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AMERICAN ANTHROPOLOGICAL ASSOCIATION AMERICAN ANTI  
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FOR THE HISTORY OF MEDICINE AMERICAN COMPARATIVE  
LITERATURE ASSOCIATION AMERICAN DIALECT SOCIETY  
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American Council  
of Learned Societies

# ANNUAL REPORT

*2008-2009*



The American Council of Learned Societies is a private, nonprofit federation of national scholarly organizations. The Council consists of a 15-member Board of Directors and one Delegate from each constituent society. The principal administrative officer of each society participates in the Conference of Administrative Officers (CAO).

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The cover features the 70 member societies of ACLS.

## A MESSAGE FROM THE PRESIDENT

The “year” of this annual report is a short one: the report covers the nine months between October 1, 2008 and June 30, 2009. The shortened year reflects our Board of Directors’ decision to change the American Council of Learned Societies’ fiscal year so that our financial results are more readily comparable to those of other nonprofit and educational institutions.

One wishes that the other elements of the fiscal environment were so easily altered. In the nine months reviewed here, ACLS investments lost 10% of their value, on top of losses of 20% in the previous 12-month fiscal year. That universities, colleges, foundations, and other organizations suffered reversals of similar or greater magnitude provides perspective, but not comfort. All who care for the academic enterprise have been challenged to make adjustments to a difficult present while maintaining the way toward a vibrant future.



ACLS President Pauline Yu

ACLS faced the challenge of maintaining our ability to support research that yields new knowledge, discoveries, and insight. Our board, mindful that an endowment is a promise to help both the present and the future, carefully considered several options for safeguarding the fund’s values. In January 2009, it concluded that there must be a reduction in the number of endowment-funded fellowships ACLS awarded that year. Equal care was taken in applying those reductions. After extensive discussion, the board assigned a high priority to conserving the opportunities available to scholars not yet fully established in their careers. At the same time, the board also recognized that the intellectual contributions of senior scholars are critically necessary to advancing the humanities. The board therefore directed the staff to distribute necessary reductions in awards across all ranks, but with the fewest reductions at the more junior ranks.

Fortunately, despite these difficult adjustments, ACLS was able to make more than \$10 million in fellowship awards in 2009. If we consider that in its first 35 years, from 1919 to 1944, ACLS expended a *total* of \$9 million on all its activities, we can take some satisfaction at the progress made in 2009, our ninetieth year. This notable milestone in the record of our annual giving was made possible through individual contributions and the support of our generous partners among the nation’s philanthropic foundations, especially The Andrew W. Mellon Foundation, the Henry Luce Foundation, and the Carnegie Corporation of New York.

Our increased funding for research included a new program supporting collaborative research in the humanities. The robust response to our first call for applications underlines the need for funders like ACLS to provide humanities scholars with support for a range of research modalities, including collaborative and digital research, categories that often overlap. Notably, the first roster of awards in this program includes research partnerships across institutions, disciplines, and international borders.

The challenge of balancing a difficult present with the needs of the future focused attention on the rising generation of scholars, new Ph.D.s who find themselves cast upon a “jobless market.” The academic career path has key stages—appointment,

For President Yu’s  
Reports to the Council,  
see [www.acls.org/talks](http://www.acls.org/talks).

## A MESSAGE FROM THE PRESIDENT CONTINUED

reappointment, tenure, promotion, retirement—that form a logical sequence in a smooth, steady-state economic environment. But these key junctures can become yawning chasms in circumstances such as those we confront. Colleges and universities restrict faculty hiring: a logical response to withered revenues. Individual faculty delay retirement: a logical response to compressed TIAA and other 403(b) accounts. But younger scholars, who may have begun their Ph.D. program eight years ago, will, naturally, and with degree in hand, seek their career paths. If current conditions persist—and there is every reason to fear they will—they will not find opportunities in academe, leaving us in very real danger of losing a generation of scholars in which there has already been a sizable investment.

In February 2009, we discussed this peril with representatives of the 32 research universities that provide special support to ACLS. Some institutions have been able to offer special grants to help their recent Ph.D.s continue their research and perhaps do some teaching, so that they are viable candidates when the job market revives. But there is a clear limit to what individual institutions can do. The university representatives encouraged ACLS to explore how we might address this urgent need. With this encouragement, we began discussions with the Mellon Foundation. Those discussions led to a generous grant to support a program of postdoctoral research and teaching appointments, providing critical opportunities to recent Ph.D.s. Next year's annual report will document the first awards of the New Faculty Fellows Program.

The financial crisis suddenly enveloping American universities is, regrettably, a besetting condition in many parts of the world, including Africa. A grant from the Carnegie Corporation provided \$5 million for our innovative African Humanities Program, which assists humanities scholars in five sub-Saharan countries and just completed its first round of selections. Modeled upon the success of our Humanities Program in Belarus, Russia, and Ukraine, the African Humanities Program is not an international exchange scheme, but rather is designed to provide research opportunities to scholars on the continent while building transinstitutional networks of mutual support. In its first year, the program convened eight meetings in Africa to consult with humanities scholars and university officials, publicizing the availability of fellowships, and making the process of evaluating applications as transparent as possible. Evaluators in the prescreening process as well as the selection committee were scholars at African universities. The first competition resulted in 33 dissertation completion and postdoctoral fellowships to scholars from Ghana, Nigeria, Tanzania, Uganda, and South Africa. (The awardees are listed on pages 33–34.) Three additional annual competitions will follow.

The recession provides a very real stress test for ACLS member societies as well. When conversing with university leaders, I am always struck by how much power they attribute to learned societies. Societies are indeed powerful, not because they are wealthy and well funded, but precisely because they embody and enact the ideals of scholarly self-governance and self-determination. For these reasons, learned societies are essential to the academic system and have grown along with it: 13 societies founded ACLS in 1919; we have 70 members today.

Yet it is also certain that every society's revenue stream—meetings, membership dues, advertising, donations, investment income—is imperiled by the economic downturn. Cutbacks in university support for travel and research are likely to persist and further deplete these revenues. At their semi-annual meetings, the Conference of Administrative Officers discussed how to manage through the meltdown, with an additional focus on conditions and innovations that may be put in place to help sustain long-term growth after the emergency is past.

The final challenge we face is our confidence in ourselves and in the value proposition inherent in the humanities. In the history of ACLS, one finds more than a few instances where the humanities, higher education, and our philanthropic supporters were under attack, sometimes from humanists themselves. As one foundation officer observed in the 1920s, "Philosophy and humanism have gone under a cloud; when they assert themselves, they are prone to do so apologetically." Some of the cloudy weather seems to have returned with the negative change in the economic climate, and more apologies are being offered. A February 2009 piece in *The New York Times* was headlined, "In Tough Times, the Humanities Must Justify Their Worth." In that article, otherwise reasonable friends of the humanities assert that we need new, indeed, "revolutionary" arguments for the value of humanistic learning, arguments more closely attuned to the workplace needs of students and the economy.

Surely, all areas of research and learning must assure the public that the support provided for their work will be money well spent. But in making that case we must not seek short-term gains in contemporary packaging at the cost of maintaining the long-term, durable justifications for humanistic study. The greatest value conveyed by study of the humanities is relentless inquiry into the question of value itself. Human beings seek and create meaning and value in language, literature, art, music, science, and in their very history. The humanities requires its students, whether undergraduates in the classroom or senior faculty engaged in research, to understand, interpret, and question those values. Adhering to that fierce discipline may seem an "ivory tower" exercise, but one need only read the financial pages to be reminded again of the cost of not scrutinizing surface value.

The Great Recession is not the first fiscal challenge we have faced. ACLS skirted bankruptcy in 1957. We now confront a new period of financial stress, this time a stress not confined to our organization but one that bends and warps the channels of the scholarly career. When, 10 years hence, on September 19, 2019, we celebrate ACLS's centennial, I expect that we will look on the final decade of our first century as one of the most productive episodes of our history, precisely because it was one of the most challenging.

## INTRODUCTION

The American Council of Learned Societies provides the humanities and related social sciences with leadership, opportunities for innovation, and national and international representation. ACLS was founded in 1919 to represent the United States in the Union Académique Internationale. Its mission is “the advancement of humanistic studies in all fields of the humanities and social sciences and the maintenance and strengthening of national societies dedicated to those studies.”



## AIDING RESEARCH

ACLS offers fellowships and grants for research in the humanities and related social sciences at the doctoral and postdoctoral levels. In 2009, the Council gave more than \$10.2 million in fellowship stipends and other awards to 351 scholars representing more than 150 institutions in the United States and abroad. Collaborative work is increasingly important in the humanities; the new ACLS Collaborative Research Fellowship Program aims to make such scholarship visible, acknowledged, and valued in fields where research and creativity have often been linked to the image of the individual rather than to scholars working together. In 2009, six teams of two scholars each received awards to collaborate on substantive projects resulting in tangible research products. Also in 2009, the African Humanities Program (AHP) awarded its first fellowships to 11 doctoral candidates and 22 doctoral recipients in Ghana, Nigeria, South Africa, Tanzania, and Uganda. Through AHP fellowships and workshops, ACLS promotes local and transnational cooperation



ACLS Board of Directors and Investment Committee members

For current ACLS leadership, see page 54.



Emily Osborn F'09 examines West African aluminum objects

among humanities scholars at all stages of their careers with the aim of developing a self-sustaining, continent-wide network of African humanities scholars.

Other ACLS programs aiding research include:

- ACLS Fellowships, our central program, for research toward a scholarly work;
- Charles A. Ryskamp Research Fellowships, for advanced assistant professors;
- Frederick Burkhardt Residential Fellowships for Recently Tenured Scholars, for work on a long-term, unusually ambitious project at a national research center;
- ACLS Digital Innovation Fellowships, for work on a major scholarly project that takes a digital form;
- Andrew W. Mellon Foundation/ACLS Early Career Fellowship Program, including Dissertation Completion Fellowships and Recent Doctoral Recipients Fellowships; and
- Henry Luce Foundation/ACLS Dissertation Fellowships in American Art.

For more information on ACLS Fellowship and Grant programs, see [www.acls.org/fellowships](http://www.acls.org/fellowships).

For more information on ACLS Fellows and their funded projects, see [www.acls.org/fellows/new](http://www.acls.org/fellows/new).



CAO Executive Committee members John Siegfried and Rona Sheramy



Tom Boellstorff '06 with waria activists in Indonesia

## ACLS MEMBER LEARNED SOCIETIES

The 70 learned societies that are members of ACLS are national or international organizations in the humanities and related social sciences. The Conference of Administrative Officers (CAO) serves as the primary vehicle for maintaining and enhancing relationships among the societies. It convenes twice each year to address the concerns of the community of humanistic scholars, especially issues related to maintaining and improving conditions for research, education, and communication among scholars.

Discussions at both the fall 2008 and spring 2009 CAO meetings centered on the impact of the U.S. financial crisis and the need for societies to adapt to unstable conditions. The group examined member societies' revenue sources; shared new, often innovative programs and activities initiated in response to the crisis; and discussed the responses of universities, foundations, and the National Endowment for the Humanities. The three-day fall meeting in Detroit also included sessions on virtual communities, the history and legacy of area and ethnic studies, and managing litigation.

At CAO meetings President Yu offers an update on ACLS program planning and activities; her comments this year addressed ACLS's own response to the financial crisis. The group also hears a report on the advocacy efforts of the National Humanities Alliance, now working with a new presidential administration and Congress.

For more information on  
ACLS member societies,  
see [www.acls.org/societies](http://www.acls.org/societies).

## INTERNATIONAL SCHOLARSHIP

ACLS has long been active in international scholarly exchange. Launched in 2008, the African Humanities Program supports humanities scholarship in Ghana, Nigeria, South Africa, Tanzania, and Uganda through fellowship competitions, regional workshops, and peer networking. The Carnegie Corporation of New York extended funding for the African program based on the success of the Humanities Program (HP) in Belarus, Russia, and Ukraine, which distributes grants to individuals doing exemplary work, ensuring future leadership in the humanities. As a result of HP activities, and with ACLS and Carnegie Corporation support, the International Association for the Humanities was founded as an independent association of humanities scholars primarily in Belarus, Russia, and Ukraine to help represent the post-Soviet region in the international scholarly community.



International Association for the Humanities meeting in Ukraine

The Luce/ACLS Grants to Individuals in East and Southeast Asian Archaeology and Early History Program provides grants to Asian and North American scholars for pursuing research, training the next generation of specialists, and fostering international cooperation among specialists. A seminar in June 2009 brought together recipients of dissertation fellowships, representatives of the Henry Luce Founda-



Zhanwei Yue F'08 investigates artifacts from the Shang Dynasty

tion and ACLS, and senior program advisors. There, fellows were able to discuss their research-in-progress in an atmosphere of collegial criticism and to compare notes with peers working in a variety of geographic locations, periods, and theoretical frameworks.

Other programs offering aid to international scholars include the East European Studies Program and New Perspectives on Chinese Culture and Society. The Center for Educational Exchange with Vietnam, an ACLS subsidiary organization, administers and supports educational and academic exchanges between Vietnam and the United States.

For more information on ACLS-supported international scholarship, including program descriptions, fellows' research, and meetings, see [www.acls.org/programs/international](http://www.acls.org/programs/international).

## SCHOLARLY COMMUNICATION

Since its founding, ACLS has funded major studies on scholarly communication and supported the creation of landmark scholarly publications. ACLS Humanities E-Book (HEB), an online collection of digitized and born-digital titles, continues to grow and to experiment with all forms of and partnerships in digital scholarly publishing. HEB now includes 2,800 titles.

