# Blueprint for Change in Alabama

2010 State Teacher Policy Yearbook



National Council on Teacher Quality

## **Acknowledgments**

#### **STATES**

State education agencies remain our most important partners in this effort, and their extensive experience has helped to ensure the factual accuracy of the final product. Although this year's *Blueprint for Change* did not require the extensive review typically required of states, we still wanted to make sure that states' perspectives were represented. As such, each state received a draft of the policy updates we identified this year. We would like to thank all of the states for graciously reviewing and responding to our drafts.

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## About the Yearbook

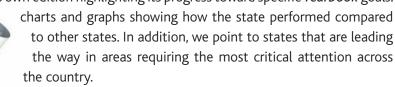
Each report also contains

The 2010 *Blueprint for Change* is the National Council on Teacher Quality's fourth annual review of state laws, rules and regulations that govern the teaching profession. This year's *Yearbook* takes a different approach than our past editions, as it is designed as a companion to the 2009 *State Teacher Policy Yearbook*, NCTQ's most recent comprehensive report on state teacher policies.

The comprehensive *Yearbook*, a 52-volume state-by-state analysis produced biennially, examines the alignment of states' teacher policies with goals to improve teacher quality. The 2009 report, which addressed key policy areas such as teacher preparation, evaluation, alternative certification and compensation, found that states had much work to do to ensure that every child has an effective teacher. Next year we will once again conduct a comprehensive goal-by-goal analysis of all aspects of states' teacher policies.

In 2010, an interim year, we set out to help states prioritize among the many areas of teacher policy in need of reform. With so much to be done, state policymakers may be nonplussed about where to begin. The 2010 *Yearbook* offers each state an individualized blueprint, identifying state policies most in need of attention. Although based on our 2009 analyses, this edition also updates states' progress in the last year, a year that saw many states make significant policy changes, largely spurred by the Race to the Top competition. Rather than grade states, the 2010 *Blueprint for Change* stands as a supplement to the 2009 comprehensive report, updating states' positive and negative progress on *Yearbook* goals and specifying actions that could lead to stronger policies for particular topics such as teacher evaluation, tenure rules and dismissal policies.

As is our practice, in addition to a national summary report, we have customized this year's *Blueprint for Change* so that each state has its own edition highlighting its progress toward specific *Yearbook* goals.



We hope that this year's *Blueprint for Change* serves as an important guide for governors, state school chiefs, school boards, legislatures and the many advocates seeking reform. Individual state and national versions of the 2010 *Blueprint for Change*, as well as the 2009 *State Teacher Policy Yearbook*—including rationales and supporting research for our policy goals—are available at www.nctq.org/stpy.

# Blueprint for Change in Alabama

he 2009 State Teacher Policy Yearbook provided a comprehensive review of states' policies that impact the teaching profession. As a companion to last year's comprehensive state-by-state analysis, the 2010 edition provides each state with an individualized "Blueprint for Change," building off last year's Yearbook goals and recommendations.

State teacher policy addresses a great many areas, including teacher preparation, certification, evaluation and compensation. With so many moving parts, it may be difficult for states to find a starting point on the road to reform. To this end, the following brief provides a state-specific roadmap, organized in three main sections.

- Section 1 identifies policy concerns that need critical attention, the areas of highest priority for state policymakers.
- Section 2 outlines "low-hanging fruit," policy changes that can be implemented in relatively short order.
- Section 3 offers a short discussion of some longer-term systemic issues that states need to make sure stay on the radar.

## **Current Status of Alabama's Teacher Policy**

In the 2009 State Teacher Policy Yearbook, Alabama had the following grades:



Area 1: Delivering Well Prepared Teachers	C-
Area 2: Expanding the Teaching Pool	C+
Area 3: Identifying Effective Teachers	D
Area 4: Retaining Effective Teachers	C-
Area 5: Exiting Ineffective Teachers	C-

## 2010 Policy Update:

In the last year, many states made significant changes to their teacher policies, spurred in many cases by the Race to the Top competition. Based on a review of state legislation, rules and regulations, NCTQ has identified the following recent policy changes in Alabama:

#### **Teacher Evaluation:**

The state has replaced its PEPE teacher evaluation system with the new EDUCATEAlabama system. Under EDUCATEAlabama, every teacher is observed at least twice, and both observations are unannounced. One observation must occur in the fall (October-mid December), and the other must occur in the spring (late January-March). A post-observation conference follows each formal classroom observation. http://www.educatealabama.net/

## Alabama Response to Policy Update:

States were asked to review NCTQ's identified updates and also to comment on policy changes that have occurred in the last year, other pending changes or teacher quality in the state more generally.

