DOCUMENT RESUME

ED 452 334 UD 034 160

AUTHOR Sunderman, Gail L.; Kim, Jeong-Ran
TITLE Influence of State Policy on Standards and School Practices: A Comparison of Three Urban Districts.
SPONS AGENCY Office of Educational Research and Improvement (ED), Washington, DC.
PUB DATE 2001-04-00
NOTE 19p.; Paper presented at the Annual Meeting of the American Educational Research Association (Seattle, WA, April 10-14, 2001).
CONTRACT RJ96006301; R117D-40005
PUB TYPE Reports - Research (143) -- Speeches/Meeting Papers (150)
EDRS PRICE MF01/PC01 Plus Postage.
DESCRIPTORS Academic Achievement; *Academic Standards; *Educational Change; Elementary Secondary Education; Low Income Groups; Program Implementation; Public Schools; School Districts; Standardized Tests; *State Standards; Teacher Attitudes; *Urban Schools
IDENTIFIERS Chicago Public Schools IL; Cleveland Public Schools OH; Detroit Public Schools MI; State Policy

ABSTRACT

This study examined the implementation of standards-based reforms in urban schools with high concentrations of low-income students, highlighting the influence of state policy on the development and implementation of school standards. Researchers examined the type of state policy three school districts were exposed to, level of teachers' awareness of the state policy on standards, and teachers' perceptions of the implementation of standards. Data collection occurred during the 1997-98 and 1998-99 school years and involved surveys, interviews, observation, and document analysis. Results indicated that the different policy environments within which schools and districts operated related to how districts and schools interpreted standards. These differences translated into differences in teachers' awareness of standards and perceptions of how well they were implemented. While teachers reported high levels of awareness of standards, they were not the driving force behind their classroom practices. Instead, district accountability policies that were narrowly focused on raising standardized test scores influenced school practice and directed it at improving standardized test scores. Policies were interrelated, with one policy establishing the context for another. The implementation of standards-based reform involved actors at multiple levels of the educational system with different levels of authority. (Contains 22 references.) (SM)

Influence of State Policy on Standards and School Practices: A Comparison of Three Urban Districts

Gail L. Sunderman
American Institutes for Research

Jeong -Ran Kim
NCOFF
University of Pennsylvania

Paper presented at the American Educational Research Association Annual Conference, Seattle, Washington, April 10-14, 2001. This research is sponsored in whole or in part by the Office of Educational Research and Improvement (OERI) of the U.S. Department of Education (grant nos. R117D-40005 and RJ96006301). The content does not necessarily reflect the views of OERI or the Department of Education, or does mention or visual representation of trade names or commercial products constitute endorsement by any branch of the U.S. Government. Marian Amoa, Leroy Macintosh, and Robin Fleming provided research assistance.

Influence of State Policy on Standards and School Practices:
A Comparison of Three Urban Districts

This research examined the implementation of standards-based reforms in urban schools with high concentrations of low-income students. In particular, we were interested in the influence of the state policy on the development and implementation of school standards. We examined the type of state policy three school districts were exposed to, the level of teachers' awareness of the state policy on standards, and teachers' perceptions of the implementation of standards in schools. Our goal was to understand the role of standards as a driving force in accountability frameworks.

We focused on Title I schools with schoolwide programs because the federal program now includes the goal of helping students who attend high-poverty schools meet high standards. The 1994 *Improving America's Schools Act* (IASA), which reauthorized Title I of the Elementary and Secondary Education Act, represented a shift in the program's strategy for helping children in high poverty schools. Instead of a program focused on remediation, the program aims to improve the quality of education children receive (Wang, Wong, & Kim, 1999; Vinovskis, 1999; Kim, 1998). This legislation mandated that rigorous national standards apply to all students, including those receiving Title I services and requires states to develop content and performance standards to qualify for Title I funding. In addition, states are required to adopt student assessment systems aligned with the standards and use these assessments as a criteria to determine the "adequate yearly progress" of schools and districts towards meeting the goal of improving student performance. Both states and districts are required to develop a plan that incorporates ways to help schools meet the state's student performance standards. Since the legislation is non-prescriptive, describing only general expectations, states have considerable discretion regarding the content of standards and assessments and how to help students meet the higher standards.

This research took the perspective that the context of school reform is important in understanding how schools and teachers implement standards. In particular, district responses to state policy are likely to have implications for how schools allocate resources (Bidwell & Kasarda, 1980; Barr & Dreeben, 1983). Under the federal IASA Title I legislation, districts must interpret and implement state level standards and assessments, develop criteria to identify schools in need of improvement, and provide assistance to schools identified as in need of improvement. They can take corrective actions when schools fail to improve after three years. In addition, states have enacted other legislation that has expanded the managerial and administrative role of districts to intervene in low performing schools. In other words, district policies and practices mediate between state actions and the schools.

This paper is organized as follows. In the following section we describe the study's research design. The third section presents the policy context of standards-based reform. We discuss the accountability systems that have emerged in each of the three districts in the study, highlighting the policies and strategies adopted in each district to improve academic performance and support schools in their efforts. The fourth section presents the results from a teacher survey that measured teachers' awareness of state standards policies and their perceptions of how well schools are implementing them. The concluding section of the paper includes an exploratory discussion of the implications of the findings on the design and implementation of standards-based policies and on schooling for disadvantaged students.

Research Design

This research examined the influence of state policy on teachers' awareness of standards in urban districts with high concentrations of low-income students. It addressed three questions. What are the state and district policies on standards? How are these policies related to the level of teachers' awareness and perceptions of state standards? What is the relationship between teachers' perceptions of state standards and school practices?

