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## ABSTRACT

One ethical dilemma for psychologists is finding methods to share test results with their clients in such a way that the client is not deleteriously labeled, but is encouraged by the knowledge of assessed strength and growth areas. This paper offers one answer by presenting a structured protocol that draws on an iceberg metaphor for categorizing the Millon Clinical Multiaxial Inventory-III (MCMI-III) results. Although based on the theoretical underpinnings of Millon, the Iceberg Model is visually simple and understandable for clients, and available as a handout that can be taken home with them. It also aids the psychologists in treatment planning with clear clinical, childhood, personality, cultural, and situational therapeutic targets. (Contains 2 tables and 14 references.) (Author/JDM)

Running Head: PROTOCOL FOR MCFI-III

Practical Model and Protocol for Interpreting  
MCFI-III Results to Clients

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### Abstract

One ethical dilemma for psychologists is finding methods to share test results with their clients in such a way that the client is not deleteriously labeled but is encouraged by the knowledge of assessed strength and growth areas. This paper offers one answer by presenting a structured protocol that draws on an iceberg metaphor for categorizing Millon Clinical Multiaxial Inventory-III (MCI-III) results. Although based on the rich theoretical underpinnings of Millon, the Iceberg Model is visually simple and understandable for clients and available as a handout that can be taken home with them.

## Practical Model and Protocol for Interpreting

### MCMI-III Results to Clients

#### Introduction

Often psychologists are resistant to sharing test results with clients. In fact, some studies indicated that 69% of psychologists are unlikely to share results of assessments with clients (Berndt, 1983). Even though sharing test results is an ethical principle in the American Psychological Association's (APA) code of conduct (APA, 1992), one reason given for this exclusion is that psychologists have not found adequate methods to share delicate, theoretical, and complex information with a layperson whose ability to process the information may be impaired and the consequential distortion could create greater emotional trauma (Berndt).

As a result of twenty years of clinical experience using the Millon Clinical Multiaxial Inventory (MCMI), I am presenting a practical model called the Iceberg Model (IM) for interpreting results of the MCMI-III that should not only be easy to understand for clients but also supply a structured protocol for psychologists (Friel & Friel used an iceberg model for illustrating addiction, 1988, p. 160). As seen in Figure 1, the IM is designed to add understanding and clarity to idiosyncratic information available on the MCMI-III and provides a link to Millon's (1996) theoretical underpinnings of the MCMI-III.

#### Procedure

After the testing is completed and the results are available, the clinician starts the process of explaining the IM: Jagged parts above the waterline represent the presenting problems, stressors, or symptoms (such as a relational problem, clinical depression, problems with a child, or a grief reaction) that are impacted by a vast, deeper core of issues submerged under the waterline. Just as only 10-15% of an iceberg is visible, in much the same way a client's treatment issues are often the "seen and known part" of larger underlying psychological and pathological elements. In nature, the sun and wind melt the top of an iceberg. Inevitably, the bottom (hidden beneath the surface) becomes extremely dangerous to ships, just as in the case of ill-fated Titanic. Correspondingly, the hidden or unconscious parts of a person's life gravely impact symptoms but often become less injurious when they are exposed to therapy and treatment.

#### Visible Layer

The clinician then allows the client to name the presenting problems, writing each on the iceberg's visible part called Treatment Issues. At this time, clinicians often discover data that were not obvious or clear during interviews or intake forms. Although not as vast as the underlying part, a ship captain never minimizes the iceberg's upper parts nor should the symptoms and concomitant pain be minimized for the client.

### Abandonment Layer

Subsequently, because of its critical place as a foundation of much dysfunction, IM's lowest layer labeled Abandonment Issues is discussed with the client, including any sexual, spiritual, physical, verbal, emotional, and/or mental abuse (Pollak, Cicchetti, Hornung, & Reed, 2000; Carlson, Cicchetti, Barnett, & Braunwald, 1989). Abandonment can be subtle or understated such as not belonging, neglect (Willett, Ayoub, & Robinson, 1991), lack of attachment (van Ijzendoorn, 1995), or parents who are deceased, divorced, or work out of town. For the child, all of these involve abandonment. Some of these abuses can also exist in adult relationships and can be teased out at this time (e.g., neglect of a partner or lack of belonging):