Alabama confirmed that the identified update represents a complete and accurate list of recent policy changes. The state added that EDUCATEAlabama is formative rather than summative. It represents a collaborative effort to support quality practice, and the process is entirely electronic and transparent.

## **Section 1: Critical Attention Areas**

This section identifies the highest priority areas as states work to advance teacher quality. These are the policy issues that should be at the top of the list for state policymakers. While other states need also to address middle school teacher preparation, teacher preparation program accountability and licensure loopholes that allow teachers in the classroom with inadequate subject-matter knowledge, Alabama should turn its immediate attention to the following eight issues.

Evaluation is a critical

attention area in

States on the right track

include Colorado, Louisiana

and Rhode Island.

states.



## Critical Attention: Alabama policies that need to better connect to teacher effectiveness

## **ENSURE THAT TEACHER EVALUATIONS ASSESS EFFECTIVENESS IN THE CLASSROOM:**

The fundamental purpose of teachers' formal evaluations should be to determine whether the teachers are effective in the classroom. To achieve this purpose, evaluations must be based primarily on teach-

ers' impact on students. While it is certainly appropriate to include subjective factors, such as classroom observations, Alabama should adopt a policy that requires objective evidence of student learning—including but not limited to standardized test scores—to be the preponderant criterion of teacher evaluations.

The state has commendably taken a preliminary step toward connecting

evaluations to teacher effectiveness. Earlier this year, it voted to begin a study that could eventually lead to it using student test scores and other objective measures of student achievement as the main ways of evaluating teacher effectiveness. The resolution asked three education groups to define teacher effectiveness and determine how to measure it fairly and effectively, perhaps by using student test scores. Changes could be put in place as soon as the 2011-2012 school year.

In addition, to ensure that the evaluation instrument accurately differentiates among levels of teacher performance, Alabama should require districts to utilize multiple rating categories, such as highly effective, effective, needs improvement and ineffective. A binary system that merely categorizes teachers as satisfactory or unsatisfactory is inadequate. Although the state's

> newly implemented evaluation system, EDUCATEAlabama, identifies four rating levels—emerging, applying, integrating and innovating—for each indicator, it is unclear whether Alabama intends these categories to also represent the overall ratings. If so, the categories are lacking due to their inability to differentiate teacher performance, especially for a veteran teacher that objective and/or subjective data suggest is ineffective. A sys-

tem based on such ratings would undermine Alabama's stated intention to make classroom effectiveness a central component of teacher evaluations.

# 2. CONNECT TENURE DECISIONS TO TEACHER EFFECTIVENESS:

The point at which a teacher's probationary period ends, commonly referred to as tenure, should be a significant milestone. Although the awarding of tenure is a local decision, state policy should reflect the fact that tenure should only be awarded to teachers who have consistently demonstrated their effectiveness. Alabama should require a clear process, such as a hearing, for districts to use when considering whether a teacher advances from probationary to permanent sta-

Tenure is a critical attention area in

46 states.

States on the right track include Colorado, Delaware and Rhode Island.

tus. Such a process would ensure that the local district reviews the teacher's performance before making a determination. Alabama should also ensure that evidence of effectiveness is the preponderant criterion for making tenure decisions. In addition, the current policy of granting tenure

after just three years does not allow for the accumulation of sufficient data on teacher performance to support meaningful decisions. Extending the probationary period—ideally to five years—would prevent effective teachers from being unfairly denied tenure based on too little data and ineffective teachers from being granted tenure prematurely.

Evdence of student learning teacher evaluations ritering Figure 1 Is classroom effectiveness considered in teacher evaluations and tenure decisions? **ALABAMA** Alaska Arizona Arkansas П П California П Colorado Connecticut Delaware District of Columbia<sup>1</sup> Florida Georgia Hawaii П Idaho П П Illinois П Indiana Iowa Kansas П Kentucky Louisiana Maine Maryland<sup>2</sup> П Massachusetts Michigan П П Minnesota Mississippi П П Missouri Montana П П П Nebraska П Nevada П П П New Hampshire П New Jersey П New Mexico П П New York North Carolina П North Dakota Ohio П Oklahoma Oregon Pennsylvania Rhode Island South Carolina South Dakota Tennessee Texas Utah Vermont П Virginia П Washington West Virginia П П Wisconsin Wyoming П 16 10 4

<sup>1</sup> The District of Columbia has no state-level policy, but District of Columbia Public Schools requires that student academic achievement count for 50% of evaluation score.