We selected three urban districts—Cleveland, Chicago, and Detroit—for inclusion in this study.[1] These districts were chosen because they represented urban school districts in Midwestern states with high concentrations of low-income students.[2] Using a multiple regression analysis, we identified four schools in each district for inclusion in the study. This analysis examined the relationship between average levels of student achievement in math and reading and demographic characteristics and designation as magnet schools (Yancey & Thadani, 1997; Yancey, Freely, & Bredding, 1999).[3] Each school received an expected score, that is, the level of achievement that would be expected given the characteristics of specific schools. This expected score was then compared to the actual achievement score, indicating whether or not the school was performing above or below expectations. Schools with average achievement scores that were consistently above or below what was expected were identified as "exceptional." A sample of four schools was selected in each district that included two schools from each end of the distribution (table 1).[4] All schools qualified for the Title I schoolwide program. The final site selection took into consideration the racial composition of the schools to insure the inclusion of one school in each district with a predominately Latino student population (see table 2 for the background characteristics of each school). In addition, site selection was influenced by forced substitutions when schools declined to participate. In Detroit, district administrators selected the schools from a list of schools at each end of the distribution. In Cleveland and Chicago, researchers made the selection.

[INSERT TABLE 1 ABOUT HERE]

[INSERT TABLE 2 ABOUT HERE]

Data collection took place during the 1997-98 and 1998-99 school years. We collected data using multiple methods, including surveys, interviews, observations, and document analysis.

---

[1] This study was part of a larger study on the implementation of Title I schoolwide programs sponsored by the Laboratory Network Program of the Regional Educational Laboratories (Wang, Wong, & Kim, 1999). The larger study, a collaborative project among six Regional Educational Laboratories, was designed to contribute to a national database on the implementation and outcomes of Title I schoolwide programs since the enactment of IASA in 1994. Districts selected for this larger project were in the region served by each participating laboratory.

[2] These districts were in three of the seven states served by the North Central Regional Educational Laboratory (NCREL), one of the laboratories participating in the larger study. The seven states served by NCREL included Illinois, Indiana, Iowa, Michigan, Minnesota, Ohio, and Wisconsin.

[3] The socioeconomic variables included percent of students who qualified for free or reduced price lunch, the stability of the student population over the academic year, the percent of students who were African American, Latino, Asian or American Indian, the percent of students with limited English proficiency, the average daily attendance, the size of the school, and whether or not the school had a special program designation, such as magnet school. The analysis included data for seven years, 1990 to 1996, in Chicago; two years, 1995 and 1996, in Cleveland; and one year, 1996, in Detroit. See Yancey and Thadani, (1997) for more on methodology.

[4] School names are pseudonyms..

In order to understand the influence of state and district policy on the implementation of standards, we first sought to understand how the accountability system worked in each district. This meant identifying the initiatives that comprised local accountability systems and understanding the local context in which they operate. At the district level, we interviewed district administrators and collected documentary materials from the central administration. These interviews focused on the kinds of support (curriculum, instructional, assessment, and professional development) provided to the schools and how these resources were used. Particular attention was paid to how district administrators interpreted and implemented the federal and state accountability mandates. We interviewed a total of 20 central office administrators in the three cities. Documentary materials included state standards legislation, district reform policies, demographic information, district budgets, and school level achievement test scores.

At the school level, we conducted a total of 196 interviews with principals, teachers, and school support faculty over two years. We made two sites visits to each school, one during each of the two academic years. Interviews were semi-structured and focused on resource allocation, school vision, content and performance standards, curriculum and instructional practices, and professional support to teachers. As validity checks on the interview data, we collected documentary materials from the schools and district offices. We made 66 classroom observations intended to provide a general understanding of the classroom climate and practices.

To measure teachers' awareness of state standards' policy and their perceptions about the level of implementation of standards in their school, we asked teachers to complete a survey. Two hundred and three teachers from Chicago, seventy-seven teachers from Cleveland, and sixty-one teachers from Detroit participated in completing surveys. Two constructs were developed to measure teachers' awareness and perceptions of state policy. The state policy construct included 8 questions (for example, 'I refer to the state content and performance standards when assessing student performance;' The programs at my school are evaluated by the state;' 'My school receives clear and coherent guidance from the state/district to direct school-level reform;'). The school standards and practices construct included 11 questions (for example, 'My school has clearly articulated performance goals for all students;' 'The curricula in my school are consistent with state assessment standards;' 'All students in my school have opportunities to learn advanced curricula.'). Each question on the teacher survey included a 5-point Likert scale with responses ranging from "strongly disagree" to "strongly agree." The average inter-item reliability coefficient was 0.85. Analysis of variance was performed to examine whether there were statistically significant district level differences on teachers' level of awareness of state and school district policies and their perceptions of the school standards and practices. Also, bi-variate correlations were performed to examine the relationship between teachers' awareness of state policy and perception of school practices.

The teacher survey also included questions about school climate, instructional strategies, and leadership/management practices. School climate included four scales—student-teacher relationships, student attitudes, low school problems, and colleague relationships. Leadership/management practices included two scales, one on leadership and another on shared decision-making. The instructional strategies construct included three scales—student evaluation, student-centered instruction, and cooperative learning. Each scale is made up of relevant items from the teacher questionnaire. Sample items are listed below.

School Climate

- Student-teacher relationships: 4 items (for example, 'Teachers make students feel important;' 'Students get along well with teacher.').
- Student attitudes: 6 items (for example, 'Students show pride in and responsibility toward the school;' 'Students are interested in learning new things.').

- Low school problems: 13 items (for example, 'Student discipline;' 'Students cutting classes.').
- Colleague relationships: 5 items (for example, 'Teachers are keen to learn from their colleagues;' 'I receive encouragement from my colleagues.').

Instructional Strategies

- Student evaluation: 5 items (for example, 'Completed homework is reviewed and discussed in class;' 'Student progress is evaluated by daily work samples.').
- Student-centered instruction: 5 items (for example, 'In this class, students work with materials that are suited to their own needs;' 'When starting a new unit of instruction, students use lessons suited to their present abilities.').
- Cooperative learning: 4 items (for example, 'Students in this class work cooperatively to achieve goals;' 'In this class, students tutor other students.').

Leadership/Management

- Leadership: 4 items (for example, 'The principal lets staff members know what is expected of them;' 'The principal talks with teachers frequently about their instructional practices.').
- Shared decision-making: 3 items (for example, 'Teachers have significant input in decisions about the use of federal resources at my school;' 'Teachers are frequently asked to participate in decisions concerning administrative policies and procedures.').

