Blueprint for Change in New York

2010 State Teacher Policy Yearbook



National Council on Teacher Quality

Acknowledgments

STATES

State education agencies remain our most important partners in this effort, and their extensive experience has helped to ensure the factual accuracy of the final product. Although this year's *Blueprint for Change* did not require the extensive review typically required of states, we still wanted to make sure that states' perspectives were represented. As such, each state received a draft of the policy updates we identified this year. We would like to thank all of the states for graciously reviewing and responding to our drafts.

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About the Yearbook

The 2010 *Blueprint for Change* is the National Council on Teacher Quality's fourth annual review of state laws, rules and regulations that govern the teaching profession. This year's *Yearbook* takes a different approach than our past editions, as it is designed as a companion to the 2009 *State Teacher Policy Yearbook*, NCTQ's most recent comprehensive report on state teacher policies.

The comprehensive *Yearbook*, a 52-volume state-by-state analysis produced biennially, examines the alignment of states' teacher policies with goals to improve teacher quality. The 2009 report, which addressed key policy areas such as teacher preparation, evaluation, alternative certification and compensation, found that states had much work to do to ensure that every child has an effective teacher. Next year we will once again conduct a comprehensive goal-by-goal analysis of all aspects of states' teacher policies.

In 2010, an interim year, we set out to help states prioritize among the many areas of teacher policy in need of reform. With so much to be done, state policymakers may be nonplussed about where to begin. The 2010 *Yearbook* offers each state an individualized blueprint, identifying state policies most in need of attention. Although based on our 2009 analyses, this edition also updates states' progress in the last year, a year that saw many states make significant policy changes, largely spurred by the Race to the Top competition. Rather than grade states, the 2010 *Blueprint for Change* stands as a supplement to the 2009 comprehensive report, updating states' positive and negative progress on *Yearbook* goals and specifying actions that could lead to stronger policies for particular topics such as teacher evaluation, tenure rules and dismissal policies.



Blueprint for Change in New York

he 2009 State Teacher Policy Yearbook provided a comprehensive review of states' policies that impact the teaching profession. As a companion to last year's comprehensive state-by-state analysis, the 2010 edition provides each state with an individualized "Blueprint for Change," building off last year's Yearbook goals and recommendations.

State teacher policy addresses a great many areas, including teacher preparation, certification, evaluation and compensation. With so many moving parts, it may be difficult for states to find a starting point on the road to reform. To this end, the following brief provides a state-specific roadmap, organized in three main sections.

- Section 1 identifies policy concerns that need critical attention, the areas of highest priority for state policymakers.
- Section 2 outlines "low-hanging fruit," policy changes that can be implemented in relatively short order.
- Section 3 offers a short discussion of some longer-term systemic issues that states need to make sure stay on the radar.

Current Status of New York's Teacher Policy

In the 2009 State Teacher Policy Yearbook, New York had the following grades:



Area 1: Delivering Well Prepared Teachers	D+
Area 2: Expanding the Teaching Pool	С
Area 3: Identifying Effective Teachers	D-
Area 4: Retaining Effective Teachers	C-
Area 5: Exiting Ineffective Teachers	D

2010 Policy Update:

In the last year, many states made significant changes to their teacher policies, spurred in many cases by the Race to the Top competition. Based on a review of state legislation, rules and regulations, NCTQ has identified the following recent policy changes in New York:

Teacher Evaluation:

The state's evaluation system now includes measures of student achievement. Evaluations will be required to base 40 percent of the composite effectiveness score on student achievement measures. Prior to the approved use of a value-added model, this percentage will be comprised of 20 percent student growth based on state assessments and 20 percent based on locally selected measures of student achievement. Once the value-added model is implemented, 25 percent will be based on state assessments, and the remaining 15 percent will be based on the locally selected measures. The new system also requires the following rating categories: "highly effective," "developing" and "ineffective," with explicit minimum and maximum scoring ranges for each category.

Chapter 103 (5.7991/A.11171)

Teacher Dismissal:

New York also provides for expedited hearings before a single hearing officer to dismiss teachers who have a pattern of ineffective performance. This pattern of ineffective performance is defined as two consecutive annual ratings of "ineffective." *Chapter 103 (S.7991/A.11171)*

Alternate Route Providers:

The state has broadened the providers of its alternate route to include nonprofit organizations. Amended Regulations of the Commissioner of Education, Part 52.21

New York Response to Policy Update:

States were asked to review NCTQ's identified updates and also to comment on policy changes that have occurred in the last year, other pending changes or teacher quality in the state more generally.