<sup>2</sup> Legislation articulates that student growth must account for a significant portion of evaluations, with no single criterion counting for more than 35% of the total performance evaluation. However, the State Board is on track to finalize regulations that limit any single component of student growth, such as standardized test scores, to 35%, but add other measures of student progress for a total of 50%.

## PREVENT INEFFECTIVE TEACHERS • FROM REMAINING IN THE **CLASSROOM INDEFINITELY:**

Although Alabama is taking some preliminary steps to improve its evaluation system, the state's current process for terminating ineffective teachers may

Dismissal is a critical attention area in

6 states.

States on the right track include Oklahoma and Rhode Island.

undermine those efforts. Alabama should explicitly make teacher ineffectiveness grounds for dismissal so that districts do not feel they lack the legal basis for terminating consistently poor performers, and it should steer clear of euphemistic terms that

are ambiguous at best and may be interpreted as concerning dereliction of duty rather than ineffectiveness. In Alabama, the process is the same regardless of the grounds for dismissal, which include "incompetency, insubordination, neglect of duty, immorality, failure to perform duties in a satisfactory manner, justifiable decrease in the number of teaching positions, or other good and just cause."

Nonprobationary teachers who are dismissed for any grounds, including ineffectiveness, are entitled to due process. However, cases that drag on for years drain resources from school districts and create a disincentive for districts to attempt to terminate poor performers. Therefore, the state must ensure that the opportunity to appeal occurs only once and only at the district level and involves only adjudicators with educational expertise.



# Critical Attention: Alabama policies that fail to ensure that teachers are well prepared

# **4.** ENSURE THAT ELEMENTARY TEACHERS KNOW THE SCIENCE OF READING:

Preparation to teach reading is a critical attention area in

43 states.

States on the right track include Connecticut,
Massachusetts and Virginia.

Although Alabama requires that its teacher preparation programs provide teacher candidates with training in the science of reading, the state should also require an assessment prior to certification that tests whether teachers indeed possess the requisite knowledge in scientifically based read-

ing instruction. Ideally this would be a stand-alone test (such as the excellent assessments required by Massachusetts, Connecticut and Virginia), but if it were combined with general pedagogy or elementary content, the state should require a separate subscore for the science of reading. Notably, Alabama's long-standing court order precluding subject-matter testing was vacated earlier this year; therefore, the state is no longer hindered by legal obstacles to accomplish this assessment goal.

# 5. KNOW ELEMENTARY CONTENT MATH:

Aspiring elementary teachers must begin to acquire a deep conceptual knowledge of the mathematics they will teach, moving well beyond mere procedural understanding. Leading mathematicians and math educators have found that elementary teachers are not well served by mathematics courses designed for a general audience and that methods courses do not provide sufficient content preparation. Alabama requires that its elementary teachers earn at least 12 semester hours of credit in mathematics, and the state's standards address areas such as foundations, algebra, geometry and statistics. However, Alabama should specifically articulate that preparation programs deliver mathematics content geared to the explicit needs of elementary teachers. The state should also adopt a rigorous mathematics assessment, such as the one required by Massachusetts. At the very least, the state should consider requiring a mathematics subscore on its general content knowledge test, not only to ensure that teacher candidates have minimum mathematics knowledge but also to allow them to test out of coursework require-

Preparation to teach mathematics is a critical attention area in

49 states.

A state on the right track is Massachusetts.

ments. As mentioned previously, with the dissolution of the long-standing court order regarding subject-matter testing, Alabama is now free to require a specific mathematics assessment.

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- 1 Although California has a standalone test of reading pedagogy, the ability of this test to screen out candidates who do not know the science of reading has been questioned.
- 2 Florida's licensure test for elementary teachers includes a strong focus on the science of reading but does not report a separate subscore for this content.