Policy Context of Standards-Based Reform

District Accountability Systems

School district demographic data is summarized in table 3. From the interview data, we found both similarities and differences between the three districts regarding the design and implementation of standards. We found that standards were one part of an accountability system that encompassed state level legislation, regulations, and district reform initiatives. These systems were constantly in flux as states and districts developed and changed them in response to political and institutional factors. We also found that these districts differed in terms of where authority over the accountability system resided. In Chicago, there was strong district level accountability; Cleveland was characterized by strong state policies, and Detroit by strong school-level autonomy. Each of these policy environments is described below.

[INSERT TABLE 3 ABOUT HERE]

Chicago Public Schools: In Chicago, understanding how the accountability system works requires taking into account the 1995 Chicago School Reform Amendatory Act (Illinois State Assembly, 1995) and the policies put in place by the district under this legislation, particularly the retention policy and the probation policy. This legislation, specific only to Chicago, gave the district wide discretion to adopt criteria for evaluating schools and students and streamlined the procedures for intervening in poorly performing schools (Wong, Dreeben, Lynn, & Sunderman, 1997). In response, the district adopted criteria for evaluating schools and students that made standardized test scores central to the evaluation process. Schools could be placed on "probation" when fewer than 15 % of their students scored at or above the national norms on the Iowa Test of Basic Skills (ITBS). Schools were eligible for "reconstitution" if they did not improve after being on probation. When a school was reconstituted, the central office

appointed a principal and all staff re-applied for their positions.[5] Under this policy, there were 109 out of 557 on probation for poor academic performance by the 1997-98 school year. Another seven schools were reconstituted in June 1997. Two of the four schools we visited in Chicago—Cornell and Butler—were on probation.

The district adopted sanctions for individual students as well. Students could be retained in grades 3, 6, 8 and 9 if they did not meet grade level proficiency on standardized tests—the ITBS for elementary students or the Test of Achievement and Proficiency (TAP) for ninth graders. The district set the required score at approximately one grade level below the national norm. This policy also required third, sixth, and eighth graders to have passing grades in reading and mathematics and no more than twenty unexcused absences. Ninth graders could have no more than twenty unexcused absences and earned at least five credits. While the official policy included these additional criteria, in practice, meeting the test score cutoff was the central component of the policy and the one schools were most likely to respond to (Roderick, Bryk, Jacob, Easton, & Allensworth, 1999).

Under state legislation, the Illinois State Board of Education established learning goals, or standards beginning in 1992. In contrast to the district accountability initiatives, the state standards legislation, amended in August 1996, had weak sanctions for not meeting the state learning objectives (Illinois School Code, 1998). Schools that were not meeting the standards, as measured by the state administered Illinois Goals and Assessment Program (IGAP), could be placed on an Academic Watch List by the state.[6] When a school was placed on the watch list, both the district and school submitted a School Improvement Plan (SIP). Sanctions existed for failure to implement an approved SIP and included the possibility of loss of state funds by the district or school, the removal of the school board, or the reassignment of students to another district or school, actions that were rarely enforced.

To buttress accountability, the district developed a structure designed to support schools placed on probation and help students eligible for retention. It established the Office of Accountability to evaluate the strengths and weaknesses of each school, make recommendations for improvement, and monitor the improvement process. A probation team was assigned to each school to assist the principal and oversee the school improvement process. As part of this process, schools on probation were required to work with an external partner chosen by the school and contracted to provide educational services to assist the school (Sunderman and Nardini, 1999). Title I resources were instrumental in meeting the demands of the district's accountability process. It was, for example, the primary resource available to schools on probation to pay the costs of the external partner.[7]

The district accountability support structure also included other strategies designed to help students meet the promotional criteria (minimum test score requirements). The district provided test preparation materials to help teachers prepare students for the standardized tests, implemented "transition classes" for retained students, and supported after school remediation

---

[5] Beginning in 1999, the district added a process called "reengineering." This is a step between probation and reconstitution adopted to help schools that are not improving on probation, but intended to avoid the extreme measure of reconstitution.

[6] Beginning in the spring of 1999, the state introduced a new state test, the Illinois Standards Achievement Test (ISAT) that replaced the IGAP.

[7] The district office paid the costs of the external partnership during the first year of probation or reconstitution. During the second year, the cost was split evenly between the schools and the Office of Accountability, while the third year was paid entirely by the schools.

programs for students needing extra help in meeting the test score cutoff point. Students who did not meet the promotion criteria were required to attend summer school, where they received instruction on a specially designed curriculum to help them improve test scores and be promoted to the next grade.

In contrast to the resources devoted to the probation and retention policies, the district provided minimal support to schools to implement standards. To comply with the requirements of the state's standards legislation, the district developed the Chicago Academic Standards and Curriculum Framework Statements (Chicago School Reform Board of Trustees, 1997). However, they left it up to the schools and/or individual teachers to align the curriculum with the standards and adapt instructional materials to meet the needs of the students being served.

Cleveland Public Schools: The accountability system that emerged in Cleveland was shaped largely by state legislation establishing proficiency standards and resulted in a system driven by the improvement of standardized achievement scores. Legislation enacted by the Ohio General Assembly in August 1997 established a rating system that outlined minimum performance standards that each district must meet (Ohio General Assembly, 1997). These included minimum scores on the Ohio Proficiency Exam, a minimum student attendance rate (93 %), and a maximum dropout rate (3 %). Districts not meeting these criteria were required to develop a three-year "continuous improvement plan" and were subject to intervention under rules developed by the state board of education. Stringent academic requirements existed at the student level as well. The bill established a high school exit exam and increased the credit hours required for graduation. To improve reading scores of elementary students, the bill contained a "fourth grade guarantee," that is, fourth grade students who failed to pass the reading portion of the Ohio Fourth-Grade Proficiency Test would be retained beginning in the 2001-02 school year. Retention was extended to include students who were truant and failed two or more subjects.

