

Arkansas Public Higher Education Operating & Capital Recommendations

2009-2011 Biennium



6-A

Volume 2
Two-Year
Colleges

Arkansas Department of Higher Education
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**ARKANSAS PUBLIC HIGHER EDUCATION
OPERATING AND CAPITAL RECOMMENDATIONS
2009-11 BIENNIUM**

**VOLUME 2
TWO-YEAR COLLEGES**

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Institutional Abbreviations

Four-Year Institutions

Arkansas State University (Jonesboro)	ASUJ
Arkansas Tech University (Russellville)	ATU
Henderson State University (Arkadelphia)	HSU
Southern Arkansas University (Magnolia)	SAUM
University of Arkansas (Fayetteville)	UAF
University of Arkansas at Fort Smith	UAFS
University of Arkansas at Little Rock	UALR
University of Arkansas at Monticello	UAM
University of Arkansas at Pine Bluff	UAPB
University of Central Arkansas	UCA

Two-Year Institutions

Arkansas Northeastern College (Blytheville)	ANC
Arkansas State University - Beebe	ASUB
Arkansas State University - Mountain Home	ASUMH
Arkansas State University - Newport	ASUN
Black River Technical College (Pocahontas)	BRTC
Cossatot Community College of the UA (DeQueen)	CCCUA
East Arkansas Community College (Forrest City)	EACC
Mid-South Community College (West Memphis)	MSCC
National Park Community College (Hot Springs)	NPCC
North Arkansas College (Harrison)	NAC
Northwest Arkansas Community College (Bentonville)	NWACC
Ouachita Technical College (Malvern)	OTC
Ozarka College (Melbourne)	OZC
Phillips Community College of the UA (Helena)	PCCUA
Pulaski Technical College (North Little Rock)	PTC
Rich Mountain Community College (Mena)	RMCC
South Arkansas Community College (El Dorado)	SACC
Southeast Arkansas College (Pine Bluff)	SEAC
Southern Arkansas University - Tech (Camden)	SAUT
UA Community College at Batesville	UACCB
UA Community College at Hope	UACCH
UA Community College at Morrilton	UACCM

Non-Formula Institutions / Entities

ASU - System	ASU-SYS
ASU - Technical Center - Marked Tree	ASU-MT
ATU - Ozark Campus	ATU-OZARK
HSU - Southwest Arkansas Technology Learning Center	HSU - SWATLC
SAUT - Environmental Academy	SAUT-ECA
SAUT - Fire Academy	SAUT-FTA
UA - System (Little Rock)	UA-SYS
UA - Archeological Survey (Fayetteville)	UA-AS
UA - Clinton School of Public Service (Little Rock)	UA-CS
UA - Criminal Justice Institute (Little Rock)	UA-CJI
UA - Division of Agriculture (Fayetteville and Little Rock)	UA-DIV AGRI
UA - School of Mathematics, Sciences, and the Arts (Hot Springs)	UA-ASMSA
UALR - Research and Public Service	UALR-RAPS
UAM - College of Technology - Crossett	UAM-CROSSETT
UAM - College of Technology - McGehee	UAM-MCGEHEE
University of Arkansas at Pine Bluff (Non-Formula)	UAPB
University of Arkansas for Medical Sciences	UAMS

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ARKANSAS NORTHEASTERN COLLEGE

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-2011 APPROPRIATIONS REQUESTS

The Role and Scope of Arkansas Northeastern College broadly establishes the College's higher education responsibility for diverse, lifelong learning opportunities delivered to its district citizens for whom, and by whom, the College was established. The College is governed by nine representatives of its enacting district and is authorized to grant the Associate in Arts, Associate in Applied Science, and Associate in Science degrees, as well as certificates, diplomas, and awards.

Programs of study, courses, and components of the above degrees, certificates, diplomas, and awards include a general education core and university-parallel education for completion of the first two (2) years of a baccalaureate program or for personal objectives; technical programs or studies intended for direct employment preparation or advancement; and adult education and compensatory education for the development or enhancement of basic and advanced learning skills. Also included are avocational courses and programs in sponsorship of continuing education and a diversity of community/public services requested of the College by its district.

THE MISSION

ANC is committed to providing accessible, quality educational programs, services, and lifelong learning opportunities.

The College's underlying philosophy is to provide opportunities for youth and adults to develop themselves for purposeful, gratifying, and useful lives in a democratic society. The College accepts the national goal of providing at least two (2) years of education beyond the high school level. Paramount in such education are programs of study designed to fit the needs of students of varying educational and vocational goals and those which provide cocurricular activities and community services consistent with the concept of the community college. Operating in the larger context of local, state, regional, and national higher educational patterns, the College seeks to respond to the needs of individuals and their levels of ability and development.

ARKANSAS NORTHEASTERN COLLEGE

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-2011 APPROPRIATIONS REQUESTS

STRATEGIC GOALS

1. The College shall offer relevant curricula and quality educational programs that utilize flexible delivery methods while ensuring academic excellence and integrity.
2. The College shall facilitate the transition of students into higher education by strengthening partnerships and improving communication with secondary schools, governmental agencies, and business/industry.
3. The College shall provide systems and processes to encourage student enrollment, increase retention, and facilitate transition into careers or further learning opportunities.
4. The College shall enhance instruction, student learning, and the delivery of administrative and educational services to students, faculty, and staff by implementing and supporting technological systems and services.
5. The College shall participate in regional economic development as a partner and innovative leader in training, retraining, and educational services provided to business and industries in the region.
6. The College shall ensure the efficient and effective use of all available resources by maintaining a high level of stewardship and accountability.
7. The College shall cultivate a learning environment that promotes cultural enrichment, communication, diversity, and lifelong learning opportunities for its constituencies.
8. The College shall increase access to baccalaureate and master degree programs for area citizens.

ARKANSAS NORTHEASTERN COLLEGE
INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED
TO THE 2009-2011 APPROPRIATIONS REQUESTS

THE STRATEGIC PLAN

The development of Arkansas Northeastern College's Long-Range Plan employed the findings and recommendations from timely reviews and assessments of the College's accomplishments. These included the College's North Central Association Self-Study and the Final Report of a visit by an NCA team of consultants/evaluators, an Institutional Effectiveness Study report, and Arkansas Department of Higher Education Program Reviews of the past five (5) years. These and other studies and reports were used to develop a listing of goal statements concerning achievement of mission and purposes. Additional goal statements were solicited from faculty, staff, board, and community representatives and incorporated in a nonduplicated listing. The College community was invited to identify those statements deemed most important and served to combine or eliminate and reduce the numbers of the most important statements for consideration by a group consensus process. Analysis of the results produced the goal statements presented to, and adopted by, the College's Board of Trustees on December 15, 2004.

Each year the faculty and staff of Arkansas Northeastern College engage in a strategic planning process establishing objectives relating to the Long-Range Goals. Each objective is measured for success, time to complete, and cost. This process provides for a consistent application of resources toward the stated goals of the institution.

OBJECTIVE STRATEGIES

The objective strategies for the College reflect and encompass the Long-Range Goals as follows:

ARKANSAS NORTHEASTERN COLLEGE
INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED
TO THE 2009-2011 APPROPRIATIONS REQUESTS

1. To evaluate the past accomplishment of the College's Mission and prepare for its future accomplishment.

The annual strategic planning process, budgetary process, and assessment of institutional effectiveness jointly serve to measure performance and establish priorities for the future. The College has also embarked on a comprehensive examination of institutional practices through the Foundations of Excellence process.

2. To evaluate curricula, programs, services, and student outcomes.

Curricula modifications are a part of the evolutionary academic process. Modifications and new program introductions are based on research and inputs from the College's constituency. Advisory committees for each degree program meet annually recording external input on effectiveness. Institutional effectiveness studies are used annually to modify services and impact outcomes.

3. To strengthen partnership with secondary schools, governmental agencies, and business/industry.

The College continues to evolve its outreach to these various constituencies. The College operates a Secondary Center and a STEM Academy in partnership with area school districts. The College and Mississippi County have partnered to assist in economic development activities and extensive new objectives are in place to serve business/industry through the Arkansas Delta Training and Education Consortium (ADTEC). The College has also established, with the assistance of area businesses and industries, The Great River Promise. This is a scholarship program offering tuition scholarships to ANC for every high school graduate each year in the county.

ARKANSAS NORTHEASTERN COLLEGE
INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED
TO THE 2009-2011 APPROPRIATIONS REQUESTS

4. *To participate and lead in regional economic development through training and services.*

The College is an active partner in the Arkansas Delta Training and Education Consortium (ADTEC). The Department of Labor presented ADTEC with the Recognition of Excellence award. The College's Solutions Group, an industry service and training department, was recently awarded the Institute of Higher Education Bellwether Award. This award distinguished the Solutions Group for its outstanding service to industry. The College has also created new programs in service and retail applications, medical transcription, power plant technology, and advanced manufacturing.

5. *To effectively and efficiently use resources while maintaining a high level of stewardship.*

The College operates a fully integrated system of assessment of institutional effectiveness which couples with the strategic planning process to produce the analysis of the past year and to support the financial request for the upcoming year. The College has demonstrated stewardship with eleven (11) consecutive audits with no significant findings. The College has also eliminated programs in industrial machining, early childhood development, private and commercial aviation, crime scene investigation, and process control technology in order to reallocate those resources to new programs and needs during the past biennium.

6. *To cultivate a learning environment that promotes cultural enrichment, communication, diversity, and lifelong learning.*

The comprehensive mission of a community college encompasses the community service and outreach functions. ANC, through its strategic plan, incorporates these critical components into its objectives.

7. *To increase access to baccalaureate and master degree programs.*

ARKANSAS NORTHEASTERN COLLEGE
INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED
TO THE 2009-2011 APPROPRIATIONS REQUESTS

The College, through its University Center, has been hosting a variety of programs for over seventeen (17) years. There are currently twenty-three (23) baccalaureate programs and three (3) master programs delivered on the ANC main campus. The creation of the ADTEC University Center has increased the ability of students to access more programs with greater flexibility.

The College's Appropriation Request supports continuing effort toward achievement of these institutional objectives.

The Board of Trustees has ensured that ANC has been, and will continue to be, a strong partner in the economic well-being of Mississippi County and the district. Through the provision of educational opportunities and service initiatives to citizens, businesses, and industries, the College is a participant in the development potential of its geographic district.

Arkansas Northeastern College intends to carry its services to the community. The College has pledged to extend educational opportunities to the citizens of Mississippi County and its service area through our main campus and through its extended campus operations. This Appropriation Request reflects the mission and goals of the College and the state goal to improve access to higher education opportunity by citizens through Arkansas.

The College's Appropriation Request provides for general operations accommodative of a growth in students and district services, faculty/staff additions, campus improvements, and infrastructure additions. Combined with tuition revenue and support by private citizens, the appropriation requested will support Arkansas Northeastern College as one of Arkansas' premier two-year colleges and its delivery of efficient, accountable, higher education opportunities and services.

**INSTITUTION APPROPRIATION SUMMARY
2009-11 BIENNIUM**

INSTITUTION ARKANSAS NORTHEASTERN COLLEGE

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION								
	2007-08		2008-09		2008-09		2009-10			2010-11					
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS	
1 STATE TREASURY	9,932,144		9,907,876		10,636,278		10,252,336		10,252,336		10,474,124		10,474,124		
2 CASH	6,142,283		28,150,000		28,150,000		28,300,000		28,300,000		28,500,000		28,500,000		
3															
4															
5															
6															
7															
8															
9															
10															
11 TOTAL	\$16,074,427	234	\$38,057,876	299	\$38,786,278	370	\$38,552,336	354	\$38,552,336	354	\$38,974,124	354	\$38,974,124	354	
FUNDING SOURCES		%		%				%		%		%		%	
12 PRIOR YEAR FUND BALANCE*	7,087	0%		0%				0%		0%		0%		0%	
13 GENERAL REVENUE	8,518,586	53%	8,546,510	22%			8,890,970	23%	8,890,970	23%	9,112,758	23%	9,112,758	23%	
14 EDUCATIONAL EXCELLENCE TRUST FUND	738,530	5%	708,271	2%			708,271	2%	708,271	2%	708,271	2%	708,271	2%	
15 WORKFORCE 2000	660,620	4%	653,095	2%			653,095	2%	653,095	2%	653,095	2%	653,095	2%	
16 CASH FUNDS	4,318,998	27%	25,150,000	66%			25,300,000	66%	25,300,000	66%	25,500,000	65%	25,500,000	65%	
17 SPECIAL REVENUES		0%		0%				0%		0%		0%		0%	
18 FEDERAL FUNDS	1,823,285	11%	3,000,000	8%			3,000,000	8%	3,000,000	8%	3,000,000	8%	3,000,000	8%	
19 TOBACCO SETTLEMENT FUNDS		0%		0%				0%		0%		0%		0%	
20 OTHER FUNDS	7,321	0%		0%				0%		0%		0%		0%	
21 TOTAL INCOME	\$16,074,427	100%	\$38,057,876	100%			\$38,552,336	100%	\$38,552,336	100%	\$38,974,124	100%	\$38,974,124	100%	
22 EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$0		\$0		\$0		\$0		

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2008:	\$5,105,244
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$781,381
INVENTORIES	\$22,415
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	\$44,152
INSURANCE DEDUCTIBLES	\$31,500
MAJOR CRITICAL SYSTEMS FAILURES	\$850,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$1,650,000
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	\$976,535
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$749,261

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.
Line 20 Other Funds: Tuition Adjustment \$7,321

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2009-11 BIENNIUM**

ARKANSAS NORTHEASTERN COLLEGE
NAME OF INSTITUTION

EXPENDITURE CATEGORIES	2007-08 ACTUAL	2008-09 BUDGETED *	2009-11 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS	
			2009-10 REQUEST / RECOMMENDATION	2010-11 REQUEST / RECOMMENDATION
1 INSTRUCTION	6,439,029	7,354,870	7,611,000	7,776,000
2 RESEARCH				
3 PUBLIC SERVICE	773,632	664,729	689,000	704,000
4 ACADEMIC SUPPORT	407,146	410,510	425,000	434,000
5 STUDENT SERVICES	826,965	953,011	986,000	1,007,000
6 INSTITUTIONAL SUPPORT	1,908,275	1,534,963	1,589,000	1,623,000
7 PHYSICAL PLANT M&O	1,093,637	1,820,018	1,855,000	1,895,000
8 SCHOLARSHIPS & FELLOWSHIPS	124,471	241,900	250,000	255,000
9				
10				
11				
12				
13 MANDATORY TRANSFERS				
14 AUXILIARY TRANSFERS				
15 NON-MANDATORY TRANSFERS	3,100,000			
16 TOTAL UNREST. E&G EXP.	\$14,673,155	\$12,980,001	\$13,405,000	\$13,694,000
17 NET LOCAL INCOME	3,746,169	3,062,125	3,152,664	3,219,876
18 PRIOR YEAR BALANCE***	580,897			
STATE FUNDS:				
19 GENERAL REVENUE	8,518,586	8,546,510	8,890,970	9,112,758
20 EDUCATIONAL EXCELLENCE	738,530	708,271	708,271	708,271
21 WORKFORCE 2000	660,620	653,095	653,095	653,095
22 TOBACCO SETTLEMENT FUNDS				
23 OTHER STATE FUNDS **	428,353	10,000		
24 TOTAL SOURCES OF INCOME	\$14,673,155	\$12,980,001	\$13,405,000	\$13,694,000

FORM 09-2

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in a footnote.

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

GIF	275,000	
Tuition Adjustment	7,321	10,000
Economic Incentive	136,364	
Mineral Lease	<u>9,668</u>	
	428,353	

**ESTIMATED UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND
CASH INCOME FOR THE 2009-11 BIENNIUM**

ARKANSAS NORTHEASTERN COLLEGE
(NAME OF INSTITUTION)

SOURCE	ACTUAL 2007-08	BUDGETED 2008-09	ESTIMATED INCOME	
			2009-10	2010-11
1 TUITION AND MANDATORY FEES	2,064,864	2,047,314	2,108,733	2,153,016
2 ALL OTHER FEES	258,194	471,647	480,796	490,893
3 OFF-CAMPUS CREDIT				
4 NON-CREDIT INSTRUCTION	50,102	31,250	36,188	36,948
5 ORGANIZED ACTIVITIES RELATED TO EDUCATIONAL DEPARTMENTS				
6 INVESTMENT INCOME	204,730	90,000	90,000	90,000
7 OTHER CASH INCOME:	1,231,979	469,073	484,947	497,019
8 TOTAL UNRESTRICTED CURRENT FUND CASH INCOME	3,809,869	3,109,284	3,200,664	3,267,876
9 LESS: TWO-YEAR COLLEGE ACTIVITY FEES	63,700	47,159	48,000	48,000
10 NET UNRESTRICTED CURRENT FUND CASH INCOME AVAILABLE FOR UNRESTRICTED EDUCATIONAL AND GENERAL OPERATIONS	\$3,746,169	\$3,062,125	\$3,152,664	\$3,219,876

FORM 09-3

Continuing Education	558,071
Community Education Tours	251,183
Grant O/H Transfers	243,144
Reimb. For Scholarships	50,000
Child Care Reimbursements	46,964
University Center Revenue	37,474
Misc. Bldg Rentals, FA Adm. Fee, Crop Rentals	45,143
	<hr/> 1,231,979

**APPROPRIATION ACT FORM - STATE TREASURY - AHECB RECOMMENDATION
2009-11 BIENNIUM**

FUND CWM0000

INSTITUTION ARKANSAS NORTHEASTERN COLLEGE

APPROPRIATION 537

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	7,634,988	7,743,916	7,743,916	7,800,000	7,971,000		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	1,753,501	1,787,672	1,787,672	1,800,000	1,839,000		
5 OPERATING EXPENSES	543,655	352,893	1,081,295	575,000	588,000		
6 CONFERENCE FEES & TRAVEL		23,395	23,395	25,000	25,000		
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)				25,000	25,000		
8 CAPITAL OUTLAY				25,000	25,000		
9 DATA PROCESSING SERVICES				2,336	1,124		
10 FUNDED DEPRECIATION							
11							
12							
13							
14 TOTAL APPROPRIATION	\$9,932,144	\$9,907,876	\$10,636,278	\$10,252,336	\$10,474,124	\$0	\$0
15 PRIOR YEAR FUND BALANCE**	7,087						
16 GENERAL REVENUE	8,518,586	8,546,510		8,890,970	9,112,758		
17 EDUCATIONAL EXCELLENCE TRUST FUNDS	738,530	708,271		708,271	708,271		
18 SPECIAL REVENUES * [WF2000]	660,620	653,095		653,095	653,095		
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS	7,321						
22 TOTAL INCOME	\$9,932,144	\$9,907,876		\$10,252,336	\$10,474,124	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

FORM 09-4

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

Line 21 Other State Treasury Funds - Tuition Adjustment \$7,321

**APPROPRIATION ACT FORM - STATE TREASURY - FLAT BUDGET
2009-11 BIENNIUM**

FUND CWM0000

INSTITUTION ARKANSAS NORTHEASTERN COLLEGE

APPROPRIATION 537

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	7,634,988	7,743,916	7,743,916	7,800,000	7,900,000		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	1,753,501	1,787,672	1,787,672	1,800,000	1,850,000		
5 OPERATING EXPENSES	543,655	352,893	1,081,295	300,000	157,476		
6 CONFERENCE FEES & TRAVEL		23,395	23,395	7,576	100		
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)				100	100		
8 CAPITAL OUTLAY				100	100		
9 DATA PROCESSING SERVICES				100	100		
10 FUNDED DEPRECIATION							
11							
12							
13							
14 TOTAL APPROPRIATION	\$9,932,144	\$9,907,876	\$10,636,278	\$9,907,876	\$9,907,876	\$0	\$0
15 PRIOR YEAR FUND BALANCE**	7,087						
16 GENERAL REVENUE	8,518,586	8,546,510		8,546,510	8,546,510		
17 EDUCATIONAL EXCELLENCE TRUST FUNDS	738,530	708,271		708,271	708,271		
18 SPECIAL REVENUES * [WF2000]	660,620	653,095		653,095	653,095		
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS	7,321						
22 TOTAL INCOME	\$9,932,144	\$9,907,876		\$9,907,876	\$9,907,876	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

FORM 09-4

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

Line 21 Other State Treasury Funds - Tuition Adjustment \$7,321

**APPROPRIATION ACT FORM - STATE TREASURY - 7% REDUCTION
2009-11 BIENNIUM**

FUND CWM0000

INSTITUTION ARKANSAS NORTHEASTERN COLLEGE

APPROPRIATION 537

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	7,634,988	7,743,916	7,743,916	7,709,220	7,709,220		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	1,753,501	1,787,672	1,787,672	1,600,000	1,600,000		
5 OPERATING EXPENSES	543,655	352,893	1,081,295	100	100		
6 CONFERENCE FEES & TRAVEL		23,395	23,395	100	100		
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY				100	100		
9 DATA PROCESSING SERVICES				100	100		
10 FUNDED DEPRECIATION							
11							
12							
13							
14 TOTAL APPROPRIATION	\$9,932,144	\$9,907,876	\$10,636,278	\$9,309,620	\$9,309,620	\$0	\$0
15 PRIOR YEAR FUND BALANCE**	7,087						
16 GENERAL REVENUE	8,518,586	8,546,510		7,948,254	7,948,254		
17 EDUCATIONAL EXCELLENCE TRUST FUNDS	738,530	708,271		708,271	708,271		
18 SPECIAL REVENUES * [WF2000]	660,620	653,095		653,095	653,095		
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS	7,321						
22 TOTAL INCOME	\$9,932,144	\$9,907,876		\$9,309,620	\$9,309,620	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

FORM 09-4

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

Line 21 Other State Treasury Funds - Tuition Adjustment \$7,321

**APPROPRIATION ACT FORM - CASH FUNDS
2009-11 BIENNIUM**

FUND 2130000

INSTITUTION ARKANSAS NORTHEASTERN COLLEGE

APPROPRIATION B04

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	303,164	4,184,227	4,184,227	3,000,000	3,000,000		
2 EXTRA HELP WAGES	476,263	4,203,000	4,203,000	3,000,000	3,000,000		
3 OVERTIME	5,099	28,000	28,000	28,000	28,000		
4 PERSONAL SERVICES MATCHING	332,242	3,355,032	3,355,032	3,000,000	3,000,000		
5 OPERATING EXPENSES	3,676,045	10,038,399	10,038,399	7,000,000	7,000,000		
6 CONFERENCE FEES & TRAVEL	446,198	824,319	824,319	800,000	800,000		
7 PROFESSIONAL FEES AND SERVICES	254,024	270,000	270,000	500,000	500,000		
8 DATA PROCESSING							
9 CAPITAL OUTLAY	649,248	2,165,000	2,165,000	2,000,000	2,000,000		
10 CAPITAL IMPROVEMENTS		1,600,000	1,600,000	4,000,000	4,000,000		
11 DEBT SERVICE		862,023	862,023	800,000	800,000		
12 FUND TRANSFERS, REFUNDS AND INVESTMENTS		470,000	470,000	400,000	400,000		
13 Promotional Items		150,000	150,000	150,000	150,000		
14							
15							
16 CONTINGENCY				3,622,000	3,822,000		
17 TOTAL APPROPRIATION	\$6,142,283	\$28,150,000	\$28,150,000	\$28,300,000	\$28,500,000	\$0	\$0
18 PRIOR YEAR FUND BALANCE***							
19 LOCAL CASH FUNDS	3,746,169	3,062,125		3,152,664	3,219,876		
20 FEDERAL CASH FUNDS	1,823,285	3,000,000		3,000,000	3,000,000		
21 OTHER CASH FUNDS	572,829	22,087,875		22,147,336	22,280,124		
22 TOTAL INCOME	\$6,142,283	\$28,150,000		\$28,300,000	\$28,500,000	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED 2007-09	REQUESTED	RECOMMENDED	LEGISLATIVE RECOMMENDATION	
				2009-11	2009-11	2009-11	2010-11
REGULAR POSITIONS	234	299	370	354	354		
TOBACCO POSITIONS	0	0	0	0	0		
EXTRA HELP **	168	205	521	521	521		

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

ARKANSAS NORTHEASTERN COLLEGE
 (NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2007-08				BUDGETED 2008-09			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *				0				0
2 RESIDENCE HALL				0				0
3 MARRIED STUDENT HOUSING				0				0
4 FACULTY HOUSING				0				0
5 FOOD SERVICES	37,130	48,062		(10,932)	44,000	47,430		(3,430)
6 COLLEGE UNION				0				0
7 BOOKSTORE	36,775			36,775	50,000			50,000
8 STUDENT ORGANIZATIONS AND PUBLICATIONS	6,501	34,703		(28,202)	0	47,159		(47,159)
9 STUDENT HEALTH SERVICES				0				0
10 OTHER				0				0
11 SUBTOTAL	\$80,406	\$82,765	\$0	(\$2,359)	\$94,000	\$94,589	\$0	(\$589)
12 ATHLETIC TRANSFER **				0				0
13 OTHER TRANSFERS ***	63,700			63,700	47,159			47,159
14 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$144,106	\$82,765	\$0	\$61,341	\$141,159	\$94,589	\$0	\$46,570

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM 09-6

** For both two-year and four-year institutions, line 12, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 13, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

***Line 13 Other Transfers: Food Service \$16,000 & Student Activity Clubs \$47,700

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

ARKANSAS NORTHEASTERN COLLEGE
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2007-08:						185
(As of November 1, 2007)						
Nonclassified Administrative Employees:						
White Male:	11	Black Male:	2	Other Male:	0	Total Male: <u>13</u>
White Female:	32	Black Female:	4	Other Female:	0	Total Female: <u>36</u>
Nonclassified Health Care Employees:						
White Male:	0	Black Male:	0	Other Male:	0	Total Male: <u>0</u>
White Female:	0	Black Female:	0	Other Female:	0	Total Female: <u>0</u>
Classified Employees:						
White Male:	13	Black Male:	0	Other Male:	1	Total Male: <u>14</u>
White Female:	35	Black Female:	10	Other Female:	1	Total Female: <u>46</u>
Faculty:						
White Male:	24	Black Male:	0	Other Male:	1	Total Male: <u>25</u>
White Female:	47	Black Female:	4	Other Female:	0	Total Female: <u>51</u>
Total White Male:	48	Total Black Male:	2	Total Other Male:	2	Total Male: <u>52</u>
Total White Female:	114	Total Black Female:	18	Total Other Female:	1	Total Female: <u>133</u>
Total White:	162	Total Black:	20	Total Other:	3	Total Employees: <u>185</u>
				Total Minority:	23	

FORM 09-8

DIVISION OF LEGISLATIVE AUDIT
AUDIT OF Arkansas Northeastern College
June 30, 2007

<i>Finding:</i>	No findings noted
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ARKANSAS STATE UNIVERSITY - BEEBE

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES

INTRODUCTION

Arkansas State University-Beebe has a long tradition of providing quality educational opportunities for the communities in this area. While we understand and appreciate our traditions, effective planning for the future is an essential element of a successful institution and requires the involvement of everyone in the university. We exist to serve our students and the community. The faculty and staff of all campuses of ASU-Beebe are committed to this goal. This commitment to providing high quality student services and a high quality education that meets the needs of individual students has resulted in our growth of 75% over the last decade. We are now one of the largest two-year colleges in the state and have an enrollment greater than five of Arkansas' four year universities.

As ASU-Beebe has grown, so has our vision. With campuses in Beebe, Heber Springs, Searcy, and our participation at the Little Rock Air Force Base Education Center, we are poised to improve our capability to serve our students and our communities. In addition to the strong traditions established on the Beebe campus, our programs at Little Rock Air Force Base have provided educational opportunities for thousands of military and military related students since 1965. The campus at Heber Springs is experiencing rapid growth and construction of the first phase of the permanent campus on the 249 acre Sugarloaf Mountain site has been completed. ASU-Searcy, *A Technical Campus of ASU-Beebe*, is working to become one of the leaders in technical and occupational education in the state. A master facilities plan has been developed for the Searcy campus, and additional facilities are now in the construction process. Combining the resources and capabilities of each of these campus locations allows the institution to serve our expanded geographic community as a full service, comprehensive community college. With the programs provided on the Beebe campus by ASU-Jonesboro, students have the opportunity to achieve their educational goals through the Master's degree level, providing a unique opportunity which we are pleased to share with the students and the community.

Our strategic plan is the result of efforts to take what we have learned in the past, review what has been accomplished, and lay the foundation for the future. We have gathered input from the university community to assess our strengths,

ARKANSAS STATE UNIVERSITY - BEEBE

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

weaknesses, opportunities, and challenges. Based on what we have learned, our Planning Team has formulated our institutional strategic plan to articulate the university's vision, core values, mission, and the related strategic goals that will guide us to achieve that vision in the coming years. Through an annual assessment of the strategic plan, results are reviewed by the Planning Team and changes are made to the plan. We are an institution that seeks to allocate our resources as efficiently and effectively as possible while maintaining our strengths, strengthening our weaknesses, and preparing for the challenges of the future.

Our efforts to integrate strategic planning into ASU-Beebe's decision-making processes has provided us the capability to plan for the future as we continue to make ASU-Beebe a caring institution committed to helping students prepare for the challenges of life. As we prepare our students for their own challenges, we are helping the State of Arkansas meet its challenge of producing a more educated workforce that can lead Arkansas in meeting the challenges of the twenty-first century.

Eugene McKay, PhD
Chancellor
Arkansas State University-Beebe

ARKANSAS STATE UNIVERSITY - BEEBE

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

ASU-Beebe's Mission Statement

Arkansas State University-Beebe is a public, open access, operationally separate institution of the Arkansas State University System. The major purpose of this institution is to provide affordable, comprehensive, and high quality instruction and service programs. University transfer and technical programs are available to students at the associate degree and certificate levels. In addition to the Beebe campus, instruction is also available at education centers at ASU-Searcy, ASU-Heber Springs, Little Rock Air Force Base, and through other off-campus locations. Instructional programs beyond the associate degree are available on the Beebe campus from ASU-Jonesboro.

An open admission policy encourages the enrollment of both traditional and nontraditional students. The institution recognizes the uniqueness of each student and provides support programs designed to assist students in determining and achieving their educational, personal, and occupational goals. By providing comprehensive training and technical support for business and industry, the institution contributes to the economic development of Arkansas. Cultural enrichment activities are provided to enhance the quality of life for the citizens of the local communities.

STRATEGIC GOALS

Arkansas State University – Beebe has established five strategic goals that evolve from the institution's mission statement and are formulated to meet that mission. As a comprehensive two-year institution, ASU-Beebe is committed to serve its multiple campus communities in Beebe, Heber Springs, Searcy, and the Little Rock Air Force Base. Each strategic goal includes sub-goals, which are structured to provide focus on specific elements of the mission. The basic elements included in the strategic goals are (1) college credit programs for transfer and direct workforce entry, (2) non-credit workforce training and community service education, (3) comprehensive services for students, (4) resource management to include physical, fiscal, technology, and human resources, and (5) expansion of partnerships involving teaching and

ARKANSAS STATE UNIVERSITY - BEEBE

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

service functions to better serve our students and communities. To ensure unity among campuses, the institution has a functional alignment structure regardless of campus location. Each functional Vice Chancellor has functional management responsibility for those areas at all campuses.

The five strategic goals and sub-goals are:

STRATEGIC GOAL ONE

- 1.0 To provide educational programs that will enable students to earn associate degrees and certificates, transfer to four-year institutions, or enter the workforce prepared to compete in a global economy.
 - 1.1 Through a core curriculum of courses, the student will acquire the basic foundation of learning.
 - 1.2 Students will be able to earn Associate of Arts degrees and Associate of Science degrees that enable them to transfer into and be academically prepared to succeed in baccalaureate degree programs at four-year universities.
 - 1.3 Students will be able to earn Associate of Applied Science degrees, technical certificates, or certificates of proficiency that enable them to enter the workforce with the skills and knowledge needed to function effectively.
 - 1.4 Through developmental education programs in reading, English, and mathematics, under-prepared students will gain the knowledge and skills to achieve success at the collegiate level.
 - 1.5 Students will have access to educational opportunities through off-campus and distance learning coursework.

ARKANSAS STATE UNIVERSITY - BEEBE

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

In the short term, we have focused our efforts on maintaining student-centered, high quality academic programs. All credit academic programs are supervised by the Vice Chancellor for Academic Affairs in order to provide consistency in all academic programs and ensure quality. We are continually expanding our distance learning function to serve students whose only access to education is through this medium. It is now possible for students to complete the coursework for an Associate of Arts degree entirely online. Organizational changes have also been made to provide more efficient management, consistency, and quality control for online courses. In an effort to improve access to education in our region, we have also begun a new Evening College program with a fixed course rotation that will allow students to obtain an associate's degree in two to three years while taking classes only in the evening.

A later section in this document provides information about selected programs that have been added to better serve the educational and occupational needs of students.

STRATEGIC GOAL TWO

- 2.0 To provide non-credit workforce training and community service education that will enable students to keep pace with business and industry requirements to further the economic development of Arkansas and improve the quality of life of the community.
 - 2.1 Economic development activities facilitate cooperation with industry, business, and the professions to provide training and technical support for business and industry.
 - 2.2 Non-credit courses meet the diverse needs of individuals within the service area.

A later section of this document provides information concerning workforce development initiatives.

ARKANSAS STATE UNIVERSITY - BEEBE

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

STRATEGIC GOAL THREE

- 3.0 To provide support services for students that will enable them to effectively achieve their personal, educational, and occupational goals.
 - 3.1 Students will receive assistance from academic support services such as the library, the learning center, the advising process, admissions, and records in achieving their educational goals.
 - 3.2 Students will receive assistance from student support services such as counseling, testing, career services, and student organizational clubs and activities in achieving their educational, personal, and occupational goals.
 - 3.3 Students will have access to financial aid programs and financial aid counseling that assist them with financing their education.
 - 3.4 Enrollment growth in the ASU-Beebe system will continue in order to provide educational opportunities for the service area while continuing the ASU-Beebe tradition of being a student-centered university.

The institution strongly believes support services for students are critical to student success. Recent organizational changes have been made to align services for students into a more efficient and effective structure. Our library resources and library services are very high quality and offer students access to essential learning services and resources. To serve students who need improvement in their academic skills, we have a Learning Center that we believe is one of the best in the state. Assessment data clearly indicate that students who use Learning Center resources perform at a noticeably higher level than those who choose not to use those resources. As a residential campus, ASU-Beebe offers students access to a student life environment that most two-year colleges in the state do not offer. A very capable financial aid staff offers extensive assistance to students who need help in financing their education. We have committed and will

ARKANSAS STATE UNIVERSITY - BEEBE

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

continue to commit funds and resources to these essential student services functions. Our dedication to student services has been one factor contributing to our growth of 75% over the last decade.

STRATEGIC GOAL FOUR

- 4.0 To provide physical, fiscal, and human resources at the level and quality to provide an environment to allow students, employees, and the community to achieve their goals.
 - 4.1 Faculty and staff maintain the highest level of skills and knowledge through ample development opportunities.
 - 4.2 Fiscal and human resources and facilities are efficiently managed to support the educational programs and services to enhance the University environment and workplace.
 - 4.3 Diversity will increase at all levels of the University.
 - 4.4 External funding, gifts, and grants will increase through the development office.
 - 4.5 Institutional technology will be modernized and implemented.
 - 4.6 The master facility plans for ASU-Beebe campuses will be implemented.

The institution's Planning Team reviews our progress on our goal of increasing diversity on at least an annual basis. In response to last year's assessment of diversity at the institution, the Planning Team developed a Diversity Action Plan with clearly delineated, measurable goals over the course of several months. This plan will be implemented during the 2008-2009 academic year and will hopefully result in an improvement in diversity throughout the institution. Master facility

ARKANSAS STATE UNIVERSITY - BEEBE

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

plans for the Beebe campus have been in place for several years. A new academic facility to house primarily science and mathematics departments was completed in late summer of 2007. This facility provides the capacity to expand offerings of science courses, which was previously one of our serious shortfalls. At the Heber Springs campus, the master facility plan has been completed, and construction of Phase I of that plan, an academic building and administrative support building, was completed during the Fall 2007 semester. Following the 2003 merger of Foothills Technical Institute with ASU-Beebe as ASU-Searcy, *A Technical Campus of ASU-Beebe*, a master facility plan for the Searcy campus was developed. The first phase of construction has been completed, and the second phase of construction should be completed in January of 2009. The implementation of the master facility plan will result in major functional improvements at the Searcy campus that will allow ASU-Searcy to become a leader in technical/occupational education in the state.

STRATEGIC GOAL FIVE

- 5.0 To expand the teaching and service functions of the university by forming new partnerships, especially the following:
 - 5.1 Partnerships with Arkansas State University-Jonesboro will be expanded by providing facilities and services for more bachelor and master degree programs at the Beebe campus.
 - 5.2 ASU-Beebe will work with Arkansas State University-Jonesboro to expand the bachelor and master degree programs offered on the Beebe campus to further improve our capability to serve our community.
 - 5.3 We will expand our partnerships with the public schools by providing distance learning and/or more concurrent enrollment opportunities for their students.

ARKANSAS STATE UNIVERSITY - BEEBE

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

- 5.4 We will expand our relationships with the public schools within the geographic service area by providing opportunities for area schools to increase their learning functions through on-campus exposure to university facilities and programs such as agriculture, technology, sciences, arts, and other expanded emphasis areas.
- 5.5 We will expand our relationships with the public schools within the geographic service area through participation and expansion of the Area Career Center.
- 5.6 Articulation agreements with our primary transfer institutions will be expanded to facilitate transfer of ASU-Beebe students to four-year institutions.
- 5.7 We will serve our community by providing adult basic education and general educational development opportunities for individuals who do not have a high school diploma.
- 5.8 We will serve our community by providing cultural programs and services which meet the needs of a diverse university and community.

ASU-Beebe is continuously seeking ways to serve its students and communities. With the bachelor's and master's level programs offered by ASU-Jonesboro on the Beebe campus, 959 students have been able to further their education who most likely would not have had that opportunity if those programs were not available on the Beebe campus. A very high percentage of students who participate in the ASU-Jonesboro programs on the Beebe campus are first generation college students. Of those students who have earned a degree, 419 (forty-seven percent) earned teaching degrees. ASU-Beebe's continual work in producing students who graduate with education degrees will assist Arkansas in combating its impending teacher shortage. Concurrent enrollment offered in area high schools provides students with the opportunity to earn college credit while still in high school, thus easing the transition between high school and college. We also encourage area public schools to arrange visits to the campuses, especially starting in the eighth and ninth grades. Exposure to a higher education environment starts the process of creating an education mindset that could lead students who otherwise do not have the family support and encouragement to pursue higher education. With partial support

ARKANSAS STATE UNIVERSITY - BEEBE

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

provided by a local financial institution, we provide a series of concerts and lectures designed to provide the area community with culturally diverse programs at minimal expense to area citizens who attend.

ARKANSAS STATE UNIVERSITY - BEEBE

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

SELECTED NEW PROGRAMS

Veterinary Technology Program

The Arkansas Veterinary Medical Association conducted a statewide assessment of ten potential locations to provide the Veterinary Technology program for Arkansas. After a careful selection process, the group selected ASU-Beebe as the best choice to conduct the program, which began in the Fall 2007 semester and is the only Veterinary Technology program in Arkansas.

Veterinary Technology is the science and art of providing professional support to veterinarians. The associate degree program requires 68 credit hours, with 19 hours of general education core courses and 49 hours of program-specific courses. A licensed veterinarian serves as the program director and faculty member. For off-campus clinical experiences, students are placed in progressive contemporary facilities that employ graduates of AVMA-accredited programs in veterinary technology and are credentialed as veterinary technicians to act as professional role models and mentors. The curriculum prepares graduates who will be fully capable of performing in a wide variety of professional roles within the veterinary field. Graduates will have attained entry-level skills needed to support companion animal, equine, food animal practice, biomedical research and other veterinary medical activities.

ASU-Beebe has recently completed construction on a state-of-the-art Veterinary Technology Building for the program at a cost of approximately \$1.4 million. Classes began in this new building in the Fall 2008 semester.

Arkansas State University – Beebe is currently seeking program accreditation through the Committee on Veterinary Technician Education and Activities (CVTEA), which is charged with the responsibility of providing and monitoring American Veterinary Medical Association (AVMA) accreditation of programs in veterinary technology.

ARKANSAS STATE UNIVERSITY - BEEBE

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

Gas Drilling Program

During the Spring 2008 semester, the Searcy Campus of ASU-Beebe began a program in Floor Hand Training for those seeking employment in the oil and gas industry in Arkansas. The program was made possible through a collaborative effort between ASU-Beebe and High Plains Technology Center, Chesapeake Energy, NOMAC, and Union Drilling, Inc.

The training is held Monday through Friday for forty hours and focuses on proper safety procedures while working in oil and gas drilling. The program uses a gas drilling simulator constructed for use in the program, and those who complete the training can make approximately \$50,000 a year working in the oil and gas industry. The employment made possible by this program stands to make a significant impact on the regional economy.

ASU-Beebe is currently able to offer the program free of charge for students with funds from a \$280,000 grant from the Arkansas Economic Development Commission for fiscal year 2008-2009. In order to continue to be able to offer this training to students free of charge or at a low cost, ASU-Beebe is currently exploring additional avenues of funding the program.

**INSTITUTION APPROPRIATION SUMMARY
2009-11 BIENNIUM**

INSTITUTION ARKANSAS STATE UNIVERSITY-BEEBE

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2007-08		2008-09		2008-09		2009-10				2010-11			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	13,889,307		14,083,118		14,361,372		18,151,797		15,210,978		18,619,937		15,723,230	
2 CASH	39,015,453		82,695,000		82,695,000		98,630,000		98,630,000		100,015,000		100,015,000	
3														
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$52,904,760	447	\$96,778,118	475	\$97,056,372	610	\$116,781,797	596	\$113,840,978	595	\$118,634,937	596	\$115,738,230	595
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*		0%		0%				0%		0%		0%		0%
13 GENERAL REVENUE	11,691,296	22%	11,953,724	12%			16,022,403	14%	13,081,584	11%	16,490,543	14%	13,593,836	12%
14 EDUCATIONAL EXCELLENCE TRUST FUND	1,473,231	3%	1,412,870	1%			1,412,870	1%	1,412,870	1%	1,412,870	1%	1,412,870	1%
15 WORKFORCE 2000	724,780	1%	716,524	1%			716,524	1%	716,524	1%	716,524	1%	716,524	1%
16 CASH FUNDS	39,015,453	74%	82,695,000	85%			98,630,000	84%	98,630,000	87%	100,015,000	84%	100,015,000	86%
17 SPECIAL REVENUES		0%		0%				0%		0%		0%		0%
18 FEDERAL FUNDS		0%		0%				0%		0%		0%		0%
19 TOBACCO SETTLEMENT FUNDS		0%		0%				0%		0%		0%		0%
20 OTHER FUNDS		0%		0%				0%		0%		0%		0%
21 TOTAL INCOME	\$52,904,760	100%	\$96,778,118	100%			\$116,781,797	100%	\$113,840,978	100%	\$118,634,937	100%	\$115,738,230	100%
22 EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$0		\$0		\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2008:	\$2,986,871
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$599,593
INVENTORIES	\$97,779
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	\$25,000
MAJOR CRITICAL SYSTEMS FAILURES	
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$2,767,600
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	\$195,061
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	(\$698,162)

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2009-11 BIENNIUM**

ARKANSAS STATE UNIVERSITY-BEEBE
NAME OF INSTITUTION

EXPENDITURE CATEGORIES	2007-08 ACTUAL	2008-09 BUDGETED *	2009-11 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS	
			2009-10 REQUEST / RECOMMENDATION	2010-11 REQUEST / RECOMMENDATION
1 INSTRUCTION	9,754,962	10,606,808	11,699,813	12,395,820
2 RESEARCH				
3 PUBLIC SERVICE				
4 ACADEMIC SUPPORT	1,275,072	1,387,990	1,531,019	1,622,097
5 STUDENT SERVICES	1,653,541	1,910,959	2,107,879	2,233,273
6 INSTITUTIONAL SUPPORT	4,067,372	4,171,979	4,601,891	4,846,562
7 PHYSICAL PLANT M&O	2,624,800	2,909,200	3,208,986	3,399,884
8 SCHOLARSHIPS & FELLOWSHIPS	946,288	965,315	1,015,315	1,065,315
9 ASU-JONESBORO PROGRAMS	209,189	209,189	209,189	209,189
10 OTHER	42,836			
11				
12				
13 MANDATORY TRANSFERS	2,082,418	2,181,419	2,011,586	1,990,676
14 AUXILIARY TRANSFERS	45,062	57,259		
15 NON-MANDATORY TRANSFERS	2,075,798	440,000	440,000	440,000
16 TOTAL UNREST. E&G EXP.	\$24,777,338	\$24,840,118	\$26,825,678	\$28,202,816
17 NET LOCAL INCOME	10,913,148	10,757,000	11,614,700	12,479,586
18 PRIOR YEAR BALANCE***				
STATE FUNDS:				
19 GENERAL REVENUE	11,691,296	11,953,724	13,081,584	13,593,836
20 EDUCATIONAL EXCELLENCE	1,473,231	1,412,870	1,412,870	1,412,870
21 WORKFORCE 2000	724,780	716,524	716,524	716,524
22 TOBACCO SETTLEMENT FUNDS				
23 OTHER STATE FUNDS **				
24 TOTAL SOURCES OF INCOME	\$24,802,455	\$24,840,118	\$26,825,678	\$28,202,816

FORM 09-2

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**ESTIMATED UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND
CASH INCOME FOR THE 2009-11 BIENNIUM**

ARKANSAS STATE UNIVERSITY-BEEBE
(NAME OF INSTITUTION)

SOURCE	ACTUAL 2007-08	BUDGETED 2008-09	ESTIMATED INCOME	
			2009-10	2010-11
1 TUITION AND MANDATORY FEES	8,204,616	8,351,500	9,019,620	9,741,190
2 ALL OTHER FEES	49,920	45,000	55,000	60,000
3 OFF-CAMPUS CREDIT	288,072	306,000	315,180	324,635
4 NON-CREDIT INSTRUCTION	44,525	54,000	56,700	59,535
5 ORGANIZED ACTIVITIES RELATED TO EDUCATIONAL DEPARTMENTS	20,852	12,000	25,000	28,000
6 INVESTMENT INCOME	264,272	220,000	225,000	250,000
7 OTHER CASH INCOME:	2,040,891	1,768,500	1,918,200	2,016,226
8 TOTAL UNRESTRICTED CURRENT FUND CASH INCOME	10,913,148	10,757,000	11,614,700	12,479,586
9 LESS: TWO-YEAR COLLEGE ACTIVITY FEES				
10 NET UNRESTRICTED CURRENT FUND CASH INCOME AVAILABLE FOR UNRESTRICTED EDUCATIONAL AND GENERAL OPERATIONS	\$10,913,148	\$10,757,000	\$11,614,700	\$12,479,586

FORM 09-3

**APPROPRIATION ACT FORM - STATE TREASURY - AHECB RECOMMENDATION
2009-11 BIENNIUM**

FUND CMA0000

INSTITUTION ARKANSAS STATE UNIVERSITY-BEEBE

APPROPRIATION 300

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	9,875,244	10,444,418	10,455,721	11,050,000	11,350,000		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	3,093,238	2,676,314	2,909,155	3,044,903	3,181,894		
5 OPERATING EXPENSES							
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY							
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION	130,000	140,000	140,000	150,000	160,000		
11							
12							
13							
14 TOTAL APPROPRIATION	\$13,098,482	\$13,260,732	\$13,504,876	\$14,244,903	\$14,691,894	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE	10,900,471	11,131,338		12,115,509	12,562,500		
17 EDUCATIONAL EXCELLENCE TRUST FUNDS	1,473,231	1,412,870		1,412,870	1,412,870		
18 SPECIAL REVENUES * [WF2000]	724,780	716,524		716,524	716,524		
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS							
22 TOTAL INCOME	\$13,098,482	\$13,260,732		\$14,244,903	\$14,691,894	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM 09-4

**APPROPRIATION ACT FORM - STATE TREASURY - AHECB RECOMMENDATION
2009-11 BIENNIUM**

FUND CMA0000

INSTITUTION ASUB-ARKANSAS STATE UNIVERSITY-HEBER SPRINGS

APPROPRIATION 145

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	754,279	769,364	769,364	850,000	900,000		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	36,546	53,022	87,132	116,075	131,336		
5 OPERATING EXPENSES							
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY							
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION							
11							
12							
13							
14 TOTAL APPROPRIATION	\$790,825	\$822,386	\$856,496	\$966,075	\$1,031,336	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE	790,825	822,386		966,075	1,031,336		
17 EDUCATIONAL EXCELLENCE TRUST FUND							
18 SPECIAL REVENUES * [WF2000]							
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS							
22 TOTAL INCOME	\$790,825	\$822,386		\$966,075	\$1,031,336	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY - FLAT BUDGET
2009-11 BIENNIUM**

FUND CMA0000

INSTITUTION ARKANSAS STATE UNIVERSITY-BEEBE

APPROPRIATION 300

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	9,875,244	10,444,418	10,455,721	10,444,418	10,444,418		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	3,093,238	2,676,314	2,909,155	2,676,314	2,676,314		
5 OPERATING EXPENSES							
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY							
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION	130,000	140,000	140,000	140,000	140,000		
11							
12							
13							
14 TOTAL APPROPRIATION	\$13,098,482	\$13,260,732	\$13,504,876	\$13,260,732	\$13,260,732	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE	10,900,471	11,131,338		11,131,338	11,131,338		
17 EDUCATIONAL EXCELLENCE TRUST FUNDS	1,473,231	1,412,870		1,412,870	1,412,870		
18 SPECIAL REVENUES * [WF2000]	724,780	716,524		716,524	716,524		
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS							
22 TOTAL INCOME	\$13,098,482	\$13,260,732		\$13,260,732	\$13,260,732	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM 09-4

**APPROPRIATION ACT FORM - STATE TREASURY - FLAT BUDGET
2009-11 BIENNIUM**

FUND CMA0000

INSTITUTION ASUB-ARKANSAS STATE UNIVERSITY-HEBER SPRINGS

APPROPRIATION 145

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	754,279	769,364	769,364	769,364	769,364		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	36,546	53,022	87,132	53,022	53,022		
5 OPERATING EXPENSES							
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY							
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION							
11							
12							
13							
14 TOTAL APPROPRIATION	\$790,825	\$822,386	\$856,496	\$822,386	\$822,386	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE	790,825	822,386		822,386	822,386		
17 EDUCATIONAL EXCELLENCE TRUST FUND							
18 SPECIAL REVENUES * [WF2000]							
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS							
22 TOTAL INCOME	\$790,825	\$822,386		\$822,386	\$822,386	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY - 7% REDUCTION
2009-11 BIENNIUM**

FUND CMA0000

INSTITUTION ARKANSAS STATE UNIVERSITY-BEEBE

APPROPRIATION 300

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	9,875,244	10,444,418	10,455,721	9,848,963	9,848,963		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	3,093,238	2,676,314	2,909,155	2,492,575	2,492,575		
5 OPERATING EXPENSES							
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY							
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION	130,000	140,000	140,000	140,000	140,000		
11							
12							
13							
14 TOTAL APPROPRIATION	\$13,098,482	\$13,260,732	\$13,504,876	\$12,481,538	\$12,481,538	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE	10,900,471	11,131,338		10,352,144	10,352,144		
17 EDUCATIONAL EXCELLENCE TRUST FUNDS	1,473,231	1,412,870		1,412,870	1,412,870		
18 SPECIAL REVENUES * [WF2000]	724,780	716,524		716,524	716,524		
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS							
22 TOTAL INCOME	\$13,098,482	\$13,260,732		\$12,481,538	\$12,481,538	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY - 7% REDUCTION
2009-11 BIENNIUM**

FUND CMA0000

INSTITUTION ASUB-ARKANSAS STATE UNIVERSITY-HEBER SPRINGS

APPROPRIATION 145

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	754,279	769,364	769,364	764,819	764,819		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	36,546	53,022	87,132				
5 OPERATING EXPENSES							
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY							
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION							
11							
12							
13							
14 TOTAL APPROPRIATION	\$790,825	\$822,386	\$856,496	\$764,819	\$764,819	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE	790,825	822,386		764,819	764,819		
17 EDUCATIONAL EXCELLENCE TRUST FUND							
18 SPECIAL REVENUES * [WF2000]							
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS							
22 TOTAL INCOME	\$790,825	\$822,386		\$764,819	\$764,819	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - CASH FUNDS
2009-11 BIENNIUM**

FUND 2060000

INSTITUTION ARKANSAS STATE UNIVERSITY-BEEBE

APPROPRIATION A71

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	2,624,296	5,496,960	5,496,960	3,000,000	3,500,000		
2 EXTRA HELP WAGES	419,291	800,000	800,000	600,000	650,000		
3 OVERTIME		15,000	15,000	15,000	15,000		
4 PERSONAL SERVICES MATCHING	566,099	2,560,600	2,560,600	1,750,000	2,000,000		
5 OPERATING EXPENSES	5,314,764	10,000,000	10,000,000	10,000,000	10,000,000		
6 CONFERENCE FEES & TRAVEL	229,073	375,000	375,000	400,000	450,000		
7 PROFESSIONAL FEES AND SERVICES	283,103	1,000,000	1,000,000	750,000	750,000		
8 DATA PROCESSING		50,000	50,000	50,000	50,000		
9 CAPITAL OUTLAY	183,739	1,500,000	1,500,000	750,000	750,000		
10 CAPITAL IMPROVEMENTS	9,819,000	26,876,840	26,876,840	5,000,000	5,000,000		
11 DEBT SERVICE	1,162,433	1,900,000	1,900,000	2,000,000	2,000,000		
12 FUND TRANSFERS, REFUNDS AND INVESTMENT	8,131,911	10,000,000	10,000,000	11,000,000	11,000,000		
13 PROMOTIONAL ITEMS	58,383	60,000	60,000	100,000	100,000		
14							
15							
16 CONTINGENCY				30,000,000	30,000,000		
17 TOTAL APPROPRIATION	\$28,792,092	\$60,634,400	\$60,634,400	\$65,415,000	\$66,265,000	\$0	\$0
18 PRIOR YEAR FUND BALANCE***							
19 LOCAL CASH FUNDS	28,792,092	60,634,400		65,415,000	66,265,000		
20 FEDERAL CASH FUNDS							
21 OTHER CASH FUNDS							
22 TOTAL INCOME	\$28,792,092	\$60,634,400		\$65,415,000	\$66,265,000	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED 2007-09	REQUESTED	RECOMMENDED	LEGISLATIVE RECOMMENDATION	
				2009-11	2009-11	2009-11	2010-11
REGULAR POSITIONS	392	410	519	505	504		
TOBACCO POSITIONS							
EXTRA HELP **	110	120	175	175	175		

FORM 09-5

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - CASH FUNDS
2009-11 BIENNIUM**

FUND 2060000

INSTITUTION ASUB-ARKANSAS STATE UNIVERSITY-HEBER SPRINGS

APPROPRIATION A43

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	285,482	1,540,400	1,540,400	1,500,000	1,500,000		
2 EXTRA HELP WAGES	72,414	170,000	170,000	200,000	200,000		
3 OVERTIME		10,000	10,000	10,000	10,000		
4 PERSONAL SERVICES MATCHING	215,490	520,200	520,200	750,000	750,000		
5 OPERATING EXPENSES	878,419	1,200,000	1,200,000	1,500,000	2,000,000		
6 CONFERENCE FEES & TRAVEL	9,621	50,000	50,000	100,000	125,000		
7 PROFESSIONAL FEES AND SERVICES	3,919	100,000	100,000	100,000	100,000		
8 DATA PROCESSING		25,000	25,000	25,000	25,000		
9 CAPITAL OUTLAY	50,594	250,000	250,000	250,000	250,000		
10 CAPITAL IMPROVEMENTS	7,655,000	14,865,000	14,865,000	5,000,000	5,000,000		
11 DEBT SERVICE	1,018,322	1,300,000	1,300,000	1,750,000	1,750,000		
12 FUND TRANSFERS, REFUNDS AND INVESTMENT	29,141	2,000,000	2,000,000	2,000,000	2,000,000		
13 PROMOTIONAL ITEMS	4,959	30,000	30,000	30,000	40,000		
14							
15							
16 CONTINGENCY				20,000,000	20,000,000		
17 TOTAL APPROPRIATION	\$10,223,361	\$22,060,600	\$22,060,600	\$33,215,000	\$33,750,000	\$0	\$0
18 PRIOR YEAR FUND BALANCE***							
19 LOCAL CASH FUNDS	10,223,361	22,060,600		33,215,000	33,750,000		
20 FEDERAL CASH FUNDS							
21 OTHER CASH FUNDS							
22 TOTAL INCOME	\$10,223,361	\$22,060,600		\$33,215,000	\$33,750,000	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED 2007-09	REQUESTED	RECOMMENDED	LEGISLATIVE RECOMMENDATION	
				2009-11	2009-11	2009-11	2010-11
REGULAR POSITIONS	55	65	91	91	91		
TOBACCO POSITIONS							
EXTRA HELP **	19	25	30	40	40		

FORM 09-5

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

ARKANSAS STATE UNIVERSITY-BEEBE
(NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2007-08				BUDGETED 2008-09			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *				0				0
2 RESIDENCE HALL	186,173	239,258		(53,085)	173,000	238,957		(65,957)
3 MARRIED STUDENT HOUSING				0				0
4 FACULTY HOUSING	31,925	18,528		13,397	31,500	15,000		16,500
5 FOOD SERVICES	364,425	448,969		(84,544)	363,000	468,204		(105,204)
6 COLLEGE UNION	195,869	77,254	103,763	14,852	191,600	77,625	190,000	(76,025)
7 BOOKSTORE	1,996,363	1,779,661		216,702	1,988,500	1,760,173		228,327
8 STUDENT ORGANIZATIONS AND PUBLICATIONS		62,584		(62,584)		81,900		(81,900)
9 STUDENT HEALTH SERVICES				0				0
10 VENDING	25,337			25,337	27,000			27,000
11 SUBTOTAL	\$2,800,092	\$2,626,254	\$103,763	\$70,075	\$2,774,600	\$2,641,859	\$190,000	(\$57,259)
12 ATHLETIC TRANSFER **				0				0
13 OTHER TRANSFERS ***	45,062			45,062	57,259			57,259
14 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$2,845,154	\$2,626,254	\$103,763	\$115,137	\$2,831,859	\$2,641,859	\$190,000	\$0

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM 09-6

** For both two-year and four-year institutions, line 12, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 13, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

ARKANSAS STATE UNIVERSITY-BEEBE
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2007-08:						331
(As of November 1, 2007)						
Nonclassified Administrative Employees:						
White Male:	33	Black Male:	0	Other Male:	1	Total Male: <u>34</u>
White Female:	45	Black Female:	2	Other Female:	1	Total Female: <u>48</u>
Nonclassified Health Care Employees:						
White Male:	0	Black Male:	0	Other Male:	0	Total Male: <u>0</u>
White Female:	0	Black Female:	0	Other Female:	0	Total Female: <u>0</u>
Classified Employees:						
White Male:	31	Black Male:	2	Other Male:	1	Total Male: <u>34</u>
White Female:	90	Black Female:	4	Other Female:	1	Total Female: <u>95</u>
Faculty:						
White Male:	58	Black Male:	1	Other Male:	1	Total Male: <u>60</u>
White Female:	59	Black Female:	0	Other Female:	1	Total Female: <u>60</u>
Total White Male:	122	Total Black Male:	3	Total Other Male:	3	Total Male: <u>128</u>
Total White Female:	194	Total Black Female:	6	Total Other Female:	3	Total Female: <u>203</u>
Total White:	316	Total Black:	9	Total Other:	6	Total Employees: <u>331</u>
				Total Minority:	15	

FORM 09-8

DIVISION OF LEGISLATIVE AUDIT
AUDIT OF Arkansas State University - Beebe
June 30, 2007

<i>Finding:</i>	No findings noted
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ARKANSAS STATE UNIVERSITY – MOUNTAIN HOME

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

MISSION

The mission of ASUMH is to LEAD through educational opportunities.

Lifelong Learning,
Enhanced Quality of Life,
Academic Accessibility, and
Diverse Experiences

GOALS AND OBJECTIVES FOR THE 2009-2011 BIENNIUM

Goal 1. Provide appropriate educational programs including diverse experiences for college credit to enable students to earn associate degrees and certificates, transfer to four-year institutions, enhance workforce skills and/or enter the workforce.

To attain this goal, the following objectives must be met:

- Develop new degrees and revamp other degrees and certificates as appropriate
- Convert non-credit offerings to credit offerings where appropriate
- Expand Funeral Science program into northwest Arkansas
- Hold at least two events annually that will expose students and faculty to global and cultural diversity
- Hold at least two events annually that will expose students and faculty to diverse experiences
- Develop transfer degree sheets and semester by semester degree sheets and integrate into advising process

ARKANSAS STATE UNIVERSITY – MOUNTAIN HOME

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

Goal 2. To provide affordable and relevant educational opportunities that will enable students to keep pace with business and industry requirements, advance economic development and improve the quality of life in the community.

To attain this goal, the following objectives must be met:

- Enhance and extend the impact of the Center for Continuing Education to address the unique needs of non-traditional students in the workforce
- Identify and eliminate workforce related barriers to enrollment
- Create new curricula and programs delivered at times and places convenient for working adults
- Cultivate mutually beneficial strategic partnerships and alliances with internal and external constituencies
- Expand distance learning opportunities
- Promote personal growth, wellness, and lifeline learning through classes, seminars, and workshops that are affordable, relevant, and responsive
- Explore new sources of non-tuition income

Goal 3. To expand support services and provide access for students so they may achieve their educational careers.

To attain this goal, the following objectives must be met:

- Develop a student services one-stop center
- Secure long-term funding for tutoring center
- Establish career and job placement services
- Add orientation programs to include evening session(s)
- Increase number of computers in staffed student computer lab, if needed

ARKANSAS STATE UNIVERSITY – MOUNTAIN HOME

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

- On an annual basis, maintain a student satisfaction rate of at least 80% for institutional programs and services from the students
- Obtain exercise equipment for student, faculty, and staff use
- Develop a plan for eating and drinking in buildings
- Establish a place for student computer access in the student center
- Establish and fill position for Health Sciences Coordinator
- Provide additional electronic books to support online and CVN classes
- Conduct consistent dynamic and objective review of website

Goal 4. To expand and improve physical and technological facilities and programs to provide educational opportunities.

To attain this goal, the following objectives must be met:

- Develop written equipment rotation schedule
- Upgrade computers and server to accommodate Vista format
- Obtain additional university vehicles and equipment needed for maintenance and equipment
- Build a Community Development Center
- Build a Health Sciences Building
- Purchase and implement scanning/imaging system for student files in Office of Admissions
- Increase campus-wide security measures

ARKANSAS STATE UNIVERSITY – MOUNTAIN HOME

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

Goal 5. To support the continued pursuit of excellence through educational opportunities for the staff and administration.

To attain this goal, the following objectives must be met:

- Require all staff and administration to have a professional development plan on file and updated annually by May for next academic year
- Develop evaluation process for concurrent and online offerings equivalent to on campus offerings
- Update faculty handbook and develop adjunct faculty handbook

Goal 6. To secure additional funding through contributions and grants development to improve educational opportunities.

To attain this goal, the following objectives must be met:

- Procure at least two endowments for new facilities and/or programs
- Apply for at least seven grants every fiscal year
- Obtain development software
- Develop new development programs and materials
- Implement “Moves Management” process using donor software

Goal 7. To provide accountability to students, employers, and the community for the fulfillment of our mission.

To attain this goal, the following objectives must be met:

ARKANSAS STATE UNIVERSITY – MOUNTAIN HOME

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

- Obtain continued accreditation from the Higher Learning Commission of the North Central Association of Colleges and Schools
- Meet annually with advisory groups for technical programs
- Report at least twice annually to external constituencies results of information gathered from research activities

Goal 8. To increase student enrollment, retention, and graduation rates.

To attain this goal, the following objectives must be met:

- Implement a targeted marketing plan
- Implement Retention Plan
- Continue to increase graduation rate
- Implement plan for student recruitment (Jim Black report)
- Continue to develop programs utilizing “stepping stone” approach for multiple levels of achievement/completion

Goal 9. To increase technological security measures.