# Critical Attention: Alabama policies that license teachers who may lack subject-matter knowledge

6. CONTENT TESTS ADEQUATELY ASSESS CONTENT KNOWLEDGE IN EACH SUBJECT AREA:

Although Alabama requires that all new elementary teachers must pass a Praxis II general subject-matter test, this assessment does not report teacher performance in each subject area, meaning that it may be possible to pass the test and still fail

some subject areas. The state should require separate passing scores for each area because without them it is impossible to measure knowledge of individual subjects,

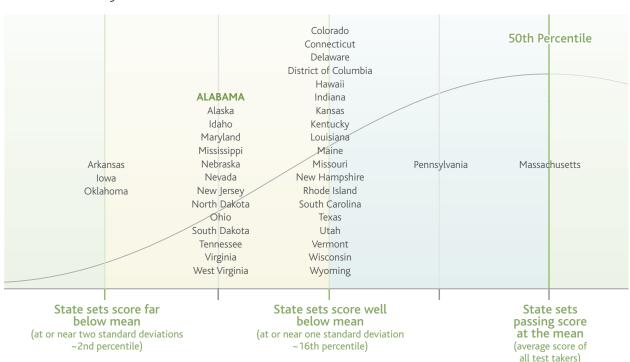
especially given the state's current low passing score for the elementary content test. According to published test data, Alabama has set its passing score for this test so far below the mean, the average score of all test takers, that it is questionable whether this assessment is indeed providing any assurance of content knowledge.

Elementary licensure tests are a critical attention area in

50 states.

A state on the right track is Massachusetts.

Figure 3
Where do states set the passing score on elementary content licensure tests?



<sup>1</sup> Data not available for Arizona, California, Florida, Georgia, Illinois, Michigan, Minnesota, New Mexico, New York, North Carolina, Oregon, and Washington. Montana does not require a content test. Colorado cut score is for Praxis II, not PLACE.



# Critical Attention: Alabama policies that limit the teacher pipeline

# PROVIDE FLEXIBLITY TO ALTERNATE ROUTE TEACHERS IN DEMONSTRATING CONTENT KNOWLEDGE:

Alternative certification can create a new pipeline of potential teachers for those with valuable knowledge and skills who did not prepare to teach as undergraduates. While it is critical that all teachers know the content they will teach, requiring alternate route teachers

Alternate route admissions is a critical attention area in

38 states.

States on the right track include Michigan and Oklahoma.

to have a major in their subject area rules out talented individuals with deep knowledge that may have been gained through related study or work experience. Such candidates will likely be disinclined to fulfill the requirements of a new degree and should be

permitted to demonstrate their content knowledge by passing a rigorous test. Alabama currently provides such a test-out option only for elementary alternate route teacher candidates, which is of limited consequence, since elementary teachers are not required to have a major in a particular subject area. The state should allow a test-out option for all alternate route candidates, especially secondary teachers.

# BROADEN ALTERNATE ROUTE USAGE AND PROVIDERS:

Alabama should allow alternate route teachers to teach across all grades, subjects and geographic areas. The state should also encourage a diversity of pro-

viders, allowing school districts and nonprofit organizations, in addition to institutions of higher education, to operate alternate route programs. At present, teachers certified through alternate routes can only teach in very limited subject areas, including some areas of

Alternate route diversity is a critical attention area in

28 states.

States on the right track include Illinois, New York and Washington.

special education and physical education for grades 6-12 as well as non-core subjects in grades K-8 such as art, music and second languages. Further, the state only allows institutions of higher education to provide alternative certification programs. These limitations prevent Alabama's alternate routes from providing a true alternative pathway into the teaching profession.



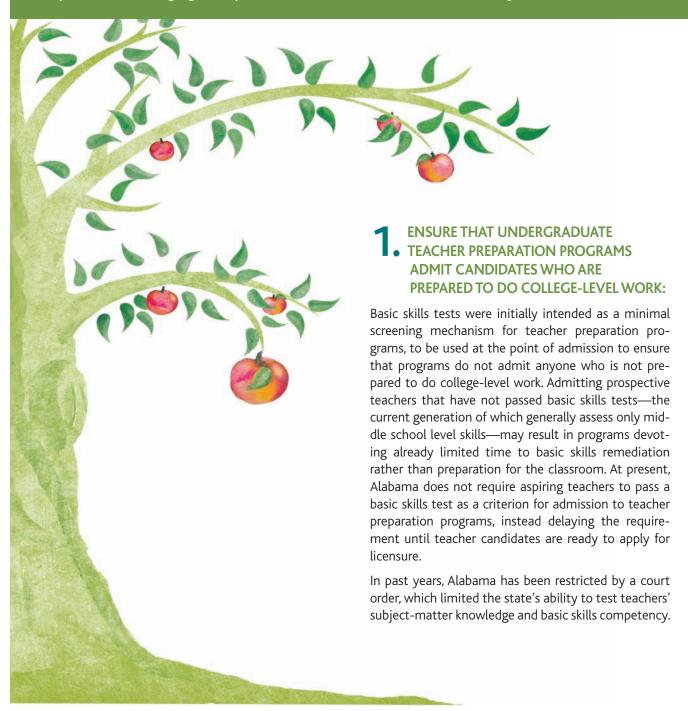
<sup>1</sup> Alaska's alternate route is operated by the state department of education.

