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### Message from the President

In a period such as this, marked by extreme political partisanship and apparent gridlock at the federal level, it would be tempting to throw up one's hands in frustration.

After all, the magnitude of the challenges our country faces is huge, and the solutions far from evident.

But this is just the time that a foundation like Joyce can add the most value—because we are non-partisan, not subject to the pressures of political and business cycles, and have the freedom to explore new ideas and support projects that others cannot or will not take on.

So, we are optimistic that we can make a difference, though we do know it is important to pick our battles wisely. This year, we think it paid off to focus our attention on policy at the state and local levels, where we saw some really important progress and where we saw tangible improvements in the quality of life for people in the Great Lakes region, some of whom you'll meet in this annual report.

One great example was our successful effort in the Education program to build a case for policy change which cynics thought was impossible: to reform the rules in Illinois governing teacher evaluation, tenure, dismissal, and layoffs. We know that good teachers are the linchpin to improving our schools. Teachers need a fair system of evaluating their strengths, as well as excellent support to help them improve and succeed. Joyce funded research and model programs, advocacy and message development to get the word out, and to help policymakers understand the state of the art in this fast-changing field. External forces gave our efforts a huge boost. Bitter union-management battles in Wisconsin, and strong financial incentives in Race to the Top, encouraged union leaders to come to the table with reformers to craft very promising new policies. For example, tenure now will only be granted to teachers who are demonstrably effective with their students, and when districts need to lay off teachers, they'll now look at teacher performance, not seniority, when making those decisions. What comes next is even more difficult. Rigorous implementation of the new law

will be the challenge. Our grantees will stay involved, and we intend to be with them every step of the way.

Another example of our work in progress was our spotlight on invasive species through our Environment program. Asian carp are a major and growing threat to the health of the Great Lakes as they rapidly make their way up the Illinois River. The carp are prolific breeders and consume huge amounts of food that other fish rely on. If they make it into the Great Lakes, the impact could be severe. Joyce is the leading funder of a comprehensive effort to examine what it would take to combat these fish, and all other invasive species, by creating a permanent separation of Lake Michigan from other waterways. Our study is the first one to make the case that separation is both essential and possible. Because the issues are complex, and many federal and state authorities, plus the Army Corps of Engineers, will be part of the decision-making, it will be a long process to develop resolution. But at a minimum. Joyce and the Great Lakes Commission and the Great Lakes and St. Lawrence Cities Initiative, two Joyce Foundation Environment Program grantees, have moved the issue from pie-in-the-sky to one that is widely seen as a credible solution.

Another issue that absorbs us is the huge problem of unemployment in the Great Lakes region and across the U.S., especially for communities of color and adults without a high school education. Despite high unemployment, many jobs go unfilled, and employers say they can't find workers with the skills they need. Clearly this is an issue that concerns leaders in Washington. Yet the answers can be found largely at the state level, where local community colleges and training programs must do a better job of connecting to the local labor market. Through our Employment

program efforts, Joyce has been at the forefront in developing new state models for training adults for good-paying jobs, giving them a chance to succeed and support their families. Our goal is to identify and support programs that help students complete degrees and certificates with real economic value. We are evaluating several promising models, and promoting lessons learned as these models are picked up by other states. For an example, read the story of Noe Velasquez in the Employment section of this report.

Each of our other programs has seen similar opportunity at the state level. Our efforts to promote a more healthy democracy through campaign finance and redistricting reform, our work with law enforcement and policy makers to reduce gun violence, and our strong support of diversity in the arts, are all well-received at the community level, where individuals are most affected.

Thoughtful implementation, careful evaluation, and a sustained effort to promote what is successful, will be the focus of our efforts going forward. Without putting our shoulders to the wheel, we and our grantees cannot expect to see impact—the kind of impact that turns good policy into real practice people can see and experience every day.

So, yes, we invest in good ideas. But we are keenly aware that it will take more that good ideas to make change. As Calvin Trillin has noted with his usual dry wit, "every good idea sooner or later degenerates into hard work." We stand ready to put in the effort to support our grantees in the great and hard work that they do.

Ellen S. Alberding, President



Dan Taylor in his classroom at Charles A. Tindley Accelerated School, Indianapolis, Indiana

### Education

Students in the Great Lakes region and throughout the country need to graduate high school prepared for their futures. Unfortunately, more than half either fail to graduate on time or graduate unprepared for college-level work. African American and Latino young people are disproportionately impacted by this stark reality. The Joyce Foundation aims to turn this around through innovative policies and programs that close achievement gaps by improving teacher quality and early reading skills.

Students and parents know—and the research makes clear—that children learn best when they have good teachers. So how can we make sure that our schools hire good teachers, hold onto them, and help them flourish?

Illinois took a huge step in 2011 toward answering that question. Landmark legislation shifted school personnel decisions from factors like tenure and seniority to performance. Quality teaching, measured in part by how well students are learning, will now be central to decisions about tenure, layoffs, and dismissal.

Indiana enacted similar legislation, requiring teacher evaluations to include measures of student achievement and tying pay and tenure to performance.

The Indiana legislation was contentious, but Illinois passed the new law with broad bipartisan majorities. Behind the Illinois legislation lay months of work by public officials, union leaders, and Joyce grantees. Illinois teachers, working through Advance Illinois' Educator Advisory Council, in addition to the state's teachers union, the Center for Teaching Quality, and Teach Plus, helped shape the recommendations. Union leaders Dan Montgomery and Ken Swanson joined Joyce President Ellen S. Alberding in praising the new law for making Illinois "the new leader in education reform."

"It might be a little daunting at first, all the reforms and changes, but really overall I think we're moving in the right direction, especially around accountability and shared responsibility," said Brussels, IL teacher Melissa Sievers of the Educator Advisory Council.

"Teachers want excellence—they just want to make

sure [what we do] is fair," added retired teacher Carol Broos of Northbrook. "People are ready for change."

### Getting evaluation right

The linchpin of improving teacher quality is making evaluation meaningful, so that principals understand how well teachers are performing and give them good, timely feedback to improve their practice. The 2011 Illinois law built on 2010 legislation which requires school districts, starting this fall, to set up new evaluations for teachers and principals using multiple measures, including how well their students learn. Critical input for reworking the evaluations came in the form of a 2011 Joyce-funded report by the Consortium on Chicago School Research at the University of Chicago.

The Consortium found a promising model in a fouryear-old Chicago Public Schools pilot project, in which principals observed in classrooms twice yearly and then discussed their findings with teachers. Ratings were based on shared definitions of effective teaching as codified in the highly regarded "Danielson Framework," which looks for good relationships between teachers and students as well as evidence that students are intellectually engaged and taking responsibility for their own learning. Both teachers and principals in the pilot commented that their discussions were "more objective and reflective" than in the past, and more focused on improving instructional practice. And the evaluation ratings matched student performance—that is, highly rated teachers in the pilot had students who performed well on achievement tests, while the opposite held for poorly rated teachers.

Those findings suggest that the pilot project can be a good model for Illinois districts setting up their own evaluation systems, and for other districts nationally as well. Already, CPS has unveiled its new evaluation system, which centers on classroom observation based on the Danielson Framework, along with student academic growth and student feedback. Still, the Consortium study made clear that principals and teachers must understand and buy into the shared framework for it to be effective. Pulling that off will be critical in the months ahead.



"Teaching makes me feel hopeful"

Student Aaron Wallace and teacher Dan Taylor discuss what makes a great teacher.

See full report online to watch video >

### Education Grants 2011: \$6.745.695

### Early Childhood Education

### Achieve Inc.

Chicago, IL \$225,000
For continued support of the New Schools Project pre-K-3 initiative and to disseminate lessons learned. (18 mos.)

