

DOCUMENT RESUME

ED 404 922

HE 029 927

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 TITLE Foundation Resource Guide. A Compilation of Major Foundations That Sponsor Activities Relevant to Community Outreach Partnership Centers.
 INSTITUTION Department of Housing and Urban Development, Washington, D.C. Office of Policy Development and Research.
 PUB DATE Dec 96
 NOTE 41p.
 AVAILABLE FROM U.S. Department of Housing and Urban Development, University Partnerships Clearinghouse, P.O. Box 6091, Rockville, MD 20849-6091; phone: 1-800-245-2691.
 PUB TYPE Reports - Descriptive (141)
 EDRS PRICE MF01/PC02 Plus Postage.
 DESCRIPTORS Economic Development; Federal Aid; Financial Support; Fund Raising; *Grants; Higher Education; *Outreach Programs; *Philanthropic Foundations; *School Community Relationship; Universities; Urban Improvement

ABSTRACT

This publication highlights national and regional foundations that are most likely to fund colleges and universities to perform activities similar to those undertaken by the Office of University Partnerships' Community Outreach Partnership Center Program (COPC) of the U.S. Department of Housing and Urban Development. The COPC Program provides funds to help institutions of higher learning form or expand centers for applied research and outreach to communities and neighborhoods. This publication was developed as a resource for COPC grantees. Descriptions are provided for the following foundations and trusts: American Express Foundation, AT&T Foundation, Bristol Myers-Squibb Foundation, Jessie P. Cox Charitable Trust, Ford Motor Company Fund, General Motors Foundation, Inc., Robert Wood Johnson Foundation, Joyce Foundation, W. K. Kellogg Foundation, Charles Stewart Mott Foundation, Pew Charitable Trust, Prudential Foundation, Rockefeller Foundation, US West Foundation, and DeWitt Wallace-Reader's Digest Fund, Inc. For each fund, the description includes contact information, purpose and activities, limitations, and examples of COPC-related funding. A subject index is also provided with entries for community development, economic development, education (K-12), environment, health, job training, and leadership. (JLS)

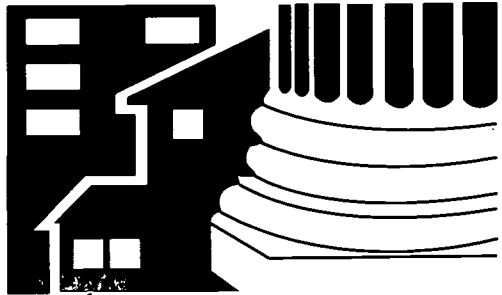
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Foundation Resource Guide

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1-800-245-2691,
1-800-483-2209 (TDD).

Foreword

Enclosed is a directory of foundations prepared by the Office of University Partnerships (OUP). The foundations described in the directory are national and multistate foundations which, based on information published in *The Guide to Funding for Higher Education*, appear most likely to fund the kinds of projects you and the other Community Outreach Partnership Centers (COPC) grantees are undertaking. This revised and updated volume reflects suggestions many of you made after examining a draft guide prepared by OUP and includes examples of funded projects. I hope you will find this a useful reference in your ongoing COPC efforts.



Michael A. Stegman
Assistant Secretary for Policy
Development and Research

Introduction

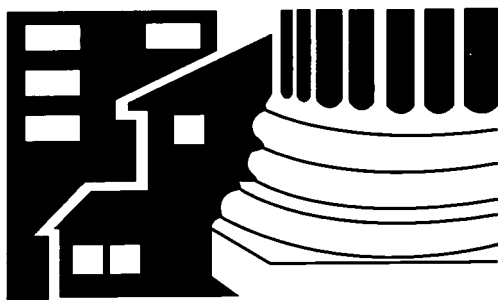
This Guide was prepared by the Office of University Partnerships (OUP), created within HUD to bring the resources of colleges and universities to bear on revitalizing communities. Though of possible interest to many institutions of higher learning, this publication was developed as a resource for grantees under HUD's Community Outreach Partnership Centers (COPC) Program. The COPC Program provides funds to help institutions of higher learning form or expand centers for applied research and outreach to communities and neighborhoods. The foundations described here are national and multistate foundations which, based on information published in *The Guide to Funding for Higher Education*, appear most likely to fund the kinds of projects COPC grantees are undertaking.