Two current ACLS-funded projects have print and online publication components. The *American National Biography* was published in 24 volumes in 1999; *ANB Online* is a regularly updated resource, offering over 18,300 biographies, including the 17,435 of the original print edition. The Darwin Correspondence Project was founded in 1974 by Frederick H. Burkhardt, president emeritus of ACLS and general editor of the project until his death in 2007. All known letters to and from Charles Darwin will be published in an edition ultimately comprising 32 volumes (volume 17 was released in 2009); a searchable database is available on the project's website.

For more information on ACLS initiatives and publications, see [www.acls.org/programs](http://www.acls.org/programs).



From left, Carol Greenhouse, Princeton University, moderator; Michael Brintnall, American Political Science Association; Charlotte V. Kuh, National Research Council; Scott Waugh, University of California, Los Angeles; and Peter Struck, University of Pennsylvania.



## ANNUAL MEETING

The ACLS annual meeting brings together delegates and administrative officers of our member societies, representatives of institutional associates and affiliates, and friends of ACLS from foundations, government agencies, and institutions and organizations across the academic and public humanities. The 2009 annual meeting was held on May 7–9 in Philadelphia.

For more information on ACLS annual meetings, see [www.acls.org/annualmeeting](http://www.acls.org/annualmeeting).



2009 Haskins Prize Lecturer William Labov



2009 Conference of Administrative Officers discussion

In her report to the Council, President Yu discussed challenges presented by the U.S. economic crisis and evidence of ACLS's progress in spite of it. She called special attention to the difficulties faced by younger scholars seeking to establish their careers at this uncertain moment. Other presentations included a plenary session on peer review, analyzing the means by which the scholarly community judges its own progress; talks by recent ACLS fellows exemplifying emerging themes and methods of humanities research; a panel discussion on open access; and a luncheon talk by Don M. Randel, president of The Andrew W. Mellon Foundation.

William Labov delivered the 2009 Charles Homer Haskins Prize Lecture on "A Life of Learning." Professor Labov, known as the founder of sociolinguistics, is John and Margaret Fassitt Professor of Linguistics and director of the Linguistics Laboratory at the University of Pennsylvania. He introduced the audience to—and shared audio recordings of—six people discussing their lives in their regional vernaculars, and explained what he has learned from each. "They are all great speakers of the English language," said Professor Labov, "gifted with an uncommon eloquence." The lecture and audio recordings are available on the ACLS website.

For William Labov's Haskins Prize Lecture, see [www.acls.org/pubs/haskins](http://www.acls.org/pubs/haskins).

## FUNDING

ACLS is funded by foundation and government grants, endowment income, annual subscriptions from university and college associates, dues from constituent societies and affiliates, and individual gifts. In 2008–2009, ACLS received foundation grants totaling over \$12.9 million to support program activities.

For over a decade, contributions to the ACLS Fellowship Campaign have enlarged the ACLS endowment devoted to fellowships, thereby allowing ACLS to increase the number and size of fellowship awards. ACLS gratefully accepts contributions to the fellowship campaign as well as to funds established to honor specific individuals whose work has advanced humanistic scholarship, including the ACLS/Frederic E. Wakeman, Jr. Fellowship Fund for fellowships in Chinese history and a fund in memory of Frederick H. Burkhardt, president emeritus of ACLS, in support of *The Correspondence of Charles Darwin*.

For more information on ACLS funding, see [www.acls.org/funding](http://www.acls.org/funding).

MEMBER SOCIETIES  
OF THE  
AMERICAN COUNCIL OF  
LEARNED SOCIETIES

## ACLS MEMBER LEARNED SOCIETIES

African Studies Association  
American Academy of Arts and Sciences  
American Academy of Religion  
American Anthropological Association  
American Antiquarian Society  
American Association for the  
Advancement of Slavic Studies  
American Association for the History  
of Medicine  
American Comparative Literature  
Association  
American Dialect Society  
American Economic Association  
American Folklore Society  
American Historical Association  
American Musicological Society  
American Numismatic Society  
American Oriental Society  
American Philological Association  
American Philosophical Association  
American Philosophical Society  
American Political Science Association  
American Psychological Association  
American Schools of Oriental Research  
American Society for Aesthetics  
American Society for Eighteenth-  
Century Studies  
American Society for Environmental  
History  
American Society for Legal History  
American Society for Theatre Research  
American Society of Church History  
American Society of Comparative Law  
American Society of International Law  
American Sociological Association  
American Studies Association  
Archaeological Institute of America  
Association for Asian Studies  
Association for Jewish Studies  
Association for the Advancement of  
Baltic Studies  
Association of American Geographers  
Association of American Law Schools  
Bibliographical Society of America  
College Art Association  
College Forum of the National Council  
of Teachers of English  
Dictionary Society of North America  
Economic History Association  
German Studies Association  
Hispanic Society of America  
History of Science Society  
International Center of Medieval Art  
Latin American Studies Association  
Law and Society Association  
Linguistic Society of America  
Medieval Academy of America  
Metaphysical Society of America  
Middle East Studies Association of  
North America  
Modern Language Association  
of America  
National Communication Association  
National Council on Public History  
North American Conference on  
British Studies  
Organization of American Historians  
Renaissance Society of America  
Rhetoric Society of America  
Sixteenth Century Society and Conference  
Society for American Music  
Society for Cinema and Media Studies  
Society for Ethnomusicology  
Society for French Historical Studies  
Society for Music Theory  
Society for the Advancement of  
Scandinavian Study  
Society for the History of Technology  
Society of Architectural Historians  
Society of Biblical Literature  
Society of Dance History Scholars

For current membership  
and society profiles, see  
[www.acls.org/societies](http://www.acls.org/societies).

**INDIVIDUAL GIVING  
TO THE  
AMERICAN COUNCIL OF  
LEARNED SOCIETIES**

## INDIVIDUAL GIVING TO ACLS

ACLS gratefully acknowledges donations from the individuals and matching gifts from the organizations listed below. If not otherwise designated, contributions go to the ACLS Fellowship Campaign, which seeks to increase the endowment devoted to fellowships, and the number and size of fellowship stipends that the endowment can fund. Gifts from ACLS fellows and individuals, contributions from institutional associates, and grants from the Mellon, Ford, Rockefeller, Hewlett, and other foundations continue to be critical to this campaign. In 2009, ACLS received donations from 881 individuals amounting to just under \$240,000. These contributions helped us award fellowships totaling almost \$9 million to 243 United States scholars in 2009.

ACLS gratefully accepts contributions directed to the following:

- ACLS/John H. D’Arms Fund, for support of the ACLS Fellowship Program and initiatives identified with D’Arms’s leadership in the humanities;
- ACLS/Oscar Handlin Fellowship in American History Fund, for support of a fellowship in American history;
- ACLS/Frederic E. Wakeman, Jr. Fellowship Fund, for support, when fully endowed, of a fellowship in Chinese history;
- Fund in memory of Frederick H. Burkhardt, president emeritus of ACLS, for *The Correspondence of Charles Darwin*; and
- Undesignated gifts, for support of all the work of ACLS.

## 2009 INDIVIDUAL GIVING

### \$10,000–\$50,000

Oscar & Lilian Handlin •  
Charlotte V. Kuh & Roy Radner  
The Carl & Lily Pforzheimer  
Foundation, Inc. •  
Pauline Yu •

### \$5,000–\$9,999

John P. Birkelund  
Paul F. Gehl

### \$1,000–\$4,999

Kwame Anthony Appiah  
Frederick M. Bohen  
Jane S. Burkhardt •  
Caroline Walker Bynum •  
William M. Calder •  
Mark C. Carnes  
Stephen F. Cohen &  
Katrina vanden Heuvel •  
Jonathan D. Culler  
D. Ronald Daniel  
Mabel C. Donnelly  
Joseph W. Esherick & Ye Wa •  
Douglas L. Fix •  
Ken Garcia  
Richard D. Leppert  
Earl Lewis

Susan Mann  
Susan McClary  
Charles H. Mott •  
Donald J. Munro  
David S. Nivison  
Francis Oakley  
Robert O. Preyer, in memory of  
Kathryn Conway Preyer  
Arnold Rampersad  
Jochen Schulte-Sasse •  
Nancy J. Vickers  
Scott L. Waugh •  
Steven C. Wheatley ••••  
Daniel J. Wright •  
Ellen S. Wright •

### \$500–\$999

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Bernard Bailyn •  
Thomas Bender •  
Jerry H. Bentley  
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Jane Dailey  
Lisa Danzig  
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Winokur Family Foundation  
Anand A. Yang

For more information on  
donating to ACLS, see  
[www.acls.org/giving](http://www.acls.org/giving).

## 2009 INDIVIDUAL GIVING CONTINUED

### Under \$500

Hans Aarsleff  
 Arthur S. Abramson  
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 Richard Philip Adelstein  
 Joan Afferica  
 Richard J. Agee  
 William R. H. Alexander  
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 Walter L. Arnstein  
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 David M. Bergeron  
 Ann L. T. Bergren  
 Constance Hoffman Berman  
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 Ann Bermingham  
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 Ann Blair & David B. Brownlee  
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 Van Akin Burd  
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ELIZABETH CAROLYN MILLER, Assistant Professor, English, University of California, Davis  
*The Birth of Slow Print: Literary Radicalism and Print Culture, 1880–1914*

FLAGG MILLER, Assistant Professor, Religious Studies, University of California, Davis  
*The Osama Bin Laden Audiotape Library: Echoes of Legality*

KARL HAGSTROM MILLER, Assistant Professor, History, University of Texas, Austin  
*Sound Investments: Historical Perspectives on Music Ownership and Piracy*

MIA M. MOCHIZUKI, Assistant Professor, Art History, Jesuit School of Theology and Graduate Theological Union, Berkeley  
*The Netherlandish Print Abroad, 1543–1639: Art, Religion, and Economics in the Early Modern World*

KENNETH B. MOSS, Assistant Professor, Jewish History, Johns Hopkins University  
*Seeing Like a Nation: The Public and Private Lives of East European Jews in the Age of Nationalism, 1890–1939*

MARINA A. RUSTOW, Assistant Professor, History, Emory University  
*Patronage and Politics: Islamic Empire and the Medieval Jewish Community*

TARA ZAHRA, Assistant Professor, History, University of Chicago  
*Lost Children: Displacement and the Family in Twentieth-Century Europe*

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## **FREDERICK BURKHARDT RESIDENTIAL FELLOWSHIPS FOR RECENTLY TENURED SCHOLARS**

EDWARD JAMES BALLEISEN, Associate Professor, History, Duke University  
*Policing the Marketplace: A History of Commercial Fraud in America*

PAMELA L. BALLINGER, Associate Professor, Anthropology, Bowdoin College  
*Forgotten Refugees: Decolonization, Displaced Persons, and the Reconstruction of Italy and Europe*

FRANCESCA FIORANI, Associate Professor, History of Art, University of Virginia  
*Leonardo's Shadows: Images of Knowledge in Renaissance Art and Culture*

SUSANNE E. FREIDBERG, Associate Professor, Geography, Dartmouth College  
*Diet for a Warm Planet: Debating the Future Map of Food*

JEN HILL, Associate Professor, English, University of Nevada, Reno  
*Climate, Nature, and Global Geographies in Victorian Britain*

DAVID BRUCE IGLER, Associate Professor, History, University of California, Irvine  
*Pacific Encounters: The Creation of an Oceanic World, 1770s–1840s*

TARA E. NUMMEDAL, Associate Professor, History, Brown University  
*The Lion's Blood: Alchemy, Apocalypse, and Gender in Reformation Europe*

DEVIN O. PENDAS, Associate Professor, History, Boston College  
*Law, Democracy, and Transitional Justice in Germany, 1945–1955*

AGUSTIN RAYO, Associate Professor, Philosophy, Massachusetts Institute of Technology  
*Language as Decision-Making*

JONATHAN SHEEHAN, Associate Professor, European History, University of California, Berkeley  
*Sacrifice: Theology and the Human Sciences in Early Modern Europe*

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KIMBERLY CHRISTEN, Assistant Professor, Anthropology, Washington State University  
*Plateau Peoples' Web Portal and Digital Archive: Preserving and Circulating Native Knowledge and Culture*

NATHAN M. CRAIG, Assistant Professor, Anthropology, Pennsylvania State University, University Park  
*3D Digital Photographic Modeling and Spatial Analysis of Architectural Themes of Integration and Segregation at the Early Monumental Complex of Áspero, Supe Valley of Perú*

DAVID G. DICKASON, Professor, Geography, Western Michigan University  
*Reading Aaron Arrowsmith's Atlas of South India*

MARGARET RICH GREER, Professor, Spanish Literature, Duke University  
*Manos Teatrales (Theatrical Hands): Cyber-Paleography and a Virtual World of Spanish Golden Age Theater*

TIMOTHY R. TANGHERLINI, Professor, Folklore, University of California, Los Angeles  
*Sites of (re)Collection: Historical GIS and Statistical Learning for Danish Folklore*

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ELISABETH L. CAMERON, Associate Professor, History of Art and Visual Culture, University of California, Santa Cruz, and

ZOE S. STROTHER, Professor, Art History, Columbia University  
*Art that Dies: Iconoclasm, Transformation, and Renewal in African Art*