New York was helpful in providing NCTQ with additional information about recent policy changes. The state added that several initiatives regarding teacher certification and preparation have begun. It will be piloting clinically rich undergraduate- and graduate-level teacher preparation programs aligned with the new performance-based assessments. "The goal of these pilots is to strengthen teacher preparation and increase the supply of highly effective teachers in high-needs subjects in high-needs communities." New York also plans to develop profiles for teacher preparation institutions that will include data on student performance, including performance-based assessments. In addition, the state plans to require performance-based assessments (portfolio assessments) for initial and professional certification that are linked to classroom effectiveness.

New York also pointed out that to increase the supply of highly effective teachers in high-needs subjects, its Board of Regents has approved in concept secondary-level certification for applicants who demonstrate content-knowledge proficiency either through undergraduate or graduate course credits or a score of proficient or higher on acceptable rigorous examinations. To recruit and retain STEM teachers in high-needs schools, the Board of Regents has also approved in concept a new pathway to facilitate the transition to teaching for individuals with advanced degrees in STEM disciplines, as well as a new five-year differential incentive.

The state reiterated its new requirements for teacher evaluations and pointed out that districts are not required to implement these changes until the beginning of the 2011-2012 school year. It added that teachers must receive timely and constructive feedback, including data on student growth for their students, the class and the school as a whole, as well as feedback and training on how they can use the data to improve instruction. New York also plans to put in place a formative assessment model of professional development to improve teaching and learning in high-needs schools.

The state also pointed out that it plans to create a statewide teacher career ladder for school districts to utilize in collective bargaining regarding student growth-based teacher compensation and the improvement of teaching practices. The state will create a model induction program for new teachers designed to create "teacher leaders," and it plans to incorporate data on the equitable distribution of effective teachers into district score cards and district accountability.

Finally, New York also reiterated its streamlined process to dismiss ineffective teachers, and it pointed out that, following a rating of "developing" or "ineffective," the teacher must be put on an improvement plan as soon as practicable but in no case later than 10 days after the date teachers are required to report prior to the beginning of the school year. The state added that hearing officers will be instructed to strictly follow time periods prescribed for conducting expedited hearings or risk being excluded from future hearings.

Section 1: Critical Attention Areas

This section identifies the highest priority areas as states work to advance teacher quality. These are the policy issues that should be at the top of the list for state policymakers. While other states need also to address middle school teacher preparation and teacher preparation program accountability, New York should turn its immediate attention to the following seven issues.



Critical Attention: New York policies that need to better connect to teacher effectiveness

ENSURE THAT TEACHER EVALUATIONS ASSESS EFFECTIVENESS IN THE CLASSROOM:

The fundamental purpose of teachers' formal evaluations should be to determine whether the teachers are effective in the classroom. To achieve this purpose, evaluations must be based primarily on teachers' impact on students. While it is certainly appropriate to include subjective factors, such as classroom observations, evidence of student learning—including but not limited to standardized test scores—should be the

> preponderant criterion of its teacher evaluations.

Evaluation is a critical attention area in

Z states.

States on the right track include Colorado, Louisiana and Rhode Island.

New York has taken an important step forward with its recently passed legislation that requires 40 percent of a teacher's evaluation effectiveness score to be based on student achievement mea-

sures. However, this new policy does not quite ensure that evidence of student learning will be the most significant factor and could be strengthened.

In addition, in order to ensure that teachers' strengths are optimized and weaknesses addressed, it is critical that teachers are evaluated with sufficient frequency. New York should require that all new teachers be evaluated at least twice a year. Further, the state should also require that the first evaluation for probationary teachers occur during the first half of the school year, so that new teachers are provided with feedback and support early on.

New York's winning bid for Race to the Top funds includes a significant focus on teacher evaluation. However, until objective evidence of student learning is the preponderant criterion for teacher evaluations, this remains a critical area of attention for the state.