Further information about OUP and the many ways in which it is helping colleges and universities fulfill their urban mission is available from HUD's University Partnerships Clearinghouse, P.O. Box 6091, Rockville, MD 20849-6091, 1-800-245-2691. Information is also available on the Internet. OUP's World Wide Web address is <http://www.oup.org>, its Gopher address is <gopher://oup.org:78>, and its e-mail address is oup@oup.org.

U.S. Department of Housing and Urban Development
Community Outreach Partnership Centers Program

Foundation Resource Guide

A Compilation of Major Foundations
That Sponsor Activities Relevant to
Community Outreach Partnership Centers



Office of University Partnerships

Prepared by Kevin Nelson
University Partnerships Clearinghouse

December 1996

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Foundation Listings

American Express Foundation

Purpose and Activities:

The foundation's philanthropic activities focus on three strategic themes: community service, education, and employment; cultural programs; and historic preservation.

Address:	c/o American Express Company American Express Tower World Financial Center New York, NY 10285
Phone:	(212) 640-5661
Incorporated:	1954
Foundation Type:	Company-sponsored
Contact:	Mary Beth Salerno
Contracts paid (in 1994):	\$10,499,900
Number of Grants (in 1994):	705
High	\$950,000
Low:	\$200
Initial Approach:	Letter describing the scope of the project, not to exceed two pages
Board Meetings:	Twice a year
Deadline for Submission:	None
COPC Subject Areas:	Community Development Education (K-12)
Limitations:	Giving primarily in AZ, CA, CO, FL, GA, IL, MA, MN, NC, NE, NY, PA, TX, UT, and Washington, DC.

COPC-Related Funding Examples:

No specific descriptions given.

Florida State University

Tallahassee, FL

\$60,000 in 1992

For community outreach support.

Arizona State University

Tempe, AZ

\$10,000 in 1992

For community outreach support.

Harvard University

Cambridge, MA

\$300,000 in 1992

For community outreach support.

Purpose and Activities:

The foundation is the principle source of philanthropy for AT&T and its subsidiaries; its scope is national, emphasizing support of private higher education and institutions and projects in health care, social action, and the arts.

Address:	1301 Avenue of the Americas, Room 3100 New York, NY 10019
Phone:	(212) 841-4747
Incorporated:	1984
Foundation Type:	Company-sponsored
Contact:	Laura Abbott
Contracts Paid (in 1994):	\$27,614,616
Number of Grants (in 1994):	754
High:	\$1,855,000
Low:	\$500
Initial Approach:	Letter describing the scope of the project, not to exceed three pages
Board Meetings:	May, June, September, November
Deadline for Submission:	None
COPC Subject Areas:	Education (K-12) Health

Limitations:

Giving on a national basis.
No support for religious organizations for sectarian purposes; local chapters of national organizations; social sciences or health sciences programs; medical or nursing schools; junior or community colleges; industrial affiliates; or trade schools.

COPC-Related Funding Examples:

No specific descriptions given.

Columbia University
New York, NY
\$40,000 in 1992

For community outreach support.

Purdue University
West Lafayette, IN
\$30,000 in 1992

For community outreach support.

Princeton University
Princeton, NJ
\$30,000 in 1992

For community outreach support.

The Bristol-Myers Squibb Foundation

Purpose and Activities:

The foundation provides assistance for medical research, community funds, higher and other education, and health care. Support is also available for civic affairs and community services, minority and women's organizations, youth agencies, international affairs, and culture.

Address:	345 Park Avenue, 43rd Floor New York, NY 10154
Phone:	Not Specified
Incorporated:	1982
Foundation Type:	Company-sponsored
Contact:	Cindy Johnson, Grants Administrator
Contracts Paid (in 1994):	\$12,617,655
Number of Grants (in 1994):	1,479
High:	\$2,000,000
Low:	\$25
Initial Approach:	Letter describing the scope of the project, not to exceed two pages
Board Meetings:	December
Deadline for Submission:	None
COPC Subject Areas:	Community Development

Limitations:

Giving limited to areas of company operations and to national organizations. No support for political, fraternal, social, or veterans organizations or religious or sectarian organizations not significantly involved in a project engaging the entire community.

