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#### ABSTRACT

This project analyzes data from the parent component of the National Educational Longitudinal Study of 1988 to investigate changes in family educational involvement between students' 8th and 12th grades. Findings show that the patterns of parental involvement in adolescents' education change between the two grades. During high school, parents become less involved with monitoring students' individual behaviors and more concerned with their learning opportunities at school. By students' eighth grade, nearly all parents had postsecondary expectations, but few had taken specific actions to secure funds for college. During adolescents' senior year in high school, most parents report frequent discussions with them concerning postsecondary schools. At that time, parents also report that they have some knowledge about financial aid. A high proportion of 12th graders' parents expect to finance their child's further education through scholarships and grants, but fewer had applied for such programs before students' high school graduation. Consistent between-grade differences exist in the ways in which parents from different racial and ethnic backgrounds get involved with their adolescents' education and in their approach towards financing postsecondary education. Overall, findings indicate that many parents welcome opportunities for communication with schools and desire greater participation in the school decision-making process. They would also greatly benefit from guidance in their efforts to secure funds for postsecondary education. Four appendixes present a parent involvement factor analysis and parental variables from first, second, and college factor analyses. (Contains 20 tables and 9 references.) (Author/SLD)

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# PARENTAL INVOLVEMENT IN STUDENTS' EDUCATION DURING MIDDLE SCHOOL AND HIGH SCHOOL

Sophia Catsambis Janet E. Garland

Report No. 18 / December 1997

CENTER FOR RESEARCH ON THE EDUCATION OF STUDENTS PLACED AT RISK

Johns Hopkins University & Howard University

# PARENTAL INVOLVEMENT IN STUDENTS' EDUCATION DURING MIDDLE SCHOOL AND HIGH SCHOOL

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Report No. 18

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#### The Center

Every child has the capacity to succeed in school and in life. Yet far too many children, especially those from poor and minority families, are placed at risk by school practices that are based on a sorting paradigm in which some students receive high-expectations instruction while the rest are relegated to lower quality education and lower quality futures. The sorting perspective must be replaced by a "talent development" model that asserts that all children are capable of succeeding in a rich and demanding curriculum with appropriate assistance and support.

The mission of the Center for Research on the Education of Students Placed At-Risk (CRESPAR) is to conduct the research, development, evaluation, and dissemination needed to transform schooling for students placed at risk. The work of the Center is guided by three central themes — ensuring the success of all students at key development points, building on students' personal and cultural assets, and scaling up effective programs — and conducted through seven research and development programs and a program of institutional activities.

CRESPAR is organized as a partnership of Johns Hopkins University and Howard University, in collaboration with researchers at the University of California at Santa Barbara, University of California at Los Angeles, University of Chicago, Manpower Demonstration Research Corporation, University of Memphis, Haskell Indian Nations University, and University of Houston-Clear Lake.

CRESPAR is supported by the National Institute on the Education of At-Risk Students (At-Risk Institute), one of five institutes created by the Educational Research, Development, Dissemination and Improvement Act of 1994 and located within the Office of Educational Research and Improvement (OERI) at the U.S. Department of Education. The At-Risk Institute supports a range of research and development activities designed to improve the education of students at risk of educational failure because of limited English proficiency, poverty, race, geographic location, or economic disadvantage.



#### **Abstract**

This project analyzes data from the parent component of the *National Educational Longitudinal Study of 1988* to investigate changes in family educational involvement between students' eighth and twelfth grades. Findings show that the patterns of parental involvement in adolescents' education change between the two grades. During high school, parents become less involved with monitoring students' individual behaviors and more concerned with their learning opportunities at school. By students' eighth grade, nearly all parents had postsecondary expectations, but few had taken specific actions to secure funds for college. During adolescents' senior year in high school, most parents report frequent discussions with them concerning postsecondary schools. At that time, parents also report that they have some knowledge about financial aid. A high proportion of twelfth graders' parents expect to finance their child's further education through scholarships and grants, but fewer had applied for such programs before students' high school graduation. Consistent between-grade differences exist in the ways in which parents from different racial/ethnic backgrounds get involved with their adolescents' education and in their approach towards financing postsecondary education.

Overall, findings indicate that many parents are willing to participate in the school buildings and in the decision-making processes of high schools. They would also greatly benefit from guidance in their efforts to secure funds for postsecondary education.



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### Introduction

The role of parents in managing children's educational experiences at home and at school has long been considered critical for children's success in school. However, it is only recently that researchers have begun systematic and extensive investigations of parental involvement beyond the early years of schooling. Recent research has investigated parents' involvement in students' education during the middle grades. This study expands that research by examining parents' involvement in high school and by tracing continuity and change in parental involvement between the middle grades and the last year of secondary education.

#### **Background**

Prior research has established that family involvement in children's education is an important factor for student success. Earlier studies focused on the role that parents play in increasing the learning opportunities and the success of students in elementary education. More recently, important advances have been made in the theoretical conceptualization of parental involvement and in the empirical investigations that extend from the elementary to the secondary school grades.

#### **Conceptualizing Parental Involvement**

This study conceptualizes parents' involvement in school and family-school connections from a social organizational perspective developed by Epstein (1990). This conceptualization is based on a theory of overlapping spheres of influence which focuses on the complex interrelationships of family, community, school, and peer groups as they affect student's well being and academic performance. School, family, and community partnerships include practices initiated by parents, educators, or other community members. These practices may occur at school, at home, or in the community and they reflect six different types of family involvement (Epstein, 1990, 1992; Epstein & Lee, 1995).

**Type I:** Refers to basic parenting obligations for the child's health, safety, and preparedness for school and for providing positive home conditions that support educational progress.

**Type II:** Refers to the basic obligations of schools to communicate with families regarding school programs and student progress (such as communications through memos, notices, report cards, and conferences with parents).



**Type III:** Refers to parents' participation in volunteering at school (such as assisting teachers, administrators, or students in classrooms) and in participating in school activities and events (such as student performances, sports, and other events).

**Type IV:** Refers to parental involvement in student learning at home, to parent-child-initiated requests for help, and to teachers' ideas about parents' involvement in home learning activities.

**Type V:** Refers to parental involvement in decision-making activities at school (such as participation in Advisory Councils, parent-teacher organizations, parent advocacy groups, and other school, district, or state level educational committees).

**Type VI:** Refers to school and parent collaborations with communities and community agencies that enhance the learning opportunities of children (such as programs for after-school care or health care, cultural events, and community services).

The significance of the theoretical perspective of overlapping spheres of influence lies not only in the identification of the different types of parental involvement, but also in the recognition that parents' involvement in children's education and family-school connections is not static, but is a complex phenomenon that is influenced by characteristics of the overlapping spheres of influence and the nature of the participants' interrelationships. Parental involvement may therefore vary by factors such as students' age and grade level, social background and experiences of families, and school policies (Epstein, 1992). This perspective points to the importance of expanding existing knowledge of how family involvement and student life change from the middle grades to high school, of what factors influence any observed changes, and of their effects on student progress.

#### **Previous Research Findings**

Researchers over the past fifteen years have sought to explore the nature of parents' involvement in their children's education at home and at school in order to establish effective policies for family-school programs and practices. The findings not only led to the development of the above typology by Epstein, but also challenged commonly held assumptions about the effects of social background on the levels and effectiveness of family-school partnerships.

A number of research studies show that parental involvement in children's learning activities positively influences their levels of achievement and motivation to learn (Epstein, 1992). Other studies confirm that families of higher socioeconomic background and higher levels of education have higher and more effective levels of parental involvement (Lareau,



1987; Baker & Stevenson, 1986; Useem, 1982). Families from different social class and race/ethnic backgrounds also engage in different types of parental involvement which have varying effects on student achievement and well being (Schneider & Coleman, 1995).

A second line of research points to the importance of school practices in involving all families and helping students succeed in school (Epstein 1990). Findings from those studies show that minority parents can be successfully involved in their children's education and that school and teacher interventions help these families succeed (Epstein, 1990, 1992).

Parental involvement dramatically declines as students enter the middle grades and even more so as they enter high school. Data from the public use files of the *National Educational Longitudinal Study* of 1988 (NELS:88) greatly enhanced the study of parental involvement in secondary education. The longitudinal nature of this national survey of eighth graders, their parents, and their schools provides the necessary data to study patterns of parental involvement over time. The first two waves of the study produced important research findings concerning parental involvement in the middle grades and the early years of high school.

To date, most of the research using the NELS:88 data set has been conducted using the base year surveys at the middle school level. The findings reveal that most parents are trying to supervise and guide their children during the middle grades, but with limited assistance from schools. As a result, families are functioning, but struggling. They are more likely to supervise and set rules about activities that families traditionally control ( such as doing family chores) than about activities for which they lack information (such as improving report card grades). Parents report a serious lack of communication from schools, and the families, themselves, contact the schools infrequently. A big proportion of middle grade students and their families are isolated from or unconnected with their schools and are uninformed about students' progress and their school's programs. It seems that few middle schools have comprehensive programs for parental involvement and few parents volunteer at school (Epstein & Lee, 1995). Studies suggest that if middle schools were to create programs that encourage school-family contacts and guide parents' interactions, more families would participate in schools and would be able to guide their children in their learning efforts (Epstein & Lee, 1995).

A study by Lee (1995) uses data from the base year and first follow-up surveys of NELS:88 to compare parental involvement between the eighth and tenth grades. The study produced valuable information concerning the extent of continuity in parental involvement between middle grades and high school. Family involvement in students' education declines as students move from the middle grades to the early years of high school. However, some parents continue their high level of involvement. Despite changes in school environments and



policies that come with transferring to a high school, those parents who were highly involved in the middle grades tend to continue their involvement in high school as well. In fact, the effects of social background characteristics (such as SES, race/ethnicity, and gender) on levels of parental involvement in the tenth grade are minimal in comparison to the effects of prior parental involvement. Race/ethnic differences in types of parental involvement continue to exist in the tenth grade. African American parents are most likely to conduct family discussions, attend school meetings and contact teachers. Asian American parents are least likely to participate in school visits and volunteering, but are similar to White and Latino parents on other types of involvement (Lee, 1995).

Although the study by Lee produced valuable information concerning trends in parental involvement over time and effects on student progress, it was limited by the type of data available at the time of analysis. Parents were not interviewed again in the first follow-up of the NELS:88 study when their children reached the tenth grade. Therefore, tenth grade information is based not on parents' responses, but on students' reports concerning their parents' behaviors and practices. Analyses of data from parents are important for validating the above findings and continuing this line of research to the last years of high school.

The present study seeks to further existing knowledge of parental involvement in students' education during high school. It extends the work of Lee (1995) in two important ways. First, it uses the second follow-up study of NELS:88, which provides data during students' senior year in high school. Second, it uses information from parents who were interviewed again in the second follow-up. Thus, the study compares parental involvement from the middle grades to the last year of high school, with data from parents at both points of time. More detailed information on the data source and the analytical strategies used is provided in the section that follows.

# **Data and Methods of Analysis**

The data used for this project come from a major longitudinal panel study sponsored by the National Center for Education Statistics. The *National Educational Longitudinal Study* (NELS:88) was conducted to explore student progress from the eighth grade through high school, college, and the work force.

The Base Year study is based on a representative sample of the nations' eighth graders in 1988 and consists of student, parent, teacher, and school administrator surveys. The First Follow-up study conducted two years later in 1990 included three of the four groups —



students, teachers, and school administrators. The parent survey was not repeated at this time. In the Second Follow-up, conducted two years later in 1992, all four component groups were re-interviewed. This report analyzes data from the Base Year and the Second Follow-up parent surveys. The sample consists of more than 15,000 parents who were followed from 1988 to 1992. The large size of the sample and its longitudinal design make NELS:88 a rich and important data set that can be used to address many questions about national patterns of parental involvement and its effects from the middle grades to high school and beyond.

Because the main objective of this study is to compare parental involvement between the eighth and the twelfth grades, analyses use data from the sub-sample of 13,580 parents whose children remained in school through the twelfth grade. Parents whose children dropped out of school are not included in this study. All data presented here are based on this sample, weighted by the appropriate sampling weight (F2PANLWT). To allow for tests of statistical significance, the weight has been standardized (F2PNWLT/mean F2PANLWT).