#### **Innovation Grants**

#### **IFF**

Chicago, IL \$60,000

To conduct an analysis of the location and performance of public neighborhood and charter schools in Indianapolis. (1 yr)

### **KIPP Chicago**

Chicago, IL \$75,000

To build a self-sustaining, advocacy and community engagement program focused on improving educational opportunities for children in Chicago. (1 yr)

# Mass Insight Education and Research Institute Inc.

Boston, MA \$100,000

To support Illinois, Indiana, and other member states to develop and share promising practices and resources that are focused on addressing chronically low performing schools. (1 yr)

# National Association of Charter School Authorizers

Chicago, IL \$100,000
To increase federal policy makers' awareness of the importance of quality charter school authorizing options. (1 yr)

### **University of Washington**

Seattle, WA \$250,000

To continue a multi-city network and series of meetings to help Midwest districts create new school options and overhaul their lowest performing schools. (2 yrs)

### **Teacher Quality**

Advance Illinois
Chicago, IL \$1,000,000
To provide general support to
Advance Illinois, the advocacy
organization that Joyce helped
launch three years ago. (2 yrs)

### **Advance Illinois**

Chicago, IL \$107,085

To organize and train award-winning teachers in Illinois as advocates for policy change. (1 yr)

# American Enterprise Institute for Public Policy Research

Washington, DC \$200,000

To help the nation's top policy makers and researchers work together to identify critical human capital policy lessons learned and future research questions in need of study. (18 mos)

### **Aspen Institute**

Washington, DC \$400,000
To conduct off-the-record meetings and public events to improve the design and implementation of new teacher effectiveness and evaluation policies. (2 yrs)

### Editorial Projects in Education Inc.

Washington, DC \$250,000
To support coverage in *Education Week* and on Edweek.org on state
policies that aim to improve the
teaching profession. (2 yrs)

#### **Education Sector**

Washington, DC \$400,000
To promote improvement in teacher policies at the federal, state, and local level, and to conduct a follow-up survey of teacher attitudes toward recent teacher policy reforms. (2 yrs)

#### **Education Trust**

Washington, DC \$600,000
To advance teacher quality
policies by providing research,
policy analysis, and strategy
support to national civil rights
groups, state-level advocacy
organizations, and state
legislators. (2 yrs)

#### **EducationCounsel LLC**

Washington, DC \$180,000
To continue a multi-state
collaborative to accelerate state
policy reform on teacher and
leader effectiveness in Illinois,
Indiana, and a few other states.
(1 yr)

### **New Teacher Center**

Santa Cruz, CA \$250,000

To strengthen and expand the reach of induction and mentoring policy and program infrastructure in Illinois, and to ensure that new teacher induction is embedded into the implementation of teacher evaluation and tenure policies across the state. (1 yr)

### The New Teacher Project Inc.

Brooklyn, NY \$800,000

To expand the supply of qualified teachers in Chicago, Indianapolis, and Minneapolis by reducing the policy barriers that keep each district from staffing its classrooms effectively. (2 yrs)

# Southsiders Organized for Unity and Liberation (SOUL)

Chicago, IL \$100,000

To support an education organizing campaign focused on teacher quality. (1 yr)

### **StoryCorps**

Brooklyn, NY \$200,000

To capture the stories of 125 highly effective teachers in the Great Lakes region. (1 yr)

#### Teach for America Inc.

New York, NY \$100,000
For Teach for America-Chicago to work with the Illinois State Board of Education to begin certifying its own corps members. (1 yr)

#### Teach for America Inc.

New York, NY \$400,000
To support expansion and growth of the Policy and Advocacy
Leadership Initiative. (2 yrs)

### **Teach Plus**

Boston, MA \$500,000

To mobilize a critical mass of reform-minded teachers in Chicago and Indianapolis to speak out on state and district policy changes that would help transform the teaching profession and retain outstanding teachers in the schools that need them most. (2 yrs)

### **University of Washington**

Seattle, WA \$273,610

To determine whether more or less effective teachers tend to favor one retirement system structure over another. (2 yrs)



Noe Velazquez at Elgin Community College, Elgin, Illinois

### **Employment**

Nationally, and in the Great Lakes region, governments, businesses, educational institutions, and community organizations are developing critical skill-building programs to advance low-income adults to higher paying jobs. These programs can empower students with basic and technical skills for new, better-paying jobs that can transform their lives and support their families. The Joyce Foundation works to improve education systems and support opportunities for adult students to learn important skills and pursue good-paying jobs in their communities.

Noe Velazquez works in a plastics factory. Age 29 and engaged, he'd like a better paying job with a future. He sees ads for machine operator jobs in Chicago's western suburbs where he lives. But with only a GED, limited English, and no computer skills, he doesn't qualify.

Velazquez heard about a program at Elgin Community College tailored for people exactly like him. He gets classes and factory-floor training in using computers to read blueprints and other skills, leading to a certificate in Computer Numerical Control. An ESL teacher sits in on the classes, then works with Velazquez and other Spanish-speaking students to make sure they're on top of the material. "Sometimes you didn't get something that other people got, so you can ask about it," says Velazquez. "Plus in this class we cover a little bit of everything—how to speak, how to write, doing interviews. That's been great for us."

### Putting the pieces together

The Elgin program Velazquez attends follows a promising new model for improving the job prospects of low-skilled workers. Pioneered in Washington State, the model combines classroom and onsite training with adult basic education in literacy and other skills, all geared to real job opportunities. Evaluation showed that the program succeeded at helping students, specifically helping those without a high school diploma or GED earn more college credits, certificates, find employment and earn a higher wage than their peers who pursued traditional GED programs.

With support from the Joyce Foundation and other major national funders, the Boston-based nonprofit

Jobs for the Future is embarking on a major national demonstration project to implement such programs in several states and evaluate the results. The project, called Accelerating Opportunity, will enroll over 18,000 students in five to six states, with 40 plus participating colleges. The goal: dramatically increase the number of college credentials earned by adult learners students.

"We're trying to address the substantial educational barriers that prevent millions of adults from getting the skills and education they need to get familysupporting jobs," says Project Director Barbara Endel. "We help states and colleges redesign the way they work with underprepared students to accelerate the time and the sequence of getting credentials."

Under the Illinois initiative Elgin and seven other community colleges were invited to set up programs that give students clear career pathways to local jobs, based on labor market information. JFF works with the states on programmatic design and policy changes, including funding, to get the programs up and running and expand them to other parts of the system. The Urban Institute is evaluating the results.

### **Shifting Gears**

The focus on state policy change draws on the insights of the Foundation's five-year Shifting Gears initiative, which wrapped up in 2011. The initiative worked with Midwestern states to promote policies and innovation in adult education, workforce development and postsecondary education to help low-skilled workers earn postsecondary credentials that lead to good jobs and boost the regional economy. A 2010 progress report

showed significant policy changes underway in several states. A formal evaluation is coming out in Fall 2012.

In particular, the Shifting Gears effort in Minnesota, called FastTRAC, has gained broad acceptance from the Governor, the workforce investment board, state colleges and universities, and state departments responsible for education, welfare, and economic development. Federal, state and private sources have funded courses that, like the Elgin course Velazquez attends, integrate basic skills and postsecondary education leading to credentials and good jobs.

A participant who earned a Nursing Assistant
Certificate, Antoinette McCarthy, was called out in
Governor Mark Dayton's 2012 State of the State. "Her
hard work for that certificate means she will earn,
on average, nearly double what someone would at
a minimum-wage medical job," the governor said.
He added: "We need FastTRAC on every campus in
Minnesota. We need state and federal job training
and workforce development monies to be better
coordinated with higher education funding and
programs, so that all of our students come out of our
educational systems skilled and ready to succeed.
The success of our state depends upon it."

Antoinette McCarthy is continuing on with her education toward an RN degree. Noe Velazquez, too, intends to pursue a degree once he's earned his certificate. "It's hard working and being a student, I don't have a lot of free time," Velazquez says. "But I think it will be worth it. It's the sacrifice I have to make so I can get a better paid job."