COPC-Related Funding Examples:

No specific descriptions given.

Columbia University
New York, NY
\$98,000 in 1992

For community outreach support.

St. Mary's College
Notre Dame, IN
\$22,852 in 1992

For community outreach support.

Manhattan College
New York, NY
\$15,925 in 1992

For community outreach support.

Jessie P. Cox Charitable Trust

Purpose and Activities:

The foundation provides grants for education, health, environmental protection, and philanthropy development. The trustees tend to favor organizations that have not received prior grants and new approaches over those similar to previously funded projects; fixed amounts of approximately \$3 million are to be paid out annually through the trust.

Address:	230 Congress Avenue, 3rd Floor Boston, MA 02110
Phone:	(617) 426-7172
Incorporated:	1982
Foundation Type:	Independent
Contact:	Michelle Larkin, Office Manager
Contracts Paid (in 1994):	Not specified
Number of Grants (in 1994):	Not specified
High:	Not specified
Low:	Not specified
Initial Approach:	Letter describing the scope of the project, not to exceed two pages
Board Meetings:	Monthly
Deadline for Submission:	None
COPC Subject Areas:	Community Development Education (K-12)

Limitations:

Given primarily in New England. No support for sectarian religious activities or efforts usually supported by the general public.

COPC-Related Funding Examples:

Boston Conservatory of Music
Boston, MA
\$75,000 in 1991

For Project Search, outreach program to identify and benefit artistically talented minority students.

University of New England
Biddeford, ME
\$40,000 in 1991

To establish Community Internship Center to provide for-credit internships and volunteer opportunities for students.

New England Conservatory of Music
Boston, MA
\$50,000 in 1991

To reorganize and expand educational outreach services for benefit of Boston's low-income and minority communities.

Ford Motor Company Fund

Purpose and Activities:

Support is available for education, including matching gifts for colleges and universities and basic research grants, community funds, urban affairs, hospitals, and civic and cultural programs.

Address:	The American Road Dearborn, MI 48121
Phone:	(313) 845-8711
Incorporated:	1949
Foundation Type:	Company-sponsored
Contact:	Leo J. Brennan, Jr., Executive Director
Contracts Paid (in 1994):	\$26,700,000
Number of Grants (in 1994):	1,600
High:	\$1,330,000
Low:	\$100
Initial Approach:	Letter describing the scope of the project, not to exceed two pages
Board Meetings:	April, October
Deadline for Submission:	None
COPC Subject Areas:	Community Development Economic Development Education (K-12)

Limitations:

Giving primarily in areas of company operations nationwide, with special emphasis on Detroit, Michigan. No grants for individuals or for scholarships or fellowships.

COPC-Related Funding Examples:

Boston College
Chestnut Hill, MA
\$10,000 in 1992

For Foundation for Corporate Community Relations Research.

Suomi College
Hancock, MI
\$10,000 in 1992

For Native American Outreach Program.

Howard University
Washington, DC
\$25,000 in 1992

For Minority MBA Program.

General Motors Foundation, Inc.

Purpose and Activities:

Grants are largely available for higher education, community funds, social services, urban affairs, civic affairs, cultural programs, and social services.

Address:	13-145 General Motors Building 3044 West Grand Boulevard
Phone:	(313) 556-4260
Incorporated:	1976
Foundation Type:	Corporate-sponsored
Contact:	Ronald Theis, Director
Contracts paid (in 1994):	\$20,500,595
Number of Grants (in 1994):	462
High:	\$1,000,000
Low:	\$100
Initial Approach:	Letter describing the scope of the project, contact for guidelines
Board Meetings:	None
Deadline for Submission:	None
COPC Subject Areas:	Community Development Education (K-12)
Limitations:	Giving primarily in plant cities where the company has significant operations. No support for special interest groups, hospitals, or United Way support organizations.

COPC-Related Funding Examples:

No specific examples given.

The Robert Wood Johnson Foundation

Purpose and Activities:

The foundation's purpose is to improve the health and health care of Americans, to help the Nation and its health care system identify and pursue new opportunities to address persistent health problems, and to participate and respond to significant emerging problems.