To attain this goal, the following objectives must be met:

- Create an Acceptable Use Policy for Internet-Intranet-Extranet systems
- Create an Email Use Policy
- Create guidelines on usage of anti-virus programs
- Continue education programs for staff development on up-to-date security certifications and programs
- Install new network backbone with IDS (Intrusion Detection System) and monitoring software

**INSTITUTION APPROPRIATION SUMMARY
2009-11 BIENNIUM**

INSTITUTION ARKANSAS STATE UNIVERSITY - MOUNTAIN HOME

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2007-08		2008-09		2008-09		2009-10				2010-11			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	4,195,729		4,199,302		4,359,851		5,961,386		4,715,579		6,098,190		4,894,558	
2 CASH	3,281,086		32,870,000		32,870,000		38,530,000		38,530,000		38,602,000		38,602,000	
3														
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$7,476,815	136	\$37,069,302	137	\$37,229,851	159	\$44,491,386	159	\$43,245,579	159	\$44,700,190	159	\$43,496,558	159
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*		0%		0%				0%		0%		0%		0%
13 GENERAL REVENUE	3,451,081	43%	3,463,136	9%			5,225,220	12%	3,979,413	9%	5,362,024	12%	4,158,392	10%
14 EDUCATIONAL EXCELLENCE TRUST FUND		0%		0%				0%		0%		0%		0%
15 WORKFORCE 2000	744,648	9%	736,166	2%			736,166	2%	736,166	2%	736,166	2%	736,166	2%
16 CASH FUNDS	3,852,873	48%	32,870,000	89%			38,530,000	87%	38,530,000	89%	38,602,000	86%	38,602,000	89%
17 SPECIAL REVENUES		0%		0%				0%		0%		0%		0%
18 FEDERAL FUNDS		0%		0%				0%		0%		0%		0%
19 TOBACCO SETTLEMENT FUNDS		0%		0%				0%		0%		0%		0%
20 OTHER FUNDS		0%		0%				0%		0%		0%		0%
21 TOTAL INCOME	\$8,048,602	100%	\$37,069,302	100%			\$44,491,386	100%	\$43,245,579	100%	\$44,700,190	100%	\$43,496,558	100%
22 EXCESS (FUNDING)/APPROPRIATION	(\$571,787)		\$0				\$0		\$0		\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2008:	\$1,471,920
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$0
INVENTORIES	\$0
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	\$0
INSURANCE DEDUCTIBLES	\$100,000
MAJOR CRITICAL SYSTEMS FAILURES	\$300,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$790,000
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	\$100,000
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$181,920

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2009-11 BIENNIUM**

ARKANSAS STATE UNIVERSITY - MOUNTAIN HOME
NAME OF INSTITUTION

EXPENDITURE CATEGORIES	2007-08 ACTUAL	2008-09 BUDGETED *	2009-11 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS	
			2009-10 REQUEST / RECOMMENDATION	2010-11 REQUEST / RECOMMENDATION
1 INSTRUCTION	2,823,583	3,238,472	3,448,000	3,655,000
2 RESEARCH				
3 PUBLIC SERVICE				
4 ACADEMIC SUPPORT	422,817	431,008	449,579	455,000
5 STUDENT SERVICES	629,312	680,181	740,000	740,000
6 INSTITUTIONAL SUPPORT	1,653,250	1,715,014	1,700,000	1,705,000
7 PHYSICAL PLANT M&O	1,153,904	1,137,327	1,227,500	1,261,758
8 SCHOLARSHIPS & FELLOWSHIPS	107,036	188,000	160,000	167,800
9				
10				
11				
12				
13 MANDATORY TRANSFERS	686,780	970,000	980,000	980,000
14 AUXILIARY TRANSFERS	0			
15 NON-MANDATORY TRANSFERS	0			
16 TOTAL UNREST. E&G EXP.	\$7,476,682	\$8,360,002	\$8,705,079	\$8,964,558
17 NET LOCAL INCOME	3,852,873	4,160,700	3,989,500	4,070,000
18 PRIOR YEAR BALANCE***				
STATE FUNDS:				
19 GENERAL REVENUE	3,451,081	3,463,136	3,979,413	4,158,392
20 EDUCATIONAL EXCELLENCE				
21 WORKFORCE 2000	744,648	736,166	736,166	736,166
22 TOBACCO SETTLEMENT FUNDS				
23 OTHER STATE FUNDS **				
24 TOTAL SOURCES OF INCOME	\$8,048,602	\$8,360,002	\$8,705,079	\$8,964,558

FORM 09-2

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**ESTIMATED UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND
CASH INCOME FOR THE 2009-11 BIENNIUM**

ARKANSAS STATE UNIVERSITY - MOUNTAIN HOME
(NAME OF INSTITUTION)

SOURCE	ACTUAL 2007-08	BUDGETED 2008-09	ESTIMATED INCOME	
			2009-10	2010-11
1 TUITION AND MANDATORY FEES	2,193,552	2,411,000	2,460,000	2,510,000
2 ALL OTHER FEES		113,500	116,000	118,000
3 OFF-CAMPUS CREDIT				
4 NON-CREDIT INSTRUCTION	64,266	75,000	76,500	78,000
5 ORGANIZED ACTIVITIES RELATED TO EDUCATIONAL DEPARTMENTS				
6 INVESTMENT INCOME	46,309	50,000	50,000	51,000
7 OTHER CASH INCOME:	1,548,746	1,511,200	1,287,000	1,313,000
8 TOTAL UNRESTRICTED CURRENT FUND CASH INCOME	3,852,873	4,160,700	3,989,500	4,070,000
9 LESS: TWO-YEAR COLLEGE ACTIVITY FEES				
10 NET UNRESTRICTED CURRENT FUND CASH INCOME AVAILABLE FOR UNRESTRICTED EDUCATIONAL AND GENERAL OPERATIONS	\$3,852,873	\$4,160,700	\$3,989,500	\$4,070,000

FORM 09-3

Other Cash Income

- 1. Miscellaneous Income \$15,000
- 2. Rent Income \$1,200
- 3. Host Fee \$130,000
- 4. Local Tax \$1,040,000
- 5. Cash ASUJ \$75,000
- 6. Collections \$250,000
- Total \$1,511,200

**APPROPRIATION ACT FORM - STATE TREASURY - AHECB RECOMMENDATION
2009-11 BIENNIUM**

FUND CTH0000

INSTITUTION ARKANSAS STATE UNIVERSITY - MOUNTAIN HOME

APPROPRIATION 771

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	3,751,990	3,494,331	3,622,967	4,000,000	4,100,000		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	443,606	704,971	736,884	715,579	794,558		
5 OPERATING EXPENSES	134						
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY							
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION							
11							
12							
13							
14 TOTAL APPROPRIATION	\$4,195,730	\$4,199,302	\$4,359,851	\$4,715,579	\$4,894,558	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE	3,451,081	3,463,136		3,979,413	4,158,392		
17 EDUCATIONAL EXCELLENCE TRUST FUND							
18 SPECIAL REVENUES * [WF2000]	744,649	736,166		736,166	736,166		
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS							
22 TOTAL INCOME	\$4,195,730	\$4,199,302		\$4,715,579	\$4,894,558	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM 09-4

**APPROPRIATION ACT FORM - STATE TREASURY - FLAT BUDGET
2009-11 BIENNIUM**

FUND CTH0000

INSTITUTION ARKANSAS STATE UNIVERSITY - MOUNTAIN HOME

APPROPRIATION 771

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	3,751,990	3,494,331	3,622,967	3,494,331	3,494,331		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	443,606	704,971	736,884	704,971	704,971		
5 OPERATING EXPENSES	134						
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY							
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION							
11							
12							
13							
14 TOTAL APPROPRIATION	\$4,195,730	\$4,199,302	\$4,359,851	\$4,199,302	\$4,199,302	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE	3,451,081	3,463,136		3,463,136	3,463,136		
17 EDUCATIONAL EXCELLENCE TRUST FUND							
18 SPECIAL REVENUES * [WF2000]	744,649	736,166		736,166	736,166		
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS							
22 TOTAL INCOME	\$4,195,730	\$4,199,302		\$4,199,302	\$4,199,302	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM 09-4

**APPROPRIATION ACT FORM - STATE TREASURY - 7% REDUCTION
2009-11 BIENNIUM**

FUND CTH0000

INSTITUTION ARKANSAS STATE UNIVERSITY - MOUNTAIN HOME

APPROPRIATION 771

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	3,751,990	3,494,331	3,622,967	3,251,911	3,251,911		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	443,606	704,971	736,884	704,971	704,971		
5 OPERATING EXPENSES	134						
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY							
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION							
11							
12							
13							
14 TOTAL APPROPRIATION	\$4,195,730	\$4,199,302	\$4,359,851	\$3,956,882	\$3,956,882	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE	3,451,081	3,463,136		3,220,716	3,220,716		
17 EDUCATIONAL EXCELLENCE TRUST FUND							
18 SPECIAL REVENUES * [WF2000]	744,649	736,166		736,166	736,166		
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS							
22 TOTAL INCOME	\$4,195,730	\$4,199,302		\$3,956,882	\$3,956,882	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - CASH FUNDS
2009-11 BIENNIUM**

FUND 2820000

INSTITUTION ARKANSAS STATE UNIVERSITY - MOUNTAIN HOME

APPROPRIATION B80

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	117,039	2,080,800	2,080,800	3,000,000	3,060,000		
2 EXTRA HELP WAGES	95,960	200,000	200,000	300,000	300,000		
3 OVERTIME	0	10,000	10,000	10,000	10,000		
4 PERSONAL SERVICES MATCHING	628,530	1,540,400	1,540,400	600,000	612,000		
5 OPERATING EXPENSES	1,515,552	2,600,000	2,600,000	2,600,000	2,600,000		
6 CONFERENCE FEES & TRAVEL		140,000	140,000	100,000	100,000		
7 PROFESSIONAL FEES AND SERVICES		1,400,000	1,400,000	600,000	600,000		
8 DATA PROCESSING		0	0	0	0		
9 CAPITAL OUTLAY	130,189	700,000	700,000	700,000	700,000		
10 CAPITAL IMPROVEMENTS		21,178,800	21,178,800	10,000,000	10,000,000		
11 DEBT SERVICE	686,780	2,000,000	2,000,000	1,500,000	1,500,000		
12 FUND TRANSFERS, REFUNDS AND INVESTMENT	107,036	1,000,000	1,000,000	1,100,000	1,100,000		
13 PROMOTIONAL ITEMS		20,000	20,000	20,000	20,000		
14							
15							
16 CONTINGENCY				18,000,000	18,000,000		
17 TOTAL APPROPRIATION	\$3,281,086	\$32,870,000	\$32,870,000	\$38,530,000	\$38,602,000	\$0	\$0
18 PRIOR YEAR FUND BALANCE***							
19 LOCAL CASH FUNDS	3,593,205	3,910,700		3,989,500	4,070,000		
20 FEDERAL CASH FUNDS							
21 OTHER CASH FUNDS	259,668	28,959,300		34,540,500	34,532,000		
22 TOTAL INCOME	\$3,852,873	\$32,870,000		\$38,530,000	\$38,602,000	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	(\$571,787)	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED 2007-09	REQUESTED	RECOMMENDED	LEGISLATIVE RECOMMENDATION	
				2009-11	2009-11	2009-11	2010-11
REGULAR POSITIONS	152	154	159	159	159		
TOBACCO POSITIONS	0	0	0	0	0		
EXTRA HELP **	40	40	70	70	70		

FORM 09-5

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

ARKANSAS STATE UNIVERSITY - MOUNTAIN HOME
(NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2007-08				BUDGETED 2008-09			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *				0				0
2 RESIDENCE HALL				0				0
3 MARRIED STUDENT HOUSING				0				0
4 FACULTY HOUSING				0				0
5 FOOD SERVICES				0				0
6 COLLEGE UNION				0				0
7 BOOKSTORE				0	30,000	0	0	30,000
8 STUDENT ORGANIZATIONS AND PUBLICATIONS				0				0
9 STUDENT HEALTH SERVICES				0				0
10 OTHER				0				0
11 SUBTOTAL	\$0	\$0	\$0	\$0	\$30,000	\$0	\$0	\$30,000
12 ATHLETIC TRANSFER **				0				0
13 OTHER TRANSFERS ***				0				0
14 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$0	\$0	\$0	\$0	\$30,000	\$0	\$0	\$30,000

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM 09-6

** For both two-year and four-year institutions, line 12, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 13, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

ARKANSAS STATE UNIVERSITY - MOUNTAIN HOME
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2007-08:						<u>95</u>
(As of November 1, 2007)						
Nonclassified Administrative Employees:						
White Male:	<u>7</u>	Black Male:	<u> </u>	Other Male:	<u> </u>	Total Male: <u>7</u>
White Female:	<u>12</u>	Black Female:	<u> </u>	Other Female:	<u> </u>	Total Female: <u>12</u>
Nonclassified Health Care Employees:						
White Male:	<u>0</u>	Black Male:	<u> </u>	Other Male:	<u> </u>	Total Male: <u>0</u>
White Female:	<u>0</u>	Black Female:	<u> </u>	Other Female:	<u> </u>	Total Female: <u>0</u>
Classified Employees:						
White Male:	<u>16</u>	Black Male:	<u> </u>	Other Male:	<u> </u>	Total Male: <u>16</u>
White Female:	<u>23</u>	Black Female:	<u> </u>	Other Female:	<u> </u>	Total Female: <u>23</u>
Faculty:						
White Male:	<u>12</u>	Black Male:	<u> </u>	Other Male:	<u>1</u>	Total Male: <u>13</u>
White Female:	<u>23</u>	Black Female:	<u> </u>	Other Female:	<u>1</u>	Total Female: <u>24</u>
Total White Male:	<u>35</u>	Total Black Male:	<u>0</u>	Total Other Male:	<u>1</u>	Total Male: <u>36</u>
Total White Female:	<u>58</u>	Total Black Female:	<u>0</u>	Total Other Female:	<u>1</u>	Total Female: <u>59</u>
Total White:	<u>93</u>	Total Black:	<u>0</u>	Total Other:	<u>2</u>	Total Employees: <u>95</u>
				Total Minority:	<u>2</u>	

FORM 09-8

DIVISION OF LEGISLATIVE AUDIT
AUDIT OF Arkansas State University – Mountain Home
June 30, 2007

<i>Finding:</i>	No findings noted
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Arkansas State University-Newport

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

Introduction

Arkansas State University-Newport is a public, associate degree granting institution. This campus has experienced significant growth in recent years due primarily to the dedication and commitment of faculty and staff to the students and communities served. The faculty and staff strive to meet the educational needs of each student by providing the highest quality technical programs, transfer programs, and support services.

Arkansas State University-Newport contributes substantially to the economic development of the Northeast Arkansas region. It provides comprehensive training and technical support for businesses and industries within the region while also committed to the enhancement of the quality of life, cultural enrichment, and community spirit necessary for its success and the success of the people it serves.

Larry N. Williams, Chancellor

INSTITUTIONAL MISSION

Our Mission is to provide:

- Integrity of Programs and Services;
- Affordable Life Long Learning; and
- Enhanced Quality of Life

In the Diverse Community we serve.

Arkansas State University-Newport

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

INSTITUTIONAL STRATEGIC GOALS

- STRATEGIC GOAL 1: To provide an academic environment which will motivate and enable students from diverse backgrounds to achieve their educational goals.
- STRATEGIC GOAL 2: To promote institutional development which will attract new enrollment and retain quality students.
- STRATEGIC GOAL 3: To strengthen student commitments to life-long learning, career development and community leadership.
- STRATEGIC GOAL 4: To expand the role of the institution in the economic development of the Arkansas Delta Region.
- STRATEGIC GOAL 5: To strengthen collaboration and working partnerships with other education service providers, business and industry, employers, and community members.
- STRATEGIC GOAL 6: To secure additional funding for institutional development and advancement from state, local, and private sources.
- STRATEGIC GOAL 7: To expand and improve physical and technological facilities of the institution.
- STRATEGIC GOAL 8: To ensure integrity and quality in all areas of institutional performance.
- STRATEGIC GOAL 9: To provide continuous communication and accountability to the multiple constituency groups served.
-

Arkansas State University-Newport

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

PROGRAMS

Program 1. Credit Instruction

Credit instruction includes educational programs and academic coursework that enable students to pursue and achieve their goals while working toward the completion of their degree, program certification, accumulation of transfer credit hours or providing the educational foundation for their career.

Goal One: To provide academic coursework which leads to a degree, professional certification, and career development.

Objective 1.1

Academic coursework will be provided that will facilitate the completion of authorized degree programs and the articulation of the required general education core.

Strategy

Courses will be provided in a sequential timely manner that will foster academic success. The required general education core will be provided to build the foundation for academic success.

Objective 1.2

Academic courses will be provided that lead to professional certification, credentialing and career development opportunities.

Strategy

Courses will be provided that lead to the acquisition of technical certificates.

Arkansas State University-Newport

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

Academic courses will be provided that enhance and expend incumbent workforce knowledge foundations.

Program 2. Non-Credit Educational Support Activities and Economic Development

Non-credit educational support activities will include all support activities that capacitate students to attain their educational and career goals. Non-credit course work contributes to incumbent workforce training and economic development that strengthens the Arkansas Delta Region.

Goal Two: To provide non-credit programs which assist students in meeting their educational and career goals and strengthen area economic development.

Objective 2.1

Non-credit educational support activities that support the mission of the institution will be provided to assist students in obtaining their educational goals.

Strategy

Provide facilities, resources of a human and fiscal nature necessary to promote student success.

Objective 2.2

Provide non-credit courses that contribute to economic development and the knowledge base of the local work force.

Arkansas State University-Newport

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

Strategy

Increase offerings to and enrollments in workforce training programs.

Expand the role the college plays in economic development through workforce training.

**INSTITUTION APPROPRIATION SUMMARY
2009-11 BIENNIUM**

INSTITUTION ARKANSAS STATE UNIVERSITY-NEWPORT

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2007-08		2008-09		2008-09		2009-10				2010-11			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	4,244,026		4,072,296		4,448,028		9,191,967		7,896,258		9,405,112		8,139,179	
2 STATE TREASURY/ASU TC	2,976,970		2,979,035		2,998,098									
3 CASH	4,235,866		17,945,000		17,945,000		27,945,000		27,945,000		27,950,000		27,950,000	
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$11,456,862	165	\$24,996,331	246	\$25,391,126	279	\$37,136,967	288	\$35,841,258	283	\$37,355,112	288	\$36,089,179	283
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*		0%		0%				0%		0%		0%		0%
13 GENERAL REVENUE	5,939,777	52%	5,784,705	23%			7,925,341	21%	6,629,632	18%	8,138,486	22%	6,872,553	19%
14 EDUCATIONAL EXCELLENCE TRUST FUND		0%		0%				0%		0%		0%		0%
15 WORKFORCE 2000	1,281,219	11%	1,266,626	5%			1,266,626	3%	1,266,626	4%	1,266,626	3%	1,266,626	4%
16 CASH FUNDS	4,235,866	37%	17,945,000	72%			27,945,000	75%	27,945,000	78%	27,950,000	75%	27,950,000	77%
17 SPECIAL REVENUES		0%		0%				0%		0%		0%		0%
18 FEDERAL FUNDS		0%		0%				0%		0%		0%		0%
19 TOBACCO SETTLEMENT FUNDS		0%		0%				0%		0%		0%		0%
20 OTHER FUNDS		0%		0%				0%		0%		0%		0%
21 TOTAL INCOME	\$11,456,862	100%	\$24,996,331	100%			\$37,136,967	100%	\$35,841,258	100%	\$37,355,112	100%	\$36,089,179	100%
22 EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$0		\$0		\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2008:	\$3,082,504
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$140,979
INVENTORIES	
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	\$215,442
INSURANCE DEDUCTIBLES	\$11,500
MAJOR CRITICAL SYSTEMS FAILURES	\$150,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$1,240,039
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	\$120,000
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$1,204,544

*The State Treasury lines include data for Arkansas State University-Newport and the Arkansas State University Technical Center.
 *The Cash line summarizes combined increases for both Arkansas State University-Newport and Arkansas State University Technical Center.
 *The WF 2000 line includes both Arkansas State University-Newport and Arkansas State University Technical Center.
 *Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2009-11 BIENNIUM**

ARKANSAS STATE UNIVERSITY-NEWPORT
NAME OF INSTITUTION

EXPENDITURE CATEGORIES	2007-08 ACTUAL	2008-09 BUDGETED *	2009-11 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS	
			2009-10 REQUEST / RECOMMENDATION	2010-11 REQUEST / RECOMMENDATION
1 INSTRUCTION	3,849,405	3,485,139	6,231,549	6,341,934
2 RESEARCH				
3 PUBLIC SERVICE				
4 ACADEMIC SUPPORT	219,119	272,266	720,854	745,150
5 STUDENT SERVICES	513,545	548,695	956,308	987,558
6 INSTITUTIONAL SUPPORT	1,603,179	1,464,682	2,523,143	2,573,443
7 PHYSICAL PLANT M&O	739,781	709,234	1,162,704	1,190,944
8 SCHOLARSHIPS & FELLOWSHIPS	94,402	85,000	110,500	122,000
9				
10				
11				
12				
13 MANDATORY TRANSFERS				
14 AUXILIARY TRANSFERS				
15 NON-MANDATORY TRANSFERS	367,426	497,972	25,000	25,000
16 TOTAL UNREST. E&G EXP.	\$7,386,857	\$7,062,988	\$11,730,058	\$11,986,029
17 NET LOCAL INCOME	3,753,551	2,990,692	3,833,800	3,846,850
18 PRIOR YEAR BALANCE***				
STATE FUNDS:				
19 GENERAL REVENUE	3,616,596	3,452,012	6,629,632	6,872,553
20 EDUCATIONAL EXCELLENCE				
21 WORKFORCE 2000	627,430	620,284	1,266,626	1,266,626
22 TOBACCO SETTLEMENT FUNDS				
23 OTHER STATE FUNDS **	9,668			
24 TOTAL SOURCES OF INCOME	\$8,007,245	\$7,062,988	\$11,730,058	\$11,986,029

FORM 09-2

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Mineral Lease Funds

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2009-11 BIENNIUM**

ARKANSAS STATE UNIVERSITY TECHNICAL CENTER
NAME OF INSTITUTION

EXPENDITURE CATEGORIES	2007-08 ACTUAL	2008-09 BUDGETED *	2009-11 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS	
			2009-10 REQUEST / RECOMMENDATION	2010-11 REQUEST / RECOMMENDATION
1 INSTRUCTION	2,348,754	2,043,278		
2 RESEARCH				
3 PUBLIC SERVICE				
4 ACADEMIC SUPPORT		179,945		
5 STUDENT SERVICES	313,420	174,502		
6 INSTITUTIONAL SUPPORT	266,095	761,155		
7 PHYSICAL PLANT M&O	965,448	338,385		
8 SCHOLARSHIPS & FELLOWSHIPS		20,000		
9				
10				
11				
12				
13 MANDATORY TRANSFERS				
14 AUXILIARY TRANSFERS				
15 NON-MANDATORY TRANSFERS		242,587		
16 TOTAL UNREST. E&G EXP.	\$3,893,717	\$3,759,852	\$0	\$0
17 NET LOCAL INCOME	916,747	780,817		
18 PRIOR YEAR BALANCE***				
STATE FUNDS:				
19 GENERAL REVENUE	2,323,181	2,332,693		
20 EDUCATIONAL EXCELLENCE				
21 WORKFORCE 2000	653,789	646,342		
22 TOBACCO SETTLEMENT FUNDS				
23 OTHER STATE FUNDS **				
24 TOTAL SOURCES OF INCOME	\$3,893,717	\$3,759,852	\$0	\$0

FORM 09-2

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**ESTIMATED UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND
CASH INCOME FOR THE 2009-11 BIENNIUM**

ARKANSAS STATE UNIVERSITY-NEWPORT
(NAME OF INSTITUTION)

SOURCE	ACTUAL 2007-08	BUDGETED 2008-09	ESTIMATED INCOME	
			2009-10	2010-11
1 TUITION AND MANDATORY FEES	2,421,613	2,020,424	2,632,449	2,637,800
2 ALL OTHER FEES	128,246	41,568	67,851	68,000
3 OFF-CAMPUS CREDIT	209,027	215,000	215,000	217,000
4 NON-CREDIT INSTRUCTION	5,070	3,000	3,500	3,500
5 ORGANIZED ACTIVITIES RELATED TO EDUCATIONAL DEPARTMENTS			148,000	150,000
6 INVESTMENT INCOME	85,959	50,000	56,000	56,500
7 OTHER CASH INCOME:*	903,636	660,700	711,500	714,050
8 TOTAL UNRESTRICTED CURRENT FUND CASH INCOME	3,753,551	2,990,692	3,834,300	3,846,850
9 LESS: TWO-YEAR COLLEGE ACTIVITY FEES				
10 NET UNRESTRICTED CURRENT FUND CASH INCOME AVAILABLE FOR UNRESTRICTED EDUCATIONAL AND GENERAL OPERATIONS	\$3,753,551	\$2,990,692	\$3,834,300	\$3,846,850

*Other Cash Income includes local county sales tax revenue and misc. income.

FORM 09-3

**ESTIMATED UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND
CASH INCOME FOR THE 2009-11 BIENNIUM**

ARKANSAS STATE UNIVERSITY TECHNICAL CENTER _____
(NAME OF INSTITUTION)

SOURCE	ACTUAL 2007-08	BUDGETED 2008-09	ESTIMATED INCOME	
			2009-10	2010-11
1 TUITION AND MANDATORY FEES	679,376	578,585		
2 ALL OTHER FEES				
3 OFF-CAMPUS CREDIT				
4 NON-CREDIT INSTRUCTION				
5 ORGANIZED ACTIVITIES RELATED TO EDUCATIONAL DEPARTMENTS	147,151	146,794		
6 INVESTMENT INCOME	3,678	5,000		
7 OTHER CASH INCOME:*	86,542	50,438		
8 TOTAL UNRESTRICTED CURRENT FUND CASH INCOME	916,747	780,817		
9 LESS: TWO-YEAR COLLEGE ACTIVITY FEES				
10 NET UNRESTRICTED CURRENT FUND CASH INCOME AVAILABLE FOR UNRESTRICTED EDUCATIONAL AND GENERAL OPERATIONS	\$916,747	\$780,817		

* Miscellaneous Income

FORM 09-3

**APPROPRIATION ACT FORM - STATE TREASURY - AHECB RECOMMENDATION
2009-11 BIENNIUM**

FUND CMN0000

INSTITUTION ARKANSAS STATE UNIVERSITY-NEWPORT

APPROPRIATION 790

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	3,451,163	3,310,688	3,363,810	5,395,403	5,606,923		
2 EXTRA HELP WAGES				45,403	45,403		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	767,863	736,608	1,059,218	1,261,954	1,293,355		
5 OPERATING EXPENSES				1,168,498	1,168,498		
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY							
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION	25,000	25,000	25,000	25,000	25,000		
11							
12							
13							
14 TOTAL APPROPRIATION	\$4,244,026	\$4,072,296	\$4,448,028	\$7,896,258	\$8,139,179	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE	3,616,596	3,452,012		6,629,632	6,872,553		
17 EDUCATIONAL EXCELLENCE TRUST FUND							
18 SPECIAL REVENUES * [WF2000]	627,430	620,284		1,266,626	1,266,626		
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS	9,668						
22 TOTAL INCOME	\$4,253,694	\$4,072,296		\$7,896,258	\$8,139,179	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	-\$9,668	\$0		\$0	\$0	\$0	\$0

FORM 09-4

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

Note: Line 21 Other State Treasury Funds include Mineral Lease Funds

**APPROPRIATION ACT FORM - STATE TREASURY - FLAT BUDGET
2009-11 BIENNIUM**

FUND CMN0000

INSTITUTION ARKANSAS STATE UNIVERSITY-NEWPORT

APPROPRIATION 790

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	3,451,163	3,310,688	3,363,810	4,692,643	4,692,643		
2 EXTRA HELP WAGES				45,403	45,403		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	767,863	736,608	1,059,218	1,119,787	1,119,787		
5 OPERATING EXPENSES				1,168,498	1,168,498		
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY							
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION	25,000	25,000	25,000	25,000	25,000		
11							
12							
13							
14 TOTAL APPROPRIATION	\$4,244,026	\$4,072,296	\$4,448,028	\$7,051,331	\$7,051,331	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE	3,616,596	3,452,012		5,784,705	5,784,705		
17 EDUCATIONAL EXCELLENCE TRUST FUND							
18 SPECIAL REVENUES * [WF2000]	627,430	620,284		1,266,626	1,266,626		
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS	9,668						
22 TOTAL INCOME	\$4,253,694	\$4,072,296		\$7,051,331	\$7,051,331	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	-\$9,668	\$0		\$0	\$0	\$0	\$0

FORM 09-4

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

Note: Line 21 Other State Treasury Funds include Mineral Lease Funds

**APPROPRIATION ACT FORM - STATE TREASURY - 7% REDUCTION
2009-11 BIENNIUM**

FUND CMN0000

INSTITUTION ARKANSAS STATE UNIVERSITY-NEWPORT

APPROPRIATION 790

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	3,451,163	3,310,688	3,363,810	4,374,206	4,374,206		
2 EXTRA HELP WAGES				45,403	45,403		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	767,863	736,608	1,059,218	1,096,583	1,096,583		
5 OPERATING EXPENSES				1,105,209	1,105,209		
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY							
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION	25,000	25,000	25,000	25,000	25,000		
11							
12							
13							
14 TOTAL APPROPRIATION	\$4,244,026	\$4,072,296	\$4,448,028	\$6,646,401	\$6,646,401	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE	3,616,596	3,452,012		5,379,775	5,379,775		
17 EDUCATIONAL EXCELLENCE TRUST FUND							
18 SPECIAL REVENUES * [WF2000]	627,430	620,284		1,266,626	1,266,626		
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS	9,668						
22 TOTAL INCOME	\$4,253,694	\$4,072,296		\$6,646,401	\$6,646,401	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	-\$9,668	\$0		\$0	\$0	\$0	\$0

FORM 09-4

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

Note: Line 21 Other State Treasury Funds include Mineral Lease Funds

**APPROPRIATION ACT FORM - STATE TREASURY
2009-11 BIENNIUM**

FUND CKA0000

INSTITUTION ARKANSAS STATE UNIVERSITY TECHNICAL CENTER

APPROPRIATION 299

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	2,976,970	1,284,206	1,284,206	0	0		
2 EXTRA HELP WAGES		45,403	45,403	0	0		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING		383,179	383,179	0	0		
5 OPERATING EXPENSES		1,168,498	1,168,498	0	0		
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY		97,749	116,812				
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION							
11							
12							
13							
14 TOTAL APPROPRIATION	\$2,976,970	\$2,979,035	\$2,998,098	\$0	\$0	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE	2,323,181	2,332,693		0	0		
17 EDUCATIONAL EXCELLENCE TRUST FUND							
18 SPECIAL REVENUES * [WF2000]	653,789	646,342		0	0		
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS							
22 TOTAL INCOME	\$2,976,970	\$2,979,035		\$0	\$0	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM 09-4

**APPROPRIATION ACT FORM - CASH FUNDS
2009-11 BIENNIUM**

FUND 2290000

INSTITUTION ARKANSAS STATE UNIVERSITY-NEWPORT

APPROPRIATION B77

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	515,906	2,582,520	6,631,367	4,000,000	4,000,000		
2 EXTRA HELP WAGES	199,859	300,000	316,585	300,000	300,000		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	553,167	1,533,280	1,673,251	1,600,000	1,600,000		
5 OPERATING EXPENSES	2,428,188	4,050,200	4,477,039	3,000,000	3,000,000		
6 CONFERENCE FEES & TRAVEL	110,830	285,000	285,000	200,000	200,000		
7 PROFESSIONAL FEES AND SERVICES	14,712	300,000	1,350,770	400,000	400,000		
8 DATA PROCESSING							
9 CAPITAL OUTLAY	43,278	455,000	1,744,346	475,000	475,000		
10 CAPITAL IMPROVEMENTS		5,519,000	6,921,642	3,000,000	3,000,000		
11 DEBT SERVICE	367,426	700,000	700,000	600,000	600,000		
12 FUND TRANSFERS, REFUNDS AND INVESTMENTS		2,210,000	2,210,000	2,210,000	2,210,000		
13 PROMOTIONAL ITEMS	2,500	10,000	10,000	15,000	15,000		
14 MAINT-OPERATIONS			1,625,000				
15							
16 CONTINGENCY				12,145,000	12,150,000		
17 TOTAL APPROPRIATION	\$4,235,866	\$17,945,000	\$27,945,000	\$27,945,000	\$27,950,000	\$0	\$0
18 PRIOR YEAR FUND BALANCE***							
19 LOCAL CASH FUNDS	4,235,866	17,945,000		27,945,000	27,950,000		
20 FEDERAL CASH FUNDS							
21 OTHER CASH FUNDS							
22 TOTAL INCOME	\$4,235,866	\$17,945,000		\$27,945,000	\$27,950,000	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED 2007-09	REQUESTED	RECOMMENDED	LEGISLATIVE RECOMMENDATION	
				2009-11	2009-11	2009-11	2010-11
REGULAR POSITIONS	165	246	279	288	283		
TOBACCO POSITIONS							
EXTRA HELP **	40	40	40	60	60		

FORM 09-5

* The increase in the cash funds appropriations requested and the number of positions requested for the biennium is due to the transfer of the Arkansas State University Technical Center to Arkansas State University-Newport which was effective July 1, 2008.

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

ARKANSAS STATE UNIVERSITY-NEWPORT
 (NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2007-08				BUDGETED 2008-09			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *				0				0
2 RESIDENCE HALL				0				0
3 MARRIED STUDENT HOUSING				0				0
4 FACULTY HOUSING				0				0
5 FOOD SERVICES	2,513	119		2,394	1,900			1,900
6 COLLEGE UNION				0				0
7 BOOKSTORE	399,950	367,011		32,939	416,000	393,333		22,667
8 STUDENT ORGANIZATIONS AND PUBLICATIONS		2,629		(2,629)		2,500		(2,500)
9 STUDENT HEALTH SERVICES				0				0
10 OTHER *	10,284			10,284	9,000			9,000
11 SUBTOTAL	\$412,747	\$369,759	\$0	\$42,988	\$426,900	\$395,833	\$0	\$31,067
12 ATHLETIC TRANSFER **				0				0
13 OTHER TRANSFERS ***				0				0
14 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$412,747	\$369,759	\$0	\$42,988	\$426,900	\$395,833	\$0	\$31,067

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM 09-6

* Other: Vending Income

** For both two-year and four-year institutions, line 12, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 13, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

ARKANSAS STATE UNIVERSITY-NEWPORT
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2007-08:						<u>99</u>
(As of November 1, 2007)						
Nonclassified Administrative Employees:						
White Male:	<u>5</u>	Black Male:	<u> </u>	Other Male:	<u>1</u>	Total Male: <u>6</u>
White Female:	<u>10</u>	Black Female:	<u>1</u>	Other Female:	<u>0</u>	Total Female: <u>11</u>
Nonclassified Health Care Employees:						
White Male:	<u>0</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>0</u>
White Female:	<u>0</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>	Total Female: <u>0</u>
Classified Employees:						
White Male:	<u>10</u>	Black Male:	<u>1</u>	Other Male:	<u>0</u>	Total Male: <u>11</u>
White Female:	<u>23</u>	Black Female:	<u>3</u>	Other Female:	<u>0</u>	Total Female: <u>26</u>
Faculty:						
White Male:	<u>20</u>	Black Male:	<u>0</u>	Other Male:	<u>1</u>	Total Male: <u>21</u>
White Female:	<u>22</u>	Black Female:	<u>0</u>	Other Female:	<u>2</u>	Total Female: <u>24</u>
Total White Male:	<u>35</u>	Total Black Male:	<u>1</u>	Total Other Male:	<u>2</u>	Total Male: <u>38</u>
Total White Female:	<u>55</u>	Total Black Female:	<u>4</u>	Total Other Female:	<u>2</u>	Total Female: <u>61</u>
Total White:	<u>90</u>	Total Black:	<u>5</u>	Total Other:	<u>4</u>	Total Employees: <u>99</u>
				Total Minority:	<u>9</u>	

FORM 09-8

DIVISION OF LEGISLATIVE AUDIT
AUDIT OF Arkansas State University - Newport
June 30, 2007

<i>Finding:</i>	No findings noted
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BLACK RIVER TECHNICAL COLLEGE

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

PROGRAM GOALS

Credit Instruction

- To offer Freshman and Sophomore college transfer courses that are equivalent to and aligned with those in the four-year institutions without loss of credit to transferring students
- To provide faculty-based student advisement for college transfer students so that students transfer without loss of credit
- To provide faculty-based advisement for college transfer students so that the transfer occurs at the optimal point in the student's program of study, ensuring that the student can complete his/her degree without amassing "extra" or non-required credit hours
- To add CTE programs of study in emerging and high skill/high wage/high demand occupations, with emphasis in the Health Professions cluster
- To restructure existing CTE programs as appropriate to changing and/or emerging market demands
- To provide and to encourage the use of instructional and industry-based technological tools and to provide relevant staff development of corresponding teaching methodologies
- To continue the expansion of distance education options
- To provide multi-modal remedial education options
- To monitor and assess the persistence and success of students in remedial education courses
- To refine the assessment of Distance Education courses
- To continue scheduled program reviews and other assessment measures
- To support partnerships among educational institutions for providing programs of study through consortium agreements, as with the ARNEC Consortium
- To develop pathways for additional programs of study linking secondary-postsecondary students, patterned on the current pathways for students in Health Professions

BLACK RIVER TECHNICAL COLLEGE

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

- To add options for completion of additional entire degrees on the BRTC/Paragould campus
- Increase concurrent enrollment options available to high schools in the service area
- To further develop and implement elements of the REACH (Researching Early Arkansas Cultural History) Heritage Scholars program based on the restoration of two territorial-era structures in Randolph County

Non Credit Instruction

- To develop a regional approach to industrial training needs, including involvement in recruitment of business and industry
- To provide short-term training programs as requested by the community and its organizations
- To respond to the needs for re-training of dislocated workers
- To provide the resources of the college for economic development needs
- To bridge the generational “technological divide” through promotion and offering of technology classes for seniors
- To provide and promote Adult Education and ESL services as needed
- To offer lifelong learning opportunities through a wide array of classes and activities, such as Serendipity
- To continue in the growth in scope and size of the annual “kids’ College’
- To develop short-term summer study opportunities for youths, in such areas as Spanish, Computer Technology, Environmental Studies, and Economics
- To bring to the community a global perspective through such events and activities as the Holocaust Speakers series and other presentations

**INSTITUTION APPROPRIATION SUMMARY
2009-11 BIENNIUM**

INSTITUTION BLACK RIVER TECHNICAL COLLEGE

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2007-08		2008-09		2008-09		2009-10				2010-11			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	8,362,868		7,881,233		8,035,058		9,479,575		8,469,704		9,726,763		8,693,331	
2 CASH	5,547,808		5,563,400		23,615,000		23,500,000		23,500,000		23,500,000		23,500,000	
3														
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$13,910,676	196	\$13,444,633	202	\$31,650,058	221	\$32,979,575	224	\$31,969,704	223	\$33,226,763	224	\$32,193,331	223
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*	510,859	4%		0%				0%		0%		0%		0%
13 GENERAL REVENUE	5,797,098	42%	5,875,177	44%			7,473,519	23%	6,463,648	20%	7,720,707	23%	6,687,275	21%
14 EDUCATIONAL EXCELLENCE TRUST FUND		0%		0%				0%		0%		0%		0%
15 WORKFORCE 2000	2,029,169	15%	2,006,056	15%			2,006,056	6%	2,006,056	6%	2,006,056	6%	2,006,056	6%
16 CASH FUNDS	4,759,648	34%	4,713,400	35%			23,000,000	70%	23,000,000	72%	23,000,000	69%	23,000,000	71%
17 SPECIAL REVENUES		0%		0%				0%		0%		0%		0%
18 FEDERAL FUNDS	788,160	6%	850,000	6%			500,000	2%	500,000	2%	500,000	2%	500,000	2%
19 TOBACCO SETTLEMENT FUNDS		0%		0%				0%		0%		0%		0%
20 OTHER FUNDS	25,742	0%		0%				0%		0%		0%		0%
21 TOTAL INCOME	\$13,910,676	100%	\$13,444,633	100%			\$32,979,575	100%	\$31,969,704	100%	\$33,226,763	100%	\$32,193,331	100%
22 EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$0		\$0		\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2008:	\$4,269,694
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$271,912
INVENTORIES	\$200,139
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	\$0
INSURANCE DEDUCTIBLES	\$57,500
MAJOR CRITICAL SYSTEMS FAILURES	\$500,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$1,172,000
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	\$920,000
OTHER (FOOTNOTE BELOW)	\$803,623
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$344,520

*Other: (\$350,000 - Dirtwork, streets, and parking lot repair) plus \$453,623 - Unfunded Post-Employment Benefit for Health Insurance)

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*Line 20 Other Funds: M&R Proceeds; State Apprenticeship Funds

BLACK RIVER TECHNICAL COLLEGE

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

Student Support Services

- To disseminate financial aid information through various modes to ensure a high level of awareness among prospective students and their families
- To work with the Office of Development office in locating and establishing additional privately-funded scholarships
- To monitor closely student retention and to modify retention efforts accordingly
- To refine and expand new student Orientation according to ongoing assessment of needs
- To provide counselor-based student advisement for college transfer students so that students transfer without loss of credit
- To provide counselor-based advisement for college transfer students so that the transfer occurs at the optimal point in the student's program of study, ensuring that the student can complete his/her degree without amassing "extra" or non-required credit hours
- To assist in job-placement
- To disseminate Career Pathways information effectively and to implement and assess CPI services
- To ensure the process of registration and all related information-intake is performed with optimal ease of entry for the student

Institutional Support

- To seek and build on external funding opportunities to include
 - BRTC Foundation's annual Growing Strong Campaign
 - Annual Foundation Gala
 - Annual Pulaski Bank Foundation Golf Tournament
 - External Government and Privately-funded grants

BLACK RIVER TECHNICAL COLLEGE

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

- REACH funding (through Arkansas Natural and Cultural Resource Council, for example) for establishing a REACH Interpretive Center
- To continue to grow the BRTC Foundation through the strategic addition of members
- To engage key players (Board of Trustees, staff and Foundation members) in fundraising training, to include Planned Giving
- To continue to develop strong community ties through a pattern of serving the community with the college as a
 - Catalyst for economic development
 - Provider of educational and training needs
 - Source of service through the college's
 - Human Resources
 - Facilities and Equipment
 - Connections to additional resources in the region and state
- To engage in a new cycle of Strategic Planning in order to examine
 - Viability of existing programs of study
 - Projected new programming needs
 - Opportunities for restructuring of programs of study to incorporate greater Distance Education elements, thereby potentially creating new space without new construction
 - Projected facilities needs, including the already apparent need for expanded and/or renovated space for existing and future Allied Health programs and for Law Enforcement program expansions
 - Projected equipment and/or technology needs to enhance program and facility changes
 - Projected staffing needs commensurate with the preceding elements of the Strategic Plan outcomes
 - Projected funding streams and sources commensurate with the preceding elements of the Strategic Plan outcomes

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2009-11 BIENNIUM**

BLACK RIVER TECHNICAL COLLEGE
NAME OF INSTITUTION

EXPENDITURE CATEGORIES	2007-08 ACTUAL	2008-09 BUDGETED *	2009-11 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS	
			2009-10 REQUEST / RECOMMENDATION	2010-11 REQUEST / RECOMMENDATION
1 INSTRUCTION	5,049,694	4,829,714	5,271,200	5,426,810
2 RESEARCH				
3 PUBLIC SERVICE	487,203	483,539	507,715	533,102
4 ACADEMIC SUPPORT	489,444	474,208	497,918	522,814
5 STUDENT SERVICES	902,509	965,312	1,013,578	1,064,256
6 INSTITUTIONAL SUPPORT	1,711,134	1,487,846	1,562,238	1,640,350
7 PHYSICAL PLANT M&O	1,569,179	2,095,658	2,200,440	2,310,463
8 SCHOLARSHIPS & FELLOWSHIPS	485,267	600,000	700,000	700,000
9				
10				
11				
12				
13 MANDATORY TRANSFERS	211,179	223,000	223,000	223,000
14 AUXILIARY TRANSFERS				
15 NON-MANDATORY TRANSFERS	1,456,798	151,956	303,615	202,536
16 TOTAL UNREST. E&G EXP.	\$12,362,407	\$11,311,233	\$12,279,704	\$12,623,331
17 NET LOCAL INCOME	4,510,398	3,430,000	3,810,000	3,930,000
18 PRIOR YEAR BALANCE***				
STATE FUNDS:				
19 GENERAL REVENUE	5,797,098	5,875,177	6,463,648	6,687,275
20 EDUCATIONAL EXCELLENCE				
21 WORKFORCE 2000	2,029,169	2,006,056	2,006,056	2,006,056
22 TOBACCO SETTLEMENT FUNDS				
23 OTHER STATE FUNDS **	25,742			
24 TOTAL SOURCES OF INCOME	\$12,362,407	\$11,311,233	\$12,279,704	\$12,623,331

FORM 09-2

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

** Line 23 Other State Funds: M & R proceeds and State Apprenticeship funds

**ESTIMATED UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND
CASH INCOME FOR THE 2009-11 BIENNIUM**

BLACK RIVER TECHNICAL COLLEGE
(NAME OF INSTITUTION)

SOURCE	ACTUAL 2007-08	BUDGETED 2008-09	ESTIMATED INCOME	
			2009-10	2010-11
1 TUITION AND MANDATORY FEES	3,389,108	3,110,000	3,400,000	3,500,000
2 ALL OTHER FEES	41,730	40,000	50,000	60,000
3 OFF-CAMPUS CREDIT				
4 NON-CREDIT INSTRUCTION	376,900	100,000	150,000	155,000
5 ORGANIZED ACTIVITIES RELATED TO EDUCATIONAL DEPARTMENTS				
6 INVESTMENT INCOME	287,453	150,000	175,000	175,000
7 OTHER CASH INCOME:	415,207	30,000	35,000	40,000
8 TOTAL UNRESTRICTED CURRENT FUND CASH INCOME	4,510,398	3,430,000	3,810,000	3,930,000
9 LESS: TWO-YEAR COLLEGE ACTIVITY FEES				
10 NET UNRESTRICTED CURRENT FUND CASH INCOME AVAILABLE FOR UNRESTRICTED EDUCATIONAL AND GENERAL OPERATIONS	\$4,510,398	\$3,430,000	\$3,810,000	\$3,930,000

FORM 09-3

#7 Other cash income: Private gifts through the BRTC Foundation; VA & Pell reporting fees, RCDC rent, & Misc. income

**APPROPRIATION ACT FORM - STATE TREASURY - AHECB RECOMMENDATION
2009-11 BIENNIUM**

FUND CTB0000

INSTITUTION BLACK RIVER TECHNICAL COLLEGE

APPROPRIATION 703

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	4,998,935	5,000,177	5,132,856	5,250,185	5,407,690		
2 EXTRA HELP WAGES		91,229	150,000	100,000	100,000		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	1,633,457	1,500,000	1,542,016	1,732,561	1,784,538		
5 OPERATING EXPENSES	1,415,159	1,189,827	1,039,599	1,286,958	1,301,103		
6 CONFERENCE FEES & TRAVEL	18,409						
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)	34,658						
8 CAPITAL OUTLAY	262,250	100,000	170,587	100,000	100,000		
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION							
11							
12							
13							
14 TOTAL APPROPRIATION	\$8,362,868	\$7,881,233	\$8,035,058	\$8,469,704	\$8,693,331	\$0	\$0
15 PRIOR YEAR FUND BALANCE**	510,859						
16 GENERAL REVENUE	5,797,098	5,875,177		6,463,648	6,687,275		
17 EDUCATIONAL EXCELLENCE TRUST FUND							
18 SPECIAL REVENUES * [WF2000]	2,029,169	2,006,056		2,006,056	2,006,056		
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS	25,742						
22 TOTAL INCOME	\$8,362,868	\$7,881,233		\$8,469,704	\$8,693,331	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

FORM 09-4

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

#21: Other State Treasury Funds: M & R Proceeds; State Apprenticeship funds

**APPROPRIATION ACT FORM - STATE TREASURY - FLAT BUDGET
2009-11 BIENNIUM**

FUND CTB0000

INSTITUTION BLACK RIVER TECHNICAL COLLEGE

APPROPRIATION 703

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	4,998,935	5,000,177		5,000,177	5,000,177		
2 EXTRA HELP WAGES		91,229		91,229	91,229		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	1,633,457	1,500,000		1,500,000	1,500,000		
5 OPERATING EXPENSES	1,415,159	1,189,827		1,189,827	1,189,827		
6 CONFERENCE FEES & TRAVEL	18,409						
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)	34,658						
8 CAPITAL OUTLAY	262,250	100,000		100,000	100,000		
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION							
11							
12							
13							
14 TOTAL APPROPRIATION	\$8,362,868	\$7,881,233	\$0	\$7,881,233	\$7,881,233	\$0	\$0
15 PRIOR YEAR FUND BALANCE**	510,859						
16 GENERAL REVENUE	5,797,098	5,875,177		5,875,177	5,875,177		
17 EDUCATIONAL EXCELLENCE TRUST FUND							
18 SPECIAL REVENUES * [WF2000]	2,029,169	2,006,056		2,006,056	2,006,056		
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS	25,742						
22 TOTAL INCOME	\$8,362,868	\$7,881,233		\$7,881,233	\$7,881,233	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

FORM 09-4

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

#17: Other State Treasury Funds: M & R Proceeds; State Apprenticeship Funds

**APPROPRIATION ACT FORM - STATE TREASURY - 7% REDUCTION
2009-11 BIENNIUM**

FUND CTB0000

INSTITUTION BLACK RIVER TECHNICAL COLLEGE

APPROPRIATION 703

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	4,998,935	5,000,177	5,132,856	4,825,177	4,825,177		
2 EXTRA HELP WAGES		91,229	150,000	66,229	66,229		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	1,633,457	1,500,000	1,542,016	1,447,500	1,447,500		
5 OPERATING EXPENSES	1,415,159	1,189,827	1,039,599	1,089,827	1,089,827		
6 CONFERENCE FEES & TRAVEL	18,409						
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)	34,658						
8 CAPITAL OUTLAY	262,250	100,000	170,587	41,238	41,238		
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION							
11							
12							
13							
14 TOTAL APPROPRIATION	\$8,362,868	\$7,881,233	\$8,035,058	\$7,469,971	\$7,469,971	\$0	\$0
15 PRIOR YEAR FUND BALANCE**	510,859						
16 GENERAL REVENUE	5,797,098	5,875,177		5,463,915	5,463,915		
17 EDUCATIONAL EXCELLENCE TRUST FUND							
18 SPECIAL REVENUES * [WF2000]	2,029,169	2,006,056		2,006,056	2,006,056		
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS	25,742						
22 TOTAL INCOME	\$8,362,868	\$7,881,233		\$7,469,971	\$7,469,971	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

FORM 09-4

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

#17: Other State Treasury Funds: M & R Proceeds; State Apprenticeship Funds

**APPROPRIATION ACT FORM - CASH FUNDS
2009-11 BIENNium**

FUND 2750000

INSTITUTION BLACK RIVER TECHNICAL COLLEGE

APPROPRIATION B51

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	683,589	880,000	3,882,000	1,600,000	1,600,000		
2 EXTRA HELP WAGES	120,142	150,000	450,000	300,000	300,000		
3 OVERTIME			10,000	10,000	10,000		
4 PERSONAL SERVICES MATCHING	154,751	290,400	1,260,000	530,000	530,000		
5 OPERATING EXPENSES	2,268,329	3,000,000	6,500,000	3,000,000	3,000,000		
6 CONFERENCE FEES & TRAVEL	44,707	50,000	200,000	75,000	75,000		
7 PROFESSIONAL FEES AND SERVICES	111,307	200,000	700,000	250,000	250,000		
8 DATA PROCESSING			10,000	10,000	10,000		
9 CAPITAL OUTLAY	1,940,504	750,000	6,300,000	922,000	922,000		
10 CAPITAL IMPROVEMENTS			4,000,000	2,000,000	2,000,000		
11 DEBT SERVICE	210,839	223,000	223,000	223,000	223,000		
12 FUND TRANSFERS, REFUNDS AND INVESTMENTS			50,000	50,000	50,000		
13 PROMOTIONAL ITEMS	13,640	20,000	30,000	30,000	30,000		
14							
15							
16 CONTINGENCY				14,500,000	14,500,000		
17 TOTAL APPROPRIATION	\$5,547,808	\$5,563,400	\$23,615,000	\$23,500,000	\$23,500,000	\$0	\$0
18 PRIOR YEAR FUND BALANCE***							
19 LOCAL CASH FUNDS	3,419,293	3,213,400		4,500,000	4,500,000		
20 FEDERAL CASH FUNDS	788,160	850,000		500,000	500,000		
21 OTHER CASH FUNDS	1,340,355	1,500,000		18,500,000	18,500,000		
22 TOTAL INCOME	\$5,547,808	\$5,563,400		\$23,500,000	\$23,500,000	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED 2007-09	REQUESTED	RECOMMENDED	LEGISLATIVE RECOMMENDATION	
				2009-11	2009-11	2009-11	2010-11
REGULAR POSITIONS	196	202	221	224	223		
TOBACCO POSITIONS							
EXTRA HELP **	36	52	52	52	52		

*Other Cash Funds: Auxiliary, Adult Ed. & Economic Development/Incentive Funds

FORM 09-5

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

BLACK RIVER TECHNICAL COLLEGE
(NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2007-08				BUDGETED 2008-09			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *				0				0
2 RESIDENCE HALL				0				0
3 MARRIED STUDENT HOUSING				0				0
4 FACULTY HOUSING				0				0
5 FOOD SERVICES	34,449	33,136		1,313	40,000	35,000		5,000
6 COLLEGE UNION				0				0
7 BOOKSTORE	999,903	831,158		168,745	1,115,000	950,000		165,000
8 STUDENT ORGANIZATIONS AND PUBLICATIONS				0				0
9 STUDENT HEALTH SERVICES				0				0
10 OTHER				0				0
11 SUBTOTAL	\$1,034,352	\$864,294	\$0	\$170,058	\$1,155,000	\$985,000	\$0	\$170,000
12 ATHLETIC TRANSFER **				0				0
13 OTHER TRANSFERS ***				0				0
14 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$1,034,352	\$864,294	\$0	\$170,058	\$1,155,000	\$985,000	\$0	\$170,000

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM 09-6

** For both two-year and four-year institutions, line 12, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 13, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

BLACK RIVER TECHNICAL COLLEGE
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2007-08:						<u>168</u>
(As of November 1, 2007)						
Nonclassified Administrative Employees:						
White Male:	<u>7</u>	Black Male:	<u> </u>	Other Male:	<u> </u>	Total Male: <u>7</u>
White Female:	<u>16</u>	Black Female:	<u> </u>	Other Female:	<u> </u>	Total Female: <u>16</u>
Nonclassified Health Care Employees:						
White Male:	<u> </u>	Black Male:	<u> </u>	Other Male:	<u> </u>	Total Male: <u>0</u>
White Female:	<u> </u>	Black Female:	<u> </u>	Other Female:	<u> </u>	Total Female: <u>0</u>
Classified Employees:						
White Male:	<u>14</u>	Black Male:	<u> </u>	Other Male:	<u> </u>	Total Male: <u>14</u>
White Female:	<u>40</u>	Black Female:	<u> </u>	Other Female:	<u> </u>	Total Female: <u>40</u>
Faculty:						
White Male:	<u>31</u>	Black Male:	<u> </u>	Other Male:	<u> </u>	Total Male: <u>31</u>
White Female:	<u>60</u>	Black Female:	<u> </u>	Other Female:	<u> </u>	Total Female: <u>60</u>
Total White Male:	<u>52</u>	Total Black Male:	<u>0</u>	Total Other Male:	<u>0</u>	Total Male: <u>52</u>
Total White Female:	<u>116</u>	Total Black Female:	<u>0</u>	Total Other Female:	<u>0</u>	Total Female: <u>116</u>
Total White:	<u>168</u>	Total Black:	<u>0</u>	Total Other:	<u>0</u>	Total Employees: <u>168</u>
				Total Minority:	<u>0</u>	

FORM 09-8

DIVISION OF LEGISLATIVE AUDIT
AUDIT OF Black River Technical College
June 30, 2007

<i>Finding:</i>	No findings noted
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COSSATOT COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

I. Institutional Mission Statement

CCCUA, a public institution of higher learning is dedicated to serving the needs of the citizens of Southwest Arkansas by offering the highest quality programs in the most accessible manner.

II. Institutional Goal

To strengthen institutional quality through programs/courses of excellence for Credit and Non-credit offered to all members of the communities in the service area.

III. Programs and Program Definitions

Program 1. Credit Programs: Credit means any course, series of courses, workshops, apprenticeships, internships work-related training experiences that lead to college credit being recorded on an official transcript.

Goal 1: To improve the Quality of Teaching

Objective 1 – Improve teaching through outcomes-based curricula process

- * Objective 1 Strategies: Provide professional development for faculty in developing outcomes-based curricula
- * Objective 1 Strategies: Continue to develop a variety of means to measure outcomes
- * Objective 1 Strategies: Provide professional development for faculty on use of new technology and/or instructional methods
- * Objective 1 Strategies: Research and write grant proposals to secure funding for new technology, equipment, and professional development

COSSATOT COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

- * Objective 1 Strategies: Upgrade and provide instructional equipment that meets the needs for teaching and learning for the faculty and students

Goal 2: Improve methods of delivering credit programs.

Objective 1 – Upgrade technology, equipment and instructional strategies for quality teaching in credit programs.

- * Objective 1 Strategies: Obtain quality input from selected community leaders, business, health, and industry representatives in reference to training needs reflective of objective 1.
- * Objectives Strategies: Repair, renovate, and improve upon present facilities to meet the learning and safety needs of the students.

Goal 3: Increase the number of degree programs offered for credit.

Objective 1 - Create new curricula that addresses the needs of students, businesses and industries, and community organizations.

- * Objective 1 Strategies: Develop curricula in various aspects of Agriculture production and business careers.
- * Objective 1 Strategies: Develop agricultural related to healthcare programs that are in need in the region and/or state.
- * Objective 1 Strategies: Develop curricula in the field of high technology needed by area industries and/or the state.
- * Objective 1 Strategies: Develop curricula in the field of personnel services that are needed in the state/region.

COSSATOT COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

Program 2. Non-Credit Programs: Non-Credit means any course, series of courses, workshops, internships work-related training experiences that will not be recorded on an official transcript.

Goal 1: To increase the number of non-credit programs

Objective 1 – Provide educational services to business/industry employees not currently being served

* Objective 1 Strategies: Provide professional development for faculty and trainers who teach in the area of non-credit in subject areas that are deemed necessary by the community

* Objective 1 Strategies: Participate in state level budgeting processes for non-credit programs related to workforce development

Objective 2 – Increase participants in non-credit programs.

* Objective 2 Strategies: Provide professional development to faculty in marketing and recruitment of non-credit students

* Objective 2 Strategies: Establish additional contacts with regional Business and Industries

Goal 2: Improve methods of delivering non-credit programs.

Objective 1 – Upgrade technology, equipment and instructional strategies for quality teaching in non-credit programs.

* Objective 1 Strategies: Upgrade, renovate, improve upon facilities that meet the needs and safety requirements of our students and staff.

**INSTITUTION APPROPRIATION SUMMARY
2009-11 BIENNIUM**

INSTITUTION COSSATOT COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2007-08		2008-09		2008-09		2009-10				2010-11			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	4,432,711		4,425,906		4,571,302		6,156,111		4,943,084		6,304,770		5,118,101	
2 CASH	7,031,029		8,017,960		16,165,000		18,017,061		18,017,061		20,417,915		20,417,915	
3														
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$11,463,740	156	\$12,443,866	156	\$20,736,302	183	\$24,173,172	216	\$22,960,145	203	\$26,722,685	216	\$25,536,016	203
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*		0%	146,994	1%				0%		0%		0%		0%
13 GENERAL REVENUE	3,206,587	28%	3,219,403	26%			4,949,608	20%	3,736,581	16%	5,098,267	19%	3,911,598	15%
14 EDUCATIONAL EXCELLENCE TRUST FUND		0%		0%				0%		0%		0%		0%
15 WORKFORCE 2000	1,220,404	11%	1,206,503	10%			1,206,503	5%	1,206,503	5%	1,206,503	5%	1,206,503	5%
16 CASH FUNDS	4,130,449	36%	4,370,966	35%			13,817,061	57%	13,817,061	60%	15,517,915	58%	15,517,915	61%
17 SPECIAL REVENUES		0%		0%				0%		0%		0%		0%
18 FEDERAL FUNDS	2,900,580	25%	3,500,000	28%			4,200,000	17%	4,200,000	18%	4,900,000	18%	4,900,000	19%
19 TOBACCO SETTLEMENT FUNDS		0%		0%				0%		0%		0%		0%
20 OTHER FUNDS	5,720	0%		0%				0%		0%		0%		0%
21 TOTAL INCOME	\$11,463,740	100%	\$12,443,866	100%			\$24,173,172	100%	\$22,960,145	100%	\$26,722,685	100%	\$25,536,016	100%
22 EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$0		\$0		\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2008:	\$1,060,205
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$285,113
INVENTORIES	\$0
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	\$86,332
INSURANCE DEDUCTIBLES	\$50,000
MAJOR CRITICAL SYSTEMS FAILURES	\$250,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$901,237
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	\$0
OTHER (FOOTNOTE BELOW)	\$0
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	(\$512,477)

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**Other funds are Tuition Adjustment Funds.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2009-11 BIENNIUM**

COSSATOT COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS
NAME OF INSTITUTION

EXPENDITURE CATEGORIES	2007-08 ACTUAL	2008-09 BUDGETED *	2009-11 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS	
			2009-10 REQUEST / RECOMMENDATION	2010-11 REQUEST / RECOMMENDATION
1 INSTRUCTION	2,853,848	2,902,444	3,217,550	3,379,944
2 RESEARCH				
3 PUBLIC SERVICE	13,809		35,000	45,000
4 ACADEMIC SUPPORT	1,069,726	1,174,296	1,268,011	1,294,661
5 STUDENT SERVICES	776,089	918,758	989,696	1,012,931
6 INSTITUTIONAL SUPPORT	1,335,149	1,468,185	1,591,592	1,618,674
7 PHYSICAL PLANT M&O	939,691	964,292	1,039,435	1,065,791
8 SCHOLARSHIPS & FELLOWSHIPS	19,915	25,000	30,000	35,000
9				
10				
11				
12				
13 MANDATORY TRANSFERS	241,085	460,224	650,000	750,000
14 AUXILIARY TRANSFERS				
15 NON-MANDATORY TRANSFERS	494,240			
16 TOTAL UNREST. E&G EXP.	\$7,743,552	\$7,913,199	\$8,821,284	\$9,202,001
17 NET LOCAL INCOME	3,310,841	3,341,099	3,878,200	4,083,900
18 PRIOR YEAR BALANCE***		146,994		
STATE FUNDS:				
19 GENERAL REVENUE	3,206,587	3,219,403	3,736,581	3,911,598
20 EDUCATIONAL EXCELLENCE				
21 WORKFORCE 2000	1,220,404	1,206,503	1,206,503	1,206,503
22 TOBACCO SETTLEMENT FUNDS				
23 OTHER STATE FUNDS **	5,720			
24 TOTAL SOURCES OF INCOME	\$7,743,552	\$7,913,999	\$8,821,284	\$9,202,001

FORM 09-2

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**Other State Funds are Tuition Adjustment Funds.

**ESTIMATED UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND
CASH INCOME FOR THE 2009-11 BIENNIUM**

COSSATOT COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS
(NAME OF INSTITUTION)

SOURCE	ACTUAL 2007-08	BUDGETED 2008-09	ESTIMATED INCOME	
			2009-10	2010-11
1 TUITION AND MANDATORY FEES	1,630,400	1,697,500	2,155,000	2,330,000
2 ALL OTHER FEES	169,658	175,000	185,000	197,000
3 OFF-CAMPUS CREDIT				
4 NON-CREDIT INSTRUCTION	88,912	65,000	90,000	95,000
5 ORGANIZED ACTIVITIES RELATED TO EDUCATIONAL DEPARTMENTS				
6 INVESTMENT INCOME	62,226	45,000	48,000	51,000
7 OTHER CASH INCOME:	1,363,867	1,363,099	1,405,000	1,416,000
8 TOTAL UNRESTRICTED CURRENT FUND CASH INCOME	3,315,063	3,345,599	3,883,000	4,089,000
9 LESS: TWO-YEAR COLLEGE ACTIVITY FEES	4,222	4,500	4,800	5,100
10 NET UNRESTRICTED CURRENT FUND CASH INCOME AVAILABLE FOR UNRESTRICTED EDUCATIONAL AND GENERAL OPERATIONS	\$3,310,841	\$3,341,099	\$3,878,200	\$4,083,900

FORM 09-3

**Other Cash Income is grants and contracts other than Federal and Miscellaneous Income, such as rent, etc.

**APPROPRIATION ACT FORM - STATE TREASURY - AHECB RECOMMENDATION
2009-11 BIENNIUM**

FUND CTC0000

INSTITUTION COSSATOT COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS

705

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	2,635,201	2,578,529	2,783,581	3,024,088	3,140,292		
2 EXTRA HELP WAGES	99,709	102,016	135,691	149,762	153,133		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	887,304	896,087	778,759	902,122	928,674		
5 OPERATING EXPENSES	810,497	849,274	873,271	851,486	876,549		
6 CONFERENCE FEES & TRAVEL				15,626	19,453		
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY							
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION							
11							
12							
13							
14 TOTAL APPROPRIATION	\$4,432,711	\$4,425,906	\$4,571,302	\$4,943,084	\$5,118,101	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE	3,206,587	3,219,403		3,736,581	3,911,598		
17 EDUCATIONAL EXCELLENCE TRUST FUND							
18 SPECIAL REVENUES * [WF2000]	1,220,404	1,206,503		1,206,503	1,206,503		
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS	5,720						
22 TOTAL INCOME	\$4,432,711	\$4,425,906		\$4,943,084	\$5,118,101	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

FORM 09-4

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**Other State Treasury Funds are Tuition Adjustment Funds.

**APPROPRIATION ACT FORM - STATE TREASURY - FLAT BUDGET
2009-11 BIENNIUM**

FUND CTC0000

INSTITUTION COSSATOT COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS

705

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	2,635,201	2,578,529	2,783,581	2,777,536	2,777,536		
2 EXTRA HELP WAGES	99,709	102,016	135,691	129,762	129,762		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	887,304	896,087	778,759	817,122	817,122		
5 OPERATING EXPENSES	810,497	849,274	873,271	701,486	701,486		
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY							
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION							
11							
12							
13							
14 TOTAL APPROPRIATION	\$4,432,711	\$4,425,906	\$4,571,302	\$4,425,906	\$4,425,906	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE	3,206,587	3,219,403		3,219,403	3,219,403		
17 EDUCATIONAL EXCELLENCE TRUST FUND							
18 SPECIAL REVENUES * [WF2000]	1,220,404	1,206,503		1,206,503	1,206,503		
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS	5,720						
22 TOTAL INCOME	\$4,432,711	\$4,425,906		\$4,425,906	\$4,425,906	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

FORM 09-4

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**Other State Treasury Funds are Tuition Adjustment Funds.

**APPROPRIATION ACT FORM - STATE TREASURY - 7% REDUCTION
2009-11 BIENNIUM**

FUND CTC0000

INSTITUTION COSSATOT COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS

705

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	2,635,201	2,578,529	2,783,581	2,777,536	2,777,536		
2 EXTRA HELP WAGES	99,709	102,016	135,691	129,404	129,404		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	887,304	896,087	778,759	717,122	717,122		
5 OPERATING EXPENSES	810,497	849,274	873,271	576,486	576,486		
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY							
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION							
11							
12							
13							
14 TOTAL APPROPRIATION	\$4,432,711	\$4,425,906	\$4,571,302	\$4,200,548	\$4,200,548	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE	3,206,587	3,219,403		2,994,045	2,994,045		
17 EDUCATIONAL EXCELLENCE TRUST FUND							
18 SPECIAL REVENUES * [WF2000]	1,220,404	1,206,503		1,206,503	1,206,503		
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS	5,720						
22 TOTAL INCOME	\$4,432,711	\$4,425,906		\$4,200,548	\$4,200,548	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

FORM 09-4

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**Other State Treasury Funds are Tuition Adjustment Funds.

**APPROPRIATION ACT FORM - CASH FUNDS
2009-11 BIENNIUM**

FUND 2770000

INSTITUTION COSSATOT COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS

APPROPRIATION B52

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	2,037,604	2,139,984	3,592,920	2,241,364	2,353,432		
2 EXTRA HELP WAGES	274,434	288,300	600,000	301,877	316,971		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	706,645	741,978	1,528,280	777,310	816,176		
5 OPERATING EXPENSES	3,053,302	3,240,967	3,770,000	3,358,632	3,526,564		
6 CONFERENCE FEES & TRAVEL	153,690	181,436	550,000	169,059	177,512		
7 PROFESSIONAL FEES AND SERVICES	189,650	205,133	350,000	208,615	219,046		
8 DATA PROCESSING		15,175	200,000	16,500	17,325		
9 CAPITAL OUTLAY	198,822	518,763	4,600,000	218,704	229,639		
10 CAPITAL IMPROVEMENTS		221,000	315,000	250,000	262,500		
11 DEBT SERVICE	416,882	460,224	653,800	475,000	498,750		
12 FUND TRANSFERS, REFUNDS AND INVESTMENTS							
13 PROMOTIONAL ITEMS		5,000	5,000				
14							
15							
16 CONTINGENCY				10,000,000	12,000,000		
17 TOTAL APPROPRIATION	\$7,031,029	\$8,017,960	\$16,165,000	\$18,017,061	\$20,417,915	\$0	\$0
18 PRIOR YEAR FUND BALANCE***		146,994					
19 LOCAL CASH FUNDS	3,310,841	3,341,099		3,878,200	4,083,900		
20 FEDERAL CASH FUNDS	2,900,580	3,500,000		4,200,000	4,900,000		
21 OTHER CASH FUNDS	819,608	1,029,867		9,938,861	11,434,015		
22 TOTAL INCOME	\$7,031,029	\$8,017,960		\$18,017,061	\$20,417,915	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED 2007-09	REQUESTED	RECOMMENDED	LEGISLATIVE RECOMMENDATION	
				2009-11	2009-11	2009-11	2010-11
REGULAR POSITIONS	156	156	183	216	203		
TOBACCO POSITIONS							
EXTRA HELP **	75	80	80	100	100		

FORM 09-5

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**Other Cash Funds are grants and contracts other than Federal.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

COSSATOT COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS
(NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2007-08				BUDGETED 2008-09			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *				0				0
2 RESIDENCE HALL				0				0
3 MARRIED STUDENT HOUSING				0				0
4 FACULTY HOUSING				0				0
5 FOOD SERVICES				0				0
6 COLLEGE UNION				0				0
7 BOOKSTORE				0				0
8 STUDENT ORGANIZATIONS AND PUBLICATIONS				0				0
9 STUDENT HEALTH SERVICES				0				0
10 OTHER				0				0
11 SUBTOTAL	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
12 ATHLETIC TRANSFER **				0				0
13 OTHER TRANSFERS ***				0				0
14 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM 09-6

** For both two-year and four-year institutions, line 12, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 13, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

****No Auxiliary Units at this time. Have outsourced bookstore and food services.**

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

COSSATOT COMMUNITY COLLEGE OF THE UNIVERSITY C
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2007-08:						<u>123</u>
(As of November 1, 2007)						
Nonclassified Administrative Employees:						
White Male:	<u>6</u>	Black Male:		Other Male:		Total Male: <u>6</u>
White Female:	<u>13</u>	Black Female:	<u>1</u>	Other Female:	<u>2</u>	Total Female: <u>16</u>
Nonclassified Health Care Employees:						
White Male:		Black Male:		Other Male:		Total Male: <u>0</u>
White Female:		Black Female:		Other Female:		Total Female: <u>0</u>
Classified Employees:						
White Male:	<u>13</u>	Black Male:	<u>2</u>	Other Male:		Total Male: <u>15</u>
White Female:	<u>55</u>	Black Female:	<u>4</u>	Other Female:	<u>1</u>	Total Female: <u>60</u>
Faculty:						
White Male:	<u>10</u>	Black Male:		Other Male:		Total Male: <u>10</u>
White Female:	<u>16</u>	Black Female:		Other Female:		Total Female: <u>16</u>
Total White Male:	<u>29</u>	Total Black Male:	<u>2</u>	Total Other Male:	<u>0</u>	Total Male: <u>31</u>
Total White Female:	<u>84</u>	Total Black Female:	<u>5</u>	Total Other Female:	<u>3</u>	Total Female: <u>92</u>
Total White:	<u>113</u>	Total Black:	<u>7</u>	Total Other:	<u>3</u>	Total Employees: <u>123</u>
				Total Minority:	<u>10</u>	

FORM 09-8

DIVISION OF LEGISLATIVE AUDIT
AUDIT OF Cossatot Community College – University of Arkansas
June 30, 2007

<i>Finding:</i>	No findings noted
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East Arkansas Community College

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

East Arkansas Community College located in the Delta of eastern Arkansas offers students the opportunity to receive an affordable and accessible quality education in a wide variety of technical and academic degree programs, technical certificates and certificates of proficiency.

Mission Statement

It is the mission of East Arkansas Community College to enhance the quality of life for everyone within the EACC service community by providing opportunities for educational excellence, economic stimulation and cultural enrichment.

Vision Statement

The vision shared by the EACC faculty and staff is one of an open door two-year institution of higher education that is primarily focused on a commitment to learning by educating and preparing students to become responsible citizens and contributing members of society. In addition, the College realizes the importance of serving other clients, including area businesses, industries and educational institutions. In order to actualize its mission, the College is committed to promoting the intellectual and cultural advancement of the community, fostering diversity, tolerance and mutual respect among its constituents by offering the human and physical resources of the College, and continued improvement through professional development of its faculty and staff.

Through these efforts and through its cooperation with other educational institutions, the College is an active partner in the economic and social progress of eastern Arkansas.