CONNECT TENURE DECISIONS TO TEACHER EFFECTIVENESS:

The point at which a teacher's probationary period ends, commonly referred to as tenure, should be a significant milestone. Although the awarding of tenure is a local decision, state policy should reflect the fact that tenure should only be awarded to teachers who

have consistently demonstrated their effectiveness. New York should require a clear process, such as a hearing, for districts to use when considering whether a teacher advances from probationary to permanent status. Such a process would ensure that the

Tenure is a critical attention area in

States on the right track include Colorado, Delaware and Rhode Island.

local district reviews the teacher's performance before making a determination. Although New York's tenure law requires evaluation of the "candidate's effectiveness over the applicable probationary period," the state should also ensure that evidence of effectiveness is the preponderant criterion for making tenure decisions. In addition, the current policy of granting tenure after just three years does not allow for the accumulation of sufficient data on teacher performance to sup-

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port meaningful decisions. Extending the probationary period—ideally to five years—would prevent effective teachers from being unfairly denied tenure based on too little data and ineffective teachers from being granted tenure prematurely.

In addition, New York should reconsider its mandate of a master's degree or additional coursework for licensure advancement, as research is conclusive and emphatic that master's degrees do not have any significant correlation to classroom performance.

¹ The District of Columbia has no state-level policy, but District of Columbia Public Schools requires that student academic achievement count for 50% of evaluation score.

² Legislation articulates that student growth must account for a significant portion of evaluations, with no single criterion counting for more than 35% of the total performance evaluation. However, the State Board is on track to finalize regulations that limit any single component of student growth, such as standardized test scores, to 35%, but add other measures of student progress for a total of 50%.



Critical Attention: New York policies that fail to ensure teachers are well prepared

ENSURE THAT ELEMENTARY TEACHERS KNOW THE SCIENCE OF READING:

Preparation to teach reading is a critical attention area in

states.

States on the right track include Connecticut, Massachusetts and Virginia. Scientific research has shown that there are five essential components of effective reading instruction: explicit and systematic instruction in phonemic awareness, phonics, fluency, vocabulary and comprehension. This science of reading has led to breakthroughs that

can dramatically reduce the number of children destined to become functionally illiterate or barely literate adults. Whether through standards or coursework requirements, states must ensure that their preparation programs graduate only teacher candidates who know how to teach children to read. Not only should New York require that its teacher preparation programs prepare their teacher candidates in the science of reading, but the state should also, as part of its assessment, report a subscore for the science of reading specifically. Although New York's content test addresses the five components of the science of reading, it does not report a specific subscore for this area, meaning a teacher could do poorly on these questions and still pass the test.

ENSURE THAT ELEMENTARY TEACHERS KNOW ELEMENTARY CONTENT MATH:

Aspiring elementary teachers must begin to acquire a deep conceptual knowledge of the mathematics they will teach, moving well beyond mere procedural understanding. Leading mathematicians and math educators have found that elementary teachers are not well served by mathematics courses designed for a general audience and that methods courses do not provide sufficient content preparation. Although New York's testing framework addresses areas such as algebra, geometry and statistics, the state should specifically articulate that preparation programs deliver mathematics content geared to the explicit needs of elementary teachers. New York should also adopt a rigorous mathematics assessment, such as the one required by Massachusetts.

At the very least, the state should consider requiring a mathematics subscore on its general content knowledge test, not only to ensure that teacher candidates have minimum mathematics knowledge but also to allow them to test out of coursework requirements.

Preparation to teach mathematics is a critical attention area in

A state on the right track is Massachusetts.

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- Although California has a standalone test of reading pedagogy, the ability of this test to screen out candidates who do not know the science of reading has been questioned.
- Florida's licensure test for elementary teachers includes a strong focus on the science of reading but does not report a separate subscore for this content.



Critical Attention: New York policies that license teachers who may lack subject-matter knowledge

5. CLOSE LICENSURE LOOPHOLES TO ENSURE THAT TEACHERS KNOW THE CONTENT THEY TEACH:

Licensure loopholes are a critical attention area in

34 states.

States on the right track include Mississippi, Nevada and New Jersey.

All students are entitled to teachers who know the subject matter they are teaching. Permitting individuals who have not yet passed state licensing tests to teach neglects the needs of students, instead extending personal consideration to adults who

may not be able to meet minimal state standards. Licensing tests are an important minimum benchmark in the profession, and states that allow teachers to postpone passing these tests are abandoning one of the basic responsibilities of licensure.