Address:	P.O. Box 2316 Princeton, NJ 08543-2316
Phone:	(609) 452-8701
Incorporated:	1936
Foundation Type:	Independent
Contact:	Edward Robbins, Proposal Manager
Contracts Paid (in 1993):	\$105,611,050
Number of Grants (in 1993):	769
High:	\$1,030,403
Low:	\$82
Initial Approach:	Letter describing the scope of the project, not to exceed two pages
Board Meetings:	Four times a year
Deadline for Submission:	None
COPC Subject Areas:	Health
Limitations:	Giving limited to the United States. No support for international activities or programs, institutions concerned solely with a specific disease, or basic biomedical research.

COPC-Related Funding Examples:

Illinois Institute of Technology
Chicago, IL
\$399,982 in 1991

For Minority Medical Education Program.

University of Rochester
Rochester, NY
\$1,009,214 in 1992

For School of Medicine and Dentistry for continuation of urban nurse home-visiting study.

University of Medicine and Dentistry of New Jersey
Piscataway, NJ
\$455,953 in 1991

For Robert Wood Johnson Medical School for preventing lead exposure among inner-city children.

Joyce Foundation

Purpose and Activities:

The foundation seeks to address issues of conservation of natural resources, the improvement of urban educational systems, the development of a prosperous and equitable economic base, the revitalization of the electoral process, and the broader acceptance of cultural diversity, with a particular concern for their impact on minorities and the poor who receive less of society's resources.

Address:	135 South LaSalle Street, Suite 4010 Chicago, IL 60603
Phone:	(312) 782-2464
Incorporated:	1948
Foundation Type:	Independent
Contact:	Deborah Leff, President
Contracts Paid (in 1994):	\$18,944,955
Number of Grants (in 1994):	338
High:	\$220,000
Low:	\$925
Initial Approach:	Letter describing the scope of the project, contact for guidelines
Board Meetings:	March, July, November
Deadlines for Submission:	December 15, April 13, August 15
COPC Subject Areas:	Community Development Economic Development Education (K-12) Job Training/Micro-Enterprise Assistance

Limitations:

Giving primarily in the Midwest States, including IA, IL, IN, MI, MN, OH, and WI; limited number of conservation grants to KS, MO, ND, NE, and SD.

COPC-Related Funding Examples:

Case Western Reserve University
Cleveland, OH
\$52,910 in 1992

For The Center for Regional Economic Issues to develop a framework for analyzing public investments in economic development programs that will benefit low-income populations.

Michigan State University
East Lansing, MI
\$101,279 in 1992

For The Julian Samora Research Institute for a collaborative project with Cristo Rey Center to bring about fundamental change in the teaching of Latino youth in North Lansing.

Northwestern University
Evanston, IL
\$99,000 in 1992

For the J. L. Kellogg School of Management to design and implement continuous improvement projects at two Chicago public schools.

Purpose and Activities:

The foundation's purpose is to receive and administer funds for educational and charitable purposes. Aid is limited to programs concerned with the application of existing knowledge rather than research.

Address:	One Michigan Avenue East Battle Creek, MI 49017-4085
Phone:	(616) 986-1611
Incorporated:	1930
Foundation Type:	Independent
Contact:	Nancy Sims, Manager of Grant Proposals
Contracts Paid (in 1994):	\$216,657,592
Number of Grants (in 1994):	Not Specified
High:	\$23,371,560
Low:	\$390
Initial Approach:	Letter describing the scope of the project, not to exceed two pages
Board Meetings:	Monthly
Deadline for Submission:	None
COPC Subject Areas:	Community Development Economic Development Education (K-12)
Limitations:	Giving primarily in the United States, Latin America, the Caribbean, and southern Africa. No support for religious purposes. No grants to individuals. No grants for operating budgets.

COPC-Related Funding Examples:

Auburn University
Montgomery, AL
\$32,000 in 1992

To improve rural residents' health and quality of life through health services for the elderly, tutoring/enrichment programs for children, and human resources development, moving toward a goal of promoting community-based health services. Total for project: \$1,294,555.

City University of New York
New York, NY
\$150,000 in 1992

To improve the educational outlook for at-risk students through peer-tutoring systems with a teamwork approach.

Cornell University
Ithaca, NY
\$842,900 in 1992

To increase the capacity of public officials to revitalize small towns and rural communities through education, networking, and community development projects.