Objectives

In fulfilling the vision of our College, we established the following specific objectives:

1. To offer correlated programs of study that will transfer to four-year institutions.

East Arkansas Community College

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

2. To offer occupational degrees and certificate programs consistent with the needs of our students and service area.
3. To offer lifelong learning and industrial training programs to meet the needs of the community.
4. To offer high school students the option to earn college credit through articulation agreements.
5. To offer a broad range of educational opportunities by providing access to other institutions through cooperative agreements, joint programs and distance learning.
6. To offer developmental education that assists the individuals in improving learning skills and overcoming educational deficiencies.
7. To offer personalized guidance and counseling services which promote the proper placement of students in all courses and programs of study.
8. To offer opportunities for faculty and staff development.

Role and Scope

East Arkansas Community College is a comprehensive two-year college dedicated to meeting the educational needs of its service area. The College is dedicated to being a partner, a resource, a facilitator and a leader for social economic improvement and continued growth of our community, region and state.

East Arkansas Community College offers an education program including, but not limited to, technical occupational programs, freshman and sophomore general education transfer programs, specialty courses, continuing education courses and business and industry training to meet the needs of the emerging and existing industries. The College offers certificate and associate degree programs as well as non-credit training to accomplish this purpose and to support state and regional economic development goals.

East Arkansas Community College

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

The College offers a variety of courses and services to support business and industry and economic needs of our state and region. East Arkansas Community College is a student centered college focused on meeting the learning needs of the student through strong counseling, guidance and comprehensive support services. The College is supported by a Millage in its taxing district of St. Francis County. It is governed by a nine (9) member Board of Trustees whose members are appointed by the Governor from among the citizens of the taxing district.

Strategic Goals and Strategies

Realizing an ongoing need for self reflection and assessment of progress toward stated goals, East Arkansas Community College has developed a five year strategic plan that incorporates all areas of activities undertaken by the College. This plan adopted in July 2007 by the Board of Trustees seeks to enhance, strengthen and challenge programs offered and operations undertaken by the college in pursuit of enhancing the quality of life in the eastern Arkansas greater community.

The Strategic Plan is built on the above referenced Mission, Vision Statement and Goals and the Role and Scope. Although this document provides guidance for the 2007-2012 timeframe, one of the overarching purposes of the plan is to provide an opportunity to redefine action steps as cyclical assessment occurs.

Strategic Goal A – To provide and promote quality education.

Strategy A1 – Implement measures to increase high levels of excellence in learning and in effective teaching.

Strategy A2 – Expand comprehensive instruction programs and courses.

Strategy A3 – Maintain a comprehensive plan for a review of the College

Strategic Goal B – To recruit students and expand markets.

East Arkansas Community College

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

Strategy B1 – To increase enrollments by expanding markets.

Strategy B2 – Enhance marketing of EACC programs and services.

Strategy B3 – Provide opportunities for lifelong learning.

Strategic Goal C – To maintain and expand student services.

Strategy C1 – Enhance student services through the use of technology

Strategy C2 – Enhance student services by embracing diversity.

Strategy C3 – Foster a professional, student-centered environment that focuses on student services.

Strategy C4 – Promote a College-wide retention plan.

Strategic Goal D – To promote utilization and growth of institutional resources.

Strategy D1 – Improve utilization of human, physical, technical and fiscal resources.

Strategy D2 – Promote growth in financial resources.

Strategy D3 – Provide, protect, enhance and maintain College facilities.

Strategic Goal E – To expand business relationships and program development with an emphasis on economic revitalization.

Strategy E1 – Match educational programs and services to regional workforce needs.

Strategy E2 – Become the major contact for existing and potential employers for worker education.

Strategy E3 – Continue to expand certification opportunities and short-term programs that meet learner's needs and market demand.

Within the strategic plan much more detail exists concerning action steps to accomplish each strategy and strategic goal.

East Arkansas Community College

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

As the College assesses progress towards individual strategies which are a part of the overall Strategic Plan, revision and/or addition of action steps needed to reach overall goals will, most certainly, become necessary. By using a cyclical methodology in assessment of the action steps defined within this plan, it is the intent of the Institutional Planning Committee that all areas of activity at East Arkansas Community College will become engaged in planning for future successes of the College.

**INSTITUTION APPROPRIATION SUMMARY
2009-11 BIENNIUM**

INSTITUTION EAST ARKANSAS COMMUNITY COLLEGE

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2007-08		2008-09		2008-09		2009-10				2010-11			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	6,553,009		6,503,663		6,629,966		7,028,816		6,800,882		7,204,832		6,962,262	
2 CASH	10,441,118		27,400,000		27,400,000		27,400,000		27,400,000		27,400,000		27,400,000	
3														
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$16,994,127	214	\$33,903,663	229	\$34,029,966	326	\$34,428,816	322	\$34,200,882	322	\$34,604,832	322	\$34,362,262	322
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*		0%		0%				0%		0%		0%		0%
13 GENERAL REVENUE	5,782,030	34%	5,764,273	17%			6,289,426	18%	6,061,492	18%	6,465,442	19%	6,222,872	18%
14 EDUCATIONAL EXCELLENCE TRUST FUND	770,979	5%	739,390	2%			739,390	2%	739,390	2%	739,390	2%	739,390	2%
15 WORKFORCE 2000		0%		0%				0%		0%		0%		0%
16 CASH FUNDS	5,854,517	34%	21,972,774	65%			21,900,000	64%	21,900,000	64%	21,650,000	63%	21,650,000	63%
17 SPECIAL REVENUES		0%		0%				0%		0%		0%		0%
18 FEDERAL FUNDS	4,586,601	27%	5,427,226	16%			5,500,000	16%	5,500,000	16%	5,750,000	17%	5,750,000	17%
19 TOBACCO SETTLEMENT FUNDS		0%		0%				0%		0%		0%		0%
20 OTHER FUNDS		0%		0%				0%		0%		0%		0%
21 TOTAL INCOME	\$16,994,127	100%	\$33,903,663	100%			\$34,428,816	100%	\$34,200,882	100%	\$34,604,832	100%	\$34,362,262	100%
22 EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$0		\$0		\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2008:	\$1,965,332
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$110,000
INVENTORIES	\$275,000
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	\$100,000
MAJOR CRITICAL SYSTEMS FAILURES	\$250,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$750,000
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	\$400,000
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$80,332

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2009-11 BIENNIUM**

EAST ARKANSAS COMMUNITY COLLEGE
NAME OF INSTITUTION

EXPENDITURE CATEGORIES	2007-08 ACTUAL	2008-09 BUDGETED *	2009-11 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS	
			2009-10 REQUEST / RECOMMENDATION	2010-11 REQUEST / RECOMMENDATION
1 INSTRUCTION	3,707,907	4,141,456	4,350,000	4,425,000
2 RESEARCH				
3 PUBLIC SERVICE	226,459	198,215	230,000	240,000
4 ACADEMIC SUPPORT	739,715	1,082,182	1,150,000	1,150,000
5 STUDENT SERVICES	1,174,584	1,202,909	1,250,000	1,300,000
6 INSTITUTIONAL SUPPORT	1,293,685	1,432,407	1,450,000	1,500,000
7 PHYSICAL PLANT M&O	694,643	823,548	825,000	850,000
8 SCHOLARSHIPS & FELLOWSHIPS	278,633	225,100	225,000	235,000
9				
10				
11				
12				
13 MANDATORY TRANSFERS				
14 AUXILIARY TRANSFERS				
15 NON-MANDATORY TRANSFERS				
16 TOTAL UNREST. E&G EXP.	\$8,115,626	\$9,105,817	\$9,480,000	\$9,700,000
17 NET LOCAL INCOME	2,766,479	2,602,154	2,679,118	2,737,738
18 PRIOR YEAR BALANCE***				
STATE FUNDS:				
19 GENERAL REVENUE	5,782,030	5,764,273	6,061,492	6,222,872
20 EDUCATIONAL EXCELLENCE	770,979	739,390	739,390	739,390
21 WORKFORCE 2000				
22 TOBACCO SETTLEMENT FUNDS				
23 OTHER STATE FUNDS **				
24 TOTAL SOURCES OF INCOME	\$9,319,488	\$9,105,817	\$9,480,000	\$9,700,000

FORM 09-2

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**ESTIMATED UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND
CASH INCOME FOR THE 2009-11 BIENNIUM**

EAST ARKANSAS COMMUNITY COLLEGE
(NAME OF INSTITUTION)

SOURCE	ACTUAL 2007-08	BUDGETED 2008-09	ESTIMATED INCOME	
			2009-10	2010-11
1 TUITION AND MANDATORY FEES	1,900,936	1,927,000	1,957,000	1,972,000
2 ALL OTHER FEES	196,221	192,600	202,000	206,000
3 OFF-CAMPUS CREDIT	163,226	170,000	190,000	195,000
4 NON-CREDIT INSTRUCTION	170,603	185,000	205,000	210,000
5 ORGANIZED ACTIVITIES RELATED TO EDUCATIONAL DEPARTMENTS				
6 INVESTMENT INCOME	137,655	100,000	100,000	100,000
7 OTHER CASH INCOME:	197,838	27,554	25,118	54,738
8 TOTAL UNRESTRICTED CURRENT FUND CASH INCOME	2,766,479	2,602,154	2,679,118	2,737,738
9 LESS: TWO-YEAR COLLEGE ACTIVITY FEES				
10 NET UNRESTRICTED CURRENT FUND CASH INCOME AVAILABLE FOR UNRESTRICTED EDUCATIONAL AND GENERAL OPERATIONS	\$2,766,479	\$2,602,154	\$2,679,118	\$2,737,738

FORM 09-3

Other Cash Income includes all indirect cost agreements and administrative reimbursements for grants received by the College.

**APPROPRIATION ACT FORM - STATE TREASURY - AHECB RECOMMENDATION
2009-11 BIENNIUM**

FUND CWE0000

INSTITUTION EAST ARKANSAS COMMUNITY COLLEGE

APPROPRIATION 538

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	4,482,467	4,529,975	4,538,175	4,623,882	4,723,262		
2 EXTRA HELP WAGES	16,000	18,000	18,000	20,000	21,000		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	1,278,233	1,179,379	1,297,482	1,350,000	1,385,000		
5 OPERATING EXPENSES	680,194	680,194	680,194	700,000	725,000		
6 CONFERENCE FEES & TRAVEL	41,000	41,000	41,000	45,000	45,000		
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)	5,000	5,000	5,000	7,000	8,000		
8 CAPITAL OUTLAY	50,115	50,115	50,115	55,000	55,000		
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION							
11							
12							
13							
14 TOTAL APPROPRIATION	\$6,553,009	\$6,503,663	\$6,629,966	\$6,800,882	\$6,962,262	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE	5,782,030	5,764,273		6,061,492	6,222,872		
17 EDUCATIONAL EXCELLENCE TRUST FUND	770,979	739,390		739,390	739,390		
18 SPECIAL REVENUES * [WF2000]							
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS							
22 TOTAL INCOME	\$6,553,009	\$6,503,663		\$6,800,882	\$6,962,262	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY - FLAT BUDGET
2009-11 BIENNIUM**

FUND CWE0000

INSTITUTION EAST ARKANSAS COMMUNITY COLLEGE

APPROPRIATION 538

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	4,482,467	4,529,975	4,538,175	4,529,975	4,529,975		
2 EXTRA HELP WAGES	16,000	18,000	18,000	18,000	18,000		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	1,278,233	1,179,379	1,297,482	1,179,379	1,179,379		
5 OPERATING EXPENSES	680,194	680,194	680,194	680,194	680,194		
6 CONFERENCE FEES & TRAVEL	41,000	41,000	41,000	41,000	41,000		
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)	5,000	5,000	5,000	5,000	5,000		
8 CAPITAL OUTLAY	50,115	50,115	50,115	50,115	50,115		
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION							
11							
12							
13							
14 TOTAL APPROPRIATION	\$6,553,009	\$6,503,663	\$6,629,966	\$6,503,663	\$6,503,663	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE	5,782,030	5,764,273		5,764,273	5,764,273		
17 EDUCATIONAL EXCELLENCE TRUST FUNDS	770,979	739,390		739,390	739,390		
18 SPECIAL REVENUES * [WF2000]							
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS							
22 TOTAL INCOME	\$6,553,009	\$6,503,663		\$6,503,663	\$6,503,663	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM 09-4

**APPROPRIATION ACT FORM - STATE TREASURY - 7% REDUCTION
2009-11 BIENNIUM**

FUND CWE0000

INSTITUTION EAST ARKANSAS COMMUNITY COLLEGE

APPROPRIATION 538

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	4,482,467	4,529,975	4,538,175	4,218,175	4,218,175		
2 EXTRA HELP WAGES	16,000	18,000	18,000	18,000	18,000		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	1,278,233	1,179,379	1,297,482	1,129,379	1,129,379		
5 OPERATING EXPENSES	680,194	680,194	680,194	638,495	638,495		
6 CONFERENCE FEES & TRAVEL	41,000	41,000	41,000	41,000	41,000		
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)	5,000	5,000	5,000	5,000	5,000		
8 CAPITAL OUTLAY	50,115	50,115	50,115	50,115	50,115		
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION							
11							
12							
13							
14 TOTAL APPROPRIATION	\$6,553,009	\$6,503,663	\$6,629,966	\$6,100,164	\$6,100,164	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE	5,782,030	5,764,273		5,360,774	5,360,774		
17 EDUCATIONAL EXCELLENCE TRUST FUNDS	770,979	739,390		739,390	739,390		
18 SPECIAL REVENUES * [WF2000]							
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS							
22 TOTAL INCOME	\$6,553,009	\$6,503,663		\$6,100,164	\$6,100,164	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - CASH FUNDS
2009-11 BIENNIUM**

FUND 2110000

INSTITUTION EAST ARKANSAS COMMUNITY COLLEGE

APPROPRIATION B05

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	5,283,974	2,500,000	2,500,000	3,500,000	3,600,000		
2 EXTRA HELP WAGES	133,856	1,077,367	1,077,367	200,000	200,000		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	1,663,637	917,367	917,367	1,000,000	1,050,000		
5 OPERATING EXPENSES	2,225,949	2,604,000	2,604,000	1,900,000	2,000,000		
6 CONFERENCE FEES & TRAVEL	198,038	321,000	321,000	250,000	275,000		
7 PROFESSIONAL FEES AND SERVICES	350,048	200,000	200,000	300,000	325,000		
8 DATA PROCESSING							
9 CAPITAL OUTLAY	131,619	1,250,000	1,250,000	600,000	650,000		
10 CAPITAL IMPROVEMENTS	437,068	16,003,266	16,003,266	1,000,000	1,000,000		
11 DEBT SERVICE							
12 FUND TRANSFERS, REFUNDS AND INVESTMENTS		2,500,000	2,500,000				
13 PROMOTIONAL	16,929	27,000	27,000				
14							
15							
16 CONTINGENCY				18,650,000	18,300,000		
17 TOTAL APPROPRIATION	\$10,441,118	\$27,400,000	\$27,400,000	\$27,400,000	\$27,400,000	\$0	\$0
18 PRIOR YEAR FUND BALANCE***							
19 LOCAL CASH FUNDS	2,766,479	2,602,154		2,679,118	2,737,738		
20 FEDERAL CASH FUNDS	4,586,601	5,427,226		5,500,000	5,750,000		
21 OTHER CASH FUNDS	3,088,038	19,370,620		19,220,882	18,912,262		
22 TOTAL INCOME	\$10,441,118	\$27,400,000		\$27,400,000	\$27,400,000	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED 2007-09	REQUESTED	RECOMMENDED	LEGISLATIVE RECOMMENDATION	
				2009-11	2009-11	2009-11	2010-11
REGULAR POSITIONS	214	229	326	322	322		
TOBACCO POSITIONS	0	0	0	0	0		
EXTRA HELP **	102	224	224	224	224		

FORM 09-5

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

EAST ARKANSAS COMMUNITY COLLEGE
(NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2007-08				BUDGETED 2008-09			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *				0				0
2 RESIDENCE HALL				0				0
3 MARRIED STUDENT HOUSING				0				0
4 FACULTY HOUSING				0				0
5 FOOD SERVICES	4,725			4,725	4,000			4,000
6 COLLEGE UNION				0				0
7 BOOKSTORE	710,663	569,431		141,232	703,000	639,865		63,135
8 STUDENT ORGANIZATIONS AND PUBLICATIONS		13,289		(13,289)		25,175		(25,175)
9 STUDENT HEALTH SERVICES				0				0
10 OTHER				0				0
11 SUBTOTAL	\$715,388	\$582,720	\$0	\$132,668	\$707,000	\$665,040	\$0	\$41,960
12 ATHLETIC TRANSFER **				0				0
13 OTHER TRANSFERS ***	(28,660)			(28,660)	(41,960)			(41,960)
14 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$686,728	\$582,720	\$0	\$104,008	\$665,040	\$665,040	\$0	\$0

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM 09-6

** For both two-year and four-year institutions, line 12, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 13, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

Note: transfer was for student activities and publications

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

EAST ARKANSAS COMMUNITY COLLEGE
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2007-08:						<u>118</u>
(As of November 1, 2007)						
Nonclassified Administrative Employees:						
White Male:	<u>5</u>	Black Male:	<u>5</u>	Other Male:	<u>0</u>	Total Male: <u>10</u>
White Female:	<u>17</u>	Black Female:	<u>7</u>	Other Female:	<u>1</u>	Total Female: <u>25</u>
Nonclassified Health Care Employees:						
White Male:	<u>0</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>0</u>
White Female:	<u>0</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>	Total Female: <u>0</u>
Classified Employees:						
White Male:	<u>3</u>	Black Male:	<u>1</u>	Other Male:	<u>0</u>	Total Male: <u>4</u>
White Female:	<u>20</u>	Black Female:	<u>14</u>	Other Female:	<u>3</u>	Total Female: <u>37</u>
Faculty:						
White Male:	<u>14</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>14</u>
White Female:	<u>25</u>	Black Female:	<u>2</u>	Other Female:	<u>1</u>	Total Female: <u>28</u>
Total White Male:						<u>22</u>
Total White Female:						<u>62</u>
Total Black Male:						<u>6</u>
Total Black Female:						<u>23</u>
Total Other Male:						<u>0</u>
Total Other Female:						<u>5</u>
Total White:						<u>84</u>
Total Black:						<u>29</u>
Total Other:						<u>5</u>
Total Minority:						<u>34</u>
Total Employees:						<u>118</u>

FORM 09-8

DIVISION OF LEGISLATIVE AUDIT
AUDIT OF East Arkansas Community College
June 30, 2007

<i>Finding:</i>	No findings noted
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MID-SOUTH COMMUNITY COLLEGE

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

Mid-South Community College is a public two-year institution of higher education with an open-door admission policy, serving Crittenden County, Arkansas, and the surrounding areas with a comprehensive educational program. The College is committed to economic development in the Arkansas Delta through the provision of high quality, affordable, and convenient learning opportunities and services consistent with identified student, community, and regional needs.

To meet these needs, the College provides quality academic and support programs, personnel, technology, administrative services, and facilities necessary to respond in a timely and effective manner.

Mid-South Community College is committed to:

Access: Access, opportunity, and support for those who may benefit from its programs and services.

A Positive Campus Environment: A working and learning environment that encourages freedom of inquiry and values integrity, courtesy, and involvement in decision-making while respecting cultural diversity and individual differences.

Community and Civic Responsibility: Civic responsibility through planned learning experiences and through collaboration with local organizations to enhance the quality of life for all citizens of the community.

Quality and Accountability: Quality instruction and the effective use of technology, resources, and support services through continuous assessment of needs, programs and services.

MID-SOUTH COMMUNITY COLLEGE

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

Responsiveness: Responsiveness to economic, cultural, social, and human development by serving as a catalyst for community and educational improvements.

Learning and Individual Goal Achievement: Educational programs designed to motivate, challenge, and reward excellence in those who utilize and provide them.

To obtain the objectives outlined above MSCC developed the following strategic plan:

I. MSCC will expand access to learning by

- **identifying ways we can improve access for the complete spectrum of learners in our communities of interest.**

Goal: Develop new programs that address student interests and economic growth.

Goal: Strengthen partnerships with public schools to increase dual enrollment of high school students.

Goal: Expand flexible delivery systems that address the time constraints of adult students.

- **recruiting, enrolling, and retaining increasing numbers of students.**

MID-SOUTH COMMUNITY COLLEGE

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

Goal: Increase enrollment by an average of 5% a year until the total fall semester enrollment reaches and is maintained at a headcount of at least 2000.

II. MSCC will improve learning by

- **engaging in continuous improvement of student, faculty, and staff learning.**

Goal: Increase professional growth opportunities for faculty and staff in support of student learning and retention to goal achievement.

- **regularly and systematically evaluating and improving our processes.**

Goal: Compare favorably with its peers as measured by national and/or state benchmarks.

Goal: Continue to improve the teaching/ learning process through assessing student learning.

- **providing a comprehensive and responsive academic support system that recognizes students as central to the learning process**

Goal: Improve support services for under-prepared students.

Goal: Increase extracurricular options that enhance student academic

MID-SOUTH COMMUNITY COLLEGE

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

success and engagement with the institution.

Goal: Develop improved teaching/learning strategies that promote retention and academic success.

III. MSCC will support regional economic development by

- **providing more flexible training/education programs to meet the needs of business and industry.**

Goal: Develop/strengthen career pathways with emphasis on student preparation for STEM careers.

- **supporting and expanding partnerships with educational, philanthropic, and government agencies and other higher education institutions to increase breadth and depth in business/industry training.**

Goal: Pursue stronger communication with area business/industry regarding programs and services provided by the college.

Goal: Pursue community development through service outreach and cultural activities.

V. MSCC will manage its resources effectively by

- **providing excellent human, physical, and fiscal resources that promote student learning.**

Goal: Enhance use of technology to support student advising, and counseling and to improve institutional efficiency.

MID-SOUTH COMMUNITY COLLEGE

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

Goal: Obtain and effectively manage external resources to enhance facilities and programs

Goal: Increase MSCC Foundation resources

- **promoting community on campus with an environment that encourages mutual respect and positive relationships.**

Goal: Enhance morale, institutional loyalty, and positive relationships among employees.

Medical Programs continue to be high demand areas for everyone including MSCC. New programs approved by AHECB since Fall 2007 include

CP in Child Development Associate

CP in Nursing Assistant

CP in Truck Driving

CP in Emergency Medical Technician

CP in CNC Machine Operator

CP in Warehousing and Distribution Center Operations

Technical Certificate in Pharmacy Tech

AAS in Respiratory Therapy

AAS in Medical Assistant

MID-SOUTH COMMUNITY COLLEGE

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

Our Certificate of Proficiency in Media Broadcasting was deleted due to lack of participation. MSCC is in the process of requesting approval for a Technical Certificate & AAS in Transportation and Logistics that is being developed through a DOL grant received by the State of Arkansas.

Mid-South Community College has developed performance measures to ensure we are focused on the goals and objectives established by the college. A campus-wide report card is prepared annually to assess the effectiveness in obtaining the goals and objectives established. The core of the plan focuses on four strategic goals: broadening access to the institution; improving student learning; enhancing learning support; and improving resource management. Annual productivity measures related to each goal are the basis for evaluating staff productivity. For example, improved resource management is measured via availability of scholarships and financial aid, effectiveness of foundation fundraising efforts, and student and employee satisfaction. Each measure is assigned a number of points available and awarded on a sliding scale based on performance, with partial credit allowed.

Overall, the productivity plan underscores the importance of our current Strategic and Operational Plans and stimulates more widespread participation in tasks which contribute to our ability to achieve our productivity goals. Greater attention to these goals means that we have a better chance of enrolling and retaining more students, seeing our largely-underprepared and at-risk student body succeed, managing our limited resources wisely, and keeping our constituents happy.

**INSTITUTION APPROPRIATION SUMMARY
2009-11 BIENNIUM**

INSTITUTION MID-SOUTH COMMUNITY COLLEGE

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2007-08		2008-09		2008-09		2009-10				2010-11			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	5,651,016		5,855,380		7,832,252		6,808,277		6,149,540		6,964,072		6,303,065	
2 CASH	12,164,696		15,051,924		20,000,000		21,370,000		21,370,000		21,370,000		21,370,000	
3														
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$17,815,712	192	\$20,907,304	251	\$27,832,252	261	\$28,178,277	296	\$27,519,540	274	\$28,334,072	296	\$27,673,065	274
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*	428,830	2%	1,935,472	9%			1,750,000	6%	1,750,000	6%	1,750,000	6%	1,750,000	6%
13 GENERAL REVENUE	3,687,840	21%	3,673,864	18%			4,755,733	17%	4,096,996	15%	4,911,528	17%	4,250,521	15%
14 EDUCATIONAL EXCELLENCE TRUST FUND		0%		0%				0%		0%		0%		0%
15 WORKFORCE 2000	1,980,098	11%	1,957,544	9%			1,957,544	7%	1,957,544	7%	1,957,544	7%	1,957,544	7%
16 CASH FUNDS	7,185,824	40%	9,606,613	46%			15,120,000	54%	15,120,000	55%	15,120,000	53%	15,120,000	55%
17 SPECIAL REVENUES		0%		0%				0%		0%		0%		0%
18 FEDERAL FUNDS	4,550,042	25%	3,638,811	17%			4,500,000	16%	4,500,000	16%	4,500,000	16%	4,500,000	16%
19 TOBACCO SETTLEMENT FUNDS		0%		0%				0%		0%		0%		0%
20 OTHER FUNDS	108,730	1%	95,000	0%			95,000	0%	95,000	0%	95,000	0%	95,000	0%
21 TOTAL INCOME	\$17,941,364	100%	\$20,907,304	100%			\$28,178,277	100%	\$27,519,540	100%	\$28,334,072	100%	\$27,673,065	100%
22 EXCESS (FUNDING)/APPROPRIATION	(125,652)		\$0				\$0		\$0		\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2008:	\$1,501,221
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$763,964
INVENTORIES	\$19,232
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	\$10,000
MAJOR CRITICAL SYSTEMS FAILURES	\$250,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$750,488
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	(\$292,463)

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2009-11 BIENNIUM**

MID-SOUTH COMMUNITY COLLEGE

NAME OF INSTITUTION

EXPENDITURE CATEGORIES	2007-08 ACTUAL	2008-09 BUDGETED *	2009-11 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS	
			2009-10 REQUEST / RECOMMENDATION	2010-11 REQUEST / RECOMMENDATION
1 INSTRUCTION	2,793,079	3,127,624	3,265,000	3,325,000
2 RESEARCH				
3 PUBLIC SERVICE	173,033	274,423	375,000	385,000
4 ACADEMIC SUPPORT	979,074	764,924	990,000	1,020,000
5 STUDENT SERVICES	632,797	802,075	825,000	840,000
6 INSTITUTIONAL SUPPORT	3,172,032	3,982,464	4,100,000	4,250,000
7 PHYSICAL PLANT M&O	1,338,548	1,515,625	1,560,000	1,606,800
8 SCHOLARSHIPS & FELLOWSHIPS	116,728	130,000	135,000	135,000
9				
10				
11				
12				
13 MANDATORY TRANSFERS				
14 AUXILIARY TRANSFERS	32,633	58,465	75,000	75,000
15 NON-MANDATORY TRANSFERS				
16 TOTAL UNREST. E&G EXP.	\$9,237,924	\$10,655,600	\$11,325,000	\$11,636,800
17 NET LOCAL INCOME	3,032,426	3,122,692	3,485,000	3,568,617
18 PRIOR YEAR BALANCE***	428,830	1,806,500	1,690,460	1,765,118
STATE FUNDS:				
19 GENERAL REVENUE	3,687,840	3,673,864	4,096,996	4,250,521
20 EDUCATIONAL EXCELLENCE				
21 WORKFORCE 2000	1,980,098	1,957,544	1,957,544	1,957,544
22 TOBACCO SETTLEMENT FUNDS				
23 OTHER STATE FUNDS **	108,730	95,000	95,000	95,000
24 TOTAL SOURCES OF INCOME	\$9,237,924	\$10,655,600	\$11,325,000	\$11,636,800

FORM 09-2

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

**Greyhound Park Charity Days

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**ESTIMATED UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND
CASH INCOME FOR THE 2009-11 BIENNIUM**

MID-SOUTH COMMUNITY COLLEGE
(NAME OF INSTITUTION)

SOURCE	ACTUAL 2007-08	BUDGETED 2008-09	ESTIMATED INCOME	
			2009-10	2010-11
1 TUITION AND MANDATORY FEES	1,965,492	2,212,733	2,340,000	2,410,200
2 ALL OTHER FEES	214,493	216,145	228,560	235,417
3 OFF-CAMPUS CREDIT				
4 NON-CREDIT INSTRUCTION	3,220	11,250	12,000	13,000
5 ORGANIZED ACTIVITIES RELATED TO EDUCATIONAL DEPARTMENTS				
6 INVESTMENT INCOME	28,093	18,000	20,000	20,000
7 OTHER CASH INCOME*	821,128	664,564	884,440	890,000
8 TOTAL UNRESTRICTED CURRENT FUND CASH INCOME	3,032,426	3,122,692	3,485,000	3,568,617
9 LESS: TWO-YEAR COLLEGE ACTIVITY FEES				
10 NET UNRESTRICTED CURRENT FUND CASH INCOME AVAILABLE FOR UNRESTRICTED EDUCATIONAL AND GENERAL OPERATIONS	\$3,032,426	\$3,122,692	\$3,485,000	\$3,568,617

FORM 09-3

*Other Cash Income:

Indirect Costs

Leases

Act 1488 of 2001

Donations

Other Miscellaneous Income

**APPROPRIATION ACT FORM - STATE TREASURY - AHECB RECOMMENDATION
2009-11 BIENNIUM**

FUND CTM0000

INSTITUTION MID-SOUTH COMMUNITY COLLEGE

APPROPRIATION 109

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	3,037,987	3,695,057	3,718,281	4,215,000	4,435,000		
2 EXTRA HELP WAGES	200,000	270,902	600,000	400,000	400,000		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	675,590	706,625	706,625	900,000	900,000		
5 OPERATING EXPENSES	1,625,426	1,108,824	2,677,346	604,540	538,065		
6 CONFERENCE FEES & TRAVEL	62,013	30,000	90,000	30,000	30,000		
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)	50,000	43,972	40,000				
8 CAPITAL OUTLAY							
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION							
11							
12							
13							
14 TOTAL APPROPRIATION	\$5,651,016	\$5,855,380	\$7,832,252	\$6,149,540	\$6,303,065	\$0	\$0
15 PRIOR YEAR FUND BALANCE**	0	128,972					
16 GENERAL REVENUE	3,687,840	3,673,864		4,096,996	4,250,521		
17 EDUCATIONAL EXCELLENCE TRUST FUND							
18 SPECIAL REVENUES * [WF2000]	1,980,098	1,957,544		1,957,544	1,957,544		
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS***	108,730	95,000		95,000	95,000		
22 TOTAL INCOME	5,776,668	\$5,855,380		\$6,149,540	\$6,303,065	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	(\$125,652)	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

***Greyhound Park Charity Days

FORM 09-4

**APPROPRIATION ACT FORM - STATE TREASURY - FLAT BUDGET
2009-11 BIENNIUM**

FUND CTM0000

INSTITUTION MID-SOUTH COMMUNITY COLLEGE

APPROPRIATION 109

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	3,037,987	3,695,057	3,718,281	3,776,408	3,776,408		
2 EXTRA HELP WAGES	200,000	270,902	600,000	200,000	200,000		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	675,590	706,625	706,625	750,000	750,000		
5 OPERATING EXPENSES	1,625,426	1,108,824	2,677,346	970,000	970,000		
6 CONFERENCE FEES & TRAVEL	62,013	30,000	90,000	30,000	30,000		
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)	50,000	43,972	40,000				
8 CAPITAL OUTLAY							
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION							
11							
12							
13							
14 TOTAL APPROPRIATION	\$5,651,016	\$5,855,380	\$7,832,252	\$5,726,408	\$5,726,408	\$0	\$0
15 PRIOR YEAR FUND BALANCE**	0	128,972					
16 GENERAL REVENUE	3,687,840	3,673,864		3,673,864	3,673,864		
17 EDUCATIONAL EXCELLENCE TRUST FUND							
18 SPECIAL REVENUES * [WF2000]	1,980,098	1,957,544		1,957,544	1,957,544		
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS***	108,730	95,000		95,000	95,000		
22 TOTAL INCOME	5,776,668	\$5,855,380		\$5,726,408	\$5,726,408	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	(\$125,652)	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

***Greyhound Park Charity Days

FORM 09-4

**APPROPRIATION ACT FORM - STATE TREASURY - 7% REDUCTION
2009-11 BIENNIUM**

FUND CTM0000

INSTITUTION MID-SOUTH COMMUNITY COLLEGE

APPROPRIATION 109

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	3,037,987	3,695,057	3,718,281	3,554,238	3,554,238		
2 EXTRA HELP WAGES	200,000	270,902	600,000	200,000	200,000		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	675,590	706,625	706,625	715,000	715,000		
5 OPERATING EXPENSES	1,625,426	1,108,824	2,677,346	970,000	970,000		
6 CONFERENCE FEES & TRAVEL	62,013	30,000	90,000	30,000	30,000		
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)	50,000	43,972	40,000				
8 CAPITAL OUTLAY							
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION							
11							
12							
13							
14 TOTAL APPROPRIATION	\$5,651,016	\$5,855,380	\$7,832,252	\$5,469,238	\$5,469,238	\$0	\$0
15 PRIOR YEAR FUND BALANCE**	0	128,972					
16 GENERAL REVENUE	3,687,840	3,673,864		3,416,694	3,416,694		
17 EDUCATIONAL EXCELLENCE TRUST FUND							
18 SPECIAL REVENUES * [WF2000]	1,980,098	1,957,544		1,957,544	1,957,544		
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS***	108,730	95,000		95,000	95,000		
22 TOTAL INCOME	5,776,668	\$5,855,380		\$5,469,238	\$5,469,238	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	(\$125,652)	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

***Greyhound Park Charity Days

**APPROPRIATION ACT FORM - CASH FUNDS
2009-11 BIENNIUM**

FUND 2810000

INSTITUTION MID-SOUTH COMMUNITY COLLEGE

APPROPRIATION D03

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	2,810,581	3,001,214	4,000,000	3,000,000	3,000,000		
2 EXTRA HELP WAGES	113,587	129,151	500,000	500,000	500,000		
3 OVERTIME			10,000	10,000	10,000		
4 PERSONAL SERVICES MATCHING	1,213,814	1,401,061	1,750,000	1,400,000	1,400,000		
5 OPERATING EXPENSES	1,332,141	2,323,561	3,200,000	2,000,000	2,000,000		
6 CONFERENCE FEES & TRAVEL	88,294	195,865	300,000	200,000	200,000		
7 PROFESSIONAL FEES AND SERVICES	384,630	449,809	540,000	450,000	450,000		
8 DATA PROCESSING							
9 CAPITAL OUTLAY	1,170,937	1,574,882	2,720,000	2,000,000	2,000,000		
10 CAPITAL IMPROVEMENTS	4,099,446	5,000,000	6,500,000	6,000,000	6,000,000		
11 DEBT SERVICE	901,186	910,000		920,000	920,000		
12 FUND TRANSFERS, REFUNDS AND INVESTMENT	32,633	57,925	450,000	250,000	250,000		
13 PROMOTIONAL ITEMS	17,446	8,456	30,000	30,000	30,000		
14							
15							
16 CONTINGENCY				4,610,000	4,610,000		
17 TOTAL APPROPRIATION	\$12,164,696	\$15,051,924	\$20,000,000	\$21,370,000	\$21,370,000	\$0	\$0
18 PRIOR YEAR FUND BALANCE***	428,830	1,806,500		1,750,000	1,750,000		
19 LOCAL CASH FUNDS	7,185,824	9,606,613		15,120,000	15,120,000		
20 FEDERAL CASH FUNDS	4,550,042	3,638,811		4,500,000	4,500,000		
21 OTHER CASH FUNDS							
22 TOTAL INCOME	\$12,164,696	\$15,051,924		\$21,370,000	\$21,370,000	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	(0)	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED 2007-09	REQUESTED	RECOMMENDED	LEGISLATIVE RECOMMENDATION	
				2009-11	2009-11	2009-11	2010-11
REGULAR POSITIONS	192	251	261	296	274		
TOBACCO POSITIONS							
EXTRA HELP **	47	51	200	200	200		

FORM 09-5

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

MID-SOUTH COMMUNITY COLLEGE
 (NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2007-08				BUDGETED 2008-09			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *				0				0
2 RESIDENCE HALL				0				0
3 MARRIED STUDENT HOUSING				0				0
4 FACULTY HOUSING				0				0
5 FOOD SERVICES	83,811	116,448		(32,637)	82,000	140,465		(58,465)
6 COLLEGE UNION				0				0
7 BOOKSTORE				0				0
8 STUDENT ORGANIZATIONS AND PUBLICATIONS				0				0
9 STUDENT HEALTH SERVICES				0				0
10 OTHER				0				0
11 SUBTOTAL	\$83,811	\$116,448	\$0	(\$32,637)	\$82,000	\$140,465	\$0	(\$58,465)
12 ATHLETIC TRANSFER **				0				0
13 OTHER TRANSFERS ***	32,637			32,637	58,465			58,465
14 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$116,448	\$116,448	\$0	\$0	\$140,465	\$140,465	\$0	\$0

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM 09-6

** For both two-year and four-year institutions, line 12, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 13, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

MID-SOUTH COMMUNITY COLLEGE
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2007-08:						<u>131</u>
(As of November 1, 2007)						
Nonclassified Administrative Employees:						
White Male:	<u>14</u>	Black Male:	<u>1</u>	Other Male:	<u>2</u>	Total Male: <u>17</u>
White Female:	<u>24</u>	Black Female:	<u>8</u>	Other Female:	<u> </u>	Total Female: <u>32</u>
Nonclassified Health Care Employees:						
White Male:	<u> </u>	Black Male:	<u> </u>	Other Male:	<u> </u>	Total Male: <u>0</u>
White Female:	<u> </u>	Black Female:	<u> </u>	Other Female:	<u> </u>	Total Female: <u>0</u>
Classified Employees:						
White Male:	<u>12</u>	Black Male:	<u>5</u>	Other Male:	<u> </u>	Total Male: <u>17</u>
White Female:	<u>17</u>	Black Female:	<u>11</u>	Other Female:	<u>2</u>	Total Female: <u>30</u>
Faculty:						
White Male:	<u>12</u>	Black Male:	<u>5</u>	Other Male:	<u>3</u>	Total Male: <u>20</u>
White Female:	<u>11</u>	Black Female:	<u>4</u>	Other Female:	<u> </u>	Total Female: <u>15</u>
Total White Male:						<u>38</u>
Total White Female:						<u>52</u>
Total Black Male:						<u>11</u>
Total Black Female:						<u>23</u>
Total Other Male:						<u>5</u>
Total Other Female:						<u>2</u>
Total White:						<u>90</u>
Total Black:						<u>34</u>
Total Other:						<u>7</u>
Total Minority:						<u>41</u>
Total Employees:						<u>131</u>

FORM 09-8

DIVISION OF LEGISLATIVE AUDIT
AUDIT OF Mid-South Community College
June 30, 2007

<p><i>Finding:</i></p>	<p>Good IT management includes establishing controls over access to programs and data, system modification, and logging, to provide reasonable assurance that unauthorized or erroneous disclosure, modification, or destruction of information will be prevented or detected in a timely manner. The DataTel Colleague suite of applications is a comprehensive software package that is used by Mid-South Community College to administer student financial aid, finance, human resources, and payroll functions. A review of the Colleague System security revealed the following:</p> <ul style="list-style-type: none"> • Security access appears excessive for some Finance application and most Human Resource application user accounts as DataTel Colleague ADMIN Security classes are used liberally to issue access. <p>This condition exists because the College has not completed the process of establishing and implementing application security classes based on job roles which limit employee access to only that which is needed to perform their jobs. Failure to properly assign access permissions increases the risk of accidental or intentional unauthorized transactions or data manipulation.</p>
<p><i>Recommendation:</i></p>	<p>The College should review and revise security classes defined in the DataTel Colleague application to restrict access to only what is necessary for employees to perform their jobs.</p>
<p><i>Institution's Response:</i></p>	<p>The College will fully review Colleague security classes and, where needed, new classes will be created to ensure users only have access to screens and data needed.</p>
<p><i>Finding:</i></p>	<p>DATA ACCESS SECURITY Several security accounts active within the application were identified as belonging to terminated employees. Failure to disable or remove dormant security accounts in a timely manner increases the likelihood that an unauthorized person could gain access to the system.</p>
<p><i>Recommendation:</i></p>	<p>Mid-South Community College establish a process to periodically review all</p>

DIVISION OF LEGISLATIVE AUDIT
AUDIT OF Mid-South Community College
June 30, 2007

	user accounts to ensure that only authorized individuals have the ability to access applications and dormant security accounts be removed or disabled.
<i>Institution's Response:</i>	<p>A second step to the Sign Out procedure needs to be added so that the IT person in charge of adding and modifying the system and Colleague accounts can verify that account of departed employees are expired on both systems.</p> <p>The New Employee Spreadsheet needs to be revamped to have last day of employment, date of account expiration, etc.</p> <p>Currently a paper form is signed by the VP of Information Technologies, but if he is not at his desk he might neglect to close an account on a timely basis.</p>
<i>Finding:</i>	<p>NETWORK MANAGEMENT</p> <p>There is no formally documented change control process specific to network device and network server operating system changes. Failure to properly document and approve network device and operating system configuration changes increases the risk of accidental or intentional unauthorized changes.</p>
<i>Recommendation:</i>	Mid-South Community College develop a formal change control process over the network devices and operating systems to include tracking of change reason, approval, date implemented and who implemented the change.
<i>Institution's Response:</i>	This type of documentation can be difficult and/or cumbersome. I propose the use of e-mail as the method for requesting and authorizing the change, and documenting inside the switches and routers to explain the actual changes.
<i>Finding:</i>	<p>BACKUP AND RECOVERY</p> <p>The College does have a "Technology Plan", however this document is not current and does not address all the components necessary for a disaster recovery effort and no disaster recovery testing has been performed. This condition exists because the College has not adequately addressed how they would recover from a disaster situation. This situation could cause the College to be without computer-processing capabilities for an extended period of time in the event of a disaster or major interruption and without adequate testing of the plan, there is no way to insure the plan will work as designed.</p>

DIVISION OF LEGISLATIVE AUDIT
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<i>Recommendation:</i>	A Disaster Recovery Plan be developed, documented, approved by management and tested on a periodic basis. A copy of this plan should also be stored at a secure off-site location.
<i>Institution's Response:</i>	<p>A Disaster Recovery Plan (DRP) will be developed as recommended.</p> <p>Within the 2008/2009 fiscal year we anticipate to be doing disk-to-disk backups, and off-site backups. The new system will allow for 'bare-metal' recovery, greatly facilitating the actual recovery as well as the development of procedures.</p> <p>Agreements with vendors will be formalized to minimize downtime.</p>
<i>Finding:</i>	There is no formal, documented and approved Business Continuity Plan. Application users have no documented manual procedures to implement in a disaster situation. Without manual procedures, operations could not continue during an interruption to processing or after a disaster.
<i>Recommendation:</i>	Mid-South Community College develop a formal Business Continuity Plan, update the plan whenever major process changes occur and test the plan on a periodic basis. A copy of this plan should also be stored at a secure off-site location. Each application's user department should develop, test and document manual procedures to be used in lieu of their respective computerized application in the event the application is unavailable long or short periods of time.
<i>Institution's Response:</i>	As part of developing a DRP, each department needs to develop their own plan.
<i>Finding:</i>	Backups of the system and data should be stored at a secure, off-site facility no less than one mile distant from the primary facility, rotated in a fashion as to ensure that there is a current backup maintained off-site at all times and a retention schedule utilized. Backup media is not being rotated off-site often enough to avoid excessive disruption to processing should the College encounter a disaster. This condition could impede restoration of processing

DIVISION OF LEGISLATIVE AUDIT
AUDIT OF Mid-South Community College
June 30, 2007

	capability if a system failure should occur.
<i>Recommendation:</i>	Mid-South Community College either insure backup tapes are taken off-site often enough to avoid disruption if current files were lost or damaged or implement an alternate solution such as backing up data over a secure internet connection to a managed off-site facility.
<i>Institution's Response:</i>	A log will be established to ensure IT rotates the tapes on a regular basis.

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ADTEC / ADWIRED

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

ADWIRED

In 2005, the U.S. Department of Labor Employment and Training Administration launched the Workforce Innovation in Regional Economic Development (WIRED) initiative as a groundbreaking national approach to workforce and economic development. The WIRED model has invested \$350 million in the initiative, funding three WIRED generations and 39 designated WIRED regions across the United States that are integrating economic and workforce development activities and demonstrating that talent development can drive economic transformation in regional economies.

WIRED goes beyond traditional strategies for worker preparation by bringing together state, local and federal entities; academic institutions (including K-12, community colleges and universities); investment groups; foundations; and business and industry to address the challenges associated with building a globally competitive and prepared workforce.

Funded as a Generation 2 region, the Arkansas Delta Workforce Innovation in Regional Economic Development (ADWIRED) Academy consists of 17 counties on the Eastern border of Arkansas. The counties involved include Jackson, Arkansas, Monroe, Phillips, Lee, St. Francis, Woodruff, Cross, Crittenden, Poinsett, Craighead, Mississippi, Lincoln, Desha, Drew, Ashley, and Chicot. The region is prime for expansion of education, manufacturing, entrepreneurship and technology while challenged by poverty, low wages, low academic achievement and low workforce skills.

ADTEC

The Arkansas Delta Training and Education Consortium (ADTEC), established in late 2005, is the education and training function of ADWIRED Academy and provides for a regional response to workforce development for the Arkansas Delta. Funded by a U.S. Department of Labor grant, ADTEC is a partnership of five community colleges including Mid-South Community College, Arkansas Northeastern College, East Arkansas Community College, Arkansas State University – Newport, and Phillips Community College of the University of Arkansas. The colleges are dedicated to raising the skill levels of the workforce and transforming the economy of 12 Arkansas Delta counties including Jackson, Arkansas, Monroe, Phillips, Lee, St. Francis, Woodruff, Cross, Crittenden, Poinsett, Craighead, and Mississippi.

ADTEC / ADWIRED

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

The partnership among the colleges is unique in that all member colleges collaborate to share curriculum, support strategies, and a wide range of industry input regarding training needs while pursuing the ultimate goal of growing jobs and economic opportunity in the region. Through collaboration with the workforce investment system, ADTEC is the primary mechanism by which ADWIRED Academy regional workforce development strategies are deployed.

ADTEC University Center

The newly created ADTEC University Center is a significant development to support the expansion of the education infrastructure within the Arkansas Delta region. It is an educational and economic development partnership among the five community colleges that comprise the Arkansas Delta Training and Education Consortium (ADTEC).

The University Center is the conduit by which the ADTEC community colleges simultaneously deploy strategic baccalaureate degrees to the 12-county ADTEC region, particularly those degrees that directly support economic development. Through university partnerships and innovative, technological delivery methods, the University Center is the system for achieving the results of a more educated population and skilled workforce in the ADTEC region.

The following baccalaureate degrees have been identified for delivery through the University Center because they provide core education and industry elements that will significantly impact the economic growth of the Arkansas Delta:

Renewable Energy. This degree is particularly relevant given the importance of agriculture to the region. The emerging biofuel industry will increasingly require a workforce with advanced education and technological skills. ADTEC was awarded a three-year \$1.9 million U.S. Department of Labor Community-Based Job Training Grant in April 2008 to develop capacity to train the workforce for this industry. The renewable energy career pathway, including baccalaureate degree, is under development.

ADTEC / ADWIRED

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

Entrepreneurship. This business degree is under development and is being designed to provide seminal support for supervisors and managers employed by a wide variety of businesses and industries in a viable, growing economy

Teacher Education – Middle Level. The preparation of quality teachers who live in the Delta and who are committed to improved K-12 public education is essential to the long-term economic vitality of the region and the future pipeline of high school graduates who are well-prepared for college-level work. This degree was implemented in Fall 2008, with students enrolled in the first rotation of courses.

Information Technology. This degree, which is core to a technologically progressive economy, will build on associate of applied science degrees in information technology, which include programming, networking, cyber security, database management, and Web development. This degree was implemented in Spring 2008.

Transportation/Logistics. This is a key economic driver of the Arkansas Delta, supported by its ideal location and infrastructure. This baccalaureate degree is under development and is the capstone component of the career pathway in transportation and logistics, which will ensure a pipeline of trained transportation/logistics workers for this industry.

Bachelor of Applied Science. This degree is specifically designed to articulate with the Associate of Applied Science Degree. Each community college in the region has different AAS Degrees depending on their local requirements. The BAS Degree will provide AAS graduates the opportunity to continue on their education/career pathway and is fully implemented.

Awards

ADTEC has won two prestigious national awards for its effectiveness and innovation in workforce development: the **Southern Growth Policies Board 2007 Innovator of the Year Award** and the **U. S. Department of Labor 2008 Recognition of Excellence Award** in the category *Building a Regionally Focused Workforce Strategy*.

ADTEC / ADWIRED

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

ADWIRED Strategies

1. Create the Arkansas Delta Workforce Innovations in Regional Economic Development (ADWIRED) Academy to coordinate, govern, and eventually house the activities conducted under the WIRED initiative.
2. Through partnerships with industry, government, philanthropic organizations, and education create:
 - a. an alternative fuel training and education capacity in the Arkansas Delta to support enhanced agriculture production and to foster/support development of the alternative fuel industry in the region;
 - b. a transportation, distribution, and logistics education center of excellence in the Arkansas Delta; and,
 - c. an expansion of the advanced manufacturing support structure in the Arkansas Delta.
3. Expand the education infrastructure necessary to support new technologies and foster economic development in the Arkansas Delta.
4. Establish the entrepreneurship development system necessary to foster the success of high-growth, high-demand businesses and industries in the Arkansas Delta.
5. Expand the workforce development system infrastructure in the Arkansas Delta.

ADTEC Goals

The Arkansas Delta Training and Education Consortium (ADTEC), established in late 2005, provides for a regional response to workforce development for the Arkansas Delta. Funded by a U.S. Department of Labor grant, ADTEC is a partnership of five community colleges (Mid-South Community College, Arkansas Northeastern College, East Arkansas Community College, Arkansas State University—Newport, and Phillips Community College of the University of Arkansas System) that are dedicated to raising the skill level of the workforce and transforming the economy of the Arkansas Delta. Additional goals of the Consortium are as follows:

ADTEC / ADWIRED

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

1. To provide a comprehensive, regional approach to education/training
2. To share faculty, equipment, curriculum, and best practices
3. To provide a broad range of services at lower overall cost
4. To promote regional economic development

The ADTEC colleges are partners in several federally-funded grants that support consortium goals to transform the economy of the Arkansas Delta and in support of ADWIRED targeted industry strategies.

**INSTITUTION APPROPRIATION SUMMARY
2009-11 BIENNIUM**

INSTITUTION ADTEC/ADWIRED

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2007-08		2008-09		2008-09		2009-10				2010-11			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
STATE TREASURY	0		0		0		3,228,152		3,228,152		3,538,812		3,538,812	
2														
3														
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$0		\$0		\$0		\$3,228,152		\$3,228,152		\$3,538,812	0	\$3,538,812	0
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*		0%		0%				0%		0%		0%		0%
13 GENERAL REVENUE		0%		0%			3,228,152	100%	3,228,152	100%	3,538,812	100%	3,538,812	100%
14 EDUCATIONAL EXCELLENCE TRUST FUND		0%		0%				0%		0%		0%		0%
15 WORKFORCE 2000		0%		0%				0%		0%		0%		0%
16 CASH FUNDS		0%		0%				0%		0%		0%		0%
17 SPECIAL REVENUES		0%		0%				0%		0%		0%		0%
18 FEDERAL FUNDS		0%		0%				0%		0%		0%		0%
19 TOBACCO SETTLEMENT FUNDS		0%		0%				0%		0%		0%		0%
20 OTHER FUNDS		0%		0%				0%		0%		0%		0%
21 TOTAL INCOME	\$0	0%	\$0	0%			\$3,228,152	100%	\$3,228,152	100%	\$3,538,812	100%	\$3,538,812	100%
22 EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$0		\$0		\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2008:	N/A
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	
INVENTORIES	
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	
MAJOR CRITICAL SYSTEMS FAILURES	
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$0

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2009-11 BIENNIUM (Non-Formula Entities)**

ADTEC/ADWIRED _____

NAME OF INSTITUTION _____

EXPENDITURE CATEGORIES	2007-08 ACTUAL	2008-09 BUDGETED *	2009-11 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS			
			2009-10		2010-11	
			REQUEST	RECOMMENDATION	REQUEST	RECOMMENDATION
1 INSTRUCTION	510,009	2,025,275	2,447,415	2,447,415	2,430,818	2,430,818
2 RESEARCH						
3 PUBLIC SERVICE	100,000	100,000	258,940	258,940	579,746	579,746
4 ACADEMIC SUPPORT	229,954	469,860	521,796	521,796	528,248	528,248
5 STUDENT SERVICES						
6 INSTITUTIONAL SUPPORT						
7 PHYSICAL PLANT M&O						
8 SCHOLARSHIPS & FELLOWSHIPS						
9						
10						
11						
12						
13 MANDATORY TRANSFERS						
14 AUXILIARY TRANSFERS						
15 NON-MANDATORY TRANSFERS						
16 TOTAL UNREST. E&G EXP.	\$839,963	\$2,595,135	\$3,228,152	\$3,228,152	\$3,538,812	\$3,538,812
17 NET LOCAL INCOME						
18 PRIOR YEAR BALANCE***		616,115				
STATE FUNDS:						
19 GENERAL REVENUE			3,228,152	3,228,152	3,538,812	3,538,812
20 EDUCATIONAL EXCELLENCE						
21 WORKFORCE 2000						
22 TOBACCO SETTLEMENT FUNDS						
23 OTHER STATE FUNDS **	1,456,078	1,979,020				
24 TOTAL SOURCES OF INCOME	\$1,456,078	\$2,595,135	\$3,228,152	\$3,228,152	\$3,538,812	\$3,538,812

FORM 09-2A

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** General Improvement Funds received by ANC, ASUN, EACC, MSCC, PCCUA to support the ADTEC program.

**APPROPRIATION ACT FORM - STATE TREASURY - AHECB RECOMMENDATION
2009-11 BIENNIUM**

FUND NEW

INSTITUTION ADTEC/ADWIRED

APPROPRIATION NEW

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	0	0	0	2,160,690	2,371,994		
2 EXTRA HELP WAGES				0	0		
3 OVERTIME				0	0		
4 PERSONAL SERVICES MATCHING	0	0	0	777,848	852,704		
5 OPERATING EXPENSES	0	0	0	259,173	284,114		
6 CONFERENCE FEES & TRAVEL	0	0	0	30,442	30,000		
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY							
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION							
11							
12							
13							
14 TOTAL APPROPRIATION				\$3,228,152	\$3,538,812	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE				3,228,152	3,538,812		
17 EDUCATIONAL EXCELLENCE TRUST FUND							
18 SPECIAL REVENUES * [WF2000]							
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS							
22 TOTAL INCOME	\$0	\$0		\$3,228,152	\$3,538,812	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

North Arkansas College

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

North Arkansas College is a comprehensive two-year college dedicated to meeting the educational needs of its service area. North Arkansas College (Northark) offers a comprehensive education program including but not limited to technical occupational programs, freshman and sophomore programs for students to transfer to four-year colleges, specialty courses, ABE-GED classes, continuing education courses, and business and industry training to meet the needs of the emerging, transitional, and existing workforce, especially in those careers targeted by the Arkansas Department of Economic Development in industries vital to the development of northwest Arkansas: business, management, and administration; information technology services, health science, agriculture, manufacturing technology, and construction. North Arkansas College offers both certificate and associate degree programs as well as non-credit training to accomplish this purpose.

North Arkansas College is a student-centered college focusing on meeting the learning needs of the student through strong counseling, guidance, and comprehensive support services to meet the needs of all students including first generation, older, and traditional college-age students. The Learning Assistance Center offers college preparatory courses that help prepare students to take transfer English and math classes and provides tutoring in a number of academic areas. Part-time and full-time students are valued equally.

In addition to the college's two main campuses, Northark's Center Campus in downtown Harrison will host community events and business and industry training, as well as house Adult Basic Education and the North Arkansas Partnership for Health Education. The Northark Technical Center provides technical occupational programs for high schools in Northark's service area. Through partnerships with Arkansas four-year universities, Northark offers students opportunities to pursue bachelor's degrees in education, agriculture, nursing, human resources development, and organizational management via ITV, web classes, and face to face courses taught on Northark's campus.

I. Institutional Mission Statement

North Arkansas College's mission is to provide high quality, affordable, convenient opportunities for learning and

North Arkansas College

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

cultural enrichment in response to community needs.

II. Institutional Goals

1. To provide comprehensive, high-quality educational programs that are committed to successful student outcomes.
2. To provide programs that meet area needs through community involvement, partnerships, and outreach activities.

III. Programs and Program Definitions

Program 1: Instruction

This program consists of credit instruction housed in the divisions of Business & Technology; Humanities; Science; Math; Physical Education; Developmental Education; and Allied Health.

Goal 1: Improve the Quality of Instruction

Objective 1: Improve classroom instruction by focusing on the use of Classroom Assessment Techniques (CATs).

Strategy 1: Provide professional development for faculty.

Strategy 2: Provide web-based examples of CATs and forms for faculty use.

Goal 2: Improve Student Success

Objective 1: Evaluate recent success by focusing on initial placement into appropriate level courses.

Strategy 1: Evaluate recent student success using current placement criteria.

Strategy 2: Determine alternative methods of placement used by other community colleges in the State.

Program 2: Business/Industry Training and Continuing Education

This program consists of non-credit training to incumbent workers in the areas of allied health, technology, and occupational skills.

Goal 1: To meet the training needs of local Business and Industry.

Objective 1: To improve relationships with area businesses and industries.

Strategy 1: Expand communications with local contacts to determine the training needs of area business and industry.

Strategy 2: Develop classes to meet the training needs of area business and industry.

North Arkansas College

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

Goal 2: To provide opportunities for continual learning for workers in our community.

Objective 1: To improve continuing education/workforce training offerings.

Strategy 1: Re-package some credit courses to better fit the time constraints and training needs of area business and industry.

Strategy 2: Publicize to business/industry workers the benefits of continued education/training.

**INSTITUTION APPROPRIATION SUMMARY
2009-11 BIENNIUM**

INSTITUTION NORTH ARKANSAS COLLEGE

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2007-08		2008-09		2008-09		2009-10				2010-11			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	8,766,828		8,831,640		9,521,365		9,817,482		9,339,923		10,063,270		9,581,718	
2 CASH	12,494,473		30,190,000		30,190,000		31,840,000		31,840,000		31,840,000		31,840,000	
3														
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$21,261,301	482	\$39,021,640	498	\$39,711,365	621	\$41,657,482	532	\$41,179,923	532	\$41,903,270	532	\$41,421,718	532
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*		0%		0%				0%		0%		0%		0%
13 GENERAL REVENUE	7,803,915	37%	7,901,297	20%			8,866,896	21%	8,389,337	20%	9,112,684	22%	8,631,132	21%
14 EDUCATIONAL EXCELLENCE TRUST FUND	455,331	2%	436,675	1%			436,675	1%	436,675	1%	436,675	1%	436,675	1%
15 WORKFORCE 2000	519,832	2%	513,911	1%			513,911	1%	513,911	1%	513,911	1%	513,911	1%
16 CASH FUNDS	6,613,778	31%	23,190,000	59%			22,772,404	55%	22,772,404	55%	22,772,404	54%	22,772,404	55%
17 SPECIAL REVENUES		0%		0%				0%		0%		0%		0%
18 FEDERAL FUNDS	5,880,695	28%	7,000,000	18%			9,067,596	22%	9,067,596	22%	9,067,596	22%	9,067,596	22%
19 TOBACCO SETTLEMENT FUNDS		0%		0%				0%		0%		0%		0%
20 OTHER FUNDS		0%		0%				0%		0%	0	0%	0	0%
21 TOTAL INCOME	\$21,273,551	100%	\$39,041,883	100%			\$41,657,482	100%	\$41,179,923	100%	\$41,903,270	100%	\$41,421,718	100%
22 EXCESS (FUNDING)/APPROPRIATION	(\$12,250)		(\$20,243)				\$0		\$0		\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2008:	\$1,915,848
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$215,448
INVENTORIES	\$4,804
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	\$27,454
INSURANCE DEDUCTIBLES	\$10,000
MAJOR CRITICAL SYSTEMS FAILURES - ONE HVAC SECTION	\$500,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$1,577,338
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.) COMPUTER LAB	\$45,000
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	(\$464,196)

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2009-11 BIENNIUM**

NORTH ARKANSAS COLLEGE

NAME OF INSTITUTION

EXPENDITURE CATEGORIES	2007-08 ACTUAL	2008-09 BUDGETED *	2009-11 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS	
			2009-10 REQUEST / RECOMMENDATION	2010-11 REQUEST / RECOMMENDATION
1 INSTRUCTION	5,980,882	5,976,989	6,234,558	6,349,408
2 RESEARCH				
3 PUBLIC SERVICE				
4 ACADEMIC SUPPORT	1,883,725	1,809,460	1,881,838	1,919,475
5 STUDENT SERVICES	841,994	810,043	842,445	859,294
6 INSTITUTIONAL SUPPORT	1,885,215	1,989,601	2,069,185	2,110,569
7 PHYSICAL PLANT M&O	1,324,463	1,494,001	1,553,760	1,584,835
8 SCHOLARSHIPS & FELLOWSHIPS	266,506	256,259	260,000	260,000
9				
10				
11				
12				
13 MANDATORY TRANSFERS	65,540	65,541	65,541	65,541
14 AUXILIARY TRANSFERS	134,300	130,500	135,000	135,000
15 NON-MANDATORY TRANSFERS	(267,591)	70,000	70,000	70,000
16 TOTAL UNREST. E&G EXP.	\$12,115,034	\$12,602,394	\$13,112,327	\$13,354,122
17 NET LOCAL INCOME	3,668,918	3,772,404	3,772,404	3,772,404
18 PRIOR YEAR BALANCE***				
STATE FUNDS:				
19 GENERAL REVENUE	7,803,915	7,901,297	8,389,337	8,631,132
20 EDUCATIONAL EXCELLENCE	455,331	436,675	436,675	436,675
21 WORKFORCE 2000	519,832	513,911	513,911	513,911
22 TOBACCO SETTLEMENT FUNDS				
23 OTHER STATE FUNDS **				
24 TOTAL SOURCES OF INCOME	\$12,447,996	\$12,624,287	\$13,112,327	\$13,354,122

FORM 09-2

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**ESTIMATED UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND
CASH INCOME FOR THE 2009-11 BIENNIUM**

NORTH ARKANSAS COLLEGE

(NAME OF INSTITUTION)

SOURCE	ACTUAL 2007-08	BUDGETED 2008-09	ESTIMATED INCOME	
			2009-10	2010-11
1 TUITION AND MANDATORY FEES	3,179,060	3,288,884	3,300,000	3,300,000
2 ALL OTHER FEES	191,008	185,000	185,000	185,000
3 OFF-CAMPUS CREDIT				
4 NON-CREDIT INSTRUCTION	73,076	85,000	75,000	75,000
5 ORGANIZED ACTIVITIES RELATED TO EDUCATIONAL DEPARTMENTS				
6 INVESTMENT INCOME	53,884	42,700	45,000	45,000
7 OTHER CASH INCOME*	171,890	170,820	167,404	167,404
8 TOTAL UNRESTRICTED CURRENT FUND CASH INCOME	3,668,918	3,772,404	3,772,404	3,772,404
9 LESS: TWO-YEAR COLLEGE ACTIVITY FEES				
10 NET UNRESTRICTED CURRENT FUND CASH INCOME AVAILABLE FOR UNRESTRICTED EDUCATIONAL AND GENERAL OPERATIONS	\$3,668,918	\$3,772,404	\$3,772,404	\$3,772,404

FORM 09-3

*Other Cash Income

Facility Day Use and Facility Lease	14,190	33,520	30,000	30,000
Federal Program Admin Fee	23,476	15,000	15,000	15,000
Misc. Income	28,055	17,300	17,404	17,404
Federal Indirect Cost Allowance	81,169	80,000	80,000	80,000
Donations	<u>25,000</u>	<u>25,000</u>	<u>25,000</u>	<u>25,000</u>
	171,890	170,820	167,404	167,404

**APPROPRIATION ACT FORM - STATE TREASURY- AHECB RECOMMENDATION
2009-11 BIENNIUM**

FUND CWN0000

INSTITUTION NORTH ARKANSAS COLLEGE

APPROPRIATION 291

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	6,015,038	5,599,554	6,256,049	6,414,533	6,552,010		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	1,809,790	1,714,817	1,748,047	1,656,769	1,723,040		
5 OPERATING EXPENSES	942,000	1,516,869	1,516,869	1,268,221	1,306,268		
6 CONFERENCE FEES & TRAVEL		100	100	100	100		
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)		100	100	100	100		
8 CAPITAL OUTLAY		100	100	100	100		
9 DATA PROCESSING SERVICES		100	100	100	100		
10 FUNDED DEPRECIATION							
11							
12							
13							
14 TOTAL APPROPRIATION	\$8,766,828	\$8,831,640	\$9,521,365	\$9,339,923	\$9,581,718	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE	7,803,915	7,901,297		8,389,337	8,631,132		
17 EDUCATIONAL EXCELLENCE TRUST FUNDS	455,331	436,675		436,675	436,675		
18 SPECIAL REVENUES * [WF2000]	519,832	513,911		513,911	513,911		
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS							
22 TOTAL INCOME	\$8,779,078	\$8,851,883		\$9,339,923	\$9,581,718	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	(\$12,250)	(\$20,243)		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM 09-4

**APPROPRIATION ACT FORM - STATE TREASURY - FLAT BUDGET
2009-11 BIENNIUM**

FUND CWN0000

INSTITUTION NORTH ARKANSAS COLLEGE

APPROPRIATION 291

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	6,015,038	5,599,554	6,256,049	5,600,000	5,600,000		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	1,809,790	1,714,817	1,748,047	1,715,000	1,715,000		
5 OPERATING EXPENSES	942,000	1,516,869	1,516,869	1,536,483	1,536,483		
6 CONFERENCE FEES & TRAVEL		100	100	100	100		
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)		100	100	100	100		
8 CAPITAL OUTLAY		100	100	100	100		
9 DATA PROCESSING SERVICES		100	100	100	100		
10 FUNDED DEPRECIATION							
11							
12							
13							
14 TOTAL APPROPRIATION	\$8,766,828	\$8,831,640	\$9,521,365	\$8,851,883	\$8,851,883	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE	7,803,915	7,901,297		7,901,297	7,901,297		
17 EDUCATIONAL EXCELLENCE TRUST FUNDS	455,331	436,675		436,675	436,675		
18 SPECIAL REVENUES * [WF2000]	519,832	513,911		513,911	513,911		
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS							
22 TOTAL INCOME	\$8,779,078	\$8,851,883		\$8,851,883	\$8,851,883	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	(\$12,250)	(\$20,243)		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM 09-4

**APPROPRIATION ACT FORM - CASH FUNDS
2009-11 BIENNIUM**

FUND 2140000

INSTITUTION NORTH ARKANSAS COLLEGE

APPROPRIATION A62

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	2,267,238	4,121,200	4,121,200	4,000,000	4,000,000		
2 EXTRA HELP WAGES	460,935	600,000	600,000	600,000	600,000		
3 OVERTIME		20,000	20,000	20,000	20,000		
4 PERSONAL SERVICES MATCHING	1,335,625	2,260,600	2,260,600	1,800,000	1,800,000		
5 OPERATING EXPENSES	3,090,843	4,100,000	4,100,000	4,000,000	4,000,000		
6 CONFERENCE FEES & TRAVEL	247,523	300,000	300,000	300,000	300,000		
7 PROFESSIONAL FEES AND SERVICES	195,418	300,000	300,000	300,000	300,000		
8 DATA PROCESSING		100,000	100,000	100,000	100,000		
9 CAPITAL OUTLAY	234,912	2,000,000	2,000,000	1,000,000	1,000,000		
10 CAPITAL IMPROVEMENTS	1,042,750	13,518,200	13,518,200	8,000,000	8,000,000		
11 DEBT SERVICE	2,346,820	650,000	650,000	700,000	700,000		
12 FUND TRANSFERS, REFUNDS AND INVESTMENT	1,272,409	2,200,000	2,200,000	2,000,000	2,000,000		
13 PROMOTIONAL		20,000	20,000	20,000	20,000		
14							
15							
16 CONTINGENCY				9,000,000	9,000,000		
17 TOTAL APPROPRIATION	\$12,494,473	\$30,190,000	\$30,190,000	\$31,840,000	\$31,840,000	\$0	\$0
18 PRIOR YEAR FUND BALANCE***							
19 LOCAL CASH FUNDS	3,668,918	3,772,404		3,772,404	3,772,404		
20 FEDERAL CASH FUNDS	5,880,695	7,000,000		9,067,596	9,067,596		
21 OTHER CASH FUNDS	2,944,860	19,417,596		19,000,000	19,000,000		
22 TOTAL INCOME	\$12,494,473	\$30,190,000		\$31,840,000	\$31,840,000	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED 2007-09	REQUESTED	RECOMMENDED	LEGISLATIVE RECOMMENDATION	
				2009-11	2009-11	2009-11	2010-11
REGULAR POSITIONS	482	498	621	532	532		
TOBACCO POSITIONS							
EXTRA HELP **	500	500	500	500	500		

FORM 09-5

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

NORTH ARKANSAS COLLEGE
(NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2007-08				BUDGETED 2008-09			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *	10,043	261,018		(250,975)	8,200	300,906		(292,706)
2 RESIDENCE HALL				0				0
3 MARRIED STUDENT HOUSING				0				0
4 FACULTY HOUSING				0				0
5 FOOD SERVICES	43,645	54,755		(11,110)	52,500	56,309		(3,809)
6 COLLEGE UNION				0				0
7 BOOKSTORE	1,130,687	1,063,897		66,790	1,073,360	923,585		149,775
8 STUDENT ORGANIZATIONS AND PUBLICATIONS		9,532		(9,532)		14,200		(14,200)
9 STUDENT HEALTH SERVICES				0				0
10 OTHER * see below	50,580	40,685		9,895	62,000	31,560		30,440
11 SUBTOTAL	\$1,234,955	\$1,429,887	\$0	(\$194,932)	\$1,196,060	\$1,326,560	\$0	(\$130,500)
12 ATHLETIC TRANSFER **	134,300			134,300	130,500			130,500
13 OTHER TRANSFERS ***				0				0
14 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$1,369,255	\$1,429,887	\$0	(\$60,632)	\$1,326,560	\$1,326,560	\$0	\$0

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM 09-6

** For both two-year and four-year institutions, line 12, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 13, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

	Income	Expense	Net	Income	Expense	Net
Other						
Summer Camps	28,045	16,188	11,857	30,000	16,810	13,190
Gym Rental	675	0	675	2,000	0	2,000
Misc	2,700	0	2,700	500	0	500
Donation	0	0	0	6,000	0	6,000
Tournaments - Net	2,537	0	2,537	3,500	0	3,500
Vending	16,623	0	16,623	20,000	0	20,000
Student Activities	0	8,439	(8,439)	0	12,000	(12,000)
Northark Rodeo Sponsorship	0	15,000	(15,000)	0	0	0
Intramurals	0	1,058	(1,058)	0	2,750	(2,750)
Total	50,580	40,685	9,895	62,000	31,560	30,440

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

NORTH ARKANSAS COLLEGE
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2007-08:						<u>190</u>
(As of November 1, 2007)						
Nonclassified Administrative Employees:						
White Male:	<u>19</u>	Black Male:	<u> </u>	Other Male:	<u> </u>	Total Male: <u>19</u>
White Female:	<u>29</u>	Black Female:	<u> </u>	Other Female:	<u> </u>	Total Female: <u>29</u>
Nonclassified Health Care Employees:						
White Male:	<u> </u>	Black Male:	<u> </u>	Other Male:	<u> </u>	Total Male: <u>0</u>
White Female:	<u> </u>	Black Female:	<u> </u>	Other Female:	<u> </u>	Total Female: <u>0</u>
Classified Employees:						
White Male:	<u>20</u>	Black Male:	<u> </u>	Other Male:	<u> </u>	Total Male: <u>20</u>
White Female:	<u>54</u>	Black Female:	<u> </u>	Other Female:	<u> </u>	Total Female: <u>54</u>
Faculty:						
White Male:	<u>32</u>	Black Male:	<u> </u>	Other Male:	<u> </u>	Total Male: <u>32</u>
White Female:	<u>36</u>	Black Female:	<u> </u>	Other Female:	<u> </u>	Total Female: <u>36</u>
Total White Male:	<u>71</u>	Total Black Male:	<u>0</u>	Total Other Male:	<u>0</u>	Total Male: <u>71</u>
Total White Female:	<u>119</u>	Total Black Female:	<u>0</u>	Total Other Female:	<u>0</u>	Total Female: <u>119</u>
Total White:	<u>190</u>	Total Black:	<u>0</u>	Total Other:	<u>0</u>	Total Employees: <u>190</u>
				Total Minority:	<u>0</u>	

FORM 09-8

DIVISION OF LEGISLATIVE AUDIT
AUDIT OF North Arkansas College
June 30, 2007

<p><i>Finding:</i></p>	<p>It is management's responsibility to have complete and accurate financial records. The following errors were noted in the financial records of the College:</p> <p style="padding-left: 40px;">Construction expenditures totaling \$19,903 were classified as supplies and other. Depreciation expense of \$26,648 was not recorded for items deleted during the year. Accumulated depreciation was not reduced by \$6,112 for items deleted during the year. An adjustment was not made for prior year deferred revenue in the amount of \$72,066.</p> <p>Management approved adjustments to the financial statements for these errors at the time of audit.</p>
<p><i>Recommendation:</i></p>	<p>The College evaluate its procedures and controls to ensure accurate and complete financial statements.</p>
<p><i>Institution's Response:</i></p>	<p><u>Financial Records and Report Corrections</u> – (a) Misclassified construction expense – a new capital construction expense code has been created and all respective outlay will be classified appropriately. (b) Unrecorded depreciation expense for items disposed of during review year – a new capital asset inventory system software package has been acquired which will greatly assist the determination of depreciation for all asset categories. (c) Unadjusted accumulated depreciation for items disposed of during review year – the above software will assist with this determination and entry. (d) Non-reversed deferred revenue entry during review year – current accounting personnel now understand that a manual reversal entry is required for system generated deferrals.</p>
<p><i>Finding:</i></p>	<p>Accounting supervisor Michael Flory discovered and reported to management that he received a salary overpayment in the amount of \$5,304 due to clerical error. Repayment of \$370 was made through payroll deduction, leaving an amount owed of \$4,934. Mr. Flory resigned on July 10, 2007 and subsequent</p>

DIVISION OF LEGISLATIVE AUDIT
AUDIT OF North Arkansas College
June 30, 2007

	attempts to obtain remaining overpayment have been unsuccessful. The College has remitted this debt to the state income tax setoff.
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<i>Recommendation:</i>	The College continue procedures to recover this salary overpayment.
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<i>Institution's Response:</i>	<u>Overpayment and Receivable of Former Employee</u> – The salary overpayment was detected by college personnel, reported to the Division of Legislative Audit and a repayment/salary reduction agreement and schedule was agreed to before the individual resigned. Upon cessation of employment, a receivable was recorded, collection notices have been issued and the outstanding debt has been submitted for Arkansas income tax refund garnishment.
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NATIONAL PARK COMMUNITY COLLEGE

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

I. Introduction

National Park Community College is a comprehensive public two-year college created through legislation in the spring of 2003 which officially began operation on July 1, 2003. NPCC represents the merger of Garland County Community College and Quapaw Technical Institute, both of which began offering post-secondary coursework in 1973. The NPCC campus is located on 125 acres in Garland County outside the city limits of Hot Springs. NPCC serves both the students in Garland County and the students in Montgomery and Pike Counties but also draws students from Clark and Hot Spring Counties. Many of these students come from disadvantaged rural areas and would not be pursuing higher education if National Park Community College was not available to them. The College exists to serve the needs of its students and of the greater community it serves.