On the right track

Minnesota Governor Mark Dayton's State of the State Address spotlights Antoinette McCarthy, who benefited from improved adult education policies to get her nursing assistant certificate. See full report online to watch video >

### Employment Grants 2011: \$3,904,500

### Advancing to Better Jobs—Advocacy

### **Policy Matters Ohio**

Cleveland, OH \$75,000

To support three major research projects on effective worker training and placement program models. (1 yr)

# Sargent Shriver National Center on Poverty Law Inc.

Chicago, IL \$125,000
For continued advocacy to promote career advancement opportunities for low-skilled adults in Illinois and nationally, using a variety of state and federal policy levers. (1 yr)

### Advancing to Better Jobs—Exploratory

### Joint Center for Political and Economic Studies Inc.

Washington, DC \$150,000

To launch the *National Employment*and *Internet Survey.* (1 yr)

### Advancing to Better Jobs—Making the Case

#### The Aspen Institute Inc.

Washington, DC \$200,000 To support Skills for America's Future. (2 yrs)

# Indiana Chamber of Commerce Foundation

Indianapolis, IN \$200,000

To identify the market for industryrecognized credentials in Indiana
and to develop an approach that can
be used by states to align supply
and demand for credentials. (2 yrs)

### **Institute for Latino Progress**

Chicago, IL \$500,000

To support its participation in the federal Innovative Strategies for Increasing Self-Sufficiency (ISIS) research Demonstration. (2 yrs)

### Jobs for the Future

Boston, MA \$1,054,500

To support the national

ABE (Adult Basic Education) to

Credentials Initiative. (1 yr)

### Jobs for the Future

Boston, MA \$200,000

To support the Career STAT Initiative to document and advocate for the benefits of investing in frontline healthcare workers. (1 yr)

### The Manufacturing Institute

Washington, DC \$200,000

To engage in a communications and partnership-building effort to build awareness and use of manufacturing skills credentials. (2 yrs)

### Advancing to Better Jobs—Shifting Gears

# Minnesota State Colleges and Universities

St. Paul, MN \$675,000

To support the next phase of the Minnesota FastTRAC Initiative, a state policy reform effort designed to create pathways for low-skilled adults to obtain postsecondary occupational credentials that lead to family-supporting wages. (2 yrs)

### Advancing to Better Jobs—State Advocacy

# Indiana Community Action Association

Indianapolis, IN \$100,000

To support the Institute for Working Families. (1 yr)

### Michigan League for Human Services

Lansing, MI \$100,000

To continue work focused on adult education and postsecondary pathways for low-income, low-skilled adults. (1 yr)

### Women Employed Institute

Chicago, IL \$325,000

To support work to increase skill and credential attainment among low-income Illinoisans. (1 yr)



### **Environment**

Clean air, drinkable water, and a stable climate depend on responsible action from governments, businesses, and individuals. To inform and encourage responsible action, the Joyce Foundation seeks common-sense, cost-effective environmental improvements in the Midwest—starting with protecting the Great Lakes and saving energy.

A century ago, Chicagoans were getting sick because the city's sewage was fouling its drinking water, Lake Michigan. So city leaders undertook a massive public works project to reverse the flow of the Chicago River and send the sewage downstream via the Mississippi River system. It was one of many infrastructure investments—draining wetlands, building canals, excavating the Deep Tunnel—that created Chicago's waterway system. These investments not only protected public health, they also enhanced Chicago's strategic location as the hub of American agriculture, industry, and transportation in the 20th century.

Now, faced with another threat—Asian carp swimming up the rivers bringing potential devastation to the

Great Lakes ecosystem—local, regional and national leaders are considering another major investment in Chicago's waterways. This one would stop the carp and other invasives by cutting the connection between the rivers and the Lakes—thus undoing some of the earlier work. But, like their predecessors, advocates for this new investment put clean water and a healthy ecosystem, along with other quality of life issues like flood prevention and enhanced transportation, at the center of their mission.

The Joyce Foundation helped jumpstart the conversation in 2011 by funding the Great Lakes Commission and the Great Lakes and St. Lawrence Cities Initiative to analyze the options. Restoring the Natural Divide, the groups' joint report, identifies the costs, benefits, and timelines of three potential strategies for physically separating the Great Lakes from the Chicago River system.

Whatever option is chosen would mean a massive expenditure, ranging from \$3.26-\$9.54 billion, in a time of strapped public budgets. Preserving these

waters, which communities across the Great Lakes region depend on, is essential and not doing so will cost more. Because the benefits of protecting the Lakes (and protecting the rivers from invasives coming downstream from the Great Lakes) are multistate, some of the investment would be needed from the U.S. government. The Army Corps of Engineers is currently studying options for preventing invasives from entering the Great Lakes, and has committed to including physical separation, as analyzed in the Joyce-funded report, as one of the options in its report to Congress. Other reactions to the report have been mostly positive—a significant achievement, given that other Great Lakes states have sued to force Illinois to close the waterway link.

### Expanding the vision

Tim Eder of the Great Lakes Commission notes that local investments are already underway to enhance the Chicago River, complete the Deep Tunnel for handling storm water, and untangle local freight bottlenecks. Done properly, he argues, waterways separation could build on these investments to help Chicago increase recreational activities, improve both freight handling and flood control, and create a new waterway system for the new century.

Others are taking the opportunity one step further, by exploring how new waterway infrastructure can help revitalize communities on Chicago's near Southwest Side and throughout the city as a whole. Architect Jeanne Gang, in collaboration with the Natural Resources Defense Council, led a yearlong re-envisioning process, partially supported by Joyce. The results are outlined in Reverse Effect: Renewing Chicago's Waterways.

The decision to close two coal-fired power plants in the area, announced by Midwest Generation in early 2012, expands the possibilities. The closures resulted from years of pressure to clean up or close high-polluting plants led by Joyce grantees, including the Clean Air Task Force, Respiratory Health Association of Metropolitan Chicago, and the Illinois Environmental Council Education Fund. Now Joyce funding will support planning for reuse of the sites, led by the Delta Institute.

Long-term community development will be a tremendous benefit, but driving the conversation right now is the urgency of those fast-moving carp. "We have a responsibility as stewards to make sure these fish don't cause major harm," says Tim Eder. "Lake Michigan is tremendously valuable to Chicago residents. It's a tremendous challenge to city residents to think how to manage water and waste. Residents and leaders need to have a serious adult conversation about how to manage all this. It's a hundred-year conversation—the last one happened at the turn of the last century, and has served city and the region well. Now we're talking about looking forward for next 100 years."

# How redoing waterways can revive urban spaces "Never doubt the power that imagination holds in Chicago, where bold transformation is the rule," says Jeanne Gang.



Chicago's near Southwest Side, looking northeast. "The current derelict industrial corridor reserved for private uses is reclaimed as public space, becoming a vibrant new waterfront as beloved as Lake Michigan's," suggests Jeanne Gang in *Reverse Effect: Reviving Chicago's Waterways*. "The riverfront becomes a place for living, working, growing, creating, and other environmentally friendly and economically vital uses."