LARA DEEB, Associate Professor, Anthropology, Scripps College, and  
MONA HARB, Associate Professor, Urban Politics, American University of Beirut  
*Constructing and Negotiating the Islamic Milieu: New Moralities and Spatialities in Shi'i Lebanon*

PETER L. GALISON, Professor, Physics and the History of Science, Harvard University, and  
ROBB MOSS, Lecturer, Filmmaking, Harvard University  
*Wastelands and Wilderness*

THUPTEN JINPA, Adjunct Professor, Religious Studies, McGill University, Canada, and  
DONALD S. LOPEZ, Professor, Buddhist Studies, University of Michigan, Ann Arbor  
*Interfaith Dialogue Avant la Lettre: Desideri in Tibet, 1716–1721*

JUNGWON KIM, Assistant Professor, Korean History, University of Illinois, Urbana-Champaign, and  
SUN JOO KIM, Professor, Korean History, Harvard University  
*Law and Order in Nineteenth-Century Korea: Translation and Analysis of Inquest Records*

ELIZABETH CARSON PASTAN, Associate Professor, Art History, Emory University, and  
STEPHEN D. WHITE, Professor, Medieval European History, Emory University  
*The Bayeux Tapestry and St. Augustine's: Patronage, Politics, and Pictorial Narrative in Late Eleventh-Century England*

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### MELLON / ACLS EARLY CAREER FELLOWSHIP PROGRAM

#### DISSERTATION COMPLETION FELLOWSHIPS

ABBAS BARZEGAR, Doctoral Candidate, Religious Studies, Emory University  
*Remembering Community: Historical Narrative in the Formation of Sunni Islam*

ANYA BERNSTEIN, Doctoral Candidate, Anthropology, New York University  
*Indigenous Cosmopolitans: Mobility, Authority, and a Eurasian Imaginary in Siberian Buddhism*

LUCAS BESSIRE, Doctoral Candidate, Anthropology, New York University  
*Channeling Hope: Two-Way Radio, Sentiment, and Indigenous Sovereignty in the Gran Chaco*

JOSHUA CALHOUN, Doctoral Candidate, English, University of Delaware  
*Legible Ecologies: Animals, Vegetables, and Readers in Early Modern England*

SARAH ISABEL CAMERON, Doctoral Candidate, History, Yale University  
*"From Nomadism to Socialism": Kazakhstan and the Kazakh Famine, 1921–1934*

SARAH ANNE CARTER, Doctoral Candidate, History of American Civilization, Harvard University  
*Object Lessons in American Culture*

URVASHI CHAKRAVARTY, Doctoral Candidate, English, University of Pennsylvania  
*Languages of Service in Early Modern English Drama*

EMILY C. COHEN, Doctoral Candidate, Anthropology, New York University  
*Bodies at War: An Ethnography of Landmines and Rehabilitation in Colombia*

ROBYN SCOFIELD CRESWELL, Doctoral Candidate, Comparative Literature, New York University  
*Modernism and Melancholy: Arabic Poetry in a Transnational Era*

ALEX CSISZAR, Doctoral Candidate, History of Science, Harvard University  
*Centralizing the Scientific Machine: Classification and the Catalogue of the Sciences at the Turn of the Twentieth Century*

TARA F. DEUBEL, Doctoral Candidate, Anthropology, University of Arizona  
*Between Homeland and Exile: Performing Poetry and Identity in Sahrawi Arab Diaspora Communities*

STEPHANIE ELSKY, Doctoral Candidate, English, University of Pennsylvania  
*Licensed by Custom: The Poetics of Conquest and Consent in Early Modern England*

SHIRIN A. FOZI, Doctoral Candidate, History of Art and Architecture, Harvard University  
*The Body Recast and Revived: Figural Tomb Sculpture in the Holy Roman Empire, 1080–1160*

NIKLAS E. FRYKMAN, Doctoral Candidate, History, University of Pittsburgh  
*The Wooden World Turned Upside Down: Naval Mutinies in the Age of Atlantic Revolution*

LILY GEISMER, Doctoral Candidate, History, University of Michigan, Ann Arbor  
*Don't Blame Us: Grassroots Liberalism in Massachusetts, 1960–1990*

DAVID ASHER GHERTNER, Doctoral Candidate, Geography, University of California, Berkeley  
*Conjuring the World-Class Future: The Political Economy of Slum Demolition and Environmental Improvement in Delhi, India*

WILLIAM J. GIBBONS, Doctoral Candidate, Musicology, University of North Carolina, Chapel Hill  
*Eighteenth-Century Opera and the Construction of National Identity in France, 1875–1918*

PABLO F. GOMEZ, Doctoral Candidate, History, Vanderbilt University  
*Bodies of Encounter: African and European Health Practices in the Early Modern Nuevo Reino de Granada*

BRIDGET L. GUARASCI, Doctoral Candidate, Anthropology, University of Michigan, Ann Arbor  
*Refracting the State: Iraq's Southern Marshes as a Modality of Expertise, Humanitarianism, and Sovereignty*

JAMES P. HARE, Doctoral Candidate, Religion, Columbia University  
*The Garland of Devotees: Nabhadas's Bhaktamal and Modern Hinduism*

PAULA PEARS HASTINGS, Doctoral Candidate, History, Duke University  
*Race, Nation, and Geographies of Sub-Imperialism in the British Empire: Canadian Aspirations in the Caribbean Basin, 1884–1936*

JOHN NORTH HOPKINS, Doctoral Candidate, Art History, University of Texas, Austin  
*The Topographical Transformation of Archaic Rome: A New Interpretation of Architecture and Geography in the Early City*

KATIE HORNSTEIN, Doctoral Candidate, History of Art, University of Michigan, Ann Arbor  
*Episodes in Political Illusion: The Proliferation of War Imagery in France, 1804–1856*

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- LAUREN ANNE JACOBI, Doctoral Candidate, Art History, New York University  
*The Architecture and Urbanism of Banks in Early Modern Italy, c. 1400–1600*
- ALVARO E. JARRIN, Doctoral Candidate, Cultural Anthropology, Duke University  
*Cosmetic Citizenship: Beauty, Surgery, and Inequality in Southeastern Brazil*
- REBECCA CAROL JOHNSON, Doctoral Candidate, Comparative Literature, Yale University  
*Oriental and Occidental Tales: A History of the Novel in Translation*
- SHONA H. JOHNSTON, Doctoral Candidate, History, Georgetown University  
*Papists in a Protestant World: The Catholic Anglo-Atlantic in the Seventeenth Century*
- ALYSON E. JONES, Doctoral Candidate, Musicology, University of Michigan, Ann Arbor  
*Playing Out: Women Instrumentalists and Women's Ensembles in Contemporary Tunisia*
- OZAN KARAMAN, Doctoral Candidate, Geography, University of Minnesota, Twin Cities  
*(Re)-Making Space for Globalization: Entrepreneurial Urbanism and the Politics of Dispossession in Istanbul*
- MATTHEW J. KARP, Doctoral Candidate, History, University of Pennsylvania  
*This Vast Southern Empire: The South and the Foreign Policy of Slavery, 1833–1865*
- DEMETRA KASIMIS, Doctoral Candidate, Political Science, Northwestern University  
*Drawing the Boundaries of Democracy: Immigrants, Citizens, and the Polis in Ancient Greek Contexts*
- KATHRYN LAFRENZ SAMUELS, Doctoral Candidate, Archaeology/Anthropology, Stanford University  
*Developing Heritage and Traveling Expertise: The Rise of International Programs in Heritage Management*
- JONATHAN P. LAMB, Doctoral Candidate, English Literature, University of Texas, Austin  
*Shakespeare's Writing Practice: "Literary" Shakespeare and the Work of Form*
- SUSAN LAMB, Doctoral Candidate, History of Medicine, Johns Hopkins University  
*Psychiatry's First Patients: Adolf Meyer and the Founding of American Psychiatry*
- RUTH S. LEXTON, Doctoral Candidate, English Literature, Columbia University  
*The Political Imagination of Malory's Morte Darthur*
- JIE LI, Doctoral Candidate, Chinese Literary and Cultural Studies, Harvard University  
*The Past is Not Like Smoke: Memory Palimpsests of the Chinese Cultural Revolution*
- ELIZABETH LORANG, Doctoral Candidate, English, University of Nebraska, Lincoln  
*American Newspaper Poetry from the Rise of the Penny Press to the New Journalism*
- ERIC MANDELBAUM, Doctoral Candidate, Philosophy, University of North Carolina, Chapel Hill  
*The Architecture of Belief*
- ANNIE J. McCLANAHAN, Doctoral Candidate, English, University of California, Berkeley  
*Salto Mortale: Narrative, Speculation, and the Chance of the Future*
- TOWNSEND MIDDLETON, Doctoral Candidate, Anthropology, Cornell University  
*Beings Uncanny: Knowledge and Belonging in an Age of Anthropology*
- SARA JESSICA MILSTEIN, Doctoral Candidate, Bible and Ancient Near Eastern Studies, New York University  
*Expanding Ancient Narratives: Revision through Introduction in Biblical and Mesopotamian Texts*
- ELIZABETH MORE, Doctoral Candidate, History, Harvard University  
*In Their Best Interests: Social Scientists, Public Policy, and the Revaluing of Working Mothers, 1940–2000*
- HANNAH WEISS MULLER, Doctoral Candidate, History, Princeton University  
*An Empire of Subjects: Unities and Disunities in the British Empire, c. 1760–1790*
- JULIE ORLEMANSKI, Doctoral Candidate, English, Harvard University  
*Symptomatic Subjects: Diagnosis, Mimesis, and Narrative in Middle English Literature*
- BONGSOO PARK, Doctoral Candidate, American Studies, University of Minnesota, Twin Cities  
*Intimate Encounters, Racial Frontiers: The Stateless G.I. Babies in South Korea and the United States, 1953–1965*

MARIO PEREIRA, Doctoral Candidate, Art History, Brown University  
*"Novas Novidades": Collecting Luxuria at the Portuguese Court, c. 1450–1521*

NATALIE M. PHILLIPS, Doctoral Candidate, English Literature, Stanford University  
*Distraction: Problems of Attention in Eighteenth-Century Literature, 1747–1818*

PETER POLAK-SPRINGER, Doctoral Candidate, History, Rutgers University, New Brunswick  
*Making "Recoveries": The Cultural Politics of Territorial Appropriation in the German-Polish Upper Silesian Borderland, 1922–1971*

REBECCA REICH, Doctoral Candidate, Slavic Languages and Literatures, Harvard University  
*Methods of Madness: Diagnosis and Self-Definition in Late Soviet Literature*

MARTIN RENNERT, Doctoral Candidate, History, University of California, Santa Cruz  
*Conservative Nutrition: The Industrial Food Supply and its Critics, 1912–1962*

WILLIAM COLE ROSKAM, Doctoral Candidate, History of Art and Architecture, Harvard University  
*Variations on "The Model Settlement": Shanghai Building Culture and Modern Architecture, 1842–1937*

KAREN ROUTLEDGE, Doctoral Candidate, History, Rutgers University, New Brunswick  
*In These Latitudes: American and Inuit Stories of Survival, 1850–1915*

CAROLINE EMILY SHAW, Doctoral Candidate, History, University of California, Berkeley  
*Recall to Life: Britons, Foreign Refugees, and Modern Refuge, 1789–1905*

CASSANDER LAVON SMITH, Doctoral Candidate, Early American Literature, Purdue University  
*Interruptions, Disruptions, and a Wall of Silence: A Study of African-American Representations, 1542–1760*

ANTON BRAXTON SODERMAN, Doctoral Candidate, Modern Culture and Media, Brown University  
*Playing with Media Histories: Video Games through the Lens of Modernity*

JUSTIN SYTSMA, Doctoral Candidate, History and Philosophy of Science, University of Pittsburgh  
*Phenomenal Consciousness as Scientific Phenomenon? A Critical Investigation of the New Science of Consciousness*

ZEB J. TORTORICI, Doctoral Candidate, History, University of California, Los Angeles  
*Contra Natura: Sin, Crime, and Unnatural Sexuality in Colonial Mexico, 1600–1800*

MATTHEW C. UNDERWOOD, Doctoral Candidate, History of Science, Harvard University  
*Ordering Knowledge, Re-Ordering Empire: Science and State Formation in the English Atlantic World, 1650–1688*

ALAN VERSKIN, Doctoral Candidate, Near Eastern Studies, Princeton University  
*The Evolution of the North African Muslim Jurists' Response to European Rule and Influence from the Fifteenth to the Nineteenth Century*

MATTHEW W. VITZ, Doctoral Candidate, History, New York University  
*Resources of Revolution: Environmental Politics in the Valley of Mexico, 1900–1950*

ALEX WELLERSTEIN, Doctoral Candidate, History of Science, Harvard University  
*Knowledge and the Bomb: Nuclear Secrecy in the United States, 1939–2008*

ANN MARIE WILSON, Doctoral Candidate, History, Harvard University  
*Taking Liberties Abroad: Americans and International Humanitarianism, 1820–1920*

JOHANNA ELISABETH WOLFF, Doctoral Candidate, Philosophy, Stanford University  
*Is a Radical Critique of Metaphysics Possible?*

WINNIE WON YIN WONG, Doctoral Candidate, Art History, Massachusetts Institute of Technology  
*After the Copy: China, Dafen Village, and the Hand-Painted Art Product*

CHAD DUANE WRIGLESWORTH, Doctoral Candidate, English, University of Iowa  
*Geographies of Reclamation: A Literary History of the Columbia River Basin*