In response to the state legislation, the Cleveland district established an accountability system where student outcomes, particularly outcomes on standardized achievement tests, were used as the means of evaluating schools. "Continuous improvement" was a hallmark of this system. Two performance measures were identified: improving student achievement as measured on the Ohio Proficiency tests and improving student attendance at school (Cleveland City Public Schools, 1997). School improvement "targets" were identified for each school that took into account the past performance of the school's population. For example, Lincoln, where 16.2 % of the fourth grade cohort passed the fourth grade Ohio proficiency in 1996-97, had a target of 21.2 % passing in 1997-98.[8] The attendance rate in 1996-97 was 91.0 %; the target for 1997-98 was 91.7 %. A third objective, improving the organizational efficiency and school climate, was measured through a school survey. According to one district administrator, "Improved student performance on the Ohio proficiency, of course, is the real bottom line." With this goal, the system put pressure on both schools and individual students to improve test scores.

[8] Using a regression analysis, the district determined a predicted level of performance on the proficiency test based on students' performance on CAT reading tests, the schools' mobility rate, and poverty index. The predicted level of performance was then compared to the observed level of performance, and a target was established for the following year. Schools that performed at much higher than predicted rates were given lower improvement targets, and schools with much lower than expected performance were given higher improvement targets. Schools were evaluated only on those students who had been in the school from early October through the spring testing week. For more information, see Cleveland City Public Schools, 1997.

With accountability tied to the Ohio Proficiency test, many of the schools in Cleveland were focusing on improving literacy skills as measured by the proficiency test. To help schools meet these skills, the district adopted a new reading series that was more closely aligned with the state standards. The district also provided a reading resource teacher to the schools for the primary grades (K-2). In January 1999, the new CEO introduced "warm up" activities in all the elementary schools (grades 3 through 8). These were exercises in the core curriculum areas for students to do for ten minutes at the start of each school day. They were intended to assist students in preparing for the Ohio Proficiency test.

The district also began to administer two assessments in addition to the Ohio Proficiency test. One was the off-grade proficiency, administered in grades one through three. This tested students on the same areas as the Ohio proficiency and was intended to help schools track student progress. The goal was to identify students who were not doing well before they reached the fourth grade. The other test was an interim test for students in grades three through eight in reading and math. The data from this test were available within two weeks of the testing, "so two weeks after taking the test every classroom teacher knows what their youngsters did and knows their area of weakness."[9] The goal was to insure that students were performing at grade level. Commenting on the district testing policy, one administrator said:

> "If you have this kind of data for every kid in your class who was present and took the test, nobody should fall through the cracks. No one should. If you had this kind of data at grades one, two, and three and you know the high stakes test is grade four, then you begin to use this data to provide support and to focus everyone on what it is you have to do. You miss not a single youngster."[10]

In 1997, Ohio passed legislation similar to that in Illinois that changed the governance structure of the district. As in Illinois, this legislation gave the mayor the authority to appoint the CEO. The CEO and school board were given the authority to take corrective actions in schools where students were not performing at an acceptable level. In Cleveland, this included the authority to reallocate academic and financial resources, reassign staff, and redesign of the academic program (Ohio General Assembly, 1998). Implementation of this legislation was delayed by a court challenge until fall 1998. When we conducted our district office interviews in February 1999, the new CEO, appointed by the mayor under provisions of this legislation, had been on the job for just 11 weeks. This new legislation came two years after the state declared Cleveland schools to be in a "state of crisis" and took control of the district.

Detroit Public Schools: The system that emerged in Detroit left schools with considerable discretion to interpret and implement standards-based reforms. Three factors—state tradition of local control, state policies that contributed to district office fragmentation, and strong site-based management—were instrumental in shaping an accountability system in Detroit that left considerable discretion to school administrators and teachers, while limiting the authority of the district office.

The state standards legislation, enacted in 1990, required schools to report educational progress to the public and included a school accreditation process that took into account student achievement on the state assessment.[11] However, the Michigan law left considerable discretion to

---

[9] District Office Administrator interview, Cleveland City Schools, February 12, 1999.
[10] Ibid.
[11] Michigan enacted legislation in 1990 mandating the development of a district and school improvement plan, a core curriculum in every district, an annual educational report to the public for every school, and a

the districts. For example, the state provided no description of the criteria for identifying school districts in need of improvement (Yu and Taylor, 1999) and had weak sanctions for schools or districts not meeting the performance standards. Sanctions existed for poor-performing schools, and included replacing the building administrator, provisions for parents to choose any accredited school within the district, and state take-over and closure, actions that were rarely undertaken. In 1997, the State Board of Education approved a revised definition of adequate yearly progress for Title I schools that included identifying the percent of students meeting state expectations on the Michigan Educational Assessment Program (MEAP). This revision retained local discretion by allowing schools to establish their own targets for improvement and giving them two years to meet their achievement goals before being placed on school improvement status.[12]

Teachers retained considerable discretion within the Detroit accountability model as well. To determine how well students were meeting the standards, the district adopted exit skills for grades K-5 that were aligned with the state performance standards and outlined what a student should know at each grade level (implementation began in 1998). Students who had not mastered the exit skills by the end of the school year could be retained. Implementation of the exit skills depended on teacher and principal discretion. Teachers assessed students on the exit skills throughout the school year, noting when they mastered a particular skill. Teachers and principals were responsible for final decisions regarding promotion.

School autonomy was reinforced by frequent district reorganization and downsizing and political attempts to take over the district. Under pressure from the state, the district undertook a number of steps to reform district administration. In September 1997, the board adopted a re-organization plan that included eliminating the six area offices. This was intended to streamline the central administration and save money. As part of the re-organization, the board voted in April 1998 to eliminate 15 out of 45 administrative positions, including five area superintendents. In November 1997, the board ousted then Superintendent David Sneed and replaced him with Eddie Green, a long time Detroit school administrator.

At the same time, efforts by the state to pass legislation that would give the state the authority to take over the district were under way. Governor Engler first introduced legislation that would give the state authority to take over low performing school districts in 1997. The plan failed to pass the legislature where the Democrats held a majority in the House and some Republicans opposed the plan in deference to maintaining local control. In January 1999, Governor Engler again proposed legislation that would allow a state takeover of a district school system. This time the legislation included only the Detroit district. This legislation, modeled on similar reform plans in Chicago, Baltimore, and Cleveland, put the mayor in charge of the schools. The bill passed the Republican dominated legislature in March 1999 and was quickly signed into law by the governor.[13] Following passage of the legislation, the mayor appointed Eddie Green as acting CEO. David Adamany assumed the position in May 1999.