With our mission, Learning is our focus; student success is our goal, NPCC seeks to respond to the needs of students of varying levels of abilities. NPCC offers the following degrees: Associate of Arts, Associate of Science, Associate of Liberal Studies, Associate of Arts in Teaching, and Associate of Applied Science. In addition, the College also offers diploma and certificate programs, secondary and postsecondary occupational education, Adult Education, and Community Service/Continuing Education/Workforce Development. NPCC provides accessible, affordable educational opportunities to both individuals and to business and industry.

Student enrollment for the fall of 2007 was 2935. During the 2007-08 academic year, there were 4195 unduplicated degree-seeking students, and 4912 students enrolled in Community Service/Continuing Education/Workforce Development programs. Also in the 2007-08 academic year there were 365 students in the high school center technical programs and 1332 students served in the Adult Education area. The College seeks to respond to the needs of its students at their various levels of ability and development. Our programs of study are designed to fit the needs of the citizens of our community college district.

NATIONAL PARK COMMUNITY COLLEGE

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

II. Institutional Mission Statement

Learning is our focus; student success is our goal.

III. Institutional Values

Access – We assist students in achieving their individual educational goals by creating a learning community that is accessible, convenient, caring, affordable, and secure.

Excellence – We strive for exemplary performance in all we do. Assessment of student learning is a means of measuring our success.

Accountability - We are all accountable to ourselves and to one another in a learning community. We expect all members to act responsibly, behave ethically, and grow professionally.

Collaboration – We facilitate partnerships that enhance learning, solve problems, promote economic development, and improve quality of life.

Mutual Respect and Support – We recognize the dignity and inherent worth of all individuals. We create opportunities to explore diversity of ideas, individuals, and cultures through open communication.

IV. Institutional Vision

We aspire to be the premier comprehensive community college in the state by providing learning for life opportunities while offering exemplary service to our community.

V. Institutional Purpose

NATIONAL PARK COMMUNITY COLLEGE

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

As a comprehensive community college, NPCC offers

- A broad general education curriculum and support services for all students as a basis for transfer, further study, professional specialization, and personal enrichment.
- Pre-college coursework to prepare students for academic success.
- A variety of technical and professional programs to prepare students for career experiences.
- Continuing education and workforce training opportunities to meet community needs and personal interests
- To achieve its purposes, NPCC has implemented the following new programs: AAS in Visual and Media Arts and Technical Certificate in Allied Health; has deleted an AAS program in Electronics and Certificates in Industrial Control Electronics, Early Childhood Education: Owner/Director, Early Childhood Education: Nanny, Sheetmetal (HVAC), Machine Tool Technology, and electronics Technology; and placed on inactive status, an AAS in Manufacturing Technology, and Certificates in Computer Support, Microsoft, Cisco, Programming Support, CAD/CAM, Manufacturing Technology, and Sheetmetal (Aircraft). Since none of these changes involved adding new courses or adding or deleting faculty members, there was no reallocation of funds. NPCC plans to increase the number of programs in the allied health area to meet local employment needs.

VI. Strategic Goals

Goal 1 > Increase Retention and Graduation Rates

1. Strengthen the connection between the college and all its students, particularly the academically at-risk students.
 - a. Implement a mentoring program and/or other faculty/student collaborations

NATIONAL PARK COMMUNITY COLLEGE

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

- b. Implement a workshop series on the millennial student
 - 2. Equip full-time and adjunct faculty with “a vision of the possible” for their students’ achievement
 - a. Implement cooperative learning, strategies from Frameworks for Understanding Poverty, a new developmental math sequence, classroom response systems, and other active learning strategies
 - 3. Institutionalize cultural change for student success
 - a. Assess current curricular and student services policies and processes to assure that they facilitate student success
 - b. Assess need for prerequisites
 - c. Assess congruence of course content in sequential and/or building block courses
- Goal 2** > Develop and Implement a Comprehensive Plan to Maximize use of Institutional Resources
- 1. Review and revise the current campus master plans
 - a. Continue to Identify and review existing facilities
 - b. Address critical facility needs (relate those to funding availability)
 - c. Plan for growth
 - 2. Review and revise the organizational model
 - a. Identify existing human resources and develop a plan to maximize effectiveness and efficiency

NATIONAL PARK COMMUNITY COLLEGE

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

b. Review and revise current institution policies

3. Review current program offerings and identify needs for new programs

4. Create a comprehensive marketing plan.

Goal 3 > Enhance/Maximize Technology Use

1. Create a plan to enhance the use of technology in the classroom

2. Provide a variety of alternative delivery methods for instruction

a. Web-based

b. Compressed Video

c. Web Enhanced

3. Provide access to technology-based services for students

4. Develop enhanced administrative technology for faculty and staff

5. Enhance Marketing through the use of technology

Goal 4 > Seek to Develop Alternate Funding Sources

1. The College will increase grant funding.

a. Federal

b. State

NATIONAL PARK COMMUNITY COLLEGE

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

- c. Private
- 2. Increase the contributions to the Foundation
- 3. Cultivate relationships with the following groups:
 - a. Corporations
 - b. Individuals
 - c. Other organizations and foundations
- 4. Track all contributions to the College.

VII. Conclusion

National Park Community College continues to provide postsecondary educational opportunities through quality learning experiences that contribute to the intellectual and cultural development of its students. The College continues to strengthen institutional quality through excellence in instruction. It provides quality lifelong learning through diverse and innovative comprehensive educational programs. All are encouraged to commit to the challenges that lay before us as we make the “natural change”—a merged institution maximizing our resources, striving for excellence, and committed to learning.

**INSTITUTION APPROPRIATION SUMMARY
2009-11 BIENNIUM**

INSTITUTION NATIONAL PARK COMMUNITY COLLEGE

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2007-08		2008-09		2008-09		2009-10				2010-11			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	10,498,107		10,550,571		10,719,314		11,903,259		11,104,081		12,197,592		11,391,929	
2 CASH	7,944,629		33,900,000		33,900,000		34,300,000		34,300,000		34,500,000		34,500,000	
3														
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$18,442,736	342	\$44,450,571	326	\$44,619,314	402	\$46,203,259	389	\$45,404,081	389	\$46,697,592	389	\$45,891,929	389
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*		0%		0%				0%		0%		0%		0%
13 GENERAL REVENUE	8,741,258	47%	8,847,844	20%			10,200,532	22%	9,401,354	21%	10,494,865	22%	9,689,202	21%
14 EDUCATIONAL EXCELLENCE TRUST FUND	1,153,107	6%	1,105,862	2%			1,105,862	2%	1,105,862	2%	1,105,862	2%	1,105,862	2%
15 WORKFORCE 2000	603,742	3%	596,865	1%			596,865	1%	596,865	1%	596,865	1%	596,865	1%
16 CASH FUNDS	3,284,889	18%	25,486,269	57%			25,450,000	55%	25,450,000	56%	25,650,000	55%	25,650,000	56%
17 SPECIAL REVENUES		0%		0%				0%		0%		0%		0%
18 FEDERAL FUNDS	4,659,740	25%	8,413,731	19%			8,850,000	19%	8,850,000	19%	8,850,000	19%	8,850,000	19%
19 TOBACCO SETTLEMENT FUNDS		0%		0%				0%		0%		0%		0%
20 OTHER FUNDS		0%		0%				0%		0%		0%		0%
21 TOTAL INCOME	\$18,442,736	100%	\$44,450,571	100%			\$46,203,259	100%	\$45,404,081	100%	\$46,697,592	100%	\$45,891,929	100%
22 EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$0		\$0		\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2008:	\$2,344,084
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	
INVENTORIES	
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	\$20,000
MAJOR CRITICAL SYSTEMS FAILURES	\$200,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$1,850,000
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	\$274,084
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$0

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2009-11 BIENNIUM**

NATIONAL PARK COMMUNITY COLLEGE
NAME OF INSTITUTION

EXPENDITURE CATEGORIES	2007-08 ACTUAL	2008-09 BUDGETED *	2009-11 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS	
			2009-10 REQUEST / RECOMMENDATION	2010-11 REQUEST / RECOMMENDATION
1 INSTRUCTION	7,590,334	7,563,131	7,918,652	8,118,325
2 RESEARCH				
3 PUBLIC SERVICE	87,091	96,608	101,149	103,700
4 ACADEMIC SUPPORT	923,678	866,147	906,862	929,729
5 STUDENT SERVICES	1,678,459	1,900,105	1,989,423	2,039,588
6 INSTITUTIONAL SUPPORT	2,659,080	2,257,261	2,417,813	2,478,779
7 PHYSICAL PLANT M&O	1,730,169	1,706,736	1,751,728	1,814,567
8 SCHOLARSHIPS & FELLOWSHIPS	372,196	507,100	530,937	544,325
9 UNALLOCATED FRINGE BENEFITS	135,470	80,957	120,000	120,000
10				
11				
12				
13 MANDATORY TRANSFERS		161,093	161,093	161,093
14 AUXILIARY TRANSFERS	51,126	52,000	52,000	52,000
15 NON-MANDATORY TRANSFERS				
16 TOTAL UNREST. E&G EXP.	\$15,227,603	\$15,191,138	\$15,949,657	\$16,362,106
17 NET LOCAL INCOME	4,334,932	4,667,710	4,845,576	4,970,177
18 PRIOR YEAR BALANCE***				
STATE FUNDS:				
19 GENERAL REVENUE	8,741,258	8,847,844	9,401,354	9,689,202
20 EDUCATIONAL EXCELLENCE	1,153,107	1,105,862	1,105,862	1,105,862
21 WORKFORCE 2000	603,742	596,865	596,865	596,865
22 TOBACCO SETTLEMENT FUNDS				
23 OTHER STATE FUNDS **	635,980			
24 TOTAL SOURCES OF INCOME	\$15,469,019	\$15,218,281	\$15,949,657	\$16,362,106

FORM 09-2

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in

**Line 23:GIFFunds \$100,00, Higher Ed GO Bonds \$535,980 - MIS Software Purchase

**ESTIMATED UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND
CASH INCOME FOR THE 2009-11 BIENNIUM**

NATIONAL PARK COMMUNITY COLLEGE
(NAME OF INSTITUTION)

SOURCE	ACTUAL 2007-08	BUDGETED 2008-09	ESTIMATED INCOME	
			2009-10	2010-11
1 TUITION AND MANDATORY FEES	3,923,250	4,454,612	4,640,752	4,754,791
2 ALL OTHER FEES	16,896	20,555	21,624	22,186
3 OFF-CAMPUS CREDIT				
4 NON-CREDIT INSTRUCTION	123,074	105,200	105,200	105,200
5 ORGANIZED ACTIVITIES RELATED TO EDUCATIONAL DEPARTMENTS				
6 INVESTMENT INCOME	123,877	60,000	60,000	60,000
7 OTHER CASH INCOME:*	198,961	79,343	70,000	80,000
8 TOTAL UNRESTRICTED CURRENT FUND CASH INCOME	4,386,058	4,719,710	4,897,576	5,022,177
9 LESS: TWO-YEAR COLLEGE ACTIVITY FEES	51,126	52,000	52,000	52,000
10 NET UNRESTRICTED CURRENT FUND CASH INCOME AVAILABLE FOR UNRESTRICTED EDUCATIONAL AND GENERAL OPERATIONS	\$4,334,932	\$4,667,710	\$4,845,576	\$4,970,177

FORM 09-3

*Line 7: Actual 2007-08: Administrative Expense Allowance \$69,542; Child Care \$33,165; Misc. \$3,443; M&R Receipts \$7,707; Mineral Lease \$9,668; EIF Funds \$75,436.
Budgeted 2008-09: Administrative Expense Allowance \$73,043; Misc. \$6,300.

**APPROPRIATION ACT FORM - STATE TREASURY - AHECB RECOMMENDATION
2009-11 BIENNIUM**

FUND CWG0000

INSTITUTION NATIONAL PARK COMMUNITY COLLEGE

APPROPRIATION 302

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	7,918,697	8,121,791	8,020,614	8,747,070	8,971,511		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	2,034,959	1,894,609	1,844,609	1,859,017	1,909,589		
5 OPERATING EXPENSES	518,277	507,996	827,916	471,819	484,654		
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY							
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION	26,174	26,175	26,175	26,175	26,175		
11							
12							
13							
14 TOTAL APPROPRIATION	\$10,498,107	\$10,550,571	\$10,719,314	\$11,104,081	\$11,391,929	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE	8,741,258	8,847,844		9,401,354	9,689,202		
17 EDUCATIONAL EXCELLENCE TRUST FUNDS	1,153,107	1,105,862		1,105,862	1,105,862		
18 SPECIAL REVENUES * [WF2000]	603,742	596,865		596,865	596,865		
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS							
22 TOTAL INCOME	\$10,498,107	\$10,550,571		\$11,104,081	\$11,391,929	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM 09-4

**APPROPRIATION ACT FORM - STATE TREASURY - FLAT BUDGET
2009-11 BIENNIUM**

FUND CWG0000

INSTITUTION NATIONAL PARK COMMUNITY COLLEGE

APPROPRIATION 302

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	7,918,697	8,121,791	8,020,614	8,121,791	8,121,791		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	2,034,959	1,894,609	1,844,609	1,894,609	1,894,609		
5 OPERATING EXPENSES	518,277	507,996	827,916	507,996	507,996		
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY							
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION	26,174	26,175	26,175	26,175	26,175		
11							
12							
13							
14 TOTAL APPROPRIATION	\$10,498,107	\$10,550,571	\$10,719,314	\$10,550,571	\$10,550,571	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE	8,741,258	8,847,844		8,847,844	8,847,844		
17 EDUCATIONAL EXCELLENCE TRUST FUNDS	1,153,107	1,105,862		1,105,862	1,105,862		
18 SPECIAL REVENUES * [WF2000]	603,742	596,865		596,865	596,865		
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS							
22 TOTAL INCOME	\$10,498,107	\$10,550,571		\$10,550,571	\$10,550,571	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM 09-4

**APPROPRIATION ACT FORM - STATE TREASURY - 7% REDUCTION
2009-11 BIENNIUM**

FUND CWG0000

INSTITUTION NATIONAL PARK COMMUNITY COLLEGE

APPROPRIATION 302

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	7,918,697	8,121,791	8,020,614	7,642,597	7,642,597		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	2,034,959	1,894,609	1,844,609	1,782,825	1,782,825		
5 OPERATING EXPENSES	518,277	507,996	827,916	479,625	479,625		
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY							
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION	26,174	26,175	26,175	26,175	26,175		
11							
12							
13							
14 TOTAL APPROPRIATION	\$10,498,107	\$10,550,571	\$10,719,314	\$9,931,222	\$9,931,222	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE	8,741,258	8,847,844		8,228,495	8,228,495		
17 EDUCATIONAL EXCELLENCE TRUST FUNDS	1,153,107	1,105,862		1,105,862	1,105,862		
18 SPECIAL REVENUES * [WF2000]	603,742	596,865		596,865	596,865		
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS							
22 TOTAL INCOME	\$10,498,107	\$10,550,571		\$9,931,222	\$9,931,222	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - CASH FUNDS
2009-11 BIENNIUM**

FUND 2120000

INSTITUTION NATIONAL PARK COMMUNITY COLLEGE

APPROPRIATION A72

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	1,500,124	9,826,240	9,826,240	6,129,204	6,288,563		
2 EXTRA HELP WAGES	551,136	1,150,000	1,150,000	1,209,800	1,241,255		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	558,247	1,310,904	1,310,904	1,379,071	1,414,927		
5 OPERATING EXPENSES	3,505,637	6,902,856	6,902,856	4,200,000	4,310,000		
6 CONFERENCE FEES & TRAVEL	140,051	150,000	150,000	157,800	161,900		
7 PROFESSIONAL FEES AND SERVICES	581,183	2,350,000	2,350,000	368,200	377,773		
8 DATA PROCESSING							
9 CAPITAL OUTLAY	478,410	500,000	500,000	600,000	700,000		
10 CAPITAL IMPROVEMENTS		10,000,000	10,000,000	5,000,000	5,000,000		
11 DEBT SERVICE	621,375	700,000	700,000	700,000	700,000		
12 FUND TRANSFERS, REFUNDS AND INVESTMENT	1,709	1,000,000	1,000,000	1,000,000	1,000,000		
13 PROMOTIONAL ITEMS	6,757	10,000	10,000	10,000	10,000		
14							
15							
16 CONTINGENCY				13,545,925	13,295,582		
17 TOTAL APPROPRIATION	\$7,944,629	\$33,900,000	\$33,900,000	\$34,300,000	\$34,500,000	\$0	\$0
18 PRIOR YEAR FUND BALANCE***							
19 LOCAL CASH FUNDS	2,664,509	24,824,133		24,750,000	24,950,000		
20 FEDERAL CASH FUNDS	4,659,740	8,413,731		8,850,000	8,850,000		
21 OTHER CASH FUNDS*	620,380	662,136		700,000	700,000		
22 TOTAL INCOME	\$7,944,629	\$33,900,000		\$34,300,000	\$34,500,000	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED 2007-09	REQUESTED	RECOMMENDED	LEGISLATIVE RECOMMENDATION	
				2009-11	2009-11	2009-11	2010-11
REGULAR POSITIONS	312	318	405	390	390		
TOBACCO POSITIONS							
EXTRA HELP **	203	200	402	402	402		

FORM 09-5

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*Line 21: Actual 2007-08: WIG \$127,520; ACAD Challenge \$144,875; Higher Ed Go Grant \$12,500; Career Pathways \$285,485; Achieve the Dream \$50,000.

Budgeted 2008-09: WIG \$135,000; ACAD Challenge \$145,000; Higher Ed Go Grant \$15,000; Career Pathways \$267,136; Achieve the Dream \$100,000.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

NATIONAL PARK COMMUNITY COLLEGE
(NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2007-08				BUDGETED 2008-09			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *				0				0
2 RESIDENCE HALL				0				0
3 MARRIED STUDENT HOUSING				0				0
4 FACULTY HOUSING				0				0
5 FOOD SERVICES				0				0
6 COLLEGE UNION	14,392	11,835		2,557	13,000	13,000		0
7 BOOKSTORE	1,576,261	1,455,272		120,989	1,900,000	1,900,000		0
8 STUDENT ORGANIZATIONS AND PUBLICATIONS				0				0
9 STUDENT HEALTH SERVICES				0				0
10 OTHER****		7,124		(7,124)	12,000	12,000		0
11 SUBTOTAL	\$1,590,653	\$1,474,231	\$0	\$116,422	\$1,925,000	\$1,925,000	\$0	\$0
12 ATHLETIC TRANSFER **				0				0
13 OTHER TRANSFERS ***	7,124			7,124	8,000			8,000
14 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$1,597,777	\$1,474,231	\$0	\$123,546	\$1,933,000	\$1,925,000	\$0	\$8,000

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM 09-6

** For both two-year and four-year institutions, line 12, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 13, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

**** Line 10: Actual 2007-08: Orientation & Special Events \$7,124; Budgeted 2008-09: Orientation & Special Events \$12,000.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

NATIONAL PARK COMMUNITY COLLEGE
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2007-08:						<u>218</u>
(As of November 1, 2007)						
Nonclassified Administrative Employees:						
White Male:	<u>13</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>13</u>
White Female:	<u>23</u>	Black Female:	<u>1</u>	Other Female:	<u>0</u>	Total Female: <u>24</u>
Nonclassified Health Care Employees:						
White Male:	<u>0</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>0</u>
White Female:	<u>1</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>	Total Female: <u>1</u>
Classified Employees:						
White Male:	<u>18</u>	Black Male:	<u>4</u>	Other Male:	<u>0</u>	Total Male: <u>22</u>
White Female:	<u>50</u>	Black Female:	<u>5</u>	Other Female:	<u>4</u>	Total Female: <u>59</u>
Faculty:						
White Male:	<u>37</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>37</u>
White Female:	<u>58</u>	Black Female:	<u>4</u>	Other Female:	<u>0</u>	Total Female: <u>62</u>
Total White Male:	<u>68</u>	Total Black Male:	<u>4</u>	Total Other Male:	<u>0</u>	Total Male: <u>72</u>
Total White Female:	<u>132</u>	Total Black Female:	<u>10</u>	Total Other Female:	<u>4</u>	Total Female: <u>146</u>
Total White:	<u>200</u>	Total Black:	<u>14</u>	Total Other:	<u>4</u>	Total Employees: <u>218</u>
				Total Minority:	<u>18</u>	

FORM 09-8

DIVISION OF LEGISLATIVE AUDIT
AUDIT OF National Park Community College
June 30, 2007

<i>Finding:</i>	Financial Reporting Reconciliation and financial statement procedures were not being performed in a manner to ensure accuracy of all general ledger account balances in the financial statements. Audit adjustments were made to the Statement of Cash Flows in order to fairly present the financial activity of the College.
<i>Institution's Response:</i>	It is our understanding that because of some discrepancies in the Statement of Cash Flows between the traditional fund accounting financial statements and the GASB required statements that our reconciliation and financial statements procedures were not being performed in a manner to insure accuracy of all general ledger account balances. Please be assured that we will go forward with these audit adjusted numbers when we begin financial statement preparations for FY 2008.

**NORTHWEST ARKANSAS COMMUNITY COLLEGE
INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED
TO THE 2009-11 APPROPRIATION REQUESTS**

NorthWest Arkansas Community is a comprehensive public two-year college that serves and strengthens the community through learning for living. To accomplish this mission, the Board of Trustees in April 2004 approved a five-year strategic plan. Each year the Board has reviewed and revised the strategic goals, and in April 2008 approved the following five strategic initiatives and strategic goals for 2008-2010:

I. For the student community NWACC will:

Provide expanded access to high-quality educational opportunities for the population in general, especially for underserved learners in all age groups who are disconnected by choice or circumstance.

Strategic Goals:

- Provide learning opportunities, programs, and services to meet the needs of our community.
- Develop and implement a continuous improvement plan that assesses student learning and recognizes and rewards effective teaching.
- Develop and implement services and programs to facilitate learners' academic persistence.
- Develop and execute a College branding initiative to increase awareness of education and training opportunities offered through NWACC.

II. For the business community NWACC will:

Enhance partnerships and collaborations with business and industry.

**NORTHWEST ARKANSAS COMMUNITY COLLEGE
INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED
TO THE 2009-11 APPROPRIATION REQUESTS**

Strategic Goals:

- Continue to expand certification and short-term credit and non-credit training programs that meet learners' needs, market demands, and maximize classroom and technology utilization.
- Evaluate and focus advisory committees to reflect the changing needs of business and the community for all programs.
- Develop and implement new programs for Global Business Development Center to accommodate four institutes in entrepreneurship, transportation/logistics, retail management, and sustainability, and the SIFE program, and the Business and Computer Division.

III. For the owner community (taxpayers) NWACC will:

Enhance its capacity to diversify NWACC's resource base and function as a catalyst for comprehensive regional planning and development.

Strategic Goals:

- Implement the Master Plan.
- Continue the collaboration between the College and Foundation to complete the ongoing \$16M Capital Campaign.
- Increase appropriate grant, private and other funding opportunities that support college initiatives.
- Achieve maximum efficiency of available space utilization and distance learning capacity.
- Expand Corporate Learning deliverables and transition the division to a profit center.
- Pursue new partnerships that position the college for additional resources while advancing the College mission.

**NORTHWEST ARKANSAS COMMUNITY COLLEGE
INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED
TO THE 2009-11 APPROPRIATION REQUESTS**

IV. For the pre-kindergarten through grade 16 community NWACC will:

Provide support and leadership for partnerships with area educational institutions to provide learners with seamless opportunities preparing them for college and careers.

Strategic Goals:

- Enhance opportunities for learners to achieve their educational and personal enrichment goals.
- Deliver programs that promote professional growth opportunities for P-16 learning professionals.
- Develop a collaborative approach to curriculum design and delivery which aligns with P-16 programs.
- Improve and expand mutually beneficial linkages with educational partners.

V. For the College community NWACC will:

Align the organizational infrastructure through continuous quality improvement and commitment to the principles of the Learning College.

Strategic Goals:

- Develop and refine the organizational structure.
- Recruit and retain a diverse and effective workforce.
- Promote a culture of inclusiveness, participation, collaboration, professional service and mutual respect within a learning-centered environment.

**NORTHWEST ARKANSAS COMMUNITY COLLEGE
INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED
TO THE 2009-11 APPROPRIATION REQUESTS**

- Enhance quality of work life for faculty and staff.
- Develop a plan for continuous quality improvement for all programs and services.

NEW PROGRAMS APPROVED BY THE ARKANSAS HIGHER EDUCATION COORDINATING BOARD

New Programs approved by the Arkansas Higher Education Coordinating Board over the last year are Associate of Applied Science in Business-entrepreneurship Option; Certificates of Proficiency in Environmental Management GIS/Technician and Tax Specialist and Technical Certificates in Business Management, General Business, Logistics Management, Account Technology and Dental Assisting.

Due to the lack of adequate funding for on-going programs, NWACC has not targeted funds for an “area of excellence”.

PERFORMANCE GOALS/WORKFORCE DEVELOPMENT INITIATIVES SET AND MEASUREMENTS USED IN DETERMINING INSTITUTIONAL SUCCESS

To assist the College in evaluating the accomplishment of Initiatives and Strategic Goals, NWACC will participate in the following three national consortiums to benchmark annual results.

1. The National Community College Benchmark Project (NCCBP) is a national consortium for benchmarking purposes. Initial benchmarks and data collection began in summer 2003 with 20 colleges. In 2007, 178 colleges subscribed to the study. Out of the initial group, 11 colleges from eight states were selected as benchmark institutions for NWACC with the institutions criteria being: public college, single campus, 4000-7000 headcount enrollments, and an unrestricted budget of 19 to 30 million dollars.
2. The community College survey of Student Engagement (CCSSE) survey provides information about effective educational practices in community colleges and assists institutions in using that information to promote

**NORTHWEST ARKANSAS COMMUNITY COLLEGE
INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED
TO THE 2009-11 APPROPRIATION REQUESTS**

improvements in student learning and persistence. A total of 525 institutions across 48 states participated in the 2007 administration.

3. The Kansas Study is the first analytical tool available for community colleges that allows each college to compare its institution's instructional costs and productivity with both national aggregate data and select comparable peer institutions with which to make benchmark comparisons. The Kansas Study allows NWACC to compare the institution's cost of delivering a student credit hour of instruction with national peer group benchmarks, by academic discipline.

In addition to the national data collected, NWACC will use data gathered from the following institutional sources:

1. The Graduation Survey is an institution-designed questionnaire that has been administered to graduates in attendance at commencement rehearsal each May. The survey has been completed each year beginning in 1997 through 2008.
2. The Budget and Resource Analysis Study is an annual study comparing cost per FTE and cost per credit hour for every program supported by the unrestricted budget.

In May 2006, NWACC was selected to participate in the Academic Quality Improvement Program (AQIP). AQIP infuses the principles and benefits of continuous improvement into the culture of colleges and universities by providing an alternative process through which an already-accredited institution can maintain its accreditation from the Higher Learning Commission.

The College also plans to host annual student and community focus groups to gain input on how well we are meeting the needs of our service area and determine if there are areas that need improvement.

**INSTITUTION APPROPRIATION SUMMARY
2009-11 BIENNIUM**

INSTITUTION NORTHWEST ARKANSAS COMMUNITY COLLEGE

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2007-08		2008-09		2008-09		2009-10				2010-11			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	10,752,568		10,339,901		11,354,287		17,039,391		12,399,133		17,488,182		12,943,670	
2 CASH	16,344,734		19,897,564		81,730,000		92,000,000		92,000,000		99,500,000		99,500,000	
3														
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$27,097,302	658	\$30,237,465	662	\$93,084,287	736	\$109,039,391	917	\$104,399,133	794	\$116,988,182	917	\$112,443,670	794
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*	0	0%		0%				0%		0%		0%		0%
13 GENERAL REVENUE	9,733,519	36%	9,362,604	31%			16,062,094	15%	11,421,836	11%	16,510,885	14%	11,966,373	11%
14 EDUCATIONAL EXCELLENCE TRUST FUND	1,019,049	4%	977,297	3%			977,297	1%	977,297	1%	977,297	1%	977,297	1%
15 WORKFORCE 2000		0%		0%				0%		0%		0%		0%
16 CASH FUNDS	16,344,734	60%	19,897,564	66%			92,000,000	84%	92,000,000	88%	99,500,000	85%	99,500,000	88%
17 SPECIAL REVENUES		0%		0%				0%		0%		0%		0%
18 FEDERAL FUNDS		0%		0%				0%		0%		0%		0%
19 TOBACCO SETTLEMENT FUNDS		0%		0%				0%		0%		0%		0%
20 OTHER FUNDS		0%		0%				0%		0%		0%		0%
21 TOTAL INCOME	\$27,097,302	100%	\$30,237,465	100%			\$109,039,391	100%	\$104,399,133	100%	\$116,988,182	100%	\$112,443,670	100%
22 EXCESS (FUNDING)/APPROPRIATION	(\$0)		\$0				\$0		\$0		\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2008:	\$2,986,597
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$378,408
INVENTORIES	\$22,290
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	\$0
INSURANCE DEDUCTIBLES	\$0
MAJOR CRITICAL SYSTEMS FAILURES	\$0
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$3,438,937
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	\$0
OTHER (FOOTNOTE BELOW)	\$0
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	(\$853,038)

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2009-11 BIENNIUM**

NORTHWEST ARKANSAS COMMUNITY COLLEGE

NAME OF INSTITUTION

	EXPENDITURE CATEGORIES	2007-08 ACTUAL	2008-09 BUDGETED *	2009-11 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS	
				2009-10 REQUEST / RECOMMENDATION	2010-11 REQUEST / RECOMMENDATION
1	INSTRUCTION	12,753,446	14,301,492	16,732,746	17,736,710
2	RESEARCH				
3	PUBLIC SERVICE				
4	ACADEMIC SUPPORT	2,064,426	2,711,354	2,955,376	3,073,591
5	STUDENT SERVICES	3,068,661	3,101,216	3,473,362	3,577,563
6	INSTITUTIONAL SUPPORT	5,861,839	5,706,488	6,220,072	6,437,814
7	PHYSICAL PLANT M&O	3,039,634	3,859,166	4,167,899	4,317,985
8	SCHOLARSHIPS & FELLOWSHIPS	309,297	557,749	612,373	642,992
9					
10					
11					
12					
13	MANDATORY TRANSFERS				
14	AUXILIARY TRANSFERS				
15	NON-MANDATORY TRANSFERS				
16	TOTAL UNREST. E&G EXP.	\$27,097,303	\$30,237,465	\$34,161,828	\$35,786,656
17	NET LOCAL INCOME	18,930,634	19,897,564	21,917,064	23,007,104
18	PRIOR YEAR BALANCE***	0			
	STATE FUNDS:				
19	GENERAL REVENUE	9,733,519	9,362,604	11,421,836	11,966,373
20	EDUCATIONAL EXCELLENCE	1,019,049	977,297	977,297	977,297
21	WORKFORCE 2000				
22	TOBACCO SETTLEMENT FUNDS				
23	OTHER STATE FUNDS **				
24	TOTAL SOURCES OF INCOME	\$29,683,202	\$30,237,465	\$34,316,197	\$35,950,774

FORM 09-2

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in a

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**ESTIMATED UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND
CASH INCOME FOR THE 2009-11 BIENNIUM**

NORTHWEST ARKANSAS COMMUNITY COLLEGE
(NAME OF INSTITUTION)

SOURCE	ACTUAL 2007-08	BUDGETED 2008-09	ESTIMATED INCOME	
			2009-10	2010-11
1 TUITION AND MANDATORY FEES	12,396,043	13,636,916	14,538,993	15,504,217
2 ALL OTHER FEES	219,130	352,500	377,175	403,577
3 OFF-CAMPUS CREDIT				
4 NON-CREDIT INSTRUCTION	872,318	1,497,256	1,497,256	1,497,256
5 ORGANIZED ACTIVITIES RELATED TO EDUCATIONAL DEPARTMENTS	389,350	404,892	385,000	385,000
6 INVESTMENT INCOME	24,812	25,000	25,000	25,000
7 OTHER CASH INCOME*	5,028,981	3,981,000	5,093,640	5,192,054
8 TOTAL UNRESTRICTED CURRENT FUND CASH INCOME	18,930,634	19,897,564	21,917,064	23,007,104
9 LESS: TWO-YEAR COLLEGE ACTIVITY FEES				
10 NET UNRESTRICTED CURRENT FUND CASH INCOME AVAILABLE FOR UNRESTRICTED EDUCATIONAL AND GENERAL OPERATIONS	\$18,930,634	\$19,897,564	\$21,917,064	\$23,007,104

FORM 09-3

*Other Cash Income:	<u>2007-08 Actual</u>	<u>2008-09 Budget</u>	<u>2009-10 Estimate</u>	<u>2010-11 Estimate</u>
Local Millage	4,824,233	3,900,000	4,920,718	5,019,132
Gifts & Donations -Other Contracts	5,448	0	0	0
Virtual Career Center Access Fee	6,800		0	0
Reimbursement of Poperating Expenses	22,481			
Grant Indirect/ Admin Fee	63,214	62,000	64,478	64,478
Gain/Loss on Disposal of Fixed Assets	487			
University Center Partnership Rev	4,009	9,000	4,089	4,089
Other Misc Income	102,308	10,000	104,354	104,354
	5,028,981	3,981,000	5,093,640	5,192,054

**APPROPRIATION ACT FORM - STATE TREASURY - AHECB RECOMMENDATION
2009-11 BIENNIUM**

FUND CWA0000

INSTITUTION NORTHWEST ARKANSAS COMMUNITY COLLEGE

APPROPRIATION 313

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	10,752,568	10,339,901	11,354,287	12,399,133	12,943,670		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING							
5 OPERATING EXPENSES							
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY							
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION							
11							
12							
13							
14 TOTAL APPROPRIATION	\$10,752,568	\$10,339,901	\$11,354,287	\$12,399,133	\$12,943,670	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE	9,733,519	9,362,604		11,421,836	11,966,373		
17 EDUCATIONAL EXCELLENCE TRUST FUNDS	1,019,049	977,297		977,297	977,297		
18 SPECIAL REVENUES * [WF2000]							
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS							
22 TOTAL INCOME	\$10,752,568	\$10,339,901		\$12,399,133	\$12,943,670	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY - FLAT BUDGET
2009-11 BIENNIUM**

FUND CWA0000

INSTITUTION NORTHWEST ARKANSAS COMMUNITY COLLEGE

APPROPRIATION 313

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	10,752,568	10,339,901	11,354,287	10,339,901	10,339,901		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING							
5 OPERATING EXPENSES							
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY							
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION							
11							
12							
13							
14 TOTAL APPROPRIATION	\$10,752,568	\$10,339,901	\$11,354,287	\$10,339,901	\$10,339,901	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE	9,733,519	9,362,604		9,362,604	9,362,604		
17 EDUCATIONAL EXCELLENCE TRUST FUNDS	1,019,049	977,297		977,297	977,297		
18 SPECIAL REVENUES * [WF2000]							
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS							
22 TOTAL INCOME	\$10,752,568	\$10,339,901		\$10,339,901	\$10,339,901	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM 09-4

**APPROPRIATION ACT FORM - STATE TREASURY - 7% REDUCTION
2009-11 BIENNIUM**

FUND CWA0000

INSTITUTION NORTHWEST ARKANSAS COMMUNITY COLLEGE

APPROPRIATION 313

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	10,752,568	10,339,901	11,354,287	9,684,519	9,684,519		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING							
5 OPERATING EXPENSES							
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY							
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION							
11							
12							
13							
14 TOTAL APPROPRIATION	\$10,752,568	\$10,339,901	\$11,354,287	\$9,684,519	\$9,684,519	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE	9,733,519	9,362,604		8,707,222	8,707,222		
17 EDUCATIONAL EXCELLENCE TRUST FUNDS	1,019,049	977,297		977,297	977,297		
18 SPECIAL REVENUES * [WF2000]							
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS							
22 TOTAL INCOME	\$10,752,568	\$10,339,901		\$9,684,519	\$9,684,519	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM 09-4

**APPROPRIATION ACT FORM - CASH FUNDS
2009-11 BIENNIUM**

FUND 2200000

INSTITUTION NORTHWEST ARKANSAS COMMUNITY COLLEGE

APPROPRIATION B17

DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /		LEGISLATIVE RECOMMENDATION	
	2007-08	2008-09	2008-09	2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	5,585,982	6,990,431	23,000,000	25,000,000	27,000,000		
2 EXTRA HELP WAGES	509,291	471,016	800,000	900,000	950,000		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	4,734,512	5,130,876	8,830,000	8,700,000	9,450,000		
5 OPERATING EXPENSES	4,651,323	6,166,051	11,000,000	11,800,000	12,600,000		
6 CONFERENCE FEES & TRAVEL	323,455	489,190	500,000	550,000	600,000		
7 PROFESSIONAL FEES AND SERVICES	126,193	175,000	600,000	650,000	700,000		
8 DATA PROCESSING	413,978	475,000	2,300,000	2,400,000	2,500,000		
9 CAPITAL OUTLAY			7,700,000	8,000,000	9,700,000		
10 CAPITAL IMPROVEMENTS			27,000,000	30,000,000	31,000,000		
11 DEBT SERVICE							
12 FUND TRANSFERS, REFUNDS AND INVESTMENTS							
13							
14							
15							
16 CONTINGENCY				4,000,000	5,000,000		
17 TOTAL APPROPRIATION	\$16,344,734	\$19,897,564	\$81,730,000	\$92,000,000	\$99,500,000	\$0	\$0
18 PRIOR YEAR FUND BALANCE***							
19 LOCAL CASH FUNDS	16,344,734	19,897,564		21,917,064	23,007,104		
20 FEDERAL CASH FUNDS							
21 OTHER CASH FUNDS				70,082,936	76,492,896		
22 TOTAL INCOME	\$16,344,734	\$19,897,564		\$92,000,000	\$99,500,000	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	(\$0)	\$0		\$0	(\$0)	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL	BUDGETED	AUTHORIZED	REQUESTED	RECOMMENDED	LEGISLATIVE RECOMMENDATION	
	2007-08	2008-09	2007-09	2009-11	2009-11	2009-11	2010-11
REGULAR POSITIONS	658	662	736	917	794		
TOBACCO POSITIONS							
EXTRA HELP **	119	130	360	360	360		

FORM 09-5

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

NORTHWEST ARKANSAS COMMUNITY COLLEGE

(NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2007-08				BUDGETED 2008-09			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *				0				0
2 RESIDENCE HALL				0				0
3 MARRIED STUDENT HOUSING				0				0
4 FACULTY HOUSING				0				0
5 FOOD SERVICES	0	98,767		(98,767)	0	60,000		(60,000)
6 COLLEGE UNION				0				0
7 BOOKSTORE	217,458	0		217,458	214,000	5,000		209,000
8 STUDENT ORGANIZATIONS AND PUBLICATIONS				0				0
9 STUDENT HEALTH SERVICES				0				0
10 OTHER**	64,153	0		64,153	65,000	250		64,750
11 SUBTOTAL	\$281,611	\$98,767	\$0	\$182,844	\$279,000	\$65,250	\$0	\$213,750
12 ATHLETIC TRANSFER **				0				0
13 OTHER TRANSFERS ***				0				0
14 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$281,611	\$98,767	\$0	\$182,844	\$279,000	\$65,250	\$0	\$213,750

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM 09-6

**Vending Services

*** For both two-year and four-year institutions, line 13, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

NORTHWEST ARKANSAS COMMUNITY COLLEGE
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2007-08:						<u>365</u>
(As of November 1, 2007)						
Nonclassified Administrative Employees:						
White Male:	<u>54</u>	Black Male:	<u>2</u>	Other Male:	<u>9</u>	Total Male: <u>65</u>
White Female:	<u>115</u>	Black Female:	<u>3</u>	Other Female:	<u>15</u>	Total Female: <u>133</u>
Nonclassified Health Care Employees:						
White Male:	<u> </u>	Black Male:	<u> </u>	Other Male:	<u> </u>	Total Male: <u>0</u>
White Female:	<u> </u>	Black Female:	<u> </u>	Other Female:	<u> </u>	Total Female: <u>0</u>
Classified Employees:						
White Male:	<u>17</u>	Black Male:	<u>1</u>	Other Male:	<u>1</u>	Total Male: <u>19</u>
White Female:	<u>16</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>	Total Female: <u>16</u>
Faculty:						
White Male:	<u>48</u>	Black Male:	<u>1</u>	Other Male:	<u>5</u>	Total Male: <u>54</u>
White Female:	<u>76</u>	Black Female:	<u>1</u>	Other Female:	<u>1</u>	Total Female: <u>78</u>
Total White Male:						<u>119</u>
Total White Female:						<u>207</u>
Total Black Male:						<u>4</u>
Total Black Female:						<u>4</u>
Total Other Male:						<u>15</u>
Total Other Female:						<u>16</u>
Total White:						<u>326</u>
Total Black:						<u>8</u>
Total Other:						<u>31</u>
Total Minority:						<u>39</u>
Total Employees:						<u>365</u>

FORM 09-8

DIVISION OF LEGISLATIVE AUDIT
AUDIT OF Northwest Arkansas Community College
June 30, 2007

<p><i>Finding:</i></p>	<p>We performed audit procedures on the information system controls in the BANNER Payroll and Student Accounts Receivable modules for the period September 5, 2006 through December 4, 2006. A follow-up audit, completed on March 3, 2008, was performed to update the status of the outstanding issues. The College has made progress in correcting these weaknesses over the past year; however, one issue is still outstanding:</p> <p>There is no formal documented and approved disaster recovery plan. This situation could cause the College to be without computer processing for an extended period of time in the event of a disaster or major interruption and could also place a financial and personnel burden on the resources of the College.</p>
<p><i>Institution's Response</i></p>	<p>The administration of Northwest Arkansas Community College recognizes the need for a comprehensive Disaster Recovery/Business Continuity Plan to make certain the College records and data are protected long-term and available to support and conduct the ongoing daily operations of NWACC. The College has requested funding from the State of Arkansas to assist in the development and implementation of the Disaster Recovery/Business Continuity Plan and a consultant has been hired to assist in developing the plan to be completed within the next 18 months.</p>

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OUACHITA TECHNICAL COLLEGE

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

To guide the college's direction, on March 28, 2008, the Board of Trustees approved revised vision, mission, purposes, and strategic goals. The following are the goals and related items approved by the Board of Trustee on March 28, 2008.

2008-2013 Strategic Priorities:

Strategic Action Area One: Student Access, Engagement, Learning, and Success

- Goal One: Provide educational programming, resources, services, financial aid and environment to improve student learning as indicated by increased academic success, persistence, retention, program completion, and transfer.
- Goal Two: Promote increased access and student learning to a diverse population.
- Goal Three: Create optimal conditions to increase student engagement in curricular, co-curricular, and extra-curricular activities.

Strategic Action Area Two: Community-College Engagement

- Goal One: Collaborate and partner with other educational entities (schools, higher education institutions, state agencies, foundations) to support and enhance concurrent, transfer, and other educational success.
- Goal Two: Promote innovation and collaboration to fulfill the workforce development needs of business, industry, and other sectors.
- Goal Three: Increase public awareness of and earn support for the college and its educational, social, and economic impact.

Strategic Action Area Three: Developing and Managing Effective Resources

- Goal One: Promote a financial, physical, and human resource base to support the college's mission, to sustain organizational improvement, and to enhance teaching and learning.
- Goal Two: Strengthen and sustain a high-quality information technology infrastructure to support the college's mission.

OUACHITA TECHNICAL COLLEGE

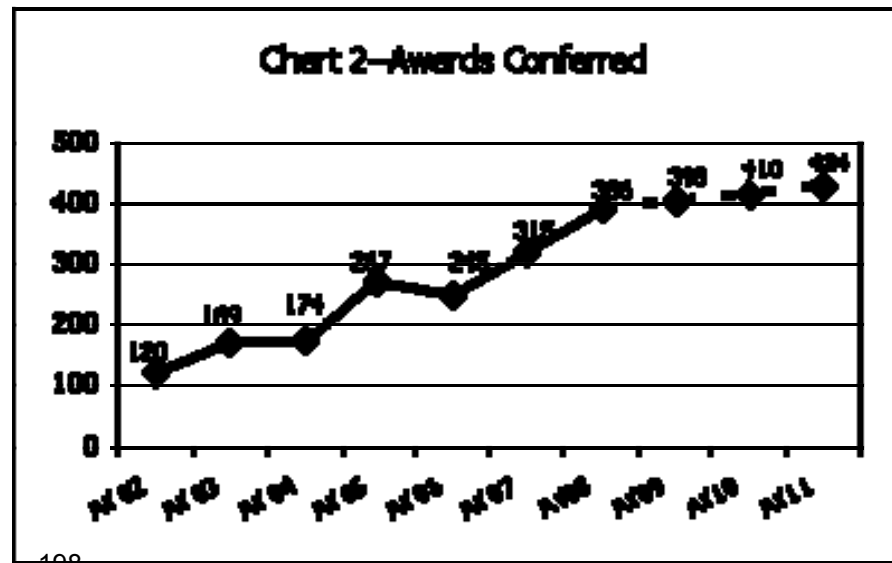
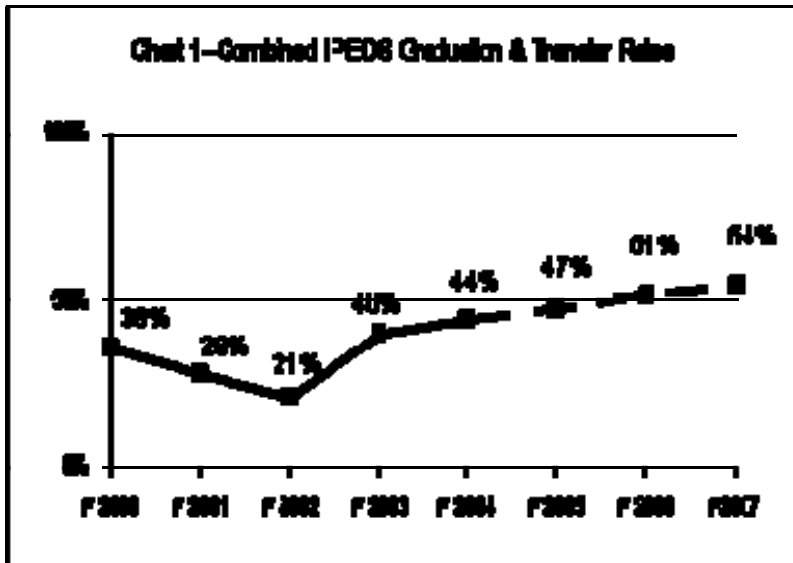
INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

- Goal Three: Empower employees through an environment that encourages professional development, leadership, creativity, and accountability.
- Goal Four: Promote increased student access and success by securing increased federal, state, and private support.

Strategic Action Area Four: Continuous Improvement and Accountability

- Goal One: Promote and improve institutional effectiveness through an organized system of planning, assessment, and budgeting.
- Goal Two: Demonstrate a culture of inquiry, evidence, and accountability through data-driven decision-making.

Achievement of the college's identified Strategic Areas and accompanying Goals will translate into increased student success. Chart 1 below indicates the combined Graduation and Transfer Rates as measured by IPEDS cohorts (first-time, full-time, award-seeking students tracked 150 percent of the time); the solid line represents rates for the last four cohorts tracked, the dotted line projects future rates. Chart 2 delineates the increase in awards conferred the past few years (solid line) as well as projected increases targeted for the next few years (dotted line).



OUACHITA TECHNICAL COLLEGE

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

Achieving the Dream Goals/Objectives

In June 2007, Ouachita Technical College was selected as one of the four Arkansas two-year colleges for participation in Round Four of Achieving the Dream, a national initiative to help more community college students succeed—particularly students of color and low-income students. The 2007-08 academic year was a planning year in which data were reviewed to determine needed improvements. These processes were integrated into strategic planning.

The following specific priorities will be implemented through a collaborative effort; in some cases, projects will be piloted to test their value and to guide possible expansion.

Priority 1: Increase success in Intermediate Algebra (IA) for all students.

- Baseline F04-Su07 IA Success Rate (Grades A-C): 44 percent
- Projected F08–Sp10 IA Success Rate for both piloted deliveries 49 percent
- Projected F10–Sp12 IA Success Rate for new delivery or deliveries 54 percent

Priority 2: Increase student success of all students while narrowing the gap between African-American and all students.

Fall-to-Fall Retention (first to second) for all students and for African-Americans (AA):

- Baseline F04 to F05: 41 percent (total population); 34 percent (AA) (Gap= 7)
- Baseline F05 to F06: 42 percent (total population); 36 percent (AA) (Gap= 6)
- Projected F08 to F09: 44 percent (total population); 38 percent (AA) (Gap= 6)
- Projected F09 to F10: 46 percent (total population); 41 percent (AA) (Gap= 5)
- Projected F10 to F11: 48 percent (total population); 43 percent (AA) (Gap= 5)
- Projected F11 to F12: 50 percent (total population); 46 percent (AA) (Gap= 4)

Success Rate (unduplicated numbers of students who graduate, transfer, or re-enrolled by third academic year which is within 150% of time for two-year award as defined by IPEDS) for all students and for African-Americans (AA):

- Baseline F04 cohort to Su07: 44 percent (total population); 36 percent (AA) (Gap=8)

OUACHITA TECHNICAL COLLEGE

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

- Projected F06 cohort to Su09: 45 percent (total population); 38 percent (AA) (Gap=7)
- Projected F07 cohort to Su10: 46 percent (total population); 40 percent (AA) (Gap=6)
- Projected F08 cohort to Su11: 47 percent (total population); 42 percent (AA) (Gap=5)
- Projected F09 cohort to Su12: 48 percent (total population); 44 percent (AA) (Gap=4)

It should be noted, that prior to Achieving the Dream, Ouachita Technical College had established the need to improve student success as measured by graduation and transfer rates. The chart below that delineates the combined IPEDS transfer and graduation rates and illustrates progress made.

Priority 3: Increase African-American success in Basic Composition.

African-American Basic Composition success rate (goal is to raise them to the current, comparable total population's three-year average success rate of 70 percent):

- Baseline F04 to Su07: 54 percent
- Projected F08 to Su09 in piloted groups: 59 percent
- Projected F09 to Su10 in piloted groups: 62 percent
- Projected F10 to Su11 for new delivery: 66 percent
- Projected F11 to Su12 for new delivery: 70 percent

Maintaining Accreditation Status

Ouachita Technical College is planning for a 2010 comprehensive evaluation to reaffirm its accreditation status with the Higher Learning Commission. Through a self examination and a peer review, an effective evaluation of the whole organization will be conducted. The college will assess itself in relationship to the five Criteria for Accreditation adopted by the Commission as necessary attributes of institutions that it accredits. The measures of success is the attainment of reaccreditation during 2011. The Criteria are:

1. Mission and Integrity—The organization operates with integrity to ensure the fulfillment of its mission through structures and processes that involve the board, administration, faculty, staff, and students.

OUACHITA TECHNICAL COLLEGE

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

2. Preparing for the Future—The organization's allocation of resources and its processes for evaluation and planning demonstrate its capacity to fulfill its mission, improve the quality of its education, and respond to future challenges and opportunities.
3. Student Learning and Effective Teaching—The organization provides evidence of student learning and teaching effectiveness that demonstrates it is fulfilling its educational mission.
4. Acquisition, Discovery, and Application of Knowledge—The organization promotes a life of learning for its faculty, administration, staff, and students by fostering and supporting inquiry, creativity, practice, and social responsibility in ways consistent with its mission.
5. Engagement and Service—As called for by its mission, the organization identifies its constituencies and serves them in ways both value.

National Alliance of Concurrent Enrollment Partnerships Certification

As mandated, Ouachita Technical College has conducted a self-evaluation of its concurrent enrollment program and courses as set forth by the National Alliance of Concurrent Enrollment Partnerships (NACEP). The NACEP standards that the college plans to continue to meet are in the areas of curriculum, faculty, students, assessment, and program evaluation. The measures of success is the attainment of NACEP accreditation by 2010.

New programs approved

Allied Health

Associate of Applied Science – Registered Nursing (R.N.) - Now fully implemented

Technical Certificate – Pre-Allied Health - Now fully implemented

Certificate of Proficiency - Medication Assistant - Now fully implemented

Applied Science

Technical Certificate – Computer Information Systems - Now fully implemented

Certificate of Proficiency - Network Security - Now fully implemented

Certificate of Proficiency - Computer Programming - Now fully implemented

OUACHITA TECHNICAL COLLEGE

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

Business

Associate of Applied Science - Entrepreneurial Studies - Not fully implemented
Technical Certificate - Entrepreneurial Studies - Not fully implemented
Certificate of Proficiency - Medical Terminology - Now fully implemented

Professional Studies

Certificate of Proficiency – Family Development - Now fully implemented

Programs deleted

Applied Science

Associate of Applied Science - Engineering and Manufacturing Technology
Technical Certificate – Engineering and Manufacturing Technology

Note: The deletion of these programs is complete and all students have been taught out. This resulted in the termination of two full-time faculty members. These funds were reallocated to the new R.N. program..

Professional Studies

Certificate of Proficiency – Explosion Detection Technology

Note: The deletion of this program is complete and all students have been taught out. This resulted in the termination of one part-time faculty member. These funds were reallocated to the new Family Development program.

Area of Excellence

Ouachita Technical College has designated nursing as an area of excellence by planning and constructing a new nursing building that has made it possible to double the enrollment of students in the LPN program and to start a new RN program. A new LPN class starts each semester and 100 students per year are educated through this program.

Additionally, Ouachita Technical College received an Economic Incentive Grant in the amount of \$136,000 for the implementation of a major nursing training initiative that will contribute to economic development in its service area. This initiative made it possible to expand the College's new RN program, resulting in a 50% increase in enrollment, and has made it possible to establish a new Virtual Care Lab featuring state of the art nursing simulations designed to enhance clinical instruction and to provide professional development opportunities for nursing staff and nursing faculty.

OUACHITA TECHNICAL COLLEGE

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

The Department of Adult and Workforce Education at OTC consists of 1. The Adult Education Program (adult basic education, GED preparation and WAGE employment certification training), 2. The Career Pathways Initiative (assists students to overcome economic and academic barriers for entry and persistence in and graduation from college programs related to demand occupations and the acquisition of Career Readiness Certificates and, finally, job placement), 3. The operation of certain career, technical, and apprenticeship programs (Early Childhood Practitioners program, Truck Driving Training [CDL], and Electrical Apprenticeship), and 4. Workforce Development Program including the planning and delivering customized pre-employment training and customized incumbent worker upgrade training for business, industry, and government in OTC's five-county service area.

Workforce Development Goals and Initiatives

Adult Education

Goal 1. Increase the number of GED recipients who apply for admission to OTC

Initiative 1. Establishing the OTC Board of Trustee's Non-Traditional Student Scholarship for adult education graduates who score 600 or more (800 points max.) on the GED test. A score of 600 and above is similar to scoring in the top 25% of any high school graduation class. (Measures of Success: #s and %s of GED completers that enroll in college)

Initiative 2. Create a recruitment plan to assist the transition of GED recipients into the college. (Measures of Success: #s and %s GED completers that enroll in college)

Initiative 3. Increase the number of students qualifying for the OTC Non-Traditional scholarship. (FY 2007-2008 equal 37%). (Measures of Success: #s and %s of GED completers with scores >600 that accept the scholarship and enroll in college – 80% is the objective)

Initiative 4. Increase total enrollment of the adult education program the current 1,722 number. (Measures of Success: #s and %s of students above 1,722 that enroll in adult education)

Goal 2. Increase the number of WAGE recipients

Initiative 1. Create a WAGE program within the educational unit of the Arkansas Department of Corrections. (Measures of Success:

OUACHITA TECHNICAL COLLEGE

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

#s and %s of DOC WAGE students who complete certificates)

Career Pathways

Goal 3. Increase the access of low-income parents and other individuals to education credentials that qualify them for higher-paying jobs in their local areas.

Initiative 1. CPI will broker partnerships with OTC and workforce entities to provide participants with employability skills, basic skills, remediation, advanced skill training in high-demand occupations, and college coursework under the career pathways framework and that includes Adult Education/GED programs, Employability Certificate programs, Bridge programs, Certificates of Proficiency, Technical Certificates, and Associate Degree programs. (Measures of Success: #s and %s of current and former TANF eligible clients that earn credentials and get a job)

Goal 4. Using Career Pathways, improve the preparedness of local workers for high skill and high-wage jobs.

Initiative 1. Recruit students for developed training courses that provide credentials needed by local employers including the use of the Kuder (Arkansas College and Career Planning System) for career assessment of new students, Workplace Alliance for Growth in the Economy (WAGE™), and KeyTrain/WorkKeys training and assessment resulting in a Career Readiness Certificate (CRC). (Measures of Success: #s and %s of undereducated and low skill adults that complete assessments or training programs qualifying them for credentials and #s and %s of credentialed, skilled adults who get a job in a demand occupation)

Workforce Development

Goal 5. Assist with the customized workforce training needs of industry and business in OTC's 5 county service area.

Initiative 1. Establish an industrial training advisory council composed of industry and business managers and owners which will meet once a quarter to provide input on training needs and worker qualifications. (Measures of Success: #s of council meetings where training needs and worker qualifications were identified)

Initiative 2. Coordinate industry and business training activities/needs with OTC program faculty so they can participate in the industrial training advisory council. (Measures of Success: #s and %s of program faculty involved in the work of the council at each meeting)

Initiative 3. Assess the training needs of all industry, business, and governmental entities with 25 or more employees in the 5 county service area and complete 25-33% of the assessments each year. (Measures of Success: #s and %s of qualifying entities visited and

OUACHITA TECHNICAL COLLEGE

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

assessments completed)

Initiative 4. Be open to respond to requests from smaller industry, business, and governmental entities if training is requested. (Measures of Success: #s and %s of small entities assessed and training classes provided)

Goal 6. Provide industry, business, and governmental training programs on a cost recovery basis.

Initiative 1. Establish a procedure for analyzing and forecasting training needs and costs to determine needed revenues on each training request. (Measures of Success: #s and %s of training classes that produce revenue sufficient to recover costs)

Goal 7. Provide specialized training programs for business and industry such as the electrical apprenticeship program.

