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### ABSTRACT

In fiscal year (FY) 1991, the U.S. Department of Education made 36 awards totaling \$5,854,924 under the Strengthening Research Library Resources Program (Higher Education Act Title II-C) to 26 major research libraries throughout the country. The funding supports research library projects designed to make certain rare and unique materials more accessible. This is accomplished through three major kinds of activities: bibliographic control, preservation, and collection development. This occument contains abstracts of the projects funded in FY 1991 listed alphabetically by institution. The information provided in the obstracts includes: institution; project director(s); program focus; grant period; FY 1991 funding; grant number; and title and description of the project. An analysis of the FY 1991 applications and grantees is also presented. Tables and figures of project statistics are appended. (JLB)

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# Strengthening Research Library Resources Program

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Abstracts of Funded Projects 1991

Title II-C, Higher Education Act

Library Programs

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# Strengthening Research Library Resources Program

Abstracts of Funded Projects 1991

Title II-C, Higher Education Act

Compiled by

Louise Sutherland, Linaa Miles, Janice Owens, and Doris Thurmond



**U.S. Department of Education** Richard W. Riley Secretary

Office of Educational Research and Improvement Sharon P. Robinson Assistant Secretary

**Library Programs** Ray Fry *Director* 

August 1994



### **Foreword**

In the Education Amendments of 1976, Congress authorized the Higher Education Act, Title II–C (HEA Title II–C), Strengthening Research Library Resources Program, with these words:

"The expansion in the scope of educational research programs and the rapid increase in the worldwide production of recorded knowledge have placed unprecedented demands upon major research libraries, requiring programs and services that strain the capabilities of cooperative action and are beyond the financial competence of individual or collective library budgets."

From the first year of funding in 1978 through the 12 years that followed, significant contributions have been made to the research community by the projects funded through this program. Millions of records describing rare and unique research materials have been entered into international, national, regional, and local networks. Subject areas whose coverage has been scattered and uncoordinated have come into focus. Diversity continues to be one of the keywords as we look at the 1991 projects ranging from such unrelated interests as Russian and Polish studies, mathematics education, and Middle East studies.

This booklet describes briefly the projects funded in fiscal year 1991. While the strain on the financial capabilities of library budgets has reached the crisis stage, the evidences of cooperation are clearer than ever. HEA Title II–C money continues to encourage and assist in this effort.

Ray Fry Director Library Programs



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### Introduction

In fiscal year 1991, the U.S. Department of Education made 36 awards totaling \$5,854,924 under the Strengthening Research Library Resources Program (HEA Title II–C) to 26 major research libraries throughout the country.

The funding supports research library projects designed to make certain rare and unique materials more accessible. This is accomplished through three major kinds of activities: bibliographic control, preservation, and collection development. All of the grantees engage in one or more of these activities as indicated at the head of each project abstract.

Bibliographic control was by far the predominant activity among fiscal year recipients. Seventy-six percent of FY 91 funds (\$4.44 million) were awarded to support institutions in their efforts to make known the contents and locations of holdings through the production of machine-readable catalog records, which are then contributed to national databases. Fifteen percent of FY 91 funds (\$851,780) supported the preservation of fragile and deteriorating materials through such routine techniques as microfilming, cieaning, rebinding, and rehousing. Nine percent (\$555,225) of FY 91 funds were awarded for the collection of rare and unique research materials to fill in gaps and strengthen existing collections. For an analysis of the FY 91 grant awards by major activity, as well as a summary of funded activities in previous years, please see the appendices.

In several of the project abstracts, you will note that the grant recipient worked collaboratively with other institutions. Taking into account these joint projects, FY 91 grants directly benefited an additional 6 institutions beyond the 26 primary grantees. In addition, benefits were extended to the more than 10,000 members of the two national bibliographic utilities—OCLC (Online Computer Library Center) and RLIN (Research Libraries Information Network)—and the thousands of members of the local and regional networks in which grantees participate.

This booklet contains abstracts for each project funded in FY 91, as well as summary tables on program activities from the first year of funding in FY 78 through FY 91.