This focus on governance change fostered a sense of discontinuity in district leadership, contributed to fragmentation in district policy, and strengthened the autonomy of local schools. It was not unusual for each change in administration to be accompanied by organizational and programmatic changes. For example, a district-wide "cluster" organization was replaced with

---

school accreditation process that took into account student achievement on the state assessment (Act No. 25, March 13, 1990, State of Michigan 85th Legislature, Regular Session of 1990).

[12] Interview with Michigan State Department of Education administrator, March 24,2000.

[13] The House approved the bill, 66-43, and the Senate approved the House version of the bill, 31-7 (Darci McConnell and Chris Christoff, 1999).

"constellations" following one such reorganization. For one school we visited, this reorganization put the middle school that the elementary students attended in a different constellation. Under the reorganization that put the mayor in charge of the schools, a new promotion and retention plan was adopted that co-existed with the exit skills program.

Frequent leadership change also reinforced school level autonomy. Until they were eliminated, the area offices retained considerable authority over the schools in their area and the staffs in their office.[14] The elimination of the area offices and a reduction in the central office bureaucracy shifted decision-making up, with many decisions, even minor ones, made by the superintendent. The elimination of area offices further weakened the link between the schools and the administration. Without area offices, principals reported directly to the CEO. Title I administrators, who monitored schools for compliance, could make recommendations to the school and superintendent but had no authority to intervene in a school.[15] At the school level, much of the organizational change that accompanied the governance reform of the Detroit central office was decoupled from the day-to-day operations of the school. School administrators and teachers took a "wait and see" attitude towards district policies, while continuing their daily routines. This was reinforced by site-based management, which gave schools authority over program decisions at the school level. It was unclear at the time of this study whether the governance reforms enacted in 1999 would strengthen the role of the central office or contribute to continued fragmentation in district policy.

In sum, the accountability policies adopted by the three states we visited—Illinois, Ohio, and Michigan—were characterized by the adoption of policies where student outcomes, particularly outcomes on standardized achievement tests, were used as the means of evaluating schools. In Chicago, the district exerted considerable control over the design and implementation of accountability policies. This was facilitated by the adoption of governance reforms by the state legislature in 1995 that expanded and strengthened the managerial and administrative role of the district. Under this legislation, the district was given increased authority and the flexibility to intervene in low performing schools. In Cleveland, the state accountability policies formed the bases for the district's policies. Based on state guidelines, school improvement targets were identified for each school that took into account the past performance of the school's population. The system put pressure on both schools and individual students to improve their performance on the Ohio Proficiency exam. In contrast, in Detroit the design of the state standards' legislation emphasized local discretion and a focus on governance change fostered a sense of discontinuity in district leadership, contributed to fragmentation in district policy, and reinforced the autonomy of local schools.

School Level Implementation

Survey Results

From the teacher survey data, we found statistically significant differences between districts in teachers' awareness of state policy on standards and their perceptions of how well schools were implementing them. As summarized in table 4, teachers from Chicago expressed the highest level of awareness of the state's policy on standards (range 1-5, mean 3). Detroit and Cleveland were very similar in the level of teachers' awareness of state standards. On the school standards and practices construct, teachers' perceptions of how well schools were implementing standards were highest in Chicago, followed by Detroit. Teachers' perceptions about the implementation of standards were lowest in Cleveland. There is a high correlation between

[14] District office interview, Detroit Public Schools, September 24, 1999.
[15] Ibid.

awareness of state standard policies and perceptions of school practices (.68), which indicates that the more teachers were aware of the policies, the higher their perception that standards were being implemented.

[INSERT TABLE 4 ABOUT HERE]

We also found statistically significant differences among districts on three of the scales measuring school climate (table 4). Student-teacher relationships, student attitudes, and lack of school problems were significantly different between districts while teacher relationships were not. There were also differences between districts on leadership, but not on shared decision making. Interestingly, teachers from Cleveland consistently rated lower on all of these scales than teachers from Chicago or Detroit, and teachers from Detroit rated the three school climate scales higher than teachers from Chicago or Cleveland did. None of the constructs measuring instructional strategies were significant, although the direction of these means also showed Cleveland lower than Chicago or Detroit.

To account for the differences between districts in the level of implementation of standards, we examined the differences in policy environments between the districts. While we interpret the survey findings cautiously, these findings suggest that differing policy environments are related to teachers' awareness of standards policies, their perceptions of how well they are implemented in the school, and their perceptions of school climate. The literature on policy implementation is useful in understanding these differences. It suggests that in the first few years of a program's existence, there is more likely to be uncertainty over how the program will operate and higher levels of conflict between different levels of the educational system, especially when new programs differ from established practice (Peterson, Rabe, & Wong, 1986). Programs adjustments are a common feature in the implementation of new programs. Over time, regulations and guidelines are clarified and objectives are modified as feedback and evaluations provide information on how well the programs operate. Practitioners familiarize themselves with the policies and programs, make adjustments to accommodate the new demands, and translate their understanding of the policies into practice (Lipsky, 1980).

The level of the educational system (state, district, or school) where authority over policy is located is also likely to be related to how well policies are implemented since different levels of the educational system are likely to have different concerns and tools available to them to shape practice (Wong & Sunderman, 1996). In the case of standards, political leaders have become more directly involved on issues traditionally decided by professionals. The tools available to them to influence practice include regulations and output controls (e.g., assessments) whereas teachers are likely to use their professional judgment and discretion (Rowan, 1991).

In Chicago where there was a well-articulated district level accountability system with strong sanctions, teachers were well aware of the policies and perceived that they were implementing them. This is in contrast to Cleveland where the district role was subordinate to that of the state and individual schools had relatively little control over standards and accountability policies. The legislature had recently enacted an accountability system when we surveyed the teachers, contributing to the uncertainty among teachers that was reflected in their responses on the survey. For example, many of the teachers we interviewed in Cleveland expressed concern about the 4th grade retention policy, even though it had not yet been implemented. They were uncertain about how best to implement the policy and the implication of the policy on students in earlier grades who had not learned what they should. They struggled with whether or not 1st or 2nd grade students should be retained or promoted in hopes that they

would catch up.[16] Many also felt establishing performance criteria based on what a particular grade level achieved in the prior year (past performance) was unfair since it did not take into account individual differences in learning.