### **Environment Grants 2011: \$4,519,386**

#### Clean Coal Energy

#### **Better World Fund**

Washington, DC \$150,000 For the Jump Start Chicago energy efficiency project. (1 yr)

### Clean Air Task Force Inc.

Boston, MA \$375,000
For continued work to advance deployment of carbon capture and storage technology in the Midwest. (2 yrs)

### **Clean Energy Trust**

Chicago, IL \$50,000
For the Illinois Cleantech
Ecosystem Consortium. (1 yr)

### **Energy Center of Wisconsin Inc.**

Madison, WI \$150,000

To support the advancement and implementation of energy efficiency resource standards in the Midwest. (2 yrs)

### Fresh Energy

St. Paul, MN \$120,000
For continued support of the RE-AMP Media Center. (1 yr)

### Great Plains Institute for Sustainable Development Inc.

Minneapolis, MN \$282,184
For Midwestern regional efforts to deploy carbon capture and storage via enhanced oil recovery, identify new models for energy efficiency financing, and accelerate industrial energy efficiency. (1 yr)

### Izaak Walton League of America Inc.

Gaithersburg, MD \$172,202
For clean energy policy
development in Minnesota. (1 yr)

### Michigan Environmental Council

Lansing, MI \$400,000

To continue and accelerate the shift in Michigan's energy policy so that clean-energy alternatives, particularly cost-effective efficiency, become the top priority. (2 yrs)

### Midwestern Governors Association

Lombard, IL \$175,000

For regional clean energy policy initiatives, with an emphasis on expanding deployment of energy efficiency and carbon capture and storage technologies and accelerating clean energy sector trade and investment in the Midwest. (1 yr)

### **Rockefeller Family Fund**

New York, NY \$300,000
For continued support of the
RE-AMP Midwest clean energy
collaborative, including its energy
efficiency working group and a new
energy efficiency rapid response
fund. (2 yrs)

### **Rockefeller Family Fund**

New York, NY \$200,000

To support nonpartisan candidate education about the strong potential of clean energy solutions for the Midwest. (1 yr)

# Statewide Energy Efficiency and Renewable Energy Administration

Madison, WI \$50,000

For a study of the most effective approaches to increase energy efficiency in the affordable housing sector. (1 yr)

#### Union of Concerned Scientists Inc.

Cambridge, MA \$100,000
For efforts to defend and strengthen state energy efficiency policies in the Midwest, highlighting their significant economic benefits.

(1 yr)

#### Cross-Program Support

### **Wisconsin Voices**

Milwaukee, WI \$75,000

To support its Civic Engagement
File Activist Modeling and File
Integration Project. (1 yr)

#### **Great Lakes**

#### Alliance for the Great Lakes

Chicago, IL \$200,000

To implement the second phase of an Illinois strategy to stop Asian carp and other invasive species from entering the Great Lakes. (1 yr)

### American Rivers Inc.

Washington, DC \$75,000
For a project to organize Great
Lakes support and build the
economic case for a new federal
stormwater rule that could benefit
water quality in the Great Lakes.
(1 yr)

### Center for Neighborhood Technology

Chicago, IL \$175,000

To promote sustainable water, wastewater, and stormwater management. (1 yr)

### **Council of State Governments**

Lexington, KY \$185,000 To support the Great Lakes Legislative Caucus. (2 yrs)

#### Freshwater Future

Petoskey, MI \$50,000 For its Organizing on Emerging Great Lakes Environmental Issues project. (1 yr)

# Great Lakes and St. Lawrence Cities Initiative Inc.

Chicago, IL \$10,000

To support the Seventh Circuit
Bar Association Foundation's

Protecting the Great Lakes

Symposium. (6 mos)

### **Great Lakes Environmental**

### **Law Center**

Detroit, MI \$25,000 For the protection of the Great Lakes from invasive species project. (2 yrs)

### **Great Lakes United**

Amherst, NY \$75,000

To work on the renegotiation of the Great Lakes Water

Quality Agreement, to study and recommend strategies for mitigating the introduction and spread of aquatic invasive species, and to strengthen the organization. (1 yr)

# Institutes for Journalism and Natural Resources

Missoula, MT \$20,000

To support two Watershed
Institutes for journalists in the
Milwaukee and Maumee River
watersheds. (17 mos)

### Minnesota Environmental

### **Partnership**

St. Paul, MN \$100,00
To continue work to protect and restore
Lake Superior and its tributaries.
(1 yr)

### National Parks Conservation Association

Washington, DC \$300,000
To continue supporting the Healing
Our Waters Coalition's Great
Lakes Restoration Implementation
project. (1 yr)

# Natural Resources Defense Council Inc.

New York, NY \$250,000
For its efforts to stop the introduction and spread of invasive species in the Great Lakes, combat nutrient pollution in Lake Erie, and work on implementation of the Great Lakes Compact. (18 mos)

### Northeast-Midwest Institute

Washington, DC \$75,000
For its Great Lakes Washington
program including support for the
Great Lakes Congressional Task
Force. (1 yr)

#### **Ohio Environmental Council**

Columbus, OH \$300,000
To support its initiatives to protect, conserve, and restore Ohio's portion of the Lake Erie basin.
(2 yrs)



### **Gun Violence Prevention**

Every day, 270 people in America are shot in murders, assaults, suicides, accidents, and police intervention. 47 of them are children and teens. This adds up to nearly 100,000 Americans who are killed or injured by guns each year. The Joyce Foundation works with law enforcement, policy makers and advocates to reduce the toll of gun violence on families and communities.

In 2011 the Wisconsin Legislature voted to allow residents to carry concealed, loaded weapons in public places. So why are there signs all over the state saying "No Weapons Allowed"?

Because most Wisconsin residents don't want guns in public places, says Jeri Bonavia's of WAVE (Wisconsin Anti-Violence Effort), a Joyce grantee. When the legislature allowed them anyway, Bonavia's group began distributing signs, and local residents began contacting public officials and businesses asking them to keep guns off their premises.

The signs are a visible demonstration of the power of Bonavia's belief in listening to people, then giving them a way to act.

Concern about the presence of concealed, loaded firearms in public was common among Wisconsin residents. A WAVE poll showed that by a 3-1 margin state residents would feel less safe with concealed, loaded weapons in public places. Editorials from newspapers around the state supported WAVE's position. In the end, despite the public opposition, legislators approved a version of the concealed carry law that mandated permits and training as prerequisites for carrying weapons in public, backing away from the original bill, which had no such restrictions.

### Using stories to connect with people

Bonavia comes from a hunting family and a state where hunting is a proud tradition. (More people with guns take to Wisconsin woods on the opening weekend of the deer hunting season than hit the Normandy beaches on D Day.) But, she says, Wisconsin people are caring people, and they are appalled by stories of gun violence from their state, like the young man from Crandon who killed his ex-girlfriend and her friends at a homecoming party, the hunter trespassing on private land in northwest Wisconsin who turned his weapon on the landowner and his buddies, and the unemployed plumber who killed three teenagers swimming in the Menominee River.

"We spend many weekends out around the state, at farmers markets and festivals, hearing from people, giving them our ideas, really exchanging information," says Bonavia. "It doesn't matter what part of the state we're in, people care about other people being shot. It matters to them. It's universal. And they want to understand that there are real solutions that would not harm our traditions, our hunting culture."

Mobilizing that sense of caring is what gives Bonavia power. That, and not giving up. The Legislature had legalized concealed loaded guns in "banks, churches, taverns, parks, hospitals, playgrounds, domestic violence shelters, grocery stores, universities, Lambeau Field, mental health facilities, school zones, and just about all other public places," notes the WAVE website, but it also allowed businesses and local officials to keep guns out of their establishments.

"There was such an enormous backlash—it was far too extreme to the people of Wisconsin," says Bonavia. So she and her group began distributing and posting their signs. Residents of local communities, meanwhile, contacted officials demanding that guns be kept out of public buildings. "All the communication we've received from the public, and there's a good stack of it that's on my desk tonight, has all said, 'Please don't allow it in city buildings,'" reported the Mayor of Appleton in a newspaper account. Wauwatosa officials reportedly got 59 e-mails in favor of barring weapons and one against. As of this writing, officials had responded to their residents' concerns, banning guns from public buildings in counties where 80 percent of Wisconsin residents live.

Milton Mayor Tom Chesmore, a concealed carry advocate, was one of them. According to a story in the local newspaper, Chesmore, having watched video of a Florida man terrorizing a Florida school board meeting, now believes only police should carry guns into city buildings. "When you're dealing with the safety of your city, your personal choices have to be put aside. Your decision's got to be based on the safety of the people in your community."