New York should ensure that all teachers pass all required subject-matter licensure tests before they enter the classroom so that students will not be at risk of having teachers who lack sufficient or appropriate content-area knowledge. The state allows new teachers who have not met the state's licensure requirements to teach under the Conditional Initial License, which may be granted to individuals who hold a certificate in an equivalent title from another state that is party to the NASDTEC Interstate Reciprocity Agreement and is valid for two years. If conditional or provisional licenses are deemed necessary, then New York should only issue them under limited and exceptional circumstances and for no longer than a period of one year.

6. CONTENT TESTS ADEQUATELY ASSESS CONTENT KNOWLEDGE IN EACH SUBJECT AREA:

Although New York requires that all new elementary teachers must pass the New York State Teacher Certification Examination liberal arts and sciences test, this assessment does not report teacher performance in each subject area, meaning that it may be possible to pass the test and still fail some subject areas. The state should require separate passing scores for each area because without them it is impossible to measure knowledge of individual subjects.

Also, while New York does not publish data that reflect what its passing score actually means in terms of percentile and/or percentage of questions answered correctly, published pass rate data suggest that the state sets a low bar. According to the state's Title II report, all of the teacher preparation programs in New York report a pass rate of 97 percent or higher, indicating that the required passing score screens out few candidates. The

state should consider whether its passing score does in fact ensure that teacher candidates have the requisite level of content knowledge, and, at the very least, share with the public data that indicate what its passing score actually means.

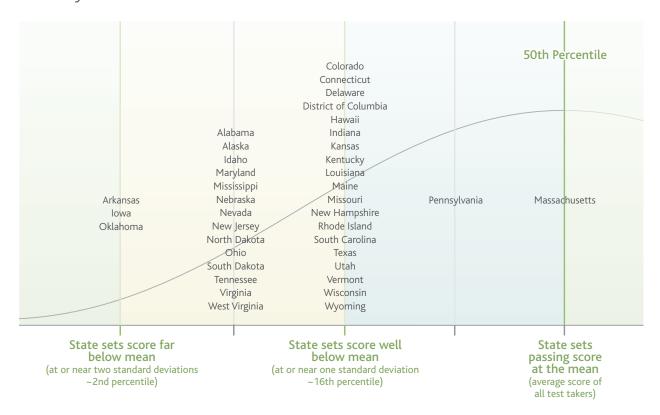
Elementary licensure tests are a critical attention area in

50 states.

A state on the right track is Massachusetts.



Figure 3
Where do states set the passing score on elementary content licensure tests?¹



¹ Data not available for Arizona, California, Florida, Georgia, Illinois, Michigan, Minnesota, New Mexico, NEW YORK, North Carolina, Oregon, and Washington. Montana does not require a content test. Colorado cut score is for Praxis II, not PLACE.



Critical Attention: New York policies that limit the teacher pipeline

PROVIDE FLEXIBILITY TO ALTERNATE ROUTE TEACHERS IN DEMONSTRATING CONTENT KNOWLEDGE:

Alternative certification can create a new pipeline of

potential teachers for those with valuable knowledge and skills who did not prepare to teach as undergraduates. While it is critical that all teachers know the content they will teach, requiring alternate route teachers to have a major in their subject area rules out talented individuals with deep knowledge that may have been gained through related study or work

Alternate route admissions is a critical attention area in

38 states.

States on the right track include Michigan and Oklahoma.

experience. Such candidates will likely be disinclined to fulfill the requirements of a new degree and should be permitted to demonstrate their content knowledge by passing a rigorous test. New York currently does not provide a test-out option for its alternate route teacher candidates, instead requiring that second-

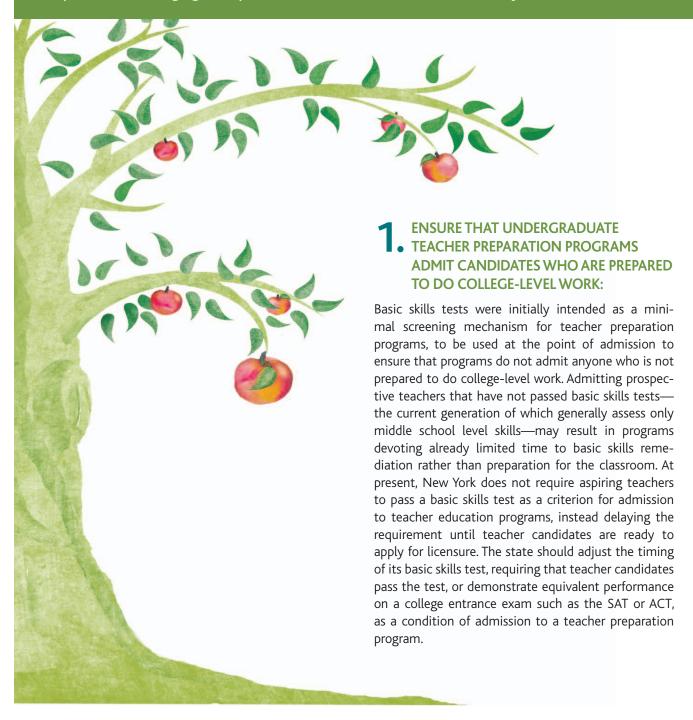
ary candidates have a major in their content area and pass a content-area test, while elementary candidates must have a liberal arts degree. The state should permit candidates, especially secondary teachers, to demonstrate their subject-matter knowledge through the content test without also requiring a major or equivalent coursework.