In Detroit, the relative autonomy of teachers at the school level may have contributed to the lower level of awareness of and implementation of standards as well as the more positive responses on the school climate scales. Their relatively high degree of autonomy from organizational authority gave them considerable discretion to interpret and modify policies and programs to fit existing practices. For example, as already mentioned, implementation of the exit skills and decisions about whether or not to retain students depended entirely on principal and teacher discretion. Moreover, teachers did not view the exit skills as that much different from many of the assessment practices they were already using. They also considered the standards as simply a revision of an earlier curriculum framework that had been in place, and there were no consequences attached for not following the standards other than what the principal might choose to do.

Teachers' awareness of state standards does not seem to be related to an emphasis on certain instructional strategies or practices (i.e., student evaluation, student centered instruction, or cooperative learning). The failure to find differences on instructional strategies between districts suggests that schools may not be altering their practices in ways envisioned by reformers. In the case studies, we found that schools were likely to emphasize discrete aspects of the instructional program rather than attend to instructional and curricular issues. Schools, particularly the lower performing schools, adopted add-on programs or targeted programs to meet the demands of the accountability system, particularly the improvement of test scores. Two strategies that schools used included allocating resources to reduce class size and targeting particular grades, subjects, and/or students for remediation. There was some evidence that higher performing schools were better able to address instructional issues. These schools were more likely to increase the amount of instructional time that students received, thereby increasing the amount of learning time and content coverage necessary to improve student achievement.

Conclusions and Implications

The assumption behind the adoption of standards, curriculum frameworks, and assessments is that they will alter teaching practice and improve learning. In this paper we examined the implementation of standards-based reforms in three urban districts to understand the influence of state policy on school practices. Our findings suggest that the different policy environments within which schools and districts operate is related to how districts and school interpret standards. These differences translated into differences in teachers' awareness of standards and perceptions of how well they were implemented. Also, we found from surveys that while teachers reported a high level of awareness of standards, the interviews indicated that they were not the driving force behind school practices—teachers weren't necessarily using them to guide classroom practice. Instead, we found district accountability policies that were narrowly focused on raising standardized test scores and, not surprisingly, school practice directed at improving the school's standardized test scores.

This study also found that it is difficult if not impossible to examine standards-based reform without taking into account other accountability and governance reforms. The policies are interrelated, with one policy establishing the context for another (such as governance reform in Chicago establishing the preconditions for the district's accountability policies). The

[16] Principal interview, Lincoln Elementary School, Detroit Public Schools, 3-4-98.

implementation of standards-based reform also involved actors at multiple levels of the educational system with different levels of authority. While there were differences depending on the local context, standards-based reform relied on top-down systems where student performance on standardized achievement tests was the driving force. This is not surprising since outcome controls are a primary tool available when authority is centralized to create pressure and place constraints on schools.

To conclude, it is unclear if standards-based reform is moving in a direction that will bring increased policy coherence and improved teaching and learning. While the goal is to improve student achievement, it begs the question of what are the consequences of standards-based reform for teaching and learning. By focusing on teaching as a set of classroom activities that can be changed with the right set of incentives and sanctions, questions about the legitimacy of teaching arise when schools are not able to deliver acceptable levels of learning. Clearly, more research is needed on the impact of standards-based reform on the curriculum students receive and instructional practices used by teachers. Districts can assist schools by establishing an infrastructure that provides much needed information on developments in curriculum, instruction, and assessment practices. More attention needs to be devoted to helping teachers align the curriculum and their instructional practices with the standards, and less to test preparation. Policymakers need to understand the implications of standards for teaching and learning as they move forward with accountability policies.

References

Barr, R. & Dreeben, R. (1983). *How schools work.* Chicago: The University of Chicago Press.

Bidwell, C.E. & J.D. Kasarda. (1980). Conceptualizing and measuring the effects of school and schooling. *American Journal of Education*, 89: 401-431.

Chicago School Reform Board of Trustees (1997). *Expecting more: Higher standards for Chicago's students, Chicago academic standards and frameworks.* Chicago: Board of Education of the City of Chicago.

Cleveland City Public Schools (October 22, 1997). *Accountability in the Cleveland Public Schools: Overview of the district's accountability system, performance targets for the 1997/98 school year.* Cleveland: author.

Illinois School Code. (1998). Chapter 105, Article 2-3.63.

Illinois State Assembly. (1995). *Chicago school reform amendatory act,* Pub. L. 89-0015.

Kim, J. R. (1998). "Implementation of Title I schoolwide projects and their impact on schools." Paper presented at the American Educational Research Association Annual Conference, San Diego, CA.

Lipsky, M. (1980). *Street-level bureaucracy: Dilemmas of the individual in public services.* New York: Russell Sage Foundation.

Ohio General Assembly. (1997). Am. Sub. Senate Bill 55.

Ohio General Assembly. (1998). Sub. H.B. No. 269.

Peterson, P. E., Rabe, B.G. & Wong, K.K. (1986). *When federalism works.* Washington, DC: The Brookings Institution.

Roderick, M., Bryk, A.S., Jacob, B.A., Easton, J.Q., & Allensworth, E. (1999). *Ending social promotion: Results from the first two years.* Chicago: Consortium on Chicago School Reform.

Rowan, B. (1991). "Applying conceptions of teaching to organizational reform." In R.E. Elmore and Associates, ed. *Restructuring schools: The next generation of educational reform.* San Francisco: Jossey-Bass Publishers.

Sunderman, G.L. & G. Nardini (1999). "Institutional constraints on implementing school reform: Lessons from Chicago." Paper presented at the American Educational Research Association Annual Conference, Montreal, Canada.

Vinovskis, M.A. (1999). "Do federal compensatory education programs really work? A brief historical analysis of Title I and Head Start," *American Journal of Education,* 107 (3), 187-209.