The second objective of this study is to identify clusters of variables that can be combined into different indices of parental involvement. Each parent questionnaire includes a great number of questions that can be considered as indicators of parental involvement, many of which are unique to the eighth and twelfth grades. The construction of these indices is important for between-grade comparisons and for future analyses that will investigate the effects of parental involvement on student outcomes. For the purpose of index construction, factor analyses were conducted separately for the base year and second follow-up data. Appendices A, B, C, and D provide more detailed information on the factor analyses.

## **Research Findings**

This study begins by identifying variables common to the eighth and twelfth grade parent surveys. Unfortunately, identical variables for types four through six of Epstein's parental involvement typology are not included in both surveys. For this reason comparative analyses are conducted for parental involvement types one through three and for parental satisfaction with school only. The study continues with the construction and comparison of parental involvement indices between the two grades.

In Part I, we examine the common variables in the Base Year and Second Follow-Up parent surveys, including a separate examination of race/ethnic differences in parent involvement by grade. In Part II, we examine college-related parent involvement, again including a separate examination of race/ethnic differences. In Part III, we report on factor



analyses that create indices that represent each type of parental involvement in Epstein's (1992) typology, and then examine race/ethnic variations in these indices. Finally, in Part IV, we create indices of college-related parent involvement and examine associations between eighth and twelfth grade.

# I. Parental Involvement: Common Variables in Base Year and Second Follow-Up Parent Surveys

#### Parental Involvement Type I: Parenting and Child Rearing

Between the eighth and twelfth grades, most parents continue to maintain rules for students' keeping their grade point average. By the twelfth grade though, a significant proportion of families have dropped rules for teens' homework and daily discussions concerning school activities (Table 1a, "All" columns). These changes do not mean that parents lose interest in their children's education. Indeed, from two-thirds to three-fourths of twelfth grade parents maintain family rules and behaviors for teens about high school. Moreover, their educational expectations increase as their teens approach high school graduation. Although the percentages do not include parents of students who dropped out of school, the comparisons of those who remained in school are telling. A higher proportion of parents expect their children to receive post-baccalaureate degrees (MA or professional degrees) in the twelfth than in the eighth grade (Table 1b, "All" columns). Parents may actually place greater importance on managing their child's educational experiences as their child gets closer to high school graduation. This is indicated by their participation in decisions concerning selection of high school courses and additional educational expenses.

In the eighth grade, 48% of parents expected that their teens would make their own coursework selections in high school (Table 1c, "All" columns). By the twelfth grade, 41% of parents reported that their teen made course selections on his/her own. About 53% of the parents reported that *they* were involved in course selections, either by discussing this matter with their teen or by equally participating in these decisions. The percentage of parents who reported private school spending almost tripled from the eighth to the twelfth grade (Tables 1d, 1e, "All" columns).



	Table	1a: Parenta	Rules a	nd Con	nmunica	tions wit	h Child by	Grade		
	1	Eighth Grade				1	welfth Grade	:		
Asian	Latino	African American	White	All	Asian	Latino	African American	White	All	
Percent	with famil	y rules about	maintaini	ng grade	average					
74 77 8: 69 72 70 80 84 68 71										
Percent	with famil	y rules about	doing hon	nework						
89	94	95	91	92	78	88	90	77	79	
Percent	who talk t	o child about	school reg	ularly						
70	72	78	84	82	54	53	60	64	62	
Average	percent of	total parent so	mple	••			_			
3.7 7.7 12.2 76.4 100 % 3.7 7.7 12.6 76 100%									100%	
Average	<i>N</i> .			11,221					11,121	

	Tal	ble 1b: Pa	arental Ed	ucationa	l Aspira	ations fo	r Child b	y Grade	<u> </u>	
		Eighth G	rade		·		T	welfth Grad	e ·	
Education Aspired	II A cian I I atino I I White						Latino	African American	White	All
High School or Less	6%	12%	11%	10%	10%	7%	1%	2%	3%	3%
Some College	15	31	25	25	25	9	13	13	18	17
B.A.	36	28	39	44	42	38	37	31	41	39
M.A. or equiv.	16	12	11	12	12	26	24	23	22	23
M.D., Ph.D. or equiv.	27	16	13	9	10	30	24 .	30	15	18
Average % of total parent sample	3.7	7.7	12.6	76	100%	3.7	7.7	12.6	76	100%
Average N				•	11,439		-			11,441

Source: Survey of Parents, NELS:88 Base Year and Second Follow-Up



Table 1c:Eight	h Grade Pai	rents' Repor	ts of Involveme	nt with Course	Selection						
Who will decide/decides about high school course selections											
	Asian	Latino	African American	White	All						
Student	52%	52%	48%	48%	48%						
Teacher/counselor	22	24	25	24	24						
Parent	21	21	24	25	25						
Others	5	3	3	3	3						
Average % of total parent sample											
Average N					11,049						

Table 1d: Twelf	Table 1d: Twelfth Grade Parents' Reports of Involvement with Course Selection											
	Who decides about high school course selections											
	Asian	Latino	African American	White	All							
Teen alone	49%	61%	54%	36%	41%							
Teen with parent	een with parent 27 21 20 37											
Teen/parent equally	18	11	19	21	20							
Parent alone or with teen	6	7	7	6	6							
Average % of total parent sample	3.7	7.7	12.6	76	100							
Average N					11,305							

Source: Survey of Parents, NELS:88 Base Year and Second Follow-Up



		Table 1e:	Additio	nal Edu	cational	Expense	s by Grade			
	Eighth Grade					· 	Twelfth Grad	le		
Asian	Latino	African American	White	All	Asian	Asian Latino African American White				
Private School										
15%	8%	3%	8%	7.5%	27%	20%	18%	20%	20%	
Tutorin	g									
9	4	3	5	5	9	6	4	5	5	
Average	percent of	total parent sa	ımple							
3.76         7.64         12.6         76         100%         3.6         7.7         13.25         75.6         100%									100%	
Average	<i>N</i> :			10,360					10,753	

# Parental Involvement Types II and III: Parent/School Communications about School Programs and Student Progress, and Volunteering at School

School-initiated contacts with parents tend to be different in high school than in the middle grades. Fewer parents report that the school contacts them about their child's academic performance or behavior in the twelfth than in the eighth grade (Table 2a, "All" columns). However, a much higher proportion of parents report that the school contacts them about their teen's academic programs and about doing volunteer work in the twelfth than in the eighth grade.

Parent:initiated contacts about academic programs and volunteering are also higher in high school than in the middle grades (Table 2b, "All" columns). Overall, the big change in parent/school communication between the two school grades occurs in communications about parents' involvement in academic choices and school activities. Levels of communication about students' individual progress do not change much between the two grades. By twelfth grade, 41% report contacting the school about volunteering and 46% report contacting the school about the school's academic program.



#### Parental Involvement in School **Type II Indicators** Table 2a: School-initiated Contacts with Parents by Grade **Eighth Grade Twelfth Grade African** African White Asian Latino All Asian Latino White All American American Percent of parents contacted about student's academic performance 66 71 69 67 68 47 55 47 53 52 Percent of parents contacted about school's academic program 40 37 36 36 37 38 41 43 44 44 Percent of parents contacted about student's school behavior 19 29 39 24 26 16 19 26 18 19 Percent of parents contacted about doing volunteer work 24 30 31 36 34 50 43 51 59 56 Average percent of total parent sample 7.5 3.6 12.3 76.5 100% 3.6 7.7 12..5 76 100% Average N 11,177 11,359

Source: Survey of Parents, NELS:88 Base Year and Second Follow-Up

		Гable 2b:Ра	rent-ini	tiated Co	ntacts v	vith Scho	ol by Grade	2			
		Eighth Grade			Twelfth Grade						
Asian	Latino	African American	White	All	Asian	White	All				
Percent	who conta	cted school ab	out doing	volunteer	work	•	•		•		
18	16	19	24	22	33	32	35 -	43	41		
Percent who contacted school about student's academic performance											
43	54	53	55	54	41	54	61	53	53		
Percent	who conta	cted school ab	out schoo	l's acaden	nic progra	ım			· · · · · · · · · · · · · · · · · · ·		
76	68	76	69	35	40	41	51	46	46		
Average	Average percent of total parent sample										
3.6	7.4	12	76.9	100%	3.6	7.7	12.5	76.1	100%		
<del>├────┴────┴────┴────╟───┠</del> ── <del>─┴</del> ────╟─									11,351		

Source: Survey of Parents, NELS:88 Base Year and Second Follow-Up



#### Parental Satisfaction with School

The degree to which parents are satisfied with their child's school does not change much between the eighth and twelfth grades. In both the middle grades and high school, the majority of parents are satisfied with their children's preparation for college. Parents seem to be a little less satisfied with schools' priority on learning, school standards, and parental involvement in school policy in the twelfth grade than the eighth grade (Table 3, "All" columns).

	T	able 3 : I	ndicators of	Parenta	al Satisf	action w	ith Scho	ol By Grade	<u> </u>	
		Eightl	Grade				1	welfth Grade	:	
	Asian	Latino	African American	White	All	Asian	Latino	African American	White	All
School pla	ces high	priority on	learning				-			
Strongly Agree	45%	35%	36%	35%	36%	37%	36%	27%	32%	31%
Agree	48	58	56	58	58	56	63	59	57	58
School's st	andards	are realisti	c						\$'	
Strongly Agree	22%	20%	25%	19%	20%	19%	17%	18%	19%	19%
Agree	17	71	66	71	71	68	68	67	68	68
Students a	re prepa	red well for	r college				_			
Strongly Agree	21%	19%	21%	14%	16%	25%	17%	19%	21%	20%
Agree	58	58	53	62	61	60	63	57	55	56
Parents ha	ve adequ	ate say in s	school policy						_	
Strongly Agree	12%	12%	10%	7%	8%	10%	7%	7%	7%	7%
Agree	67	- 59	56	55	56	59	58	52	50	51
Parents wo	rk togetl	ner in supp	orting school	policy					_	
Strongly Agree	16%	15%	15%	11%	12%	12%	9%	9%	10%	10%
Agree	69	63	64	64	64	62	60	, 58	58	58
Average percent of total parent sample										
	3.6	7.6	12.2	76.6	100%	3.6	7.7	12.6	76	100%
Average N					10,986		•		·	11,273

Source: Survey of Parents, NELS:88 Base Year and Second Follow-Up



Overall, the majority of parents report high levels of satisfaction with their child's school in both grades. Although more parents report that they have at least some communications with their teen's school in the twelfth than in the eighth grade (Table 2a, b), parents are somewhat less satisfied with their child's high school than they were with their middle grade school. The one aspect that parents are least satisfied with is their role in school policy. About 36% of parents of eighth graders and 42% of parents of twelfth graders felt that they did not have an adequate say in school policy (Table 3, "All" columns). In addition, about one-third of parents are not satisfied with the degree to which parents work together to support high school policy. These data show that a significant number of parents desire higher levels of input and participation in school policies, especially in high schools.

#### Summary

As students progressed from the eighth to the twelfth grade, some changes occurred in parental involvement at home and at school. As teens gain maturity and approach high school graduation, parents tend to be less involved with their daily behavior and activities. Possibly because they increase their educational expectations for their adolescents, parents tend to maintain an interest and involvement in high school learning opportunities. Parents become more involved in high school course selections and are more likely to spend money for private schooling.

The content of school-initiated communications with parents shifts as students progress from middle school to high school. Parents of twelfth graders report more often that schools contact them about high school programs and volunteering at school than do parents of eighth graders. In moving from middle grades to high school, both parents and schools tend to place more emphasis on academic programs and parental involvement in school and less emphasis on students' individual behaviors.

Although most parents tend to be satisfied with their children's schools, their satisfaction tends to be slightly lower for high schools than it was for middle schools; this is especially true for parental involvement in school policy.

## Race/Ethnic Differences in Parental Involvement by Grade

Earlier studies indicate that parents of different racial/ethnic backgrounds tend to have different patterns of involvement in their children's education (Muller & Kerbow, 1993; Lee, 1995). This section investigates the race/ethnic differences that occur from the eighth to the twelfth grade.