If you would like additional information, please contact

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Discretionary Library Programs Divisions
Library Programs
Office of Educational Research and Improvement
555 New Jersey Avenue NW
Washington, DC 20208-5571
(202) 219-1315
(202) 219-1725 - FAX



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### **HEA Title II-C**

**Abstracts of Funded Projects** 



**Institution** Amherst College

Amherst College Archives Robert Frost Library

Amherst, Massachusetts 01002

Project Director(s) Daria D'Arienzo

Archivist of the College and Preservation Officer

(413) 542-2068

Program Focus Preservation

**Grant Period** 8/19/91 - 8/18/93

**FY 91 Funding** \$45,140

Grant Number R091A10024

### Preservation Microfilming of the Dwight W. Morrow Papers

The Amherst College Archives will conduct a project to microfilm the Dwight W. Morrow Papers to preserve these primary source materials and to expand access to them by scholars from local, regional, national and international research communities. Statesman, financier, and lawyer, Dwight W. Morrow (1873-1931) left a substantial body of personal papers including correspondence, speeches, reports, scrapbooks, memoranda, meeting minutes, publications, news clippings files, family financial records, photographs, and some artifacts. The papers cover Morrow's work as a partner at J.P. Morgan & Company (1914-27) and as ambassador to Mexico (1927-30).

Although the papers are consulted regularly, their deteriorated condition poses a threat for future use. Preservation microfilming, according to current preservation guidelines and ANSI/AIIM standards, will ensure access for the research needs of national and international scholars. Materials will be prepared inhouse for filming by the Northeast Document Conservation Center. Once filming is complete, records will be entered on OCLC and reported to other appropriate databases.



Institution Brandeis University

Waltham, Massachusetts

Project Director(s) Bessie King Hahn

Director of Library Services Brandeis University Libraries

(617) 736-4700

Program Focus Bibliographic Control and Collection Development

**Grant Period** 10/1/91 - 9/30/92

**FY 91 Funding** \$198,802

Grant Number R091A10047

### Microfilming, Acquisition, and Bibliographic Access: The Chaim Weizmann Archives, Rehovot, Israel

This project will strengthen the Brandeis University Libraries' collection in Modern Jewish History, Modern History, and History and Politics of the Middle East by acquiring microfilm copies of the Chaim Weizmann Archives in Rehovot, Israel. Brandeis will microfilm approximately 62,000 of the most important unpublished documents and the catalog for the Archives, and will produce two contact negatives and two use copies. They will also create an electronic index from the existing catalog of the collection using the RLIN AMC format, and evaluate the Weizmann Archives catalog to determine the best way of upgrading the record to American standards so the detailed information can be loaded into RLIN and OCLC.



Institution Columbia University Libraries

Columbia University

New York, New York 10027

Project Director(s) Carol Mandel

Technical Services 317A Butler Library Columbia University (212) 854-2226

Marsha Wagner East Asian Librarian

C.V. Starr East Asian Library

300 Kent Hall

Columbia University (212) 854-2578

Program Focus Bibliographic Control

**Grant Period** 10/1/91 - 9/30/92

**FY 91 Funding** \$176,723

Grant Number R091A10082

### Extending Access to the C.V. Starr East Asian Library

This project will provide widely accessible machine readable records for 1,200 Chinese and 600 Japanese rare and little-held titles in the C.V. Starr East Asian Library. It will also help to secure the future availability of these items through repairing worn stitched bindings (525 volumes) and providing preservation cases (464). The C. V. Starr East Asian Library is one of the top five East Asian libraries in North America, and its collection is among the best in the world. Its holdings are used extensively by a worldwide community of scholars. While many of the library's book holdings are accessible through bibliographic records in the Research Libraries Information Network (RLIN) and Columbia's online catalog (CLIO), the two collections that are the focus of this project are of great value to East Asian scholarship and will become widely accessible.



Institution

Columbia University

535 West 114th Street

New York, New York 10027

Project Director(s)

Carol Mandel

Director, Technical Services

317A Butler Library (212) 854-2226

Angela Giral
 Avery Librarian
 (212) 854-3068

**Program Focus** 

Bibliographic Control and Preservation

Grant Period

1/1/92 - 12/31/92

FY 91 Funding

\$121,597

**Grant Number** 

R091A00038-91

# **Extending Access to the Avery Architectural and Fine Arts Library**

Continued from a FY 90 grant, this project will improve and extend access to rare architectural books by providing machine-readable bibliographic records for 5,825 rarely held titles in the Avery Architectural and Fine Arts Library. To assure continuing availability of these collections to scholars, the project also provides for appropriate preservation treatment for 655 of these items which are in fragile condition.