**2009 FELLOWS AND GRANTEES OF THE  
AMERICAN COUNCIL OF LEARNED SOCIETIES CONTINUED**

**RECENT DOCTORAL RECIPIENTS FELLOWSHIPS**

- NOSHEEN ALI, Recent Ph.D., Development Sociology, Cornell University  
*States of Struggle: Politics, Religion, and Ecology in the Northern Areas, Pakistan*
- YUEN YUEN ANG, Recent Ph.D., Political Science, Stanford University  
*State, Market, and Bureau-Contracting in Reform China*
- JELENA BATINIC, Recent Ph.D., History, Stanford University  
*Gender, Revolution, and War: The Mobilization of Women in the Yugoslav Partisan Resistance in World War II*
- ALEX S. CUMMINGS, Recent Ph.D., History, Columbia University  
*Music Piracy and the Value of Sound, 1909–1976*
- ERIN KATHERINE DEBENPORT, Recent Ph.D., Linguistics, University of Chicago  
*Indigenous Literacies: Historical and Emergent Writing Practices in the American Southwest*
- LAUREN M. DUQUETTE, Recent Ph.D., Political Science, University of Chicago  
*Making Democracy Work from Abroad: Migrant Development Synergy and Public Goods*
- AHMED EL SHAMSY, Recent Ph.D., History and Middle Eastern Studies, Harvard University  
*The Construction and Perpetuation of Authority in Classical Islamic Law*
- GREGG GARDNER, Recent Ph.D., Religion, Princeton University  
*The Origins of Jewish Charity and Social Justice*
- CHRISCINDA CLAIRE HENRY, Recent Ph.D., Art History, University of Chicago  
*Rustics, Outsiders, Buffoons, and Courtesans: Low Painting and Italian Renaissance Culture*
- ANDREW ROBERT HIGHSMITH, Recent Ph.D., History, University of Michigan, Ann Arbor  
*Demolition Means Progress: Race, Class, and the Deconstruction of the American Dream in Flint, Michigan*
- KAREN L. HILES, Recent Ph.D., Historical Musicology, Columbia University  
*Haydn and the Musical Cultures of War, 1790–1809*
- FAITH C. HILLIS, Recent Ph.D., History, Yale University  
*Between Empire and Nation: Urban Politics, Violence, and Community in Kiev, 1863–1914*
- JEFFREY TODD KNIGHT, Recent Ph.D., English, Northwestern University  
*Compiling Culture: Textual Assembly and the Production of Renaissance Literature*
- JOHN P. LEARY, Recent Ph.D., Comparative Literature, New York University  
*Cuba in the American Imagination: Literature and National Culture in Cuba and the United States, 1848–1961*
- VALERIA MANZANO, Recent Ph.D., Latin American History, Indiana University, Bloomington  
*The Making of Youth in Argentina: Culture, Politics, and Sexuality, 1956–1976*
- KATHRYN MERKEL-HESS, Recent Ph.D., History, University of California, Irvine  
*A New People: The Chinese Rural Modern in History*
- HISYAR OZSOY, Recent Ph.D., Anthropology, University of Texas, Austin  
*Fighting over Corpses: Tracing Histories of Competing Necropolitics and Sovereignties in the Kurdish Conflict in Turkey*
- EMILY J. PAWLEY, Recent Ph.D., History and Sociology of Science, University of Pennsylvania  
*“The Balance Sheet of Nature”: Calculating the New York Farm, 1825–1860*
- ELIZABETH J. PILLSBURY, Recent Ph.D., U.S. History, Columbia University  
*An American Bouillabaisse: The Ecology, Politics, and Economics of Fishing around New York City, 1870–Present*

CHITRA RAMALINGAM, Recent Ph.D., History of Science, Harvard University  
*The Science of Transience: Physics and the Visualization of Movement in Nineteenth-Century London*

LAURA ROBSON, Recent Ph.D., History, Yale University  
*The Making of Sectarianism: Arab Christians in British Mandate Palestine*

LIHONG SHI, Recent Ph.D., Anthropology, Tulane University  
*"Little Quilted Vests to Warm Parents' Hearts": Transforming Reproductive Choice in Rural Northeast China*

NEAL A. TOGNAZZINI, Recent Ph.D., Philosophy, University of California, Riverside  
*Freedom, Love, and Truth: An Exploration of Themes from Harry Frankfurt*

THERESA MARIE VENTURA, Recent Ph.D., History, Columbia University  
*Empire for Reform: Agrarianism, Environment, and Empire in the American Occupied Philippines, 1898–1936*

MOULIE VIDAS, Recent Ph.D., Religion, Princeton University  
*The Old and the New in Ancient Judaism and Christianity*

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### **LUCE/ACLS DISSERTATION FELLOWSHIPS IN AMERICAN ART**

KATHERINE L. CARROLL, Doctoral Candidate, Art History, Boston University  
*Modernizing the American Medical School, 1893–1940: Architecture, Pedagogy, Professionalization, and Philanthropy*

ELIZABETH A. FERRELL, Doctoral Candidate, History of Art, University of California, Berkeley  
*Collaborated Lives: Individualism and Collectivity in the San Francisco Avant-Garde, 1950–1965*

ANGELA GEORGE, Doctoral Candidate, Art History and Archaeology, University of Maryland, College Park  
*The Old New World: Unearthing Mesoamerican Antiquity in the Art and Culture of the United States, 1839–1893*

ADAM ROBERT GREENHALGH, Doctoral Candidate, Art History and Archaeology, University of Maryland, College Park  
*Risky Business: Chance and Contingency in American Art, 1876–1907*

KARIN HIGA, Doctoral Student, Art History, University of Southern California  
*Little Tokyo, Los Angeles: Japanese American Art and Visual Culture, 1919–1941*

ANNELISE K. MADSEN, Doctoral Candidate, Art and Art History, Stanford University  
*Model Citizens: Mural Painting, Pageantry, and the Art of Civic Life in Progressive America*

SARA MANDEL PICARD, Doctoral Candidate, History of Art, Indiana University, Bloomington  
*Defying and Delineating Race in Antebellum New Orleans: Jules Lion's Lithographs and Patronage, 1837–1866*

RACHEL MIDDLEMAN, Doctoral Candidate, Art History, University of Southern California  
*A New Eros: Erotic Art by Women Artists in New York, 1963–1973*

TANYA M. POHRT, Doctoral Candidate, Art History, University of Delaware  
*Touring Pictures: The Exhibition of American History Paintings in the Early Republic*

MARY S. TRENT, Doctoral Candidate, Visual Studies, University of California, Irvine  
*Innocence Reproduced: Girlhood in the Art of Joseph Cornell and Henry Darger*

**2009 FELLOWS AND GRANTEES OF THE  
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**LUCE/ACLS GRANTS TO INDIVIDUALS IN EAST AND  
SOUTHEAST ASIAN ARCHAEOLOGY AND EARLY HISTORY**

**POSTDOCTORAL FELLOWSHIPS (NORTH AMERICAN)**

CHUREEKAMOL EYRE, Research Associate, Archaeology and Anthropology, University of Pennsylvania  
*Prehistoric Local Systems in Central Thailand: Analysis of a Ceramic Subregion's Stylistic Patterns and Technology*

YUDONG WANG, Assistant Professor, Art History, Union College (NY)  
*Buddhism and the Making of the Qoco Uighur Kingdom*

**DISSERTATION FELLOWSHIPS (NORTH AMERICAN)**

CYRIL CALUGAY, Doctoral Candidate, Archaeology, University of Hawaii, Manoa  
*Complexity in the Islandscape: Social Organization and Development during the Early Second Millennium A.D. in the Visayas, Philippines*

ALISON CARTER, Doctoral Candidate, Archaeology, University of Wisconsin, Madison  
*Trade, Exchange, and Sociopolitical Development in Early Historic Cambodia: An Examination of Stone and Glass Beads*

MATTHEW GALLON, Doctoral Candidate, Anthropological Archaeology, University of Michigan, Ann Arbor  
*Archaeological Investigation of the Sociopolitical Organization of a First Millennium Fortified Town, Kamphaeng Saen, Thailand*

JENNIE J.H. JIN, Doctoral Candidate, Bioarchaeology and Zooarchaeology, Pennsylvania State University  
*Collaborative Archaeological Project on Taphonomic Analysis of the Early Holocene Faunal Materials from the Tangzigou Site in Yunnan Province, China*

CHIN-HSIN LIU, Doctoral Candidate, Biological Anthropology, University of Florida  
*Diet and Health Assessment of Metal Age Populations in Central Thailand: Evaluating Social Differentiation Using Paleopathology and Stable Isotope Ratio Analysis*

EMILY JEAN PETERSON, Graduate Student, Archaeology, University of Washington  
*The Origins and Development of Exchange Networks in Island Southeast Asia*

JONATHAN EDWIN PETTIT, Doctoral Candidate, Daoist Studies, Indiana University, Bloomington  
*Excavating Salvation: The Archaeology of Medieval Daoist Communities*

JASON HOAI TRAN, Doctoral Candidate, East Asian Literature, Cornell University  
*Recluse of White Cloud Hermitage: Nguyen Binh Khiem (1491–1585) in Vietnamese Literature and Cultural Memory*

MINNA WU, Doctoral Candidate, Early Chinese History and Archaeology, Columbia University  
*On the Periphery of a Great "Empire": Secondary Formation of States and their Material Basis in the Shandong Peninsula during the Late Bronze Age, c. 1045–500 B.C.E.*

LIYE XIE, Doctoral Candidate, Archaeology, University of Arizona  
*A Comparative Study of the Emergence of Ground Stone Technology in North and South China*

#### **STUDY AND RESEARCH FELLOWSHIPS (EAST ASIAN)**

MARY GRACE LUALHATI DOLATRE BARRETTO-TESORO, Assistant Professor, Archaeology, University of the Philippines  
*An Examination of the Ceramics in the Guthe Collection and Their Contribution to Philippine History and Culture*

YING GUAN, Doctoral Candidate, Paleolithic Archaeology, Chinese Academy of Sciences (IVPP)  
*Residue Analysis of Shuidonggou Artifacts: A New Perspective of the Paleoenvironment and Human Behavior*

YA-QIN HU, Researcher, Archaeology and Paleobotany, Chinese Academy of Social Sciences  
*Interactions between Early Farmers and the Environment during the Middle Neolithic Period in Northern China*

XIPING HUI, Doctoral Candidate, Archaeology, Shandong University, China  
*Settlement Archaeology in the Southeastern Coast Area of Shandong, China Supported by Geographic Information Systems from Prehistoric Period to Han Dynasty*

SUTING LI, Associate Research Fellow, Archaeology, Henan Provincial Institute of Cultural Relics and Archaeology, China  
*Population Dynamics in the Zhengzhou Area during the Shang Period*

YUNG-TI LI, Assistant Research Scholar, Anthropological Archaeology, Academia Sinica, Taiwan  
*The Kingly Craft: Craft Production and Political Economy of the Shang Capital at Anyang*

LEEE ANTHONY M. NERI, Research Associate, Archaeology, University of the Philippines  
*Learning a New Technique for Geochemical Analysis of Obsidian Artifacts Found in Philippine Archaeological Sites*

SHUWEN PEI, Associate Research Professor, Prehistoric Archaeology, Chinese Academy of Sciences (IVPP)  
*The Origins of Modern Human Behavior in China: Archaeological Evidence from Shuidonggou Locality 7*

LEE-MOI PHAM, Doctoral Candidate, Chinese Literature, National Taiwan University  
*Towards a Methodology for the Study of Paleographic Texts: A Study of the Chinese Writing System as Seen in Excavated Texts of the Warring States, 481–221 B.C.*

TU ANH PHAN, Lecturer, History of Art, Vietnam National University, University of Social Sciences and Humanities  
*Comparative Study of Champa and Southeast Asian Statues through the Hindu Sculptural Collection in Binh Dinh*

JIN SONG, Doctoral Candidate, History of Ancient China, Seoul National University, South Korea  
*Characteristics of Boundary and "Rites of Passage" in Ancient China: Based on Analysis of Western Zhou Bronze Inscriptions*

HONGBIN YUE, Associate Research Fellow, Archaeology, Chinese Academy of Social Sciences  
*Techniques, Style, and Function: An Integrated Perspective on the Social Understanding of Bronze Ritual Vessels of the Late Shang Dynasty*

#### **SUMMER FIELD SCHOOL SCHOLARSHIPS (EAST ASIAN)**

ANUSORN AMPHANSRI, Graduate Student, Archaeology, Silpakorn University, Thailand  
*Archaeology Training at the Museum of Archaeology, University of Michigan*

ERWIN S. FERNANDEZ, Graduate Student, Philippine History, University of the Philippines  
*Field Archaeology Training at the University of Illinois at Chicago*

#### **TRANSLATION GRANTS (EAST ASIAN)**

MEITIAN LI, Associate Professor, Archaeology, Beijing Normal University, China  
*Chinese Translation of Albert E. Dien's Six Dynasties Civilization*

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**AMERICAN RESEARCH IN THE HUMANITIES IN CHINA**

TERRY FREDERICK KLEEMAN, Associate Professor, Chinese Religion and Thought, University of Colorado, Boulder

*A Translation and Study of the Earliest Surviving Daoist Scripture: The Demon Statutes of Lady Blue*

ARI DANIEL LEVINE, Assistant Professor, History, University of Georgia

*Cultural Memory and Urban Space in Song Dynasty Kaifeng*

KENNETH M. SWOPE, Assistant Professor, History, Ball State University

*The Military Collapse of China's Ming Dynasty, 1620–1644*

CHUEN-FUNG WONG, Assistant Professor, Music, Macalester College

*Peripheral Sentiments: Uyghur Music and Minority Nationalism in Northwest China*

EVERETT Y. ZHANG, Assistant Professor, Anthropology, Princeton University

(Professor Zhang was Assistant Professor, Anthropology, State University of New York, Buffalo at the time of award.)