#### Parental Involvement Type I: Parenting and Child Rearing

In both Base Year and Second Follow-up surveys, parents were asked whether they have family rules about their teen maintaining a certain grade average and doing homework, and whether they have regular talks with their teen about school. When parents' responses are compared between the middle grades and high school, some general race/ethnic patterns emerge (Table 1a). All race/ethnic groups tend to maintain their family rules about teens' grades up to high school graduation. Fewer parents of all groups report having rules about homework in high school than in middle school; the reduction of maintaining these rules is strongest among Asians and Whites. All parents report reducing the frequency of talking to their teen about school between the eighth and twelfth grade.

When race/ethnic differences are examined in the proportion of families that maintain rules and communications with teens, the following patterns emerge (Table 1a): (1) More African American and Latino parents than Asian or White parents report having rules for their twelfth graders concerning grade average and homework; (2) African American and Latino parents tend to have the highest levels of supervision of teens' daily activities in both middle grades and high school; (3) White and Asian American parents most often reduce their daily supervision as their child grows older.

As noted earlier, parents of students who stay in high school tend to increase their educational expectations as their children reach the twelfth grade. The greatest changes between the eighth and twelfth grades are a drop in the proportion of parents who expect their child not to complete high school or receive only a high school diploma, and an increase in the proportion of parents who expect their children to receive post-baccalaureate degrees. These changes are greater among African American and Latino than among White and Asian American parents (Table 1b). Actually, the strongest increase is among African American parents who expect their teens to receive an MD or other professional degrees. Only 13% of African American parents had such high expectations for their children in the eighth grade. By the twelfth grade, this percentage more than doubled to 30%. This percentage is double that of Whites and equals that of Asian Americans.

Regarding high school course selections, the eighth grade data show that about one-half of parents from all race/ethnic backgrounds expected that their teens would be making their own high school course selections (Table 1c). By the time students reached the twelfth grade, race/ethnic differences in course decisions significantly increased (Table 1d). Latinos report that their teen makes high school course selections alone most often (61%), whereas only about



one-third (36%) of white parents report that their children make such decisions alone. White parents discuss course selections or share course decisions equally with teens most often.

Race/ethnic differences in high school course decision-making are strong and are undoubtedly partially due to differences in parental education and socioeconomic status. However, race/ethnic differences are so large that they may not be completely accounted for by socioeconomic differences. Even differences between groups with similar levels of socioeconomic status, such as African Americans and Latinos or Asian Americans and Whites, are quite large.

Race/ethnic differences are also evident in the proportion of parents who report expenses for private education (Table 1e). Although the greatest between-grade increases in the proportion of parents reporting private school expenses occur among African Americans, Asian Americans continue to be the group that uses private schools the most.

# Parental Involvement Type II and III: Parent/School Communications about School Programs, Student Progress, and School Volunteering

Race/ethnic comparisons in parent/school communications indicate that African Americans experience the sharpest between-grade decreases in school-initiated contacts about student behavior and student academic performance (Table 2a). In general, increases in school-initiated contacts about parents' volunteering at school are dramatic and interesting for all race/ethnic groups. The greatest increase occurs among Whites; their *school-initiated* contacts concerning volunteering at school increase by 23% from the eighth to the twelfth grade. *Parent-initiated* contacts about volunteering among Whites also increase by 19% (Table 2b).

Asian Americans report the greatest drop in parent-initiated contacts concerning the school's academic program (a drop from 76% in the eighth grade to 40% in the twelfth grade, Table 2b). The reasons for these race/ethic differences in parent/school communications are not clear. Because the different groups attend somewhat different schools (with Asians most likely to attend private school), race/ethnic differences in parent/school communications may reflect not only socioeconomic or cultural differences, but also differences in the policies of public and private schools.

#### Parental Satisfaction with School

Race/ethnic differences in parents' satisfaction with school are less dramatic than those reported above. All parents report a slight drop in satisfaction with school from the eighth to the twelfth grade with only small race/ethnic variations (Table-3). Asian and African Americans show the largest drops in satisfaction with their role in school policy. Despite this,



Asian Americans continue to be the parents who are most satisfied with their role in school policy. White parents tend to have the lowest levels of satisfaction with their role in school policy, especially in the eighth grade.

#### Summary

Overall, there are important race and ethnic trends in parents' reports of involvement between the eighth and twelfth grades. African American and Latino parents have the highest levels of parental supervision and tend to maintain that supervision through their teen's senior year in high school. Between middle grades and high school, Latinos and, even more so, African Americans, increase their educational expectations for their children. By their teen's senior year, the parents who have the highest educational expectations for their children are Asian Americans and African Americans. Surprisingly, White parents tend to have the least expectations for post-baccalaureate degrees for their teens.

White parents are lowest in maintaining specific rules about grades and homework but highest in reporting regular discussions about school. White parents have the highest levels of involvement in high school course selection and in school communications as well.

Along with their high levels of student supervision and their increasing educational expectations, African Americans experience the sharpest between-grade drop in school-initiated contacts regarding student progress. The reasons for this drop are not clear; they may be due to a drop in problematic behaviors of these students during high school. Patterns of parental involvement of Latinos are similar to those of African Americans, but are less dramatic. The only exception is that Latinos have a very high proportion of teens who make coursework decisions on their own.

Asian American parents do not report as dramatic between-grade changes in parental supervision and educational expectations as other groups. The most notable between-grade change for this group is in their drop in initiating contacts with the school concerning school academic programs. Perhaps Asian American parents are involved in the children's academic opportunities in a different way, such as, by exercising school choice and selecting private education.

Race/ethnic differences in between-grade changes in parental involvement seem to diverge in some respects and converge in others. Differences by race/ethnicity widen between students' eighth and twelfth grades for family rules concerning grades, homework, and decision-making over course selection. They diverge somewhat less in school-initiated contacts regarding volunteering at school, and both parent- and school-initiated contacts about students' academic performance.



The gaps in race/ethnic differences narrow from the eighth to twelfth grades in terms of educational expectations of parents, private school expenses, parent-initiated contacts with school regarding volunteering and academic programs, and parents' satisfaction with their role in school policy.

# II. College-Related Parental Involvement

Another important aspect of parental involvement in children's education concerns parents' actions and behaviors that enhance students' opportunities to attend postsecondary education. Although such variables may be considered as indicators of parental involvement Type I (parenting) in Epstein's typology (1992), they are presented in a separate section for two reasons: first, these variables are important specifically for postsecondary educational issues; second, direct comparisons between grades are not possible for these variables, and therefore they require a different presentation than the data reported in previous sections.<sup>1</sup>

#### Eighth Grade

About ninety percent of parents in this sample expect their eighth grader to attend some form of postsecondary education, and about one-half of them had already begun saving for this purpose (Table 4a, "All" column). The most common form of savings was opening a bank account; 79% of the families who started saving for college had opened a savings bank account. A high proportion of parents who started saving for college also bought insurance policies (42%) or made investments in stocks and real estate (46%).

By the time their child reached the eighth grade nearly one-half of parents who had begun saving for college had saved about \$3,000 or less (Table 4b, "All" column). A high proportion of parents expected to make substantial savings during their child's high school years. About 46% expected to set aside more than \$10,000 for their child's college education.



<sup>&</sup>lt;sup>1</sup> Fewer college-related variables were included in the eighth grade parent survey than the twelfth grade. In some instances where common variables between grades do exist, different sub-samples of parents answered these questions in 1988 and 1992. Because of non-equivalent samples, between-grade comparisons cannot be made for some of these common variables.

<sup>&</sup>lt;sup>2</sup> The sample size drops significantly for this analysis because many variables are relevant to only portions of the total sample. For example, the variables concerning the type of savings/investments for financing college are relevant only to one-half of the parents, who had already started saving for college.

Table 4a: Eight	th Grade Pa	rental Involv	ement — Planı	ning for Coll	ege
Variable Description	Asian	Latino	African American	White	All
Expect child will go on to additional education	96%	91%	93%	93%	91%
Saved money for child's ed after high school	44	56	56	46	51
Average N	405	831	1,366	8,244	12,882
Types of savings/investme	nts used :				
Started a savings account	80%	74%	73%	80%	79%
Bought an insurance policy	39	33	48	42	42
Bought U.S. savings bonds	26	23	34	34	33
Made investments in stocks/real estate	55	36	29	49	46
Set up a trust fund	24	13	10	15	15
Taken an additional job	27	18	21	22	22
Established another form of savings	51	33	37	36	36
Average N	178	283	445	3,547	4,016

Table 4b: Eig	hth Grade P	arental Invol	vement — Fin	ancing Coll	ege '						
Variable Description	Asian	Latino	African American	White	All						
Amount of money set asid	e for child's fu	ture education									
< \$1,000											
\$1,000 - 3,000	20	28	28	28	27						
\$3,000 - 6,000	15	19	14	18	17						
\$6,000 - 10,000	9	8	15	13	13						
> \$10,000	42	11	8	23	21						
Amount of money expect	to set aside										
< \$3,000	7%	18%	15%	12%	12%						
\$3,000 - 6,000	11	27	20	20	20						
\$6,000 - 10,000	14	20	30	21	22						
\$10,000 - 15,000	17	13	13	14	14						
> \$15,000	51	21	22	33	32						
Average N	205	315	502	3,902	4,989						

Source: Survey of Parents, NELS:88 Base Year and Second Follow-Up



Table 4c: Eighth Grade	Table 4c: Eighth Grade Parental Involvement — Need of Assistance in College Financing									
Variable Description	Asian	Latino	African American	White	All					
Can pay for child's ed. without assistance	29%	16%	12%	22%	21%					
Family not willing to go into debt for ed.	23	16	15	16	16					
Not much information on financial aid	29	31	28	19	22					
Don't see way to get money for college	11	17	21	7	10					
Relatives will help pay for child's ed.	7	7	16	11	11					
Average N	382	746	1,249	7,744	10,270					

#### Twelfth Grade

Most parents (90%) discussed particular postsecondary schools with their twelfth graders and about one-third of them offered to take their teen to a private counselor (Table 5a, "All" column). When asked which academic-related factors are very important for their teens' choice of college, parents pointed to the school's curriculum, the desired academic program, and their teen's academic preparation. Fewer parents mentioned the school's track record in job and graduate school placement, possibly because this information is not readily available to parents.

About one-half of the parents indicate that they are aware of different loan programs that are available and most stated that they had discussions or read about financial aid issues (Table 5b, "All" column). About half of the parents had talked to a high school guidance counselor or college representative about financial aid, and about one-fourth had talked to a loan officer.

To finance their teen's postsecondary education, 63% of the parents plan to use student grants and scholarships. About one-half of the students had actually applied for scholarships or grants by the spring semester of their senior year in high school.



Table 5a: Twel	fth Grade Pa	rental Involv	ement — Plan	ning for Col	lege
Variable Description	Asian	Latino	African American	White	All
Choosing postsecondary s	chools				
Talked to teen about particular schools	82%	80%	84%	93%	90%
Talked to teen in general about schools	79	75	80	82	81
Gave teen information from school	61	71	71	72	72
Offered to take teen to private counselor	23	28	26	31	30
Average N	351	593	675	6,353	9,225
Academic/career factors v	ery important f	or school choic	ee:	-	
Curriculum	68%	75.5%	82%	69%	71%
School's track of job placement	67	71	84	59	65
Academic preparation	79	80	83	73	49
School's track of graduate school placement	59	66	74	43	75
Desired program available	76	81	90	76	78
Average N	346	601	957	6,343	9,225



Table 5b: Twe	elfth Grade P	arental Invo	lvement — Fir	nancing Coll	ege
Variable Description	Asian	Latino	African American	White	All
Financing postsecondary	education pare	nt knows abou	t:	•	
State student loan program	43%	39%	55%	53%	52%
Federal student loan program	47	38	53	55	53
College student loan program	51	45	62	58	57
Private education loans	48	37	50	58	55
Average N	382	793	1299	7830	9,225
Communications concern	ing financial aid	<u></u> d:	<u> </u>	<u> </u>	<u></u>
Discussed/read about	62%	62%	74%	76%	74%
Talked with high school guidance counselor	50	47	58	52	53
Talked with college representative	45	52	57	57	56
Talked with loan officer	21	16	19	25	23
Read U.S. Department of Ed information	52	59	55	59	58
Read college information	71	70	73	79	77
Average N	262	533	1269	6244	9,225
Plan to use for teen's colle	ge:			<u> </u>	<u>-</u>
Loans	44%	45%	52%	47%	26%
Scholarships/grants	65	68	79	60	63
Work programs	48	54	60	45	23
Average N	385	71	1294	7836	9,225
Teen has applied for:					
Loans	30%	20%	27.5%	26%	26%
Scholarships/grants	58	45	54	52	52
Work Programs	25	20	30	22	23
Financial Aid	56	46.5	56	44	46
Average N	385	788	1297	7859	9,225



#### Summary

Nearly all parents expected their eighth grader to continue schooling beyond high school, but only about one-half had already started saving for this purpose, usually by opening a savings bank account. Parents who began saving collected only small sums by the eighth grade and expected to save more during their child's high school years.