The Avery Library, founded in 1890, is the premier architectural library in North America and among the top three architectural libraries in the world. Its holdings are used extensively by a large community of scholars both nationally and internationally. While most of the library's holdings are accessible through bibliographic records in RLIN and Columbia's online catalog (CLIO), the two important collections targeted in this project have remained uncataloged and largely inaccessible. Among the rare materials that will become more widely known are My Father Who Is on Earth by John Lloyd Wright, containing original marginal annotations by both the author and his son, Frank Lloyd Wright, and Davis Henry Arnot's Gothic Architecture Applied to Modern Residences. 1848.



**Institution** Columbia University

Teachers College

New York, New York 10027

Project Director(s) Jennifer Whitten

Head, Collection Management Milbank Memorial Library

(212) 678-3022

Program Focus Bibliographic Control, Preservation, and Collection

Development

**Grant Period** 10/1/91 - 9/30/92

**FY 91 Funding** \$130,414

Grant Number R091A10042

### Improved Access to Research Materials in Mathematics Education

Milbank Memorial Library of Teachers College, Columbia University will strengthen its nationally significant collections in the field of mathematics education through an integrated project including collection development, bibliographic control to facilitate scholarly access, and first-phase preservation.

Building upon the library's existing collections, which include theoretical works, pedagogical materials, and elementary and secondary school textbooks published in the United States and abroad, the collection development phase of the project will consist primarily of the selection, acquisition, and cataloging of approximately 500 works on the theory, methods, and institutional practices of mathematics education published since 1970 in eastern and western Europe and Asia. In addition, the project will catalog approximately 750 uncataloged titles from the David Eugene Smith Collection, consisting primarily of 19th century and early 20th century textbooks and pedagogical works. Appropriate first-phase preservation care will be given to the older materials and such other materials as required.

The project will make a substantial addition to the nationally available resources for scholarship in mathematics education by adding both distinctive older materials and significant international materials to the library's holdings and to the RLIN database. Increased scholarly access to such materials can be expected to contribute to the nation's ongoing effort to promote improvement in mathematics education and to extend effective education in this area to all youth, women, and members of ethnic minorities.



Institution Cornell University

A.R. Mann Library

Ithaca, New York 14853

Project Director(s) Samuel Demas

Head, Collection Development

Program Focus Bibliographic Controt, Preservation, and Collection

Development

**Grant Period** 1/1/92 - 12/31/92

**FY 91 Funding** \$125,237

Grant Number R091A100067

# Preservation of a Heritage Collection: Identifying and Preserving the Core Literature of Entomology

This project will identify and preserve a heritage collection for the discipline of entomology. Using both qualitative and quantitative methods of evaluation, preservation priorities will be established for the literature of the discipline. Evaluation will include ranking of titles by scholars according to historical significance of the material. Those titles included in the heritage collection which are brittle will be reformatted by means of either preservation microform or preservation photocopy, depending on the characteristics of the materials. This project is focusing on the identification, evaluation, and reformatting of 1,242 volumes of core North American serials.

Bibliographic records will be upgraded and will reflect preservation in the RLIN database. Results will be widely publicized in the scholarly and professional community. Through these efforts the most significant literature in the discipline of entomology will be preserved and made more accessible.



Institution

Cornell University

Music Library

Ithaca, New York 14853-4101

Project Director(s)

Lenore Coral

Music Librarian

**Program Focus** 

Bibliographic Control

**Grant Period** 

10/1/91 - 9/30/92

FY 91 Funding

\$591,723

**Grant Number** 

R091A10053

# Retrospective Conversion of Music Catalogs of the Associated Music Libraries Group

The Associated Music Libraries Group, comprising the Eastman School of Music of the University of Rochester, Harvard University, Indiana University, Stanford University, the University of California at Berkeley, the University of Illinois at Urbana-Champaign, the University of Michigan, the University of California at Los Angeles, Yale University, and Cornell University propose the retrospective conversion of 29,407 manual bibliographic records for printed music and 13,240 records for sound recordings for entry into OCLC and RLIN.

Approximately 10,000 authority records will also be produced and contributed to LCNAF through the NACO/LSP Center located at Indiana University. The standards used will be drawn from the "National Retrospective Conversion Plan for Music." The project will benefit both scholars seeking music resources, and other music libraries preparing for their own retrospective conversion projects by increasing significantly the number of available bibliographic records for music materials in the national online databases.