### Gun Violence Prevention Grants 2011: \$4,284,668

### American College of Preventive Medicine

Washington, DC \$234,716
To continue its comprehensive education and advocacy campaign aimed at strengthening support for the National Violent Death Reporting System (NVDRS) program. (1 yr)

### Ceasefire Pennsylvania Education Fund

Philadelphia, PA \$65,000

To support the engagement of Pennsylvania citizens at the grassroots level in 40 targeted municipalities. (6 mos)

### Citizens for a Safer Minnesota Education Fund

St. Paul, MN \$60,000

To support an organizing project on gun violence prevention. (1 yr)

# Educational Fund to Stop Gun Violence

Washington, DC \$125,000

To support national and state coalition building and statebased policy development, education, and advocacy in ongoing campaigns to end gun violence.

(1 yr)

# Illinois Council Against Handgun Violence

Chicago, IL \$175,000

To build awareness around public policy objectives to improve community safety. (6 mos)

### Illinois Council Against Handgun Violence

Chicago, IL \$375,000

To support programs to increase awareness about public policies that will improve community safety, build a grassroots movement, and expand the Student Voices program and other youth outreach. (1 yr)

# Legal Community Against Violence

San Francisco, CA \$186,000 For continued support to provide legal and technical assistance in support of state and local gun violence prevention policy reform efforts. (1 yr)

### Legal Community Against Violence

San Francisco, CA \$34,000 For continued support to provide legal and technical assistance in support of state and local gun violence prevention policy reform efforts. (1 yr)

### **New Venture Fund**

Washington D.C.

- \$570,000—To support the development and launch of a new gun violence prevention organization. (1 yr)
- \$220,000—To support messaging research on gun violence and gun policy. (1 yr)

# Ohio Coalition Against Gun Violence

Toledo, OH \$75,000

To support grassroots organizing and coalition building. (1 yr)

### Police Executive Research Forum

Washington, DC \$375,000

To conduct new research on gun violence and gun policy issues, host a national town hall meeting to present and discuss research findings, and to educate the public and policy makers about gun violence issues that impact the law enforcement community. (18 mos)

### The Police Foundation

Washington, DC \$199,952
To manage the activities of the National Law Enforcement Partnership to Prevent Gun Violence. (1 yr)

# States United to Prevent Gun Violence

New York, NY \$25,000
For shared list management software for eight state gun violence prevention organizations, and technical assistance on effective use of the software. (1 yr)

# United Against Illegal Guns Support Fund

New York, NY \$650,000
For continued support of the Mayors Against Illegal Guns City Coordinators program, and to support the New York City-based staff of Mayors Against Illegal Guns. (1 yr)

### **WAVE Educational Fund**

Milwaukee, WI \$415,000
For continued development
and implementation of a
comprehensive plan to prevent gun
violence in Wisconsin and across
the country. (1 yr)

### **Violence Policy Center**

Washington, DC \$500,000
For research, public education, communication, advocacy, and coalition efforts in support of effective gun violence prevention policies. (1 yr)



Florence Hessing at home in Bayfield, Wisconsin

### **Money and Politics**

Recent polls show that more than two-thirds of
Americans believe that the country is on the wrong
track and four out of five are dissatisfied or angry
with the federal government. More than one third
of citizens do not vote. The Joyce Foundation aims
to strengthen democracy by promoting campaign
finance reforms, government openness and ethics, fair
and competitive elections, an independent judiciary,
and informed citizen participation.

Florence Hessing was born at home, in Iowa, back in 1916, before women had the right to vote. When she was three her family moved to the far northern Wisconsin community of Bayfield; the next year, the Nineteenth Amendment passed. Ms. Hessing, who worked as a rural mail-carrier, has been voting ever since she was old enough to cast a ballot. But when the Wisconsin Legislature in 2011 enacted a requirement that voters show photo IDs, she found herself disenfranchised—because she doesn't have a photo ID or the birth certificate required to get one.

Disenfranchising citizens is a red flag to the League of Women Voters Education Fund, which was founded as an extension of the women's suffrage movement. "The League has held for 92 years that voting is a fundamental citizen's right which must be guaranteed," says Andrea Kaminski, executive director of the Wisconsin League, a Joyce grantee.

The League challenged the voter ID law as a violation of the state's constitution, which guarantees the vote to all U.S. citizens over 18 who are residents of the state except those who are incompetent or felons. A district court judge agreed. "The government may not disqualify an elector who possesses those qualifications on the grounds that the voter does not satisfy additional statutorily-created qualifications ... such as a photo ID," the judge ruled. Addressing the argument that voter IDs are necessary to prevent vote fraud, the judge wrote "voter fraud is no more poisonous to our democracy than voter suppression. Indeed, they are two heads on the same monster."

The case is now working its way through state courts, while another lawsuit brought by the ACLU challenges the law in federal courts. In the meantime, the state judge in the League's case has issued a permanent injunction blocking the photo ID requirement in this year's elections.

### Making it harder to vote

The Wisconsin law is not unique, nor is Hessing an isolated example. Similar state laws enacted recently around the country amount to "the tightening of restrictions on who can vote and how Americans can vote," according to the Brennan Center for Justice. Besides requiring photo ID, states have set new requirements for voter registration, cut back on early voting, and reinstated barriers to people who have served prison time. Groups in the Midwest Democracy Network have been opposing many of the changes.

"The new laws could make it significantly harder for more than five million eligible voters to cast ballots in 2012," says the Brennan Center. Its 2006 survey found that about 11% of US citizens lack a government-issued photo ID; percentages are higher among older people

(18%) and African Americans (25%). In addition, about 13 million citizens, like Hessing, don't have a birth certificate or other proof of citizenship. A 2005 University of Wisconsin study estimated that nearly a quarter of that state's residents over 65 lack a photo ID (as do nearly 100,000 residents aged 35–64).

Besides challenging the Wisconsin law, the League of Women Voters has been helping people like Hessing get birth certificates and ballots, and observing at elections to make sure voting rights are upheld.

They've been busy, because Wisconsin has been having nearly nonstop elections recently, including the primary, the upcoming general election, and also recall elections for state legislators and the governor over labor issues. "She really wanted in on that," says Hessing's husband, Donald Mueller. "She's for the working man."

"As long as I'm up and around I should be able to vote," says Hessing. So far, thanks to the Wisconsin League of Women Voters, she can.



Bayfield, Wisconsin resident Florence Hessing, 96, always votes, says her husband, Donald Mueller. "She just feels it's her duty."

### Money and Politics Grants 2011: \$320,000

### Regional Reform

# Mexican American Legal Defense and Educational Fund

Los Angeles, CA \$75,000

To build support for redistricting reform in Illinois and ensure voting access in the Midwest. (1 yr)

### State Reform

### The Fund for Justice

Chicago, IL \$50,000

To promote changes in the system by which elected judges are retained and, through this process, to improve the quality of the judiciary. (1 yr)

# League of Women Voters of Illinois Education Fund

Chicago, IL \$50,000

To improve Illinois' election administration, build public awareness of the need to safeguard judicial independence, and increase public support for redistricting reform. (1 yr)

### League of Women Voters of Minnesota Education Fund

St. Paul, MN \$75,000

To support its State of Democracy

Program. (1 yr)

### **Wisconsin Voices**

Milwaukee, WI \$70,000

To support policy and advocacy work related to voter protection and campaign finance reform.

(1 yr)



Theaster Gates in the library he created in an abandoned building on Chicago's South Side

### **Arts and Culture**

The arts are an integral part of communities and a major contributor to the economy. The Joyce Foundation works to improve communities through the arts, support art that reflects the community, and make art accessible to diverse audiences.

Art does not always fit neatly into a box. It pushes boundaries and insists on new ways of thinking, listening, and seeing. But art is essential to community because it nurtures our spirits by taking us out of our daily lives, prodding us to question the world around us and opening ourselves up to the possibility of transformation.

Theaster Gates unites themes of community and transformation in his work, from his 2010 Joyce Award project at the Milwaukee Museum of Art to his current project, inviting neighbors to help him rebuild a foreclosed building on Chicago's South Side as a library and community center, and then starting over on the building next door. Gates believes that making art and revitalizing communities have a lot in common with

religion. The heat that reforms the molecular structure of clay, the urban planner's ideas for improving cities, the religious imperative: "all are rooted in notions of transformation."