By the twelfth grade, most parents had discussions with their children about postsecondary schools and considered their teen's academic preparation and school programs as the most important academic factors for choosing a school. Most parents expected to finance their teen's further education through grants and scholarships, but only about one-half had applied for such programs by the spring of the student's senior year; about one-fourth had talked to representatives of different institutions about financial aid.

## Race/Ethnic Differences in College-Related Parental Involvement

#### Eighth Grade

A slightly higher percentage of African American and Latino than White or Asian parents stated that they started saving for college by the eighth grade (Table 4a). Among those who started college savings, Asian American and White parents are more likely to have opened a savings bank account than African American or Latino parents. Asian Americans are those who are most likely to have made investments in real estate or stocks and to have taken an additional job. African Americans are those most likely to have bought an insurance policy.

The amount of actual and expected savings for the eighth grader's college education varies dramatically by race/ethnicity (Table 4b). About 42% of Asian American parents had already saved more than \$10,000, much more than all other ethnic groups. Moreover, about one-half of Asian American parents expect to save more than \$15,000 for their child's further education. Only about one-third of White and 20% of African American and Latino parents expect to save that much for their teen's college education. Given these trends in savings it is not surprising to see that a higher proportion of Asian American than any other parents are likely to state that they do not need assistance for their child's further education (Table 4c). These parents also report that they are unwilling to go into debt for this purpose.

African American and Latino parents of eighth graders started saving for their child's college education at percentages that are even higher than those of Asian Americans (Table 4a). Unfortunately, most likely due to their lower earning power, one-third of these parents had saved \$1,000 or less (Table 4b). A higher percentage of African American, Latino and Asian American parents than White parents report that they have limited information about ways of financing their children's college education. African Americans, more than any other group,



stated that they do not see any way to get money for this purpose, but that they will be helped by other relatives in paying for their child's college education (Table 4c).

White parents report similar plans for financing college as Asian Americans, but have saved and expect to save less money than Asian Americans. White parents are also more willing to go into debt for their child's college education and a high percentage of them (80%) state that they have information concerning financial aid. Overall, more minority than White parents stated that they have limited information on financial aid (Table 4c).

#### Twelfth Grade

When race/ethnic differences are investigated for the twelfth grade college-related variables, White parents are most likely to discuss specific postsecondary schools with their teen (Table 5a). Race/ethnic differences also exist with regard to which academic factors are considered very important for choosing a school. African Americans are most likely to mention availability of the desired program and the school's track record in job and graduate school placement as criteria for choosing a college.

African American and White parents are most knowledgeable about financial aid; African Americans are most likely to plan using scholarships/grants, work programs, and loans to finance their child's further education (Table 5b). Despite these stated intentions, more Asian than African American parents report that they have already applied for scholarships/grants and loans. African Americans report that their teen has applied for work programs more than any other group.

#### Summary

Race/ethnic groups differ in the ways that they plan to finance their children's postsecondary education. Asian American parents favor financing at least some college education on their own. They are less willing to go into debt for this purpose, so they are more likely than any other group to have started savings and a second job by the time of their child's eighth grade. By that time, a substantial proportion of Asian American parents have significant savings in the form of bank accounts, stocks, and real estate investments. Because information on college financial aid is not uniformly distributed throughout the population, it is not surprising that minority groups with high earning power (such as Asian Americans) plan to finance their child's college education through savings, even if that means taking a second job.

Overall, twelfth grade data show that in choosing a postsecondary school, African Americans more than parents of any other group consider the school's placement record beyond graduation. African American and White parents are more likely than other groups to communicate with different agencies and personnel about financial aid and therefore are more



knowledgeable about different financial aid programs. Despite this, it is Asian Americans who apply early for scholarships, grants, and loans.

## III. Creation of Parental Involvement Indices and Analyses

This section of data analysis shifts attention to the creation of parental involvement indices by conducting factor analyses.

#### Eighth Grade Parental Involvement and Analyses

Factor analysis yields twelve factors of parental involvement in the eighth grade. With the exception of school-initiated academic contacts, the factors generated do not distinguish whether parent/school communications are initiated by parents or schools, as Epstein and Lee do in other analyses (1995). Despite this shortcoming, the factors can be used to create indices that represent each type of parental involvement in Epstein's (1992) typology (Table 6).

**Type I: Parent Obligations.** Three factors can be considered as indicators of this type of parental involvement. One factor concerns educational expectations in terms of additional education after high school and future educational attainment. Two additional factors indicate parental control of the child's TV viewing and academic/behavioral supervision (doing homework, household chores, and maintaining a certain grade average).

**Type II: Parent/School Communications.** This is represented by two factors. The first — containing both school- and parent-initiated communication — relates to student's academic performance and behavior. The second factor, based only upon school-initiated communication, involves academic issues (school's academic program, student's high school program placement, and course selection).

**Type III: Support of School.** One factor consists of both parent- and school-initiated contacts regarding school volunteering, fund raising, and school records.

Type IV: Learning Activities. Three factors can be considered as indicators of this type. Two of the factors concern parents' efforts to enhance their child's talent development and learning opportunities through additional private lessons: (i) enrollment in private lessons that enhance typical academic learning (e.g., history, language, computers), and (ii) enrollment in art-related lessons (music and dance). An additional factor relevant to this type of parental involvement indicates how often parents talk to the eighth grader about school-related experiences and plans.



**Type V: School Decision-Making.** One factor represents the degree to which parents participate in school programs by means of parent-teacher organizations.

**Type VI: Community Access.** Two factors indicate the degree to which parents encourage their children's participation in community organizations and activities, such as student visits to museums and participation in scout or brownie clubs.

Table 6: Base Year Parental Involvement Orthogonal Factor Analysis			
	Variable Name	Factor Pattern	Variable Description
Type 1: Parent Obligations			Family Rules For:
TV Viewing Supervision	BYP64D BYP64C BYP64B BYP64A	.79 .76 .61 .61	School Day Television Television Hours Television Time Television Programs
Educational Expectations	BYP83 BYP76	.77 .76	Expectations: Additional Education Attainment Level
Academic/Behavioral Supervision	BYP65B BYP65C BYP65A	.63 .55 .52	Family rules for: Doing homework Household Chores Maintaining Average
Type 2: Communications			Parent Contacts About:
Educational Contacts with School	BYP58C BYP58A BYP58B	.79 .63 .55	Behavior Academic Performance Academic Program
	BYP57E	.70	School Contacts About: Behavior
School Initiated Academic Contacts	BYP57C BYP57D BYP57B	.81 .80 .60	School Contacts About: H.S. Course Selection H.S. Program Placement Academic Program
TYPE 3: SUPPORT OF SCHOOL			
Social Contacts with School	BYP58D BYP58E BYP58F BYP57F BYP58D	.62 .59 .57 .59	Parent Contacts About: Fund Raising School Records Volunteer Work School Contacts About: Fund Raising School Records
	BYP58D	.59	Volunteer Work



Table 6 (continued)				
Type 4: Learning Activities  Academic Lessons	BYP60F BYP60D BYP60G BYP60A	.59 .56 .56 .48	Outside Regular School: History Study Language Study Computer Study Art Study	
Music/Dance Lessons	BYP60B BYP60C	.45 .42	Outside Regular School: Music Study Dance Study	
Parent/Teen Academic Communication	BYP67 BYP68 BYP66	.83 .79 .63	Parent Speaks About: H.S. Plans Post H.S. Plans School Experiences	
Type 5: Decision-Making			Parent Teacher Organization:	
Parent Teacher Organization Participation	BYP59B BYP59C BYP59A	.72 .72 .70	Attend Participate Belong	
TYPE 6: COMMUNITY ACCESS  Museum Visits	BYP61EB BYP61DB BYP61CB	.82 .80 .76	Child Visits: History Museum Science Museum Art Museum	
Participation in Community Groups	BYP63A BYP63B BYP63G	.80 .80 .44	Child's Involvement: Boy/Girl Scouts Cub Scouts/Brownies Sports Teams	

#### Twelfth Grade Parental Involvement and Analyses

Factor analysis of the twelfth grade variables yields a total of fifteen factors. They tend to follow Epstein's (1992) parental involvement typology as well (Table 7).

Type I: Parent Obligations. Six factors represent styles of parenting. Two factors represent communications with students concerning general issues in teens' lives (Parent/Teen Communications), and time spent in various activities with teen (Parent/Teen Activities). Three factors represent parent decision-making power regarding teen's entertainment (Behavioral Supervision), school effort (Knowledge of Teen's Coursework), and further education (Academic Supervision). A final factor indicates parents' additional expenses for enhancing their teen's learning opportunities (Private Education Expenses).

**Type II: Parent/School Communications.** Four factors fall into the second category of the typology. Three factors represent parent/school contacts regarding teen's educational opportunities. They distinguish parent-initiated contacts regarding teen's academic



opportunities and post-high school plans, school-initiated contacts regarding academic performance and preparation for college, and parent/school communications regarding teen's behavior at school. The fourth factor relates to parents' problems in communicating with school personnel.

Type III: Support of School. One factor represents this type and concerns parents' volunteering at school and participating in other social activities (hobby or sports activities).

**Type IV: Learning Activities.** This is represented by three factors. Two indicate parents' interests in teen's postsecondary education (Learn about Postsecondary Opportunities, and College Encouragement). The third factor indicates parental encouragement to complete some form of secondary education (Encouragement for High School Graduation).

**Type V: School Decision-Making.** Variables representing this type of parental involvement were not included in the twelfth grade parent questionnaire.

**Type VI: Community Access.** One factor indicates the degree to which parents communicate with each other about their children's learning opportunities at school and future plans (Parent to Parent Communication).