### Personality Layer

Just as client's experiences of abandonment influence symptomatology so does the genetic template of temperament, i.e., the "raw biological material from which personality occurs" (Millon, 1996, p. 17). Just after conception, the fetus begins to experience and interact with the environment, first with mother and then with outside influences such as social roles, culture, socioeconomic status, and family environment (Millon; Verny & Kelly, 1981). This interaction causes changes to a child's temperament, and a personality develops. Children play an active role in this, creating their own environmental conditions, which, in turn, serve as a basis for reinforcing their biological tendencies, a recursive phenomenon (Millon). Furthermore, in personality development, traits appear and sometimes form into clusters to become personality disorders (Millon). By definition, disorders eventually impair work, school, social, and home life. Since personality labels can be deleterious, the psychologist writes on the IM handout general terms and explanations for personality traits (scores 85 or under) or disorders (over 85) as revealed on the client's MCMI-III profile (see Figure 2 for an example).

### Clinical Syndrome Layer

Subsequently, personality dynamics form the substrate of the next IM level, i.e., Clinical Diagnoses. As Millon posited, "...knowledge of the patient's personality disorder can be of inestimable value in helping resolve his or her Axis I clinical syndrome" (1996, p. xii). That is, personality and early experiences simultaneously affect the development and nature of psychological structures and function (Millon). In effect, personality disorders actually predispose a person to vulnerability in developing an Axis I clinical syndrome (Millon). Parenthetically, if an Axis I diagnosis is met for social phobia, for example, but not specifically identified on the MCMI-III, it may be added at this time to the IM. Even in this illustration of social phobia, elevations in avoidant personality and general anxiety may be clues that already identify social phobia (see Millon, 1996, p. 272).

### Cultural Layer

The clinician now focuses on the next level, Culture, which includes both past and present (Millon, 1996, Szapocznik, & Kurtines, 1993; Segall, Lonner, & Berry, 1998). All the underlying layers of the IM are filtered through an individual's ethnic (Szapocznik, & Kurtines, 1993; Sue and Zane, 1987), familial (Russell & Finnie, 1990), religious, regional, and national cultural milieu (Markus & Kitayama, 1991) as shown in a sample profile and IM in Figures 2 and 3.

### Permeable Boundaries

Noteworthy, the IM has permeable boundaries between each section symbolized by the dotted lines. Millon stated that, "...psychopathology develops as a result of an intimate interplay [italics added] of intraorganismic and environmental forces. Such interactions start at the time of conception and continue through life" (1996, p. 91). Comparatively, as an amorphous iceberg's future in the ocean is limited by its shape, so it is that an individual's "history itself is a constraint on future development of that person" (Millon, p. 87).