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- 1 Alaska's alternate route is operated by the state department of education.
- 2 ABCTE is also an approved provider.
- 3 North Dakota does not have an alternate route to certification.

Section 2: Low-Hanging Fruit

This section highlights areas where a small adjustment would result in significantly stronger policy. Unlike the more complex topics identified in Section 1, the issues listed in this section represent low-hanging fruit, policies that can be addressed in relatively short order.



2. ENSURE THAT SPECIAL EDUCATION TEACHERS ARE ADEQUATELY PREPARED TO TEACH SUBJECT MATTER:

To ensure that secondary special education teachers are adequately prepared to teach multiple subjects, New York should require that teacher preparation programs graduate secondary special education teacher candidates who are highly qualified in at least two subjects. The most efficient way to accomplish this objective is to require that teacher candidates earn the equivalent of two subject-area minors and pass tests in those areas. New York does require that secondary special education teacher candidates earn a content major; however, this only ensures that they will be highly qualified in one area.

3. STRENGTHEN TEACHER PREPARATION PROGRAM ACCOUNTABILITY:

As part of its successful Race to the Top application, New York has commendably made objective outcomes a central component of its teacher preparation program approval process. However, the state should codify these requirements so that they continue to be in effect even when the four-year grant period has expired.

Section 3: Systemic Issues

This section discusses some of the longer-term systemic issues related to teacher quality that states also need to address. While these may not be "front-burner" issues in many states, they are important to an overall reform agenda.

1. Performance Management

The critical relationship between teacher quality and student achievement has been well established, and ensuring that all students have teachers with the knowledge and skills to support their academic success has become a national priority. Yet the policy framework that governs the teaching profession in most states is almost entirely disconnected from teacher effectiveness. Although states largely control how teachers are evaluated, licensed and compensated, teacher effectiveness in terms of student learning has not been a central component in these policies.

Fortunately, this is starting to change. Fifteen states, including New York, have made progress in their requirements for teacher evaluation in the last year alone. As evaluation ratings become more meaningful, states should plan to connect teacher evaluation to an overall system of performance management. The current siloed approach, with virtually no connection between meaningful evidence of teacher performance and the awarding of tenure and professional licensure, needs a fundamental overhaul. These elements must not be thought of as isolated and discrete, but as part

of a comprehensive performance system. This system should also include compensation strategies as well as new teacher support and ongoing professional development, creating a coordinated and aligned set of teacher policies.

Evaluation that measures teacher effectiveness is at the center of a performance management system, and, as discussed in the Critical Attention section of this report, New York has taken some steps to improve its teacher evaluations. As the state continues to move forward, it should keep in mind the larger goal of creating a performance management system.

A successful performance management system—one that gives educators the tools they need to be effective, supports their development, rewards their accomplishments and holds them accountable for results—is essential to the fundamental goal of all education reform: eliminating achievement gaps and ensuring that all students achieve to their highest potential.

¹ Includes changes to state policies regulating the frequency of evaluations for probationary and nonprobationary teachers as well as requirements that teacher evaluations consider classroom effectiveness.

2. Pension Reform

State pension systems are in need of a fundamental overhaul. In an era when retirement benefits have been shrinking across industries and professions, teachers' generous pensions remain fixed. In fact, nearly all states, including New York, continue to provide teachers with a defined benefit pension system, an expensive and inflexible model that neither reflects the realities of the modern workforce nor provides equitable benefits to all teachers.