Table 7: Second Follow-Up Parental Involvement Orthogonal Factor Analysis				
	Variable Name	Factor Pattern	Variable Description	
Type 1: Parent Obligations			Discuss with Teens About:	
	F2P49J	.66	Interests and Hobbies	
	F2P49I	.64	Troubling Issues	
Parent Teen Communication	F2P49C	.63	Studies	
	F2P49B	.54	School Activities	
	F2P49H	.53	Community, National or World Events	
	F2P49D	.53	Teen's Grades	
	F2P49A	.51	Course Selection	
	F2P49G	.49	Teen's Job Application	
			Parent and Teen Go:	
	F2P50J	.70	To Restaurants	
Parent Teen Activities	F2P50I	.66	Shopping	
	F2P50L	.63	Do Fun Things	
	F2P50G	.63	One Day Trips and Vacations	
	F2P50F	.53	To Family Social Functions	
	F2P50C	.46	To Concerts, Plays and Movies	



Table 7 (continued)			
	Variable Name	Factor Pattern	Variable Description
Type 1: (CONTINUED)			Who Decides Teen's:
	F2P48E	.76	Home Alcohol Consumption
	F2P48G	.71	Privileges
Behavioral Supervision	F2P48A	.63	Late Hours
	F2P48F	.58	Overall Alcohol Consumption
	F2P48B	.53	Car Privileges
Knowledge of Teen's Coursework			Parent Knows Teen's:
	F2P46C	.86	Number of Credits Earned
	F2P46D	.86	Number of Credits Needed
	F2P46A	.56	Current Courses
	F2P46B	.46	Current Academic Performance
			Who Decides Teen's:
	F2P48H	.76	College Attendance
Academic Supervision	F2P48I	.75	Course Selection
Academic Super vision	F2P48D	.64	Spending of Money
	F2P48C	.57	Work Status During School
		1	Educational Expenses for:
Drivete Education Emperor	F2P76A	.72	Private School
Private Education Expenses	F2P76B	.58	Tutoring
Type 2: Communications			Parent Contacts about
Postsecondary Contacts	F2P44D	.77	College Prep Course Selection
with School	F2P44C	.77	Teen's Plans after High School
with school	F2P44B	.66	Academic Program
			School Contacts about:
	F2P43B	.72	Academic Program
School-initiated	F2P43C	.69	Teen's Plans after High School
Academic Contacts	F2P43D	.66	College Prep Course Selection
readonie condica	F2P43H	.56	How to Help Teen with Work
	F2P43A	.55	Academic Performance
<b> </b>			Parent's Problems:
Problematic Communication	F2P26D	.95	Being Understood by Teachers
with School	F2P26C	.95	Understanding Teachers
			Parent Contacts about:
<b>.</b>	F2P44E	.74	Attendance
Educational Contacts	F2P44F	.70	Behavior
with School			School Contacts about:
l	F2P43E	.69	Attendance
	F2P43F	.67	Behavior



Table 7 (continued)			
	Variable Name	Factor Pattern	Variable Description
TYPE 3: SUPPORT OF SCHOOL	F2P44G	.60	Parent Contacts about: Volunteer Work
	G2P43G	.55	School Contacts about: Volunteer Work
Social Contacts with School	F2P50A F2P50D	.66 .62	Parent Attend with Teen: School Activities Sports Outside School
	F2P50H	.50	Parent Activities with Teen: Hobby or Sports
TYPE 4: LEARNING  Learn about Postsecondary  Opportunities	F2P45B F2P45A F2P45C	.78 .76 .74	Attends Programs about: College Financial Aid Education After High School Employment Opportunities
Encouragement for High School Graduation	F2P62C F2P62D	.70 .61	Parent Encourages Teen to: Prepare for ASVAB Prepare for GED
	F2P61	.66	Expectations: Attainment Level
	F2P62A	.52	Parent Encourages Teen to: Prepare for SAT
College Encouragement	F2P63	.59	Talks with Teens about: Applying for College
	F2P49F F2P49E	.67 .59	Discuss with Teen about: Applying to Colleges Planning for SAT/ACT
TYPE 6: COMMUNITY ACCESS	F2P56B	.91	Discuss with Other Parents: Teen's Education Plans
Parent to Parent Communication	F2P56C F2P56A	.90 .76	Teen's Career Plans Things at Teen's School

# **Eighth and Twelfth Grade Indices of Parental Involvement**

Parental involvement indices were created based on the above factor analyses.<sup>3</sup> Additive scales (indices) were created by adding all variables included in the factor analysis after they were multiplied by their factor loadings. The indices are standardized with a mean



<sup>&</sup>lt;sup>3</sup> The indices were created by the Factor Output option of the SAS software package.

of zero and a standard deviation of one. Twelve indices were created for the eighth grade data and fifteen for the twelfth grade data.

#### Race/Ethnic Variations in Indices

The degree to which racial and ethnic variations exist in the indices (scales) of parental involvement is shown in Tables 8a and 8b. The mean value of each standardized scale is calculated for each race/ethnic group separately. Comparisons of these group means show that all of the indices of parental involvement, in both grades, are highly associated with race and ethnicity.

Eighth grade mean comparisons show that Asian Americans are the group most likely to have the highest educational expectations, the highest student enrollment in academic private lessons, and the most student museum visits. This group, however, has the lowest levels of communication with their children, schools, and communities. Specifically, Asian American parents have the lowest levels of supervision and academic communication with their children at home. They also have the fewest contacts with school regarding academic/behavioral issues, as well as the least amount of participation in community groups.

Latinos tend to have relatively low levels of parental involvement in the eighth grade. They have the lowest mean scores for school-initiated academic contacts, and participation in social activities at school (Social Contacts with School). They also have very low levels of participation in parent/teacher organizations and in community groups.

African Americans have the highest levels of teen supervision; they have the strictest rules concerning TV viewing, as well as the highest levels of academic/behavioral supervision and academic communication with their teen. They also have the highest levels of participation in parent-teacher organizations. African American parents report the lowest levels of participation in formal artistic activities, such as music/dance private lessons and museum visits.

White parents have the most social contacts with school (such as volunteering and fund raising) and with community organizations (encouraging participation in brownie and boy/girl scouts). They also have the highest teen enrollments in art-related private lessons (music and dance). These parents report the lowest levels of TV viewing supervision and teen enrollment in academic-related private lessons.

Overall, the race and ethnic differences in parental involvement shown by analyzing the indices of involvement confirm those differences found in the individual variable cross



tabulations, as well as the findings of previous research on the NELS:88 data (Schneider & Coleman, 1993). The convergence of findings using different analytical strategies and by different researchers validates the capacity of these indices to correctly identify trends of parental involvement and distinguish differences between groups.

Table 8a: Average Values of Standardized Parental Involvement Factors by Race/Ethnicity (Eighth Grade)				
Түре 1	Asian	Latino	African American	White
TV Viewing Supervision	0.05	0.20	0.13	-0.05
Educational Expectations Academic/Behavioral Supervision	0.35 -0.23	-0.05 -0.12	-0.06 0.15	0.01 0.00
TYPE 2			<u>.                                    </u>	
School Initiated Academic Contacts Educational Contacts with School	0.04	-0.02 0.13	-0.10 0.13	0.02
Түре 3	-		-t <u></u>	
Social Contacts with School	-0.09	-0.21	-0.05	0.04
Түре 4				
Parent/Teen Academic Communication Music/Dance Lessons Academic Lessons	-0.20 -0.22 0.49	-0.06 -0.36 -0.01	0.20 -0.45 0.11	-0.02 0.13 -0.04
Type 5			.±	
Parent Teacher Organization Participation	0.08	-0.03	0.11	-0.02
Түре 6			<u> </u>	
Museum Visits Participation in Community Groups	0.21 -0.53	-0.06 -0.44	-0.13 -0.21	0.02 0.12

Note: All differences of means by race/ethnicity are statistically significant at alpha <.01.

#### Twelfth Grade

Comparison of group mean differences of the twelfth grade indices show that Asian American parents are most involved in supervising teens' entertainment behavior (Behavioral Supervision). They also have the highest expenses for private education and are most likely to encourage their teen to attend college. Despite this, they continue to have the lowest levels of communication with their children (Parent/Teen Communication, Knowledge of Course Work, Academic Supervision), the school (Social Contacts with School), and the community (Parent



to Parent Communication). Their limited communication with school is also indicated by their very high levels of problematic communication with school personnel and low levels of all forms of parent/school contacts (Type 2 Parental Involvement).

Latino parents are most likely to spend time on common activities with their twelfth grader (Parent/Teen Activities). Although they report the highest educational contacts with school, they still have great difficulty in communicating with school personnel.

African American parents show the highest levels of activities related to teen's postsecondary opportunities (Postsecondary Contacts with School, Learn about Postsecondary Opportunities, Encouragement for High School Graduation). They are also the parents with the lowest levels of supervision of teens' entertainment-related activities (Behavioral Supervision).

Table 8b: Average Values of Standardized Parental Involvement Factors by Race/Ethnicity (Twelfth Grade)				
TYPE 1	Asian	Latino	African American	White
Parent/Teen Communication	-0.37	0.00	-0.10	0.04
Parent Teen Activities	0.02	0.19	-0.03	-0.02
Behavioral Supervision	0.14	-0.22	-0.39	0.08
Knowledge of Teen's Course Work	-0.36	-0.09	-0.11	0.05
Academic Supervision	-0.17	-0.01	-0.06	0.02
Private Education Expenses	0.20	0.05	0.04	-0.02
TYPE 2	-			
Post Secondary Contacts with School	-0.11	-0.17	0.07	0.01
School Initiated Academic Contacts	-0.02	0.01	-0.01	0.00
Problematic Communication with School	0.88	1.32	-0.09	-0.16
Educational Contacts with School	0.05	0.12	0.01	-0.02
Type 3				
Social Contacts with School	-0.16	-0.12	-0.09	0.04
Type 4	-			
Learn About Post Secondary Opportunities	-0.01	0.09	0.14	-0.03
Encouragement for High School Graduation	-0.05	0.20	0.60	-0.12
College Encouragement	0.28	0.09	0.16	-0.05
Түре 6	•			
Parent to Parent Communication	-0.27	-0.11	-0.06	0.04
27 17 1100 6 1 1 1 1 1 1		. 1 1 01	. 0.1 17 11	

Note: All differences of means by race/ethnicity are statistically significant at alpha <.01, except School Initiated Contacts.



Finally, White parents have the highest levels of parent/teen communication and knowledge about teens' coursework. They are also the parents most involved in teen's educational decisions (Academic Supervision), most active in social contacts with school, and most likely to communicate with other parents. But White parents show the least efforts in some activities that prepare students for their future beyond high school — they report the lowest expenses for private education and have the lowest scores in all three indices related to enhancing students' learning opportunities (Type 4 Parental Involvement).

#### Summary

Factor analyses reveal twelve factors for the eighth grade data and fifteen for the twelfth grade data that were used to create indices of parental involvement. These indices generally fall within the typology of family involvement in education developed by Epstein (1992).

Families with high educational aspirations for their children tend to provide out-of-school learning opportunities for them. In addition, some parents who uphold strict family rules in the eighth grade loosen their supervision by the twelfth grade and focus on improving their teens' future opportunities beyond high school.

Race/ethnic differences in parental involvement exist in both grades. Asian Americans have very high educational expectations for their children and invest in their children's educational opportunities by enrolling them in academic-related private lessons and in private schools. Latino parents have relatively low levels of parental involvement in the eighth grade, especially regarding parent/school contacts; difficulties in communication with school personnel were reported in the twelfth grade. However, this group of parents report spending a great amount of time in common activities with their teen during the twelfth grade. African Americans seem to loosen their levels of teen supervision in the twelfth grade. At this grade, they concentrate their efforts on improving teen's opportunities beyond high school, either by seeking information regarding postsecondary educational and career opportunities, or by encouraging their teens to graduate from high school and attend college. White parents have high levels of school volunteering and communication in both middle grades and senior high school. They also have high levels of community participation, as indicated by their eighth graders' enrollment in brownie and scout clubs and their communication with parents of other high school seniors. However, they are least likely to seek information regarding postsecondary opportunities or to encourage teen's high school graduation and college attendance. Perhaps these parents seek to enhance their children's future opportunities through other means, such as maintaining high levels of parent/teen communication and closely supervising academic progress in high school.



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### IV. College-Related Factors — Indices and Analyses

Variables indicating parental actions and behaviors that enhance their children's college opportunities were analyzed separately by grade.<sup>4</sup>

#### Eighth Grade

For families who expect their child to attend college, factor analyses yield five collegerelated factors (Table 9a).

Factor 1: Parental Actions for Establishing College Funds<sup>5</sup>

Factor 2: Limited Financial Aid Opportunities (due to student's low

academic performance)

Factor 3: Financially prepared for College (distinguishes those families for whom financing higher education is not a problem)

Factor 4: Will Not Apply for Financial Aid

Factor 5: Financial Aid Alternatives (such as expectation of children's independent

financing of college education, or expectation of other relatives' contributions)

#### Twelfth Grade

For families who expect their child to attend college, twelfth grade factor analyses yield thirteen college-related factors (Table 9b)

Factor 1: Utilizing Financial Aid Opportunities (types of financial aid that

parents and teens plan to use for postsecondary education)

Factor 2: Parental Actions Securing Postsecondary Funds

Factor 3: Financial Aid Awareness (parent's knowledge about student loan programs)

Factors 4,5,6: College Choice (academic, social, and economic factors considered in choosing a college)

Factor 7: Financial Sacrifices for College

Factor 8: Additional Earnings for College (such as teen's savings, or a second job)

Factor 9: Discussions Concerning College (parent/teen communications

about postsecondary schools)

Factor 10: Will Not Apply for Financial Aid

Factor 11: Acquiring Financial Aid Information

Factors 12,13: Alternative Sources of Financing Postsecondary Education (such as social security or other benefits, or other relatives' contributions)



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<sup>&</sup>lt;sup>4</sup> See Appendix A and B for a list of variables included in these factor analyses. These factor analyses are based on the subsample of parents who have college expectations for their children.