Initiative 1. Provide a course of study that awards college credit to those students who are studying in the electrical apprenticeship program. (Measures of Success: #s and %s of program completers, #s that enroll in college, #s and %s passing journeyman exam)

Initiative 2. Collaborate with adult education to provide GED and basic skills instruction as needed for students enrolled in specialize training programs who do not have a high school diploma or a GED. (Measures of Success: #s and %s of trainees who enroll and complete adult education and pass the GED)

**INSTITUTION APPROPRIATION SUMMARY
2009-11 BIENNIUM**

INSTITUTION OUACHITA TECHNICAL COLLEGE

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2007-08		2008-09		2008-09		2009-10				2010-11			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	4,552,282		4,439,316		5,572,477		5,919,130		4,904,964		6,064,698		5,059,002	
2 CASH	4,694,665		8,248,668		8,248,668		8,956,603		8,956,603		9,772,263		9,772,263	
3														
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$9,246,947	177	\$12,687,984	162	\$13,821,145	203	\$14,875,733	203	\$13,861,567	203	\$15,836,961	203	\$14,831,265	203
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*		0%		0%				0%		0%		0%		0%
13 GENERAL REVENUE	3,399,148	36%	3,406,105	27%			4,885,919	33%	3,871,753	28%	5,031,487	32%	4,025,791	27%
14 EDUCATIONAL EXCELLENCE TRUST FUND		0%		0%				0%		0%		0%		0%
15 WORKFORCE 2000	1,045,116	11%	1,033,211	8%			1,033,211	7%	1,033,211	7%	1,033,211	7%	1,033,211	7%
16 CASH FUNDS	4,694,665	50%	8,248,668	65%			8,956,603	60%	8,956,603	65%	9,772,263	62%	9,772,263	66%
17 SPECIAL REVENUES		0%		0%				0%		0%		0%		0%
18 FEDERAL FUNDS		0%		0%				0%		0%		0%		0%
19 TOBACCO SETTLEMENT FUNDS		0%		0%				0%		0%		0%		0%
20 OTHER FUNDS**	261,300	3%		0%				0%		0%		0%		0%
21 TOTAL INCOME	\$9,400,229	100%	\$12,687,984	100%			\$14,875,733	100%	\$13,861,567	100%	\$15,836,961	100%	\$14,831,265	100%
22 EXCESS (FUNDING)/APPROPRIATION	(\$153,282)		\$0				\$0		\$0		\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2008:	\$1,546,514
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$138,655
INVENTORIES	\$114,013
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES finsh	\$700,000
INSURANCE DEDUCTIBLES	\$10,000
MAJOR CRITICAL SYSTEMS FAILURES	
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$1,000,000
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)FINISH ARTS& SCIENCES BLDING	
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	(\$416,154)

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2009-11 BIENNIUM**

OUACHITA TECHNICAL COLLEGE

NAME OF INSTITUTION

EXPENDITURE CATEGORIES	2007-08 ACTUAL	2008-09 BUDGETED *	2009-11 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS	
			2009-10 REQUEST / RECOMMENDATION	2010-11 REQUEST / RECOMMENDATION
1 INSTRUCTION	2,775,100	2,785,803	3,086,936	3,227,036
2 RESEARCH				
3 PUBLIC SERVICE				
4 ACADEMIC SUPPORT	342,631	570,591	604,826	616,923
5 STUDENT SERVICES	603,262	750,623	795,660	811,574
6 INSTITUTIONAL SUPPORT	1,319,354	1,251,200	1,326,272	1,352,797
7 PHYSICAL PLANT M&O	1,141,390	877,872	930,545	949,154
8 SCHOLARSHIPS & FELLOWSHIPS	356,295	380,000	402,800	410,856
9				
10				
11				
12				
13 MANDATORY TRANSFERS				
14 AUXILIARY TRANSFERS				
15 NON-MANDATORY TRANSFERS				
16 TOTAL UNREST. E&G EXP.	\$6,538,032	\$6,616,089	\$7,147,040	\$7,368,340
17 NET LOCAL INCOME	2,301,368	2,176,773	2,242,076	2,309,338
18 PRIOR YEAR BALANCE***				
STATE FUNDS:				
19 GENERAL REVENUE	3,399,148	3,406,105	3,871,753	4,025,791
20 EDUCATIONAL EXCELLENCE				
21 WORKFORCE 2000	1,045,116	1,033,211	1,033,211	1,033,211
22 TOBACCO SETTLEMENT FUNDS				
23 OTHER STATE FUNDS **	261,300			
24 TOTAL SOURCES OF INCOME	\$7,006,932	\$6,616,089	\$7,147,040	\$7,368,340

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

FORM 09-2

** General Improvement Funds

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**ESTIMATED UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND
CASH INCOME FOR THE 2009-11 BIENNIUM**

OUACHITA TECHNICAL COLLEGE

(NAME OF INSTITUTION)

SOURCE	ACTUAL 2007-08	BUDGETED 2008-09	ESTIMATED INCOME	
			2009-10	2010-11
1 TUITION AND MANDATORY FEES	1,979,256	1,899,773	1,956,766	2,015,469
2 ALL OTHER FEES	87,161	65,000	66,950	68,959
3 OFF-CAMPUS CREDIT				
4 NON-CREDIT INSTRUCTION	159,885	180,000	185,400	190,962
5 ORGANIZED ACTIVITIES RELATED TO EDUCATIONAL DEPARTMENTS				
6 INVESTMENT INCOME	55,892	20,000	20,600	21,218
7 OTHER CASH INCOME*	47,759	40,000	41,200	42,436
8 TOTAL UNRESTRICTED CURRENT FUND CASH INCOME	2,329,953	2,204,773	2,270,916	2,339,044
9 LESS: TWO-YEAR COLLEGE ACTIVITY FEES	28,585	28,000	28,840	29,705
10 NET UNRESTRICTED CURRENT FUND CASH INCOME AVAILABLE FOR UNRESTRICTED EDUCATIONAL AND GENERAL OPERATIONS	\$2,301,368	\$2,176,773	\$2,242,076	\$2,309,338

FORM 09-3

*Other Cash Income:

Cosmetology revenue	\$19,779
Testing revenue	\$5,010
House rent	\$2,600
Hot checks	\$871
Other	\$19,499

**APPROPRIATION ACT FORM - STATE TREASURY - AHECB RECOMMENDATION
2009-11 BIENNIUM**

FUND CTW0000

INSTITUTION OUACHITA TECHNICAL COLLEGE

APPROPRIATION 1WZ

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	3,109,091	2,696,983	3,807,352	3,000,000	3,100,000		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	699,148	499,000	921,791	600,000	600,000		
5 OPERATING EXPENSES	744,043	1,243,333	843,334	1,304,964	1,359,002		
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY							
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION							
11							
12							
13							
14 TOTAL APPROPRIATION	\$4,552,282	\$4,439,316	\$5,572,477	\$4,904,964	\$5,059,002	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE	3,399,148	3,406,105		3,871,753	4,025,791		
17 EDUCATIONAL EXCELLENCE TRUST FUND							
18 SPECIAL REVENUES * [WF2000]	1,045,116	1,033,211		1,033,211	1,033,211		
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS***	261,300						
22 TOTAL INCOME	\$4,705,564	\$4,439,316		\$4,904,964	\$5,059,002	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	(\$153,282)	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** General Improvement Funds

FORM 09-4

**APPROPRIATION ACT FORM - STATE TREASURY - FLAT BUDGET
2009-11 BIENNIUM**

FUND CTW0000

INSTITUTION OUACHITA TECHNICAL COLLEGE

APPROPRIATION 1WZ

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	3,109,091	2,696,983	3,807,352	2,696,983	2,696,983		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	699,148	499,000	921,791	499,000	499,000		
5 OPERATING EXPENSES	744,043	1,243,333	843,334	1,243,333	1,243,333		
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY							
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION							
11							
12							
13							
14 TOTAL APPROPRIATION	\$4,552,282	\$4,439,316	\$5,572,477	\$4,439,316	\$4,439,316	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE	3,399,148	3,406,105		3,406,105	3,406,105		
17 EDUCATIONAL EXCELLENCE TRUST FUND							
18 SPECIAL REVENUES * [WF2000]	1,045,116	1,033,211		1,033,211	1,033,211		
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS***	261,300						
22 TOTAL INCOME	\$4,705,564	\$4,439,316		\$4,439,316	\$4,439,316	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	(\$153,282)	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** General Improvement Funds

FORM 09-4

**APPROPRIATION ACT FORM - STATE TREASURY - 7% REDUCTION
2009-11 BIENNIUM**

FUND CTW0000

INSTITUTION OUACHITA TECHNICAL COLLEGE

APPROPRIATION 1WZ

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	3,109,091	2,696,983	3,807,352	2,696,983	2,696,983		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	699,148	499,000	921,791	499,000	499,000		
5 OPERATING EXPENSES	744,043	1,243,333	843,334	1,004,906	1,004,906		
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY							
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION							
11							
12							
13							
14 TOTAL APPROPRIATION	\$4,552,282	\$4,439,316	\$5,572,477	\$4,200,889	\$4,200,889	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE	3,399,148	3,406,105		3,167,678	3,167,678		
17 EDUCATIONAL EXCELLENCE TRUST FUND							
18 SPECIAL REVENUES * [WF2000]	1,045,116	1,033,211		1,033,211	1,033,211		
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS***	261,300						
22 TOTAL INCOME	\$4,705,564	\$4,439,316		\$4,200,889	\$4,200,889	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	(\$153,282)	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** General Improvement Funds

FORM 09-4

**APPROPRIATION ACT FORM - CASH FUNDS
2009-11 BIENNIUM**

FUND 2850000

INSTITUTION OUACHITA TECHNICAL COLLEGE

APPROPRIATION B62

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	984,220	2,210,145	2,210,145	2,431,160	2,674,275		
2 EXTRA HELP WAGES	384,566	470,166	470,166	517,183	568,901		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	531,763	952,857	952,857	1,048,143	1,152,957		
5 OPERATING EXPENSES	1,660,509	1,984,209	1,984,209	2,381,051	2,619,156		
6 CONFERENCE FEES & TRAVEL	125,010	188,450	188,450	207,295	228,025		
7 PROFESSIONAL FEES AND SERVICES	76,953	270,486	270,486	297,535	327,288		
8 DATA PROCESSING	82,597	385,408	385,408	423,949	466,344		
9 CAPITAL OUTLAY	810,309	772,990	772,990	850,289	935,318		
10 CAPITAL IMPROVEMENTS							
11 DEBT SERVICE							
12 FUND TRANSFERS, REFUNDS AND INVESTMENTS	38,738	1,013,957	1,013,957	800,000	800,000		
13							
14							
15							
16							
17 TOTAL APPROPRIATION	\$4,694,665	\$8,248,668	\$8,248,668	\$8,956,603	\$9,772,263	\$0	\$0
18 PRIOR YEAR FUND BALANCE***							
19 LOCAL CASH FUNDS	4,694,665	8,248,668		8,956,603	9,772,263		
20 FEDERAL CASH FUNDS							
21 OTHER CASH FUNDS							
22 TOTAL INCOME	\$4,694,665	\$8,248,668		\$8,956,603	\$9,772,263	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	(\$0)	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED 2007-09	REQUESTED	RECOMMENDED	LEGISLATIVE RECOMMENDATION	
				2009-11	2009-11	2009-11	2010-11
REGULAR POSITIONS	177	162	203	203	203		
TOBACCO POSITIONS							
EXTRA HELP **	56	56	60	60	60		

FORM 09-5

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

OUACHITA TECHNICAL COLLEGE
(NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2007-08				BUDGETED 2008-09			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *				0				0
2 RESIDENCE HALL				0				0
3 MARRIED STUDENT HOUSING				0				0
4 FACULTY HOUSING				0				0
5 FOOD SERVICES				0				0
6 COLLEGE UNION				0				0
7 BOOKSTORE	544,214	550,246		(6,032)	490,000	472,365		17,635
8 STUDENT ORGANIZATIONS AND PUBLICATIONS				0				0
9 STUDENT HEALTH SERVICES				0				0
10 OTHER				0				0
11 SUBTOTAL	\$544,214	\$550,246	\$0	(\$6,032)	\$490,000	\$472,365	\$0	\$17,635
12 ATHLETIC TRANSFER **				0				0
13 OTHER TRANSFERS ***				0				0
14 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$544,214	\$550,246	\$0	(\$6,032)	\$490,000	\$472,365	\$0	\$17,635

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM 09-6

** For both two-year and four-year institutions, line 12, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 13, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

OUACHITA TECHNICAL COLLEGE
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2007-08:						<u>192</u>
(As of November 1, 2007)						
Nonclassified Administrative Employees:						
White Male:	<u>5</u>	Black Male:	<u>1</u>	Other Male:	<u> </u>	Total Male: <u>6</u>
White Female:	<u>6</u>	Black Female:	<u>1</u>	Other Female:	<u> </u>	Total Female: <u>7</u>
Nonclassified Health Care Employees:						
White Male:	<u> </u>	Black Male:	<u> </u>	Other Male:	<u> </u>	Total Male: <u>0</u>
White Female:	<u> </u>	Black Female:	<u> </u>	Other Female:	<u> </u>	Total Female: <u>0</u>
Classified Employees:						
White Male:	<u>30</u>	Black Male:	<u>2</u>	Other Male:	<u> </u>	Total Male: <u>32</u>
White Female:	<u>56</u>	Black Female:	<u>5</u>	Other Female:	<u> </u>	Total Female: <u>61</u>
Faculty:						
White Male:	<u>31</u>	Black Male:	<u> </u>	Other Male:	<u> </u>	Total Male: <u>31</u>
White Female:	<u>50</u>	Black Female:	<u>4</u>	Other Female:	<u>1</u>	Total Female: <u>55</u>
Total White Male:	<u>66</u>	Total Black Male:	<u>3</u>	Total Other Male:	<u>0</u>	Total Male: <u>69</u>
Total White Female:	<u>112</u>	Total Black Female:	<u>10</u>	Total Other Female:	<u>1</u>	Total Female: <u>123</u>
Total White:	<u>178</u>	Total Black:	<u>13</u>	Total Other:	<u>1</u>	Total Employees: <u>192</u>
				Total Minority:	<u>14</u>	

FORM 09-8

DIVISION OF LEGISLATIVE AUDIT
AUDIT OF Ouachita Technical College
June 30, 2007

<i>Finding:</i>	No findings noted
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OZARKA COLLEGE

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

Institutional Goal One: The organization operates with integrity to ensure the fulfillment of its mission through structures and processes that involve the board, faculty, staff and students.

- a. Ozarka College will consistently and continuously focus on its mission to provide quality comprehensive higher education to the students and citizens of the north central Arkansas.
- b. Ozarka College will continue to develop and enhance course and program offerings at the campuses in Melbourne, Ash Flat, and Mountain View.
- c. Ozarka College will provide support programs and services to support teaching and learning to perpetuate the mission of the College.
- d. Ozarka College will maintain processes that promote review and refinement of mission, organizational structure, policies, procedures, practices, and processes.
- e. Promote professional integrity and ethics as related to mission, organizational structure, policies, procedures, practices, and processes.

Institutional Goal Two: The organization's allocation of resources and its processes for evaluation and planning demonstrate its capacity to fulfill its mission, improve the quality of its education, and respond to future challenges and opportunities.

- a. Ozarka College will ensure that appropriate strategic planning processes provide data and information to assist in resource allocation decisions.
- b. Ozarka College will continuously assess its resource allocation decisions and cost effectiveness in comparison with similar two-year colleges in the state and in the region.
- c. Ozarka College will continuously scan the local, regional, and state environment to realistically prepare for a future shaped by multiple societal and economic trends.
- d. Ozarka College will continuously implement evaluation and assessment processes to provide information for continuous improvement.

OZARKA COLLEGE

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

Institutional Goal Three: The organization provides evidence of student learning and teaching effectiveness that demonstrates it is fulfilling its education mission.

- a. Ozarka College will continue to create effective learning environments by:
 1. Incorporating technology in the classroom and in support of interactive compressed video classes and on-line classes to continue to expand educational opportunities.
 2. Continue to initiate partnership programs like the LPN to RN through ARNEC, the Early Childhood Education degree with Arkansas Tech University, and programs with the Arkansas Department of Corrections.
 3. Partnerships with service area high schools to provide concurrent enrollment for academic and technical education.
 4. Development of new programs and expansion of existing programs.
 5. Employing qualified faculty dedicated to teaching and learning.
- b. Ozarka College continue to emphasize student learning outcomes and consistently initiate effective assessment processes to demonstrate that it is fulfilling its mission.
- c. Ozarka College will continuously initiate multiple measures of student learning develop appropriate assessment data for course and programmatic decision-making.
- d. Ozarka College will continuously initiate multiple measure of institutional effectiveness to develop appropriate assessment data for policy, procedure, process, and practice changes to support effective learning and teaching.

Institutional Goal Four: The organization promotes a life of learning for its faculty, administration, staff, and students by fostering and supporting inquiry, creativity, practice, and social responsibility with ways consistent with its mission.

- a. Ozarka College will implement actions which demonstrates that it values a life of learning through :
 1. Providing community access to computer labs.
 2. Increasing marketing and recruitment of traditional and non-traditional students.

OZARKA COLLEGE

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

3. Initiating programs specific for senior citizens in the service area.
 4. Providing wellness and fitness activities for students of all ages.
 5. Partnering with community groups and agencies to promote life-long learning.
 6. Providing a wide variety of personal enrichment course offerings.
- b. Ozarka College will assess the usefulness of its curriculum to students who live and work in a global, diverse, and technological society.
 - c. Ozarka College will provide support to ensure that faculty, students, and staff acquire, discover, and apply knowledge.

Institutional Goal Five: As called for by its mission, the organization identifies its constituencies and serves them in ways that both value.

- a. Ozarka College will survey all constituencies, initiate focus group meetings, and community forums to analyze its capacity to serve the needs and the expectations of the citizens of north central Arkansas.
- b. Ozarka College will demonstrate its responsiveness to its constituencies through:
 1. Program and course offerings that meet the educational and training needs of the service area.
 2. Providing support services to all participant in Ozarka College programs.
 3. Providing transition assistance for students.
 4. Provide opportunities and support for community development and partnerships.
 5. Provide support and initiatives for partnerships with business and industry.

OZARKA COLLEGE

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

NEW PROGRAM IMPLEMENTATION

New programs requested and approved:

- a. Technical Certificate in Early Childhood Education
- b. Technical Certificate in Health Professions
- c. Certificate of Proficiency for Artisans
- d. Associate of Arts for Artisans

Program expansion for the current LPN program was approved.

No programs have been deleted so there has been no reallocation of funds. All new programs and program expansions have been funded with grant money, tuition, fees, and current appropriations.

The primary workforce development initiative has been the expansion of the current LPN program to the off-site campuses in Mountain View and Ash Flat to help provide additional skill health care professionals in rural north central Arkansas. Both programs have been implemented as stand alone programs with a capacity of twenty students each.

PERFORMANCE GOALS

Goal 1. Increase LPN program student enrollment by 50 percent

Goal 2. Increase LPN program completer numbers by 50 percent to meet regional health care worker needs.

**INSTITUTION APPROPRIATION SUMMARY
2009-11 BIENNIUM**

INSTITUTION OZARKA COLLEGE

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2007-08		2008-09		2008-09		2009-10				2010-11			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	4,041,862		4,130,361		4,130,361		5,005,329		4,370,621		5,134,104		4,493,666	
2 CASH	3,836,930		10,013,715		10,013,715		15,356,000		15,356,000		16,091,000		16,091,000	
3														
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$7,878,792	166	\$14,144,076	170	\$14,144,076	191	\$20,361,329	193	\$19,726,621	193	\$21,225,104	193	\$20,584,666	193
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*		0%	128,351	1%				0%		0%		0%		0%
13 GENERAL REVENUE	2,892,401	35%	2,865,642	20%			3,868,961	19%	3,234,253	16%	3,997,736	19%	3,357,298	16%
14 EDUCATIONAL EXCELLENCE TRUST FUND		0%		0%				0%		0%		0%		0%
15 WORKFORCE 2000	1,149,461	14%	1,136,368	8%			1,136,368	6%	1,136,368	6%	1,136,368	5%	1,136,368	6%
16 CASH FUNDS	2,926,783	36%	9,093,915	64%			13,106,000	64%	13,106,000	66%	12,841,000	60%	12,841,000	62%
17 SPECIAL REVENUES		0%		0%				0%		0%		0%		0%
18 FEDERAL FUNDS	1,108,780	13%	919,800	7%			2,250,000	11%	2,250,000	11%	3,250,000	15%	3,250,000	16%
19 TOBACCO SETTLEMENT FUNDS		0%		0%				0%		0%		0%		0%
20 OTHER FUNDS**	143,511	2%		0%				0%		0%		0%		0%
21 TOTAL INCOME	\$8,220,936	100%	\$14,144,076	100%			\$20,361,329	100%	\$19,726,621	100%	\$21,225,104	100%	\$20,584,666	100%
22 EXCESS (FUNDING)/APPROPRIATION	(\$342,144)		\$0				\$0		\$0		\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2008:	\$2,979,611
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$254,900
INVENTORIES	\$188,201
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	\$10,000
MAJOR CRITICAL SYSTEMS FAILURES	\$250,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$810,350
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$1,466,160

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

** Line 20 Other Funds Include: GIF Operating Funds \$136,364 & M&R Proceeds \$7,147

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2009-11 BIENNIUM**

OZARKA COLLEGE

NAME OF INSTITUTION

EXPENDITURE CATEGORIES	2007-08 ACTUAL	2008-09 BUDGETED *	2009-11 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS	
			2009-10 REQUEST / RECOMMENDATION	2010-11 REQUEST / RECOMMENDATION
1 INSTRUCTION	2,360,493	2,375,000	2,690,000	2,730,000
2 RESEARCH				
3 PUBLIC SERVICE	225,235	220,000	270,000	255,000
4 ACADEMIC SUPPORT	172,767	185,000	202,000	220,000
5 STUDENT SERVICES	459,938	505,000	575,000	600,000
6 INSTITUTIONAL SUPPORT	1,923,284	1,790,000	1,890,000	2,083,000
7 PHYSICAL PLANT M&O	1,180,686	831,700	975,000	975,000
8 SCHOLARSHIPS & FELLOWSHIPS	183,677	250,000	250,000	250,000
9 DEBT RETIREMENT		219,100	218,621	215,666
10				
11				
12				
13 MANDATORY TRANSFERS				
14 AUXILIARY TRANSFERS				
15 NON-MANDATORY TRANSFERS	240,360	146,000	200,000	200,000
16 TOTAL UNREST. E&G EXP.	\$6,746,440	\$6,521,800	\$7,270,621	\$7,528,666
17 NET LOCAL INCOME	2,577,688	2,519,790	2,650,000	2,785,000
18 PRIOR YEAR BALANCE***			250,000	250,000
STATE FUNDS:				
19 GENERAL REVENUE	2,892,401	2,865,642	3,234,253	3,357,298
20 EDUCATIONAL EXCELLENCE				
21 WORKFORCE 2000	1,149,461	1,136,368	1,136,368	1,136,368
22 TOBACCO SETTLEMENT FUNDS				
23 OTHER STATE FUNDS **	143,511			
24 TOTAL SOURCES OF INCOME	\$6,763,061	\$6,521,800	\$7,270,621	\$7,528,666

FORM 09-2

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

Other State Funds include: \$136,364 Economic Incentive Funds and \$7,147 M&R Proceeds

**ESTIMATED UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND
CASH INCOME FOR THE 2009-11 BIENNIUM**

OZARKA COLLEGE

(NAME OF INSTITUTION)

SOURCE	ACTUAL 2007-08	BUDGETED 2008-09	ESTIMATED INCOME	
			2009-10	2010-11
1 TUITION AND MANDATORY FEES	2,059,330	2,167,300	2,275,000	2,390,000
2 ALL OTHER FEES	155,814	144,500	152,000	160,000
3 OFF-CAMPUS CREDIT				0
4 NON-CREDIT INSTRUCTION				0
5 ORGANIZED ACTIVITIES RELATED TO EDUCATIONAL DEPARTMENTS				0
6 INVESTMENT INCOME	61,148	22,200	25,000	27,000
7 OTHER CASH INCOME:	301,396	185,790	198,000	208,000
8 TOTAL UNRESTRICTED CURRENT FUND CASH INCOME	2,577,688	2,519,790	2,650,000	2,785,000
9 LESS: TWO-YEAR COLLEGE ACTIVITY FEES				
10 NET UNRESTRICTED CURRENT FUND CASH INCOME AVAILABLE FOR UNRESTRICTED EDUCATIONAL AND GENERAL OPERATIONS	\$2,577,688	\$2,519,790	\$2,650,000	\$2,785,000

FORM 09-3

Other Cash Income:

\$250,351 Sales Tax Proceeds

\$27,505 Administrative Allowances

\$23,540 Miscellaneous Income

**APPROPRIATION ACT FORM - STATE TREASURY - AHECB RECOMMENDATION
2009-11 BIENNIUM**

FUND CTO0000

INSTITUTION OZARKA COLLEGE

APPROPRIATION 1XC

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	2,731,813	2,919,021	2,919,021	3,000,000	3,100,000		
2 EXTRA HELP WAGES	57,983	67,004	67,004	75,000	75,000		
3 OVERTIME		100	100	100	100		
4 PERSONAL SERVICES MATCHING	1,252,066	1,143,036	1,143,036	1,294,321	1,317,366		
5 OPERATING EXPENSES		200	200	200	200		
6 CONFERENCE FEES & TRAVEL		200	200	200	200		
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)		200	200	200	200		
8 CAPITAL OUTLAY		200	200	200	200		
9 DATA PROCESSING SERVICES		0	0	0	0		
10 FUNDED DEPRECIATION		200	200	200	200		
11 CAPITAL IMPROVEMENTS		200	200	200	200		
12 CONTINGENCY							
13							
14 TOTAL APPROPRIATION	\$4,041,862	\$4,130,361	\$4,130,361	\$4,370,621	\$4,493,666	\$0	\$0
15 PRIOR YEAR FUND BALANCE**		128,351					
16 GENERAL REVENUE	2,892,401	2,865,642		3,234,253	3,357,298		
17 EDUCATIONAL EXCELLENCE TRUST FUND							
18 SPECIAL REVENUES * [WF2000]	1,149,461	1,136,368		1,136,368	1,136,368		
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS **	143,511						
22 TOTAL INCOME	\$4,185,373	\$4,130,361		\$4,370,621	\$4,493,666	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	(\$143,511)	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

FORM 09-4

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

** GIF Operating Funds 136,364
M&R Proceeds 7,147
Total Other State Treasury Funds 143,511

**APPROPRIATION ACT FORM - STATE TREASURY - FLAT BUDGET
2009-11 BIENNIUM**

FUND CTO0000

INSTITUTION OZARKA COLLEGE

APPROPRIATION 1XC

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	2,731,813	2,919,021	2,919,021	2,790,660	2,790,660		
2 EXTRA HELP WAGES	57,983	67,004	67,004	67,000	67,000		
3 OVERTIME		100	100	100	100		
4 PERSONAL SERVICES MATCHING	1,252,066	1,143,036	1,143,036	1,143,050	1,143,050		
5 OPERATING EXPENSES		200	200	200	200		
6 CONFERENCE FEES & TRAVEL		200	200	200	200		
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)		200	200	200	200		
8 CAPITAL OUTLAY		200	200	200	200		
9 DATA PROCESSING SERVICES		0	0	0	0		
10 FUNDED DEPRECIATION		200	200	200	200		
11 CAPITAL IMPROVEMENTS		200	200	200	200		
12 CONTINGENCY							
13							
14 TOTAL APPROPRIATION	\$4,041,862	\$4,130,361	\$4,130,361	\$4,002,010	\$4,002,010	\$0	\$0
15 PRIOR YEAR FUND BALANCE**		128,351					
16 GENERAL REVENUE	2,892,401	2,865,642		2,865,642	2,865,642		
17 EDUCATIONAL EXCELLENCE TRUST FUND							
18 SPECIAL REVENUES * [WF2000]	1,149,461	1,136,368		1,136,368	1,136,368		
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS **	143,511						
22 TOTAL INCOME	\$4,185,373	\$4,130,361		\$4,002,010	\$4,002,010	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	(\$143,511)	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

FORM 09-4

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

** GIF Operating Funds 136,364
M&R Proceeds 7,147
Total Other State Treasury Funds 143,511

**APPROPRIATION ACT FORM - STATE TREASURY - 7% REDUCTION
2009-11 BIENNIUM**

FUND CTO0000

INSTITUTION OZARKA COLLEGE

APPROPRIATION 1XC

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	2,731,813	2,919,021	2,919,021	2,600,000	2,600,000		
2 EXTRA HELP WAGES	57,983	67,004	67,004	65,000	65,000		
3 OVERTIME		100	100	100	100		
4 PERSONAL SERVICES MATCHING	1,252,066	1,143,036	1,143,036	1,135,115	1,135,115		
5 OPERATING EXPENSES		200	200	200	200		
6 CONFERENCE FEES & TRAVEL		200	200	200	200		
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)		200	200	200	200		
8 CAPITAL OUTLAY		200	200	200	200		
9 DATA PROCESSING SERVICES		0	0	0	0		
10 FUNDED DEPRECIATION		200	200	200	200		
11 CAPITAL IMPROVEMENTS		200	200	200	200		
12 CONTINGENCY							
13							
14 TOTAL APPROPRIATION	\$4,041,862	\$4,130,361	\$4,130,361	\$3,801,415	\$3,801,415	\$0	\$0
15 PRIOR YEAR FUND BALANCE**		128,351					
16 GENERAL REVENUE	2,892,401	2,865,642		2,665,047	2,665,047		
17 EDUCATIONAL EXCELLENCE TRUST FUND							
18 SPECIAL REVENUES * [WF2000]	1,149,461	1,136,368		1,136,368	1,136,368		
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS	143,511						
22 TOTAL INCOME	\$4,185,373	\$4,130,361		\$3,801,415	\$3,801,415	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	(\$143,511)	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

FORM 09-4

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

** GIF Operating Funds 136,364
M&R Proceeds 7,147
Total Other State Treasury Funds 143,511

**APPROPRIATION ACT FORM - CASH FUNDS
2009-11 BIENNIUM**

FUND 2870000

INSTITUTION OZARKA COLLEGE

APPROPRIATION B63

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	994,741	2,381,216	2,381,216	2,500,000	2,750,000		
2 EXTRA HELP WAGES	92,899	223,483	223,483	225,000	230,000		
3 OVERTIME	0	1,000	1,000	1,000	1,000		
4 PERSONAL SERVICES MATCHING	310,212	1,217,916	1,217,916	1,200,000	1,225,000		
5 OPERATING EXPENSES	2,141,644	4,648,600	4,648,600	4,700,000	5,000,000		
6 CONFERENCE FEES & TRAVEL		98,700	98,700	100,000	150,000		
7 PROFESSIONAL FEES AND SERVICES		119,200	119,200	120,000	125,000		
8 DATA PROCESSING		10,000	10,000	10,000	10,000		
9 CAPITAL OUTLAY	83,853	377,600	377,600	400,000	500,000		
10 CAPITAL IMPROVEMENTS		550,000	550,000	750,000	750,000		
11 DEBT SERVICE	213,580	386,000	386,000	350,000	350,000		
12 FUND TRANSFERS, REFUNDS AND INVESTMENTS							
13							
14							
15							
16 CONTINGENCY				5,000,000	5,000,000		
17 TOTAL APPROPRIATION	\$3,836,930	\$10,013,715	\$10,013,715	\$15,356,000	\$16,091,000	\$0	\$0
18 PRIOR YEAR FUND BALANCE***							
19 LOCAL CASH FUNDS	2,577,688	3,298,990		4,000,000	5,000,000		
20 FEDERAL CASH FUNDS	1,108,780	919,800		2,250,000	3,250,000		
21 OTHER CASH FUNDS	349,095	5,794,925		9,106,000	7,841,000		
22 TOTAL INCOME	\$4,035,563	\$10,013,715		\$15,356,000	\$16,091,000	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	(\$198,633)	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED 2007-09	REQUESTED	RECOMMENDED	LEGISLATIVE RECOMMENDATION	
				2009-11	2009-11	2009-11	2010-11
REGULAR POSITIONS	166	170	191	193	193		
TOBACCO POSITIONS							
EXTRA HELP **	61	75	75	75	75		

FORM 09-5

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

Other Cash Funds include: \$1,964 AR Collegiate Drug Education Program, \$36,991 General Adult Education Special Projects Grant, \$298,140 General Adult Education Grant, \$12,000 Adult Basic Education Grant

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

OZARKA COLLEGE
 (NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2007-08				BUDGETED 2008-09			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *				0				0
2 RESIDENCE HALL				0				0
3 MARRIED STUDENT HOUSING				0				0
4 FACULTY HOUSING				0				0
5 FOOD SERVICES	71,269	140,656		(69,387)	53,600	136,500		(82,900)
6 COLLEGE UNION				0				0
7 BOOKSTORE	600,945	516,124		84,821	615,400	485,000		130,400
8 STUDENT ORGANIZATIONS AND PUBLICATIONS				0				0
9 STUDENT HEALTH SERVICES				0				0
10 OTHER - Preschool/Ozarka Kids Acad	153,594	189,715		(36,121)	110,200	175,000		(64,800)
11 SUBTOTAL	\$825,808	\$846,495	\$0	(\$20,687)	\$779,200	\$796,500	\$0	(\$17,300)
12 ATHLETIC TRANSFER **				0				0
13 OTHER TRANSFERS ***				0				0
14 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$825,808	\$846,495	\$0	(\$20,687)	\$779,200	\$796,500	\$0	(\$17,300)

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM 09-6

** For both two-year and four-year institutions, line 12, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 13, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

OZARKA COLLEGE

(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2007-08:						<u>112</u>
(As of November 1, 2007)						
Nonclassified Administrative Employees:						
White Male:	<u>5</u>	Black Male:	<u> </u>	Other Male:	<u> </u>	Total Male: <u>5</u>
White Female:	<u>3</u>	Black Female:	<u> </u>	Other Female:	<u> </u>	Total Female: <u>3</u>
Nonclassified Health Care Employees:						
White Male:	<u> </u>	Black Male:	<u> </u>	Other Male:	<u> </u>	Total Male: <u>0</u>
White Female:	<u> </u>	Black Female:	<u> </u>	Other Female:	<u> </u>	Total Female: <u>0</u>
Classified Employees:						
White Male:	<u> </u>	Black Male:	<u> </u>	Other Male:	<u> </u>	Total Male: <u>0</u>
White Female:	<u>1</u>	Black Female:	<u> </u>	Other Female:	<u> </u>	Total Female: <u>1</u>
Faculty:						
White Male:	<u>33</u>	Black Male:	<u> </u>	Other Male:	<u> </u>	Total Male: <u>33</u>
White Female:	<u>67</u>	Black Female:	<u> </u>	Other Female:	<u>3</u>	Total Female: <u>70</u>
Total White Male:	<u>38</u>	Total Black Male:	<u>0</u>	Total Other Male:	<u>0</u>	Total Male: <u>38</u>
Total White Female:	<u>71</u>	Total Black Female:	<u>0</u>	Total Other Female:	<u>3</u>	Total Female: <u>74</u>
Total White:	<u>109</u>	Total Black:	<u>0</u>	Total Other:	<u>3</u>	Total Employees: <u>112</u>
				Total Minority:	<u>3</u>	

FORM 09-8

DIVISION OF LEGISLATIVE AUDIT
AUDIT OF Ozarka College
June 30, 2007

<i>Finding:</i>	No findings noted
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PHILLIPS COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS
INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED
TO THE 2009-11 APPROPRIATION REQUESTS

I. Introduction

PCCUA identifies its institutional goals, objectives, and strategies through a comprehensive ongoing three year planning cycle. The Chancellor, College Board, and the college community participate in determining priorities which result in a five year action plan. This process relies on close examination of the College's strengths and weaknesses including opportunities and threats which may impact significant College initiatives:

- Achieving the Dream—a national initiative designed to help more community college students succeed. Participating colleges are provided with support to implement strategies designed to help more students (particularly students of color and low-income students) earn degrees, complete certificates or transfer to other institutions to continue their studies.
- Foundations of Excellence—freshman or first year experiences which promote learning and success.
- Career Pathways—a program designed to facilitate the movement of low-income people into a college program tied to the workforce to improve the quality of life.
- Title III—a program designed to provide funds for strengthening the academic quality, institutional management, and fiscal stability of the College.
- Gear Up—a program designed to increase the number of low-income students who are prepared to enter and succeed in postsecondary education.

II. Institutional Mission Statement

The Phillips Community College District is a multi-campus, two-year college serving Eastern Arkansas. The college is committed to individual, organizational, and community development. It provides accessible, affordable education, training, and public services that are consistent with the goals and objectives of its students and the communities it serves.

PHILLIPS COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS
INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED
TO THE 2009-11 APPROPRIATION REQUESTS

Through its numerous programs and partnerships, the college provides high quality educational opportunities and supports the economic growth of Eastern Arkansas. Phillips Community College is a member of the University of Arkansas System.

III. Institutional Goals

PCCUA provides a variety of courses, activities, and programs to meet the needs of the community, business and industry, and education and community action agencies

It provides the following opportunities:

- A. University-parallel transfer courses of high academic quality on the freshman and sophomore levels,
- B. Competency based occupational courses which prepare, train, and retrain students for the workforce,
- C. Continuing education for credit or non-credit on a full- or part-time basis and a program of community service activities which meet the community's professional, personal, and cultural needs and interests. In addition, it offers the use of its facilities, professional staff, and the specific talents of its students to promote the civic and cultural life of the community
- D. Developmental courses and programming which provide for the improvement of academic, social, workplace and personal skills and increases the likelihood of student success.

IV. Programs and Program Definitions

- A. Instruction: PCCUA offers credit courses leading to associate degrees and certificates in technical, occupational fields and transfer majors.

PHILLIPS COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS
INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED
TO THE 2009-11 APPROPRIATION REQUESTS

1. Goal 1: Establish a Learner Centered Environment by increasing student learning outcomes and meeting the diverse needs of students. PCCUA will plan, develop, improve and implement curricula which meet the college community needs and prepare students for emerging technologies.
 - a. Objective: PCCUA will develop strategies to increase the number of low income, first generation college, and minority students who are prepared to enter and succeed in college.
 - b. Objective: PCCUA will expand efforts to engage nontraditional students and academically under prepared students so they are prepared to enter and succeed in postsecondary education.
 - c. Objective: PCCUA will create multiple career pathways which allow upward or vertical mobility for workers, and unemployed low income people.
 2. Goal 2: Increase student success.
 - a. Objective: PCCUA will improve advisement of students by providing a positive, academically nurturing environment which promotes success through assessment, appropriate placement, and assistance (tutoring, mentoring, activities, programming).
 - b. Objective: PCCUA will develop and implement a first year student support program which promotes learning and success.
- B. Leadership in Community Service/Business and Industry Training: PCCUA provides professional and occupational development for businesses, industries, and numerous governmental, health, and education agencies. In addition, there are many personal enrichment activities provided.

PHILLIPS COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS
INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED
TO THE 2009-11 APPROPRIATION REQUESTS

1. Goal 1 - Leadership and Communication in Community and Economic Development: PCCUA will establish partnerships with community organizations, business and industry, and educational institutions throughout its service area for purposes that meet the needs of the communities it serves, consistent with its institutional mission, vision, and values.
 - a. Objective: PCCUA will play an instrumental role in the efforts to direct regional economic development activities through education and training services and through participation in initiatives such as the Delta Bridge Project, Stuttgart Unlimited, and Crossroads Coalition. In addition it will fortify its ties with business, industry and labor, and other education agencies.
 - b. Objective: Through its participation in the Arkansas Delta Training and Education Consortium (ADTEC), PCCUA will continue to develop and offer new programs and expand existing programs and services that promote economic and community development, labor force participation, and community leadership.
 - c. Objective: PCCUA will create on its Stuttgart campus the Grand Prairie Center for the Arts and Health Sciences. The facility will provide space for the expansion of Allied Health programs on the Stuttgart campus, accommodate arts presentations and instructional space, as well as joint educational efforts between the arts and health sciences. It will also be available for a wide range of community and regional events.

**INSTITUTION APPROPRIATION SUMMARY
2009-11 BIENNIUM**

INSTITUTION PHILLIPS COMMUNITY COLLEGE UA

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2007-08		2008-09		2008-09		2009-10				2010-11			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY - HELENA	8,356,756		7,797,681		8,512,129		7,862,011		7,862,011		7,949,018		7,949,018	
2 STATE TREASURY - DEWITT	871,080		1,010,448		1,010,448		1,165,868		1,165,868		1,210,491		1,210,491	
3 STATE TREASURY - STUTTGART	1,016,751		1,354,258		1,354,258		1,645,893		1,645,893		1,755,570		1,755,570	
4 CASH - HELENA	4,357,020		27,590,000		27,590,000		33,365,000		33,365,000		33,365,000		33,365,000	
5 CASH - DEWITT	1,091,520		8,770,000		8,770,000		9,970,000		9,970,000		9,970,000		9,970,000	
6 CASH - STUTTGART	3,250,273		9,270,000		9,270,000		16,420,000		16,420,000		16,420,000		16,420,000	
7														
8														
9														
10														
11 TOTAL	\$18,943,400	193	\$55,792,387	193	\$56,506,835	286	\$70,428,772	188	\$70,428,772	188	\$70,670,079	188	\$70,670,079	188
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*		0%		0%				0%		0%		0%		0%
13 GENERAL REVENUE	9,014,886	46%	8,968,904	16%			9,480,289	13%	9,479,489	13%	9,721,596	14%	9,720,796	14%
14 EDUCATIONAL EXCELLENCE TRUST FUND	750,829	4%	720,066	1%			720,066	1%	720,866	1%	720,066	1%	720,866	1%
15 WORKFORCE 2000	478,872	2%	473,417	1%			473,417	1%	473,417	1%	473,417	1%	473,417	1%
16 CASH FUNDS	5,800,160	30%	5,269,000	9%			5,269,000	7%	5,269,000	7%	5,269,000	7%	5,269,000	7%
17 SPECIAL REVENUES		0%		0%				0%		0%		0%		0%
18 FEDERAL FUNDS	3,580,388	18%	3,450,000	6%			3,450,000	5%	3,450,000	5%	3,450,000	5%	3,450,000	5%
19 TOBACCO SETTLEMENT FUNDS		0%		0%				0%		0%		0%		0%
20 OTHER FUNDS		0%	36,911,000	66%			51,036,000	72%	51,036,000	72%	51,036,000	72%	51,036,000	72%
21 TOTAL INCOME	\$19,625,135	100%	\$55,792,387	100%			\$70,428,772	100%	\$70,428,772	100%	\$70,670,079	100%	\$70,670,079	100%
22 EXCESS (FUNDING)/APPROPRIATION	(\$681,735)		\$0				\$0		\$0		\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2008:	\$2,454,785
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$633,153
INVENTORIES	\$43,169
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	\$50,000
MAJOR CRITICAL SYSTEMS FAILURES	
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$1,809,996
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	(\$81,533)

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2009-11 BIENNIUM**

PHILLIPS COMMUNITY COLLEGE UA
NAME OF INSTITUTION

EXPENDITURE CATEGORIES	2007-08 ACTUAL	2008-09 BUDGETED *	2009-11 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS	
			2009-10 REQUEST / RECOMMENDATION	2010-11 REQUEST / RECOMMENDATION
1 INSTRUCTION	5,944,966	6,858,658	7,174,772	7,241,079
2 RESEARCH				
3 PUBLIC SERVICE	873,443	549,678	600,000	625,000
4 ACADEMIC SUPPORT	1,883,514	1,150,308	1,200,000	1,225,000
5 STUDENT SERVICES	1,088,521	1,068,646	1,100,000	1,150,000
6 INSTITUTIONAL SUPPORT	3,112,385	2,829,946	2,850,000	2,900,000
7 PHYSICAL PLANT M&O	1,619,895	1,490,722	1,550,000	1,575,000
8 SCHOLARSHIPS & FELLOWSHIPS	367,629	335,000	335,000	335,000
9				
10				
11				
12				
13 MANDATORY TRANSFERS	508,556	770,368	775,000	775,000
14 AUXILIARY TRANSFERS	68,712	70,000	70,000	70,000
15 NON-MANDATORY TRANSFERS	100,000	288,000	288,000	288,000
16 TOTAL UNREST. E&G EXP.	\$15,567,621	\$15,411,326	\$15,942,772	\$16,184,079
17 NET LOCAL INCOME	5,800,160	5,269,000	5,269,000	5,269,000
18 PRIOR YEAR BALANCE***				
STATE FUNDS:				
19 GENERAL REVENUE	9,014,886	8,968,904	9,480,289	9,721,596
20 EDUCATIONAL EXCELLENCE	750,829	720,066	720,066	720,066
21 WORKFORCE 2000	478,872	473,417	473,417	473,417
22 TOBACCO SETTLEMENT FUNDS				
23 OTHER STATE FUNDS **				
24 TOTAL SOURCES OF INCOME	\$16,044,747	\$15,431,387	\$15,942,772	\$16,184,079

FORM 09-2

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**ESTIMATED UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND
CASH INCOME FOR THE 2009-11 BIENNIUM**

PHILLIPS COMMUNITY COLLEGE UA

(NAME OF INSTITUTION)

SOURCE	ACTUAL 2007-08	BUDGETED 2008-09	ESTIMATED INCOME	
			2009-10	2010-11
1 TUITION AND MANDATORY FEES	2,603,936	2,700,000	2,700,000	2,700,000
2 ALL OTHER FEES	179,984	195,000	195,000	195,000
3 OFF-CAMPUS CREDIT				
4 NON-CREDIT INSTRUCTION	207,667	185,000	185,000	185,000
5 ORGANIZED ACTIVITIES RELATED TO EDUCATIONAL DEPARTMENTS	29,648	25,000	25,000	25,000
6 INVESTMENT INCOME	14,943	20,000	20,000	20,000
7 OTHER CASH INCOME:	2,763,982	2,144,000	2,144,000	2,144,000
8 TOTAL UNRESTRICTED CURRENT FUND CASH INCOME	5,800,160	5,269,000	5,269,000	5,269,000
9 LESS: TWO-YEAR COLLEGE ACTIVITY FEES				
10 NET UNRESTRICTED CURRENT FUND CASH INCOME AVAILABLE FOR UNRESTRICTED EDUCATIONAL AND GENERAL OPERATIONS	\$5,800,160	\$5,269,000	\$5,269,000	\$5,269,000

Other cash line consists of Phillips County property millage, Arkansas County sales tax, and secondary center funds.

FORM 09-3

**APPROPRIATION ACT FORM - STATE TREASURY - AHECB RECOMMENDATION
2009-11 BIENNIUM**

FUND CWP0000

INSTITUTION PHILLIPS COMMUNITY COLLEGE UA (HELENA)

APPROPRIATION 308

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	6,286,200	6,033,357	6,626,490	6,083,190	6,144,021		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	1,413,158	1,264,460	1,360,512	1,278,957	1,295,136		
5 OPERATING EXPENSES	657,398	499,864	525,127	499,864	509,861		
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY							
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION							
11							
12							
13							
14 TOTAL APPROPRIATION	\$8,356,756	\$7,797,681	\$8,512,129	\$7,862,011	\$7,949,018	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE	7,605,927	7,077,615		7,141,945	7,228,952		
17 EDUCATIONAL EXCELLENCE TRUST FUNDS	750,829	720,066		720,066	720,066		
18 SPECIAL REVENUES * [WF2000]							
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS							
22 TOTAL INCOME	\$8,356,756	\$7,797,681		\$7,862,011	\$7,949,018	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY - AHECB RECOMMENDATION
2009-11 BIENNIUM**

FUND CWP0000

INSTITUTION PHILLIPS COMMUNITY COLLEGE UA (DEWITT)

APPROPRIATION 1BW

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	562,626	667,509	667,509	797,635	831,758		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	150,954	152,939	152,939	178,233	188,733		
5 OPERATING EXPENSES	157,500	190,000	190,000	190,000	190,000		
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY							
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION							
11							
12							
13							
14 TOTAL APPROPRIATION	\$871,080	\$1,010,448	\$1,010,448	\$1,165,868	\$1,210,491	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE	392,208	537,031		692,451	737,074		
17 EDUCATIONAL EXCELLENCE TRUST FUND							
18 SPECIAL REVENUES * [WF2000]	478,872	473,417		473,417	473,417		
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS							
22 TOTAL INCOME	\$871,080	\$1,010,448		\$1,165,868	\$1,210,491	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY - AHECB RECOMMENDATION
2009-11 BIENNIUM**

FUND CWP0000

INSTITUTION PHILLIPS COMMUNITY COLLEGE (STUTTART)

APPROPRIATION 413

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	585,797	857,907	857,907	1,107,907	1,210,219		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	150,954	216,351	216,351	237,986	245,351		
5 OPERATING EXPENSES	280,000	280,000	280,000	300,000	300,000		
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY							
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION							
11							
12							
13							
14 TOTAL APPROPRIATION	\$1,016,751	\$1,354,258	\$1,354,258	\$1,645,893	\$1,755,570	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE	1,016,751	1,354,258		1,645,893	1,755,570		
17 EDUCATIONAL EXCELLENCE TRUST FUND							
18 SPECIAL REVENUES * [WF2000]							
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS							
22 TOTAL INCOME	\$1,016,751	\$1,354,258		\$1,645,893	\$1,755,570	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY - FLAT BUDGET
2009-11 BIENNIUM**

FUND CWP0000

INSTITUTION PHILLIPS COMMUNITY COLLEGE UA (HELENA)

APPROPRIATION 308

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	6,286,200	6,033,357	6,626,490	6,033,357	6,033,357		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	1,413,158	1,264,460	1,360,512	1,264,460	1,264,460		
5 OPERATING EXPENSES	657,398	499,864	525,127	499,864	499,864		
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY							
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION							
11							
12							
13							
14 TOTAL APPROPRIATION	\$8,356,756	\$7,797,681	\$8,512,129	\$7,797,681	\$7,797,681	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE	7,605,927	7,077,615		7,077,615	7,077,615		
17 EDUCATIONAL EXCELLENCE TRUST FUNDS	750,829	720,066		720,066	720,066		
18 SPECIAL REVENUES * [WF2000]							
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS							
22 TOTAL INCOME	\$8,356,756	\$7,797,681		\$7,797,681	\$7,797,681	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY - FLAT BUDGET
2009-11 BIENNIUM**

FUND CWP0000

INSTITUTION PHILLIPS COMMUNITY COLLEGE UA (DEWITT)

APPROPRIATION 1BW

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	562,626	667,509	667,509	667,509	667,509		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	150,954	152,939	152,939	152,939	152,939		
5 OPERATING EXPENSES	157,500	190,000	190,000	190,000	190,000		
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY							
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION							
11							
12							
13							
14 TOTAL APPROPRIATION	\$871,080	\$1,010,448	\$1,010,448	\$1,010,448	\$1,010,448	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE	392,208	537,031		537,031	537,031		
17 EDUCATIONAL EXCELLENCE TRUST FUND							
18 SPECIAL REVENUES * [WF2000]	478,872	473,417		473,417	473,417		
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS							
22 TOTAL INCOME	\$871,080	\$1,010,448		\$1,010,448	\$1,010,448	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM 09-4

**APPROPRIATION ACT FORM - STATE TREASURY - FLAT BUDGET
2009-11 BIENNIUM**

FUND CWP0000

INSTITUTION PHILLIPS COMMUNITY COLLEGE UA (STUTTART)

APPROPRIATION 413

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	585,797	857,907	857,907	857,907	857,907		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	150,954	216,351	216,351	216,351	216,351		
5 OPERATING EXPENSES	280,000	280,000	280,000	280,000	280,000		
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY							
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION							
11							
12							
13							
14 TOTAL APPROPRIATION	\$1,016,751	\$1,354,258	\$1,354,258	\$1,354,258	\$1,354,258	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE	1,016,751	1,354,258		1,354,258	1,354,258		
17 EDUCATIONAL EXCELLENCE TRUST FUND							
18 SPECIAL REVENUES * [WF2000]							
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS							
22 TOTAL INCOME	\$1,016,751	\$1,354,258		\$1,354,258	\$1,354,258	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM 09-4

**APPROPRIATION ACT FORM - STATE TREASURY - 7% REDUCTION
2009-11 BIENNIUM**

FUND CWP0000

INSTITUTION PHILLIPS COMMUNITY COLLEGE UA (HELENA)

APPROPRIATION 308

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	6,286,200	6,033,357	6,626,490	5,611,022	5,611,022		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	1,413,158	1,264,460	1,360,512	1,175,948	1,175,948		
5 OPERATING EXPENSES	657,398	499,864	525,127	464,818	464,818		
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY							
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION							
11							
12							
13							
14 TOTAL APPROPRIATION	\$8,356,756	\$7,797,681	\$8,512,129	\$7,251,788	\$7,251,788	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE	7,605,927	7,077,615		6,531,722	6,531,722		
17 EDUCATIONAL EXCELLENCE TRUST FUNDS	750,829	720,066		720,066	720,066		
18 SPECIAL REVENUES * [WF2000]							
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS							
22 TOTAL INCOME	\$8,356,756	\$7,797,681		\$7,251,788	\$7,251,788	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY - 7% REDUCTION
2009-11 BIENNIUM**

FUND CWP0000

INSTITUTION PHILLIPS COMMUNITY COLLEGE UA (DEWITT)

APPROPRIATION 1BW

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	562,626	667,509	667,509	620,783	620,783		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	150,954	152,939	152,939	142,233	142,233		
5 OPERATING EXPENSES	157,500	190,000	190,000	176,700	176,700		
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY							
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION							
11							
12							
13							
14 TOTAL APPROPRIATION	\$871,080	\$1,010,448	\$1,010,448	\$939,716	\$939,716	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE	392,208	537,031		466,299	466,299		
17 EDUCATIONAL EXCELLENCE TRUST FUND							
18 SPECIAL REVENUES * [WF2000]	478,872	473,417		473,417	473,417		
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS							
22 TOTAL INCOME	\$871,080	\$1,010,448		\$939,716	\$939,716	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY - 7% REDUCTION
2009-11 BIENNIUM**

FUND CWP0000

INSTITUTION PHILLIPS COMMUNITY COLLEGE UA (STUTTART)

APPROPRIATION 413

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	585,797	857,907	857,907	881,454	881,454		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	150,954	216,351	216,351	201,206	201,206		
5 OPERATING EXPENSES	280,000	280,000	280,000	260,400	260,400		
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY							
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION							
11							
12							
13							
14 TOTAL APPROPRIATION	\$1,016,751	\$1,354,258	\$1,354,258	\$1,343,060	\$1,343,060	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE	1,016,751	1,354,258		1,343,060	1,343,060		
17 EDUCATIONAL EXCELLENCE TRUST FUND							
18 SPECIAL REVENUES * [WF2000]							
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS							
22 TOTAL INCOME	\$1,016,751	\$1,354,258		\$1,343,060	\$1,343,060	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - CASH FUNDS
2009-11 BIENNIUM**

FUND 2150000

INSTITUTION PHILLIPS COMMUNITY COLLEGE UA (HELENA)

APPROPRIATION A73

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	277,135	3,500,000	3,500,000	6,000,000	6,000,000		
2 EXTRA HELP WAGES	263,717	550,000	550,000	550,000	550,000		
3 OVERTIME	1,730	140,000	140,000	140,000	140,000		
4 PERSONAL SERVICES MATCHING	741,506	1,500,000	1,500,000	1,750,000	1,750,000		
5 OPERATING EXPENSES	2,395,880	3,975,000	3,975,000	4,000,000	4,000,000		
6 CONFERENCE FEES & TRAVEL	167,294	200,000	200,000	200,000	200,000		
7 PROFESSIONAL FEES AND SERVICES		1,000,000	1,000,000	1,000,000	1,000,000		
8 DATA PROCESSING	432,235	700,000	700,000	700,000	700,000		
9 CAPITAL OUTLAY	77,523	3,000,000	3,000,000	3,000,000	3,000,000		
10 CAPITAL IMPROVEMENTS		10,000,000	10,000,000	13,000,000	13,000,000		
11 DEBT SERVICE		3,000,000	3,000,000	3,000,000	3,000,000		
12 FUND TRANSFERS, REFUNDS AND INVESTMENTS							
13 PROMOTIONAL ITEMS		25,000	25,000	25,000	25,000		
14							
15							
16 CONTINGENCY							
17 TOTAL APPROPRIATION	\$4,357,020	\$27,590,000	\$27,590,000	\$33,365,000	\$33,365,000	\$0	\$0
18 PRIOR YEAR FUND BALANCE***							
19 LOCAL CASH FUNDS	2,941,283	2,325,478		2,325,478	2,325,478		
20 FEDERAL CASH FUNDS	1,415,737	1,200,000		1,200,000	1,200,000		
21 OTHER CASH FUNDS		24,064,522		29,839,522	29,839,522		
22 TOTAL INCOME	\$4,357,020	\$27,590,000		\$33,365,000	\$33,365,000	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED 2007-09	REQUESTED	RECOMMENDED	LEGISLATIVE RECOMMENDATION	
				2009-11	2009-11	2009-11	2010-11
REGULAR POSITIONS	193	193	286	188	188		
TOBACCO POSITIONS							
EXTRA HELP **	166	202	600	600	600		

FORM 09-5

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - CASH FUNDS
2009-11 BIENNIUM**

FUND 2150000

INSTITUTION PHILLIPS COMMUNITY COLLEGE UA (STUTT GART)

APPROPRIATION A81

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	1,100,000	1,100,000	1,100,000	2,000,000	2,000,000		
2 EXTRA HELP WAGES	212,837	250,000	250,000	250,000	250,000		
3 OVERTIME		70,000	70,000	70,000	70,000		
4 PERSONAL SERVICES MATCHING	350,000	350,000	350,000	600,000	600,000		
5 OPERATING EXPENSES	939,529	1,000,000	1,000,000	1,250,000	1,250,000		
6 CONFERENCE FEES & TRAVEL	16,221	50,000	50,000	50,000	50,000		
7 PROFESSIONAL FEES AND SERVICES	14,397	50,000	50,000	50,000	50,000		
8 DATA PROCESSING	150,000	150,000	150,000	250,000	250,000		
9 CAPITAL OUTLAY	213,011	250,000	250,000	400,000	400,000		
10 CAPITAL IMPROVEMENTS		5,000,000	5,000,000	10,000,000	10,000,000		
11 DEBT SERVICE	254,278	1,000,000	1,000,000	1,500,000	1,500,000		
12 FUND TRANSFERS, REFUNDS AND INVESTMENTS							
13							
14							
15							
16 CONTINGENCY							
17 TOTAL APPROPRIATION	\$3,250,273	\$9,270,000	\$9,270,000	\$16,420,000	\$16,420,000	\$0	\$0
18 PRIOR YEAR FUND BALANCE***							
19 LOCAL CASH FUNDS	1,767,357	1,562,954		1,562,954	1,562,954		
20 FEDERAL CASH FUNDS	2,164,651	2,250,000		2,250,000	2,250,000		
21 OTHER CASH FUNDS		5,457,046		12,607,046	12,607,046		
22 TOTAL INCOME	\$3,932,008	\$9,270,000		\$16,420,000	\$16,420,000	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	(\$681,735)	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED 2007-09	REQUESTED	RECOMMENDED	LEGISLATIVE RECOMMENDATION	
				2009-11	2009-11	2009-11	2010-11
REGULAR POSITIONS	70	70	75	70	70		
TOBACCO POSITIONS							
EXTRA HELP **	86	101	150	150	150		

FORM 09-5

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - CASH FUNDS
2009-11 BIENNIUM**

FUND 2150000

INSTITUTION PHILLIPS COMMUNITY COLLEGE UA (DEWITT)

APPROPRIATION B08

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	376,520	750,000	750,000	1,500,000	1,500,000		
2 EXTRA HELP WAGES	96,554	200,000	200,000	200,000	200,000		
3 OVERTIME		70,000	70,000	70,000	70,000		
4 PERSONAL SERVICES MATCHING	164,960	250,000	250,000	300,000	300,000		
5 OPERATING EXPENSES	106,242	1,000,000	1,000,000	1,250,000	1,250,000		
6 CONFERENCE FEES & TRAVEL	7,704	50,000	50,000	50,000	50,000		
7 PROFESSIONAL FEES AND SERVICES	13,208	50,000	50,000	50,000	50,000		
8 DATA PROCESSING	46,043	150,000	150,000	150,000	150,000		
9 CAPITAL OUTLAY	26,011	250,000	250,000	400,000	400,000		
10 CAPITAL IMPROVEMENTS		5,000,000	5,000,000	5,000,000	5,000,000		
11 DEBT SERVICE	254,278	1,000,000	1,000,000	1,000,000	1,000,000		
12 FUND TRANSFERS, REFUNDS AND INVESTMENTS							
13							
14							
15							
16 CONTINGENCY							
17 TOTAL APPROPRIATION	\$1,091,520	\$8,770,000	\$8,770,000	\$9,970,000	\$9,970,000	\$0	\$0
18 PRIOR YEAR FUND BALANCE***							
19 LOCAL CASH FUNDS	1,091,520	1,380,568		1,380,568	1,380,568		
20 FEDERAL CASH FUNDS							
21 OTHER CASH FUNDS		7,389,432		8,589,432	8,589,432		
22 TOTAL INCOME	\$1,091,520	\$8,770,000		\$9,970,000	\$9,970,000	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED 2007-09	REQUESTED	RECOMMENDED	LEGISLATIVE RECOMMENDATION	
				2009-11	2009-11	2009-11	2010-11
REGULAR POSITIONS	65	65	73	66	66		
TOBACCO POSITIONS							
EXTRA HELP **	55	70	150	150	150		

FORM 09-5

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

PHILLIPS COMMUNITY COLLEGE UA
(NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2007-08				BUDGETED 2008-09			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *				0				0
2 RESIDENCE HALL				0				0
3 MARRIED STUDENT HOUSING				0				0
4 FACULTY HOUSING				0				0
5 FOOD SERVICES	73,372	147,126		(73,754)	80,070	135,000		(54,930)
6 COLLEGE UNION				0				0
7 BOOKSTORE	35,759			35,759	30,000			30,000
8 STUDENT ORGANIZATIONS AND PUBLICATIONS		26,337		(26,337)		35,230		(35,230)
9 STUDENT HEALTH SERVICES				0				0
10 OTHER	23,796	16,328		7,468		9,840		(9,840)
11 SUBTOTAL	\$132,927	\$189,791	\$0	(\$56,864)	\$110,070	\$180,070	\$0	(\$70,000)
12 ATHLETIC TRANSFER **				0				0
13 OTHER TRANSFERS ***	68,712			68,712	70,000			70,000
14 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$201,639	\$189,791	\$0	\$11,848	\$180,070	\$180,070	\$0	\$0

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM 09-6

** For both two-year and four-year institutions, line 12, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 13, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

PHILLIPS COMMUNITY COLLEGE UA

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2007-08: (As of November 1, 2007)						<u>218</u>
Nonclassified Administrative Employees:						
White Male:	<u>7</u>	Black Male:	<u>2</u>	Other Male:	<u> </u>	Total Male: <u>9</u>
White Female:	<u>23</u>	Black Female:	<u>6</u>	Other Female:	<u> </u>	Total Female: <u>29</u>
Nonclassified Health Care Employees:						
White Male:	<u>0</u>	Black Male:	<u>0</u>	Other Male:	<u> </u>	Total Male: <u>0</u>
White Female:	<u>0</u>	Black Female:	<u>0</u>	Other Female:	<u> </u>	Total Female: <u>0</u>
Classified Employees:						
White Male:	<u>17</u>	Black Male:	<u>19</u>	Other Male:	<u> </u>	Total Male: <u>36</u>
White Female:	<u>46</u>	Black Female:	<u>24</u>	Other Female:	<u> </u>	Total Female: <u>70</u>
Faculty:						
White Male:	<u>16</u>	Black Male:	<u>1</u>	Other Male:	<u>1</u>	Total Male: <u>18</u>
White Female:	<u>44</u>	Black Female:	<u>10</u>	Other Female:	<u>2</u>	Total Female: <u>56</u>
Total White Male:						
Total White Female:	<u>40</u>	Total Black Male:	<u>22</u>	Total Other Male:	<u>1</u>	Total Male: <u>63</u>
	<u>113</u>	Total Black Female:	<u>40</u>	Total Other Female:	<u>2</u>	Total Female: <u>155</u>
Total White:						
	<u>153</u>	Total Black:	<u>62</u>	Total Other:	<u>3</u>	Total Employees: <u>218</u>
						Total Minority: <u>65</u>

FORM 09-8

DIVISION OF LEGISLATIVE AUDIT
AUDIT OF Phillips Community College - University of Arkansas
June 30, 2007

<i>Finding:</i>	The deadline of September 4, 2007 set by the University of Arkansas System to have the financial statements completed and submitted for audit was not met. Due to the lack of management oversight, the financial statements were not submitted until October 10, 2007. As a result, the audit process was not completed in a timely and efficient manner. Similar findings were reported in fiscal years 2000, 2004, and 2006.
<i>Institution's Response:</i>	PCCUA is developing internal timelines to ensure that all deadlines will be met.
<i>Finding:</i>	Internal controls to ensure the proper reporting of financial statements were inadequate and as a result we noted the following deficiencies: The College did not initially detect financial misstatements in the amount of \$493,784; however, when these errors were brought to the attention of College personnel the financial statements were corrected. The aforementioned misstatements included the improper reporting of inventories, receivables, capital assets and depreciation. The College elected to not correct the financial statements for the following misstatements: As reported in fiscal year 2006, \$158,037 of capital assets acquired by capital leases were not included on the Statement of Net Assets nor was the related depreciation reflected on the Statement of Revenues, Expenses and Changes in Net Assets. The Statement of Cash Flows overstated purchases of capital assets and understated other non-operating revenues by \$44,331.
<i>Institution's Response:</i>	PCCUA is developing procedures to ensure financial statements are prepared accurately and timely.

PULASKI TECHNICAL COLLEGE

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-2011 APPROPRIATION REQUESTS

Introduction

Pulaski Technical College's vision is to be Arkansas' leading comprehensive two-year college, recognized for excellence in workforce development and university-transfer education.

Institutional Mission Statement

Pulaski Technical College is a comprehensive two-year college that serves the educational needs of central Arkansas through technical programs, a university-transfer program, and specialized programs for business and industry. The college's mission is to provide access to high quality education that promotes student learning, to enable individuals to develop to their fullest potential, and to support the economic development of the state.

Institutional Goals

1. Workforce development: PTC will continue to address the workforce development needs of central Arkansas (a) by delivering high quality technical programs that respond to the changing employment needs and opportunities in the region and (b) by providing high quality customized training for business and industry.

Objective/Strategy 1: Continue to research and develop new technical and occupational programs that address the workforce needs of central Arkansas.

Objective/Strategy 2: In consultation with advisory committees from local business and industry, design and develop up-to-date curricula and technology for all occupational and technical programs, ensuring that each program effectively addresses the technical, problem solving, communications, and team-building skills required in the workplace.

PULASKI TECHNICAL COLLEGE

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-2011 APPROPRIATION REQUESTS

Objective/Strategy 3: Continue to develop customized training programs that address the needs of local businesses and industries.

Objective/Strategy 4: Design, develop and implement working partnerships with business and industry that will enhance the college's ability to address the workforce development needs of central Arkansas.

2. University studies: PTC will provide a program of study that effectively prepares students for successful transfer to four-year institutions and supports the general education needs of Associate of Applied Science and certificate students.

Objective/Strategy 1: Continue to strengthen PTC's associate of arts and associate of science programs for the students who wish to transfer to four-year institutions.

Objective/Strategy 2: Continue recruitment into the associate of arts in teaching to contribute to the preparation of qualified teachers for Arkansas schools.

Objective/Strategy 3: Ensure that PTC degree students achieve the knowledge and skills identified in the PTC "Philosophy of General Education."

3. Access to higher education: PTC will provide central Arkansans with accessible, high quality, affordable technical education and university-transfer programs.

Objective/Strategy 1: Continue to enhance knowledge/awareness of PTC programs and services in central Arkansas.

Objective/Strategy 2: Continue to develop sources of financial aid and expand financial aid services to off campus sites.

PULASKI TECHNICAL COLLEGE

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-2011 APPROPRIATION REQUESTS

Objective/Strategy 3: Continue to develop off-campus instructional sites to accommodate students in various areas of central Arkansas.

Objective/Strategy 4: Continue to develop online course offerings to accommodate students who are unable or prefer not to come to campus.

Objective/Strategy 5: Increase the number/percentage of recent high school graduates attending PTC.

4. Student success: PTC will continue its commitment to student success by providing programs and services that will help students achieve their educational and career goals.

Objective/Strategy 1: Continue to create an environment that promotes values that are important for the college, our students, and our community, such as a work ethic, integrity, leadership, and an appreciation for cultural diversity.

Objective/Strategy 2: Continue to develop and implement student retention strategies.

Objective/Strategy 3: Continue to expand full services to Little Rock locations.

Objective/Strategy 4: Respond to the education and training needs of the growing Hispanic community in central Arkansas.

5. Quality learning environment: PTC will provide a quality learning environment by promoting excellence in teaching and learning and maintaining state-of-the-art facilities and technology.

Objective/Strategy 1: Employ additional well-qualified faculty and staff, to include faculty and staff who reflect the racial diversity of the PTC student body.

PULASKI TECHNICAL COLLEGE

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-2011 APPROPRIATION REQUESTS

Objective/Strategy 2: Maintain strong communication with adjunct faculty regarding program and course requirements, performance expectations, and program assessment.

Objective/Strategy 3: Provide financial resources so that the PTC Libraries can meet Association of College and Research Libraries Standards for Libraries in Higher Education in the areas of facilities, staffing, holdings, and technology and ensure that information literacy is a measurable, assessed goal in all educational programs.

Objective/Strategy 4: Continue to provide state-of-the-art laboratory technology for all instructional programs and replace as needed.

Objective/Strategy 5: Develop and maintain a strong faculty evaluation system that includes a professional development program and incentives for quality teaching and enhanced student learning.

6. Educational partnerships: PTC will continue to develop working partnerships with area school districts, colleges, and universities to collaboratively address the education/workforce development needs of central Arkansas.

Objective/Strategy 1: Continue to develop partnerships with area school districts to enhance K-12 education and college preparation, to increase concurrent enrollment and PTC course offerings at high schools, to recruit high school students to attend PTC, and to provide professional development opportunities for school districts.

Objective/Strategy 2: Initiate additional articulation agreements with Arkansas colleges and universities, to include articulation of associate of applied science and associate of arts in teaching programs that will provide PTC graduates with career ladder opportunities.

Objective/Strategy 3: Continue to collaborate with educational partners to strengthen early childhood education in central Arkansas by targeting school districts, colleges, and statewide initiatives.

PULASKI TECHNICAL COLLEGE

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-2011 APPROPRIATION REQUESTS

Objective/Strategy 4: Pursue innovative statewide consortium agreements with other two-year and four-year institutions.

7. Continuing education and community services: PTC will provide continuing education and community services that are responsive to the needs of citizens and organizations in central Arkansas.

Objective/Strategy 1: Design and develop a comprehensive program of continuing education/community service courses based upon the needs of the citizens of central Arkansas.

Objective/Strategy 2: Continue to develop meeting facilities on campus and make them available to the community for meetings and cultural events.

Objective/Strategy 3: Develop and implement special events that will be open to the community.

8. Developing our resources: PTC will continue to seek additional financial and human resources to support the development and ongoing improvement of our programs and services.

Objective/Strategy 1: Maintain tuition and fee rates that will help generate needed resources but also accommodate student access to college.

Objective/Strategy 2: Develop and sustain staffing levels in each area of the college that will enable us to achieve the goals and strategies in this plan.

Objective/Strategy 3: Update the facilities' master plan to add classroom space and other resources as needed.

9. Continuing planning and improvement: PTC will continuously assess and respond to changing student and community

PULASKI TECHNICAL COLLEGE

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-2011 APPROPRIATION REQUESTS

needs, evaluate and improve the effectiveness of programs and services, and update planning goals and strategies.

Programs and Program Definitions

1. Instruction: This program encompasses the credit (degree and certificate) programs offered by the College, to include technical and occupational programs and university-transfer program. There are seven organizational divisions among instruction: (1) Fine Arts and Humanities, (2) Mathematics/Natural and Social Sciences, (3) Academic Success, (4) Technical and Industrial Programs, (5) Allied Health and Human Services, (6) Business, and (7) Information Technology.

Targeted Area 1: PTC plans to continue development of its Little Rock-South site at 13000 Interstate 30 in the southern-most part of Pulaski County. Current associate of applied science degree and technical certificate programs in culinary arts, hospitality management, small engine repair, collision repair technology, automotive technology, and diesel technology will be located at this site. New technical certificate programs in commercial driver training and motorcycle and all-terrain vehicle repair will also be housed at the site. In support of these academic programs, courses in general education, developmental education, business, and information technology will be offered on site, as well.

Targeted Area 2: PTC plans to strengthen aerospace education in central Arkansas. PTC has recently added the associate of science in aviation in partnership with Central Flying Service and Henderson State University. PTC will also build a new Aerospace Technology Center at the North Little Rock Airport, the current site for the associate of applied science degree in aviation maintenance technology and technical certificates in avionics technology, airframe maintenance, and power plant maintenance.

Targeted Area 3: PTC plans to continue development of the Arkansas Culinary School (ACS), including seeking program accreditation from appropriate industry organizations. Through ACS, PTC offers two associate of applied science degrees in culinary arts and hospitality management and three technical certificates in baking and pastry, culinary arts, and wine and spirits studies.

PULASKI TECHNICAL COLLEGE

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-2011 APPROPRIATION REQUESTS

Target Area 4: PTC plans to continue its allied health programs to support the needs of the local healthcare industry, to continue to establish partnerships with regional healthcare entities, and to expand transfer opportunities to institutions in allied health fields.