Culture grant making at the Joyce Foundation has long emphasized the power of arts in our lives and therefore our communities. That has been embodied in grants to strengthen arts organizations in communities of color and to bring broader, more diverse audiences to the Midwest's great cultural institutions. The Joyce Awards, now celebrating their tenth anniversary, have built in community engagement as a critical project element. Gates, for example, collaborated with workers at local ceramics factories in preparation for his homage to a 19th century African American potter at the Milwaukee Museum. William Pope L., a 2012 Joyce Award winner, will gather stories and photographs of Clevelanders for his project with SPACES gallery and assemble them into a video to be broadcast from a moving truck winding its way through city neighborhoods.

### Going outside the walls

As the Joyce Awards enter their second decade, the Foundation is making even more explicit the connection between art and community by opening the awards not just to arts institutions but to any nonprofit organization. Community centers, churches, or any nonprofit that wants to work with an artist may apply.

At the same time, the Culture Program is launching an Innovation Fund to explore ways that technology can extend the reach of art and create opportunities for people to interact with it, and looking for new ways that art can support community revitalization. It's part of a fundamental shift in perspective described by Senior Program Officer Angelique Power in a recent blog posting: "The world is large, and the majority of artists that are doing important, sophisticated, resonant work are outside of [art] institutions," Power wrote. "People will continue to connect, engage, learn, and explore in ways and places meaningful to them (churches, schools, front porches, gardens, markets, sewing circles, block clubs). There is real discussion, reflection, enlightenment and engagement happening here." The Joyce Foundation aims to be part of that engagement.



It's all about transformation

Making art and revitalizing communities have a lot in common, says Joyce Award winner Theaster Gates. See full report online to watch video >



### The Joyce Awards at Ten

The Foundation celebrates the 2012 awardees and announces program changes to open new possibilities for art in communities. See full report online to watch video >

### Arts and Culture Grants 2011: \$1,335,000

#### Access

### **Chicago Theatre Group Inc.**

Chicago, IL \$150,000
For continued support of institutional diversity initiatives to increase audiences and staff of color. (1 yr)

#### **Court Theatre**

Chicago, IL \$75,000
For continued support of artist resident Ron OJ Parson's salary and production of the newly adapted Ralph Ellison's *Invisible Man.* (1 yr)

### **Hubbard Street Dance Chicago**

Chicago, IL \$50,000

To renew support of its fellowship program, which recruits and trains people of color in dance administration. (1 yr)

### **Museum of Contemporary Art**

Chicago, IL \$50,000
For the first major museum exhibition of African American contemporary artist, Rashid Johnson. (1 yr)

### **Remy Bumppo**

### **Theatre Company**

Chicago, IL \$25,000
For salary support of actors of color and to increase participation from artists and audiences of color during the 2011/2012 season. (1 yr)

### **Victory Gardens Theater**

Chicago, IL \$60,000
For continued salary support
of artistic staff of color, and to
support the Chicago premiere of
"The Gospel According to James,"
by 2006 Joyce Award winner
Charles Smith. (1 yr)

### Community-based Arts

# Black Ensemble Theater Corporation

Chicago, IL \$75,000

To provide salary support for the newly created general manager position. (1 yr)

### **Chicago Cultural Alliance**

Chicago, IL \$40,000 For continued salary support of its executive director and fund-raising consultant. (1 yr)

### Chicago Jazz Philharmonic

Chicago, IL \$60,000

To support its concert series at the Auditorium Theatre and to build organizational capacity. (2 yrs)

### International Latino Cultural Center of Chicago

Chicago, IL \$25,000 For continued support of its Latino Music Festival. (1 yr)

### Jazz Institute of Chicago

Chicago, IL \$30,000

To support capacity building and an artist residency with local jazz trumpeter and composer

Orbert Davis as a part of the 2011

Chicago Jazz Festival. (1 yr)

### **Luna Negra Dance Theater**

Chicago, IL \$70,000

To support the salary of a shared staff position for the newly formed Collaborative Space for Sustainable Development, a partnership among six diverse performing arts companies that would share performance and administrative space. (2 yrs)

### **Muntu Dance Theatre**

Chicago, IL \$60,000

To support the salary of a marketing director and leadership succession planning. (1 yr)

### **Teatro Vista Theatre with A View**

Chicago, IL \$100,000

To support programs and strengthen organizational capacity. (2 yrs)

### Creativity

### **Alliance of Artists Communities**

Providence, RI \$25,000

To support its 20th annual national conference in Chicago. (1 yr)

### **Alliance of Artists Communities**

Providence, RI \$200,000
For continued support of the Midwestern Voices and Visions project. (3 yrs)

### **Black Women**

### Playwrights' Group

Washington, DC \$40,000
To support the commissioning of new plays by African American women playwrights and the launch of a new program designed to connect live theater with online audiences. (1 yr)

### Joyce Awards

### Columbia College Chicago Dance Center

Chicago, IL \$50,000

To commission a new work by

African American choreographer

Reggie Wilson. (2 yrs)

#### Teatro del Pueblo

St. Paul, MN \$50,000

To support a Latino-Asian fusion series with Pangea World Theater for commissioning new works from Luis Alfaro, Enrique Adyanthaya and Marlina Gonzalez. (2 yrs)

### **Indianapolis Museum of Art**

Indianapolis, IN \$50,000

To commission a permanent public art installation by Mexican-born

Canadian artist Rafael Lozano
Hemmer. (1 yr)

### **SPACES Gallery**

Cleveland, OH \$50,000
To commission African American
performing artist William Pope.L's
new work for Cleveland's 25th
Performing Arts Festival. (1 yr)

### **Special Opportunities**

Working to enhance understanding of the Foundation's issues, explore connections among the Foundation's programs, and support work on social equity or regional cooperation.

The Special Opportunities Program gives the Foundation a measure of flexible funding with which to respond to important opportunities outside or across the Foundation's core giving programs, or to develop new ideas and promote innovation in how the Foundation and its grantees operate.

Special Opportunities fund has been used to explore or support:

- Projects that help advance goals of two or more programs
- · Media and communications grants
- Major one-time initiatives
- Capacity building or exploration of new tools for the Foundation or its grantees
- Compelling projects with no other home

Several major initiatives include efforts to explore how prize competitions can advance social policy goals, support a suite of important cross-programmatic grants such as MDRC's promising College Match program, and communications-oriented projects that enhance public understanding of the Foundation's issues.

### Special Opportunities Grants 2011: \$2,770,851

# The American Association for the Advancement of Science

Washington, DC \$183,351
To choose a fellow to work under the direction of the White House
Office of Science and Technology
Policy on developing potential prizes and challenges in key social policy areas. (1 yr)

# Center on Budget and Policy Priorities

Washington, DC \$200,000

To support its research and policy analysis on fiscal policy matters and policies affecting low-income families, federally and in the Midwest. (1 yr)

### Chicago Public Media

Chicago, IL \$100,000
For continued support of three neighborhood satellite bureaus in Englewood, Humboldt Park, and Rogers Park. (1 yr)

### Chicago Public Media

Chicago, IL \$250,000

To support *Front & Center* series—in-depth coverage of regional news and issues affecting the six-state region surrounding the Great Lakes. (1 yr)

### Chicago Public Media

Chicago, IL \$135,000

For a reporter to cover Springfield for both WBEZ and the Chicago News Cooperative. (1 yr)

### **Civic Consulting Alliance**

Chicago, IL \$400,000

To provide strategic consulting to the City of Chicago and Cook County in the areas of environment, violence prevention and education/workforce development. (2 yrs)

### **Donors Forum**

Chicago, IL \$75,000

To serve as a leading voice and coordinator of philanthropic and nonprofit advocacy in Illinois. (1 yr)

