment at age 3 and children's early learning and development are net of the child and family characteristics listed in Table 1. All standard errors are clustered at the classroom level. se = standard error. es = effect size.

*** $p < .001$.

** $p < .01$.

* $p < .05$.

† $p < .10$.

Table 3

Convergence in the benefits of early childhood education enrollment at age 3 across the pre-K year.

	Bivariate			Covariate adjustment			Classroom fixed effects		
	b	se	p	b	se	p	b	se	p
Academic achievement									
Literacy	-5.19	0.80	***	-4.25	0.81	***	-3.34	0.88	***
Language	-3.17	0.59	***	-2.50	0.61	***	-1.91	0.67	**
Math	-2.61	0.64	***	-2.11	0.61	***	-1.58	0.74	*
Socioemotional skills									
Conduct problems	0.00	0.06		-0.03	0.06		-0.02	0.07	
Social competence	-0.13	0.05	*	-0.10	0.05	*	-0.03	0.04	
Executive function	-0.15	0.05	**	-0.12	0.05	*	-0.12	0.06	†

Notes. The outcomes for the estimates in this table correspond to the difference score (i.e., spring – fall). All standard errors are clustered at the classroom level. se = standard error.

*** $p < .001$.

** $p < .01$.

* $p < .05$.

† $p < .10$

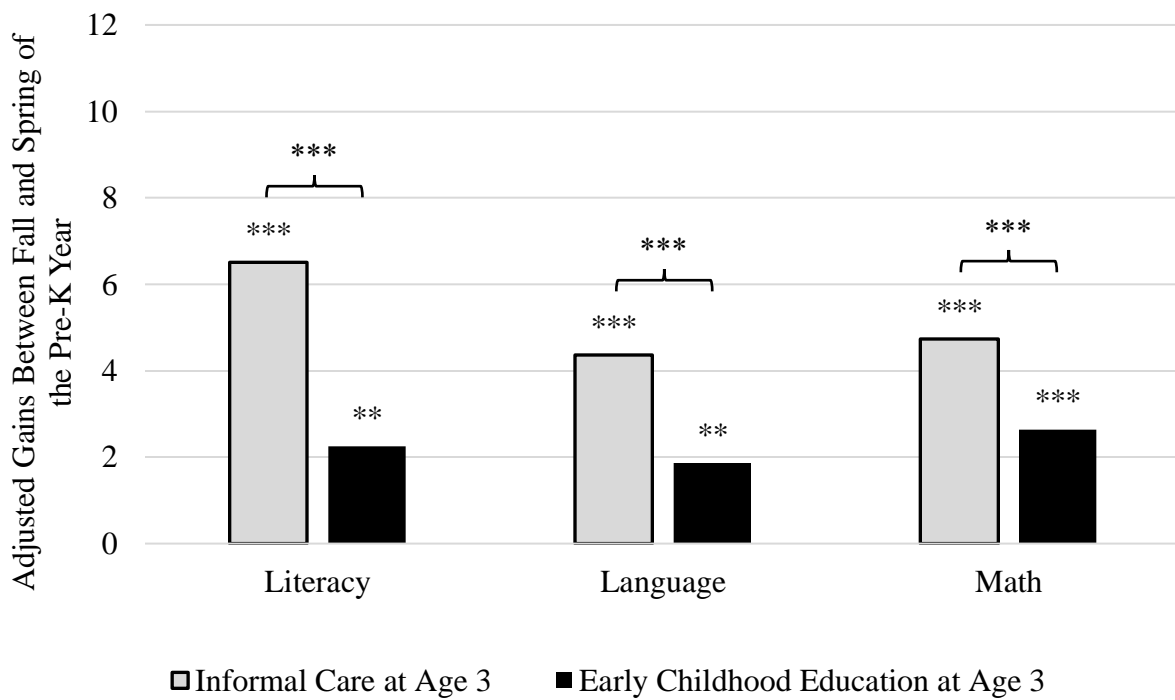


Figure 1. Model estimated differences in children’s academic skill gains across the pre-K year for children who attended informal care at age 3 versus children who attended an early childhood program at age 3. Notes. The difference in estimates from this figure correspond to the estimates reported in Table 3 under covariate adjustment. *** $p < .001$. ** $p < .01$.