Charles Stewart Mott Foundation

Purpose and Activities:

The foundation supports community improvement through grants for expressing individuality; expanding personal horizons; citizenship; volunteer action; counteracting root causes of alienation; community identity and stability; community renewal; environmental management; fostering institutional openness; better delivery of services; and training in and improving practices of leadership.

Address:	Office of Proposal Entry 1200 Mott Foundation Building Flint, MI 48502-1851
Phone:	(810) 238-5651
Incorporated:	1926
Foundation Type:	Independent
Contact:	Not specified
Contracts Paid (in 1994):	\$51,254,061
Number of Grants (in 1994):	810
High:	\$4,000,000
Low:	\$2,500
Initial Approach:	Proposal, call for guidelines
Board Meetings:	Monthly
Deadline for Submission:	None
COPC Subject Areas:	Community Development Economic Development Education (K-12) Environment

Limitations:

No support to organizations for religious purposes. No grants to individuals, building or endowment funds, research, scholarships, or fellowships.

COPC-Related Funding Examples:

Albany State College
Albany, GA
\$20,000 in 1992

To provide low-income and African-American residents of Georgia, Florida, and Alabama with technical assistance in their efforts to respond to community environmental health threats.

University of North Carolina
Chapel Hill, NC
\$20,000 in 1992

For the Public Health Foundation for faculty members and graduate students at the university to provide scientific, technical, and other assistance to citizen groups and local governments working on environmental issues in North Carolina.

The Pew Charitable Trusts

Purpose and Activities:

The foundation primarily gives for programs in arts and culture, education, health and human services, conservation and the environment, public and foreign policy, and regional issues. The trusts recently formed an interdisciplinary fund.

Address:	One Commerce Square 2005 Market Street, Suite 1700 Philadelphia, PA 19103
Phone:	(215) 575-9050
Incorporated:	1948
Foundation Type:	Independent
Contact:	Rebecca Rimel, President
Contracts Paid (in 1994):	\$157,169,536
Number of Grants (in 1994):	529
High:	\$5,000,000
Low:	\$5,000
Initial Approach:	Letter describing the scope of the project, not to exceed two pages
Board Meetings:	Monthly
Deadline for Submission:	None
COPC Subject Areas:	Community Development Economic Development Education (K-12) Environment Health

Limitations:

No grants to individuals or for endowment funds, deficit financing, scholarships, or fellows.

COPC-Related Funding Examples:

Temple University
Philadelphia, PA
\$1,000,000 in 1992

For a project to strengthen teaching and learning in the freshman year through development of learning communities.

Arizona State University
Tempe, AZ
\$400,000 in 1992

For Project 1000 to enhance recruitment and retention of disadvantaged students in graduate school.

Cornell University
Ithaca, NY
\$842,900 in 1992

To increase the capacity of public officials to revitalize small towns and rural communities through education, networking, and community development projects.

The Prudential Foundation

Purpose and Activities:

The foundation's interests for funding include education; business and civic affairs; urban and community development; health and human services, including AIDS program; arts and culture; and focus on children. Support also is given for United Way drives in area of company operation.

Address:	Prudential Plaza 751 Broad Street Newark, NJ 07012
Phone:	(201) 802-7354
Incorporated:	1977
Foundation Type:	Company-sponsored
Contact:	Not specified
Contracts Paid (in 1994):	\$14,976,028
Number of Grants (in 1994):	791
High:	\$200,000
Low:	Not specified
Initial Approach:	Application form
Board Meetings:	Three times a year
Deadline for Submission:	None
COPC Subject Areas:	Community Development Education (K-12)

Limitations:

Giving primarily in areas of company operation, especially in NJ, CA, FL, MN, and PA. No support for labor, religious, or athletic groups or general operating funds for single disease health organizations. No grants to individuals.

COPC-Related Funding Examples:

Essex County College

Newark, NJ

\$10,000 in 1991

For business skills training and a placement program for the unemployed.

Massachusetts Institute of Technology

Cambridge, MA

\$25,000 in 1991

For a summer high school program for minority youth.

Seton Hall University

Ithaca, NY

\$25,000 in 1991

For a youth development program for first-time juvenile offenders.

The Rockefeller Foundation

Purpose and Activities:

The foundation offers grants and fellowships in three principle areas: international science-based development, the arts and humanities, and equal opportunities.