### **Latino Policy Forum**

Chicago, IL \$171,000

To support its policy research, development, education, and advocacy activities related to early childhood education and civic engagement. (1 yr)

### **MDRC**

New York, NY \$500,000

To refine, expand, and evaluate the "College Match" pilot program in Chicago Public Schools to assist low-income, high-achieving students attend more selective colleges through specialized advising. (2 yrs)

### National Opinion Research Center

Chicago, IL \$300,000
For the AP-NORC Center for
Public Affairs Research to
undertake research on two topics
connected to Joyce Foundation
program priorities. (1 yr)

### **New Organizing Institute**

Washington, DC \$107,500

To provide training and technical assistance to public engagement grantees in the Education and Gun Violence programs, with a goal of expanding their capacity to use new media tools to organize around policy reform. (1 yr)

### University of Illinois at Urbana-Champaign Institute of Government and Public Affairs

Urbana, IL \$100,000 For the Illinois Integrity Initiative,

a public education campaign to address corruption and increase civic engagement in Illinois. (1 yr)

# Window to the World Communications Inc.

Chicago, IL \$250,000

To support a series of local and national on-air and online news reports on important policy issues facing the Great Lakes region. (1 yr)

### President's Discretionary Grants 2011: \$1,193,969

#### Culture

### **Chicago Community Trust**

Chicago, IL \$50,000

To support efforts to build the knowledge, advocacy, and capacity of CPS schools to provide arts education programs. (1 yr)

### **Chicago Theatre Group Inc**

Chicago, IL \$2,000
To support Goodman Theatre's presentation of the Belarus
Theatre and their original work
"Being Harold Pinter." (6 mos)

### **Children's Memorial Foundation**

Chicago, IL \$30,000

To support the Creative Arts

Community Partnership Program

at Ann & Robert H. Lurie Children's

Hospital of Chicago. (1 yr)

### **Court Theatre**

Chicago, IL \$10,000

To provide support to the Court's educational programs and initiatives. (1 yr)

### Dusable Museum of African American History Inc.

Chicago, IL \$10,000

To support the exhibition "Geoffrey & Carmen: A Memoir in Four Movements." (1 yr)

### **Grantmakers in the Arts**

Seattle, WA \$10,000
To support the 2011 annual conference, "Embracing the Velocity of Change," in San Francisco, California, October 9–12, 2011. (1 yr)

### **Illinois Arts Alliance Foundation**

Chicago, IL \$15,000

To create expanded opportunities for arts administrators of color, and staff and board members from culturally specific institutions in Illinois, to lead and participate in the 2011 One State conference.

(1 yr)

### League of Chicago Theatres

Chicago, IL \$25,000

To provide salary support to an arts administrator of color working on behalf of three Chicago theater companies. (1 yr)

### **Museum of Contemporary Art**

Chicago, IL \$25,000

To support the search for a new Director of Communications and Community Engagement. (1 yr)

### **Northlight Theatre**

Skokie, IL \$2,000

To support visiting artist fees and public programs associated with the production "Eclipse." (6 mos)

### **Portoluz**

Chicago, IL \$10,000

To support "WPA 2.0, A Brand New Deal" project and the performance featuring Marc Bamuthi Joseph at the Museum of Contemporary Art.

(1 yr)

#### Education

### Campaign for Youth Justice

Washington, DC \$50,000

To support the expansion of its National Parent Caucus into Illinois, Indiana, Ohio, and Wisconsin; and focus its work on building a larger movement of family experts on juvenile justice issues. (1 yr)

### Public Interest Projects Inc.

New York, NY \$42,000
To be used by Public Interest
Project's Grow Your Own Illinois
coalition to support an evaluation
on the project's impact and
sustainability on effective teaching
in urban Illinois schools and
communities. (1 yr)

### **Teach Plus**

Boston, MA \$50,000

To provide communications and public engagement support for the Illinois State Board of Education's performance evaluation work.

(4 mos)

# The University of Chicago Consortium on Chicago School Research

Chicago, IL \$20,000

To support two Consortium

Institutes to share lessons learned on capacity-building research from around the country. (1 yr)

### **Employment**

### **Chicago Career Tech**

Chicago, IL \$25,000

To support the launch of Skills for Chicagoland's Future. (6 mos)

### **Council on Foundations Inc.**

Arlington, VA \$25,000

To support the Workforce Matters
Funder's Network. (18 mos)

### **Georgetown University**

Washington, DC \$49,969
To support Amy Goldstein's journalistic exploration of the impact of job dislocation spurred by the Great Recession. (6 mos)

### The Manufacturing Institute

Washington, DC \$50,000
For planning and designing a pilot for fast-tracking workers through manufacturing skills certification programs and into good jobs. (1 yr)

#### **National Council of La Raza**

Washington, DC \$50,000

To support the formation of a business leader network to advocate for public workforce system reforms so that under-prepared Hispanic workers are better served. (1 yr)

# National Employment Law Project Inc.

New York, NY \$10,000

To support a national conference to promote successful new legal and policy strategies to increase employment opportunities of people with a criminal record.

(6 mos)

### **National Skills Coalition**

Washington, DC \$50,000
To support Business Leaders
United for Workforce Partnerships.
(18 mos)

#### Women in Government LTD

Washington, DC \$30,000
To support WIG's policy resource centers on family economic success and higher education, as well as the 2011 Midwestern Regional Conference for state legislators. (1 yr)

### **Workforce Strategy Center Inc.**

Barrington, RI \$10,000

To develop an implementation plan for a national bridge program learning network. (6 mos)

### **World Business Chicago**

Chicago, IL \$25,000

To support an evaluation of Chicago Career Tech. (1 yr)

#### Environment

### Clean Water America Alliance

Washington, DC \$5,000
To support the second annual
Urban Water Sustainability
Leadership Conference in
Milwaukee, Wisconsin. (3 mos)

### **Environmental Grantmakers**

### Association

New York, NY \$15,000
To support EGA's annual retreat to be held September 25–28, 2011, at the Jackson Lake Lodge in Grand Teton National Park, Wyoming. (1 yr)

### Foresight Design Initiative Inc.

Chicago, IL \$5,000
To support the Summer Immersion
Project—Future of Work/Space:
Toward Efficient and Productive
Offices. (1 yr)

### **Global Philanthropy**

Chicago, IL \$20,000 For the Chicago regional green economy study. (6 mos)

### **Great Lakes Commission**

Ann Arbor, MI \$25,000

To test the feasibility of Great
Lakes states working together
on aquatic invasive species
prevention and management.
(6 mos)

### Institutes for Journalism and Natural Resources

Missoula, MT \$20,000 For IJNR's Asian Carp Institute. (1 yr)

### **Leadership Greater Chicago**

Chicago, IL \$5,000

For the Joyce Foundation to be a sponsor of the LGC 2011

Celebrate Leaders Dinner. (1 yr)

# Gun Violence Prevention Brady Center to Prevent

Gun Violence
Washington, DC \$20,000

For general operations. (3 mos)

### Citizens for a Safer Minnesota Education Fund

St. Paul, MN \$5,000

To support a grassroots organizer

and development of a grassroots organizing plan. (2 mos)

# Illinois Council Against Handgun Violence

Chicago, IL \$50,000

For a statewide survey on the issue of concealed weapons carrying. (3 mos)

### Institute of Medicine of the National Academies

Washington, DC \$15,000

To support Dr. David Hemenway's participation as a member of the Forum on Global Violence

Prevention. (2 yrs)

# The League of Young Voters Education Fund

Brooklyn, NY \$3,000

To support the "Put the Guns

Down" festival in Milwaukee in

September 2011 and follow-up

activities to engage youth around
gun violence prevention. (4 mos)

# Legal Community Against Violence

San Francisco, CA \$20,000

To support hiring an additional attorney to coordinate a brief bank for Second Amendment litigation nationwide. (1 yr)

# New Yorkers Against Gun Violence Education Fund

New York, NY \$10,000

To support the Campaign to
Keep Guns off Campus. (1 yr)

### The University of Chicago

Chicago, IL \$50,000

To support evaluation of the "One Summer Chicago" 2011 youth violence interventions. (13 mos)

### **Special Opportunities**

# Children First Fund the Chicago Public Schools Foundation

Chicago, IL \$20,000

To support the development of a comprehensive Teaching and Learning Transition Plan. (6 mos)

### **City Year**

Boston, MA \$5,000 To support the 2011 Ripples of Hope Awards Dinner in Chicago. (1 yr)

### The Civic Federation

Chicago, IL \$40,000

To support two projects: A new fiscal roadmap for the City of Chicago and a fiscal agenda for the State of Illinois for fiscal year 2012. (1 yr)

#### **Concerned Christian Men**

Chicago, IL \$20,000

To support the CCM-in-the-Schools initiative. (1 yr)

### **Demos**

New York, NY \$10,000

To support the first phase of the planning period for The Declaration Initiative, a project of Demos and The Institute of American Values.