<sup>&</sup>lt;sup>5</sup> In this part of the analysis, all parents who had not started savings received a value of zero for all college financing variables.

Table 9a: Base Year Parental Involvement College-related Variables Orthogonal			
Factor Analysis			
Variable Name	Factor Pattern	Variable Description	
Factor 1		Actions Securing College Funds:	
BYP84	0.91	Saved Any Money For Child Ed After H.S.	
BYP84AA	0.83	Started a Savings Account	
BYP84B	0.77	Money Parent Set Aside For Child's Future Ed	
BYP84AB	0.65	Bought An Insurance Policy	
BYP84AD	0.55	Made Investments in Stocks/Real Estate	
BYP84AC	0.54	Bought US Savings Bonds	
BYP84AG	0.49	Established Another Form of Savings	
BYP84AF	0.49	Started Working/Taken an Additional Job	
Factor 2		Limited Financial Aid Opportunities:	
BYP85F	0.92	Child's Test Scores Not Good Enough to Qualify	
BYP85E	0.92	Child's Grades Not Good Enough to Qualify	
Factor 3		Financially Prepared for College:	
BYP84C	0.76	Money Parent Expects to Set Aside For Child's Ed	
BYP84D	0.65	Expect Amount to Cover Cost of Child's Ed	
BYP84AE	0.42	Set up a Trust Fund	
Factor 4		Will Not Apply for Financial Aid:	
BYP85H	0.76	Not Much Information on Financial Aid	
BYP85G	0.72	Too Much Work To Apply For Financial Aid	
BYP85D	0.57	Family Income Too High For Loan or Scholarship	
Factor 5		Financial Aid Alternatives:	
BYP85A	0.73	Child Will be Able to Earn Money For Ed	
BYP85J	0.53	Relatives Will Help Pay Child's College Expenses	
BYP85B	0.50	Parent Can Pay For Child's Ed without Assistance	
BYP85I	0.48	Do Not See Way to Get Money for College	
BYP85C	0.47	Family Not Willing to go into Debt for Child's Ed	



Table 9b: Second Follow-Up Parental Involvement College-Related Variables		
Orthogonal Factor Analysis		
Variable Name	Factor Pattern	Variable Description
Factor 1		Utilizing Financial Aid Opportunities:
F2P85B	0.76	Will use scholarships, grants for teens study
F2P92I	0.75	Will use scholarships/grants for teens ed
F2P86B	0.74	Respondent or teen has applied for scholarships/grants
F2P88	0.60	Teen has applied for financial aid
F2P86C	0.49	Respondent or teen has applied for work programs
F2P85C	0.49	Plan to use work programs for teens stdy
F2P92J	0.44	Use state or federal loans for teens ed
Factor 2		Actions Securing College Funds:
F2P79A	0.63	Respondent started a savings account
F2P79D	0.55	Respondent made investments in stocks/real estate
F2P79E	0.50	Respondent set up a trust fund
F2P81	0.50	Money Respondent set aside for teen's future education
F2P79C	0.47	Respondent bought U.S. savings bonds
F2P79B	0.43	Respondent bought an insurance policy
F2P80	-0.72	Grade teen in when Respondent started saving
Factor 3		Financial Aid Awareness:
F2P87A	0.73	Respondent knows about state student loan program
F2P87C	0.72	Respondent knows about college student loan program
F2P87B	0.70	Respondent knows about federal loan program
F2P87D	0.70	Respondent knows about private education loans
Factor 4		College Choice — Academic Considerations:
F2P66J	0.74	Teen's college- importance job placement
F2P66L	0.68	Teen's college- importance of academic rep
F2P66K	0.67	Teen's college- imp of grad sch placement
F2P66N	0.63	Teen's college- desired program available
F2P66C	0.56	Teen's college- importance of curriculum
F2P66I	0.52	Teen's college- importance of low crime
Factor 5		College Choice — Social Considerations:
F2P66P	0.68	Teen's college- importance of size of school
F2P66O	0.65	Teen's college- importance of racial/ethnic comp
F2P66E	0.60	Teen's college- importance of social life
F2P66D	0.53	Teen's college- importance of sport program
F2P66Q	0.51	Teen's college- importance of geographic location
F2P66H	0.47	Teen's college- importance religious environment
F2P66R	0.44	Teen's college- importance of attend R's school



Table 9b (continued)		
Variable Name	Factor Pattern	Variable Description
Factor 6		College Choice — Convenience/Affordability:
F2P66F F2P66A F2P66M F2P90 F2P67	0.72 0.63 0.58 -0.44 -0.47	Teen's college- importance of live at home Teen's college- importance of low cost Teen's college- easy admission standards Amount expect to spend teens educ next yr Visited how many schools with teen
Factor 7		Financial Sacrifices for College:
F2P92C F2P79I F2P92D F2P91	0.69 0.63 0.60 0.58	Will use 2nd mortgage for teens ed Respondent planned to remortgage property/take loan Will use borrowing for teens ed Amount of debt ok for teens educ next yr
Factor 8		Additional Earnings for College:
F2P79J F2P92F F2P79H F2P79F	0.60 0.59 0.45 0.44	Respondent had teen put aside earnings Use child's earnings/savings for teens ed Respondent planned to reduce expenses in some way Respondent started working another job/more hours
Factor 9		Parent/Teen Discussions Concerning College:
F2P65C F2P65B F2P65D F2P65E	0.69 0.68 0.66 0.45	Respondent talked to teen in general about schools Respondent talked to teen about particular schools Respondent gave teen information from school Respondent offered to take teen to private counselor
Factor 10		Will Not Apply for Financial Aid:
F2P89F F2P89J F2P89G F2P89E F2P89C F2P89I	0.68 0.62 0.57 0.51 0.43 0.42	Not much information on financial aid Don't know how to apply No money available for aid Too much work to apply for financial aid We don't want to report financial situation Missed the deadline for application
Factor 11		Acquiring Financial Aid Information:
F2P84B F2P84F F2P84A F2P84E	0.64 0.61 0.51 0.48	Talked w/coll rep about financial aid Read college info on financial aid Talked w/high school guidance counselor abt fin aid Read U.S. Dept of Educ info on financial aid
Factor 12		Financial Aid Alternatives:
F2P92K F2P92L F2P84G F2P89A	0.44 0.44 0.40 -0.52	Use soc sec/vet admn benfts for teens ed Other source to pay for teen's ed Read about financial aid through military Can pay for teen ed without assistance
Factor 13		Contribution of Extended Family:
F2P92H F2P89H F2P92G F2P92E	0.65 0.59 0.42 0.40	Use relatives contributions for teen's ed Relatives will help pay teen's coll expenses Will use trust fund for teen's ed Will use alimony/child support for teen's education



Investigation of between-grade correlation coefficients of indices revealed only a few noteworthy correlations (Table 10). As expected, parents' savings for college in the eighth grade is associated with the twelfth grade actions for securing college funds (correlation coefficient of .45). Financial preparation for college in the eighth grade is also associated with parents' taking actions to secure college funds in the twelfth grade (correlation coefficient of .27). Financial preparation for college in the eighth grade is negatively associated with twelfth grade parents' decision to utilize additional earnings for college and with considering affordability as an important factor for choosing a college (coefficients of -.30 and -.23, respectively).

Table 10: Between-Grade Correlation Coefficients of					
	College-Related Parental Involvement				
	Grade 8				
Grade 12	Actions Securing College Funds	Limited Financial Aid Opportunities	Financially Prepared for College	Will not Apply for Financial Aid	Financial Aid Alternatives
Utilizing Financial Aid Opportunities	-0.02	0.18	-0.10	0.08	-0.01 *
Actions Securing College Funds	0.45	0.02 *	0.27	-0.09	0.09
Financial Aid Awareness	0.04	0.09	-0.04	0.07	0.13
College Choice — Academic Considerations	0.03	0.03	-0.02	0.00	-0.05
College Choice — Social Considerations	-0.03	-0.02 *	0.04	0.01 *	-0.05
College Choice — Convenience/ Affordability	-0.10	-0.08	-0.23	0.03	-0.14
Financial Sacrifices for College	0.04	-0.01*	-0.01 *	0.00	0.07
Additional Earnings for College	0.05	0.06	-0.30	0.01 *	0.04
Discussions Concerning College	0.10	-0.03	0.07	0.04	0.10
Will not Apply for Financial Aid	0.00	0.00	-0.05	-0.04	-0.04
Acquiring Financial Aid Information	0.04	0.02	-0.08	0.01 *	0.00
Financial Aid Alternatives	-0.06	-0.02 *	-0.10	0.08	-0.07
Contribution of Extended Family	0.02	-0.01 *	-0.06	0.04	-0.05

<sup>\*</sup> Coefficients not significant at alpha < .01.



Eighth grade limited financial aid opportunities is modestly associated with parents' seeking to utilize financial aid opportunities such as grants, work programs, and federal loans. All other indices show very weak correlations, partly because the factors do not include parallel items between the two grades.

Group means by race/ethnicity for the indices created are not presented here. Individual variable cross-tabulations with race/ethnicity that were presented in an earlier section show strong differences in the patterns of college-related parental involvement. These patterns are repeated in the associations between race/ethnicity and college-related indices.

#### Summary

Factor analyses of college-related parental involvement reveal five factors for the eighth grade and thirteen for the twelfth grade data. Between-grade associations of indices show that parents who began saving for college in the eighth grade continue to be most active in savings in the twelfth grade. They are the parents most likely to establish trust funds, and invest in stocks and real estate. They are more likely than others to have parent/teen discussions concerning college and less likely to consider issues such as convenience and affordability as factors for choosing a college. Parents who are most financially prepared to meet college expenses in the eighth grade are likely to continue taking actions that secure college funds in the twelfth grade. Financially prepared parents and families tend not to consider convenience and affordability as important factors for choosing a college; they are also less likely to plan financing college through additional earnings such as teen's savings or a second job.

### **Summary and Conclusions**

This study analyzes patterns of parental involvement for the sub-sample of parents in the NELS:88 survey whose children remained in school until the twelfth grade.<sup>6</sup> Comparative analyses between the eighth and twelfth grade parent surveys reveal some clear shifts in the intensity and ways in which parents are involved with their children's education. As their children get closer to high school graduation, parents are less involved with individual behaviors of students. Instead, parents increase their educational expectations for their children and focus their attention on learning opportunities. Parents report that their communication



<sup>&</sup>lt;sup>6</sup> The study is based on 13,580 cases for which data is available from both parents and their children in the eighth and twelfth grades. Parents whose children dropped out of school at any time between the eighth and twelfth grades are not included in this study.

with school increased between the two grades in terms of contacts about the school's programs and volunteering in school. Both schools and parents initiate such contacts more often in high school than in the middle grades. However, a higher proportion of parents report dissatisfaction with high schools than with middle schools, especially concerning parents' role in school policy.

The drop in parental involvement with children's daily activities was also apparent in a study of the tenth grade data of NELS:88 (Lee, 1995). Lee (1995) suggests that perhaps this is an indication of parents' efforts to help adolescents become independent, or that adolescents have already internalized parents' values and rules and do not need as close supervision. Increases in educational expectations and parent-school communication were not reported in the above study. Perhaps as students near high school graduation, parents become increasingly concerned about their teen's further education and about the effects of high school programs on postsecondary opportunities. Also, parents may increase their estimates of their teen's capabilities for further education, since the students were successful in reaching the twelfth grade. Renewed expectations and concerns over postsecondary education may also explain why more parents are dissatisfied with their ability to influence school policy; they may wish that high schools would do more to assist their children's transition to college.