Target Area 5: PTC plans to strengthen its educational offerings in business by developing and offering an associate of applied science and a technical certificate in entrepreneurship and by building a new Business and Office Technology Center on the main campus in North Little Rock.

2. Business and Industry Training: This program encompasses the customized training programs designed and delivered by the College to address the specific education and training needs of central Arkansas employers and their employees.

Targeted Area 1: PTC will continue to offer specialized continuing education in coordination with local aerospace industries in order to strengthen the local aerospace technology workforce.

Targeted Area 2: PTC will continue to strengthen its manufacturing technology continuing education in support of the local manufacturing sector.

Targeted Area 3: PTC will continue to support the training needs of business, industry, and government.

3. Areas of Excellence, Program Awards, and Special Recognitions: During 2007, PTC was selected to participate in the “Achieving the Dream: Community Colleges Count” initiative. The initiative is a five-year, national endeavor aimed at improving student outcomes in community colleges, particularly among low-income students and students of color. In 2006, PTC began participation in “Foundations of Excellence in the First College Year” through the Policy Center on the First Year of College. The Foundations of Excellence initiative at PTC is in its second year of implementation.

PULASKI TECHNICAL COLLEGE

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-2011 APPROPRIATION REQUESTS

Planning and Performance Measurement

In order to promote continuous planning and improvement, PTC will do the following:

Objective/Strategy 1: Annually update and continuously monitor the PTC Operating Plan, which assigns responsibility, sets target dates, and identifies resource needs for the accomplishment of College and departmental goals.

Objective/Strategy 2: Enhance continuous communication with business, industry, and community leaders about the education and training needs of central Arkansas and conduct regular needs analyses.

Objective/Strategy 3: Continue to develop and implement measures of institutional effectiveness to include retention rates, graduation rates, and student/graduate/employer satisfaction as means of continuous evaluation and improvement of PTC programs and services.

Objective/Strategy 4: Maintain an active program for assessment of student achievement as a means for continuous evaluation and improvement of PTC instructional programs.

Objective/Strategy 5: Continuously monitor and evaluate the productivity and effectiveness of all instructional programs.

Objective/Strategy 6: Continue to enhance data support for institutional decision making, to include planning, budgeting, and institutional assessment.

Objective/Strategy 7: Maintain a participatory, coordinated process for planning, budgeting, and assessment to ensure that institutional decisions are aligned with institutional needs and priorities.

PULASKI TECHNICAL COLLEGE

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-2011 APPROPRIATION REQUESTS

Objective/Strategy 8: Maintain an environment that encourages and recognizes innovation and continuous program improvement.

**INSTITUTION APPROPRIATION SUMMARY
2009-11 BIENNIUM**

INSTITUTION PULASKI TECHNICAL COLLEGE

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2007-08		2008-09		2008-09		2009-10				2010-11			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	16,622,130		15,232,599		17,526,275		23,868,485		18,861,621		24,605,560		19,548,433	
2 CASH	60,373,777		148,800,000		148,800,000		148,800,000		148,800,000		150,750,000		150,750,000	
3														
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$76,995,907	660	\$164,032,599	878	\$166,326,275	887	\$172,668,485	914	\$167,661,621	907	\$175,355,560	914	\$170,298,433	907
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*		0%		0%			122	0%	122	0%		0%		0%
13 GENERAL REVENUE	14,567,025	19%	13,201,023	8%			21,836,787	13%	16,829,923	10%	22,573,984	13%	17,516,857	10%
14 EDUCATIONAL EXCELLENCE TRUST FUND		0%		0%				0%		0%		0%		0%
15 WORKFORCE 2000	2,054,983	3%	2,031,576	1%			2,031,576	1%	2,031,576	1%	2,031,576	1%	2,031,576	1%
16 CASH FUNDS	17,974,676	23%	20,497,084	12%			22,200,000	13%	22,200,000	13%	24,150,000	14%	24,150,000	14%
17 SPECIAL REVENUES		0%		0%				0%		0%		0%		0%
18 FEDERAL FUNDS	40,755,512	53%	86,302,916	53%			86,600,000	50%	86,600,000	52%	86,600,000	49%	86,600,000	51%
19 TOBACCO SETTLEMENT FUNDS		0%		0%				0%		0%		0%		0%
20 OTHER FUNDS	1,643,711	2%	42,000,000	26%			40,000,000	23%	40,000,000	24%	40,000,000	23%	40,000,000	23%
21 TOTAL INCOME	\$76,995,907	100%	\$164,032,599	100%			\$172,668,485	100%	\$167,661,621	100%	\$175,355,560	100%	\$170,298,433	100%
22 EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$0		\$0		\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2008:	\$6,718,139
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$1,053,316
INVENTORIES	
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	
MAJOR CRITICAL SYSTEMS FAILURES	
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$3,164,193
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	\$2,500,000
OTHER (FOOTNOTE BELOW)	\$3,598
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	(\$2,968)

Prepaid Expenses

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2009-11 BIENNIUM**

PULASKI TECHNICAL COLLEGE

NAME OF INSTITUTION

EXPENDITURE CATEGORIES	2007-08 ACTUAL	2008-09 BUDGETED *	2009-11 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS	
			2009-10 REQUEST / RECOMMENDATION	2010-11 REQUEST / RECOMMENDATION
1 INSTRUCTION	14,836,592	16,236,868	19,000,000	20,597,433
2 RESEARCH				
3 PUBLIC SERVICE				
4 ACADEMIC SUPPORT	3,132,007	4,226,588	4,600,000	4,850,000
5 STUDENT SERVICES	2,319,108	2,555,684	3,300,499	3,500,000
6 INSTITUTIONAL SUPPORT	5,037,354	5,335,637	5,600,000	5,750,000
7 PHYSICAL PLANT M&O	2,152,264	2,975,663	3,500,000	3,700,000
8 SCHOLARSHIPS & FELLOWSHIPS	897,292	935,000	1,400,000	1,600,000
9				
10				
11				
12				
13 MANDATORY TRANSFERS	3,249,487	3,239,699	3,265,000	3,300,000
14 AUXILIARY TRANSFERS	130,048	130,048	131,000	131,000
15 NON-MANDATORY TRANSFERS	307,745	136,000	265,000	270,000
16 TOTAL UNREST. E&G EXP.	\$32,061,897	\$35,771,187	\$41,061,499	\$43,698,433
17 NET LOCAL INCOME	17,974,676	20,497,084	22,200,000	24,150,000
18 PRIOR YEAR BALANCE***		41,504		
STATE FUNDS:				
19 GENERAL REVENUE	14,567,025	13,201,023	16,829,923	17,516,857
20 EDUCATIONAL EXCELLENCE				
21 WORKFORCE 2000	2,054,983	2,031,576	2,031,576	2,031,576
22 TOBACCO SETTLEMENT FUNDS				
23 OTHER STATE FUNDS **	122			
24 TOTAL SOURCES OF INCOME	\$34,596,806	\$35,771,187	\$41,061,499	\$43,698,433

FORM 09-2

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Tuition Adjustment Fund for FY08 in the Other State Funds in the amount of \$122.

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**ESTIMATED UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND
CASH INCOME FOR THE 2009-11 BIENNIUM**

PULASKI TECHNICAL COLLEGE

(NAME OF INSTITUTION)

SOURCE	ACTUAL 2007-08	BUDGETED 2008-09	ESTIMATED INCOME	
			2009-10	2010-11
1 TUITION AND MANDATORY FEES	16,995,426	19,327,881	21,000,000	22,875,000
2 ALL OTHER FEES				
3 OFF-CAMPUS CREDIT				
4 NON-CREDIT INSTRUCTION	381,833	430,000	430,000	450,000
5 ORGANIZED ACTIVITIES RELATED TO EDUCATIONAL DEPARTMENTS	251,072	488,050	490,000	500,000
6 INVESTMENT INCOME	197,113	130,000	130,000	175,000
7 OTHER CASH INCOME:	149,233	121,153	150,000	150,000
8 TOTAL UNRESTRICTED CURRENT FUND CASH INCOME	17,974,676	20,497,084	22,200,000	24,150,000
9 LESS: TWO-YEAR COLLEGE ACTIVITY FEES				
10 NET UNRESTRICTED CURRENT FUND CASH INCOME AVAILABLE FOR UNRESTRICTED EDUCATIONAL AND GENERAL OPERATIONS	\$17,974,676	\$20,497,084	\$22,200,000	\$24,150,000

FORM 09-3

**APPROPRIATION ACT FORM - STATE TREASURY- AHECB RECOMMENDATION
2009-11 BIENNIUM**

FUND CTP0000

INSTITUTION PULASKI TECHNICAL COLLEGE

APPROPRIATION 734

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	16,147,130	14,757,599	17,051,275	18,000,000	18,500,000		
2 EXTRA HELP WAGES	475,000	475,000	475,000	475,000	485,000		
3 OVERTIME				386,499	563,433		
4 PERSONAL SERVICES MATCHING							
5 OPERATING EXPENSES				122			
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY							
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION							
11							
12							
13							
14 TOTAL APPROPRIATION	\$16,622,130	\$15,232,599	\$17,526,275	\$18,861,621	\$19,548,433	\$0	\$0
15 PRIOR YEAR FUND BALANCE**				122			
16 GENERAL REVENUE	14,567,025	13,201,023		16,829,923	17,516,857		
17 EDUCATIONAL EXCELLENCE TRUST FUND							
18 SPECIAL REVENUES * [WF2000]	2,054,983	2,031,576		2,031,576	2,031,576		
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS	122						
22 TOTAL INCOME	\$16,622,130	\$15,232,599		\$18,861,621	\$19,548,433	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY - FLAT BUDGET
2009-11 BIENNIUM**

FUND CTP0000

INSTITUTION PULASKI TECHNICAL COLLEGE

APPROPRIATION 734

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	16,147,130	14,757,599	15,179,491	14,757,599	14,757,599		
2 EXTRA HELP WAGES	475,000	475,000	425,000	475,000	475,000		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING							
5 OPERATING EXPENSES				122			
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY							
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION							
11							
12							
13							
14 TOTAL APPROPRIATION	\$16,622,130	\$15,232,599	\$15,604,491	\$15,232,721	\$15,232,599	\$0	\$0
15 PRIOR YEAR FUND BALANCE**				122			
16 GENERAL REVENUE	14,567,025	13,201,023		13,201,023	13,201,023		
17 EDUCATIONAL EXCELLENCE TRUST FUND							
18 SPECIAL REVENUES * [WF2000]	2,054,983	2,031,576		2,031,576	2,031,576		
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS	122						
22 TOTAL INCOME	\$16,622,130	\$15,232,599		\$15,232,721	\$15,232,599	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM 09-4

**APPROPRIATION ACT FORM - STATE TREASURY - 7% REDUCTION
2009-11 BIENNIUM**

FUND CTP0000

INSTITUTION PULASKI TECHNICAL COLLEGE

APPROPRIATION 734

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	16,147,130	14,757,599	15,179,491	14,308,527	14,308,527		
2 EXTRA HELP WAGES	475,000	475,000	425,000				
3 OVERTIME							
4 PERSONAL SERVICES MATCHING							
5 OPERATING EXPENSES				122			
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY							
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION							
11							
12							
13							
14 TOTAL APPROPRIATION	\$16,622,130	\$15,232,599	\$15,604,491	\$14,308,649	\$14,308,527	\$0	\$0
15 PRIOR YEAR FUND BALANCE**				122			
16 GENERAL REVENUE	14,567,025	13,201,023		12,276,951	12,276,951		
17 EDUCATIONAL EXCELLENCE TRUST FUND							
18 SPECIAL REVENUES * [WF2000]	2,054,983	2,031,576		2,031,576	2,031,576		
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS	122						
22 TOTAL INCOME	\$16,622,130	\$15,232,599		\$14,308,649	\$14,308,527	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - CASH FUNDS
2009-11 BIENNIUM**

FUND 2930000

INSTITUTION PULASKI TECHNICAL COLLEGE

APPROPRIATION B66

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	2,249,524	17,776,720	17,776,720	10,000,000	10,000,000		
2 EXTRA HELP WAGES	1,038,071	1,200,000	1,200,000	1,500,000	1,500,000		
3 OVERTIME	8,491	110,000	110,000	100,000	100,000		
4 PERSONAL SERVICES MATCHING	4,367,281	10,080,800	10,080,800	6,000,000	6,000,000		
5 OPERATING EXPENSES	45,323,549	66,879,480	66,904,480	85,000,000	85,000,000		
6 CONFERENCE FEES & TRAVEL	324,677	335,162	335,162	600,000	600,000		
7 PROFESSIONAL FEES AND SERVICES	315,299	4,250,000	4,250,000	1,500,000	1,500,000		
8 DATA PROCESSING							
9 CAPITAL OUTLAY	3,473,228	3,500,000	3,500,000	5,000,000	5,000,000		
10 CAPITAL IMPROVEMENTS		37,500,000	37,500,000	15,000,000	15,000,000		
11 DEBT SERVICE	3,249,487	7,142,838	7,142,838	5,000,000	5,000,000		
12 FUND TRANSFERS, REFUNDS AND INVESTMENTS							
13 PROMOTIONAL ITEMS	24,170	25,000					
14							
15							
16 CONTINGENCY				19,100,000	21,050,000		
17 TOTAL APPROPRIATION	\$60,373,777	\$148,800,000	\$148,800,000	\$148,800,000	\$150,750,000	\$0	\$0
18 PRIOR YEAR FUND BALANCE***							
19 LOCAL CASH FUNDS	17,974,676	20,497,084		22,200,000	24,150,000		
20 FEDERAL CASH FUNDS	40,755,512	86,302,916		86,600,000	86,600,000		
21 OTHER CASH FUNDS	1,643,589	42,000,000		40,000,000	40,000,000		
22 TOTAL INCOME	\$60,373,777	\$148,800,000		\$148,800,000	\$150,750,000	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED 2007-09	REQUESTED	RECOMMENDED	LEGISLATIVE RECOMMENDATION	
				2009-11	2009-11	2009-11	2010-11
REGULAR POSITIONS	660	878	887	914	907		
TOBACCO POSITIONS	0	0	0	0	0		
EXTRA HELP **	185	200	300	300	300		

FORM 09-5

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

PULASKI TECHNICAL COLLEGE
 (NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2007-08				BUDGETED 2008-09			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *				0				0
2 RESIDENCE HALL				0				0
3 MARRIED STUDENT HOUSING				0				0
4 FACULTY HOUSING				0				0
5 FOOD SERVICES	557,002	690,404		(133,402)	440,000	590,000		(150,000)
6 COLLEGE UNION				0				0
7 BOOKSTORE	330,284			330,284	320,000			320,000
8 STUDENT ORGANIZATIONS AND PUBLICATIONS				0				0
9 STUDENT HEALTH SERVICES				0				0
10 OTHER (Vending operations & rentals)	64,449	36,700		27,749	67,000	50,000		17,000
11 SUBTOTAL	\$951,735	\$727,104	\$0	\$224,631	\$827,000	\$640,000	\$0	\$187,000
12 ATHLETIC TRANSFER **				0				0
13 OTHER TRANSFERS ***	(130,048)			(130,048)	(130,048)			(130,048)
14 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$821,687	\$727,104	\$0	\$94,583	\$696,952	\$640,000	\$0	\$56,952

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM 09-6

** For both two-year and four-year institutions, line 12, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 13, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

PULASKI TECHNICAL COLLEGE
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2007-08: (only full-time employees included) <u>299</u>					
(As of November 1, 2007)					
Nonclassified Administrative Employees:					Total
White Male:	<u>21</u>	Black Male:	<u>5</u>	Other Male:	<u>1</u>
White Female:	<u>44</u>	Black Female:	<u>11</u>	Other Female:	<u> </u>
					Total Male: <u>27</u>
					Total Female: <u>55</u>
Nonclassified Health Care Employees:					Total
White Male:	<u> </u>	Black Male:	<u> </u>	Other Male:	<u> </u>
White Female:	<u> </u>	Black Female:	<u> </u>	Other Female:	<u> </u>
					Total Male: <u>0</u>
					Total Female: <u>0</u>
Classified Employees:					Total
White Male:	<u>22</u>	Black Male:	<u>7</u>	Other Male:	<u> </u>
White Female:	<u>32</u>	Black Female:	<u>16</u>	Other Female:	<u>1</u>
					Total Male: <u>29</u>
					Total Female: <u>49</u>
Faculty:					Total
White Male:	<u>68</u>	Black Male:	<u>2</u>	Other Male:	<u> </u>
White Female:	<u>63</u>	Black Female:	<u>6</u>	Other Female:	<u> </u>
					Total Male: <u>70</u>
					Total Female: <u>69</u>
Total White Male:	<u>111</u>	Total Black Male:	<u>14</u>	Total Other Male:	<u>1</u>
Total White Female:	<u>139</u>	Total Black Female:	<u>33</u>	Total Other Female:	<u>1</u>
					Total Male: <u>126</u>
					Total Female: <u>173</u>
Total White:	<u>250</u>	Total Black:	<u>47</u>	Total Other:	<u>2</u>
				Total Minority:	<u>49</u>
					Total Employees: <u>299</u>

FORM 09-8

DIVISION OF LEGISLATIVE AUDIT
AUDIT OF Pulaski Technical College
June 30, 2007

<p><i>Finding:</i></p>	<p>Information Systems - Application Controls (Repeat)</p> <p>Application users should only be assigned the data access necessary for their job. Security access assigned within all applications reviewed violates proper segregation of duties, as security levels have not been utilized to limit users' access to that needed for their particular job role. Further, multiple non-department employees have been assigned read/write access to the Student Billing and Fiscal applications; and finance personnel in general have broad access to multiple applications. These conditions could afford users the ability to process and conceal unauthorized transactions. A similar finding was reported in the previous audit.</p>
<p><i>Recommendation:</i></p>	<p>The College review and restructure job roles to incorporate proper segregation of duties and set associated system access to only that necessary for users to perform their job functions.</p>
<p><i>Institution's Response:</i></p>	<p>The College responded that they have begun to limit user roles and access considerably. They have asserted that user roles have been segregated much more and users who had multiple system access (i.e. Fiscal and Student Billing) have had the role in which they did not primarily work restricted. The College is still reviewing processes to be fully compliant because they are dependent on few employees to carry out many functions.</p>
<p><i>Finding:</i></p>	<p>We performed audit procedures on the POISE Fiscal and Student Billing Systems, as well as selected procedures on the Financial Aid System for the period September 21, 2006 through December 19, 2006. A follow-up audit completed October 6, 2007 was performed to update the status of the outstanding issues. The College has made some progress in correcting these weaknesses over the past year; however, several issues remain outstanding.</p> <p>Information Systems – General Controls (Repeat)</p> <p>Network intrusion scanning revealed critical and high-risk network vulnerabilities, which increase the probability of outside infiltration of the network and misappropriation or theft of College funds.</p>

DIVISION OF LEGISLATIVE AUDIT
AUDIT OF Pulaski Technical College
June 30, 2007

<p><i>Institution's Response:</i></p>	<p>Computer Services Department currently uses Nessus to check for security vulnerabilities with all servers. We run the check once a month on each server. Reports are printed and placed in a three-ring binder. Critical vulnerabilities are highlighted and sent to the Chief Information Officer. The Chief Information Officer checks into the critical vulnerabilities and makes any necessary adjustments to resolve the vulnerability. A review of all IP addresses allocated to the College will be conducted as recommended. After review, ports that are not in use will be closed.</p>
<p><i>Finding:</i></p>	<p>Information Systems - General Controls (Repeat)</p> <p>Backups of critical system and operational data are not retained at a secure off-site facility, and retention periods are not adequate to enable both short and long-term recovery in the event of a system outage. These conditions could impede restoration of processing capability if a system failure should occur.</p>
<p><i>Institution's Response:</i></p>	<p>The College has an extended storage protection (remote backup) agreement with Educational Systems Products located in Tulsa, OK which backup our data files on a daily basis. The College could be up and running in a day with the purchase of new equipment. The College also follows a backup procedure that is within industry standard guidelines and guidelines published by the Arkansas Department of Information Systems. A full backup is stored on tape. The tape is stored in a safe located in the data room with the server for two weeks. The tape is then taken to an off-site safe where it is stored for two weeks. A monthly archive tape is taken and stored for one year in the off-site safe. The College will develop a formal policy and process it through the appropriate committee outlining the above procedure.</p>

DIVISION OF LEGISLATIVE AUDIT
AUDIT OF Pulaski Technical College
June 30, 2007

Pulaski Technical College - June 30, 2007 (Continued)

<i>Finding:</i>	<p>Information Systems - General Controls (Repeat) (Continued)</p> <p>A formal, documented and approved disaster recovery plan has not been developed or tested. Business continuity plans have not been defined as a basis for disaster recovery planning. Without adequate planning, a disaster or major interruption could result in the extended loss of computer processing abilities, and undue financial and personnel burdens on College resources.</p>
<i>Institution's Response:</i>	<p>Pulaski Technical College has undertaken the development of a comprehensive Emergency Management Plan. Working in collaboration with James Lee Witt Associates, the plan will include a Business Continuity Annex. A draft of the plan was completed in March 2008. The College is currently undergoing training for plan implementation. The plans, as well as training, are scheduled to be completed by June 30, 2008.</p>
<i>Finding:</i>	<p>Information Systems – Application Controls (Repeat)</p> <p>Both in-house and vendor programmers have the ability to change data in the application; and the in-house programming function has been combined with network administration. Further, application software program change controls were determined to be inadequate. Access to both programming logic and application data files, combined with the administration role, violates industry standards and affords the opportunity to process and conceal unauthorized transactions. Proper program change controls should be established for the protection of data integrity and the prevention of lost or corrupted data.</p>
<i>Institution's Response:</i>	<p>The program changes are now being documented within the program. Even before the audit, program changes were infrequent, as they now are, but any changes made are being detailed in the header of the program code. To address the other concern, the Director of Administrative Computing has completed control to all program source code, all data files, and is responsible for maintenance and upkeep of all data and programs on the system. The</p>

DIVISION OF LEGISLATIVE AUDIT
AUDIT OF Pulaski Technical College
June 30, 2007

	College is looking at audit logs and plans to have the Chief Information Officer to review all audit logs.
<i>Finding:</i>	<p>Student Enrollment Data</p> <p>To verify the accuracy of student enrollment data submitted to the Department of Higher Education (DHE), we examined supporting documentation for 27 students who were identified as at risk of withdrawal from courses. We discovered 13 students, with evidence indicating no class attendance, were administratively dropped after the 11th class day.</p>
<i>Recommendation:</i>	The College contact DHE to resolve this issue and strengthen its procedures to ensure the accuracy of enrollment data.
<i>Institution's Response:</i>	Pulaski Technical College will require that all instructors report "no show" enrollments prior to the census date. Administrative drops occur throughout the academic semester for students who are not attending class.

Rich Mountain Community College

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-2011 APPROPRIATION REQUESTS

Rich Mountain Community College's focus for the 2009-2011 Biennial Appropriation Request centers on the college's mission and integrity; preparing for the future; student learning and effective teaching; acquisition, discovery, and application of knowledge; and engagement and service. These areas are combined in the biennial appropriation goals, objectives, and strategies that are reflective of institution commitment to effectiveness in teaching and learning for all citizens of the Ouachita Mountain region who chooses to participate in the opportunity. The Biennial Plan is exemplary of the mission and vision of Rich Mountain Community college and is evaluated by its values and role and scope.

This Biennial Plan which supports the College's Appropriation Request introduces the reader to the College, its mission, vision, values, and role and scope. The Plan then outlines the College's Strategic Goals and the fundamental Objectives and Strategies that guide the college in fulfilling its mission.

I. Introduction

Rich Mountain Community College recognizes the worth and dignity of the individual, thus the college is committed to serving the multi-dimensional educational needs of its constituency.

Toward that end, Rich Mountain Community College provides academic, vocational, and avocational opportunities and dedicates itself to quality programs in each of these areas throughout its service area (*service area includes Polk, Scott, Montgomery, and Pike counties in Arkansas and students of LeFlore and McCurtain counties in Oklahoma*).

II. Mission and Vision

Rich Mountain Community College

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-2011 APPROPRIATION REQUESTS

The institutional mission of Rich Mountain Community College is defined and promoted in its mission and vision statements. Those statements are as follows:

Mission Statement: Rich Mountain Community College exists to provide all citizens of the Ouachita Mountain Region with exemplary educational and enrichment opportunities to improve the quality of life and standard of living.

Vision Statement: Rich Mountain Community College is a learning-centered institution of higher education, committed to the proposition that the educational attainment of individuals within a democratic society is, in large measure, responsible for the advancement of that society.

The college is dedicated to empowering each individual to pursue a higher quality of life by providing access to dynamic, lifelong learning opportunities. The college's caring approach to teaching and learning allows individuals to enhance and expand their knowledge base and to enrich their lives through intellectual challenge and self-discovery with the assistance of current technologies and skilled human resources. The college demonstrates respect for the worth and dignity of each person, recognizes the uniqueness of each individual, and encourages personal growth by offering affordable, high quality accessible education in preparation for transfer and technical degrees, workforce development, and personal enrichment.

Rich Mountain Community College

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-2011 APPROPRIATION REQUESTS

III. Values and Role and Scope

The measure of effectiveness of Rich Mountain Community College is expressed in its *Values* and its *Role and Scope*. This combination provides a values base format for the achievement of the educational goals of the college and the foundation for achievement of Rich Mountain's mission and vision.

Values: Rich Mountain Community College, as a leader in a growing community, continues to develop an exemplary learning environment. Success in this endeavor hinges on turning the following values into action:

- Rich Mountain Community College is a vibrant contributor to the quality of life of the Ouachita Mountain Region.
- All who choose to use Rich Mountain Community College's resources are treated with dignity.
- All learners are challenged to maximize their potential.
- All learners are given the opportunity to accept the challenges and responsibilities for learning and growing as students and citizens.
- All college personnel are committed to providing an atmosphere of respect, dignity, and cooperation where ideas, questioning, and the continued pursuit for self-development are valued as means towards learning and growth.

Rich Mountain Community College

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-2011 APPROPRIATION REQUESTS

Role and Scope: The role and scope of Rich Mountain Community College establish the framework and guidance for institutional programming. Through these programs the College can fulfill its mission.

- To provide, at low cost, the first two years of university parallel course work of high academic quality for students who transfer to senior institutions.
- To offer associate degrees and certificates of proficiency in occupational education for students who wish to gain competence in specific skill areas or to upgrade their skills.
- To provide developmental education programs for students who need basic academic skills.
- To offer a variety of community service/continuing education courses designed to meet academic, vocational, and avocational needs.
- To provide student personnel services for those who desire academic, vocational, financial, and/or personal guidance and counseling.
- To offer facilities of the College and the talents of its professional staff in order to support educational, civic, and cultural endeavors within the community.
- To provide programs and services that support and enhance the social, civic, and economic development of our community and state.

Rich Mountain Community College

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-2011 APPROPRIATION REQUESTS

Strategic Goal 1: Value college personnel who accept the challenges and responsibility for teaching and learning and who are committed to providing an atmosphere of respect, dignity, and cooperation.

Objective 1: Provide for and support the philosophy that mission and integrity are integral to the teaching and learning process and involve all aspects of the college – from college personnel to students – from administrative processes to instruction to student work. Mission guides the college in its direction and integrity provides the decision basis for implementation.

Strategies:

- a. Publish a college catalog and other documents that articulate the mission of the college.
- b. Assure that all communications developed and published by the college
- c. Provide mission documents that are clear and that inform the community of the organization purpose and commitments.
- d. Use integrity as the barometer for ethical decision making and mission accomplishment.

Objective 2: Operate the institution with integrity for mission fulfillment in a shared governance structure.

Strategies:

- a. Maintain processes that promote review and revision of the mission, organizational structure, policies, and procedures.
- b. Ensure support processes for shared governance and continuous improvement.
- c. Promote professional integrity and ethics as related to mission, organizational structure, policies, and procedures

Rich Mountain Community College

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-2011 APPROPRIATION REQUESTS

- Strategic Goal 2: Ensure excellence in teaching and learning by providing high quality, relevant, valued instructional programs, services and life-long learning opportunities leading to: certificates, baccalaureate transfer degrees, and employment which contributes to career and life enhancement.
- Objective 1: Provide the first two years of university parallel course work; associate degrees, technical certificates, certificates of proficiency, and specialized courses; and provide Developmental Education programs.
- Strategies:
- a. Employ qualified faculty dedicated to teaching and learning needed for instruction.
 - b. Provide support programs including but not limited to information access, professional development, and technology that provide for the learning process to occur and be enhanced.
 - c. Implement appropriate research and assessment tools and techniques that support, enhance, and improve the effectiveness of instruction.
- Objective 2: Provide environments that allow instruction, teaching, and learning to occur.
- Strategies:
- a. Employ qualified support staff dedicated to development and an environment that is conducive to supporting the teaching and learning process.
 - b. Maintain facilities in excellent condition.
 - c. Construct new and renovate existing facilities as recommended by the college, approved by its Board, and as needed for providing instruction and support programs.
 - d. Maintain information resource systems and access points that support learning.

Rich Mountain Community College

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-2011 APPROPRIATION REQUESTS

Objective 3: Provide effective instructional and support programs that prepare students to obtain their goals.

Strategies:

- a. Support and promote teaching and learning a process involving the institution, teachers, and students in relating knowledge, skills, ethics, individual responsibility, and economic viability to understanding core subjects, curriculum, and career development for life's work, civic responsibility, and personal accountability.
- b. Provide student advisement services.

Strategic Goal 3: Provide responsible and flexible access to educational programs and opportunities.

Objective 1: Sustain and improve institutional structure, processes, and educational quality.

Strategies:

- a. Maintain a vibrant organizational structure that promotes questioning and development for continuous improvement.
- b. Support professional development of all college personnel for enhancement of knowledge, effectiveness in performance of responsibilities, and personal development.

Objective 2: Provide student support programs that engage students in obtaining their educational goals and promotes discovery.

Rich Mountain Community College

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-2011 APPROPRIATION REQUESTS

Strategies:

- a. Provide student support for instruction
- b. Provide advisement in vocational and career options
- c. Provide advisement and assistance for financial aid.
- d. Provide student assessment and placement programs for advisement.
- e. Seek resources that will allow services to be at maximum potential.
- f. Provide admissions advisement.
- g. Provide student records management and service.

Strategic Goal 4: Provide programs and services that develop and enhance students as members of the college community.

Objective 1: Provide support programs and services needed for instruction and learning to perpetuate the mission of RMCC.

Strategies:

- a. Employ qualified staff dedicated to teaching and learning needed for instructional and college support.
- b. Maintain administrative and fiscal operations that ensure stability, adequacy, and support of instruction and the mission of the college.
- c. Implement techniques and strategies designed to improve efficiency and effectiveness of the college.
- d. Provide a college atmosphere that is respectful, caring, ethical, and supportive of college values, mission, and purpose.
- e. Provide and coordinate financial aid, personnel, and budgeting assistance.
- f. Maintain a Student Support Services program.

Rich Mountain Community College

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-2011 APPROPRIATION REQUESTS

Objective 2: Provide transition assistance.

Strategies:

- a. Articulate programs and services with four-year colleges and universities.
- b. Articulate technical programs with employers through advisory committees.
- c. Maintain policies and procedures that enhance mobility of learning.

Strategic Goal 5: Promote and support partnerships, activities and events to enhance cultural, economic and leisure opportunities for an evolving community.

Objective 1: Provide opportunities and support for community development and partnerships.

Strategies:

- a. Remain focused throughout the college on the College's Mission.
- b. Review mission periodically with involvement from college personnel and community constituents

Objective 2: Support partnerships with school systems.

Strategies:

- a. Sponsor meetings with school officials to identify possible partnerships.
- b. Visit each service area high school.

Rich Mountain Community College

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-2011 APPROPRIATION REQUESTS

- c. Conduct meetings with high school counselors.
- d. Encourage the development of partnerships that mutually support the mission and goals of the institutions and promotes student learning.

Objective 3: Support partnerships with businesses and industries.

Strategies:

- a. Conduct a business/industry forum.
- b. Join forces with businesses, industry, and government to build partnerships that promote economic and community success.

Objective 4: Support partnerships with the community.

Strategies:

- a. Assist community and civic organizations and governments through partnerships that will result in enrichment, learning, and the development of our community.
- b. Support and work with the Rich Mountain Community College Foundation to develop resources that support the education of the students of our service area.

Objective 5: Offer courses that promote continued learning.

Strategies:

- a. Schedule courses for the retired community.
- b. Schedule workforce courses.

Rich Mountain Community College

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-2011 APPROPRIATION REQUESTS

- c. Schedule courses that promote personal and professional development and enrichment.

- Strategic Goal 6: Acquire the financial and infrastructure resources necessary to achieve the vision and mission of the college.
- Objective 1: Promote an environment for growth and mission realization that is future oriented.
- Strategies:
- a. Maintain an organizational environment that is supportive of innovation and change.
 - b. Maintain long-range and strategic goals that allow for review, refinement, and reprioritization.
 - c. Maintain systematic planning and budgeting processes.
 - d. Seek a resource base that adequately supports current operations and programs of renewal.
- Objective 2: Seek resources and approaches for the attainment of the mission and vision and realization of values and goals through support for accomplishment of the programs of this strategic plan (Instruction and Learning and Discovery and Engagement).
- Strategies:
- a. Develop financial resources through varied means and a planned approach.
 - b. Work with the AATYC Presidential leadership to develop a funding process that supports the development of Arkansas Two-year colleges to provide educational opportunity for the development of the citizens of the state.
 - c. Work with the Legislative leadership of our service communities for support and securing of resources that allow for fulfillment of the College's mission.

Rich Mountain Community College

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-2011 APPROPRIATION REQUESTS

- d. Provide open communications to college personnel, the College Board, and the community regarding funding requirements for adequate support of the program offerings and effectiveness and how they can assist in acquiring those resources.
- e. Seek funding through state and national grants for programs that support the mission of the college.
- f. Maintain a strong Foundation for the development of funds that provide an edge of excellence for the College's programs and services.

Strategic Goal 7: Demonstrate college accountability through continuous instructional and institutional effectiveness.

Objective 1: Maintain an effectiveness program that includes institutional planning procedures.

Strategies:

- a. Review planning and plans via effectiveness data and modify accordingly.
- b. Review and update annually college specialized plans that include: a technology plan, a personnel plan, a facilities improvement plan, and a campus master plan.
- c. Review and update annually college goals for continued achievement of the college's strategic plan.
- d. Review and update annually a facilities maintenance plan that supports college goals and values.

Objective 2: Develop and implement processes and procedures for continuous improvement in instructional effectiveness.

Strategies:

Rich Mountain Community College

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-2011 APPROPRIATION REQUESTS

- a. Ensure a College conversations remain alive and actions active on the need for and implementation of assessment and continuous improvement.
- b. Maintain an instructional effectiveness plan that is consistent with instructional objectives and that is on-going with build in review and improvement measures.
- c. Utilize a variety of techniques in determining instructional effectiveness.

**INSTITUTION APPROPRIATION SUMMARY
2009-11 BIENNIUM**

INSTITUTION RICH MOUNTAIN COMMUNITY COLLEGE

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2007-08		2008-09		2008-09		2009-10				2010-11			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	3,403,996		3,349,889		3,485,801		3,923,171		3,613,264		4,012,680		3,710,846	
2 CASH	8,340,000		8,495,000		8,495,000		8,834,800		8,834,800		9,188,200		9,188,200	
3														
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$11,743,996	131	\$11,844,889	110	\$11,980,801	177	\$12,757,971	125	\$12,448,064	125	\$13,200,880	125	\$12,899,046	125
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*	0	0%		0%				0%		0%		0%		0%
13 GENERAL REVENUE	3,200,486	27%	3,154,717	27%			3,727,999	29%	3,418,092	27%	3,817,508	29%	3,515,674	27%
14 EDUCATIONAL EXCELLENCE TRUST FUND	203,510	2%	195,172	2%			195,172	2%	195,172	2%	195,172	1%	195,172	2%
15 WORKFORCE 2000		0%		0%				0%		0%		0%		0%
16 CASH FUNDS	5,017,629	43%	6,031,000	51%			6,272,800	49%	6,272,800	50%	6,523,200	49%	6,523,200	51%
17 SPECIAL REVENUES		0%		0%				0%		0%		0%		0%
18 FEDERAL FUNDS	3,322,371	28%	2,464,000	21%			2,562,000	20%	2,562,000	21%	2,665,000	20%	2,665,000	21%
19 TOBACCO SETTLEMENT FUNDS		0%		0%				0%		0%		0%		0%
20 OTHER FUNDS		0%		0%				0%		0%		0%		0%
21 TOTAL INCOME	\$11,743,996	100%	\$11,844,889	100%			\$12,757,971	100%	\$12,448,064	100%	\$13,200,880	100%	\$12,899,046	100%
22 EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$0		\$0		\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2008:	\$2,943,833
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$410,435
INVENTORIES	\$136,545
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	\$20,000
MAJOR CRITICAL SYSTEMS FAILURES	\$985,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$700,000
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	\$400,000
OTHER (FOOTNOTE BELOW)	\$0
UNRESERVED EDUCATIONAL & GENEREAL FUND BALANCE	\$291,853

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2009-11 BIENNIUM**

RICH MOUNTAIN COMMUNITY COLLEGE
NAME OF INSTITUTION

EXPENDITURE CATEGORIES	2007-08 ACTUAL	2008-09 BUDGETED *	2009-11 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS	
			2009-10 REQUEST / RECOMMENDATION	2010-11 REQUEST / RECOMMENDATION
1 INSTRUCTION	1,885,075	1,723,686	1,792,700	1,864,400
2 RESEARCH	0	0	0	0
3 PUBLIC SERVICE	116,316	131,515	136,800	142,300
4 ACADEMIC SUPPORT	505,625	463,194	481,800	502,000
5 STUDENT SERVICES	460,704	467,325	486,100	505,600
6 INSTITUTIONAL SUPPORT	1,112,650	1,312,797	1,365,400	1,420,100
7 PHYSICAL PLANT M&O	550,004	520,411	541,300	563,000
8 SCHOLARSHIPS & FELLOWSHIPS	197,162	196,632	204,500	212,700
9				
10				
11				
12				
13 MANDATORY TRANSFERS	157,158	0	0	0
14 AUXILIARY TRANSFERS	0	0	0	0
15 NON-MANDATORY TRANSFERS	0	0	0	0
16 TOTAL UNREST. E&G EXP.	\$4,984,694	\$4,815,560	\$5,008,600	\$5,210,100
17 NET LOCAL INCOME	1,580,698	1,465,671	1,395,331	1,499,254
18 PRIOR YEAR BALANCE***	0	0	0	0
STATE FUNDS:				
19 GENERAL REVENUE	3,200,486	3,154,717	3,418,097	3,515,674
20 EDUCATIONAL EXCELLENCE	203,510	195,172	195,172	195,172
21 WORKFORCE 2000	0	0	0	0
22 TOBACCO SETTLEMENT FUNDS	0	0	0	0
23 OTHER STATE FUNDS **	0	0		
24 TOTAL SOURCES OF INCOME	\$4,984,694	\$4,815,560	\$5,008,600	\$5,210,100

FORM 09-2

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**ESTIMATED UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND
CASH INCOME FOR THE 2009-11 BIENNIUM**

RICH MOUNTAIN COMMUNITY COLLEGE

(NAME OF INSTITUTION)

SOURCE	ACTUAL 2007-08	BUDGETED 2008-09	ESTIMATED INCOME	
			2009-10	2010-11
1 TUITION AND MANDATORY FEES	1,013,398	925,112	943,614	981,451
2 ALL OTHER FEES	277,237	301,234	259,217	309,803
3 OFF-CAMPUS CREDIT	0	0	0	0
4 NON-CREDIT INSTRUCTION	0	0	0	0
5 ORGANIZED ACTIVITIES RELATED TO EDUCATIONAL DEPARTMENTS	0	0	0	0
6 INVESTMENT INCOME	149,065	86,925	50,000	54,500
7 OTHER CASH INCOME: (indirect costs reimb, facility rental, misc)	140,998	152,400	142,500	153,500
8 TOTAL UNRESTRICTED CURRENT FUND CASH INCOME	1,580,698	1,465,671	1,395,331	1,499,254
9 LESS: TWO-YEAR COLLEGE ACTIVITY FEES				
10 NET UNRESTRICTED CURRENT FUND CASH INCOME AVAILABLE FOR UNRESTRICTED EDUCATIONAL AND GENERAL OPERATIONS	\$1,580,698	\$1,465,671	\$1,395,331	\$1,499,254

FORM 09-3

**APPROPRIATION ACT FORM - STATE TREASURY - AHECB RECOMMENDATION
2009-11 BIENNIUM**

FUND CWR0000

INSTITUTION RICH MOUNTAIN COMMUNITY COLLEGE

APPROPRIATION 112

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	2,250,564	2,296,158	2,296,158	2,300,000	2,350,000		
2 EXTRA HELP WAGES	20,000	20,000	20,000	25,000	30,000		
3 OVERTIME	0	0	0	0	0		
4 PERSONAL SERVICES MATCHING	563,559	594,246	594,246	575,000	600,000		
5 OPERATING EXPENSES	545,873	427,485	563,397	550,000	600,000		
6 CONFERENCE FEES & TRAVEL	24,000	12,000	12,000	25,000	30,000		
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)	0	0		0	0		
8 CAPITAL OUTLAY	0	0		138,269	100,846		
9 DATA PROCESSING SERVICES	0	0		0	0		
10 FUNDED DEPRECIATION	0	0		0	0		
11							
12							
13							
14 TOTAL APPROPRIATION	\$3,403,996	\$3,349,889	\$3,485,801	\$3,613,269	\$3,710,846	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE	3,200,486	3,154,717		3,418,097	3,515,674		
17 EDUCATIONAL EXCELLENCE TRUST FUNDS	203,510	195,172		195,172	195,172		
18 SPECIAL REVENUES * [WF2000]							
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS							
22 TOTAL INCOME	\$3,403,996	\$3,349,889		\$3,613,269	\$3,710,846	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM 09-4

**APPROPRIATION ACT FORM - STATE TREASURY - FLAT BUDGET
2009-11 BIENNIUM**

FUND CWR0000

INSTITUTION RICH MOUNTAIN COMMUNITY COLLEGE

APPROPRIATION 112

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	2,250,564	2,296,158	2,296,158	2,296,158	2,296,158		
2 EXTRA HELP WAGES	20,000	20,000	20,000	20,000	20,000		
3 OVERTIME	0	0	0	0	0		
4 PERSONAL SERVICES MATCHING	563,559	594,246	594,246	594,246	594,246		
5 OPERATING EXPENSES	545,873	427,485	563,397	427,485	427,485		
6 CONFERENCE FEES & TRAVEL	24,000	12,000	12,000	12,000	12,000		
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)	0	0	0	0	0		
8 CAPITAL OUTLAY	0	0	0	0	0		
9 DATA PROCESSING SERVICES	0	0	0	0	0		
10 FUNDED DEPRECIATION	0	0	0	0	0		
11							
12							
13							
14 TOTAL APPROPRIATION	\$3,403,996	\$3,349,889	\$3,485,801	\$3,349,889	\$3,349,889	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE	3,200,486	3,154,717		3,154,717	3,154,717		
17 EDUCATIONAL EXCELLENCE TRUST FUNDS	203,510	195,172		195,172	195,172		
18 SPECIAL REVENUES * [WF2000]							
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS							
22 TOTAL INCOME	\$3,403,996	\$3,349,889		\$3,349,889	\$3,349,889	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM 09-4

**APPROPRIATION ACT FORM - STATE TREASURY - 7% REDUCTION
2009-11 BIENNIUM**

FUND CWR0000

INSTITUTION RICH MOUNTAIN COMMUNITY COLLEGE

APPROPRIATION 112

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	2,250,564	2,296,158	2,296,158	2,118,099	2,118,099		
2 EXTRA HELP WAGES	20,000	20,000	20,000	20,000	20,000		
3 OVERTIME	0	0	0	0	0		
4 PERSONAL SERVICES MATCHING	563,559	594,246	594,246	476,060	476,060		
5 OPERATING EXPENSES	545,873	427,485	563,397	502,900	502,900		
6 CONFERENCE FEES & TRAVEL	24,000	12,000	12,000	12,000	12,000		
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)	0	0	0	0	0		
8 CAPITAL OUTLAY	0	0	0	0	0		
9 DATA PROCESSING SERVICES	0	0	0	0	0		
10 FUNDED DEPRECIATION	0	0	0	0	0		
11							
14 TOTAL APPROPRIATION	\$3,403,996	\$3,349,889	\$3,485,801	\$3,129,059	\$3,129,059	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE	3,200,486	3,154,717		2,933,887	2,933,887		
17 EDUCATIONAL EXCELLENCE TRUST FUNDS	203,510	195,172		195,172	195,172		
18 SPECIAL REVENUES * [WF2000]							
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS							
22 TOTAL INCOME	\$3,403,996	\$3,349,889		\$3,129,059	\$3,129,059	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - CASH FUNDS
2009-11 BIENNIUM**

FUND 2190000

INSTITUTION RICH MOUNTAIN COMMUNITY COLLEGE

APPROPRIATION A26

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	3,626,900	3,525,520	3,525,520	3,648,270	3,811,908		
2 EXTRA HELP WAGES	131,382	220,000	220,000	209,730	219,472		
3 OVERTIME	0	0	0	0	0		
4 PERSONAL SERVICES MATCHING	1,368,131	1,496,210	1,496,210	1,560,842	1,632,522		
5 OPERATING EXPENSES	2,674,154	2,053,270	2,053,270	2,135,400	2,220,900		
6 CONFERENCE FEES & TRAVEL	234,530	340,000	340,000	367,460	384,844		
7 PROFESSIONAL FEES AND SERVICES	2,496	10,000	10,000	10,400	10,800		
8 DATA PROCESSING							
9 CAPITAL OUTLAY	144,112	650,000	650,000	702,698	707,754		
10 CAPITAL IMPROVEMENTS							
11 DEBT SERVICE	158,295	200,000	200,000	200,000	200,000		
12 FUND TRANSFERS, REFUNDS AND INVESTMENTS							
13							
14							
15							
16							
17 TOTAL APPROPRIATION	\$8,340,000	\$8,495,000	\$8,495,000	\$8,834,800	\$9,188,200	\$0	\$0
18 PRIOR YEAR FUND BALANCE***							
19 LOCAL CASH FUNDS	1,580,698	1,868,000		1,944,000	2,021,000		
20 FEDERAL CASH FUNDS	3,322,371	2,464,000		2,562,000	2,665,000		
21 OTHER CASH FUNDS	3,436,931	4,163,000		4,328,800	4,502,200		
22 TOTAL INCOME	\$8,340,000	\$8,495,000		\$8,834,800	\$9,188,200	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED 2007-09	REQUESTED	RECOMMENDED	LEGISLATIVE RECOMMENDATION	
				2009-11	2009-11	2009-11	2010-11
REGULAR POSITIONS	131	110	177	125	125		
TOBACCO POSITIONS	0	0	0	0	0		
EXTRA HELP **	2	1	71	71	71		

FORM 09-5

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

RICH MOUNTAIN COMMUNITY COLLEGE
 (NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2007-08				BUDGETED 2008-09			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *	0	0	0	0	0	0	0	0
2 RESIDENCE HALL	0	0	0	0	0	0	0	0
3 MARRIED STUDENT HOUSING	0	0	0	0	0	0	0	0
4 FACULTY HOUSING	0	0	0	0	0	0	0	0
5 FOOD SERVICES	0	0	0	0	0	0	0	0
6 COLLEGE UNION	0	0	0	0	0	0	0	0
7 BOOKSTORE	242,835	301,032	0	(58,197)	266,000	294,761	0	(28,761)
8 STUDENT ORGANIZATIONS AND PUBLICATIONS	0	0	0	0	0	0	0	0
9 STUDENT HEALTH SERVICES	0	0	0	0	0	0	0	0
10 OTHER	0	0	0	0	0	0	0	0
11 SUBTOTAL	\$242,835	\$301,032	\$0	(\$58,197)	\$266,000	\$294,761	\$0	(\$28,761)
12 ATHLETIC TRANSFER **	0			0	0			0
13 OTHER TRANSFERS ***	0			0	28,761			28,761
14 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$242,835	\$301,032	\$0	(\$58,197)	\$294,761	\$294,761	\$0	\$0

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM 09-6

** For both two-year and four-year institutions, line 12, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 13, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

RICH MOUNTAIN COMMUNITY COLLEGE
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2007-08:						<u>77</u>
(As of November 1, 2007)						
Nonclassified Administrative Employees:						
White Male:	<u>10</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>10</u>
White Female:	<u>34</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>	Total Female: <u>34</u>
Nonclassified Health Care Employees:						
White Male:	<u>0</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>0</u>
White Female:	<u>0</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>	Total Female: <u>0</u>
Classified Employees:						
White Male:	<u>4</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>4</u>
White Female:	<u>11</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>	Total Female: <u>11</u>
Faculty:						
White Male:	<u>9</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>9</u>
White Female:	<u>9</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>	Total Female: <u>9</u>
Total White Male:	<u>23</u>	Total Black Male:	<u>0</u>	Total Other Male:	<u>0</u>	Total Male: <u>23</u>
Total White Female:	<u>54</u>	Total Black Female:	<u>0</u>	Total Other Female:	<u>0</u>	Total Female: <u>54</u>
Total White:	<u>77</u>	Total Black:	<u>0</u>	Total Other:	<u>0</u>	Total Employees: <u>77</u>
				Total Minority:	<u>0</u>	

FORM 09-8

DIVISION OF LEGISLATIVE AUDIT
AUDIT OF Rich Mountain Community College
June 30, 2007

<i>Finding:</i>	Reconciliation and financial statement procedures were not being performed in a manner to ensure accuracy of all general ledger account balances and financial statements. Audit adjustments of \$843,710 were required for several general ledger accounts for a fair presentation of the financial activity of the College. These adjustments included an adjustment to capital assets in the amount of \$257,431 due to misclassifications, an adjustment to state appropriations in the amount of \$224,326 due to revenue recorded in the incorrect accounting period, and an adjustment to payroll withholding liability accounts in the amount of \$163,161 due to erroneous entries. A similar finding was reported in the prior audit.
<i>Institution's Response:</i>	Capital assets will be reconciled from the subsidiary ledgers to the general ledger to help isolate any misclassifications and correct them prior to closing the fiscal year. Accruals for un-received revenue and/or unearned revenue will be made fiscal year-end as required by generally accepted accounting principles. Payroll withholding liability accounts will be reconciled back to the payroll records and appropriately classified as required by generally accepted accounting principles.

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SOUTH ARKANSAS COMMUNITY COLLEGE

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

OBJECTIVE, GOALS, AND STRATEGIES

South Arkansas Community College's strategic plan is built on its revised mission, purpose, and value statements:

MISSION STATEMENT:

South Arkansas Community College promotes excellence in learning, teaching, and service; provides lifelong educational opportunities; and serves as a cultural, intellectual, and economic resource for the community.

VALUES:

The College has identified six values statements that serve as guiding principles in fulfilling the Mission Statement.

1. **Excellence in Education**

We are committed to providing students access to a highly competent, innovative, and supportive faculty and staff; modern facilities equipped with current technology; and high-quality academic and occupational programs.

2. **Student Learning**

We are committed to providing support, respect, and encouragement, thereby enabling students to achieve their educational goals and develop skills for lifelong learning.

3. **Contribute to the Community**

We strive to serve the academic, occupational, and enrichment needs of the community; enhance its quality of life; and support economic development in South Arkansas.

4. **Quality of Work Environment**

Recognizing that everyone contributes to the learning process, we value each member of our college community; foster respect, trust, and support among faculty, staff, and students; encourage responsible, creative risk-taking and innovation; and recognize and reward exceptional performance and integrity.

5. **Respect for Diversity**

Believing that everyone should have an opportunity to learn and succeed, we value intellectual and cultural diversity in the classroom, in the workplace, and in the community.

SOUTH ARKANSAS COMMUNITY COLLEGE

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

6. Commitment to Accountability

We are committed to continuous evaluation of our institution to address the needs of the present and to meet the challenges of the future.

LONG TERM STRATEGIES AND GOALS:

Goal 1: To provide an environment that fosters excellence in learning and teaching.

1. Explore the creation of a stronger reward system for faculty and staff
2. Increase faculty involvement in tutoring programs
3. Develop a mentoring program

Goal 2: To provide a university transfer program of high academic quality for students who plan to continue their education.

1. Evaluate existing curricula for relevance and application
2. Improve instructor evaluations
3. Commit to the continuous evaluation of our institution to address the needs of the present and to meet the challenges of the future

Goal 3: To offer degree and certificate programs to develop or enhance occupational skills to prepare learners for a changing global economy.

1. Identify new programs based upon community need
2. Research the development of additional health science programs
3. Support economic development and entrepreneurship in South Arkansas

SOUTH ARKANSAS COMMUNITY COLLEGE

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

- Goal 4:** To provide developmental courses to prepare students to do college level work.
1. Provide improved placement for students in basic study reading
 2. Provide a lower level reading course
 3. Provide a college success course to all students
- Goal 5:** To provide adult education and workforce development.
1. Continue to be responsive to the needs of employers and the local economy
 2. Offer non-credit , flexible, short-term training
 3. Offer more credit hour training for students who want to complete certificates and degrees
- Goal 6:** To provide comprehensive student services to enhance students success.
1. Create an advising model that requires mandatory visits to an academic advisor before registration
 2. Strengthen the early warning system for retention
 3. Provide improved feedback to students regarding class standing
 4. Enhance career counseling to improve student motivation to remain enrolled
- Goal 7:** To promote civic and cultural life and the economic development of the community.
1. Strive to serve the academic, occupational and enrichment needs of the community
 2. Support economic development in South Arkansas
 3. Operate the South Arkansas Arboretum

SOUTH ARKANSAS COMMUNITY COLLEGE

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

- Goal 8:** To make education accessible through innovative instructional methods.
1. Develop an online AA degree
 2. Provide an online math tutoring program
 3. Add more short term classes to the semester schedules

NEW PROGRAMS

SouthArk recently had a Respiratory Therapist Program approved, and plans are to begin this program in the spring of 2009. The College will look closely at other health related programs in our future planning.

**INSTITUTION APPROPRIATION SUMMARY
2009-11 BIENNIUM**

INSTITUTION SOUTH ARKANSAS COMMUNITY COLLEGE

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2007-08		2008-09		2008-09		2009-10				2010-11			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	6,868,150		6,859,209		7,397,715		7,799,679		7,266,500		7,987,265		7,459,470	
2 CASH	5,379,546		13,489,654		17,566,500		27,645,000		27,645,000		27,925,000		27,925,000	
3														
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$12,247,696	171	\$20,348,863	171	\$24,964,215	353	\$35,444,679	335	\$34,911,500	335	\$35,912,265	335	\$35,384,470	335
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*	3,371	0%		0%				0%		0%		0%		0%
13 GENERAL REVENUE	5,917,296	45%	5,941,276	29%			6,881,746	19%	6,348,567	18%	7,069,332	20%	6,541,537	18%
14 EDUCATIONAL EXCELLENCE TRUST FUND	527,294	4%	505,690	2%			505,690	1%	505,690	1%	505,690	1%	505,690	1%
15 WORKFORCE 2000	416,992	3%	412,243	2%			412,243	1%	412,243	1%	412,243	1%	412,243	1%
16 CASH FUNDS	5,008,808	38%	11,622,138	57%			25,684,000	72%	25,684,000	74%	25,866,000	72%	25,866,000	73%
17 SPECIAL REVENUES		0%		0%				0%		0%		0%		0%
18 FEDERAL FUNDS	1,368,557	10%	1,867,516	9%			1,961,000	6%	1,961,000	6%	2,059,000	6%	2,059,000	6%
19 TOBACCO SETTLEMENT FUNDS		0%		0%				0%		0%		0%		0%
20 OTHER FUNDS	3,197	0%		0%				0%		0%		0%		0%
21 TOTAL INCOME	\$13,245,515	100%	\$20,348,863	100%			\$35,444,679	100%	\$34,911,500	100%	\$35,912,265	100%	\$35,384,470	100%
22 EXCESS (FUNDING)/APPROPRIATION	-\$997,819		\$0				\$0		\$0		\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2008:	\$1,967,244
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$213,060
INVENTORIES	\$26,894
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	\$9,850
INSURANCE DEDUCTIBLES	\$11,000
MAJOR CRITICAL SYSTEMS FAILURES	\$150,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$1,227,503
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	\$221,215
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$107,722

Note: Other is grants receivable

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*Line 20 Other Funds: Tuition Adjustment Fund \$3,197

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2009-11 BIENNIUM**

SOUTH ARKANSAS COMMUNITY COLLEGE
NAME OF INSTITUTION

EXPENDITURE CATEGORIES	2007-08 ACTUAL	2008-09 BUDGETED *	2009-11 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS	
			2009-10 REQUEST / RECOMMENDATION	2010-11 REQUEST / RECOMMENDATION
1 INSTRUCTION	4,249,184	4,626,404	5,089,000	5,241,700
2 RESEARCH	0	0		
3 PUBLIC SERVICE	216,413	244,063	253,800	261,400
4 ACADEMIC SUPPORT	772,594	775,973	807,000	831,200
5 STUDENT SERVICES	570,595	689,481	724,712	746,500
6 INSTITUTIONAL SUPPORT	1,683,085	2,133,153	2,207,800	2,274,000
7 PHYSICAL PLANT M&O	1,078,972	1,224,141	1,297,600	1,352,182
8 SCHOLARSHIPS & FELLOWSHIPS	44,852	52,215	55,900	57,600
9				
10				
11				
12				
13 MANDATORY TRANSFERS	58,349	58,588	58,588	58,588
14 AUXILIARY TRANSFERS	(49,746)	(55,967)	(57,000)	(59,000)
15 NON-MANDATORY TRANSFERS	2,134,659	137,266	58,000	58,000
16 TOTAL UNREST. E&G EXP.	\$10,758,957	\$9,885,317	\$10,495,400	\$10,822,170
17 NET LOCAL INCOME	3,192,289	3,026,108	3,228,900	3,362,700
18 PRIOR YEAR BALANCE***	701,888	0		
STATE FUNDS:				
19 GENERAL REVENUE	5,917,296	5,941,276	6,348,567	6,541,537
20 EDUCATIONAL EXCELLENCE	527,294	505,690	505,690	505,690
21 WORKFORCE 2000	416,993	412,243	412,243	412,243
22 TOBACCO SETTLEMENT FUNDS				
23 OTHER STATE FUNDS **	3,197			
24 TOTAL SOURCES OF INCOME	\$10,758,957	\$9,885,317	\$10,495,400	\$10,822,170

FORM 09-2

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

** Line 23 Other State Funds: Tuition Adjustment Fund \$3,197

**ESTIMATED UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND
CASH INCOME FOR THE 2009-11 BIENNIUM**

SOUTH ARKANSAS COMMUNITY COLLEGE
(NAME OF INSTITUTION)

SOURCE	ACTUAL 2007-08	BUDGETED 2008-09	ESTIMATED INCOME	
			2009-10	2010-11
1 TUITION AND MANDATORY FEES	2,229,741	2,271,135	2,390,450	2,490,600
2 ALL OTHER FEES	271,360	227,075	238,400	250,500
3 OFF-CAMPUS CREDIT	40,073	44,809	47,050	49,400
4 NON-CREDIT INSTRUCTION	261,547	230,000	242,000	254,000
5 ORGANIZED ACTIVITIES RELATED TO EDUCATIONAL DEPARTMENTS	47,249	43,070	45,000	47,200
6 INVESTMENT INCOME	281,596	160,000	160,000	160,000
7 OTHER CASH INCOME:	60,723	50,019	106,000	111,000
8 TOTAL UNRESTRICTED CURRENT FUND CASH INCOME	3,192,289	3,026,108	3,228,900	3,362,700
9 LESS: TWO-YEAR COLLEGE ACTIVITY FEES				
10 NET UNRESTRICTED CURRENT FUND CASH INCOME AVAILABLE FOR UNRESTRICTED EDUCATIONAL AND GENERAL OPERATIONS	\$3,192,289	\$3,026,108	\$3,228,900	\$3,362,700

FORM 09-3

Note. Other income is Indirect cost income, facility rental and other misc. income.

**APPROPRIATION ACT FORM - STATE TREASURY - AHECB RECOMMENDATION
2009-11 BIENNIUM**

FUND CWS0000

INSTITUTION SOUTH ARKANSAS COMMUNITY COLLEGE

APPROPRIATION 793

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	4,305,661	4,412,999	4,682,550	4,500,000	4,610,000		
2 EXTRA HELP WAGES	82,842	87,550	87,550	82,000	84,000		
3 OVERTIME	0		0				
4 PERSONAL SERVICES MATCHING	1,156,028	1,113,973	1,219,562	1,450,000	1,480,000		
5 OPERATING EXPENSES	1,193,736	1,214,687	1,378,053	1,200,000	1,250,470		
6 CONFERENCE FEES & TRAVEL	29,883	30,000	30,000	14,500	15,000		
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)	0						
8 CAPITAL OUTLAY	100,000			20,000	20,000		
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION							
11 DEFERMENT							
12							
13							
14 TOTAL APPROPRIATION	\$6,868,150	\$6,859,209	\$7,397,715	\$7,266,500	\$7,459,470	\$0	\$0
15 PRIOR YEAR FUND BALANCE**	3,371						
16 GENERAL REVENUE	5,917,296	5,941,276		6,348,567	6,541,537		
17 EDUCATIONAL EXCELLENCE TRUST FUNDS	527,294	505,690		505,690	505,690		
18 SPECIAL REVENUES * [WF2000]	416,993	412,243		412,243	412,243		
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS	3,197						
22 TOTAL INCOME	\$6,868,151	\$6,859,209		\$7,266,500	\$7,459,470	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	-\$1	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

FORM 09-4

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

** Line 21 Other State Funds: Tuition Adjustment Fund \$3,197

**APPROPRIATION ACT FORM - STATE TREASURY - FLAT BUDGET
2009-11 BIENNIUM**

FUND CWS0000

INSTITUTION SOUTH ARKANSAS COMMUNITY COLLEGE

APPROPRIATION 793

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	4,305,661	4,412,999	4,682,550	4,400,000	4,400,000		
2 EXTRA HELP WAGES	82,842	87,550	87,550	85,000	85,000		
3 OVERTIME		0					
4 PERSONAL SERVICES MATCHING	1,156,028	1,113,973	1,219,562	1,115,000	1,115,000		
5 OPERATING EXPENSES	1,193,736	1,214,687	1,378,053	1,234,209	1,234,209		
6 CONFERENCE FEES & TRAVEL	29,883	30,000	30,000	15,000	15,000		
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY	100,000			10,000	10,000		
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION							
11 DEFERMENT							
12							
13							
14 TOTAL APPROPRIATION	\$6,868,150	\$6,859,209	\$7,397,715	\$6,859,209	\$6,859,209	\$0	\$0
15 PRIOR YEAR FUND BALANCE**	3,371						
16 GENERAL REVENUE	5,917,296	5,941,276		5,941,276	5,941,276		
17 EDUCATIONAL EXCELLENCE TRUST FUNDS	527,294	505,690		505,690	505,690		
18 SPECIAL REVENUES * [WF2000]	416,993	412,243		412,243	412,243		
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS	3,197						
22 TOTAL INCOME	\$6,868,151	\$6,859,209		\$6,859,209	\$6,859,209	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	-\$1	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

** Line 21 Other State Funds: Tuition Adjustment Fund \$3,197

FORM 09-4

**APPROPRIATION ACT FORM - STATE TREASURY - 7% REDUCTION
2009-11 BIENNIUM**

FUND CWS0000

INSTITUTION SOUTH ARKANSAS COMMUNITY COLLEGE

APPROPRIATION 793

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	4,305,661	4,412,999	4,682,550	4,274,400	4,274,400		
2 EXTRA HELP WAGES	82,842	87,550	87,550	78,480	78,480		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	1,156,028	1,113,973	1,219,562	1,060,120	1,060,120		
5 OPERATING EXPENSES	1,193,736	1,214,687	1,378,053	985,573	985,573		
6 CONFERENCE FEES & TRAVEL	29,883	30,000	30,000	26,000	26,000		
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY	100,000			18,747	18,747		
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION							
11 DEFERMENT							
12							
13							
14 TOTAL APPROPRIATION	\$6,868,150	\$6,859,209	\$7,397,715	\$6,443,320	\$6,443,320	\$0	\$0
15 PRIOR YEAR FUND BALANCE**	3,371						
16 GENERAL REVENUE	5,917,296	5,941,276		5,525,387	5,525,387		
17 EDUCATIONAL EXCELLENCE TRUST FUNDS	527,294	505,690		505,690	505,690		
18 SPECIAL REVENUES * [WF2000]	416,993	412,243		412,243	412,243		
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS	3,197						
22 TOTAL INCOME	\$6,868,151	\$6,859,209		\$6,443,320	\$6,443,320	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	-\$1	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

** Line 21 Other State Funds: Tuition Adjustment Fund \$3,197

**APPROPRIATION ACT FORM - CASH FUNDS
2009-11 BIENNIUM**

FUND 2210000

INSTITUTION SOUTH ARKANSAS COMMUNITY COLLEGE

APPROPRIATION B78

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	1,869,248	2,618,890	3,461,169	3,500,000	3,600,000		
2 EXTRA HELP WAGES	115,690	225,000	290,000	250,000	260,000		
3 OVERTIME	4,780	5,000	27,075	20,000	25,000		
4 PERSONAL SERVICES MATCHING	1,091,454	1,320,691	1,697,009	1,600,000	1,650,000		
5 OPERATING EXPENSES	1,640,131	2,301,491	3,311,073	3,000,000	3,100,000		
6 CONFERENCE FEES & TRAVEL	126,723	205,694	378,640	350,000	360,000		
7 PROFESSIONAL FEES AND SERVICES	282,975	349,000	498,000	800,000	800,000		
8 DATA PROCESSING			83,923	100,000	105,000		
9 CAPITAL OUTLAY	124,787	185,300	475,000	500,000	500,000		
10 CAPITAL IMPROVEMENTS		5,100,000	5,878,499	12,000,000	12,000,000		
11 DEBT SERVICE	58,588	58,588	316,412	500,000	500,000		
12 FUND TRANSFERS, REFUNDS AND INVESTMENT	52,007	1,100,000	1,129,700	4,000,000	4,000,000		
13 PROMOTIONAL	13,163	20,000	20,000	25,000	25,000		
14							
15							
16 CONTINGENCY				1,000,000	1,000,000		
17 TOTAL APPROPRIATION	\$5,379,546	\$13,489,654	\$17,566,500	\$27,645,000	\$27,925,000	\$0	\$0
18 PRIOR YEAR FUND BALANCE***							
19 LOCAL CASH FUNDS	3,192,289	3,026,108		3,228,900	3,362,700		
20 FEDERAL CASH FUNDS	1,368,557	1,867,516		1,961,000	2,059,000		
21 OTHER CASH FUNDS	1,816,519	8,596,030		22,455,100	22,503,300		
22 TOTAL INCOME	\$6,377,365	\$13,489,654		\$27,645,000	\$27,925,000	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	(\$997,819)	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED 2007-09	REQUESTED		LEGISLATIVE RECOMMENDATION	
				2009-11	2009-11	2009-11	2010-11
REGULAR POSITIONS	171	171	353	335	335		
TOBACCO POSITIONS	0	0	0	0	0		
EXTRA HELP **	87	120	175	175	175		

FORM 09-5

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

SOUTH ARKANSAS COMMUNITY COLLEGE
(NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2007-08				BUDGETED 2008-09			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *				0				0
2 RESIDENCE HALL				0				0
3 MARRIED STUDENT HOUSING				0				0
4 FACULTY HOUSING				0				0
5 FOOD SERVICES	8,899	0		8,899	8,000			8,000
6 COLLEGE UNION				0				0
7 BOOKSTORE	842,119	699,894		142,225	819,150	771,183		47,967
8 STUDENT ORGANIZATIONS AND PUBLICATIONS				0				0
9 STUDENT HEALTH SERVICES				0				0
10 OTHER	193			193				0
11 SUBTOTAL	\$851,211	\$699,894	\$0	\$151,317	\$827,150	\$771,183	\$0	\$55,967
12 ATHLETIC TRANSFER **				0				0
13 OTHER TRANSFERS ***	(49,746)			(49,746)	(55,967)			(55,967)
14 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$801,465	\$699,894	\$0	\$101,571	\$771,183	\$771,183	\$0	\$0

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM 09-6

** For both two-year and four-year institutions, line 12, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 13, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

Note: Other income is interest income.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

SOUTH ARKANSAS COMMUNITY COLLEGE
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2007-08:						<u>143</u>
(As of November 1, 2007)						
Nonclassified Administrative Employees:						
White Male:	<u>12</u>	Black Male:	<u>2</u>	Other Male:	<u>1</u>	Total Male: <u>15</u>
White Female:	<u>20</u>	Black Female:	<u>4</u>	Other Female:	<u>1</u>	Total Female: <u>25</u>
Nonclassified Health Care Employees:						
White Male:	<u>0</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>0</u>
White Female:	<u>0</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>	Total Female: <u>0</u>
Classified Employees:						
White Male:	<u>8</u>	Black Male:	<u>5</u>	Other Male:	<u>0</u>	Total Male: <u>13</u>
White Female:	<u>26</u>	Black Female:	<u>7</u>	Other Female:	<u>0</u>	Total Female: <u>33</u>
Faculty:						
White Male:	<u>14</u>	Black Male:	<u>1</u>	Other Male:	<u>0</u>	Total Male: <u>15</u>
White Female:	<u>37</u>	Black Female:	<u>5</u>	Other Female:	<u>0</u>	Total Female: <u>42</u>
Total White Male:	<u>34</u>	Total Black Male:	<u>8</u>	Total Other Male:	<u>1</u>	Total Male: <u>43</u>
Total White Female:	<u>83</u>	Total Black Female:	<u>16</u>	Total Other Female:	<u>1</u>	Total Female: <u>100</u>
Total White:	<u>117</u>	Total Black:	<u>24</u>	Total Other:	<u>2</u>	Total Employees: <u>143</u>
				Total Minority:	<u>26</u>	

FORM 09-8

DIVISION OF LEGISLATIVE AUDIT
AUDIT OF South Arkansas Community College
June 30, 2007

<i>Finding:</i>	No findings noted
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Southern Arkansas University Tech

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

In 2007, SAUT became an Academic Quality Improvement Program (AQIP) institution with the Higher Learning Commission North Central Association (HLC/NCA). As noted on the AQIP website “the Academic Quality Improvement Program infuses the principles and benefits of continuous improvement into the culture of colleges and universities by providing an alternative process through which an already-accredited institution can maintain its accreditation from the Higher Learning Commission.” Through AQIP, an institution engages in ongoing dialogue with HLC/NCA to demonstrate it meets accreditation standards. As part of SAU Tech’s AQIP process, the college identified the need to develop a new strategic plan. Through the spring and summer of 2008, the AQIP Action Project Team assigned to strategic planning and the SAU Tech Planning Committee worked to develop a draft strategic plan. This plan was presented to SAU Tech employees during 2008 Fall Convocation, and employees have been asked to submit comments concerning the draft plan. The Action Project Team and the Planning Committee will review these comments and incorporate them in the draft plan as appropriate. The draft strategic goals developed through this planning process are presented below.