*Reconstructing the Local World after the Sichuan Earthquake: The Role of Mourning Rituals*

Funded by the  
Chiang Ching-kuo  
Foundation for  
International Scholarly  
Exchange

**NEW PERSPECTIVES ON CHINESE CULTURE AND SOCIETY**

SHERMAN COCHRAN, Professor, History, Cornell University

*Conference on "The Capitalist Dilemma in China's Communist Revolution: Stay, Leave, or Return?,"  
Cornell University, October 8–11, 2009*

ROBERT CULP, Associate Professor, History, Bard College

*Conference on "Intellectuals, Professions, and Knowledge Production in Twentieth-Century China,"  
Institute for East Asian Studies, University of California, Berkeley, October 16–17, 2009*

DIRK MEYER, University Lecturer and Research Fellow, Chinese Philosophy, The Queen's College,  
University of Oxford

*Conference on "Literary Forms of Argument in Premodern China," University of Oxford,  
September 16–19, 2009*

CHRISTOPHER REA, Assistant Professor, Modern Chinese Literature, University of British  
Columbia, Canada

*Workshop on "Circuits of Cultural Entrepreneurship in China and Southeast Asia," Columbia University,  
March 20–21, 2009*

TZE-LAN SANG, Associate Professor, East Asian Languages and Literatures, University of Oregon

*Workshop on "Documenting Taiwan in Films: Methods and Issues in New Documentaries," University of  
Oregon, July 6–8, 2009*

NICOLAI VOLLAND, Assistant Professor, Chinese Studies/Literature, National University of Singapore

*Workshop on "The Cultures of Emergency: Patterns of Cultural Production in Times of Turmoil, 1937–1957,"  
National University of Singapore, August 14–16, 2009*

Funds appropriated  
by the U.S. Congress  
and administered by  
the U.S. Department  
of State

**EAST EUROPEAN STUDIES PROGRAM**

**DISSERTATION FELLOWSHIPS**

JAKUB BENES, Doctoral Student, History, University of California, Davis

*Clashing Utopias: The Nationalization of Austrian Social Democracy, 1889–1914*

ZACHARY A. DOLESHAL, Doctoral Student, History, University of Texas, Austin

*Buying the Republic: Bat'a, Škoda, and the Meštanský Brewery, 1918–1938*

IAN R. MACMILLEN, Doctoral Student, Anthropology of Music, University of Pennsylvania

*Croatia and Its Intimates: Tamburica Music between Nations and Ethnicities*

AGNIESZKA A. MARCZYK, Doctoral Candidate, European Intellectual History, University of Pennsylvania  
*Sandcastles and Tragic Insight: Cultural Innovation, National Identity, and Cosmopolitan Aspirations in Interwar Poland*

RIMA PRASPALIAUSKIENE, Doctoral Student, Anthropology, University of California, Davis  
*"Thank You, Doctor": Informed Patients, Healthcare, and Ethics in Post-Socialist Lithuania*

ANDREW RICHARD ROBARTS, Doctoral Candidate, History, Georgetown University  
*A Plague on Both Houses: Population Movements and the Spread of Disease Across the Ottoman-Russian Black Sea Frontier, 1768–1830s*

MIHAELA SERBAN, Doctoral Candidate, Law and Society, New York University  
*Surviving Property: Property Ideologies and Rights Consciousness in Communist and Post-Communist Romania, 1944–2006*

LUCIA A. SEYBERT, Doctoral Candidate, International Relations and Comparative Politics, Cornell University  
*The Trouble with "Returning to Europe": New E.U. Members' Reluctant Embrace of Minority Rights and Nuclear Safety*

NICHOLAS TOCHKA, Doctoral Student, Ethnomusicology, State University of New York, Stony Brook  
*Politics, Power, and "Light Music": The Cultural Production of Albanian Identities, 1962–Present*

ELIZABETH WENGER, Doctoral Candidate, History, University of California, Berkeley  
*Matters of State, Matters of Consciousness: Literature Censorship in Poland and the DDR under Stalin*

#### **POSTDOCTORAL FELLOWSHIPS**

MEHMET SAFA SARACOGLU, Assistant Professor, History, Bloomsburg University of Pennsylvania  
*Writing Refugees into State Discourse: Immigration, Local Populace, and Ottoman Governmentality in Vidin, 1860–1880*

JULIA VERKHOLANTSEV, Assistant Professor, Slavic Languages and Literatures, University of Pennsylvania  
*Blessed Jerome the Glorious Slav: The Story of the Glagolitic Letters, the Roman-Slavonic Rite, and the Origins of the Slavic Idea*

#### **LANGUAGE TRAINING GRANTS**

##### **Institutions**

ARIZONA STATE UNIVERSITY for summer 2010 courses on first-year, intermediate, and advanced-mastery Albanian; first-year and intermediate Bosnian/Croatian/Serbian; and first-year and intermediate Macedonian

BALTIC STUDIES SUMMER INSTITUTE for summer 2009 and summer 2010 courses on first-year Estonian

INDIANA UNIVERSITY for summer 2009 courses on first-year Czech, Polish, and Slovene; and summer 2010 courses on first-year Bosnian/Croatian/Serbian, Macedonian, Polish, Romanian, and Slovene

UNIVERSITY OF CALIFORNIA, LOS ANGELES for a summer 2010 course on first-year Bosnian/Croatian/Serbian

UNIVERSITY OF PITTSBURGH for a summer 2009 course on first-year Polish; and summer 2010 courses on first-year Polish and first-year, intermediate, and advanced-mastery Bosnian/Croatian/Serbian

## 2009 FELLOWS AND GRANTEES OF THE AMERICAN COUNCIL OF LEARNED SOCIETIES CONTINUED

### Individuals

ANDREA BOHLMAN, Doctoral Candidate, Musicology, Harvard University  
*To study Polish*

TYLER JAMES CALLAWAY, Graduate Student, History, Central European University  
*To study Bosnian/Croatian/Serbian*

MICHAEL R. CUDE, Doctoral Candidate, History, University of Colorado, Boulder  
*To study Slovak*

SHIRLEY J. GEDEON, Associate Professor, Economics, University of Vermont  
*To study Bosnian/Croatian/Serbian*

MELISSA HIBBARD, Doctoral Candidate, History, Michigan State University  
*To study Polish*

KIMBERLY S. KALAJA, Professor, Literature, New York University  
*To study Albanian*

ARNAUD KURZE, Doctoral Student, Comparative Politics and International Relations,  
George Mason University  
*To study Bosnian/Croatian/Serbian*

DAVID C. McVEY, Doctoral Candidate, Literature, Ohio State University  
*To study Estonian*

BENJAMIN EUGENE WHITE, Doctoral Candidate, Anthropology, University of Chicago  
*To study Albanian*

### HERITAGE SPEAKERS RESEARCH GRANTS

KIM J. POTOWSKI, Associate Professor, Linguistics, University of Illinois, Chicago  
*Heritage Lithuanian and Polish in Chicago: Digital Oral Corpus and Proficiency Exam Project*

### CONFERENCE GRANTS

NINA BANDELJ, Assistant Professor, Economic Sociology, University of California, Irvine  
*1989: Twenty Years After*

KRISTEN R. GHODSEE, Associate Professor, Anthropology and Gender Studies, Bowdoin College  
*Spiritualities and Secularisms in Southeastern Europe: An Interdisciplinary Workshop*

### TRAVEL GRANTS

SARAH A. CRAMSEY, Graduate Student, History, University of California, Berkeley  
*Saying Kaddish in Czechoslovakia: Memorialization and the Work of Hana Volavkova*

ELZA N. IBROSCHEVA, Assistant Professor, Communication and Media Studies, Southern Illinois  
University, Edwardsville  
*The Unbearable Lightness of Advertising: Culture, Media, and the Rise of Advertising in Bulgaria*

KATALIN MEDVEDEV, Assistant Professor, Textiles, Merchandising, and Interiors, University of Georgia  
*Cold War Fashion Conflict and Resistance in Hungary in the 1950s*

PAUL RICHARD MILLIMAN, Assistant Professor, History, University of Arizona  
*Neither in Nor of the Kingdom of Poland: The Fourteenth-Century Trials between Poland and the  
Teutonic Knights and the Actualization of the Teutonic Ordensstaat*

LISA PESCHEL, Graduate Student, Theater Historiography, University of Minnesota  
*Memory as Evidence: Survivor Testimony from the Terezin/Theresienstadt Ghetto*

JANET P. STAMATEL, Assistant Professor, Sociology, State University of New York, Albany  
*Regime Change and Property Crime Variation in Post-Communist Eastern Europe*

NATHANIEL D. WOOD, Assistant Professor, History, University of Kansas  
*Sex Scandals, Sexual Violence, and the Word on the Street: The Kolasowna Lustmord in Cracow's  
Popular Press, 1905–1906*

## AFRICAN HUMANITIES PROGRAM

### DISSERTATION FELLOWSHIPS

MAWUYRAM QUESSIE ADJAHOE, Doctoral Student, Theory of Music, University of Cape Coast, Ghana  
*The Notation System of Gyl (Xylophone) Music of Ghana: An Alternate Approach*

JEREMIAH O. AROWOSEGBE, Doctoral Student, Political Science, University of Ibadan, Nigeria  
*The State, Democracy, and Development in the Works of Claude Ake*

NASIR MOHAMMED BABA, Doctoral Student, Curriculum Studies, University of Jos, Nigeria  
*Stakeholders' Perceptions of Integrated Qur'anic Curriculum as an Instructional Design for Dispensing Basic Education in Zamfara State*

DINNAH ENOCK, Doctoral Student, Fine Arts, University of Dar Es Salaam, Tanzania  
*Stylistic Evolution of Modern Makonde Sculpture In Tanzania*

CHINWE ROSEANN EZEIFEKA, Doctoral Student, English Language, Nnamdi Azikiwe University, Nigeria  
*Power Relations and Linguistic Repression in Print Media and Political and Gender Discourses: The Nigerian Experience*

GBENGA FASIKU, Doctoral Student, Philosophy, Obafemi Awolowo University, Nigeria  
*A Physicalist Understanding of Phenomenal Consciousness*

FOLASADE OYINLOLA HUNSU, Doctoral Student, Literature in English, Obafemi Awolowo University, Nigeria  
*Engendering an Alternative Approach to Reading African Women's Construction of "Self" and "Other" in Autobiography*

EVELYN KISEMBE, Doctoral Student, Linguistics, University of Ghana  
*Investigating Social and Educational Factors in the Success of English Learning: The Case of Ghana*

AUGUSTINE UKA NWANYANWU, Doctoral Student, Literature, University of Port Harcourt, Nigeria  
*Chinua Achebe's Fiction: A Study in Stylistic Criticism*

CELESTINO ORIIKIRIZA, Doctoral Student, Linguistics and African Studies, Makerere University, Uganda  
*Elicitation and Arrangement of Meanings of Words in the Lexicography of Less Documented Languages*

OMON MERRY OSIKI, Doctoral Student, History and Strategic Studies, University of Lagos, Nigeria  
*A History of Trafficking across the Nigeria-Benin Border, 1914–2005*

### POSTDOCTORAL FELLOWSHIPS

KAYODE AYOBAMI ADEDUNTAN, Adjunct Faculty, African Studies, University of Ibadan, Nigeria  
*Limen of the Actual and the Fabulous: Conceptual Blurs and Crossroads in Yoruba Narrative Performance*

GBEMISOLA ADEREMI ADEOTI, Adjunct Professor, English Literature, Obafemi Awolowo University, Nigeria  
*Politics and the Urban Experience in Postcolonial West African Literature*

OLADIPO JACOB AJIBOYE, Adjunct Faculty, Linguistics, University of Lagos, Nigeria  
*A Morphosyntactic Account of Five Yoruba Dialects: Oyo, Ikale, Moba, Igbomina, and Owe*

GEORGE AKANLIG-PARE, Assistant Professor, Linguistics, University of Ghana  
*A Study of the Structure and Function of Tone in Three Relatively Lesser Known Gur Languages of Ghana*

ROSE MARY AMENGA-ETEGO, Faculty, Religious Studies, University of Ghana  
*Probing the Religio-Cultural Roots of Witchcraft: A Journey into the Mystical World of the Nankani*

NANA ABA APPIAH AMFO, Senior Lecturer, Linguistics, University of Ghana  
*A Typology of Multi-Clausal Constructions in Kwa*

JOSEPH ARKO, Faculty, English, University of Cape Coast, Ghana  
*School and Vernacular Literacies of Ghanaian Rural Communities*