### Summary

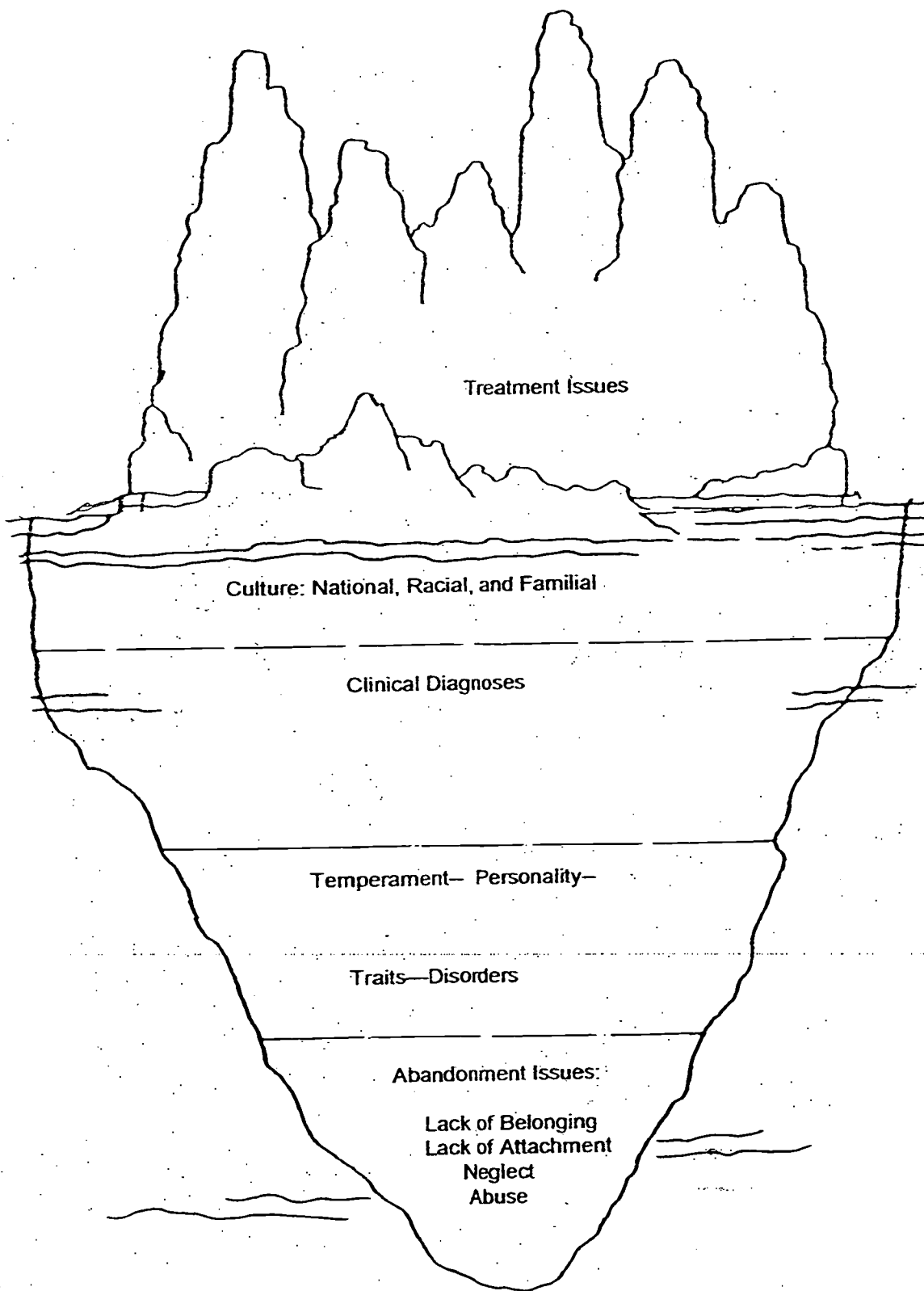
In summary, the IM is a practical and easy-to-understand structure for interpreting the MCMII-III results and also lends itself to an appropriate handout for the client to take home for continued processing. It also aids the psychologist's in treatment planning with clear clinical, childhood, personality, cultural, and situational therapeutic targets.

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Figure 1. Iceberg Model for Interpreting Results of MCMII-III





**MILLON CLINICAL MULTIAXIAL INVENTORY - III**  
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Valid Profile

ID NUMBER:

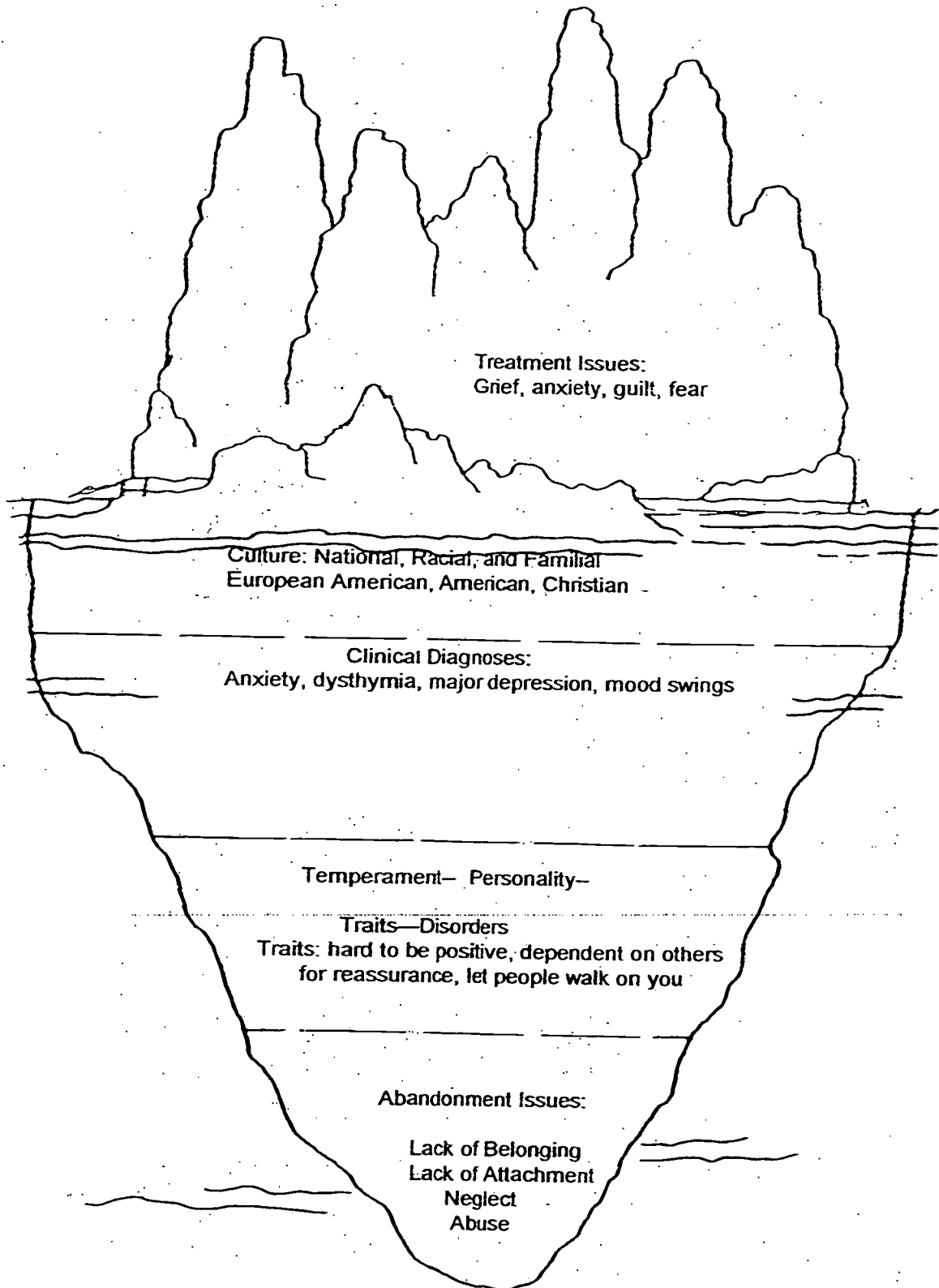
PERSONALITY CODE: 3 \*\* 8A 8B \* 2B 4 2A 6B 6A + 1 5 7 " - ' // - \*\* - \* //

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DEMOGRAPHIC:

CATEGORY		SCORE		PROFILE OF BR SCORES					DIAGNOSTIC SCALES
		RAW	BR	0	60	75	85	115	
MODIFYING INDICES	X	133	78						DISCLOSURE
	Y	10	47						DESIRABILITY
	Z	22	80						DEBASEMENT
CLINICAL PERSONALITY PATTERNS	1	6	56						SCHIZOID
	2A	10	64						AVOIDANT
	2B	13	72						DEPRESSIVE
	3	18	91						DEPENDENT
	4	19	72						HISTRIONIC
	5	11	56						NARCISSISTIC
	6A	7	60						ANTISOCIAL
	6B	11	64						SADISTIC
	7	14	52						COMPULSIVE
	8A	17	79						NEGATIVISTIC
8B	11	79						MASOCHISTIC	
SEVERE PERSONALITY PATHOLOGY	S	13	66						SCHIZOTYPAL
	C	11	68						BORDERLINE
	P	8	64						PARANOID
CLINICAL SYNDROMES	A	14	89						ANXIETY DISORDER
	H	12	72						SOMATOFORM DISORDER
	N	15	92						BIPOLAR: MANIC DISORDER
	D	17	82						DYSTHYMIC DISORDER
	B	3	58						ALCOHOL DEPENDENCE
	T	2	57						DRUG DEPENDENCE
	R	17	77						POST-TRAUMATIC STRESS
SEVERE CLINICAL SYNDROMES	SS	17	72						THOUGHT DISORDER
	CC	13	77						MAJOR DEPRESSION
	PP	2	57						DELUSIONAL DISORDER

Figure 2. Client example of Iceberg Model for Interpreting Results of MCMII-III





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