- Student Success – To provide every student the opportunity to acquire the knowledge and abilities to lead a fulfilled life as a learner, a citizen, and a member of the workforce.
- Access to Higher Education – To provide access to affordable comprehensive, community, and technical college education.
- Quality Programs – To deliver relevant, high-quality instruction, programs, and services that meet the changing needs of students and society.
- Accountability and Institutional Effectiveness – To make effectiveness, transparency, and accountability the hallmarks of all the College’s activities.
- Resource Development (Human/Financial/Physical) – To seek financial, physical, and human resources to support the development and ongoing improvement of the College’s programs and services.

Southern Arkansas University Tech

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

- Partnerships, Collaborative Efforts, and Workforce and Economic Development – To develop partnerships to provide continuing education, community services, and workforce training to address the economic development needs of the College's constituencies.

SAU Tech's array of programs includes general education courses necessary to prepare student for transfer to a four-year institution, as well as career and technical education. More specifically, the college's career and technical programs focus on areas such as computer systems and applications, engineering technologies, child care education and early childhood education, police and fire sciences, applied technologies, nursing and selected allied health fields, and business and office occupations. In support of these career and technical programs, the college submitted, for 2007-2008 year, degrees and certificates to AHECB in the areas of government contract management, multimedia film and video production, medical professions, hazardous waste remediation, medical professions, automotive technology, and technology. Strategic Goal: Quality Programs affirms the college will continue its efforts to provide quality education that meets the changing needs of students and society. Through its strategic planning process, the college identified six academic focus areas for the next five to ten years. Those focus areas include teacher education, nursing, technology, multimedia, aviation, and business and computer education.

In addition to its academic programs, the college offers business and industry training and hosts and facilitates the Adult Basic Education program. These programs align with Strategic Goal: Partnerships, Collaborative Efforts, and Workforce and Economic Development whereby the college will strive to develop partnerships to provide continuing education, community services, and workforce training to address the economic development needs of the it's constituencies. To that end, the college recently purchased a vacant bank building in downtown Camden and plans to renovate the building to provide a one-stop shop for the following areas: GED, Arkansas Career Readiness certificate, Arkansas Workforce Education, and Business and Industry Training. This new facility will afford the college a presence in the Camden community and will support Strategic Goal: Access to Higher Education by providing access to affordable comprehensive, community, and technical college education.

**INSTITUTION APPROPRIATION SUMMARY
2009-11 BIENNIUM**

INSTITUTION SOUTHERN ARKANSAS UNIVERSITY TECH

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2007-08		2008-09		2008-09		2009-10				2010-11			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	5,660,170		5,724,721		5,969,799		8,416,287		6,428,531		8,631,626		6,671,003	
2 CASH	6,457,335		10,000,000		16,940,000		17,100,000		17,100,000		18,000,000		18,000,000	
3														
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$12,117,505	200	\$15,724,721	204	\$22,909,799	230	\$25,516,287	230	\$23,528,531	230	\$26,631,626	230	\$24,671,003	230
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*		0%		0%				0%		0%		0%		0%
13 GENERAL REVENUE	5,452,961	45%	5,525,371	35%			8,216,937	32%	6,229,181	26%	8,432,276	32%	6,471,653	26%
14 EDUCATIONAL EXCELLENCE TRUST FUND	207,867	2%	199,350	1%			199,350	1%	199,350	1%	199,350	1%	199,350	1%
15 WORKFORCE 2000		0%		0%				0%		0%		0%		0%
16 CASH FUNDS	4,633,493	38%	7,500,000	48%			11,000,000	43%	11,000,000	47%	11,900,000	45%	11,900,000	48%
17 SPECIAL REVENUES		0%		0%				0%		0%		0%		0%
18 FEDERAL FUNDS	1,823,842	15%	2,500,000	16%			6,100,000	24%	6,100,000	26%	6,100,000	23%	6,100,000	25%
19 TOBACCO SETTLEMENT FUNDS		0%		0%				0%		0%		0%		0%
20 OTHER FUNDS**	18,536	0%		0%				0%		0%		0%		0%
21 TOTAL INCOME	\$12,136,699	100%	\$15,724,721	100%			\$25,516,287	100%	\$23,528,531	100%	\$26,631,626	100%	\$24,671,003	100%
22 EXCESS (FUNDING)/APPROPRIATION	(\$19,194)		\$0				\$0		\$0		\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2008:	\$2,301,148
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$160,274
INVENTORIES	\$12,929
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	\$36,248
INSURANCE DEDUCTIBLES	\$40,000
MAJOR CRITICAL SYSTEMS FAILURES	\$175,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$995,000
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	\$175,000
OTHER (FOOTNOTE BELOW)	\$90,372
UNRESERVED EDUCATIONAL & GENEREAL FUND BALANCE	\$616,325

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

** Vehicle transfer from MVP

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2009-11 BIENNIUM**

SOUTHERN ARKANSAS UNIVERSITY TECH
NAME OF INSTITUTION

EXPENDITURE CATEGORIES	2007-08 ACTUAL	2008-09 BUDGETED *	2009-11 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS	
			2009-10 REQUEST / RECOMMENDATION	2010-11 REQUEST / RECOMMENDATION
1 INSTRUCTION	3,048,365	3,230,538	3,500,000	3,621,167
2 RESEARCH				
3 PUBLIC SERVICE	311,831	192,095	215,000	222,443
4 ACADEMIC SUPPORT	837,051	925,801	975,000	1,008,754
5 STUDENT SERVICES	755,120	876,046	900,000	931,157
6 INSTITUTIONAL SUPPORT	2,154,249	2,384,521	2,643,677	2,735,199
7 PHYSICAL PLANT M&O	1,253,923	1,300,901	1,500,901	1,552,861
8 SCHOLARSHIPS & FELLOWSHIPS	506,196	821,963	825,000	853,561
9				
10				
11				
12				
13 MANDATORY TRANSFERS	63,715	180,280	182,000	188,299
14 AUXILIARY TRANSFERS				
15 NON-MANDATORY TRANSFERS	43,787			
16 TOTAL UNREST. E&G EXP.	\$8,974,237	\$9,912,145	\$10,741,578	\$11,113,441
17 NET LOCAL INCOME	3,623,648	4,187,424	4,313,047	4,442,438
18 PRIOR YEAR BALANCE***				
STATE FUNDS:				
19 GENERAL REVENUE	5,452,961	5,525,371	6,229,181	6,471,653
20 EDUCATIONAL EXCELLENCE	207,867	199,350	199,350	199,350
21 WORKFORCE 2000				
22 TOBACCO SETTLEMENT FUNDS				
23 OTHER STATE FUNDS **	18,536			
24 TOTAL SOURCES OF INCOME	\$9,303,012	\$9,912,145	\$10,741,578	\$11,113,441

FORM 09-2

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Vehicle transfer from MVP

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**ESTIMATED UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND
CASH INCOME FOR THE 2009-11 BIENNIUM**

SOUTHERN ARKANSAS UNIVERSITY TECH

(NAME OF INSTITUTION)

SOURCE	ACTUAL 2007-08	BUDGETED 2008-09	ESTIMATED INCOME	
			2009-10	2010-11
1 TUITION AND MANDATORY FEES	2,720,981	3,355,978	3,456,657	3,099,926
2 ALL OTHER FEES	155,544	186,700	192,301	658,501
3 OFF-CAMPUS CREDIT				
4 NON-CREDIT INSTRUCTION				
5 ORGANIZED ACTIVITIES RELATED TO EDUCATIONAL DEPARTMENTS	649,387	539,746	555,938	572,616
6 INVESTMENT INCOME	95,567	100,000	103,000	106,090
7 OTHER CASH INCOME*	2,169	5,000	5,151	5,305
8 TOTAL UNRESTRICTED CURRENT FUND CASH INCOME	3,623,648	4,187,424	4,313,047	4,442,438
9 LESS: TWO-YEAR COLLEGE ACTIVITY FEES				
10 NET UNRESTRICTED CURRENT FUND CASH INCOME AVAILABLE FOR UNRESTRICTED EDUCATIONAL AND GENERAL OPERATIONS	\$3,623,648	\$4,187,424	\$4,313,047	\$4,442,438

FORM 09-3

*Postage Stamp Sales, Replacement Key and Calculator Revenue

**APPROPRIATION ACT FORM - STATE TREASURY - AHECB RECOMMENDATION
2009-11 BIENNIUM**

FUND CSS0000

INSTITUTION SOUTHERN ARKANSAS UNIVERSITY TECH

APPROPRIATION 294

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	3,485,358	3,534,139	3,779,217	3,878,531	3,991,887		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	962,143	977,913	977,913	1,000,000	1,033,000		
5 OPERATING EXPENSES	1,117,669	1,117,669	1,117,669	1,300,000	1,366,116		
6 CONFERENCE FEES & TRAVEL	25,000	25,000	25,000	50,000	55,000		
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY	70,000	70,000	70,000	200,000	225,000		
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION							
11							
12							
13							
14 TOTAL APPROPRIATION	\$5,660,170	\$5,724,721	\$5,969,799	\$6,428,531	\$6,671,003	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE	5,452,961	5,525,371		6,229,181	6,471,653		
17 EDUCATIONAL EXCELLENCE TRUST FUNDS	207,867	199,350		199,350	199,350		
18 SPECIAL REVENUES * [WF2000]							
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS***	18,536						
22 TOTAL INCOME	\$5,679,364	\$5,724,721		\$6,428,531	\$6,671,003	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	(\$19,194)	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY - FLAT BUDGET
2009-11 BIENNIUM**

FUND CSS0000

INSTITUTION SOUTHERN ARKANSAS UNIVERSITY TECH

APPROPRIATION 294

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	3,485,358	3,534,139	3,779,217	3,534,139	3,534,139		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	962,143	977,913	977,913	977,913	977,913		
5 OPERATING EXPENSES	1,117,669	1,117,669	1,117,669	1,117,669	1,117,669		
6 CONFERENCE FEES & TRAVEL	25,000	25,000	25,000	25,000	25,000		
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY	70,000	70,000	70,000	70,000	70,000		
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION							
11							
12							
13							
14 TOTAL APPROPRIATION	\$5,660,170	\$5,724,721	\$5,969,799	\$5,724,721	\$5,724,721	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE	5,452,961	5,525,371		5,525,371	5,525,371		
17 EDUCATIONAL EXCELLENCE TRUST FUNDS	207,867	199,350		199,350	199,350		
18 SPECIAL REVENUES * [WF2000]							
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS***	18,536						
22 TOTAL INCOME	\$5,679,364	\$5,724,721		\$5,724,721	\$5,724,721	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	(\$19,194)	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY - 7% REDUCTION
2009-11 BIENNIUM**

FUND CSS0000

INSTITUTION SOUTHERN ARKANSAS UNIVERSITY TECH

APPROPRIATION 294

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	3,485,358	3,534,139	3,779,217	3,434,139	3,434,139		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	962,143	977,913	977,913	950,000	950,000		
5 OPERATING EXPENSES	1,117,669	1,117,669	1,117,669	908,806	908,806		
6 CONFERENCE FEES & TRAVEL	25,000	25,000	25,000	20,000	20,000		
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY	70,000	70,000	70,000	25,000	25,000		
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION							
11							
12							
13							
14 TOTAL APPROPRIATION	\$5,660,170	\$5,724,721	\$5,969,799	\$5,337,945	\$5,337,945	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE	5,452,961	5,525,371		5,138,595	5,138,595		
17 EDUCATIONAL EXCELLENCE TRUST FUNDS	207,867	199,350		199,350	199,350		
18 SPECIAL REVENUES * [WF2000]							
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS***	18,536						
22 TOTAL INCOME	\$5,679,364	\$5,724,721		\$5,337,945	\$5,337,945	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	(\$19,194)	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - CASH FUNDS
2009-11 BIENNIUM**

FUND 2170000

INSTITUTION SOUTHERN ARKANSAS UNIVERSITY TECH

APPROPRIATION A65

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	2,140,449	3,314,756	4,468,478	3,500,000	4,263,158		
2 EXTRA HELP WAGES	78,682	100,000	845,384	750,000	789,474		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	610,012	900,000	1,741,359	2,000,000	2,000,000		
5 OPERATING EXPENSES	1,957,693	3,063,034	5,435,869	5,000,000	5,000,000		
6 CONFERENCE FEES & TRAVEL	113,122	175,184	538,768	550,000	578,948		
7 PROFESSIONAL FEES AND SERVICES	36,909	57,158	219,384	200,000	210,526		
8 DATA PROCESSING							
9 CAPITAL OUTLAY	251,481	358,151	358,151	350,000	368,421		
10 CAPITAL IMPROVEMENTS			200,000	300,000	315,789		
11 DEBT SERVICE	106,235	110,000	438,768	450,000	473,684		
12 FUND TRANSFERS, REFUNDS AND INVESTMENT	1,162,752	1,921,717	2,693,839	2,000,000	2,000,000		
13							
14							
15							
16 CONTINGENCY				2,000,000	2,000,000		
17 TOTAL APPROPRIATION	\$6,457,335	\$10,000,000	\$16,940,000	\$17,100,000	\$18,000,000	\$0	\$0
18 PRIOR YEAR FUND BALANCE***							
19 LOCAL CASH FUNDS	2,960,623	5,500,000		6,000,000	6,000,000		
20 FEDERAL CASH FUNDS	1,823,842	2,500,000		6,100,000	6,100,000		
21 OTHER CASH FUNDS	1,672,870	2,000,000		5,000,000	5,900,000		
22 TOTAL INCOME	\$6,457,335	\$10,000,000		\$17,100,000	\$18,000,000	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED 2007-09	REQUESTED	RECOMMENDED	LEGISLATIVE RECOMMENDATION	
				2009-11	2009-11	2009-11	2010-11
REGULAR POSITIONS	200	204	230	230	230		
TOBACCO POSITIONS							
EXTRA HELP **	175	175	175	175	175		

FORM 09-5

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

SOUTHERN ARKANSAS UNIVERSITY TECH
 (NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2007-08				BUDGETED 2008-09			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *				0				0
2 RESIDENCE HALL	100,458	73,565		26,893	80,000	80,000		0
3 MARRIED STUDENT HOUSING				0				0
4 FACULTY HOUSING				0				0
5 FOOD SERVICES				0				0
6 COLLEGE UNION				0				0
7 BOOKSTORE	409,750	369,842		39,908	369,622	369,622		0
8 STUDENT ORGANIZATIONS AND PUBLICATIONS				0				0
9 STUDENT HEALTH SERVICES				0				0
10 OTHER****		625		(625)				0
11 SUBTOTAL	\$510,208	\$444,032	\$0	\$66,176	\$449,622	\$449,622	\$0	\$0
12 ATHLETIC TRANSFER **				0				0
13 OTHER TRANSFERS ***	(42,520)			(42,520)				0
14 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$467,688	\$444,032	\$0	\$23,656	\$449,622	\$449,622	\$0	\$0

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM 09-6

** For both two-year and four-year institutions, line 12, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** Transfer to Unrestricted to zero out Due To/From

****Bad Debt Expense

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

SOUTHERN ARKANSAS UNIVERSITY TECH
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2007-08:						<u>133</u>
(As of November 1, 2007)						
Nonclassified Administrative Employees:						
White Male:	<u>9</u>	Black Male:	<u>3</u>	Other Male:	<u>0</u>	Total Male: <u>12</u>
White Female:	<u>12</u>	Black Female:	<u>6</u>	Other Female:	<u>0</u>	Total Female: <u>18</u>
Nonclassified Health Care Employees:						
White Male:	<u> </u>	Black Male:	<u> </u>	Other Male:	<u> </u>	Total Male: <u>0</u>
White Female:	<u> </u>	Black Female:	<u> </u>	Other Female:	<u> </u>	Total Female: <u>0</u>
Classified Employees:						
White Male:	<u>16</u>	Black Male:	<u>6</u>	Other Male:	<u> </u>	Total Male: <u>22</u>
White Female:	<u>27</u>	Black Female:	<u>12</u>	Other Female:	<u>1</u>	Total Female: <u>40</u>
Faculty:						
White Male:	<u>20</u>	Black Male:	<u> </u>	Other Male:	<u> </u>	Total Male: <u>20</u>
White Female:	<u>20</u>	Black Female:	<u>1</u>	Other Female:	<u> </u>	Total Female: <u>21</u>
Total White Male:	<u>45</u>	Total Black Male:	<u>9</u>	Total Other Male:	<u>0</u>	Total Male: <u>54</u>
Total White Female:	<u>59</u>	Total Black Female:	<u>19</u>	Total Other Female:	<u>1</u>	Total Female: <u>79</u>
Total White:	<u>104</u>	Total Black:	<u>28</u>	Total Other:	<u>1</u>	Total Employees: <u>133</u>
				Total Minority:	<u>29</u>	

FORM 09-8

DIVISION OF LEGISLATIVE AUDIT
AUDIT OF Southern Arkansas University - Tech
June 30, 2007

<i>Finding:</i>	No findings noted
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Arkansas Environmental Academy

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

The mission of the Arkansas Environmental Academy is to provide quality environmental training as mandated by the State of Arkansas as the State's Environmental Training Facility. The Academy is committed to providing quality training programs designed to aid managers and operating personnel in the operation of their Water, Wastewater, Solid Waste or Industrial Facilities to comply with state and federal regulations. The Academy is also committed to providing quality training programs to aid managers and operating personnel to meet state certification and licensing requirements. Within its resources the Academy will accomplish its mission by offering training opportunities on-campus, off-campus, and by alternative methods of delivery (Internet) to municipal and industrial personnel and through support services for Academy staff.

The Goal of the Arkansas Environmental Academy is to provide quality training and certification services that are essential to the jobs of our students and the environment of the State of Arkansas. These services are provided through on-campus, off-campus and alternative methods of delivery (Internet) to municipal and industrial personnel.

The Objective of the Academy is to provide quality training programs that enable municipal and industrial personnel to achieve and/or maintain Arkansas Department of Environmental Quality (ADEQ) and/or Arkansas Department of Health (ADH) licensing certifications.

The strategies involved to accomplishing these goals and objectives are to:

1. Continually develop, review, and revise training programs to ensure they meet the latest state and/or federal regulation(s) and reference sources.
2. Evaluate student learning by giving pre-exams, post-exams, and written classroom quizzes in all courses and monitor the pass/fail rates for each certification exam given.
3. Provide professional development opportunities for full-time and part-time staff.
4. Conduct training programs in various municipalities and industrial facilities throughout the State.
5. Deliver identified programs via alternative learning methodologies, such as Internet delivery.

Arkansas Environmental Academy

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

The Arkansas Environmental Academy through a partnership with the City of Camden, Arkansas, the Arkansas Workforce Development Center of Arkansas, Southern Arkansas University Tech, and the Arkansas Fire Training Academy has developed a job training program for Brownfield Remediation Technicians.

The Arkansas Environmental Academy through Southern Arkansas University Tech has developed a Certificate of Proficiency in Brownfield Remediation Technician to convert non-credit Environmental courses into college credit through portfolio development.

The Arkansas Environmental Academy through Southern Arkansas University Tech continues to offer Certificates of Proficiency in Water Treatment to convert non-credit Environmental courses into College Credit through portfolio development.

The Arkansas Environmental Academy through Southern Arkansas University Tech continues to offer Certificates of Proficiency in Water Distribution to convert non-credit Environmental courses into college credit through portfolio development.

The Arkansas Environmental Academy will identify various industry groups (water, wastewater, solid waste, occupational safety and health, hazardous waste, etc.) for the purpose of developing training partnerships to identify training needs statewide. The Academy is seeking input from these groups to identify additional courses and programs needed to better serve their environmental training needs.

As training needs are identified, the Academy will provide learning opportunities for both traditional and non-traditional students through on-campus, off-campus, and Internet delivery to enable municipal and industrial personnel to achieve and/or maintain Arkansas Department of Environmental Quality (ADEQ) or Arkansas Department of Health (ADH) licensing certification.

Arkansas Environmental Academy

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

The Academy will measure this initiative by:

- Continually monitoring, reviewing, and revising training programs to ensure they meet the latest state and federal regulations and reference sources.
- Student outcomes will be measured by the implementation of pre-tests, post-tests, and monitoring of state licensure examinations where applicable.
- Professional development opportunities for full-time and part-time staff.

**INSTITUTION APPROPRIATION SUMMARY
2009-11 BIENNIUM**

INSTITUTION ARKANSAS ENVIRONMENTAL ACADEMY

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2007-08		2008-09		2008-09		2009-10				2010-11			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	401,487		395,726		415,374		425,728		424,530		438,500		434,541	
2 CASH	182,224		218,957		1,573,000		1,600,000		1,600,000		1,650,000		1,650,000	
3														
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$583,711	19	\$614,683	19	\$1,988,374	26	\$2,025,728	26	\$2,024,530	26	\$2,088,500	26	\$2,084,541	26
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*		0%		0%				0%		0%		0%		0%
13 GENERAL REVENUE	366,824	63%	362,413	59%			392,415	19%	391,217	19%	405,187	19%	401,228	19%
14 EDUCATIONAL EXCELLENCE TRUST FUND	34,736	6%	33,313	5%			33,313	2%	33,313	2%	33,313	2%	33,313	2%
15 WORKFORCE 2000		0%		0%				0%		0%		0%		0%
16 CASH FUNDS	76,784	13%	102,000	17%			1,400,000	69%	1,400,000	69%	1,450,000	69%	1,450,000	70%
17 SPECIAL REVENUES		0%		0%				0%		0%		0%		0%
18 FEDERAL FUNDS	105,440	18%	116,957	19%			200,000	10%	200,000	10%	200,000	10%	200,000	10%
19 TOBACCO SETTLEMENT FUNDS		0%		0%				0%		0%		0%		0%
20 OTHER FUNDS		0%		0%				0%		0%		0%		0%
21 TOTAL INCOME	\$583,784	100%	\$614,683	100%			\$2,025,728	100%	\$2,024,530	100%	\$2,088,500	100%	\$2,084,541	100%
22 EXCESS (FUNDING)/APPROPRIATION	(\$73)		\$0				\$0		\$0		\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2008:	\$230,762
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$14,723
INVENTORIES	
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	\$5,169
INSURANCE DEDUCTIBLES	\$15,000
MAJOR CRITICAL SYSTEMS FAILURES	\$25,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$77,088
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	\$25,000
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$68,782

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2009-11 BIENNIUM (Non-Formula Entities)**

ARKANSAS ENVIRONMENTAL ACADEMY
NAME OF INSTITUTION

EXPENDITURE CATEGORIES	2007-08 ACTUAL	2008-09 BUDGETED *	2009-11 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS			
			2009-10		2010-11	
			REQUEST	RECOMMENDATION	REQUEST	RECOMMENDATION
1 INSTRUCTION	225,222	249,387	265,953	265,354	273,932	271,948
2 INSTITUTIONAL SUPPORT	252,939	242,839	258,970	258,371	266,739	264,807
3 STUDENT SERVICES	2,476	1,000	2,065	2,065	2,127	2,112
4 PHYSICAL PLANT M&O	3,713	4,500	3,800	3,800	3,914	3,886
5						
6						
7						
8						
9						
10						
11						
12						
13 MANDATORY TRANSFERS						
14 AUXILIARY TRANSFERS						
15 NON-MANDATORY TRANSFERS						
16 TOTAL UNREST. E&G EXP.	\$484,350	\$497,726	\$530,788	\$529,590	\$546,712	\$542,753
17 NET LOCAL INCOME	162,295	102,000	105,060	105,060	108,212	108,212
18 PRIOR YEAR BALANCE***						
STATE FUNDS:						
19 GENERAL REVENUE	366,824	362,413	392,415	391,217	405,187	401,228
20 EDUCATIONAL EXCELLENCE	34,736	33,313	33,313	33,313	33,313	33,313
21 WORKFORCE 2000						
22 TOBACCO SETTLEMENT FUNDS						
23 OTHER STATE FUNDS **						
24 TOTAL SOURCES OF INCOME	\$563,855	\$497,726	\$530,788	\$529,590	\$546,712	\$542,753

FORM 09-2A

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in a

**ESTIMATED UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND
CASH INCOME FOR THE 2009-11 BIENNIUM**

ARKANSAS ENVIRONMENTAL ACADEMY

(NAME OF INSTITUTION)

SOURCE	ACTUAL 2007-08	BUDGETED 2008-09	ESTIMATED INCOME	
			2009-10	2010-11
1 TUITION AND MANDATORY FEES	140,005	102,000	105,060	108,212
2 ALL OTHER FEES				
3 OFF-CAMPUS CREDIT				
4 NON-CREDIT INSTRUCTION				
5 ORGANIZED ACTIVITIES RELATED TO EDUCATIONAL DEPARTMENTS	20,173	0	0	0
6 INVESTMENT INCOME	2,117	0	0	0
7 OTHER CASH INCOME:				
8 TOTAL UNRESTRICTED CURRENT FUND CASH INCOME	162,295	102,000	105,060	108,212
9 LESS: TWO-YEAR COLLEGE ACTIVITY FEES				
10 NET UNRESTRICTED CURRENT FUND CASH INCOME AVAILABLE FOR UNRESTRICTED EDUCATIONAL AND GENERAL OPERATIONS	\$162,295	\$102,000	\$105,060	\$108,212

FORM 09-3

**APPROPRIATION ACT FORM - STATE TREASURY - AHECB RECOMMENDATION
2009-11 BIENNIUM**

FUND CSS0000

INSTITUTION ARKANSAS ENVIRONMENTAL ACADEMY

APPROPRIATION 296

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	239,317	232,531	252,179	249,456	255,339		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	48,267	49,292	49,292	52,880	54,127		
5 OPERATING EXPENSES	105,869	105,869	105,869	113,575	116,253		
6 CONFERENCE FEES & TRAVEL	8,034	8,034	8,034	8,619	8,822		
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY							
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION							
11							
12							
13							
14 TOTAL APPROPRIATION	\$401,487	\$395,726	\$415,374	\$424,530	\$434,541	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE	366,824	362,413		391,217	401,228		
17 EDUCATIONAL EXCELLENCE TRUST FUNDS	34,736	33,313		33,313	33,313		
18 SPECIAL REVENUES * [WF2000]							
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS							
22 TOTAL INCOME	\$401,560	\$395,726		\$424,530	\$434,541	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	(\$73)	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY - FLAT BUDGET
2009-11 BIENNIUM**

FUND CSS0000

INSTITUTION ARKANSAS ENVIRONMENTAL ACADEMY

APPROPRIATION 296

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	239,317	232,531	252,179	232,531	232,531		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	48,267	49,292	49,292	49,292	49,292		
5 OPERATING EXPENSES	105,869	105,869	105,869	105,869	105,869		
6 CONFERENCE FEES & TRAVEL	8,034	8,034	8,034	8,034	8,034		
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY							
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION							
11							
12							
13							
14 TOTAL APPROPRIATION	\$401,487	\$395,726	\$415,374	\$395,726	\$395,726	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE	366,824	362,413		362,413	362,413		
17 EDUCATIONAL EXCELLENCE TRUST FUNDS	34,736	33,313		33,313	33,313		
18 SPECIAL REVENUES * [WF2000]							
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS							
22 TOTAL INCOME	\$401,560	\$395,726		\$395,726	\$395,726	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	(\$73)	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM 09-4

**APPROPRIATION ACT FORM - STATE TREASURY - 7% REDUCTION
2009-11 BIENNIUM**

FUND CSS0000

INSTITUTION ARKANSAS ENVIRONMENTAL ACADEMY

APPROPRIATION 296

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	239,317	232,531	252,179	217,624	217,624		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	48,267	49,292	49,292	46,132	46,132		
5 OPERATING EXPENSES	105,869	105,869	105,869	99,082	99,082		
6 CONFERENCE FEES & TRAVEL	8,034	8,034	8,034	7,519	7,519		
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY							
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION							
11							
12							
13							
14 TOTAL APPROPRIATION	\$401,487	\$395,726	\$415,374	\$370,357	\$370,357	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE	366,824	362,413		337,044	337,044		
17 EDUCATIONAL EXCELLENCE TRUST FUNDS	34,736	33,313		33,313	33,313		
18 SPECIAL REVENUES * [WF2000]							
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS							
22 TOTAL INCOME	\$401,560	\$395,726		\$370,357	\$370,357	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	(\$73)	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM 09-4

**APPROPRIATION ACT FORM - CASH FUNDS
2009-11 BIENNIUM**

FUND 2170000

INSTITUTION ARKANSAS ENVIRONMENTAL ACADEMY

APPROPRIATION A67

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	100,433	120,678	532,021	550,000	600,000		
2 EXTRA HELP WAGES			89,253	90,000	90,000		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	36,568	43,940	174,747	175,000	175,000		
5 OPERATING EXPENSES	40,402	48,546	480,781	495,000	495,000		
6 CONFERENCE FEES & TRAVEL	4,821	5,793	113,311	125,000	125,000		
7 PROFESSIONAL FEES AND SERVICES			16,746	15,000	15,000		
8 DATA PROCESSING							
9 CAPITAL OUTLAY			166,141	150,000	150,000		
10 CAPITAL IMPROVEMENTS							
11 DEBT SERVICE							
12 FUND TRANSFERS, REFUNDS AND INVESTMENTS							
13							
14							
15							
16							
17 TOTAL APPROPRIATION	\$182,224	\$218,957	\$1,573,000	\$1,600,000	\$1,650,000	\$0	\$0
18 PRIOR YEAR FUND BALANCE***							
19 LOCAL CASH FUNDS	76,784	102,000		1,400,000	1,400,000		
20 FEDERAL CASH FUNDS	105,440	116,957		200,000	250,000		
21 OTHER CASH FUNDS							
22 TOTAL INCOME	\$182,224	\$218,957		\$1,600,000	\$1,650,000	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED 2007-09	REQUESTED	RECOMMENDED	LEGISLATIVE RECOMMENDATION	
				2009-11	2009-11	2009-11	2010-11
REGULAR POSITIONS	19	19	26	26	26		
TOBACCO POSITIONS							
EXTRA HELP **	50	50	50	50	50		

FORM 09-5

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

ARKANSAS ENVIRONMENTAL ACADEMY
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2007-08:						<u>6</u>
(As of November 1, 2007)						
Nonclassified Administrative Employees:						
White Male:	<u>1</u>	Black Male:	<u> </u>	Other Male:	<u> </u>	Total Male: <u>1</u>
White Female:	<u> </u>	Black Female:	<u> </u>	Other Female:	<u> </u>	Total Female: <u>0</u>
Nonclassified Health Care Employees:						
White Male:	<u> </u>	Black Male:	<u> </u>	Other Male:	<u> </u>	Total Male: <u>0</u>
White Female:	<u> </u>	Black Female:	<u> </u>	Other Female:	<u> </u>	Total Female: <u>0</u>
Classified Employees:						
White Male:	<u> </u>	Black Male:	<u> </u>	Other Male:	<u> </u>	Total Male: <u>0</u>
White Female:	<u>2</u>	Black Female:	<u> </u>	Other Female:	<u> </u>	Total Female: <u>2</u>
Faculty:						
White Male:	<u>2</u>	Black Male:	<u> </u>	Other Male:	<u> </u>	Total Male: <u>2</u>
White Female:	<u>1</u>	Black Female:	<u> </u>	Other Female:	<u> </u>	Total Female: <u>1</u>
Total White Male:	<u>3</u>	Total Black Male:	<u>0</u>	Total Other Male:	<u>0</u>	Total Male: <u>3</u>
Total White Female:	<u>3</u>	Total Black Female:	<u>0</u>	Total Other Female:	<u>0</u>	Total Female: <u>3</u>
Total White:	<u>6</u>	Total Black:	<u>0</u>	Total Other:	<u>0</u>	Total Employees: <u>6</u>
				Total Minority:	<u>0</u>	

FORM 09-8

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Southern Arkansas University Tech Arkansas Fire Training Academy

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

The mission of the Arkansas Fire Training Academy is to provide quality training and certification for fire and related emergency service programs to the Arkansas Fire Service in an effective and efficient manner. The Academy is committed to providing quality, training programs to fire service personnel throughout the state through various technologies and methodologies to meet the needs of the fire service. The Academy is also committed to certifying fire service personnel throughout the state who meet or exceed the certification requirements of the applicable National Fire Protection Association (NFPA) Professional Qualification for Fire Service Personnel. In addition, the Academy shall support fire departments by maintaining the State's National Fire Incident Reporting System thereby allowing participating departments to become eligible to receive specific federal grants. Within its resources, the Academy will accomplish its mission by offering on-campus training and certification services and off-campus training and certification services by direct deliveries, by regional deliveries, by alternative delivery methodologies, and through support services.

The Arkansas Fire Training Academy recently purchased approximately 105 acres of land adjacent to its existing 20-acre site. The additional acreage will afford the Academy the ability to expand its training programs and facilities to meet the needs of the fire service in Arkansas. The plans for future expansion include: a model fire station, a driving range for driver/operator courses, a field for Aircraft Rescue Firefighting (ARFF) classes, and industrial field, a dorm/cafeteria facility to house and feed approximately 100 students, a hazardous materials drill field, a rescue technician drill field, and an exterior gas-burning props field.

The program goal for the Arkansas Fire Training Academy is to provide on-campus and off-campus training programs to Arkansas fire service personnel that meet the identified needs such as entry-level firefighters. The Academy will continue providing training programs at the Camden campus as well as its sites in Jonesboro and Lincoln. In addition to providing training programs at the Academy sites, the Academy will continue its outreach program by conducting training programs at local fire departments and other local sites throughout the state. Another initiative of the Arkansas Fire Training Academy is to provide support to local fire departments participating in the National Fire Incident Reporting System (NFIRS). The Academy will continue to dedicate personnel and physical resources to the administration of this program. Local fire departments benefit when participating in the NFIRS program by being eligible to receive federal grants.

**INSTITUTION APPROPRIATION SUMMARY
2009-11 BIENNIUM**

INSTITUTION ARKANSAS FIRE ACADEMY

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2007-08		2008-09		2008-09		2009-10				2010-11			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	1,856,566		1,637,381		1,896,624		1,941,940		1,928,802		2,000,199		1,963,312	
2 CASH	236,743		373,880		2,541,000		3,000,000		3,000,000		3,200,000		3,200,000	
3														
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$2,093,309	49	\$2,011,261	50	\$4,437,624	67	\$4,941,940	67	\$4,928,802	67	\$5,200,199	67	\$5,163,312	67
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*		0%		0%				0%		0%		0%		0%
13 GENERAL REVENUE	1,772,272	84%	1,553,066	77%			1,857,625	38%	1,844,487	37%	1,915,884	37%	1,878,997	36%
14 EDUCATIONAL EXCELLENCE TRUST FUND	87,917	4%	84,315	4%			84,315	2%	84,315	2%	84,315	2%	84,315	2%
15 WORKFORCE 2000		0%		0%				0%		0%		0%		0%
16 CASH FUNDS	236,743	11%	373,880	19%			2,500,000	51%	2,500,000	51%	2,700,000	52%	2,700,000	52%
17 SPECIAL REVENUES		0%		0%				0%		0%		0%		0%
18 FEDERAL FUNDS		0%		0%			500,000	10%	500,000	10%	500,000	10%	500,000	10%
19 TOBACCO SETTLEMENT FUNDS		0%		0%				0%		0%		0%		0%
20 OTHER FUNDS**	20,227	1%		0%				0%		0%		0%		0%
21 TOTAL INCOME	\$2,117,159	100%	\$2,011,261	100%			\$4,941,940	100%	\$4,928,802	100%	\$5,200,199	100%	\$5,163,312	100%
22 EXCESS (FUNDING)/APPROPRIATION	(\$23,850)		\$0				\$0		\$0		\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2008:	\$880,242
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$37,139
INVENTORIES	\$30,896
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	\$1,138
INSURANCE DEDUCTIBLES	\$46,000
MAJOR CRITICAL SYSTEMS FAILURES	\$50,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$234,000
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	\$50,000
OTHER (FOOTNOTE BELOW)	\$21,819
UNRESERVED EDUCATIONAL & GENEREAL FUND BALANCE	\$409,250

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**Vehicle transfer from Motor Vehicle Pool

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2009-11 BIENNIUM (Non-Formula Entities)**

ARKANSAS FIRE ACADEMY

NAME OF INSTITUTION

EXPENDITURE CATEGORIES	2007-08 ACTUAL	2008-09 BUDGETED *	2009-11 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS			
			2009-10		2010-11	
			REQUEST	RECOMMENDATION	REQUEST	RECOMMENDATION
1 INSTRUCTION	1,179,041	1,160,078	1,342,214	1,334,636	1,382,481	1,361,205
2 INSTITUTIONAL SUPPORT	566,641	531,531	614,983	611,512	633,434	623,685
3 STUDENT SERVICES	185,284	179,789	208,017	206,842	214,257	210,960
4 PHYSICAL PLANT M&O	503,995	139,863	161,822	160,908	166,676	164,111
5						
6						
7						
8						
9						
10						
11						
12						
13 MANDATORY TRANSFERS						
14 AUXILIARY TRANSFERS						
15 NON-MANDATORY TRANSFERS						
16 TOTAL UNREST. E&G EXP.	\$2,434,961	\$2,011,261	\$2,327,036	\$2,313,898	\$2,396,848	\$2,359,961
17 NET LOCAL INCOME	361,808	373,880	385,096	385,096	396,649	396,649
18 PRIOR YEAR BALANCE***	192,737					
STATE FUNDS:						
19 GENERAL REVENUE	1,772,272	1,553,066	1,857,625	1,844,487	1,915,884	1,878,997
20 EDUCATIONAL EXCELLENCE	87,917	84,315	84,315	84,315	84,315	84,315
21 WORKFORCE 2000						
22 TOBACCO SETTLEMENT FUNDS						
23 OTHER STATE FUNDS **	20,227					
24 TOTAL SOURCES OF INCOME	\$2,434,961	\$2,011,261	\$2,327,036	\$2,313,898	\$2,396,848	\$2,359,961

FORM 09-2A

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in a

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**Vehicle transfer from Motor Vehicle Pool

**ESTIMATED UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND
CASH INCOME FOR THE 2009-11 BIENNIUM**

ARKANSAS FIRE ACADEMY

(NAME OF INSTITUTION)

SOURCE	ACTUAL 2007-08	BUDGETED 2008-09	ESTIMATED INCOME	
			2009-10	2010-11
1 TUITION AND MANDATORY FEES	219,763	308,480	317,734	327,266
2 ALL OTHER FEES				
3 OFF-CAMPUS CREDIT				
4 NON-CREDIT INSTRUCTION				
5 ORGANIZED ACTIVITIES RELATED TO EDUCATIONAL DEPARTMENTS	118,846	65,400	67,362	69,383
6 INVESTMENT INCOME	23,199			
7 OTHER CASH INCOME:				
8 TOTAL UNRESTRICTED CURRENT FUND CASH INCOME	361,808	373,880	385,096	396,649
9 LESS: TWO-YEAR COLLEGE ACTIVITY FEES				
10 NET UNRESTRICTED CURRENT FUND CASH INCOME AVAILABLE FOR UNRESTRICTED EDUCATIONAL AND GENERAL OPERATIONS	\$361,808	\$373,880	\$385,096	\$396,649

FORM 09-3

**APPROPRIATION ACT FORM - STATE TREASURY - AHECB RECOMMENDATION
2009-11 BIENNIUM**

FUND CSS0000

INSTITUTION ARKANSAS FIRE ACADEMY

APPROPRIATION 295

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	853,050	819,841	869,841	884,599	900,426		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	234,808	242,033	242,033	246,139	250,543		
5 OPERATING EXPENSES	639,536	521,289	651,244	662,293	674,143		
6 CONFERENCE FEES & TRAVEL	32,804	14,444	34,444	35,028	35,655		
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY	96,368	39,774	99,062	100,743	102,545		
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION							
11							
12							
13							
14 TOTAL APPROPRIATION	\$1,856,566	\$1,637,381	\$1,896,624	\$1,928,802	\$1,963,312	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE	1,772,272	1,553,066		1,844,487	1,878,997		
17 EDUCATIONAL EXCELLENCE TRUST FUNDS	87,917	84,315		84,315	84,315		
18 SPECIAL REVENUES * [WF2000]							
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS***	20,227						
22 TOTAL INCOME	\$1,880,416	\$1,637,381		\$1,928,802	\$1,963,312	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	(\$23,850)	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Vehicle transfer from Motor Vehicle Pool

FORM 09-4

**APPROPRIATION ACT FORM - STATE TREASURY - FLAT BUDGET
2009-11 BIENNIUM**

FUND CSS0000

INSTITUTION ARKANSAS FIRE ACADEMY

APPROPRIATION 295

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	853,050	819,841	869,841	819,841	819,841		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	234,808	242,033	242,033	242,033	242,033		
5 OPERATING EXPENSES	639,536	521,289	651,244	521,289	521,289		
6 CONFERENCE FEES & TRAVEL	32,804	14,444	34,444	14,444	14,444		
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY	96,368	39,774	99,062	39,774	39,774		
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION							
11							
12							
13							
14 TOTAL APPROPRIATION	\$1,856,566	\$1,637,381	\$1,896,624	\$1,637,381	\$1,637,381	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE	1,772,272	1,553,066		1,553,066	1,553,066		
17 EDUCATIONAL EXCELLENCE TRUST FUNDS	87,917	84,315		84,315	84,315		
18 SPECIAL REVENUES * [WF2000]							
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS***	20,227						
22 TOTAL INCOME	\$1,880,416	\$1,637,381		\$1,637,381	\$1,637,381	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	(\$23,850)	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Vehicle transfer from Motor Vehicle Pool

FORM 09-4

**APPROPRIATION ACT FORM - STATE TREASURY - 7% REDUCTION
2009-11 BIENNIUM**

FUND CSS0000

INSTITUTION ARKANSAS FIRE ACADEMY

APPROPRIATION 295

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	853,050	819,841	869,841	764,487	764,487		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	234,808	242,033	242,033	236,498	236,498		
5 OPERATING EXPENSES	639,536	521,289	651,244	482,289	482,289		
6 CONFERENCE FEES & TRAVEL	32,804	14,444	34,444	10,618	10,618		
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY	96,368	39,774	99,062	34,774	34,774		
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION							
11							
12							
13							
14 TOTAL APPROPRIATION	\$1,856,566	\$1,637,381	\$1,896,624	\$1,528,666	\$1,528,666	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE	1,772,272	1,553,066		1,444,351	1,444,351		
17 EDUCATIONAL EXCELLENCE TRUST FUNDS	87,917	84,315		84,315	84,315		
18 SPECIAL REVENUES * [WF2000]							
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS***	20,227						
22 TOTAL INCOME	\$1,880,416	\$1,637,381		\$1,528,666	\$1,528,666	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	(\$23,850)	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** Vehicle transfer from Motor Vehicle Pool

FORM 09-4

**APPROPRIATION ACT FORM - CASH FUNDS
2009-11 BIENNium**

FUND 2170000

INSTITUTION ARKANSAS FIRE ACADEMY

APPROPRIATION A66

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	85,690	135,327	558,525	600,000	650,000		
2 EXTRA HELP WAGES			76,775	100,000	100,000		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	2,280	3,601	165,883	175,000	200,000		
5 OPERATING EXPENSES	39,054	61,677	865,006	850,000	875,000		
6 CONFERENCE FEES & TRAVEL	187	500	166,559	175,000	175,000		
7 PROFESSIONAL FEES AND SERVICES	2,500	3,000	277,955	150,000	150,000		
8 DATA PROCESSING							
9 CAPITAL OUTLAY	107,032	169,775	382,170	400,000	400,000		
10 CAPITAL IMPROVEMENTS			48,127	550,000	650,000		
11 DEBT SERVICE							
12 FUND TRANSFERS, REFUNDS AND INVESTMENTS							
13							
14							
15							
16							
17 TOTAL APPROPRIATION	\$236,743	\$373,880	\$2,541,000	\$3,000,000	\$3,200,000	\$0	\$0
18 PRIOR YEAR FUND BALANCE***							
19 LOCAL CASH FUNDS	236,743	373,880		2,500,000	2,700,000		
20 FEDERAL CASH FUNDS				500,000	500,000		
21 OTHER CASH FUNDS							
22 TOTAL INCOME	\$236,743	\$373,880		\$3,000,000	\$3,200,000	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED 2007-09	REQUESTED	RECOMMENDED	LEGISLATIVE RECOMMENDATION	
				2009-11	2009-11	2009-11	2010-11
REGULAR POSITIONS	49	50	67	67	67		
TOBACCO POSITIONS							
EXTRA HELP **	55	55	55	55	55		

FORM 09-5

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

ARKANSAS FIRE ACADEMY
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2007-08:						<u>24</u>
(As of November 1, 2007)						
Nonclassified Administrative Employees:						
White Male:	<u>2</u>	Black Male:	_____	Other Male:	_____	Total Male: <u>2</u>
White Female:	<u>1</u>	Black Female:	_____	Other Female:	_____	Total Female: <u>1</u>
Nonclassified Health Care Employees:						
White Male:	_____	Black Male:	_____	Other Male:	_____	Total Male: <u>0</u>
White Female:	_____	Black Female:	_____	Other Female:	_____	Total Female: <u>0</u>
Classified Employees:						
White Male:	<u>1</u>	Black Male:	_____	Other Male:	_____	Total Male: <u>1</u>
White Female:	<u>7</u>	Black Female:	<u>4</u>	Other Female:	_____	Total Female: <u>11</u>
Faculty:						
White Male:	<u>7</u>	Black Male:	<u>1</u>	Other Male:	<u>1</u>	Total Male: <u>9</u>
White Female:	_____	Black Female:	_____	Other Female:	_____	Total Female: <u>0</u>
Total White Male:	<u>10</u>	Total Black Male:	<u>1</u>	Total Other Male:	<u>1</u>	Total Male: <u>12</u>
Total White Female:	<u>8</u>	Total Black Female:	<u>4</u>	Total Other Female:	<u>0</u>	Total Female: <u>12</u>
Total White:	<u>18</u>	Total Black:	<u>5</u>	Total Other:	<u>1</u>	Total Employees: <u>24</u>
				Total Minority:	<u>6</u>	

FORM 09-8

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SOUTHEAST ARKANSAS COLLEGE

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

I. **Introduction:** Southeast Arkansas College agrees that strategies for the implementation of its goals and objectives are tantamount to its success. This Strategic Plan sets forth as simply as possible its goals and objectives for credit and non-credit programs. Through the delivery of its courses or collection of courses that make up its various curricula, Southeast Arkansas College fulfills its mission. Its goals and objectives have been simplified for the general utilization of this Plan, because the emphasis is on its strategies. This is appropriate, for in the continuum of the strategies lies the challenge of successfully carrying out the goals and objectives and, thus, the mission statement.

The greatest challenge that confronts Southeast Arkansas College is the sequestering of adequate funds to provide quality education to its constituents. Being the fifth worst funded per FTE two-year college in the state among 22 other two-year colleges, makes the lack of funding a serious threat to the future success of the College. Couple this with the fact that SEARK College is one of six remaining colleges with no local support gives rise to a real sense of urgency. With inadequate funding comes the necessity of depleting financial reserves. This can only continue for a limited period of time. Also, students should not have to bear more than 20% - 30% of the cost of their education. The recent increase of Southeast Arkansas College's tuition by 39% and more recently an \$18.00 tuition increase may create limited access for some of our constituents. With concomitant fees being raised, the cost of education will soon be beyond the reach of the average student.

The College is proud of its past accomplishments. The College has set forth many exemplary programs and has maintained excellence as a top priority. However, asking employees to make special sacrifices because funds are not available to provide adequate staffing, can only eventually deplete the ranks of quality personnel. It is with pride that we present our institutional goals for the Biennium 2009-2011. We hope that legislators in the new legislative session will create a strategy by which funding for two-year colleges in Arkansas will be equalized.

II. **Mission Statement:** The mission of Southeast Arkansas College is to provide comprehensive community college education and services, with an emphasis on technical education and workforce development for the citizens of

SOUTHEAST ARKANSAS COLLEGE

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

Jefferson, Cleveland, Desha, Drew, Grant, and Lincoln counties. These educational programs and services include technical career education, workforce development, university transfer education, general education, adult education, continuing education, and community services.

III. Institutional Goals and Purposes (Primary Goals)

The purposes and specific components associated with the College Mission Statement are:

- a. To provide access to quality community college education at a reasonable cost for all individuals within the service area regardless of age, sex, race, color, religion, national origin, or handicap.
- b. To provide college-level career courses and programs of up to two years in length to prepare students for mid-level employment as skilled workers, technicians, and paraprofessionals.
- c. To provide a general studies core of university transfer courses and programs of up to two years in length at the lower division undergraduate level for students who wish to transfer to other institutions to pursue baccalaureate degrees.
- d. To provide a program of general education relevant to the socio-economic needs of students and the requirements for success in the educational major.
- e. To provide developmental education courses and programs for students who need to improve basic academic skills to strengthen their potential for success in college.
- f. To provide customized training courses and programs for business, industry and government to assist in updating, upgrading and cross-training their employees.
- g. To provide specialized pre-employment and job training courses and programs to meet the new, expanding or replacement employment needs of service area employers.
- h. To offer adult education courses and programs for students who need to improve their literacy, basic life skills and/or obtain their high school equivalency diploma (GED).
- i. To offer continuing education courses and programs to meet the lifelong learning needs and interests of the service area.

SOUTHEAST ARKANSAS COLLEGE

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

- j. To offer community service courses, programs and activities to enhance the civic and cultural life of the service area.
- k. To provide increased access to advanced higher education by making available the facilities of the college for the teaching of upper division undergraduate and graduate university courses.
- l. To certify the level of educational attainment and program competency achievement of graduates through the awarding of associate degrees, diplomas and certificates.
- m. To serve as a valuable employment resource for area business, industry and government to draw upon for competent middle manpower level employees.
- n. To serve as a valued community leader, partner and team member in the workforce and economic development of the service area.
- o. To serve as a non-partisan catalyst and conveyor on issues related to the civic, cultural and societal betterment of the communities within the service area.
- p. To provide a public service by making available the facilities of the college and the talents of its professional staff to support educational, civic, and cultural activities within the community.
- q. To advance community college education and services through applied institutional and classroom research.
- r. To provide student services, programs and extracurricular activities which will enhance the student's educational experience and success within the college.
- s. To provide administrative and business services which will enhance the student's educational experience and success within the college.
- t. To accomplish each of the above goals in the most efficient and economical manner compatible with quality offerings.

IV. Program and Program Definitions

Credit Program: Credit programs are those courses and programs that result in transcript credit which may be transferred to another college or university to become part of additional higher education pursuits or culminate in a degree or technical certificate.

SOUTHEAST ARKANSAS COLLEGE

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

Goal 1 * To increase the number of graduates and transfer students who are competent and ready to compete at universities or in a technical and industrialized economy.

* **Objective 1:** To provide students with an integration of academic and technical education through which they may become competent in communications, critical thinking, science, mathematics, technology, and global awareness.

* **Strategies:**

1. Provide outstanding faculty who understand and utilize various learning styles to improve instruction.
2. Provide services, tutorial, and developmental opportunities that are designed to assist student learning.
3. Provide state-of-the-art equipment to sponsor student success in a competitive global economy.
4. Provide a diversified course delivery system including traditional classes, off-campus traditional classes, interactive video classes, telecourses, and Internet courses which provides access to all constituents.
5. Provide specific course requirements that reflect the six areas of broad competencies as demonstrated in course syllabi, i.e., communications, critical thinking, science, mathematics, technology, and global awareness.
6. Provide assessment strategies that provide feedback on success and make appropriate changes in the curriculum to improve student performance.

* **Objective 2:** To provide an atmosphere that promotes learning and educational access.

* **Strategies:**

1. Provide modern and attractive facilities and campus that enhance learning.
2. Provide additional land by which the College can expand and provide access to a growing student population.
3. Provide outstanding student-centered staff.
4. Provide professional development to all employees to ensure best practices.

SOUTHEAST ARKANSAS COLLEGE

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

5. Provide an atmosphere of excellence through assessment and accreditation strategies that monitor and continually improve institutional effectiveness while promoting excellence in all programs.
6. Provide partnerships and coalitions that strengthen the institution's ability to carry out its mission.

Non Credit Program: Non-credit programs are those courses or programs designed to teach skills necessary in business and industry to assist employees in succeeding in current jobs or competing for new ones and to instruct interested citizens in acquiring skills that meet vocational goals.

Goal 2 * To provide pre-employment training, customized training courses, and continuing education courses to business, industry, government, and the community.

* **Objective I:** To identify the training needs of business and industry and the continuing education interests of the community and to respond effectively to those needs.

* **Strategies:**

1. Provide ACT Work Keys and other strategies that enable workforce development personnel to identify the academic and technical skills needed to perform essential job-related tasks.
2. Provide computers-on-wheels to do mobile training at industry and business sites.
3. Provide interest surveys that capture the hobby and skills interests of senior citizens and other community members; design and market similar courses.
4. Provide partnerships and coalitions that strengthen the institution's ability to carry out its mission.

* **Objective 2:** To provide an atmosphere that promotes industrial and business readiness and a learning environment that stimulates educational and special interest activities.

SOUTHEAST ARKANSAS COLLEGE

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

*** Strategies:**

1. Provide ACT Work Keys and other strategies that enable workforce development personnel to identify the academic and technical skills needed to perform essential job-related tasks.
2. Provide computers-on-wheels to do mobile training at industry and business sites.
3. Provide interest surveys that capture the hobby and skills interests of senior citizens and other community members; design and market similar courses.
4. Provide partnerships and coalitions that strengthen the institution's ability to carry out its mission.

*** Objective 2:** To provide an atmosphere that promotes industrial and business readiness and a learning environment that stimulates educational and special interest activities.

*** Strategies:**

1. Provide outstanding business and industry trainers who understand and blend various learning styles and technical knowledge to customize training in meeting individual company needs.
2. Provide services that make enrollment for skills training and continuing education courses easy and conducive to the business, industrial, and community environments.

**INSTITUTION APPROPRIATION SUMMARY
2009-11 BIENNIUM**

INSTITUTION SOUTHEAST ARKANSAS COLLEGE

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2007-08		2008-09		2008-09		2009-10				2010-11			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	7,037,746		7,901,029		\$9,107,922		9,109,836		7,884,442		9,357,928		8,122,339	
2 CASH	\$12,225,584		\$10,952,139		32,450,000		39,675,652		39,675,652		39,675,652		39,675,652	
3														
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$19,263,330	208	\$18,853,168	356	\$41,557,922	363	\$48,785,488	363	\$47,560,094	363	\$49,033,580	363	\$47,797,991	363
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*	3,238,458	17%	5,622,033	30%				0%		0%		0%		0%
13 GENERAL REVENUE	5,439,921	28%	5,515,032	29%			7,345,030	15%	6,119,636	13%	7,593,122	15%	6,357,533	13%
14 EDUCATIONAL EXCELLENCE TRUST FUND		0%		0%				0%		0%		0%		0%
15 WORKFORCE 2000	1,785,140	9%	1,764,806	9%			1,764,806	4%	1,764,806	4%	1,764,806	4%	1,764,806	4%
16 CASH FUNDS	4,019,125	21%	3,679,626	20%			37,675,652	77%	37,675,652	79%	37,675,652	77%	37,675,652	79%
17 SPECIAL REVENUES		0%		0%				0%		0%		0%		0%
18 FEDERAL FUNDS	4,968,001	0	2,271,671	12%			2,000,000	4%	2,000,000	4%	2,000,000	4%	2,000,000	4%
19 TOBACCO SETTLEMENT FUNDS		0%		0%				0%		0%		0%		0%
20 OTHER FUNDS		0%		0%				0%		0%		0%		0%
21 TOTAL INCOME	\$19,450,645	100%	\$18,853,168	100%			\$48,785,488	100%	\$47,560,094	100%	\$49,033,580	100%	\$47,797,991	100%
22 EXCESS (FUNDING)/APPROPRIATION	(\$187,315)		\$0				\$0		\$0		\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2008:	\$1,633,131
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$444,989
INVENTORIES	
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	\$17,448
MAJOR CRITICAL SYSTEMS FAILURES	\$200,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$900,305
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$70,389

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2009-11 BIENNIUM**

SOUTHEAST ARKANSAS COLLEGE

NAME OF INSTITUTION

EXPENDITURE CATEGORIES	2007-08 ACTUAL	2008-09 BUDGETED *	2009-11 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS	
			2009-10 REQUEST / RECOMMENDATION	2010-11 REQUEST / RECOMMENDATION
1 INSTRUCTION	4,651,386	4,890,847	5,100,000	5,251,965
2 RESEARCH				
3 PUBLIC SERVICE				
4 ACADEMIC SUPPORT	665,955	719,467	850,000	850,000
5 STUDENT SERVICES	851,458	890,465	1,000,000	1,000,000
6 INSTITUTIONAL SUPPORT	2,373,529	2,902,467	3,100,000	3,100,000
7 PHYSICAL PLANT M&O	950,861	932,122	1,100,000	1,100,000
8 SCHOLARSHIPS & FELLOWSHIPS	101,627	128,710		
9				
10				
11				
12				
13 MANDATORY TRANSFERS				
14 AUXILIARY TRANSFERS				
15 NON-MANDATORY TRANSFERS	1,740,074			
16 TOTAL UNREST. E&G EXP.	\$11,334,890	\$10,464,078	\$11,150,000	\$11,301,965
17 NET LOCAL INCOME	\$3,524,637	3,179,626	3,179,626	3,179,626
18 PRIOR YEAR BALANCE***	585,192	4,614	85,932	
STATE FUNDS:				
19 GENERAL REVENUE	5,439,921	5,515,032	6,119,636	6,357,533
20 EDUCATIONAL EXCELLENCE				
21 WORKFORCE 2000	1,785,140	1,764,806	1,764,806	1,764,806
22 TOBACCO SETTLEMENT FUNDS				
23 OTHER STATE FUNDS **				
24 TOTAL SOURCES OF INCOME	\$11,334,890	\$10,464,078	\$11,150,000	\$11,301,965

FORM 09-2

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**ESTIMATED UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND
CASH INCOME FOR THE 2009-11 BIENNIUM**

SOUTHEAST ARKANSAS COLLEGE

(NAME OF INSTITUTION)

SOURCE	ACTUAL 2007-08	BUDGETED 2008-09	ESTIMATED INCOME	
			2009-10	2010-11
1 TUITION AND MANDATORY FEES	2,694,913	3,179,626	3,179,626	3,179,626
2 ALL OTHER FEES	299,558			
3 OFF-CAMPUS CREDIT				
4 NON-CREDIT INSTRUCTION	40,218			
5 ORGANIZED ACTIVITIES RELATED TO EDUCATIONAL DEPARTMENTS				
6 INVESTMENT INCOME	373,729			
7 OTHER CASH INCOME:	116,219			
8 TOTAL UNRESTRICTED CURRENT FUND CASH INCOME	3,524,637	3,179,626	3,179,626	3,179,626
9 LESS: TWO-YEAR COLLEGE ACTIVITY FEES				
10 NET UNRESTRICTED CURRENT FUND CASH INCOME AVAILABLE FOR UNRESTRICTED EDUCATIONAL AND GENERAL OPERATIONS	\$3,524,637	\$3,179,626	\$3,179,626	\$3,179,626

FORM 09-3

**APPROPRIATION ACT FORM - STATE TREASURY - AHECB RECOMMENDATION
2009-11 BIENNIUM**

FUND CTT0000

INSTITUTION SOUTHEAST ARKANSAS COLLEGE

APPROPRIATION 1XD

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	5,005,836	5,200,100	6,265,050	6,000,000	6,000,000		
2 EXTRA HELP WAGES	554,964	950,000	950,000	950,000	950,000		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	1,476,946	1,750,929	1,892,872	934,342	1,172,239		
5 OPERATING EXPENSES				100	100		
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY							
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION							
11							
12							
13							
14 TOTAL APPROPRIATION	\$7,037,746	\$7,901,029	\$9,107,922	\$7,884,442	\$8,122,339	\$0	\$0
15 PRIOR YEAR FUND BALANCE**		621,191					
16 GENERAL REVENUE	5,439,921	5,515,032		6,119,636	6,357,533		
17 EDUCATIONAL EXCELLENCE TRUST FUND							
18 SPECIAL REVENUES * [WF2000]	1,785,140	1,764,806		1,764,806	1,764,806		
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS							
22 TOTAL INCOME	\$7,225,061	\$7,901,029		\$7,884,442	\$8,122,339	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	(\$187,315)	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM 09-4

**APPROPRIATION ACT FORM - STATE TREASURY - FLAT BUDGET
2009-11 BIENNIUM**

FUND CTT0000

INSTITUTION SOUTHEAST ARKANSAS COLLEGE

APPROPRIATION 1XD

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	5,005,836	5,200,100	6,265,050	6,000,000	6,120,162		
2 EXTRA HELP WAGES	554,964	950,000	950,000	79,838	79,838		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	1,476,946	1,750,929	1,892,872	1,199,900	1,079,738		
5 OPERATING EXPENSES				100	100		
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY							
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION							
11							
12							
13							
14 TOTAL APPROPRIATION	\$7,037,746	\$7,901,029	\$9,107,922	\$7,279,838	\$7,279,838	\$0	\$0
15 PRIOR YEAR FUND BALANCE**		621,191					
16 GENERAL REVENUE	5,439,921	5,515,032		5,515,032	5,515,032		
17 EDUCATIONAL EXCELLENCE TRUST FUND							
18 SPECIAL REVENUES * [WF2000]	1,785,140	1,764,806		1,764,806	1,764,806		
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS							
22 TOTAL INCOME	\$7,225,061	\$7,901,029		\$7,279,838	\$7,279,838	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	(\$187,315)	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM 09-4

**APPROPRIATION ACT FORM - STATE TREASURY - 7% REDUCTION
2009-11 BIENNIUM**

FUND CTT0000

INSTITUTION SOUTHEAST ARKANSAS COLLEGE

APPROPRIATION 1XD

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	5,005,836	5,200,100	6,265,050	5,999,800	6,199,800		
2 EXTRA HELP WAGES	554,964	950,000	950,000	100	100		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	1,476,946	1,750,929	1,892,872	893,786	693,786		
5 OPERATING EXPENSES				100	100		
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY							
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION							
11							
12							
13							
14 TOTAL APPROPRIATION	\$7,037,746	\$7,901,029	\$9,107,922	\$6,893,786	\$6,893,786	\$0	\$0
15 PRIOR YEAR FUND BALANCE**		621,191					
16 GENERAL REVENUE	5,439,921	5,515,032		5,128,980	5,128,980		
17 EDUCATIONAL EXCELLENCE TRUST FUND							
18 SPECIAL REVENUES * [WF2000]	1,785,140	1,764,806		1,764,806	1,764,806		
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS							
22 TOTAL INCOME	\$7,225,061	\$7,901,029		\$6,893,786	\$6,893,786	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	(\$187,315)	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM 09-4

**APPROPRIATION ACT FORM - CASH FUNDS
2009-11 BIENNIUM**

FUND 2910000

INSTITUTION SOUTHEAST ARKANSAS COLLEGE

APPROPRIATION B65

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	692,189	623,215	1,632,320	832,320	832,320		
2 EXTRA HELP WAGES	261,296	56,711	1,000,000	800,000	800,000		
3 OVERTIME			200,000				
4 PERSONAL SERVICES MATCHING	236,558	181,256	718,332	343,332	343,332		
5 OPERATING EXPENSES	5,917,750	2,832,665	7,000,000	7,000,000	7,000,000		
6 CONFERENCE FEES & TRAVEL	148,821	166,698	400,000	100,000	100,000		
7 PROFESSIONAL FEES AND SERVICES	563,118	542,394	922,400	5,000,000	5,000,000		
8 DATA PROCESSING							
9 CAPITAL OUTLAY	137,388	406,949	5,376,948	400,000	400,000		
10 CAPITAL IMPROVEMENTS	2,528,390	6,142,251	14,000,000	4,000,000	4,000,000		
11 DEBT SERVICE							
12 FUND TRANSFERS, REFUNDS AND INVESTMENT	1,740,074		1,200,000	1,200,000	1,200,000		
13							
14							
15							
16 CONTINGENCY				20,000,000	20,000,000		
17 TOTAL APPROPRIATION	\$12,225,584	\$10,952,139	\$32,450,000	\$39,675,652	\$39,675,652	\$0	\$0
18 PRIOR YEAR FUND BALANCE***	3,238,458	5,000,842					
19 LOCAL CASH FUNDS	3,524,637	3,179,626		3,179,626	3,179,626		
20 FEDERAL CASH FUNDS	4,968,001	2,271,671		2,000,000	2,000,000		
21 OTHER CASH FUNDS	494,488	500,000		34,496,026	34,496,026		
22 TOTAL INCOME	\$12,225,584	\$10,952,139		\$39,675,652	\$39,675,652	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED 2007-09	REQUESTED	RECOMMENDED	LEGISLATIVE RECOMMENDATION	
				2009-11	2009-11	2009-11	2010-11
REGULAR POSITIONS	208	356	363	363	363		
TOBACCO POSITIONS							
EXTRA HELP **	83	200	200	200	200		

FORM 09-5

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

SOUTHEAST ARKANSAS COLLEGE

(NAME OF INSTITUTION)

Not Applicable

ACTIVITY	ACTUAL 2007-08				BUDGETED 2008-09			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *				0				0
2 RESIDENCE HALL				0				0
3 MARRIED STUDENT HOUSING				0				0
4 FACULTY HOUSING				0				0
5 FOOD SERVICES				0				0
6 COLLEGE UNION				0				0
7 BOOKSTORE				0				0
8 STUDENT ORGANIZATIONS AND PUBLICATIONS				0				0
9 STUDENT HEALTH SERVICES				0				0
10 OTHER				0				0
11 SUBTOTAL	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
12 ATHLETIC TRANSFER **				0				0
13 OTHER TRANSFERS ***				0				0
14 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM 09-6

** For both two-year and four-year institutions, line 12, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 13, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

SOUTHEAST ARKANSAS COLLEGE
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2007-08:						<u>124</u>
(As of November 1, 2007)						
Nonclassified Administrative Employees:						
White Male:	<u>9</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>9</u>
White Female:	<u>7</u>	Black Female:	<u>4</u>	Other Female:	<u>0</u>	Total Female: <u>11</u>
Nonclassified Health Care Employees:						
White Male:	<u>2</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>2</u>
White Female:	<u>10</u>	Black Female:	<u>10</u>	Other Female:	<u>0</u>	Total Female: <u>20</u>
Classified Employees:						
White Male:	<u>6</u>	Black Male:	<u>7</u>	Other Male:	<u>0</u>	Total Male: <u>13</u>
White Female:	<u>19</u>	Black Female:	<u>17</u>	Other Female:	<u>0</u>	Total Female: <u>36</u>
Faculty:						
White Male:	<u>12</u>	Black Male:	<u>1</u>	Other Male:	<u>1</u>	Total Male: <u>14</u>
White Female:	<u>13</u>	Black Female:	<u>5</u>	Other Female:	<u>1</u>	Total Female: <u>19</u>
Total White Male:	<u>29</u>	Total Black Male:	<u>8</u>	Total Other Male:	<u>1</u>	Total Male: <u>38</u>
Total White Female:	<u>49</u>	Total Black Female:	<u>36</u>	Total Other Female:	<u>1</u>	Total Female: <u>86</u>
Total White:	<u>78</u>	Total Black:	<u>44</u>	Total Other:	<u>2</u>	Total Employees: <u>124</u>
				Total Minority:	<u>46</u>	

FORM 09-8

DIVISION OF LEGISLATIVE AUDIT
AUDIT OF Southeast Arkansas College
June 30, 2007

<p><i>Finding:</i></p>	<p>Data entry fields should employ edit rules that prevent acceptance of erroneous data.</p> <p>Nine out of twenty data entry fields tested in the Colleague Payroll Application failed to detect or prevent erroneous data input. Notably, the system does not incorporate proper range limits over numeric and date fields; the system accepts negative amounts for savings direct deposit deductions, effectively adding to employee pay; and the audit flag may be removed for bank account number changes.</p> <p>The absence of effective edit controls increases the likelihood of data inaccuracies and anomalies, inaccurate transaction processing and misstated reporting.</p>
<p><i>Recommendation:</i></p>	<p>That Southeast Arkansas College work with the application vendor to conduct a review of field edit rules, and strengthen integrity checks at data entry points within the application.</p>
<p><i>Institution's Response:</i></p>	<p>The Information Systems office will work with the Office of Fiscal Affairs (Business Office) and the College President to prepare a written letter to Datatel reporting these audit findings and requesting a response regarding what they plan to do to address this situation.</p>
<p><i>Finding:</i></p>	<p>Information system control standards dictate that personnel with the ability to change data in a system should not be able to change program logic.</p> <p>Proper segregation of functions has not been achieved in the application vendor programmers, on-site programmers and security administrators have user accounts in the production system and have the ability to change data in the software application and perform program code changes.</p> <p>This access to both program logic and application data files violates industry standards and affords the opportunity to process and conceal unauthorized transactions.</p>

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<i>Recommendation:</i>	That on-site programmers and security administrators be given limited and closely-monitored access to production data.
<i>Institution's Response:</i>	<p>This situation is primarily due to malfunctions in the TEST account of Colleague Release 17. A new Release 18 TEST account has been installed and is functional, but the Release 18 LIVE version has not yet been installed. This installation is scheduled for May 2008. Our standard past practice has been to code the changes in the TEST account and thoroughly test it for correctness and completeness before moving it to the LIVE account. This practice will be re-instated when the new LIVE account has been installed.</p> <p>In addition, the Information Systems Director will review the access privileges on these systems with the goal of fully correcting the problem noted above. However, due to the lack of staffing and the nature of the Colleague system, total compliance may be difficult to achieve.</p> <p>Also, the Information Systems office will implement all reasonable solutions to track changes in the system, including audit logging.</p>
<i>Finding:</i>	<p>Application users should only be assigned the data access necessary for their job.</p> <p>Security access assigned within the Student Billing application violates proper segregation of duties. Business office users' security access combines privileged access to A/R, Cash Receipting and G/L; Purchasing & A/P (including vendor file update); and Payroll, A/R & G/L update.</p> <p>This could afford users the ability to process and conceal unauthorized transactions, leading to possible misappropriation or theft of College funds.</p>
<i>Recommendation:</i>	That the Southeast Arkansas College Business Office, in coordination with the security administrator, review and revise security access assigned within the Student Billing application, synchronizing access privileges with actual job roles and restricting access to only that necessary for users to perform their job functions.