The current model greatly disadvantages teachers who move from one state to another, career switchers who enter teaching and those who teach for fewer than 20

\$468,926

Amount New York pays for each teacher that retires at an early age with unreduced benefits until that teacher reaches age 65⁴ years. For these reasons alone, reform is needed. But a defined benefit model is also extremely costly, relying in large part on current workers to fund benefits promised to retirees. Although New York's 2008 actuarial report indicates its system was 106.6

percent funded,¹ this was before the recent market downturn, and the state should be concerned about the cost of sustaining promised benefits. Many states' systems are in dubious financial health, including some that appeared even recently to be well funded. All states need to take a long-term view of their pension systems, since it is exceedingly difficult to reduce promised benefits once teachers are members of the system. In addition, New York should consider whether the benefits provided by its current model attract the most effective teachers.

Systemic reform should lead to the development of a financially sustainable, equitable pension system that includes the following:

The option of a fully portable pension system as teachers' primary pension plan, either through a defined contribution plan or a defined benefit plan that is formatted similar to a cash balance plan²

- Reasonable district and teacher contribution rates
- Vesting for teachers no later than the third year of employment
- Purchase of time in a defined benefit plan for unlimited previous teaching experience at the time of employment, as well as for all official leaves of absence, such as maternity and paternity leave
- The option in a defined benefit plan of a lump-sum rollover to a personal retirement account upon employment termination, which includes teacher contributions and all accrued interest at a fair interest rate
- Funds contributed by the employer included in withdrawals due to employment termination
- A neutral formula for determining pension benefits, regardless of years worked (eliminating any multiplier that increases with years of service or longevity bonuses)³
- Eligibility for retirement benefits based solely on age, not years of service, in order to avoid disincentives for effective teachers to continue working until conventional retirement age.

- Public Fund Survey, http://www.publicfundsurvey.org/www/publicfundsurvey/ actuarialfundinglevels.asp.
- 2 2 A cash balance pension plan is a benefit plan in which participants, and their employers if they choose, periodically contribute a predetermined rate to employees' individual pension accounts. These contributions grow at a guaranteed rate. Upon retirement or withdrawal, the participant may receive the full account balance in one lump sum, so long as the benefits are fully vested. (Based on Economic Research Institute, http://www.eridlc.com/resources/index.cfm?fuseaction=resource.glossary)
- 3 3The formula may include years of service (i.e., years of service x final average salary x benefit multiplier), but other aspects of the benefit calculation, such as the multiplier, should not be dependent on years of service.
- 4 Calculations are based on a teacher who starts teaching at age 22, earns a starting salary of \$35,000 that increases 3 percent per year, and retires at the age when he or she is first eligible for unreduced benefits. Calculations use the state's benefit formula for new hires, exclude cost of living increases, and base the final average salary on the highest three years. Age 65 is the youngest eligibility age for unreduced Social Security benefits.

3. Certification of Special Education Teachers

States' requirements for the preparation of special education teachers are one of the most neglected and dysfunctional areas of teacher policy. The low expectations for what special education teachers should know stand in stark contradiction to state and federal expectations that special education students should meet the same high standards as other students.

New York, like most states, sets a low bar for the content knowledge that special education teachers must have. The state appropriately requires elementary special education teachers to pass the same content test as all other elementary teachers. However, even though secondary special education teachers must be highly qualified in every subject they will teach, the state's current policy of requiring a content major and test only ensures that teacher preparation programs graduate teachers who are highly qualified in one core academic area.

But the problem requires a more systemic fix than just raising content requirements for elementary and secondary special education teachers. The overarching issue is that too many states, including New York, make no distinction between elementary and secondary special education teachers, certifying all such teachers under a generic K-12 special education license. While this broad umbrella may be appropriate for teachers of low-incidence special education students, such as those with severe cognitive disabilities, it is deeply problematic for high-incidence special education students, who are expected to learn grade-level content. And because the overwhelming majority of special education students are in the high-incidence category, the result is a fundamentally broken system.

It is virtually impossible and certainly impractical for states to ensure that a K-12 teacher knows all the subject matter he or she is expected to be able to teach. And the issue is just as valid in terms of pedagogical knowledge. Teacher preparation and licensure for special education teachers must distinguish between elementary and secondary levels, as they do for general education. The current model does little to protect some of our most vulnerable students.

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¹ New policy goes into effect January 1, 2013.