Wang, M.C., K.K. Wong, & J-R. Kim (1999). *A national study of Title I schoolwide programs: A synopsis of interim findings.* Philadelphia: The Mid-Atlantic Regional Educational Laboratory at Temple University Center for Research in Human Development and Education.

Wong, K.K., Dreeben, R., Lynn, Jr., L.E., & Sunderman, G.L. (1997). *Integrated governance as a reform strategy in the Chicago Public Schools.* Chicago: The Department of Education & Irving B. Harris Graduate School of Public Policy Studies, The University of Chicago.

Wong, K. K., Sunderman, G. L., & Lee, J. (1997). "Redesigning the federal compensatory education program: Lessons from the implementation of Title I schoolwide projects," in M.C. Wang & K. K. Wong, Eds. *Implementing school reform: Practice and policy imperatives.* Philadelphia: Temple University Center for Research in Human Development and Education.

Wong, K.K. & Sunderman, G.L. (1996). "Redesigning accountability at the system-wide level: The politics of school reform in Chicago." In K.K. Wong, Ed. *Advances in educational policy: Rethinking school reform in Chicago, Vol. 2.* Greenwich, Connecticut: JAI Press, Inc.

Yancey, W. L. & Thadani, R. (1997). *Identifying exceptional schools: Chicago, Illinois.* Laboratory for Student Success, Center for Research on Human Development and Education, Temple University.

Yancey, W.L., Freely, J., & Breeding, C. (1999). *Inequality and achievement: The effects of racial and economic segregation in urban schools.* Paper presented at the American Educational Research Association Annual Conference, Montreal, Canada.

Yu, C.M. & Taylor, W.L., Eds. (1999). *Title I in midstream: The fight to improve schools for poor kids.* Washington, DC: Citizens' Commission on Civil Rights.

Table 1: Summary of Observed and Expected Achievement Scores and the Residual for Students at Selected Title I Schoolwide Programs in Three Districts: Chicago, Cleveland, and Detroit

Chicago Public Schools, Iowa Tests of Basic Skills, 1990-91 to 1995-96

	Reading			*Math*		
School	*Observed (average)*	*Expected*	*Residual*	*Observed (average)*	*Expected*	*Residual*
Fairfax	15.99	14.61	1.37	27.84	16.94	10.93
Montgomery	23.27	14.14	9.13	28.07	21.17	6.94
Cornell	11.87	20.27	-8.40	15.57	22.49	-6.89
Butler	11.69	16.87	-5.19	9.90	18.77	-8.85

Source: William L. Yancey & Raj Thadani (1997). *Identifying Exceptional Schools: Chicago, Illinois.* Laboratory for Student Success, Center for Research on Human Development and Education, Temple University.

Cleveland Public Schools, California Achievement Test, 1994-95 & 1995-96

	Reading			
	Observed		*Expected*	*Residual*
School	*1995*	*1996*	*1996*	*1995-96*
Sherman	49.5	47.9	42.8	5.6
Lincoln	52.0	53.0	43.9	8.0
Carter	36.7	36.9	42.2	-6.3
McKinley	39.1	36.7	42.1	-4.9

Source: William L. Yancey & Raj Thadani (1997). *Identifying Exceptional Schools in Cleveland.* Laboratory for Student Success, Center for Research on Human Development and Education, Temple University.

Detroit Public Schools, Metropolitan Achievement Tests, 1995-96

	Reading			*Math*		
School	*Observed*	*Expected*	*Residual*	*Observed*	*Expected*	*Residual*
Washington	58	39.4	18.6	63	38.0	25.0
Sinclair	67	39.1	27.9	59	37.8	21.2
Adams	14	44.6	-30.6	16	42.3	-26.3
Roosevelt	15	36.6	-21.6	21	36.7	-15.7

Source: Personnel communication with William L. Yancey, Temple University (September 24, 1997), "Results of Multiple Regression Analyses of Reading and Math Achievement (MAT) Detroit Elementary and Middle Schools, 1996."

Table 2: Demographic and School Characteristics for Students at Selected Schools in Three Districts: Chicago, Cleveland, and Detroit

Chicago Public Schools, 1996-97

	Fairfax	Montgomery	Cornell	Butler
Enrollment	441	956	667	494
% White	0.0	0.8	0.0	0.0
% African Amer.	100	0.1	100	100
% Latino	0.0	99.0	0.0	0.0
% Asian	0.0	0.1	0.0	0.0
% Native American	0.0	0.0	0.0	0.0
% LEP	0.0	59.0	0.0	0.0
% Low Income	95.5	98.1	86.7	99.8
% Mobility	34.1	24.1	24.5	32.3
% Daily Attend.	92.7	94.2	91.7	91.9

Source: Chicago Public Schools

Cleveland Public Schools, 1996-97

	Carter	McKinley	Sherman	Lincoln
Enrollment	320	516	616	562
% White	1.4	2.2	37.2	1.8
% African American	97.2	97.0	18.0	95.8
% Latino	0.8	0.2	43.4	2.3
% Asian	0.0	0.2	0.2	0.0
% Native American	0.6	0.0	0.5	0.0
% LEP	0.0	0.0	25.4	0.0
% Low Income	96.2	95.0	95.8	96.3
% Mobility	32.5	29.0	22.6	16.7
% Daily Attend.	91.6	89.2	91.3	91.0

Source: Cleveland City School District, *Building Profiles: Data for 1996-97 School Year, Three-Year Baseline Data, Elementary Schools,* October 1997. *Elementary School Average. N/A: not available.

Detroit Public Schools, 1996-97

	Adams	Roosevelt	Washington	Sinclair
Enrollment	460	728	564	781
% African Amer.	18.0	78.0	95.0	100
% Low Income	71.0	96.0	80.0	68.0

Source: Detroit Public Schools

Table 3: School District Demographics, 1996-97

	Chicago	Cleveland	Detroit
Enrollment	421,334	71,054	183,447
% African Amer.	54.7	79.5	90.98
% Latino	30.6	7.5	
% Low Income	83.2	86.4	69.87
% Mobility	29.0	19.6	

Source: School district database.