**2009 FELLOWS AND GRANTEES OF THE  
AMERICAN COUNCIL OF LEARNED SOCIETIES CONTINUED**

- DOMINICA DIPIO, Lecturer, Literature, Makerere University, Uganda  
*Gender Representation in African Film Narrative: A Feminist Critical Approach*
- GODKNOWS ERIC KOFI DORVLO, Adjunct Faculty, Linguistics, University of Ghana  
*Language Use in Education in Schools in Minority Language Areas: The Case of Logba*
- GERTRUDE FESTER, Associate Professor, Women and Gender Studies, University of the Western Cape, South Africa  
*Creed, Culture, Colour: The Construction of Western Cape Women Slaves, South Africa, 1843- 2003*
- IBRAHIM HARUNA HASSAN, Faculty, Religious Studies, Nasarawa State University, Nigeria  
*The Thoughts of Sokoto Scholars on Development*
- HEIDI HATTINGH, Adjunct Faculty, Photography, Nelson Mandela Metropolitan University, South Africa  
*The Impact of Democracy on the South African Social Documentary Photographer*
- OBAFEMI JEGEDE, Adjunct Faculty, African Studies, University of Ibadan, Nigeria  
*Oath-taking, Shrines, and Jurisprudence in the Yoruba and Igbo Religions of Nigeria*
- SEKIBAKIBA PETER LEKGOATHI, Adjunct Faculty, History, University of Witwatersrand, South Africa  
*Bantustans and Ethnicity: The Crystallization and Fragmentation of the Transvaal Ndebele during and after Apartheid*
- LEKETI MAKALELA, Adjunct Faculty, Linguistics, University of Limpopo, South Africa  
*Morphosyntactic Properties of Black South African English: An Investigation of Institutionalization Trends in Limpopo Province*
- MUNYARADZI MANYANGA, Adjunct Faculty, Archaeology, South Africa  
*Objects, Texts, and Narratives: Reconstruction of the Shashi-Limpopo Cultural Landscape in the Last 2000 Years*
- AARON MUSHENGYEZI, Senior Lecturer, Literature, Makerere University, Uganda  
*Translating Ugandan Oral Literature for Children: Audience, Form, and Social Relevance*
- AKACHI ODOEMENE, Lecturer, African History, University of Ibadan, Nigeria  
*Aladinma Indigenous Conflict Management and Peace-making Mechanism: Theory, Practice, and Prospects for Contemporary African Conflicts*
- DAVID OLUGBENGA OGUNGBILE, Adjunct Faculty, Religious Studies, Obafemi Awolowo University, Nigeria  
*Cultural Memories, Performance, and Meanings in Indigenous Festivals and Celebrations among the Yoruba of Southwestern Nigeria*
- IMANI SANGA, Senior Lecturer, Fine and Performing Arts, University of Dar Es Salaam, Tanzania  
*Music and Postcolonial Space: Aesthetics, Practices, and Politics of Contemporary Music in Dar Es Salaam, Tanzania*
- TRACIE CHIMA UTOH-EZEAJUGH, Adjunct Faculty, Theatre Arts, Nnamdi Azikiwe University, Nigeria  
*Harnessing Traditional Body Design Idioms for Contemporary Theatre Practice: The Igbo Uli Heritage*
- MICHAEL WESSELS, Adjunct Faculty, African Literature, University of KwaZulu-Natal, South Africa  
*Interpretation of the Oral Narratives of the Xam Bushman and Other Southern African Khoisan Peoples*

## HUMANITIES PROGRAM IN BELARUS, RUSSIA, AND UKRAINE

### SHORT-TERM GRANTS

MYKOLA ALEKSEIENKO, National Preserve of Tauric Chersonesos, Sevastopol, Ukraine  
*Byzantine Lead Seals from the National Preserve of Tauric Cherson*

ELMIRA AMERKHANOVA, Kazan State University, Kazan, Russia  
*Service (sluzhilye) Corporations of the Middle Volga Region at the Turn of the Eighteenth Century*

VLADA BARANOVA, Higher School of Economics State University, St. Petersburg, Russia  
*Language and Identity of Ouroums (Mariupol's Greeks)*

EKATERINA BAYKOVA, Saratov State Technical University, Saratov, Russia  
*The Transformation of a Provincial Town's Architectural Image: Saratov, 1861–1917*

VLADIMIR BEZGIN, Tambov State Technical University, Tambov, Russia  
*The Intimate Life of Russian Peasants at the End of the Nineteenth Century*

DMITRY BIRJUKOV, Russian Christian Academy for the Humanities, St. Petersburg, Russia  
*The Ancient Philosophical Tradition and the Formation of the Christian Theological Language in the Fourth Century A.D. (Greek Authors)*

SOFIYA BONKOVSKA, Institute of Ethnology, Lviv, Ukraine  
*An Illustrated Terminological Dictionary of Decorative Church Metal*

IHAR BORTNIK, Polotsk State University, Novopolotsk, Belarus  
*The Problem of Tolerance in the Christian Orthodox Thought of the Polish-Lithuanian Commonwealth, 1550–1650*

NADEZHDA DARBANOVA, Buryat State University, Ulan-Ude, Russia  
*A Linguo-Cultural Analysis of the Russian Speech of Transbaikalian (Semeskian) Old Believers*

ANDREY FOMENKO, St. Petersburg State University of Technology and Design, St. Petersburg, Russia  
*Photography: Models of Aesthetic Adaptation, 1855–1905*

PAVEL GABDRAKHMANOV, Institute of World History, Moscow, Russia  
*Family, Woman, and Church in Eleventh- to Thirteenth-Century Flanders: A Study of Medieval Genealogies Based on Documents from the Ghent State Archive*

ELENA GLUKHOVA, Institute of World Literature, Moscow, Russia  
*Andrey Bely's Works on Poetics, Linguistics, and Language Theory: Textual Studies and Publication History*

TATIANA IGOSHEVA, Novgorod State University, Novgorod Velikii, Russia  
*Valentine Ternavtsev's Religious Philosophy and the Russian Symbolists*

ALIAKSANDR HRUSHA, Institute of History, Minsk, Belarus  
*Formation of Written Culture in Business and Legal Spheres of the Grand Duchy of Lithuania, End of the Fourteenth to the Beginning of the Sixteenth Century*

JULIA KALININA, St. Petersburg State University of Service and Economics, St. Petersburg, Russia  
*Political Control in the Armed Forces of the Soviet Republic, 1917–1921*

EVGENIJ KASIMOV, Chuvash State Pedagogical University, Cheboksary, Russia  
*Chuvashia in the NEP Years (1921–1929): A Collection of the Documents and Materials of the GPU's Chuvash Department*

OLEKSII KOMAR, Institute of Archaeology, Kyiv, Ukraine  
*The Memorial Site of the Turkic-Khazar Ruler from the Beginning of the Eighth Century A.D. near Voznesenka Village*

EUGENIA KONYSHEVA, Chelyabinsk State Pedagogical University, Chelyabinsk, Russia  
*The Architectural Image of the Soviet City as a Reflection of Ideology: Plans for and Implementation of Urban Reconstruction in the South Urals, 1920s–1950s*

**2009 FELLOWS AND GRANTEES OF THE  
AMERICAN COUNCIL OF LEARNED SOCIETIES CONTINUED**

- YULIA KRYLOVA, Institute of World History, Moscow, Russia  
*The Writing and Reading of Didactic Treatises for Children in Medieval France*
- GENNADY KUZOVKIN, Research, Information, and Public Education Centre “Memorial,” Moscow, Russia  
*Development of the Online Directory “The Samizdat Catalogue”: The Documents of the Jewish Movement, 1960s–1980s*
- VALENTYNA LOS, Institution of Manuscripts of the National Ukrainian Library, Kyiv, Ukraine  
*Archival Research, Systematic Arrangement, and Substantive Analysis of the Monastic Books of Greco-Ukraine Church of Right-Bank Ukraine, Eighteenth and Nineteenth Centuries*
- OKSANA LUKA, Ukrainian Catholic University, Lviv, Ukraine  
*Mosaics from the St. Michael Church of the Golden Domes: Drama of a Monument and an Artist*
- PAVEL LUKIN, Institute of Russian History, Moscow, Russia  
*The Novgorodian Veche Assembly of the Thirteenth to the Fifteenth Century in Hanseatic Documents*
- ALEKSANDER LVOV, European University at St. Petersburg, St. Petersburg, Russia  
*Religion, Power, and Written Texts: Public Debates in Russian “Sectarian” Villages, 1875–1905*
- MIKHAIL MISHCHENKO, St. Petersburg State Conservatoire, St. Petersburg, Russia  
*Motion in Music: A Preliminary Essay on Melosophy*
- MARINA MOGILNER, Center for the Studies of Nationalism and Empire, Kazan, Russia  
*Homo Alter: The “Jewish Race” in the Russian Empire*
- ANTONIY MOYSEY, Chernivitsi National University, Chernivtsi, Ukraine  
*Interactions between the Traditional Cultures of the Ukrainian and Eastern Romanian Populations in Bukovina: A Comparative Analysis Based on Liturgical Calendars*
- OLEKSIY PANYCH, Donetsk National University, Donetsk, Ukraine  
*The Problem of Skepticism in British Epistemology of the First Half of the Twentieth Century*
- OLENA PANYCH, Donetsk National University, Donetsk, Ukraine  
*The Influence of the Policy of “Scientific Atheism” on Everyday Life of Evangelical Baptists in Ukraine, 1950s–1980s*
- VOLGA PRAKAPCHUK, Belarus State University, Minsk, Belarus  
*The Influence of Antique Rhetoric and Poetics on the Culture of Eastern Slavs*
- DANIIL RASKOV, Smolny College of Liberal Arts and Sciences, St. Petersburg, Russia  
*The Economic Culture of Russian Old Believers and Modernization*
- BOGDAN RIDUSH, National University of Chernivtsi, Chernivtsi, Ukraine  
*The Study of Medieval and Modern Rock-Art at the Dniester River Valley and Nearby Territories*
- LILIYA SAGITOVA, Institute of History, Kazan, Russia  
*A Field Study of “Old” and “New” Islam in the Tatar Village of Srednaya Eluzan, Penza Region, Russian Federation*
- SERHIY SAVCHENKO, National Metallurgical Academy of Ukraine, Dnipropetrovsk, Ukraine  
*Missionaries and Sectarians: The Orthodox Missions and Oppositional Religious Movements in Ekaterinoslav Eparchy in the Late Nineteenth to the Early Twentieth Century*
- IRINA SHALINA, Gorkiy Ural State University, Yekaterinburg, Russia  
*Living Speech of a Ural Town: Oral Dialogues and Epistolary Examples*
- YULIA SHCHERBININA, Tambov State University, Tambov, Russia  
*War Invalids in the Russian Empire in the Nineteenth Century*
- NATALIA SHLIKHTA, National University of Kyiv-Mohyla Academy, Kyiv, Ukraine  
*Survival Strategies of the Church under Soviet Rule: A Study of the Life of the Ukrainian Exarchate of the Russian Orthodox Church, 1945–1971*
- RUSTAM SHUKUROV, Lomonosov Moscow State University, Moscow, Russia  
*The Byzantine Turks in Late Byzantium, 1261–1461*

MIKHAIL SOKOLOV, European University at St. Petersburg, St. Petersburg, Russia  
*Reassembling the Social Sciences: Soviet Sociology as a Paradigm*

OLEG SUCHALKIN, Karazin Kharkov National University, Kharkov, Ukraine  
*A Study of Greek Manuscripts (Twelfth through Eighteenth Centuries) in the Kharkov National University Library Collection*

DMITRIY TOKAREV, Institute of Russian Literature (*Pushkinskiy Dom*), St. Petersburg, Russia  
*The Literary Heritage of Boris Poplavsky (1903–1935) in the Context of Artistic and Ideological Evolution of the Russian Emigration in Europe*

PAVEL VOINITSKI, Independent Scholar, Minsk, Belarus  
*Belarusian Public Sculpture: Problems of Transformation in the Beginning of the Twenty-First Century*

SVETLANA VOROBEVA, The “Kizhi” State Open-Air Museum of Architectural History and Ethnography, Petrozavodsk, Russia  
*A Catalog of Pre-1830 Russian Books in Cyrillic Script Preserved in State Institutions of the Republic of Karelia*

VALERIY ZEMA, Institute of Ukrainian History, Kyiv, Ukraine  
*Ruthenian Sermons about Challenges of the Reformation: Contexts, Narratives, and Topics*

#### **PUBLICATION-SUPPORT GRANTS**

SIARHEI KAVALIYOU, Belarus State University, Minsk, Belarus  
*The Multilingual Literature of the Grand Duchy of Lithuania in the Renaissance*

ELMIRA MURATOVA, Tavrida National University, Simferopol, Ukraine  
*Islam in Crimea: History and Modernity*

LIUDMILA NOVIKOVA, Lomonosov Moscow State University, Moscow, Russia  
*“Counter-Revolution” in the Provinces: The White Movement, Allied Intervention, and the Population of the Russian North in the Civil War*

ANDRIY PORTNOV, National Institute of Strategic Studies, Kyiv, Ukraine  
*Ukrainian Historiography: Soviet, Émigré, and Contemporary*

YULIA PRIKAZCHIKOVA, Moscow Institute of Humanities and Economics, North-Western Branch, Murmansk, Russia  
*Historical Narratives of Finno-Ugrian and Russian Populations of the Vyatka River Region from the End of the Nineteenth to the Beginning of the Twentieth Century*

ALEXEY SIRENOV, St. Petersburg State University, St. Petersburg, Russia  
*The Influence of the Book of Degrees on Russian Historiography from the Sixteenth to the Seventeenth Century*

ELENA TRUBINA, Gorky Ural State University, Ekaterinburg, Russia  
*Urban Theory*

ANASTASIA USOVA, Institute of Asian and African Studies, Moscow, Russia  
*History of Chinese, Manchurians, and Dahurs from the Trans Zeya Land Living in Russian Territory, 1858–1900*

DMYTRO VASHCHUK, Institute of History, Kyiv, Ukraine  
*The Law in the Ukrainian Lands of the Grand Duchy of Lithuania: Genesis and Functioning in the Second Half of the Fifteenth and the First Third of the Sixteenth Century*

ANATOLIY YERMOLENKO, Institute of Philosophy, Kyiv, Ukraine  
*Practical Philosophy at the Time of Environmental Crisis*

**FINANCIAL STATEMENTS  
OF THE  
AMERICAN COUNCIL OF  
LEARNED SOCIETIES**

**for the nine months  
ended June 30, 2009**

## INDEPENDENT AUDITORS' REPORT

Board of Directors  
American Council of Learned Societies  
New York, New York

We have audited the accompanying statement of financial position of the American Council of Learned Societies (the "Council") as of June 30, 2009, and the related statement of activities, functional expenses, and cash flows for the nine months then ended. These financial statements are the responsibility of the Council's management. Our responsibility is to express an opinion on these financial statements based on our audit.