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<i>Institution's Response:</i>	The Information Systems office will review the security settings in Colleague with the Office of Fiscal Affairs (Business Office) in order to mitigate the segregation of duties situation mentioned above in the payroll and all related areas. However, due to the lack of adequate staffing and the shortcomings of the Colleague security system this situation may not be fully resolved. We will make use of temporary privileges as much as possible to assist in resolving this problem with the goal of achieving 100% resolution.
<i>Finding:</i>	Data center administrative and environmental controls are not considered adequate to protect critical network components and the data warehouse. There is no written policy for dealing with fire emergencies and no smoke detectors, fire suppression or alarm systems are in place within the data center to protect sensitive electronic equipment. Further, humidity levels are not monitored and the electrical power backup systems are not adequate.
<i>Recommendation:</i>	That management determine if the cost of purchasing administrative and environmental controls in the Data Center to prevent damage to electronic equipment and loss of processing capability outweighs the risk of losing computer-processing abilities.
<i>Institution's Response:</i>	<p>The IT Director will coordinate with the Physical Plant Director to assess our needs and find the proper solution for implementation, taking the building structure and unique needs of the electronic equipment into consideration.</p> <p>A generator for the Data Center has been discussed, and the cost vs. benefits will be further investigated for possible acquisition and implementation.</p>
<i>Finding:</i>	Network intrusion scanning revealed that software updates and security patches have not been properly maintained, and that known vulnerable services have not been disabled, resulting in some system identification and login credentials being viewable to external parties. Network scanning is not included in network administration procedures to monitor activity and possible vulnerabilities. This increases the probability of outside infiltration of the network and misappropriation or theft of College funds.
<i>Recommendation:</i>	That Southeast Arkansas College incorporate network intrusion scanning in

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	their administrative responsibilities and review current software and services running on the network to ensure that updates and patches are brought current, and that vulnerabilities are corrected.
<i>Institution's Response:</i>	A complete review of these services and network users will be performed, and offending services will be immediately addressed. Contact with D.I.S. will be needed to ascertain the extent of the College's rights to scan its own network externally without legal reprisal. If D.I.S. can assist, their services will be engaged to periodically test network security.
<i>Finding:</i>	Third party contract service providers are not held accountable to management, as the IS department has not properly limited software vendor and consultant access to financial data or properly monitored or reviewed service activities performed by third parties.
<i>Recommendation:</i>	That vendor programmer and consultant access to production data be better controlled by IS/IT management, and that third party activities be formally logged and monitored for improved accountability.
<i>Institution's Response:</i>	The Information Systems office has established a file to record which vendor has been provided access to our system and for what purpose. This log records vendor, date, technician name, and purpose. This is provided for the Windows Remote Desktop access point only. The Information Systems office will work with the Information Technology office as needed to improve this process and mitigate this problem.
<i>Finding:</i>	Southeast Arkansas College does not have a formal process in place for the tracking and monitoring of changes to the network firewall and operating system, increasing the risk of data corruption and the misappropriation or theft of College funds.
<i>Recommendation:</i>	The Southeast Arkansas College IT staff develop a formal system for the tracking and monitoring of changes to network devices.
<i>Institution's Response:</i>	Only the IT Staff has access to the firewall to make changes. The previous firewall was deficient in the fact that it required root to be run, and had no

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	capacity for user accounts. The new firewall appliance allows for user accounts for the IT staff, and work performed on it is to be done by use of individual accounts. A report may be generated and monitored that gives information regarding the activity of the users. Further network monitoring software and hardware is being investigated and assessed for implementation.
<i>Finding:</i>	Backup retention periods for critical system and operational data, and corresponding off-site storage practices, are not adequate to enable both short and long-term recovery in the event of a system outage. These conditions could impede restoration of processing capability if a system failure should occur.
<i>Recommendation:</i>	That Southeast Arkansas College develop an adequate backup retention policy and that copies of all periodic backups be stored at a secure off-site location.
<i>Institution's Response:</i>	Currently two annual backups (calendar year end and fiscal year end) are stored in a safety deposit box off-site, and bi-weekly full backups are stored on-site in another building, within a fire safe which is within the vault. These will be moved to off-site storage within the safety deposit box instead. The State recommendation will be reviewed and adapted to be sure that the College is in compliance.
<i>Finding:</i>	A formal, documented and approved disaster recovery plan has not been developed or tested. Business continuity plans have not been defined as a basis for disaster recovery planning. Without adequate planning, a disaster or major interruption could result in the extended loss of computer processing abilities, and undue financial and personnel burdens on College resources.
<i>Recommendation:</i>	That Business Continuity and Disaster Recovery Plans be developed, documented, approved by management and tested on a periodic basis. A copy of these plans should be stored at a secure off-site location.
<i>Institution's Response</i>	IT will collect the current plans and coordinate with other departments to draft a formal plan to be adopted and stored off-site. Many departments have preliminary plans, but it can be developed in greater detail. A schedule for

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	testing will also be arranged with all departments to make changes as needed, and to ensure the plan's continued viability.
<i>Finding:</i>	Proper password account lockout controls are not established, password requirements do not meet minimum industry standards, and proper encryption of passwords is not employed. Excessive administrator level IDs are active within both applications, increasing the likelihood of an unauthorized user gaining system access.
<i>Recommendation:</i>	That Southeast Arkansas College strengthen data access security controls to prevent unauthorized access to applications.
<i>Institution's Response:</i>	<p>Release 17 of Colleague has not required password changes every 90 days. However, Release 17 is being phased out as Release 18 is scheduled for installation during May 2008. Release 18 will utilize Oracle Internet Directory (OID) services for user authentication. OID will allow for the expiration of passwords. Therefore, we fully expect that this problem with Colleague will be resolved with Release 18 (May 2008). Note that the Release 18 installation was originally scheduled for November 2007, but due to technical difficulties it was postponed.</p> <p>Prior to November of 2007, SEARK College did not have a standardized implementation of Active Directory. Passwords were kept as a list and did not expire; they were changed when the user contacted IT, or when the need arose. In November of 2007 a professional services company was contracted to standardize our Active Directory Implementation and unify the domains under one.</p> <p>Passwords are required to be changed after initial login, minimum of 8 characters, alphanumeric, cannot be re-used for 6 cycles, account lockout is now in use, and failed attempts will result in a 30 minute logout, and password encryption has been implemented.</p>
<i>Finding:</i>	Security access assigned within all applications reviewed violates proper segregation of duties, as business office user security combines privileged access to A/R, Cash Receipting and G/L; Purchasing & A/P (including vendor

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	file update); and Payroll, A/R & G/L processing. Further, SEARK programmers and security administrators have user accounts in both applications that afford virtually unlimited update access. Failure to properly assign or limit access permissions affords users the ability to process and conceal unauthorized transactions.
<i>Recommendation:</i>	That Southeast Arkansas College review and restructure job roles to incorporate proper segregation of duties and limit system access to only that necessary for users to perform their job functions.
<i>Institution's Response:</i>	<p>The Information Systems office will review the security settings in Colleague with the Office of Fiscal Affairs (Business Office) in order to mitigate the segregation of duties situation mentioned above in the payroll and all related areas. However, due to the lack of adequate staffing and the shortcomings of the Colleague security system this situation may not be fully resolved. We will make use of temporary privileges as much as possible to assist in resolving this problem with the goal of achieving 100% resolution.</p> <p>As outlined in the analysis of segregation of duties prepared in conjunction with the financial statement auditors, the business office has manual practices and management reviews to ensure proper segregation of duties. Further, we will review and update security settings as outlined in IS response above.</p>
<i>Finding:</i>	Application software program change controls are not adequate. Programming changes are not properly tracked and monitored, changes made directly in the production system without proper testing; and source code is not properly archived for version control and protected from unauthorized changes. Proper program change controls should be established for the protection of data integrity and the prevention of lost or corrupted data.
<i>Recommendation:</i>	That Southeast Arkansas College develop a formal system for the approval and tracking of programming changes. We recommend that access to Colleague application source code be closely secured and properly archived. Southeast Arkansas College should implement a change control structure to prevent unauthorized and untested changes from entering the production system.

DIVISION OF LEGISLATIVE AUDIT
AUDIT OF Southeast Arkansas College
June 30, 2007

<i>Institution's Response</i>	<p>In reference to source code, the Information Systems staff has already (prior to the 3/31/2008 exit conference) centralized all code changes to one location. A control file has been established that documents who made what changes to what file. This will be updated as code changes are made in the future. However, current practice is to minimize the need for such code changes and this practice will continue to stay in force.</p> <p>In addition, a work order system is under development that will enable users to submit requested changes to the IS staff. As currently planned, this system will track supervisory approval, assignment to IS staff, status, and other related information.</p>
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UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT BATESVILLE

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

I. Institutional Mission Statement

The University of Arkansas Community College at Batesville (the "College"), a comprehensive community college, provides learning experiences to improve the lives of those we serve. The College is dedicated to serving the diverse, higher educational needs of the citizens in North Central Arkansas. The College provides access to affordable education by offering college transfer and technical education programs for students at the certificate and associate degree levels. The College also promotes economic development and an enhanced quality of life for the community through adult education, developmental education, customized business and industrial training, and continuing education programs.

The College seeks to continuously identify and respond to the diverse educational needs of students, the workforce, and the community.

The College values:

- Students and the College's role in assisting each student to identify, refine, and meet his or her educational and career goals.
- Providing activities and experiences that strengthen and enrich our community.
- Providing people opportunities that enhance their quality of life.

II. Institutional Goals

The college has two primary institutional goals. The first is to promote student success through providing quality, accessible, and affordable student-centered learning experiences.

The second is meeting community needs through community based programs to encourage economic development and community service.

UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT BATESVILLE

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

The College seeks to meet the goal to promote student success by providing quality, accessible, and affordable student-centered learning experiences through two objectives: providing quality curricula and instruction at appropriate levels using multiple delivery methods and supporting opportunities for success of students, faculty, and staff.

The objective to provide quality curricula and instruction at appropriate levels using multiple delivery methods consists of two strategies. The College evaluates curricula and programs, including delivery options, on an on-going basis. The College encourages students to complete coursework that provides learning experiences appropriate to various levels of preparedness. There are also two strategies designed to facilitate opportunities for success of students, faculty, and staff. The College provides support services that enhance student academic achievement and professional development opportunities for faculty and staff. The College maintains affordability through effective and efficient use of resources. This strategy includes striving to maintain the low cost of attendance by utilizing county sales tax revenues with a minimal increase in student tuition.

The College seeks to attain the goal of meeting community needs through community-based programs which will encourage economic development and community service through two objectives. The first objective is to educate a workforce that will be prepared to expand existing business and industry in addition to attracting new business and industry, which includes both credit and non-credit coursework. The second objective is to involve students, faculty, and staff in community service.

The College utilizes two strategies in educating a workforce that will be prepared to expand existing business and industry as well as attracting new business and industry. The College identifies needs and provides relevant educational programs through partnerships with business, industry, government and non-profit organizations. The College also addresses opportunities for economic development of the community, region, and state through collaborative efforts.

III. Programs and Program Definitions

UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT BATESVILLE

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

The College continues to offer strong general education/transfer and technical programs. During the last biennium, the College initiated two new technical programs. The LPN to RN program, offered online, is the first in the state to be delivered in this format; the first class graduated in December 2008. Due to the increased demand by TAA students, the College received permission from the State Board of Nursing to increase its Practical Nursing program by an additional 25 seats, for a total of 75 students each year. The additional class was a result of a partnership between the College and the Arkansas Area Health Education Center (AHEC).

The Aviation Maintenance program has experienced sufficient growth to offer both a day and a night option. The program currently has 35 students enrolled. The class will participate in commencement exercises in May 2008.

The College's Nursing and Allied Health Division is exploring possible opportunities to offer an AASN degree that would allow select students to obtain the RN degree in a more effective and time sensitive manner. The fall of 2009 is a target date for the beginning of the potential program.

The Arts and Humanities Division currently administers our concurrent enrollment program. From 2008-2010, accreditation from the National Alliance of Concurrent Partnerships (NACEP) will be sought.

An Associate degree in Entrepreneurship will be offered in the spring of 2009 through the Division of Business, Technology and Public Service. This degree is a result of partnership agreement between select Arkansas two-year colleges with support from Department of Higher Education and state wide economic development groups.

Additional expansion in online courses has continued in the College stand alone courses as well as participation in the University of Arkansas Online Consortium. Over 80 online or hybrid courses are supported by the College.

The College will continue to grow these programs and is expanding other programs to meet the area's need for high-wage, high-skill, and high-demand jobs. The areas of entrepreneurship, alternative fuels, welding, and bank teller will provide opportunities for area residents.

UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT BATESVILLE

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

In order to improve the area's college going rate, the College has worked with area high schools to encourage course articulations into technical programs such as medical professions, early childhood, and CIW. The College has partnered with six of its service area high schools to implement the Project College Bound program. The Career Readiness Certificate has been implemented by the College and work with local businesses and the Department of Workforce Services to market the program has begun. Financial aid workshops for the general public will continue to be offered to help educate the public on the availability of aid for college students.

Over half of the College's students pursue general education coursework with the intention of transferring to a four-year college. The College will continue to expand its online course offerings to facilitate this demand.

The College will continue to work with the Batesville Chamber of Commerce to recruit new industry, meet the workforce needs of existing industry and train workers in transition from one profession to another.

IV. Strategies

The College internally evaluates academic achievement and program effectiveness in connection with the College's assessment plan. Data is collected from current students, alumni and employers to ensure that programs are providing rigorous and appropriate training. The College also monitors its enrollment, retention and graduation data to be sure that students are progressing through programs to the point of becoming employable.

The College participates in the Community College Survey of Student Engagement (CCSSE). This program allows the college to participate in a national benchmarking process. The results assist in comparing the college to national norms, existing trends and comprehensive institutional performance.

Strategic planning continues to be an on-going process.

**INSTITUTION APPROPRIATION SUMMARY
2009-11 BIENNIUM**

INSTITUTION UNIV OF AR COMMUNITY COLLEGE AT BATESVILLE

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2007-08		2008-09		2008-09		2009-10				2010-11			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	4,813,625		4,697,657		4,869,805		6,799,653		5,286,276		6,967,415		5,494,746	
2 CASH	4,945,427		6,913,756		24,000,000		35,780,000		35,780,000		38,180,000		38,180,000	
3 WORKFORCE 2000														
4 LOCAL SALES TAX														
5 OTHER SOURCES														
6 OTHER (TYPE APPROPRIATION NAME)														
7 OTHER (TYPE APPROPRIATION NAME)														
8 OTHER (TYPE APPROPRIATION NAME)														
9														
10														
11 TOTAL	\$9,759,052	175	\$11,611,413	187	\$28,869,805	298	\$42,579,653	272	\$41,066,276	272	\$45,147,415	272	\$43,674,746	272
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*		0%		0%				0%		0%		0%		0%
13 GENERAL REVENUE	3,894,117	40%	3,923,222	34%			6,025,218	14%	4,511,841	11%	6,192,980	14%	4,720,311	11%
14 EDUCATIONAL EXCELLENCE TRUST FUND		0%		0%				0%		0%		0%		0%
15 WORKFORCE 2000	783,358	8%	774,435	7%			774,435	2%	774,435	2%	774,435	2%	774,435	2%
16 CASH FUNDS	4,881,996	50%	6,913,756	60%			35,780,000	84%	35,780,000	87%	38,180,000	85%	38,180,000	87%
17 SPECIAL REVENUES		0%		0%				0%		0%		0%		0%
18 FEDERAL FUNDS		0%		0%				0%		0%		0%		0%
19 TOBACCO SETTLEMENT FUNDS		0%		0%				0%		0%		0%		0%
20 OTHER FUNDS	136,150	1%		0%				0%		0%		0%		0%
21 TOTAL INCOME	\$9,695,621	100%	\$11,611,413	100%			\$42,579,653	100%	\$41,066,276	100%	\$45,147,415	100%	\$43,674,746	100%
22 EXCESS (FUNDING)/APPROPRIATION	\$63,431		\$0				\$0		\$0		\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2008:	\$1,769,432
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$313,007
INVENTORIES	\$212,332
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	\$311,243
INSURANCE DEDUCTIBLES	\$60,000
MAJOR CRITICAL SYSTEMS FAILURES	\$260,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$1,087,368
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	(\$474,518)

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**Line 20 Other Funds: GIF \$136,150

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2009-11 BIENNIUM**

UNIV OF AR COMMUNITY COLLEGE AT BATESVILLE
NAME OF INSTITUTION

EXPENDITURE CATEGORIES	2007-08 ACTUAL	2008-09 BUDGETED *	2009-11 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS	
			2009-10 REQUEST / RECOMMENDATION	2010-11 REQUEST / RECOMMENDATION
1 INSTRUCTION	3,331,653	3,731,153	4,081,297	4,245,002
2 RESEARCH		0		
3 PUBLIC SERVICE		0		
4 ACADEMIC SUPPORT	1,039,466	1,214,055	1,327,987	1,381,253
5 STUDENT SERVICES	726,568	820,920	897,958	933,976
6 INSTITUTIONAL SUPPORT	1,269,953	1,454,633	1,591,140	1,654,962
7 PHYSICAL PLANT M&O	631,200	1,003,088	1,097,221	1,141,232
8 SCHOLARSHIPS & FELLOWSHIPS	157,328	160,000	170,000	180,000
9 DEBT SERVICE	508,756	508,756	500,000	490,000
10 BOND ADMINISTRATION FEE	2,200			
11 OTHER ENTITY				
12 OTHER ENTITY				
13 MANDATORY TRANSFERS				
14 AUXILIARY TRANSFERS	839,982			
15 NON-MANDATORY TRANSFERS	1,273,952			
16 TOTAL UNREST. E&G EXP.	\$9,781,058	\$8,892,605	\$9,665,603	\$10,026,425
17 NET LOCAL INCOME	4,889,689	4,227,765	4,379,327	4,531,679
18 PRIOR YEAR BALANCE***	85,437			
STATE FUNDS:				
19 GENERAL REVENUE	3,894,117	3,923,222	4,511,841	4,720,311
20 EDUCATIONAL EXCELLENCE				
21 WORKFORCE 2000	783,358	774,435	774,435	774,435
22 TOBACCO SETTLEMENT FUNDS				
23 OTHER STATE FUNDS **	136,150			
24 TOTAL SOURCES OF INCOME	\$9,788,751	\$8,925,422	\$9,665,603	\$10,026,425

FORM 09-2

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**Line 23 Other State Funds: GIF \$136,150

**ESTIMATED UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND
CASH INCOME FOR THE 2009-11 BIENNIUM**

UNIV OF AR COMMUNITY COLLEGE AT BATESVILLE _____
(NAME OF INSTITUTION)

SOURCE	ACTUAL 2007-08	BUDGETED 2008-09	ESTIMATED INCOME	
			2009-10	2010-11
1 TUITION AND MANDATORY FEES	2,524,739	2,822,065	2,906,727	2,993,929
2 ALL OTHER FEES				
3 OFF-CAMPUS CREDIT				
4 NON-CREDIT INSTRUCTION	81,533	70,000	75,000	80,000
5 ORGANIZED ACTIVITIES RELATED TO EDUCATIONAL DEPARTMENTS				
6 INVESTMENT INCOME	68,187	35,000	35,350	35,500
7 OTHER CASH INCOME: SALES TAX AND MISC*	2,215,230	1,300,700	1,362,250	1,422,250
8 TOTAL UNRESTRICTED CURRENT FUND CASH INCOME	4,889,689	4,227,765	4,379,327	4,531,679
9 LESS: TWO-YEAR COLLEGE ACTIVITY FEES				
10 NET UNRESTRICTED CURRENT FUND CASH INCOME AVAILABLE FOR UNRESTRICTED EDUCATIONAL AND GENERAL OPERATIONS	\$4,889,689	\$4,227,765	\$4,379,327	\$4,531,679

FORM 09-3

*SALES TAX AND MISC

**APPROPRIATION ACT FORM - STATE TREASURY - AHECB RECOMMENDATION
2009-11 BIENNIUM**

FUND CTG0000

INSTITUTION UNIV OF AR COMMUNITY COLLEGE AT BATESVILLE

APPROPRIATION 1RT

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	3,915,782	3,959,568	3,959,568	4,500,000	4,650,000		
2 EXTRA HELP WAGES	150,000	150,000	150,000	100,000	100,000		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	747,843	571,719	743,867	681,776	739,746		
5 OPERATING EXPENSES							
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY							
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION		16,370	16,370	4,500	5,000		
11							
12							
13							
14 TOTAL APPROPRIATION	\$4,813,625	\$4,697,657	\$4,869,805	\$5,286,276	\$5,494,746	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE	3,894,117	3,923,222		4,511,841	4,720,311		
17 EDUCATIONAL EXCELLENCE TRUST FUND							
18 SPECIAL REVENUES * [WF2000]	783,358	774,435		774,435	774,435		
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS	136,150						
22 TOTAL INCOME	\$4,813,625	\$4,697,657		\$5,286,276	\$5,494,746	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**Line 21 Other State Funds: GIF \$136,150

**APPROPRIATION ACT FORM - STATE TREASURY - FLAT BUDGET
2009-11 BIENNIUM**

FUND CTG0000

INSTITUTION UNIV OF AR COMMUNITY COLLEGE AT BATESVILLE

APPROPRIATION 1RT

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	3,915,782	3,959,568	3,959,568	3,959,568	3,959,568		
2 EXTRA HELP WAGES	150,000	150,000	150,000	150,000	150,000		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	747,843	571,719	743,867	571,719	571,719		
5 OPERATING EXPENSES							
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY							
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION		16,370	16,370	16,370	16,370		
11							
12							
13							
14 TOTAL APPROPRIATION	\$4,813,625	\$4,697,657	\$4,869,805	\$4,697,657	\$4,697,657	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE	3,894,117	3,923,222		3,923,222	3,923,222		
17 EDUCATIONAL EXCELLENCE TRUST FUND							
18 SPECIAL REVENUES * [WF2000]	783,358	774,435		774,435	774,435		
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS	136,150						
22 TOTAL INCOME	\$4,813,625	\$4,697,657		\$4,697,657	\$4,697,657	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**Line 21 Other State Funds: GIF \$136,150

FORM 09-4

**APPROPRIATION ACT FORM - STATE TREASURY - 7% REDUCTION
2009-11 BIENNIUM**

FUND CTG0000

INSTITUTION UNIV OF AR COMMUNITY COLLEGE AT BATESVILLE

APPROPRIATION 1RT

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	3,915,782	3,959,568	3,959,568	3,634,458	3,675,991		
2 EXTRA HELP WAGES	150,000	150,000	150,000	100,000	100,000		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	747,843	571,719	743,867	681,776	639,743		
5 OPERATING EXPENSES							
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY							
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION		16,370	16,370	4,500	5,000		
11							
12							
13							
14 TOTAL APPROPRIATION	\$4,813,625	\$4,697,657	\$4,869,805	\$4,420,734	\$4,420,734	\$0	\$0
15 PRIOR YEAR FUND BALANCE**	0						
16 GENERAL REVENUE	3,894,117	3,923,222		3,648,596	3,648,596		
17 EDUCATIONAL EXCELLENCE TRUST FUND							
18 SPECIAL REVENUES * [WF2000]	783,358	774,435		774,435	774,435		
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS	136,150						
22 TOTAL INCOME	\$4,813,625	\$4,697,657		\$4,423,031	\$4,423,031	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		-\$2,297	-\$2,297	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**Line 21 Other State Funds: GIF \$136,150

FORM 09-4

**APPROPRIATION ACT FORM - CASH FUNDS
2009-11 BIENNIUM**

FUND 2790000

INSTITUTION UNIV OF AR COMMUNITY COLLEGE AT BATESVILLE

APPROPRIATION B39

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	733,234	850,000	4,681,800	4,900,000	5,200,000		
2 EXTRA HELP WAGES	25,626	150,000	750,000	500,000	600,000		
3 OVERTIME			25,000	5,000	5,000		
4 PERSONAL SERVICES MATCHING	1,099,626	1,200,000	1,800,500	3,000,000	3,500,000		
5 OPERATING EXPENSES	2,392,797	2,500,000	6,542,700	6,000,000	7,000,000		
6 CONFERENCE FEES & TRAVEL	156,234	170,000	250,000	350,000	450,000		
7 PROFESSIONAL FEES AND SERVICES	29,154	35,000	250,000	325,000	425,000		
8 DATA PROCESSING		1,000,000	1,000,000	1,000,000	1,000,000		
9 CAPITAL OUTLAY			3,700,000	5,000,000	5,000,000		
10 CAPITAL IMPROVEMENTS				2,500,000	2,500,000		
11 DEBT SERVICE	508,756	508,756	1,000,000	1,000,000	1,000,000		
12 FUND TRANSFERS, REFUNDS AND INVESTMENT	0	500,000	4,000,000	1,000,000	1,000,000		
13							
14							
15							
16 CONTINGENCY				10,200,000	10,500,000		
17 TOTAL APPROPRIATION	\$4,945,427	\$6,913,756	\$24,000,000	\$35,780,000	\$38,180,000	\$0	\$0
18 PRIOR YEAR FUND BALANCE***	0						
19 LOCAL CASH FUNDS	3,950,971	4,227,765		4,379,327	4,531,679		
20 FEDERAL CASH FUNDS							
21 OTHER CASH FUNDS	931,025	2,685,991		31,400,673	33,648,321		
22 TOTAL INCOME	\$4,881,996	\$6,913,756		\$35,780,000	\$38,180,000	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$63,431	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED 2007-09	REQUESTED	RECOMMENDED	LEGISLATIVE RECOMMENDATION	
				2009-11	2009-11	2009-11	2010-11
REGULAR POSITIONS	175	187	298	272	272		
TOBACCO POSITIONS							
EXTRA HELP **	86	86	100	100	100		

FORM 09-5

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

UNIV OF AR COMMUNITY COLLEGE AT BATESVILLE
(NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2007-08				BUDGETED 2008-09			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *				0				0
2 RESIDENCE HALL				0				0
3 MARRIED STUDENT HOUSING				0				0
4 FACULTY HOUSING				0				0
5 FOOD SERVICES	59,260	104,866		(45,606)	50,000	99,067		(49,067)
6 COLLEGE UNION				0				0
7 BOOKSTORE	850,822	723,731		127,091	815,000	724,933		90,067
8 STUDENT ORGANIZATIONS AND PUBLICATIONS				0				0
9 STUDENT HEALTH SERVICES				0				0
10 OTHER	20,943	11,385		9,558	20,572	8,700		11,872
11 SUBTOTAL	\$931,025	\$839,982	\$0	\$91,043	\$885,572	\$832,700	\$0	\$52,872
12 ATHLETIC TRANSFER **				0				0
13 OTHER TRANSFERS ***				0				0
14 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$931,025	\$839,982	\$0	\$91,043	\$885,572	\$832,700	\$0	\$52,872

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM 09-6

** For both two-year and four-year institutions, line 12, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 13, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

UNIV OF AR COMMUNITY COLLEGE AT BATESVILLE
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2007-08:						<u>174</u>
(As of November 1, 2007)						
Nonclassified Administrative Employees:						
White Male:	<u>9</u>	Black Male:	<u> </u>	Other Male:	<u> </u>	Total Male: <u>9</u>
White Female:	<u>15</u>	Black Female:	<u>1</u>	Other Female:	<u> </u>	Total Female: <u>16</u>
Nonclassified Health Care Employees:						
White Male:	<u> </u>	Black Male:	<u> </u>	Other Male:	<u> </u>	Total Male: <u>0</u>
White Female:	<u> </u>	Black Female:	<u> </u>	Other Female:	<u> </u>	Total Female: <u>0</u>
Classified Employees:						
White Male:	<u>8</u>	Black Male:	<u>1</u>	Other Male:	<u> </u>	Total Male: <u>9</u>
White Female:	<u>39</u>	Black Female:	<u> </u>	Other Female:	<u> </u>	Total Female: <u>39</u>
Faculty:						
White Male:	<u>43</u>	Black Male:	<u> </u>	Other Male:	<u>1</u>	Total Male: <u>44</u>
White Female:	<u>55</u>	Black Female:	<u>1</u>	Other Female:	<u>1</u>	Total Female: <u>57</u>
Total White Male:						<u>60</u>
Total White Female:						<u>109</u>
Total Black Male:						<u>1</u>
Total Black Female:						<u>2</u>
Total Other Male:						<u>1</u>
Total Other Female:						<u>1</u>
Total White:						<u>169</u>
Total Black:						<u>3</u>
Total Other:						<u>2</u>
Total Minority:						<u>5</u>
Total Employees:						<u>174</u>

FORM 09-8

DIVISION OF LEGISLATIVE AUDIT
AUDIT OF University of Arkansas Community College – Batesville
June 30, 2007

<i>Finding:</i>	No findings noted
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University of Arkansas Community College at Hope

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

The mission of the University of Arkansas Community College at Hope is to serve as an accredited, open access, two-year institution of higher education committed to providing academic, occupational, personal growth, and cultural programs to support individual student and community needs in the Southwest Arkansas area.

To continue the process of moving towards the accomplishment of the institutional mission, the following strategic goals and objectives have guided the development of the 2009-11 appropriation request:

Goal 1: Increase overall enrollment and graduation rates in all degree and certificate programs by 5% over a 5 year period.

Objective 1: Increase graduation rates for all degree and certificate programs by 1% for each year over a 5 year period.

Strategy 1: Market current degree programs

Strategy 2: Evaluate low-enrollment programs

Strategy 3: Increase distance education course offerings

Objective 2: Increase by 2% per year the enrollment of area high school graduates.

Strategy 1: Increase number of mailings to service area high school seniors and their parents

Strategy 2: Cultivate and maintain close relationships with area high school counselors and other school administrators.

Strategy 3: Sponsor competitions and events for area high school students.

Strategy 4: Expand the opportunities for concurrent enrollment in college classes for area high school students.

Strategy 5: Increase the number of articulation agreements with area high schools.

Strategy 6: Increase faculty and staff participation in the recruitment process.

Objective 3: Increase by 2% per year the enrollment of non-traditional students.

Strategy 1: Assess the educational needs of area businesses and industries.

University of Arkansas Community College at Hope

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

Strategy 2: Assess the educational needs of area adult education programs.

Strategy 3: Assess the educational needs of area adult education programs.

Goal 2: Offer programs that ensure employability and further educational opportunity.

Objective 1: Develop a minimum of one new program that will specifically address an employment need in SW Arkansas during the next 3 years

Strategy 1: Seek and develop new programs

Strategy 2: Increase distance education course offerings

Goal 3: Improve the educational attainment of students who place into pre-college classes

Objective 1: The percentage of students who place into pre-college courses and complete a 2 year degree within 4 years will increase by 2% per year.

Strategy 1: Develop learning communities by pairing pre-college courses

Strategy 2: Evaluate the effectiveness of the method of instruction in pre-college courses

Strategy 3: Monitor course assessment for those objectives that have lower levels of success

Strategy 4: Have more faculty trained to teach unprepared learners

Strategy 5: Use established developmental education best-practices as established by research.

Goal 4: Develop services that aid students' understanding and appreciation of higher education.

Objective 1: Continue to recruit and enroll first generation college students and provide the support and programs they need to succeed.

Strategy 1: Communicate and expose youth to the value of higher education, and share career resources with public school students.

University of Arkansas Community College at Hope

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

Objective 2: Increase the number of first generation college students who graduate from UACCH by 2% annually.

Strategy 1: Develop learning communities by class or program.

Objective 3: Continue to provide support services that meet the unique needs of all students, particularly at-risk students.

Strategy 1: Provide staff training on how to support learners.

Goal 5: Provide services that meet the needs of students and other constituents

Objective 1: Make the enrollment process simple, convenient, and customer friendly for new and returning students

Strategy 1: Implement and maintain the new Campus Connect product

Strategy 2: Improve the new student orientation program.

Objective 2: Educate service area community of the availability and process of obtaining financial aid.

Strategy 1: Provide financial aid workshops.

Objective 3: Provide career guidance, counseling and placement for UACCH students.

Strategy 1: Offer career and aptitude assessments.

Strategy 2: Provide career counseling workshops.

Strategy 3: Increase utilization of E-Campus Recruiter use by 5% per year.

Goal 6: To help employees appreciate the value of a student-first approach on campus by having a basic working knowledge of the college and its departmental functions.

Objective 1: Student-first approach and attitude in day-to-day activities

Strategy 1: Educate employees and heighten awareness of the need for a student-first campus

University of Arkansas Community College at Hope

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

- Objective 2: All UACCH employees will have a basic knowledge of the college and be able to answer general questions from the public pertaining to the institution and its functions
- Strategy 1: Make employees aware of the various departments and their workings
 - Strategy 2: Keep employees abreast (aware) of current campus activities and general college information
- Objective 3: Current and future employees will carry out their job in a manner that ensures quality to our students and campus visitors
- Strategy 1: To hire employees who are uniquely-qualified and credentialed in their respective areas
 - Strategy 2: To help current and future employees understand how their position contributes to the overall success of UACCH
 - Strategy 3: To annually evaluate all employees' job performance

Goal 7: Construction of new facilities

- Objective 1: Secure funding for construction of new facilities
- Strategy 1: Complete Science & Allied Health facility
- Objective 2: Construct new administrative office building
- Strategy 1: Corporate and private donations
- Objective 3: Community center
- Strategy 1: Partner with city of Hope
- Objective 4: Campus master plan infrastructure

Goal 8: Offset declining resources

- Objective 1: Increase operational revenues by 5% per year

University of Arkansas Community College at Hope

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

- Strategy 1: Donations to offset operational revenues
- Strategy 2: Increase state revenues
- Strategy 3: Increase federal funding
- Strategy 4: Increase enrollment by 2%.
- Strategy 5: Increase retention rate to national average

Goal 9: Increase by 10% community outreach and cultural awareness programs to enhance opportunities for life-long learning, economic, and partnership development

- Objective 1: Increase or refine life-long learning opportunities
 - Strategy 1: Focus on K through 12 arena opportunities
 - Strategy 2: Traditional/Non traditional student area
 - Strategy 3: Lifelong and cultural arena
- Objective 2: Economic Development Engine
 - Strategy 1: Training for existing workforce
 - Strategy 2: New and Developing Industry
 - Strategy 3: Awareness of Workforce Training Needs
- Objective 3: Increase institutional participation in community organizations/events by 5% annually
 - Strategy 1: Increase institutional and individual staff memberships in community service organizations and involvement in community service activities
- Objective 4: Increase foundation assets by 10% annually
 - Strategy 1: Develop former student advisory council
 - Strategy 2: Increase foundation assets and activity
 - Strategy 3: Be THE leader in community development and progress

**INSTITUTION APPROPRIATION SUMMARY
2009-11 BIENNIUM**

INSTITUTION UA COMMUNITY COLLEGE AT HOPE

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2007-08		2008-09		2008-09		2009-10				2010-11			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	6,199,311		6,148,927		7,872,556		6,456,113		6,456,113		6,602,948		6,602,948	
2 CASH	3,524,874		12,049,640		12,049,640		12,099,578		12,099,578		12,151,016		12,151,016	
3														
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$9,724,185	138	\$18,198,567	142	\$19,922,196	213	\$18,555,691	213	\$18,555,691	213	\$18,753,964	213	\$18,753,964	213
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*	18,440	0%	0	0%			0	0%	0	0%	0	0%	0	0%
13 GENERAL REVENUE	4,428,859	46%	4,398,641	24%			4,785,424	26%	4,785,424	26%	4,932,259	26%	4,932,259	26%
14 EDUCATIONAL EXCELLENCE TRUST FUND		0%		0%				0%		0%		0%		0%
15 WORKFORCE 2000	1,770,452	18%	1,750,286	10%			1,750,286	9%	1,750,286	9%	1,750,286	9%	1,750,286	9%
16 CASH FUNDS	2,319,919	24%	2,370,779	13%			2,535,779	14%	2,535,779	14%	2,535,779	14%	2,535,779	14%
17 SPECIAL REVENUES		0%		0%			5,280,000	28%	5,280,000	28%	5,280,000	28%	5,280,000	28%
18 FEDERAL FUNDS	892,445	9%	4,219,875	23%				0%		0%		0%		0%
19 TOBACCO SETTLEMENT FUNDS		0%		0%				0%		0%		0%		0%
20 OTHER FUNDS	294,070	3%	5,458,986	30%			4,204,202	23%	4,204,202	23%	4,255,640	23%	4,255,640	23%
21 TOTAL INCOME	\$9,724,185	100%	\$18,198,567	100%			\$18,555,691	100%	\$18,555,691	100%	\$18,753,964	100%	\$18,753,964	100%
22 EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$0		\$0		\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2008:	\$1,259,851
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$521,607
INVENTORIES	\$8,940
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	\$52,496
INSURANCE DEDUCTIBLES	\$50,000
MAJOR CRITICAL SYSTEMS FAILURES	\$75,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$1,010,670
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	(\$458,862)

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2009-11 BIENNIUM**

UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT HOPE
NAME OF INSTITUTION

EXPENDITURE CATEGORIES	2007-08 ACTUAL	2008-09 BUDGETED *	2009-11 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS	
			2009-10 REQUEST / RECOMMENDATION	2010-11 REQUEST / RECOMMENDATION
1 INSTRUCTION	3,513,382	3,530,066	3,751,870	3,826,629
2 RESEARCH	0	0	0	0
3 PUBLIC SERVICE	210,233	170,141	197,940	201,172
4 ACADEMIC SUPPORT	508,815	321,662	386,662	392,976
5 STUDENT SERVICES	708,090	662,964	696,112	707,479
6 INSTITUTIONAL SUPPORT	1,756,738	1,898,353	1,850,011	1,880,221
7 PHYSICAL PLANT M&O	1,039,466	927,572	1,050,000	1,067,146
8 SCHOLARSHIPS & FELLOWSHIPS	359,306	336,000	300,000	300,000
9				
10				
11				
12				
13 MANDATORY TRANSFERS	578,003	593,274	759,297	763,104
14 AUXILIARY TRANSFERS				
15 NON-MANDATORY TRANSFERS				
16 TOTAL UNREST. E&G EXP.	\$8,674,033	\$8,440,032	\$8,991,892	\$9,138,727
17 NET LOCAL INCOME	2,319,919	2,370,779	2,535,779	2,535,779
18 PRIOR YEAR BALANCE***	18,440			
STATE FUNDS:				
19 GENERAL REVENUE	4,428,859	4,398,641	4,705,827	4,852,662
20 EDUCATIONAL EXCELLENCE				
21 WORKFORCE 2000	1,770,452	1,750,286	1,750,286	1,750,286
22 TOBACCO SETTLEMENT FUNDS				
23 OTHER STATE FUNDS **	136,363			
24 TOTAL SOURCES OF INCOME	\$8,674,033	\$8,519,706	\$8,991,892	\$9,138,727

FORM 09-2

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Other State Funds are Economic Incentive Grants from General Improvement Fund

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**ESTIMATED UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND
CASH INCOME FOR THE 2009-11 BIENNIUM**

UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT HOPE
(NAME OF INSTITUTION)

SOURCE	ACTUAL 2007-08	BUDGETED 2008-09	ESTIMATED INCOME	
			2009-10	2010-11
1 TUITION AND MANDATORY FEES	1,739,520	1,728,583	1,893,583	1,893,583
2 ALL OTHER FEES	158,322	103,150	115,000	115,000
3 OFF-CAMPUS CREDIT	0			
4 NON-CREDIT INSTRUCTION	12,145	12,000	12,000	12,000
5 ORGANIZED ACTIVITIES RELATED TO EDUCATIONAL DEPARTMENTS	0	0		
6 INVESTMENT INCOME	1,261	900	1,000	1,000
7 OTHER CASH INCOME:	408,671	526,146	514,196	514,196
8 TOTAL UNRESTRICTED CURRENT FUND CASH INCOME	2,319,919	2,370,779	2,535,779	2,535,779
9 LESS: TWO-YEAR COLLEGE ACTIVITY FEES				
10 NET UNRESTRICTED CURRENT FUND CASH INCOME AVAILABLE FOR UNRESTRICTED EDUCATIONAL AND GENERAL OPERATIONS	\$2,319,919	\$2,370,779	\$2,535,779	\$2,535,779

FORM 09-3

**APPROPRIATION ACT FORM - STATE TREASURY - AHECB RECOMMENDATION
2009-11 BIENNIUM**

FUND CTR0000

INSTITUTION UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT HOPE

APPROPRIATION 1BU

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	4,452,768	4,457,200	4,930,601	4,741,300	4,849,100		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	1,614,089	1,612,054	2,474,566	1,714,813	1,753,848		
5 OPERATING EXPENSES	132,454	79,673	467,389				
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY							
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION							
11							
12							
13							
14 TOTAL APPROPRIATION	\$6,199,311	\$6,148,927	\$7,872,556	\$6,456,113	\$6,602,948	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE	4,428,859	4,398,641		4,705,827	4,852,662		
17 EDUCATIONAL EXCELLENCE TRUST FUND							
18 SPECIAL REVENUES * [WF2000]	1,770,452	1,750,286		1,750,286	1,750,286		
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS							
22 TOTAL INCOME	\$6,199,311	\$6,148,927		\$6,456,113	\$6,602,948	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM 09-4

**APPROPRIATION ACT FORM - STATE TREASURY - FLAT BUDGET
2009-11 BIENNIUM**

FUND CTRO000

INSTITUTION UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT HOPE

APPROPRIATION 1BU

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	4,452,768	4,457,200	4,930,601	4,457,200	4,457,200		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	1,614,089	1,612,054	2,474,566	1,612,054	1,612,054		
5 OPERATING EXPENSES	132,454	79,673	467,389	79,673	79,673		
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY							
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION							
11							
12							
13							
14 TOTAL APPROPRIATION	\$6,199,311	\$6,148,927	\$7,872,556	\$6,148,927	\$6,148,927	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE	4,428,859	4,398,641		4,398,641	4,398,641		
17 EDUCATIONAL EXCELLENCE TRUST FUND							
18 SPECIAL REVENUES * [WF2000]	1,770,452	1,750,286		1,750,286	1,750,286		
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS							
22 TOTAL INCOME	\$6,199,311	\$6,148,927		\$6,148,927	\$6,148,927	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM 09-4

**APPROPRIATION ACT FORM - STATE TREASURY - 7% REDUCTION
2009-11 BIENNIUM**

FUND CTR0000

INSTITUTION UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT HOPE

APPROPRIATION 1BU

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	4,452,768	4,457,200	4,930,601	4,296,593	4,296,593		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	1,614,089	1,612,054	2,474,566	1,544,429	1,544,429		
5 OPERATING EXPENSES	132,454	79,673	467,389	0	0		
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY							
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION							
11							
12							
13							
14 TOTAL APPROPRIATION	\$6,199,311	\$6,148,927	\$7,872,556	\$5,841,022	\$5,841,022	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE	4,428,859	4,398,641		4,090,736	4,090,736		
17 EDUCATIONAL EXCELLENCE TRUST FUND							
18 SPECIAL REVENUES * [WF2000]	1,770,452	1,750,286		1,750,286	1,750,286		
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS							
22 TOTAL INCOME	\$6,199,311	\$6,148,927		\$5,841,022	\$5,841,022	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM 09-4

**APPROPRIATION ACT FORM - CASH FUNDS
2009-11 BIENNIUM**

FUND 2950000

INSTITUTION UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT HOPE

APPROPRIATION A98

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	199,115	978,280	978,280	750,128	772,632		
2 EXTRA HELP WAGES	37,728	150,000	150,000	150,000	150,000		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	238,926	936,360	936,360	964,450	993,384		
5 OPERATING EXPENSES	2,009,697	3,290,000	3,290,000	2,390,000	2,390,000		
6 CONFERENCE FEES & TRAVEL	140,332	220,000	220,000	170,000	170,000		
7 PROFESSIONAL FEES AND SERVICES	164,460	225,000	225,000	225,000	225,000		
8 DATA PROCESSING							
9 CAPITAL OUTLAY	148,280	500,000	500,000	500,000	500,000		
10 CAPITAL IMPROVEMENTS		4,050,000	4,050,000	3,700,000	3,700,000		
11 DEBT SERVICE	586,336	700,000	700,000	600,000	600,000		
12 FUND TRANSFERS, REFUNDS AND INVESTMENT		1,000,000	1,000,000	1,000,000	1,000,000		
13							
14							
15							
16 CONTINGENCY				1,650,000	1,650,000		
17 TOTAL APPROPRIATION	\$3,524,874	\$12,049,640	\$12,049,640	\$12,099,578	\$12,151,016	\$0	\$0
18 PRIOR YEAR FUND BALANCE***	18,440	0					
19 LOCAL CASH FUNDS	2,319,919	2,370,779		2,535,779	2,535,779		
20 FEDERAL CASH FUNDS	892,445	4,219,875		5,280,000	5,280,000		
21 OTHER CASH FUNDS	294,070	5,458,986		4,283,799	4,335,237		
22 TOTAL INCOME	\$3,524,874	\$12,049,640		\$12,099,578	\$12,151,016	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED 2007-09	REQUESTED	RECOMMENDED	LEGISLATIVE RECOMMENDATION	
				2009-11	2009-11	2009-11	2010-11
REGULAR POSITIONS	138	142	213	213	213		
TOBACCO POSITIONS							
EXTRA HELP **	200	200	200	200	200		

FORM 09-5

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT HOPE
(NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2007-08				BUDGETED 2008-09			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *				0				0
2 RESIDENCE HALL				0				0
3 MARRIED STUDENT HOUSING				0				0
4 FACULTY HOUSING				0				0
5 FOOD SERVICES				0				0
6 COLLEGE UNION				0				0
7 BOOKSTORE	44,686	419		44,267	32,000			32,000
8 STUDENT ORGANIZATIONS AND PUBLICATIONS				0				0
9 STUDENT HEALTH SERVICES				0				0
10 OTHER				0				0
11 SUBTOTAL	\$44,686	\$419	\$0	\$44,267	\$32,000	\$0	\$0	\$32,000
12 ATHLETIC TRANSFER **				0				0
13 OTHER TRANSFERS ***				0				0
14 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$44,686	\$419	\$0	\$44,267	\$32,000	\$0	\$0	\$32,000

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM 09-6

** For both two-year and four-year institutions, line 12, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 13, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

UA COMMUNITY COLLEGE AT HOPE
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2007-08:						<u>108</u>
(As of November 1, 2007)						
Nonclassified Administrative Employees:						
White Male:	<u>8</u>	Black Male:	<u>0</u>	Other Male:	<u> </u>	Total Male: <u>8</u>
White Female:	<u>10</u>	Black Female:	<u>4</u>	Other Female:	<u> </u>	Total Female: <u>14</u>
Nonclassified Health Care Employees:						
White Male:	<u> </u>	Black Male:	<u> </u>	Other Male:	<u> </u>	Total Male: <u>0</u>
White Female:	<u> </u>	Black Female:	<u> </u>	Other Female:	<u> </u>	Total Female: <u>0</u>
Classified Employees:						
White Male:	<u>12</u>	Black Male:	<u>3</u>	Other Male:	<u> </u>	Total Male: <u>15</u>
White Female:	<u>23</u>	Black Female:	<u>9</u>	Other Female:	<u> </u>	Total Female: <u>32</u>
Faculty:						
White Male:	<u>20</u>	Black Male:	<u>2</u>	Other Male:	<u> </u>	Total Male: <u>22</u>
White Female:	<u>17</u>	Black Female:	<u>0</u>	Other Female:	<u> </u>	Total Female: <u>17</u>
Total White Male:	<u>40</u>	Total Black Male:	<u>5</u>	Total Other Male:	<u>0</u>	Total Male: <u>45</u>
Total White Female:	<u>50</u>	Total Black Female:	<u>13</u>	Total Other Female:	<u>0</u>	Total Female: <u>63</u>
Total White:	<u>90</u>	Total Black:	<u>18</u>	Total Other:	<u>0</u>	Total Employees: <u>108</u>
				Total Minority:	<u>18</u>	

FORM 09-8

DIVISION OF LEGISLATIVE AUDIT
AUDIT OF University of Arkansas Community College – Hope
June 30, 2007

<i>Finding:</i>	No findings noted
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University of Arkansas Community College at Morrilton

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

Introduction

The University of Arkansas Community College at Morrilton is a two-year, public supported, open-admission commuter college, primarily serving students and communities in west central Arkansas. The College accepts the proposition that the educational attainment of individuals within a democratic society is, in large measure, responsible for the advancement of that society. Recognizing the worth and dignity of the individual, the College is committed to serving the multi-dimensional needs of its constituencies.

As a comprehensive two-year institution, UACCM provides technical, occupational, academic, and avocational opportunities enabling its students to achieve professional, occupational, and personal goals and to make significant contributions to the economic, civic, and social development of society.

Institutional Mission Statement

Through dedication to the efficient management of available resources and excellence in faculty, staff, and programs, the College has formulated the following purposes:

- To offer Associate of Applied Science degrees, the Associate of General Studies degree, technical certificates, certificates of proficiency, and occupational education for students who wish to gain competence or upgrade existing levels of competence.
- To offer the Associate of Arts degree and courses which will transfer to four-year institutions.
- To provide a general education foundation across the curriculum that will enhance the student's personal development, skills, and knowledge.
- To provide developmental education courses for students who must improve basic skills in reading, English/writing, and mathematics.

University of Arkansas Community College at Morrilton

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

- To provide admissions, advising and testing; academic, career, and personal guidance and counseling; financial aid counseling; maintenance of accurate student records; job placement assistance; and other student support services.
- To provide adult basic education programs that allow enhancement of skills for self-improvement, completion of a General Education Development (GED) diploma, or preparation for higher education endeavors.
- To offer noncredit community service and workforce development courses designed to meet a variety of occupational needs and personal interests.
- To provide a comprehensive assessment program for the purposes of improving instruction and enhancing student learning.
- To offer the facilities of the College and the talents of its staff in order to promote educational, civic, and cultural endeavors within the community.

Institutional Goals

- To maintain a small campus atmosphere while offering a variety of courses and programs which meet the needs of students and the community.
- To provide a variety of services which encourage and enable students to remain in college (i.e. financial aid, tutoring, and child care).
- To provide quality educational offerings and services at a reasonable cost; while maintaining the institution's financial stability.

University of Arkansas Community College at Morrilton

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

- To provide faculty and staff necessary to support varied courses, programs, and services.
- To provide and maintain physical resources necessary to achieve the institution's mission.

Programs and Program Definitions

Program One: Community College Educational Programs

Goal 1: To provide educational opportunities for students who wish to gain competence, upgrade existing levels of competence, or to transfer to a senior institution of higher education.

Objective 1: To offer programs of instruction which lead to the awarding of Associate degrees, technical certificates, and certificates of proficiency.

Strategy 1: Provide admissions advising and testing; academic, career, and financial counseling; maintenance of accurate student records; job placement assistance; and other student support services.

Strategy 2: Provide a general education foundation across the curriculum which will enhance the students' personal development, skills, and knowledge.

Strategy 3: Provide developmental education courses for students who must improve basic academic skills in English, reading, writing, and mathematics.

Program Two: Community Services Program

University of Arkansas Community College at Morrilton

INSTITUTIONAL GOALS, OBJECTIVES, AND STRATEGIES AS RELATED TO THE 2009-11 APPROPRIATION REQUESTS

- Goal 1: To provide services to the local community which enhance opportunities for self-improvement, and civic and cultural awareness.
- Objective 1: To offer the facilities of the College and the talents of its faculty and staff in order to promote educational, civic, and cultural endeavors within the community.
- Strategy 1: Provide customized business and industry training, which will enhance the productivity of service area companies.
- Strategy 2: Provide non-credit community service courses designed to meet a variety of occupational needs and personal interests.
- Strategy 3: Provide cultural opportunities which are open to the public.

**INSTITUTION APPROPRIATION SUMMARY
2009-11 BIENNIUM**

INSTITUTION UA COMMUNITY COLLEGE MORRILTON

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2007-08		2008-09		2008-09		2009-10				2010-11			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	5,701,406		5,769,627		5,959,648		7,951,427		6,422,891		8,152,093		6,657,751	
2 CASH	5,703,037		6,755,800		23,820,000		23,820,000		23,820,000		23,820,000		23,820,000	
3														
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$11,404,443	206	\$12,525,427	209	\$29,779,648	261	\$31,771,427	268	\$30,242,891	268	\$31,972,093	268	\$30,477,751	268
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*		0%		0%				0%		0%		0%		0%
13 GENERAL REVENUE	4,533,938	40%	4,615,974	37%			6,797,774	21%	5,269,238	17%	6,998,440	22%	5,504,098	18%
14 EDUCATIONAL EXCELLENCE TRUST FUND		0%		0%				0%		0%		0%		0%
15 WORKFORCE 2000	1,166,945	10%	1,153,653	9%			1,153,653	4%	1,153,653	4%	1,153,653	4%	1,153,653	4%
16 CASH FUNDS	5,299,909	46%	6,005,800	48%			23,070,000	73%	23,070,000	76%	23,070,000	72%	23,070,000	76%
17 SPECIAL REVENUES		0%		0%				0%		0%		0%		0%
18 FEDERAL FUNDS	403,128	4%	750,000	6%			750,000	2%	750,000	2%	750,000	2%	750,000	2%
19 TOBACCO SETTLEMENT FUNDS		0%		0%				0%		0%		0%		0%
20 OTHER FUNDS**	2,351	0%		0%				0%		0%		0%		0%
21 TOTAL INCOME	\$11,406,271	100%	\$12,525,427	100%			\$31,771,427	100%	\$30,242,891	100%	\$31,972,093	100%	\$30,477,751	100%
22 EXCESS (FUNDING)/APPROPRIATION	(\$1,828)		\$0				\$0		\$0		\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2008:	\$3,829,056
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$379,383
INVENTORIES	
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	\$100,000
MAJOR CRITICAL SYSTEMS FAILURES	\$250,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$1,040,960
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	\$400,000
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$1,658,713

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**Other Funds - M&R Proceeds

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2009-11 BIENNIUM**

UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE MORRILTON
NAME OF INSTITUTION

EXPENDITURE CATEGORIES	2007-08 ACTUAL	2008-09 BUDGETED *	2009-11 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS	
			2009-10 REQUEST / RECOMMENDATION	2010-11 REQUEST / RECOMMENDATION
1 INSTRUCTION	4,717,383	4,827,177	5,016,000	5,266,000
2 RESEARCH	9,695			
3 PUBLIC SERVICE				
4 ACADEMIC SUPPORT	846,517	1,072,775	1,226,413	1,287,000
5 STUDENT SERVICES	833,983	898,926	940,950	990,250
6 INSTITUTIONAL SUPPORT	1,199,631	1,434,632	1,506,000	1,580,000
7 PHYSICAL PLANT M&O	1,354,701	1,355,471	1,500,000	1,595,000
8 SCHOLARSHIPS & FELLOWSHIPS	336,152	300,000	380,000	380,000
9 OTHER		112,446	231,938	129,681
10				
11				
12				
13 MANDATORY TRANSFERS	407,625	439,000	439,000	448,000
14 AUXILIARY TRANSFERS	25,000			
15 NON-MANDATORY TRANSFERS	233,475			
16 TOTAL UNREST. E&G EXP.	\$9,964,162	\$10,440,427	\$11,240,301	\$11,675,931
17 NET LOCAL INCOME	4,839,553	4,670,800	4,817,410	5,018,180
18 PRIOR YEAR BALANCE***				
STATE FUNDS:				
19 GENERAL REVENUE*	4,533,938	4,615,974	5,269,238	5,504,098
20 EDUCATIONAL EXCELLENCE				
21 WORKFORCE 2000	1,166,945	1,153,653	1,153,653	1,153,653
22 TOBACCO SETTLEMENT FUNDS				
23 OTHER STATE FUNDS **	102,351			
24 TOTAL SOURCES OF INCOME	\$10,642,787	\$10,440,427	\$11,240,301	\$11,675,931

FORM 09-2

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Other State Funds include General Improvement and M & R Proceeds

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**ESTIMATED UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND
CASH INCOME FOR THE 2009-11 BIENNIUM**

UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE MORRILTON
(NAME OF INSTITUTION)

SOURCE	ACTUAL 2007-08	BUDGETED 2008-09	ESTIMATED INCOME	
			2009-10	2010-11
1 TUITION AND MANDATORY FEES	3,804,220	3,824,200	4,015,410	4,216,180
2 ALL OTHER FEES				
3 OFF-CAMPUS CREDIT				
4 NON-CREDIT INSTRUCTION	64,433	1,500	2,000	2,000
5 ORGANIZED ACTIVITIES RELATED TO EDUCATIONAL DEPARTMENTS	47,933	41,100	45,000	45,000
6 INVESTMENT INCOME	146,748	100,000	100,000	100,000
7 OTHER CASH INCOME*	818,599	745,000	700,000	700,000
8 TOTAL UNRESTRICTED CURRENT FUND CASH INCOME	4,881,933	4,711,800	4,862,410	5,063,180
9 LESS: TWO-YEAR COLLEGE ACTIVITY FEES	42,400	41,000	45,000	45,000
10 NET UNRESTRICTED CURRENT FUND CASH INCOME AVAILABLE FOR UNRESTRICTED EDUCATIONAL AND GENERAL OPERATIONS	\$4,839,533	\$4,670,800	\$4,817,410	\$5,018,180

FORM 09-3

*Other Cash Income includes: Sales Tax & Misc.

**APPROPRIATION ACT FORM - STATE TREASURY - AHECB RECOMMENDATION
2009-11 BIENNIUM**

FUND CTJ0000

INSTITUTION UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE MORRILTON

APPROPRIATION 729

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	4,227,444	4,400,000	4,342,257	4,900,000	5,000,000		
2 EXTRA HELP WAGES	99,999	110,000	120,000	130,000	130,000		
3 OVERTIME	6,935	15,000	15,000	15,000	15,000		
4 PERSONAL SERVICES MATCHING	1,367,028	1,244,627	1,482,391	1,367,891	1,502,751		
5 OPERATING EXPENSES				10,000	10,000		
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY							
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION							
11							
12							
13							
14 TOTAL APPROPRIATION	\$5,701,406	\$5,769,627	\$5,959,648	\$6,422,891	\$6,657,751	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE	4,533,938	4,615,974		5,269,238	5,504,098		
17 EDUCATIONAL EXCELLENCE TRUST FUND							
18 SPECIAL REVENUES * [WF2000]	1,166,945	1,153,653		1,153,653	1,153,653		
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS***	2,351						
22 TOTAL INCOME	\$5,703,234	\$5,769,627		\$6,422,891	\$6,657,751	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	(\$1,828)	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

***Other State Funds include M & R Proceeds

FORM 09-4

**APPROPRIATION ACT FORM - STATE TREASURY - FLAT BUDGET
2009-11 BIENNIUM**

FUND CTJ0000

INSTITUTION UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE MORRILTON

APPROPRIATION 729

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	4,227,444	4,400,000	4,342,257	4,400,000	4,400,000		
2 EXTRA HELP WAGES	99,999	110,000	120,000	110,000	110,000		
3 OVERTIME	6,935	15,000	15,000	15,000	15,000		
4 PERSONAL SERVICES MATCHING	1,367,028	1,244,627	1,482,391	1,244,627	1,244,627		
5 OPERATING EXPENSES							
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY							
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION							
11							
12							
13							
14 TOTAL APPROPRIATION	\$5,701,406	\$5,769,627	\$5,959,648	\$5,769,627	\$5,769,627	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE	4,533,938	4,615,974		4,615,974	4,615,974		
17 EDUCATIONAL EXCELLENCE TRUST FUND							
18 SPECIAL REVENUES * [WF2000]	1,166,945	1,153,653		1,153,653	1,153,653		
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS***	2,351						
22 TOTAL INCOME	\$5,703,234	\$5,769,627		\$5,769,627	\$5,769,627	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	(\$1,828)	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

***Other State Funds include M & R Proceeds

FORM 09-4

**APPROPRIATION ACT FORM - STATE TREASURY - 7% REDUCTION
2009-11 BIENNIUM**

FUND CTJ0000

INSTITUTION UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE MORRILTON

APPROPRIATION 729

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	4,227,444	4,400,000	4,342,257	4,171,393	4,171,393		
2 EXTRA HELP WAGES	99,999	110,000	120,000	110,000	110,000		
3 OVERTIME	6,935	15,000	15,000	15,000	15,000		
4 PERSONAL SERVICES MATCHING	1,367,028	1,244,627	1,482,391	1,150,116	1,150,116		
5 OPERATING EXPENSES							
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)							
8 CAPITAL OUTLAY							
9 DATA PROCESSING SERVICES							
10 FUNDED DEPRECIATION							
11							
12							
13							
14 TOTAL APPROPRIATION	\$5,701,406	\$5,769,627	\$5,959,648	\$5,446,509	\$5,446,509	\$0	\$0
15 PRIOR YEAR FUND BALANCE**							
16 GENERAL REVENUE	4,533,938	4,615,974		4,292,856	4,292,856		
17 EDUCATIONAL EXCELLENCE TRUST FUND							
18 SPECIAL REVENUES * [WF2000]	1,166,945	1,153,653		1,153,653	1,153,653		
19 FEDERAL FUNDS IN STATE TREASURY							
20 TOBACCO SETTLEMENT FUNDS							
21 OTHER STATE TREASURY FUNDS	2,351						
22 TOTAL INCOME	\$5,703,234	\$5,769,627		\$5,446,509	\$5,446,509	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	(\$1,828)	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

***Other State Funds include M & R Proceeds

FORM 09-4

**APPROPRIATION ACT FORM - CASH FUNDS
2009-11 BIENNIUM**

FUND 2890000

INSTITUTION UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE MORRILTON

APPROPRIATION B64

DESCRIPTION	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED APPROPRIATION 2008-09	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2009-10	2010-11	2009-10	2010-11
1 REGULAR SALARIES	1,379,585	1,750,000	5,934,034	2,000,000	2,000,000		
2 EXTRA HELP WAGES	88,997	175,000	700,000	250,000	250,000		
3 OVERTIME	694		20,000	5,000	5,000		
4 PERSONAL SERVICES MATCHING	665,090	930,000	2,447,735	1,000,000	1,000,000		
5 OPERATING EXPENSES	2,735,241	3,177,800	5,584,250	7,500,000	7,500,000		
6 CONFERENCE FEES & TRAVEL	69,070	90,000	184,800	270,000	270,000		
7 PROFESSIONAL FEES AND SERVICES			450,000	500,000	500,000		
8 DATA PROCESSING							
9 CAPITAL OUTLAY	329,952	194,000	1,135,000	500,000	500,000		
10 CAPITAL IMPROVEMENTS			6,000,000				
11 DEBT SERVICE	434,408	439,000	989,181	450,000	450,000		
12 FUND TRANSFERS, REFUNDS AND INVESTMENTS			275,000				
13 PROMOTIONAL ITEMS			50,000				
14 GRANTS & AID			50,000				
15							
16 CONTINGENCY				11,345,000	11,345,000		
17 TOTAL APPROPRIATION	\$5,703,037	\$6,755,800	\$23,820,000	\$23,820,000	\$23,820,000	\$0	\$0
18 PRIOR YEAR FUND BALANCE***							
19 LOCAL CASH FUNDS	4,839,533	4,670,800		4,817,410	5,018,180		
20 FEDERAL CASH FUNDS	403,128	750,000		750,000	750,000		
21 OTHER CASH FUNDS	460,376	1,335,000		18,252,590	18,051,820		
22 TOTAL INCOME	\$5,703,037	\$6,755,800		\$23,820,000	\$23,820,000	\$0	\$0
23 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2007-08	BUDGETED 2008-09	AUTHORIZED 2007-09	REQUESTED	RECOMMENDED	LEGISLATIVE RECOMMENDATION	
				2009-11	2009-11	2009-11	2010-11
REGULAR POSITIONS	206	209	261	268	268		
TOBACCO POSITIONS							
EXTRA HELP **	59	65	90	90	90		

FORM 09-5

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

UA COMMUNITY COLLEGE MORRILTON
(NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2007-08				BUDGETED 2008-09			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *	4,066	22,726		(18,660)				0
2 RESIDENCE HALL				0				0
3 MARRIED STUDENT HOUSING				0				0
4 FACULTY HOUSING				0				0
5 FOOD SERVICES	106,718	186,636		(79,918)	133,500	212,500		(79,000)
6 COLLEGE UNION				0				0
7 BOOKSTORE	1,092,690	1,030,338		62,352	1,200,000	1,121,000		79,000
8 STUDENT ORGANIZATIONS AND PUBLICATIONS				0				0
9 STUDENT HEALTH SERVICES				0				0
10 OTHER****	20,002	10,277		9,725				0
11 SUBTOTAL	\$1,223,476	\$1,249,977	\$0	(\$26,501)	\$1,333,500	\$1,333,500	\$0	\$0
12 ATHLETIC TRANSFER **				25,000				0
13 OTHER TRANSFERS ***				0				0
14 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	\$1,223,476	\$1,249,977	\$0	(\$1,501)	\$1,333,500	\$1,333,500	\$0	\$0

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM 09-6

** For both two-year and four-year institutions, line 12, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 13, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

****Other includes vending & copier

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

UA COMMUNITY COLLEGE MORRILTON
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2007-08:						<u>142</u>
(As of November 1, 2007)						
Nonclassified Administrative Employees:						
White Male:	<u>8</u>	Black Male:		Other Male:	<u>1</u>	Total Male: <u>9</u>
White Female:	<u>25</u>	Black Female:	<u>1</u>	Other Female:	<u>1</u>	Total Female: <u>27</u>
Nonclassified Health Care Employees:						
White Male:		Black Male:		Other Male:		Total Male: <u>0</u>
White Female:		Black Female:		Other Female:		Total Female: <u>0</u>
Classified Employees:						
White Male:	<u>10</u>	Black Male:		Other Male:	<u>1</u>	Total Male: <u>11</u>
White Female:	<u>39</u>	Black Female:	<u>3</u>	Other Female:		Total Female: <u>42</u>
Faculty:						
White Male:	<u>26</u>	Black Male:		Other Male:		Total Male: <u>26</u>
White Female:	<u>27</u>	Black Female:		Other Female:		Total Female: <u>27</u>
Total White Male:	<u>44</u>	Total Black Male:	<u>0</u>	Total Other Male:	<u>2</u>	Total Male: <u>46</u>
Total White Female:	<u>91</u>	Total Black Female:	<u>4</u>	Total Other Female:	<u>1</u>	Total Female: <u>96</u>
Total White:	<u>135</u>	Total Black:	<u>4</u>	Total Other:	<u>3</u>	Total Employees: <u>142</u>
				Total Minority:	<u>7</u>	

FORM 09-8

DIVISION OF LEGISLATIVE AUDIT
AUDIT OF University of Arkansas Community College – Morrilton
June 30, 2007

<i>Finding:</i>	No findings noted
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