Although most parents expected their child to continue his/her education after high school, only a few had started saving by the eighth grade. It seems that parents expect to save most for college during their child's high school years. By the twelfth grade, most parents had discussions with their children about postsecondary schools. Parents indicated that in choosing a postsecondary school, teens consider academic issues and curricula more than school track records for career placement. Most parents have some knowledge about financial aid and expect to finance their teen's further education through grants and scholarships. About one-half of the parents had already applied for such programs a few months before their teen's high school graduation.

Almost all data analyses in this report show clear and consistent differences by race/ethnicity in the ways in which parents are involved with their children's education and in the actions they take to secure funds for college. These differences exist for both grade levels, although some tend to narrow in the twelfth grade. Both the individual questionnaire items and the indices of parental involvement created confirm racial and ethnic patterns reported in earlier investigations (Muller & Kerbow, 1993; Lee, 1995) and reveal some new, previously unreported ones.



Asian American parents have the highest educational aspirations for their children and seek to enhance their learning opportunities through private schooling, out-of-school classes, and museum visits. These parents also take actions to secure funds for their teen's college education earlier than other parents and expect to finance their child's college education primarily through savings; to do so, some may take a second job.

Latino parents tend to have low levels of parental involvement in the middle grades. By adolescents' twelfth grade, Latino parents spent more time than any other group in common activities with their teen. Moreover, despite reported difficulties in communication with school personnel, they have the highest levels of academic-related contacts with high schools.

African Americans tend to maintain strict supervision at home even as their children reach the last year in high school; they talk regularly with their children about education and encourage their educational pursuits. African American families have as high educational expectations for their children as Asian Americans. Although African Americans have better knowledge concerning sources of financial aid than Asian Americans, they are less likely to take actions to secure college funds for their teens.

White parents have high levels of interaction with both the school and with the larger community during their teen's eighth and twelfth grades. In the eighth grade, they are the group with the most knowledge about financial aid for college and in the twelfth grade they are the parents most likely to rely on loans for financing further education. During that time, they are also least concerned about their child's individual behaviors and most concerned about their learning opportunities in school. This may explain why White parents, more than others, wish that they had more decision-making power in school policies.

As children near high school graduation some common concerns may emerge among all parents regarding their children's future opportunities. This may explain why the gap in race/ethnic differences narrows at the twelfth grade level in terms of parents' educational expectations, private school expenses, parent-initiated contacts with school about student behavior, and parental satisfaction with their role in school policy. However, many other differences remain and even widen, indicating that parents of different racial/ethnic backgrounds approach issues of parental involvement and financing postsecondary education differently. Some of the race/ethnic differences that widen over the years include maintaining rules over homework and grades, course decision-making, and communications with school about student performance.



Further analysis is needed to determine the degree to which these differences between race/ethnic groups are due to factors such as student achievement, parental education, or socioeconomic status.

Overall, the data presented in this report indicate that parental involvement in adolescents' education does not decline from the middle grades to high school. Rather, it shifts its focus from students' individual behaviors to the schools' learning opportunities. The findings show that parents of high school students welcome opportunities for communication with schools and desire greater participation in the school decision-making process. They would also greatly benefit from programs that inform and direct their efforts to finance their child's postsecondary education. Such information and guidance would be especially helpful for minority parents, many of whom may not be adequately informed about financing possibilities and resort to either taking on an additional job or asking the assistance of other family members. Families would also benefit from programs that guide them through the financial aid application process during their teen's senior year in high school. Given the rising costs of postsecondary education, such programs in secondary education could become critical in enhancing the future opportunities of many students, especially those from minority and disadvantaged backgrounds.



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### Appendix A

### **Parental Involvement Factor Analyses**

Using principal component, orthogonal factor analyses with equimax rotations, factor scales are created to identify different typologies of parental involvement. Initially, a correlation matrix shows that many pairs of these variables are significantly associated at a P=.0001 level.

On the basis of minimum eigenvalue criteria: twelve significant factors emerge to reveal six diverse areas or typologies of parental involvement for the base year data, fifteen significant factors emerge to reveal five typologies for the second follow-up data. There are five, rather than six, typologies for the second follow-up data because there are no survey items addressing respondent's role in school decision-making or parent-teacher organizations. Factor analyses of parents' obligations for college access reveal five significant factors for the base year and thirteen for the second follow-up data.

#### Oblique versus Orthogonal Rotation

Comparisons, between oblique factor analyses with promax rotations and the orthogonal analyses with equimax rotations, drive the decision for the use of orthogonal on the basis of the overall strength of the orthogonal factor patterns. Use of traditional orthogonal factor analyses eliminates any correlation between the factors.

Base Year Parental Involvement Variables Included in Factor Analysis		
BYP57B	CONTACTED ABOUT ACADEMIC PROGRAM	
BYP57C	CONTACTED ABOUT H.S. COURSE SELECTION	
BYP57D	CONTACTED ABOUT PLACEMNT DEC RE H.S. PGM	
BYP57E	CONTACTED ABOUT BEHAVIOR IN SCHOOL .	
BYP57F	CONTACTED ABOUT SCHOOL FUND RAISING	
BYP57G	CONTACTED ABOUT INFO FOR SCHOOL RECORDS	
BYP57H	CONTACTED ABOUT VOLUNTEER WORK AT SCHOOL	
BYP58A	CONTACTED SCHOOL ABOUT ACADEMIC PERFORMANCE	
BYP58B	CONTACTED SCHOOL ABOUT ACADEMIC PROGRAM	
BYP58C	CONTACTED SCHOOL ABOUT BEHAVIOR	
BYP58D	CONTACTED SCHOOL ABOUT FUND RAISING	
BYP58E	CONTACTD SCHOOL ABOUT INFO FOR SCH RECORDS	
BYP58F	CONTACTED SCHOOL ABOUT DOING VOLUNTEER WORK	
BYP59A	BELONG TO PARENT-TEACHER ORGANIZATION	
BYP59B	ATTEND PARENT-TEACHER ORGANIZTN MEETINGS	
BYP59C	TAKE PART IN PARENT-TEACH ORG ACTIVITIES	
BYP59E	BELONG TO ANY OTHER ORGANIZATION	
BYP60A	CHILD STUDY ART OUTSIDE REGULAR SCHOOL	
BYP60B	CHILD STUDY MUSIC OUTSIDE REGULAR SCHOOL	
BYP60C	CHILD STUDY DANCE OUTSIDE REGULAR SCHOOL	



# Appendix A (continued)

Base Year Parental Involvement Variables Included in Factor Analysis		
BYP60D	CHILD STUDY LANGUAGE OUTSIDE REGULAR SCHOOL	
BYP60F	CHILD STUDY HISTORY OUTSIDE REGULAR SCHOOL	
BYP60G	CHILD STUDY COMPUTER OUTSIDE REGULAR SCHOOL	
ВҮР60Н	CHILD STUDY OTHER SKILLS OUTSIDE REG SCHOOL	
BYP61AB	8TH GRADER BORROWS BOOKS FROM PUB LIBRARY	
BYP61BB	8TH GRADER ATTENDS CONCERTS/MUSICAL EVENTS	
BYP61CB	8TH GRADER GOES TO ART MUSEUMS	
BYP61DB	8TH GRADER GOES TO SCIENCE MUSEUMS	
BYP61EB	8TH GRADER GOES TO HISTORY MUSEUMS	
BYP63A	CHILD EVER INVOLVED IN BOY/GIRL SCOUTS	
BYP63B	CHILD EVER INVOLVED IN CUB SCOUTS/BROWNIES	
BYP63C	CHILD EVER INVOLVED IN CAMPFIRE/BLUEBIRDS	
BYP63D	CHILD EVER INVOLVED IN BOYS-GIRLS CLUB	
BYP63F	CHILD EVER INVOLVED IN YMCA, YWCA, JCC	
BYP63G	CHILD EVER INVOLVED IN SPORTS TEAMS	
ВҮР63Н	CHILD EVER INVOLVED IN 4-H CLUB	
BYP63I	CHILD EVER INVOLVED IN COMMUNITY GROUP	
BYP64A	FAMILY RULE ABOUT PROGRAMS CHILD MAY WATCH	
BYP64B	FAMILY RULE HOW EARLY/LATE CHILD WATCH TV	
BYP64C	FAMILY RULE HOW MANY HRS CHILD WATCH TV	
BYP64D	FAMILY RULE HOW MANY HRS WATCH TV ON SCHOOL DAYS	
BYP65A	FAMILY RULE ABOUT MAINTAINING GRADE AVG	
BYP65B	FAMILY RULE ABOUT DOING HOMEWORK	
BYP65C	FAMILY RULE ABOUT DOING HOUSEHOLD CHORES	
BYP66	HOW OFTEN TALKS TO CHILD ABOUT SCHOOL EXPERIENCES	
BYP67	HOW OFTEN TALKS TO CHILD ABOUT H.S. PLANS	
BYP68	HOW OFTEN TALKS TO CHILD RE POST H.S. PLANS	
BYP69	HOW OFTEN HELP CHILD WITH HOMEWORK	
BYP76	HOW FAR IN SCHOOL R EXPECT CHILD TO GO	
BYP77	WHO WILL DECIDE CHILD'S H.S. COURSES	
BYP82B	ANY EDUCATIONAL EXPENSES FOR PRIVATE SCHOOL	
BYP82D	ANY EDUCATIONAL EXPENSES FOR TUTORING	
BYP83	EXPECT CHILD WILL GO ON TO ADDITIONAL ED	

<sup>\*</sup> R = Respondent



# Appendix B

Second Follow-Up Parental Involvement Variables Included in Factor Analysis		
F2P26C	R HAS PROBLEMS UNDERSTANDING TEEN'S TEACHERS	
F2P26D	R HAS PROBLEMS MAKING SELF UNDERSTOOD BY TEACHERS	
F2P43A	SCHOOL CONTACTED R ABOUT ACADEMIC PERFORMANCE	
F2P43B	SCHOOL CONTACTED R ABOUT ACADEMIC PROGRAM	
F2P43C	SCHOOL CONTACTED R ABOUT TEEN'S PLANS AFTER H.S.	
F2P43D	SCHOOL CONTACTED R ABOUT COLLEGE PREP COURSE SEL	
F2P43E	SCHOOL CONTACTED R ABOUT TEEN'S ATTENDANCE	
F2P43F	SCHOOL CONTACTED R ABOUT TEEN'S BEHAVIOR	
F2P43G	SCHOOL CONTACTED R ABOUT VOLUNTEER WORK AT SCHOOL	
F2P43H	SCHOOL CONTACT R ABOUT HOW TO HELP TEEN W/WORK	
F2P44	CONTACTED SCHOOL ABOUT COLLEGE PREP COURSE SEL	
F2P44B	©CONTACTED SCHOOL ABOUT ACADEMIC PROGRAM	
F2P44C	CONTACTED SCHOOL ABOUT TEEN'S PLANS AFTER H.S.	
F2P44E	CONTACTED SCHOOL ABOUT TEEN'S ATTENDANCE	
F2P44F	CONTACTED SCHOOL ABOUT TEEN'S BEHAVIOR	
F2P44G	CONTACTED SCHOOL ABOUT DOING VOLUNTEER WORK	
F2P45A	ATTENDED PROGRAM ABOUT EDUCATIONAL OPPS AFTER HS	
F2P45B	ATTENDED PROGRAM ABOUT COLLEGE FINANCIAL AID	
F2P45C	ATTENDED PROGRAM ABOUT EMPLOYMENT OPPORT	
F2P46A	R KNOWS WHICH COURSES TEEN IS TAKING	
F2P46B	R KNOWS HOW WELL TEEN IS DOING IN SCHOOL	
F2P46C	R KNOWS # OF CREDITS TEEN HAS TOWARD GRAD	
F2P46D	R KNOWS # CREDITS TEEN NEEDS TO GRADUATE	
F2P48A	WHO DECIDES HOW LATE TEEN CAN STAY OUT	
F2P48B	WHO DECIDES WHEN TEEN CAN USE CAR	
F2P48C	WHO DECIDES WHETHER TEEN CAN HAVE A JOB	
F2P48D	LWHO DECIDES HOW TEEN SPENDS OWN MONEY	
F2P48E	WHO DECIDES IF TEEN DRINKS ALCOHOL AT HOME	
F2P48F	WHO DECIDES IF TEEN CAN DRINK ALCOHOL	
F2P48G	WHO DECIDES IF PRIVILEGES ARE TAKEN AWAY	
F2P48H	WHO DECIDES WHETHER TEEN SHOULD GO TO COLLEGE	
F2P48I	WHO DECIDES WHICH COURSES TEEN TAKES	