We conducted our audit in accordance with auditing standards generally accepted in the United States of America. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement. An audit includes examining, on a test basis, evidence supporting the amounts and disclosures in the financial statements. An audit also includes assessing the accounting principles used and significant estimates made by management, as well as evaluating the overall financial statement presentation. We believe that our audit provides a reasonable basis for our opinion.

In our opinion, the financial statements enumerated above present fairly, in all material respects, the financial position of the American Council of Learned Societies as of June 30, 2009, and the changes in its net assets and its cash flows for the nine months then ended, in conformity with accounting principles generally accepted in the United States of America.

*Eisner LLP*

New York, New York  
January 29, 2010

## STATEMENT OF FINANCIAL POSITION

American Council of Learned Societies	June 30, 2009
<b>ASSETS</b>	
Cash	\$ 2,665,648
Grants and accounts receivable	543,212
Accrued interest and other assets	203,927
Investments	89,256,745
Property and equipment	3,922,258
Deferred debt issuance costs, net	212,128
	\$ 96,803,918
<b>LIABILITIES AND NET ASSETS</b>	
Liabilities:	
Accounts payable and accrued expenses	\$ 477,891
Accrued post-retirement benefit cost	1,470,823
Fellowships payable	11,470,720
New York City Industrial Development Agency Bonds	4,200,000
	17,619,434
Contingency (see Note K)	
Net assets:	
Unrestricted:	
Board-designated:	
As endowment—Central fellowship program	25,443,886
Program administration	6,386,620
Undesignated	4,134,386
Total unrestricted	35,964,892
Temporarily restricted	18,072,633
Permanently restricted—endowment	25,146,959
	79,184,484
	\$ 96,803,918

See notes to financial statements.

## STATEMENT OF ACTIVITIES

American Council of Learned Societies	Nine Months Ended June 30, 2009			
	Unrestricted	Temporarily Restricted	Permanently Restricted	Total
<b>Support:</b>				
U.S. government agencies		\$ 490,662		\$ 490,662
Foundations and corporations		4,429,438		4,429,438
Contributions:				
Individuals	\$ 154,701	35,512	\$ 20,000	210,213
University consortium	1,600,000			1,600,000
Net assets released from program restrictions	12,559,371	(12,559,371)		0
Total support	14,314,072	(7,603,759)	20,000	6,730,313
<b>Revenue and investment (loss) income:</b>				
Net investment (loss) income	(7,278,289)	157,535		(7,120,754)
Dues	586,791			586,791
Royalties	163,335			163,335
Other	9,628			9,628
Total revenue and investment (loss) income	(6,518,535)	157,535		(6,361,000)
Total support, revenue, and investment (loss) income	7,795,537	(7,446,224)	20,000	369,313
<b>Expenses:</b>				
Fellowships and other direct program costs	14,939,754			14,939,754
Program administration	1,822,411			1,822,411
Fund-raising	41,250			41,250
Total expenses	16,803,415			16,803,415
Change in net assets before pension related charges other than periodic costs	(9,007,878)	(7,446,224)	20,000	(16,434,102)
Pension related charges other than periodic costs	(97,392)			(97,392)
<b>Change in net assets</b>	(9,105,270)	(7,446,224)	20,000	(16,531,494)
Net assets, beginning of period	45,070,162	25,518,857	25,126,959	95,715,978
<b>Net assets, end of period</b>	\$ 35,964,892	\$ 18,072,633	\$ 25,146,959	\$ 79,184,484

See notes to financial statements.

## STATEMENT OF FUNCTIONAL EXPENSES

American Council of Learned Societies	Nine Months Ended June 30, 2009			
	Fellowships and Other Direct Program Costs	Program Administration	Fund-raising	Total
Central fellowships (endowed)	\$ 2,096,884			\$ 2,096,884
Other fellowships and stipends	9,186,558			9,186,558
Salaries and employee benefits	1,514,053	\$ 898,764	\$ 32,043	2,444,860
Meetings, conferences and travel	544,344	231,691		776,035
Beijing support	641,696	22,214		663,910
Consultants, honoraria and professional fees	190,774	147,484	3,438	341,696
Office expense	249,295	78,500	5,769	333,564
Authors' fees and royalties	232,327			232,327
Depreciation and amortization		200,495		200,495
Interest expense		169,517		169,517
Printing, publishing and reports	125,841	41,471		167,312
Rent and maintenance	10,022	114,806		124,828
Dues	732	61,685		62,417
Miscellaneous	250	2,762		3,012
Overhead allocation	146,978	(146,978)		0
	\$ 14,939,754	\$ 1,822,411	\$ 41,250	\$ 16,803,415

See notes to financial statements.

## STATEMENT OF CASH FLOWS

American Council of Learned Societies	Nine Months Ended June 30, 2009
<b>Cash flows from operating activities:</b>	
Change in net assets	\$ (16,531,494)
Adjustments to reconcile change in net assets to net cash used in operating activities:	
Depreciation and amortization	200,495
Net change in unrealized losses on fair value of investments	6,210,038
Net realized losses on sales of investments	4,613,578
Permanently restricted contributions	20,000
Changes in:	
Grants and accounts receivable	(61,686)
Accrued interest and other assets	(172,428)
Accounts payable and accrued expenses	35,743
Accrued post-retirement benefit	156,184
Fellowships payable	5,859,815
Deferred dues	(685,215)
Net cash used in operating activities	(354,970)
<b>Cash flows from investing activities:</b>	
Proceeds from sales of investments	27,307,461
Purchases of investments	(24,835,080)
Purchases of property and equipment	(50,139)
Net cash provided by investing activities	2,422,242
<b>Cash flows from financing activities:</b>	
Permanently restricted contributions	(20,000)
Bond principal repayments	(101,250)
Net cash used in financing activities	(121,250)
<b>Increase in cash and cash equivalents</b>	1,946,022
Cash and cash equivalents, beginning of period	719,626
<b>Cash and cash equivalents, end of period</b>	\$ 2,665,648
<b>Supplemental disclosure of cash flow information:</b>	
Interest paid during the period	\$ 169,517

See notes to financial statements.

## NOTES TO FINANCIAL STATEMENTS

American Council of Learned Societies, June 30, 2009

### NOTE A – ORGANIZATION AND SIGNIFICANT ACCOUNTING POLICIES

#### 1. Organization:

The American Council of Learned Societies (the "Council"), incorporated in Washington D.C. in 1924, was established in 1919, and is located in New York City. The Council is a private, not-for-profit federation of national scholarly organizations, funded largely by grants from private foundations and universities and by federal grants (principally from the National Endowment for the Humanities and the U.S. Department of State). The purpose of the Council is the advancement of humanistic studies in all fields of learning and the maintenance and strengthening of relations among the national societies devoted to such studies.

The Council is exempt from federal income taxes under Section 501(c)(3) of the U.S. Internal Revenue Code, and from state and local taxes under comparable laws.

During fiscal-year 2009, the Council changed its fiscal-year end from September 30 to June 30. Accordingly, the accompanying financial statements are dated as of and for the nine months ended June 30, 2009.

#### 2. Basis of accounting:

The accompanying financial statements of the Council have been prepared using the accrual basis of accounting and conform to accounting principles generally accepted in the United States of America, as applicable to not-for-profit entities.

#### 3. Use of estimates:

The preparation of financial statements in conformity with generally accepted accounting principles requires management to make estimates and assumptions that affect the reported amount of assets, liabilities, revenues and expenses, as well as the disclosure of contingent assets and liabilities. Actual results could differ from those estimates.

#### 4. Functional allocation of expenses:

The cost of providing the various programs and supporting services has been summarized on a functional basis in the accompanying statement of activities. Accordingly, expenses have been allocated among the programs and supporting services using appropriate measurement methodologies developed by management.

#### 5. Grants and accounts receivable:

Grants and accounts receivable are due within one year and are expected to be fully collectible based on management's past experience.

#### 6. Investments:

Investments in equity securities with readily determinable fair values and all investments in debt securities are reported at their fair values, with realized and unrealized gains and losses included in the accompanying statement of activities. Mutual funds, consisting of bond and equity funds, are reported at their fair values, as determined by the related investment manager or advisor.

Limited partnerships and the private equity investment, which are forms of alternative investments, are not readily marketable and are carried at estimated fair values as provided by the respective investment managers. The Council's management reviews and evaluates the values provided by the investment managers to determine the reasonableness of the valuation methods and assumptions used in determining fair value. These estimated fair values may differ significantly from the values that would have been used had a ready market for these investments existed.

Contributions of marketable securities are recorded at their fair values at the dates of donation. Investment income is shown net of investment expenses.

**7. Property and equipment:**

Property and equipment are stated at their costs at the dates of acquisition. Building improvements are also capitalized, whereas costs of repairs and maintenance are expensed as incurred. Depreciation is provided using the straight-line method over the estimated useful lives of the respective assets, which range from 5 to 30 years.

**8. Deferred debt issuance costs:**

The cost associated with the issuance of New York City Industrial Development Agency Bonds has been capitalized and is being amortized over the life of the bonds on a straight-line basis. Amortization of deferred debt issuance was \$8,758 for the period ending June 30, 2009.

**9. Accrued vacation:**

Based on their tenure, employees are entitled to be paid for unused vacation time if they leave the Council. The accrued vacation obligation was approximately \$206,000 for the period ending June 30, 2009 and was reported as part of accrued expenses in the accompanying statement of financial position.

**10. Net assets:**

The accompanying statement of activities presents the changes in the various classifications of net assets for the nine months ended June 30, 2009. The Council's net assets, and the changes therein, are classified based on the existence or absence of donor-imposed restrictions and are reported as follows:

*(i) Unrestricted:*

Unrestricted net assets represent those resources not subject to donor-imposed restrictions. Substantially all of the Council's unrestricted net assets, exclusive of the amounts representing the property and equipment, have been allocated by formal resolution of the Board of Directors to board-designated endowment, the unrestricted earnings of which will be applied to future support of its central fellowship program and to program and administrative expenses. Annually, any amount up to, but not greater than, the excess of its unrestricted revenue over expenses, including unrealized gains or losses on its entire investment portfolio, may be so designated.

*(ii) Temporarily restricted:*

Temporarily restricted net assets represent those resources that have been restricted by donors to specific purposes. They consist mostly of grants, primarily from governmental and private-sector sources, that are available for the support of specific program activities as stipulated in the grantor agreements. Net assets released from restrictions represent the satisfaction of the restricted purposes specified.

*(iii) Permanently restricted:*

Permanently restricted net assets represent the corpus of gifts and grants accepted with the stipulation that the principal be maintained in perpetuity, and earnings from investments and net investment gains thereof be available for the Council's programs and other purposes.

**11. Revenue recognition:**

(a) Restricted revenue received from U.S. government agencies, foundations and corporations is initially recorded as temporarily restricted upon the receipt of cash or unconditional obligations to give. As the restrictions are met, the support is reclassified as unrestricted. Restrictions are generally met when program and administration expenses relating to the designated purpose of the particular contract, grant or award are incurred.

(b) The Council receives dues from its members. Dues applicable to a current year are recognized as revenue in that year. Dues received for a future year's membership are deferred and recognized on a pro-rata basis over the period of membership.

## NOTES TO FINANCIAL STATEMENTS CONTINUED

American Council of Learned Societies, June 30, 2009

### 12. Income tax uncertainties:

In fiscal-year 2010, the Council will adopt Financial Accounting Standards Board ("FASB") Interpretation No. 48, "Accounting for Uncertainty in Income Taxes—an Interpretation of FASB Statement No. 109" ("FIN 48"). Due to the Council's general tax-exempt status, FIN 48 is not expected to have a material effect on its financial statements.

### 13. Fair-value measurement:

In fiscal-year 2009, the Council adopted FASB Statement on Financial Accounting Standards ("SFAS") No. 157, "Fair Value Measurement." Accordingly, the Council reports a fair-value measurement of all applicable assets and liabilities (see Note B).

### 14. Endowment funds:

The Council reports all applicable disclosures of its board-designated and donor-restricted funds treated as endowment (see Note G).

### 15. Subsequent events:

The Council considers the accounting treatments, and the related disclosures in the current fiscal-year's financial statements, that may be required as the result of all events or transactions that occur after the fiscal year-end through the date of the independent auditors' report.