(6 mos)

### Demos

New York, NY \$10,000

To support the American

Prospect's efforts to promote
independent, non-profit journalism
in the public interest. (1 yr)

### Foundational Financial

**Officers Group** 

Chicago, IL \$5,000

To support a facilitator for its strategic planning efforts. (3 mos)

### **Independent Sector**

Washington, DC \$50,000
To support the Independent Annual
Conference that will be held in
Chicago October 30 to November
1, 2011. (1 yr)

### **Independent Sector**

Washington, DC \$20,000

To support the Independent

Sector's 2011 Public Policy Action
Institute. (1 yr)

### John F. Kennedy Library

### Foundation

Boston, MA \$15,000

To support educational programs.
(1 yr)

### Mikva Challenge Grant Foundation Inc.

Chicago, IL \$5,000

To support the Youth Voices
Mayoral Candidate Forum on
Martin Luther King, Jr. Day, at
WTTW Studios. (6 mos)

### National Association for Urban Debate Leagues

Chicago, IL \$10,000

To purchase a table for the NAUDL

Annual Dinner. (1 yr)

### Money and Politics

### **Southern Illinois University**

### **Foundation**

Carbondale, IL \$40,000

To help improve the ethical climate in Illinois government and politics. (18 mos)

### Membership Grants 2011: \$119,030

Council on Foundations Inc.

Arlington, VA \$44,500

2011 Membership Grant (1 yr)

**Donors Forum** 

Chicago, IL \$25,230

2011 Membership Grant (1 yr)

**Environmental Grantmakers** 

**Association** 

New York, NY \$6,800

2011 Membership Grant (1 yr)

Funders' Network for

**Smart Growth and Livable** 

**Communities** 

Coral Gables, FL \$5,000

2011 Membership Grant (1 yr)

**Grantmakers for Education** 

Portland, OR \$6,500

2011 Membership Grant (1 yr)

**Grantmakers in the Arts** 

**Seattle, WA \$2,500** 

2011 Membership Grant (1 yr)

**Grants Managers Network** 

Washington, DC \$1,000

2011 Membership Grant (1 yr)

Guidestar

Williamsburg, VA \$5,000

2011 Membership Grant (1 yr)

**Independent Sector** 

Washington, DC \$15,000

2011 Membership Grant (1 yr)

The Philanthropy Roundtable

Washington, DC \$500

2011 Membership Grant (1 yr)

Public Interest Projects Inc.

New York, NY \$5,000

2011 Membership Grant (1 yr)

**Technology Affinity Group** 

Wayne, PA \$2,000

2011 Membership Grant (1

### **Summary of 2011 Grants**

Category	Number	Approved	Paid
Education	22	\$6,745,695	\$7,232,267
Employment	13	3,904,500	6,146,000
Environment	28	4,519,386	7,277,036
Gun Violence Prevention	17	4,284,668	3,959,668
Money and Politics	5	320,000	2,629,950
Arts and Culture	21	1,335,000	1,625,000
Special Opportunities	13	2,770,851	2,895,851
Discretionary, Memberships, and Employee Matching	59	1,203,219	1,203,219
Total Grants	178	\$25,083,319	\$33,318,991

### Financial Review

### **Statements of Financial Position**

		(000s omitted)
DECEMBER 31,	2011	2010
Assets		
Cash	500	425
Investments	757,779	800,818
Collateral received under securities lending program	_	6,829
Other assets	2,301	408
Total Assets	760,580	808,480
Liabilities and Net Assets		
Grants payable	6,720	14,955
Other liabilities	86	706
Payable under securities lending program	_	6,829
Deferred federal excise tax payable	1,086	1,650
Total Liabilities	7,892	24,140
Net assets—unrestricted	750,680	782,465
Net assets—temporarily restricted	2,008	1,875
Total Net Assets	752,688	784,340
Total Liabilities and Net Assets	760,580	808,480

### **Statements of Activities**

				(000s omitted)
YEAR ENDED DECEMBER 31,	2011	2011	2011	2010
		Temporarily	Total	Total
		Restricted		
Revenue				
Contributions	_	2,000	2,000	2,000
Investment revenue				
Net realized and unrealized gain	(11,647)	_	(11,647)	90,239
Interest and dividends	11,175	_	11,175	10,407
Other income	46	_	46	303
	(426)	2,000	1,574	102,949
Investment expenses	2,536	_	2,536	2,357
Net investment (losses) gains	(2,962)	2,000	(962)	100,592
Expenses				
Grants awarded, net of returns	23,207	1,684	24,891	37,061
Administrative and program expense	5,636	183	5,819	5,982
Excise tax and unrelated business income tax	(20)	_	(20)	1,700
Total expenses	28,823	1,867	30,690	44,743
Increase (Decrease) in Net Assets	(31,785)	133	(31,652)	55,849
Net Assets, at beginning of year	782,465	1,875	784,340	728,491
Net Assets, at end of year	750,680	2,008	752,688	784,340

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The Joyce Foundation's most recent audited financial statements are posted at www.joycefdn.org.

### **Board & Staff**

### **Board of Directors**

Chairman Ellen S. Alberding

Roger R. Fross José B. Alvarez

John T. Anderson

Vice Chairman Michael F. Brewer Charles U. Daly Anthony S. Earl

Carlton L. Guthrie

Daniel P. Kearney

Tracey L. Meares

Margot M. Rogers

Paula Wolff

### **Staff**

President	Employment	Controller
Ellen S. Alberding	Whitney Smith, Program Manager	Gil M. Sarmiento
	Matthew M. Muench	
Vice President, Finance &		Support Staff
Administration,	Environment	Jeffrey Dangel
Secretary and Treasurer	Ed Miller, Program Manager	(Receptionist)
Deborah Gillespie	Molly Flanagan	Carol A. Donahue
Vice President of Programs	Our Water as Breast tree	(Environment, Money and Politics)
Gretchen Crosby Sims	Gun Violence Prevention	
	Nina Vinik, Senior Program Officer	Monique Etienne
<b>Director of Communications</b>	Scott Wang	(Communications, Culture)
Katie McCormick Lelyveld		
	Money and Politics	Alyson Koblas
Chief Investment Officer	George Cheung,	(Employment, Gun Violence
Jane R. Patterson	Senior Program Officer	Prevention)
Program Officers	Communications and	Erin Pritchard
Arts and Culture	Technology Specialist	(Executive Assistant)
Angelique Power,	Mary Gerlach	
Senior Program Officer		Bonny Saringer
	Senior Accountant	(Education, Special Opportunities)
Education	Kerry M. Goese	
Butch Trusty,		Alice Taylor
Senior Program Officer	Manager of Grants and Office	(Assistant to the Vice President,
Jason Quiara	Administration	Finance & Administration)
	Veronica Salter	

### **Contact Us**

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Money and Politics image by Thomas Strand
Arts and Culture image by Jason Smith