<sup>2</sup> ABCTE is also an approved provider.

<sup>3</sup> North Dakota does not have an alternate route to certification.

## Section 2: Low-Hanging Fruit

This section highlights areas where a small adjustment would result in significantly stronger policy. Unlike the more complex topics identified in Section 1, the issues listed in this section represent low-hanging fruit, policies that can be addressed in relatively short order.



As the lawsuit from which this order originated was settled this year, the state should adjust the timing of its basic skills test, requiring that teacher candidates pass the test, or demonstrate equivalent performance on a college entrance exam such as the SAT or ACT, as a condition of admission to a teacher preparation program.

# 2. REPORT SCHOOL-LEVEL DATA TO SUPPORT THE EQUITABLE DISTRIBUTION OF TEACHERS:

Alabama publicly reports the percentage of highly qualified teachers at the school level, but it only reports the percentage of teachers on emergency credentials statewide. In order to promote the equitable distribution of teacher talent among schools within districts, these data should also be reported at the individual school level.

# 3. STRENGTHEN SELECTIVITY OF ALTERNATE ROUTE PROGRAMS:

Alternate route to certification programs should be selective in whom they admit by requiring a GPA that is higher than what is generally expected of teacher candidates in traditional preparation programs. Currently, Alabama's requirement of a minimum 2.5 GPA is not a sufficient indicator of selectivity. The state should raise its minimum GPA requirement to at least 2.75 for alternate route candidates, making accommodations as appropriate for career changers with relevant work experience.

# 4 UPDATE TESTING REQUIREMENTS FOR NEW TEACHERS:

The ETS website still lists the Middle School: Content Knowledge test as an acceptable assessment for middle school teacher candidates. All new middle school teachers in Alabama are now required to pass a single-subject Praxis II content test to attain licensure; the general content knowledge test is no longer an option. The state is urged to clarify its requirements of single subject tests with ETS so that prospective teachers are clear regarding testing requirements.

## Section 3: Systemic Issues

This section discusses some of the longer-term systemic issues related to teacher quality that states also need to address. While these may not be "front-burner" issues in many states, they are important to an overall reform agenda.

## 1. Performance Management

The critical relationship between teacher quality and student achievement has been well established, and ensuring that all students have teachers with the knowledge and skills to support their academic success has become a national priority. Yet the policy framework that governs the teaching profession in most states is almost entirely disconnected from teacher effectiveness. Although states largely control how teachers are evaluated, licensed and compensated, teacher effectiveness in terms of student learning has not been a central component in these policies.

Fortunately, this is starting to change. Fifteen states, including Alabama, have made progress in their requirements for teacher evaluation in the last year alone. As evaluation ratings become more meaningful, states should plan to connect teacher evaluation to an overall system of performance management. The current siloed approach, with virtually no connection between meaningful evidence of teacher performance and the awarding of tenure and professional licensure, needs a fundamental overhaul. These elements must not be thought of as isolated and discrete, but as part

of a comprehensive performance system. This system should also include compensation strategies, as well as new teacher support and ongoing professional development, creating a coordinated and aligned set of teacher policies.

Meaningful evaluation is at the center of a performance management system, and, as discussed in the Critical Attention section of this report, Alabama has considerable work to do to ensure that evaluations measure teacher effectiveness. But as the state moves forward, it should keep in mind the larger goal of creating a performance management system.

A successful performance management system—one that gives educators the tools they need to be effective, supports their development, rewards their accomplishments and holds them accountable for results—is essential to the fundamental goal of all education reform: eliminating achievement gaps and ensuring that all students achieve to their highest potential.

<sup>1</sup> Includes changes to state policies regulating the frequency of evaluations for probationary and nonprobationary teachers as well as requirements that teacher evaluations consider classroom effectiveness.