Table 4: ANOVA

Constructs	Chicago Mean (SD)	Cleveland Mean (SD)	Detroit Mean (SD)	ANOVA F (df)
Standards Awareness				
State Policy	4.06 (.51)	3.79 (.50)	3.76 (.59)	11.95 (2, 338)***
Standards	4.00 (.60)	3.67 (.63)	3.85 (.69)	6.77 (2, 338)**
School Climate				
Student-Teacher Rel	4.24 (.56)	4.06 (.49)	4.34 (.59)	4.76 (2,326)**
Student Attitude	3.91 (.72)	3.60 (.67)	3.99 (.68)	6.43 (2, 320)**
Lack of Problem	3.15 (.64)	2.92 (.45)	3.29 (.36)	7.38 (2, 306)**
Colleague Relationship	4.04 (.64)	3.98 (.74)	4.13 (.67)	0.76 (2, 322)
Leadership/Management				
Leadership	4.05 (.84)	3.75 (.91)	3.86 (.89)	2.86 (2, 332)*
Shared Decision	3.39 (.93)	3.12 (1.04)	3.41 (.91)	2.33 (2, 321)
Instructional Strategies				
Evaluation	4.15 (.57)	4.04 (.52)	4.11 (.57)	0.90 (2, 304)
Student Centered Inst	3.98 (.57)	4.01 (.61)	3.92 (.69)	0.32 (2, 313)
Cooperative Learning	4.23 (.65)	4.16 (.58)	4.33 (.56)	1.24 (2, 327)

Note: *** <.000 ** <.01 *<.05

U.S. Department of Education
Office of Educational Research and Improvement (OERI)
National Library of Education (NLE)
Educational Resources Information Center (ERIC)

REPRODUCTION RELEASE

UD034160

(Specific Document)

I. DOCUMENT IDENTIFICATION:

Title: Influence of State Policy on Standards and School Practices: A Comparison of Three Urban Districts	
Author(s): Gail L. Sunderman & Jeong-Ran Kim	
Corporate Source:	Publication Date:

II. REPRODUCTION RELEASE:

In order to disseminate as widely as possible timely and significant materials of interest to the educational community, documents announced in the monthly abstract journal of the ERIC system, *Resources in Education* (RIE), are usually made available to users in microfiche, reproduced paper copy, and electronic media, and sold through the ERIC Document Reproduction Service (EDRS). Credit is given to the source of each document, and, if reproduction release is granted, one of the following notices is affixed to the document.

If permission is granted to reproduce and disseminate the identified document, please CHECK ONE of the following three options and sign at the bottom of the page.

The sample sticker shown below will be affixed to all Level 1 documents

PERMISSION TO REPRODUCE AND DISSEMINATE THIS MATERIAL HAS BEEN GRANTED BY

Sample

TO THE EDUCATIONAL RESOURCES INFORMATION CENTER (ERIC)

1

Level 1 ↑ [X]

Check here for Level 1 release, permitting reproduction and dissemination in microfiche or other ERIC archival media (e.g., electronic) *and* paper copy.

The sample sticker shown below will be affixed to all Level 2A documents

PERMISSION TO REPRODUCE AND DISSEMINATE THIS MATERIAL IN MICROFICHE, AND IN ELECTRONIC MEDIA FOR ERIC COLLECTION SUBSCRIBERS ONLY, HAS BEEN GRANTED BY

Sample

TO THE EDUCATIONAL RESOURCES INFORMATION CENTER (ERIC)

2A

Level 2A ↑ [ ]

Check here for Level 2A release, permitting reproduction and dissemination in microfiche and in electronic media for ERIC archival collection subscribers only

The sample sticker shown below will be affixed to all Level 2B documents

PERMISSION TO REPRODUCE AND DISSEMINATE THIS MATERIAL IN MICROFICHE ONLY HAS BEEN GRANTED BY

Sample

TO THE EDUCATIONAL RESOURCES INFORMATION CENTER (ERIC)

2B

Level 2B ↑ [ ]

Check here for Level 2B release, permitting reproduction and dissemination in microfiche only

Documents will be processed as indicated provided reproduction quality permits.
If permission to reproduce is granted, but no box is checked, documents will be processed at Level 1.

I hereby grant to the Educational Resources Information Center (ERIC) nonexclusive permission to reproduce and disseminate this document as indicated above. Reproduction from the ERIC microfiche or electronic media by persons other than ERIC employees and its system contractors requires permission from the copyright holder. Exception is made for non-profit reproduction by libraries and other service agencies to satisfy information needs of educators in response to discrete inquiries.

Sign here, → please

Signature: Gail Sunderman	Printed Name/Position/Title: Gail Sunderman, Research Analyst
Organization/Address: AIR, 1000 Thomas Jefferson St. NW, Washington, DC 20007	Telephone: 202-944-5385 FAX:
	E-Mail Address: gsunderman@air.org Date: 4-25-01

(over)

III. DOCUMENT AVAILABILITY INFORMATION (FROM NON-ERIC SOURCE):

If permission to reproduce is not granted to ERIC, *or*, if you wish ERIC to cite the availability of the document from another source, please provide the following information regarding the availability of the document. (ERIC will not announce a document unless it is publicly available, and a dependable source can be specified. Contributors should also be aware that ERIC selection criteria are significantly more stringent for documents that cannot be made available through EDRS.)

Publisher/Distributor:
Address:
Price:

IV. REFERRAL OF ERIC TO COPYRIGHT/REPRODUCTION RIGHTS HOLDER:

If the right to grant this reproduction release is held by someone other than the addressee, please provide the appropriate name and address:

Name:
Address:

V. WHERE TO SEND THIS FORM:

Send this form to the following ERIC Clearinghouse:	ERIC Clearinghouse on Educational Management 1787 Agate Street 5207 University of Oregon Eugene, OR 97403-5207 Attn: Acquisitions

However, if solicited by the ERIC Facility, or if making an unsolicited contribution to ERIC, return this form (and the document being contributed) to:

ERIC Processing and Reference Facility
4483-A Forbes Boulevard
Lanham, Maryland 20706

Telephone: 301-552-4200
Toll Free: 800-799-3742
FAX: 301-552-4700
e-mail: ericfac@inet.ed.gov
WWW: http://ericfac.piccard.csc.com

EFF-088 (Rev. 2/2000)