Address:	420 Fifth Avenue New York, NY 10018-2702
Phone:	(212) 869-8500
Incorporated:	1913
Foundation Type:	Independent
Contact:	Lynda Mullen, Secretary
Contracts Paid (in 1993):	\$75,845,843
Number of Grants (in 1993):	961
High:	\$2,400,000
Low:	\$98
Initial Approach:	Letter describing the scope of the project, contact for guidelines
Board Meetings:	March, June, September, December
Deadline for Submission:	None
COPC Subject Areas:	Community Development Economic Development Education (K-12) Environment

Limitations:

No support for establishing local hospitals, churches, schools, libraries, or welfare agencies or their building or operating funds; financing altruistic movements involving private profit; or influencing legislation.

COPC-Related Funding Examples:

Emory University
Atlanta, GA
\$250,000 in 1992

For The Carter Center to document and evaluate community-action and fundraising strategies that The Atlanta Project (TAP) is using in large-scale effort to combat persistent poverty in Atlanta. This effort makes that information available to other cities for possible replication.

University of Chicago
Chicago, IL
\$6,000,000 in 1992

For policy development activities of the new Center for the Study of Urban Inequality.

New School for Social Research
New York, NY
\$200,000 in 1992

For the study of social and behavioral effects produced by selected community development corporations (CDCs) in low-income neighborhoods where they operate.

Purpose and Activities:

Giving is primarily for health and human services, including those for minorities and youth; early childhood, elementary, secondary, higher, and other education; rural and community development; and cultural programs.

Address:	7800 East Orchard Road, Suite 300 Englewood, CO 80111
Phone:	(303) 793-6648
Incorporated:	1985
Foundation Type:	Company-sponsored
Contact:	Janet Rush, Grants Manager
Contracts Paid (in 1994):	\$22,565,462
Number of Grants (in 1994):	1976
High:	\$600,000
Low:	\$300
Initial Approach:	Letter describing the scope of the project, contact for guidelines
Board Meetings:	Four times a year
Deadline for Submission:	None
COPC Subject Areas:	Community Development Education (K-12)
Limitations:	Giving limited to the States served by the US WEST calling areas, including AZ, CO, IA, ID, MN, MT, ND, NE, NM, OR, SD, UT, WA, and WY.

COPC-Related Funding Examples:

University of Montana
Missoula, MT
\$100,000 in 1991

For a seminar for counselors of Native American students, creation of small business entrepreneurial centers, and a tour of Voice of the Prairie.

University of Northern Iowa
Cedar Falls, IA
\$42,600 in 1991

For the Access for Minorities in Education program.

Pueblo Community College
Pueblo, CO
\$15,000 in 1991

For adult literacy programs.

Dewitt Wallace-Reader's Digest Fund, Inc.

Purpose and Activities:

The Fund is founded on the belief that America's future depends on providing opportunity for all youth to fulfill their educational and career aspirations. To help achieve that vision, the fund invests nationwide to improve elementary and secondary schools; strengthen organizations and programs that serve youth; encourage ties between schools and communities; and increase career, service, and educational opportunities for youth.

Address:	Two Park Avenue, 23rd Floor New York, NY 10016
Phone:	(212) 251-9700
Incorporated:	1965
Foundation Type:	Independent
Contact:	Jane Quinn, Program Director
Contracts Paid (in 1994):	\$40,726,265
Number of Grants (in 1994):	144
High:	\$1,400,000
Low:	\$1,000
Initial Approach:	Letter describing the scope of the project, contact for guidelines
Board Meetings:	Four times a year
Deadline for Submission:	None

COPC Subject Areas:

Economic Development
Education (K-12)
Job Training/Micro-Enterprise Assistance
Leadership and CBO Capacity
Building

Limitations:

No support for public television, film, or media projects; local chapters of organizations; or religious or fraternal organizations. No grants to individuals.

COPC-Related Funding Examples;

Fordham University
New York, NY
\$500,000 in 1991

For the Stay-In-School Partnership, a model dropout prevention program that provides comprehensive educational and social services for at-risk elementary school children and their families and constrains students in Fordham's Graduate School of Education.

Massachusetts Institute of Technology
Cambridge, MA
\$100,000 in 1991

To evaluate the YouthBuild Program.

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