## NOTE B – INVESTMENTS

At June 30, 2009, investments consisted of the following:

	Fair Value	Cost
Money-market funds	\$ 13,474,284	\$ 13,474,284
Certificate of deposits	9,635,000	9,635,000
Equity securities	22,955,691	26,554,832
Mutual funds	21,944,005	25,151,317
Limited partnerships	18,117,439	16,717,956
Private equity investment	3,130,326	2,773,425
	<u>\$ 89,256,745</u>	<u>\$ 94,306,814</u>

During the nine-month period ended June 30, 2009, net investment losses consisted of the following:

Interest and dividends	\$ 3,892,320
Net realized (losses)	(4,613,578)
Net unrealized (losses)	(6,210,038)
Less: investment expenses	(189,458)
	<u>\$ (7,120,754)</u>

The Council owns shares of a privately held, offshore company, the sole purpose of which is to be a limited partner in a limited-partnership investment vehicle. At June 30, 2009 the investment was valued at \$3,130,326. The Council's percentage of ownership of this investment does not warrant consolidation of the financial statements of the privately held company.

The Council has an unpaid capital commitment of \$160,000 at June 30, 2009, relating to its limited-partnership investments.

The Council measures its investments at fair value, in the following manner:

- requiring consideration of nonperformance risk when valuing liabilities;
- defining fair value as the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date, and establishing a framework for measuring fair value; and
- establishing a three-level hierarchy for fair-value measurement based upon the observability of inputs to the evaluation of an asset or liability as of the measurement date.

The three-level valuation hierarchy uses valuation techniques that are based upon observable and unobservable inputs. Observable inputs reflect market data obtained from independent sources, while unobservable inputs reflect market assumptions. These two types of inputs create the following fair-value hierarchy:

- Level 1—quoted prices for *identical instruments* in active markets;
- Level 2—quoted prices for *similar* instruments in active markets; quoted prices for identical or similar instruments in markets that are not active; and model-derived valuations the significant inputs of which are observable; and
- Level 3—instruments the significant inputs for which are *unobservable*.

The following table presents, for each of these hierarchy levels, the Council's financial assets that are measured at fair value on a recurring basis at June 30, 2009:

	Level 1	Level 3	Total
Money-market funds	\$ 13,474,284		\$ 13,474,284
Certificate of deposits	9,635,000		9,635,000
Equity securities	22,955,691		22,955,691
Mutual funds	21,944,005		21,944,005
Limited partnerships		\$ 18,117,439	18,117,439
Private equity investment		3,130,326	3,130,326
<b>Total investments</b>	<b>\$ 68,008,980</b>	<b>\$ 21,247,765</b>	<b>\$ 89,256,745</b>

The following table summarizes the activity for the nine-month period ending June 30, 2009, for financial instruments classified within Level 3.

	Limited Partnerships	Private Equity Investment
<b>Balance at September 30, 2008</b>	<b>\$ 20,965,614</b>	<b>\$ 3,143,144</b>
Additional subscriptions	75,000	2,773,425
Withdrawals	(1,631,000)	(2,773,425)
Realized gains		1,273,425
Unrealized losses	(1,292,175)	(1,286,243)
<b>Balance at June 30, 2009</b>	<b>\$ 18,117,439</b>	<b>\$ 3,130,326</b>

## NOTES TO FINANCIAL STATEMENTS CONTINUED

American Council of Learned Societies, June 30, 2009

### NOTE C – PROPERTY AND EQUIPMENT

At June 30, 2009, property and equipment consisted of the following:

American Council of Learned Societies	June 30, 2009
Building and improvements	\$ 4,716,861
Equipment	791,151
Furniture and fixtures	232,382
	5,740,394
Less: accumulated depreciation	(1,818,136)
	\$ 3,922,258

Depreciation expense for the nine-month period ending June 30, 2009 was \$191,737.

### NOTE D – FELLOWSHIPS PAYABLE

Fellowships and stipends are awarded to institutions and individuals for the advancement of humanistic studies in all fields of learning. It is the Council's policy, in conjunction with grant agreements, to allow recipients to choose when payments of awards are to be received. Fellowships and stipends are usually paid over a period of one to nine years.

The Council records the expense and commitment of these fellowships and stipends when the awards are approved by the Council and accepted by the recipient. Fellowships and stipends are estimated to be paid as follows:

Year Ending June 30,	Amount
2010	\$ 5,650,828
2011	659,500
2012	5,160,392
	\$ 11,470,720

During the nine-month period ending June 30, 2009, the Council awarded fellowships and stipends of \$11,283,442.

## NOTE E – NEW YORK CITY INDUSTRIAL DEVELOPMENT AGENCY BONDS

To finance the acquisition of office space to be used as the Council’s place of operations, in August 2002, the Council borrowed \$5,000,000 through the issuance, by the New York City Industrial Development Agency (“IDA”), of Civic Facility Revenue Bonds, Series 2002 (the “Bonds”). The Bonds, in an aggregate original face amount of \$5,000,000, mature on July 1, 2027 and bear interest at 5.250%. The Bonds may be redeemed by IDA or the Council at any time after July 1, 2012. The Bond indenture requires the Council to make annual sinking fund payments in amounts sufficient to permit the redemption of principal upon maturity. Sinking fund payments began on July 1, 2003 and are required every July 1 thereafter until July 1, 2027, as summarized below:

Year Ending June 30,	Amount
2010	\$ 145,000
2011	150,000
2012	160,000
2013	170,000
2013	175,000
Thereafter	3,400,000
	<u>\$ 4,200,000</u>

In connection with the issuance of the Bonds, the Council leased its properties to IDA for the duration of the debt, for a nominal rental, and concurrently leased the property back from IDA for the same period at a rental equal to annual debt service. The Council guarantees payment of rent under the lease agreement. Pursuant to the lease, the Council is required to maintain a Debt Service Reserve Fund. As of the nine-month period ending June 30, 2009, \$800,000 had been paid to the Debt Service Reserve Fund.

## NOTE F – TEMPORARILY RESTRICTED NET ASSETS

Changes in temporarily restricted net assets for the nine-month period ended June 30, 2009 were as follows:

	Balance October 1, 2008	Program Support	Release of Restrictions	Balance June 30, 2009
Fellowship programs	\$ 16,312,726	\$ 1,050,928	\$ (7,296,485)	\$ 10,067,169
Vietnam Program/CEEVN	4,835,281	1,051,043	(1,378,758)	4,507,566
Darwin Program	1,234,792	167,435	(188,619)	1,213,608
International programs	1,701,468	1,820,814	(2,423,108)	1,099,174
Electronic publishing	163,553	511,160	(641,847)	32,866
Other programs	1,271,037	511,767	(630,554)	1,152,250
	<u>\$ 25,518,857</u>	<u>\$ 5,113,147</u>	<u>\$ (12,559,371)</u>	<u>\$ 18,072,633</u>

## NOTES TO FINANCIAL STATEMENTS CONTINUED

American Council of Learned Societies, June 30, 2009

### NOTE G – ACCOUNTING AND REPORTING FOR ENDOWMENTS

**1. The endowment:**

The Council's endowment was established based on its mission and consists of both donor-restricted endowment funds and funds designated by the Board of Directors to function as endowment. Board-designated funds are classified as unrestricted net assets, and funds with donor-imposed restrictions are classified as temporarily or permanently restricted net assets, with net gains reported as unrestricted or temporarily restricted, depending on the nature of the restrictions.

**2. Interpretation of relevant law:**

The Council has interpreted the Washington D.C. Uniform Prudent Management of Institutional Funds Act ("UPMIFA") as requiring the duration of the dollar value of a permanently restricted gift, absent donor stipulations to the contrary. Accordingly, the Council classifies the following amounts as permanently restricted net assets in the accompanying financial statements:

- The original value of gifts donated to the permanent endowment; and
- The original value of subsequent gifts to the permanent endowment.

**3. Endowment net-asset composition by type of fund as of June 30, 2009:**

	Central Fellowship Program	Permanently Restricted	Total
Board-designated endowment funds	\$ 25,443,886		\$ 25,443,886
Donor-restricted endowment funds		\$ 25,146,959	25,146,959
Total endowment funds	\$ 25,443,886	\$ 25,146,959	\$ 50,590,845

At June 30, 2009, net assets were permanently restricted to support the following:

Central Fellowship Program:		
Mellon Foundation		\$ 12,300,000
Ford Foundation		7,068,400
National Endowment for the Humanities		2,750,000
Rockefeller Foundation		1,000,000
William & Flora Hewlett Foundation		500,000
Carnegie Corporation		100,000
Carl and Lily Pforzheimer Foundation		145,000
Other		2,395
		23,865,795
Program Administration:		
Mellon Foundation		1,000,000
Other:		
Lumiansky Fund		281,164
		\$ 25,146,959

**4. Changes in endowment net assets, for the nine-month period ending June 30, 2009:**

	Unrestricted	Central Fellowship	Permanently Restricted	Total
Net assets, beginning of period		\$ 32,053,671	\$ 25,126,959	\$ 57,180,630
Contributions		1,754,701	20,000	1,774,701
Investment return		(6,248,085)	(1,030,205)	(7,278,290)
Transfers	\$ 1,086,196	(2,116,401)	1,030,205	0
Net assets, end of period	\$ 1,086,196	\$ 25,443,886	\$ 25,146,959	\$ 51,677,041

**5. Return objectives and risk parameters:**

The Board of Directors evaluates its long-term asset allocation in meeting its fiduciary responsibilities for funding programs, protecting its endowment resources, and supporting future spending requirements. Accordingly, the Board has adopted investment policies for its endowment assets that seek to maintain the purchasing power.

**6. Strategies employed for achieving objectives:**

To satisfy its long-term rate-of-return objectives, the Council relies on a total return strategy in which investment returns are achieved through both capital appreciation (realized and unrealized) and current yield (interest and dividends). The Council targets a diversified asset within prudent risk constraints.

**7. Spending policy and relation to the spending policy:**

The Council has a policy of appropriating for distribution each year an average of 5 percent of its endowment fund's average fair value over the prior 12 quarters through the fiscal year-end proceeding the fiscal year in which the distribution is planned. This is consistent with the Council's objective to maintain the purchasing power of the endowment assets held in perpetuity or for a specified term, as well as to provide additional real growth through new gifts and investment return.

**NOTE H – RETIREMENT PLAN**

For its eligible employees, the Council provides retirement benefits under a defined-contribution, §403(b) pension plan with the Teachers Insurance and Annuity Association of America. The Council contributes a minimum of 5% of each eligible employee's salary, as well as matches employee contributions up to a maximum of 5% of each eligible employee's salary. Contributions for the nine-month period ending June 30, 2009 were \$139,978.

## NOTES TO FINANCIAL STATEMENTS CONTINUED

American Council of Learned Societies, June 30, 2009

### NOTE I – POSTRETIREMENT MEDICAL BENEFIT PLAN

The Council sponsors an unfunded, non-contributory defined-benefit postretirement medical plan that covers employees hired prior to February 1, 1995.

The following sets forth the plan's funded status as of June 30, 2009, reconciled with amounts reported in the Council's financial statements:

Actuarial present value of benefit obligations:	
Expected benefit obligation	\$ (1,573,691)
Accumulated postretirement benefit obligation	\$ (1,470,823)
Plan assets	0
Funded status (excess of obligation over assets)	\$ (1,470,823)
Net periodic postretirement medical benefit costs included the following components:	
Service cost	\$ 17,978
Interest cost	66,388
Transition obligation amortization	18,857
Net loss amortization	33,169
Net periodic postretirement benefit cost	\$ 136,392
Adjustments to net assets, reported in the statement of activities:	
Net actuarial loss	\$ (149,418)
Unrecognized transition obligation	52,026
Funded status (excess of obligation over assets)	\$ (97,392)
Weighted-average assumptions:	
Discount rate	6.00%
Medical cost-trend rate	5.00%

The medical cost-trend rate will decrease to 5.00% in 2012.

A one percentage-point increase in the assumed health-care cost-trend rates for each year would have resulted in an increase in the accumulated postretirement benefit obligation as of June 30, 2009 of \$166,879 and an increase in the aggregate cost components of net period postretirement benefit cost of \$6,652.

Employer contributions and benefits paid were \$77,600 for the nine-month period ending June 30, 2009. The estimated amount of the Council's contributions for the fiscal-year ending June 30, 2010 is \$97,600.

The following table illustrates the benefit distributions that would be paid over the next 10 fiscal years:

Year Ended September 30,	Expected Benefit Distributions
2010	\$ 97,600
2011	102,900
2012	108,400
2013	105,000
2014	128,100
2015–2019	578,600

#### **NOTE J – CONCENTRATION OF CREDIT RISK**

The Council places its temporary cash investments with high-credit-quality financial institutions in amounts which, at times, may exceed federally insured limits. Management believes that the Council is not subject to a significant risk of loss on these accounts.

#### **NOTE K – CONTINGENCY**

U.S. government grants are subject to audit in the future by governmental authorities. Accordingly, the Council could be required to fund any disallowed costs for its own federally supported programs, as well as for the Council for the International Exchange of Scholars during the period of the Council's stewardship. In management's opinion, any such audits would not result in disallowed costs in amounts that would be significant to the Council's operations.

The Council is subject to litigation in the routine course of conducting business. In management's opinion, however, there is no current litigation, the outcome of which would have a material adverse impact on the Council's financial position.

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