# Appendix B (continued)

Second Follow-Up Parental Involvement Variables Included in Factor Analysis		
F2P49A	DISCUSS WITH TEEN SELECTING COURSES	
F2P49B	DISCUSS WITH TEEN SCHOOL ACTIVITIES	
F2P49C	DISCUSS W/ TEEN THINGS TEEN HAS STUDIED	
F2P49D	DISCUSS WITH TEEN TEEN'S GRADES	
F2P49E	DISCUSS WITH TEEN PLANS TO TAKE SAT/ACT	
F2P49F	DISCUSS WITH TEEN APPLYING TO COLLEGES	
F2P49G	DISCUSS W/ TEEN JOBS TEEN MIGHT APPLY	
F2P49H	DISCUSS W/TEEN COMMUNITY/NAT'L/WORLD EVENTS	
F2P49I	DISCUSS WITH TEEN THINGS TROUBLING TEEN	
F2P49J	DISCUSS W/TEEN TEEN'S INTERESTS/HOBBIES	
F2P50A	ATTENDED SCHOOL ACTIVITIES WITH TEEN	
F2P50B	WORKED ON HOMEWORK/PROJECTS WITH TEEN	
F2P50C	ATTENDED CONCERTS, PLAYS, MOVIES W/TEEN	
F2P50D	ATTENDED SPORTS EVENTS OUTSIDE SCHOOL W/TEEN	
F2P50E	ATTENDED RELIGIOUS SERVICES WITH TEEN	
F2P50F	ATTENDED FAMILY SOCIAL FUNCTIONS W/TEEN	
F2P50G	TOOK DAY TRIPS/VACATIONS WITH TEEN	
F2P50H	WORKED ON HOBBY/PLAYED SPORTS WITH TEEN	
F2P50I	WENT SHOPPING WITH TEEN	
F2P50J	WENT TO RESTAURANTS/ATE OUT WITH TEEN	
F2P50L	DID SOMETHING ELSE FUN WITH TEEN	
F2P56A	DISCUSS THINGS AT TEEN'S SCHOOL W/OTHER PARENT	
F2P56B	DISCUSS TEEN'S EDUCATION PLANS W/OTHER PARENTS	
F2P56C	DISCUSS TEEN'S CAREER PLANS W/OTHER PARENTS	
F2P61	HOW FAR IN SCHOOL R EXPECTS TEEN TO GO	
F2P62A	R ENCOURAGED TEEN TO PREPARE FOR SAT	
F2P62C	R ENCOURAGED TEEN TO PREPARE FOR ASVAB	
F2P62D	R ENCOURAGED TEEN TO PREPARE FOR GED	
F2P63	R TALKED TO TEEN ABOUT APPLYING FOR COLLEGE	
F2P76A	ANY EDUCATIONAL EXPENSES FOR PRIVATE SCHOOL	
F2P76B	ANY EDUCATIONAL EXPENSES FOR TUTORING	



# Appendix C

Base Year College-Related Parental Involvement Variables Included in Factor Analysis		
BYP84	SAVED ANY MONEY FOR CHILD ED AFTER H.S.	
BYP84AA	STARTED A SAVINGS ACCOUNT	
BYP84AB	BOUGHT AN INSURANCE POLICY	
BYP84AC	BOUGHT U.S. SAVINGS BONDS	
BYP84AD	MADE INVESTMENTS IN STOCKS/REAL ESTATE	
BYP84AE	SET UP A TRUST FUND	
BYP84AF	STARTED WORKING/TAKEN AN ADDITIONAL JOB	
BYP84AG	ESTABLISHED ANOTHER FORM OF SAVINGS	
BYP84B	MONEY R SET ASIDE FOR CHILD'S FUTURE ED	
BYP84C	MONEY R EXPECT TO SET ASIDE FOR CHILD ED	
BYP84D	EXPECT AMOUNT TO COVER COST OF CHILD ED	
BYP85A	CHILD WILL BE ABLE TO EARN MONEY FOR ED	
BYP85B	CAN PAY FOR CHILD ED WITHOUT ASSISTANCE	
BYP85C	FAMILY NOT WILLING GO INTO DEBT FOR ED	
BYP85D	FAMILY INCOME TOO HIGH FOR LOAN/SCHOLARSHIP	
BYP85E	CHILD GRADES NOT HIGH ENOUGH TO QUALIFY	
BYP85F	CHILD TEST SCORES NOT GOOD ENOUGH QUALIFY	
BYP85G	TOO MUCH WORK TO APPLY FOR FINANCIAL AID	
ВҮР85Н	NOT MUCH INFORMATION ON FINANCIAL AID	
BYP85I	DON'T SEE WAY TO GET MONEY FOR COLLEGE	
BYP85J	RELATIVES WILL HELP PAY CHILD COLLEGE EXPENSES	



# Appendix D

Second Follow-Up College-Related Parental Involvement		
	Variables Included in Factor Analysis	
F2P65A	TEEN WANTS TO DECIDE ABOUT COLLEGE BY SELF	
F2P65B	R TALKED TO TEEN ABOUT PARTICULAR SCHOOLS	
F2P65C	R TALKED TO TEEN IN GENERAL ABOUT SCHOOLS	
F2P65D	R GAVE TEEN INFORMATION FROM SCHOOL	
F2P65E	R OFFERED TO TAKE TEEN TO PRIVATE COUNSELOR	
F2P66A	TEEN'S COLLEGE- IMPORTANCE OF LOW COST	
F2P66B	TEEN'S COLLEGE- IMPORTANCE FINANCIAL AID	
F2P66C	TEEN'S COLLEGE- IMPORTANCE OF CURRICULUM	
F2P66D	TEEN'S COLLEGE- IMPORTANCE OF SPORT PROGRAM	
F2P66E	TEEN'S COLLEGE- IMPORTANCE OF SOCIAL LIFE	
F2P66F	TEEN'S COLLEGE- IMPORTANCE OF LIVE AT HOME	
F2P66H	TEEN'S COLLEGE- IMPORTANCE RELIGIOUS ENVIRONMENT	
F2P66I	TEEN'S COLLEGE- IMPORTANCE OF LOW CRIME	
F2P66J	TEEN'S COLLEGE- IMPORTANCE JOB PLACEMENT	
F2P66K	TEEN'S COLLEGE- IMP OF GRAD SCHOOL PLACEMENT	
F2P66L	TEEN'S COLLEGE- IMPORTANCE OF ACADEMIC REP	
F2P66M	TEEN'S COLLEGE- EASY ADMISSION STANDARDS	
F2P66N	TEEN'S COLLEGE- DESIRED PROGRAM AVAILABLE	
F2P66O	TEEN'S COLLEGE- IMP OF RACIAL/ETHNIC COMPOSITION	
F2P66P	TEEN'S COLLEGE- IMP OF SIZE OF SCHOOL	
F2P66Q	TEEN'S COLLEGE- IMP OF GEOGRAPHIC LOCATION	
F2P66R	TEEN'S COLLEGE- IMP OF ATTEND R'S SCHOOL	
F2P67	VISITED HOW MANY SCHOOLS WITH TEEN	
F2P68	A PARTICULAR CAREER INTERESTS YOUR TEEN	
F2P79A	R STARTED A SAVINGS ACCOUNT	
F2P79B	R BOUGHT AN INSURANCE POLICY	
F2P79C	R BOUGHT U.S. SAVINGS BONDS	
F2P79D	R MADE INVESTMENTS IN STOCKS/REAL ESTATE	
F2P79E	SET UP A TRUST	
F2P79F	R STARTED WORKING ANOTHER JOB/MORE HOURS	
F2P79G	R ESTABLISHED ANOTHER FORM OF SAVINGS	
F2P79H	R PLANNED TO REDUCE EXPENSES IN SOME WAY	
F2P79I	R PLANNED TO REMORTGAGE PROPERTY/TAKE LOAN	
F2P79J	R HAD TEEN PUT ASIDE EARNINGS	
F2P80	GRADE TEEN IN WHEN R STARTED SAVING	
F2P81	MONEY R SET ASIDE FOR TEEN'S FUTURE ED	
F2P82	EXPECT AMOUNT TO COVER COST OF EDUCATION	
F2P84A	TALKED W/HS GUIDANCE COUNSELOR ABOUT FIN AID	



# Appendix D (continued)

Second Follow-Up College- Related Parental Involvement		
Variables Included in Factor Analysis		
F2P84B	TALKED W/COLLEGE REP ABOUT FINANCIAL AID	
F2P84C	TALKED W/LOAN OFFICER ABOUT FINANCIAL AID	
F2P84D	TALKED W/OTHER PERSON ABOUT FINANCIAL AID	
F2P84E	READ U.S. DEPT OF EDUCATION INFO ON FINANCIAL AID	
F2P84F	READ COLLEGE INFO ON FINANCIAL AID	
F2P84G	READ ABOUT FINANCIAL AID THROUGH MILITARY	
F2P85A	PLAN TO USE LOANS TO PAY FOR TEEN'S STUDY	
F2P85B	WILL USE SCHOLARSHIPS, GRANTS FOR TEEN'S STUDY	
F2P85C	PLAN TO USE WORK PROGRAMS FOR TEEN'S STUDY	
F2P86B	R OR TEEN HAS APPLIED FOR SCHOLARSHIPS/GRANTS	
F2P86C	R OR TEEN HAS APPLIED FOR WORK PROGRAMS	
F2P87A	R KNOWS ABOUT STATE STUDENT LOAN PROGRAM	
F2P87B	R KNOWS ABOUT FEDERAL LOAN PROGRAM	
F2P87C	R KNOWS ABOUT COLLEGE STUDENT LOAN PROGRAM	
F2P87D	R KNOWS ABOUT PRIVATE EDUCATION LOANS	
F2P88	TEEN HAS APPLIED FOR FINANCIAL AID	
F2P89A	CAN PAY FOR TEEN ED WITHOUT ASSISTANCE	
F2P89B	TEEN GRADES NOT HIGH ENOUGH TO QUALIFY	
F2P89C	WE DON'T WANT TO REPORT FINANCIAL SITUATION	
F2P89E	TOO MUCH WORK TO APPLY FOR FINANCIAL AID	
F2P89F	NOT MUCH INFORMATION ON FINANCIAL AID	
F2P89G	NO MONEY AVAILABLE FOR AID	
F2P89H	RELATIVES WILL HELP PAY TEEN COLLEGE EXPENSES	
F2P89I	MISSED THE DEADLINE FOR APPLICATION	
F2P89J	DON'T KNOW HOW TO APPLY	
F2P90	AMOUNT EXPECT TO SPEND TEEN'S EDUCATION NEXT YEAR	
F2P91	AMOUNT OF DEBT OK FOR TEEN'S EDUCATION NEXT YEAR	
F2P92A	WILL USE CURRENT EARNINGS FOR TEEN'S EDUCATION	
F2P92C	WILL USE 2ND MORTGAGE FOR TEEN'S EDUCATION	
F2P92D	WILL USE BORROWING FOR TEEN'S EDUCATION	
F2P92E	WILL USE ALIMONY/CHILD SUP FOR TEEN'S EDUCATION	
F2P92F	USE CHILD'S EARNINGS/SAVINGS FOR TEEN'S EDUCATION	
F2P92G	WILL USE TRUST FUND FOR TEEN'S EDUCATION	
F2P92H	USE RELATIVES' CONTRIBUTIONS FOR TEEN'S EDUCATION	
F2P92I	WILL USE SCHOLARSHIPS/GRANTS FOR TEEN'S EDUCATION	
F2P92J	USE STATE OR FEDERAL LOANS FOR TEEN'S EDUCATION	
F2P92K	USE SOC SEC/VET ADMIN BENEFITS FOR TEEN'S EDUCATION	
F2P92L	OTHER SOURCE TO PAY FOR TEEN'S EDUCATION	



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