## 2. Pension Reform

State pension systems are in need of a fundamental overhaul. In an era when retirement benefits have been shrinking across industries and professions, teachers' generous pensions remain fixed. In fact, nearly all states, including Alabama, continue to provide teachers with a defined benefit pension system, an expensive and inflexible model that neither reflects the realities of the modern workforce nor provides equitable benefits to all teachers.

The current model greatly disadvantages teachers who move from one state to another, career switchers who enter teaching and those who teach for fewer than 20 years. For these reasons alone, reform is needed. But the dubious financial health of states' pension systems makes this an area in need of urgent attention.

\$625,747

Amount Alabama pays for each teacher that retires at an early age with unreduced benefits until that teacher reaches age 654 Some systems carry high levels of unfunded liabilities, with no strategy to pay these liabilities down in a reasonable period, as defined by standard accounting practices. According to Alabama's 2009 actuarial report, its system was only 74.7

percent funded, significantly below recommended benchmarks.<sup>1</sup> When funding cannot keep up with promised benefits, a new approach is clearly needed. And changes must be made immediately to alter the long-term outlook for the state, as it is exceedingly difficult to reduce promised benefits once a teacher is a member of the system—regardless of whether the state can afford them.

Systemic reform should lead to the development of a financially sustainable, equitable pension system that includes the following:

■ The option of a fully portable pension system as teachers' primary pension plan, either through a defined contribution plan or a defined benefit plan that is formatted similar to a cash balance plan²

- Reasonable district and teacher contribution rates
- Vesting for teachers no later than the third year of employment
- Purchase of time in a defined benefit plan for unlimited previous teaching experience at the time of employment, as well as for all official leaves of absence, such as maternity and paternity leave
- The option in a defined benefit plan of a lump-sum rollover to a personal retirement account upon employment termination, which includes teacher contributions and all accrued interest at a fair interest rate
- Funds contributed by the employer included in withdrawals due to employment termination
- A neutral formula for determining pension benefits, regardless of years worked (eliminating any multiplier that increases with years of service or longevity bonuses)<sup>3</sup>
- Eligibility for retirement benefits based solely on age, not years of service, in order to avoid disincentives for effective teachers to continue working until conventional retirement age.

- Public Fund Survey, http://www.publicfundsurvey.org/www/publicfundsurvey/ actuarialfundinglevels.asp.
- 2 A cash balance pension plan is a benefit plan in which participants, and their employers if they choose, periodically contribute a predetermined rate to employees' individual pension accounts. These contributions grow at a guaranteed rate. Upon retirement or withdrawal, the participant may receive the full account balance in one lump sum, so long as the benefits are fully vested. (Based on Economic Research Institute, http://www.eridlc.com/resources/index.cfm?fuseaction=resource.glossary)
- 3 The formula may include years of service (i.e., years of service x final average salary x benefit multiplier), but other aspects of the benefit calculation, such as the multiplier, should not be dependent on years of service.
- 4 Calculations are based on a teacher who starts teaching at age 22, earns a starting salary of \$35,000 that increases 3 percent per year, and retires at the age when he or she is first eligible for unreduced benefits. Calculations use the state's benefit formula for new hires, exclude cost of living increases, and base the final average salary on the highest three years. Age 65 is the youngest eligibility age for unreduced Social Security benefits.

## 3. Certification of Special Education Teachers

States' requirements for the preparation of special education teachers are one of the most neglected and dysfunctional areas of teacher policy. The low expectations for what special education teachers should know stand in stark contradiction to state and federal expectations that special education students should meet the same high standards as other students.

Alabama, like most states, sets an exceedingly low bar for the content knowledge that special education teachers must have. The state appropriately requires elementary special education teachers to pass the same content test as all other elementary teachers; however, as described in the Critical Attention section of this report, Alabama's requirement of the Praxis II general elementary subject-matter test does not ensure that any elementary teacher has appropriate subject-matter knowledge relevant to the elementary classroom. Further, although secondary special education teachers must be highly qualified in every subject they will teach, the state does not require that teacher preparation programs graduate teachers who are highly qualified in any core academic areas.

Alabama is commended for distinguishing between elementary and secondary special education licenses and for not allowing a generic K-12 special education license, ubiquitous in many states. However, ensuring that all special education teachers are well prepared and know all the subject matter they will be expected to teach requires significant changes to the state's certification process.



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<sup>1</sup> New policy goes into effect January 1, 2013.