Institution

**Duke University** 

William R. Perkins Library Durham, North Carolina 27706

Project Director(s)

Jerry D. Campbell

Vice-Provost for Library Affairs and

University Librarian

(919) 684-2034

**Program Focus** 

Bibliographic Control and Preservation

**Grant Period** 

12/1/91 - 11/30/92

FY 91 Funding

\$188,712

**Grant Number** 

R091A00056-91

# Cataloging and Preserving the Harold Jantz Collection of German-Americana

Continued from a FY 90 grant, the Milton S. Eisenhower Library of the Johns Hopkins University and the Perkins Library of Duke University will conduct a cooperative project for the purpose of providing national access to the Harold Jantz Collection. The collection is an essential resource for the study of 16th, 17th, and early 18th century German literature, as well as being a storehouse of other types of early German-Americana.

The objectives of this project will be for Johns Hopkins University to provide full cataloging records for 1.800 of the microfilmed titles and for Duke University to provide full cataloging records for the 3.000 titles comprising the German-Americana portion of the collection. Records will be contributed to OCLC and RLIN. Additionally, the portion of the collection that has not been preserved by microfilming will be conserved and filmed.

Included in this project are the works of most well-known German Baroque poets, but also the lesser-known works of famous authors and the important works of lesser-known writers of the German Baroque era, Further, the collection contains the foremost assemblage of German-Americana in this country. The Jantz Collection is of national and international importance to researchers and scholars throughout the humanities and social sciences, as well as in the fields of art, political science, history, and music.



**Institution** Duke University

Library

Durham, North Carolina 27706

Project Director(s) Jerry D. Campbell

Vice-Provost for Library Affairs

and University Librarian-

(919) 684-2034

Program Focus Collection Development

Grant Period 10/1/91 - 9/30/92

**FY 91 Funding** \$267,170

Grant Number R091A10036

### **Documenting the Contemporary American South**

The libraries at Duke University, North Carolina State University, and the University of North Carolina at Chapel Hill hold the most extensive collections of Southern Americana in the world, particularly for the pre-World War II South. Through a joint review of their collections, librarians from the three institutions will document the contemporary South with the same breadth and depth found in the historical collections by coordinating their collecting efforts for Southern Americana. The major objective of the project is to build research collections that will enable scholars to undertake, from a single geographic location, multistate and comparative studies of the post-World War II South. The three libraries will develop-integrated collections in the subjects of regional development, race and race relations, regional culture, and the regional environment, filling in the retrospective lacunae in these subjects and expanding into new formats.



Institution Harvard College

Cambridge, Massachusetts 02138

Project Director(s) Sidney Verba

(617) 495-3650

Program Focus Bibliographic Control

**Grant Period** 10/1/91 - 9/30/94

**FY 91 Funding** \$106,888

Grant Number R091A10090

# Retrospective Conversion of Pre-1971 Catalog Records of the Andover-Harvard Theological Library

The Harvard University Library will improve national bibliographic access to the Andover-Harvard Theological Library of the Harvard Divinity School by converting to machine-readable form the approximately 175,000 manual card catalog records of monograph titles and to enhance, where necessary, the converted records to the contemporary standard. The Andover-Harvard Theological Library has comprehensive collections in the Biblical field, i.e., texts, theology, commentaries and criticism, hermeneutics, and archaeology. The library also has great strength in the liberal tradition, e.g., the Unitarian, Universalist, and Congregational lines, the predecessors of these modern denominations, and the non-conforming bodies, e.g., the Mennonites, Quakers, Anabaptists, Moravians, and Arminians.

The project will add an estimated 57,750 unique records to RLIN and OCLC. Moreover, the addition of the original records to the national database will occur after these non-matched records have become full MARC records, with standard subject tracings and authorized name headings.



Institution Huntington Library

1151 Oxford Road

San Marino, California 91108

Project Director(s) William A. Moffett

Director

Huntington Library (818) 405-2175

Program Focus Bibliographic Control

**Grant Period** 8/1/91 - 11/30/92

**FY 91 Funding** \$65,482

Grant Number R091A10046

# Recording the Huntington Library's Eighteenth-Century Books in the 18th-Century Short Title Catalogue (ESTC) Database

The Huntington is undertaking a cataloging project to make all of its 18th-century books printed in English available to scholars through the Eighteenth-Century Short Title Catalogue database (ESTC), a computerized bibliographical resource. The Huntington's collection of 18th-century books printed in English includes 32,200 titles to be included in the ESTC. Approximately 16,000 titles need individual attention in order to be properly entered in the database. Of these, over 2,700 represent entirely new records for the ESTC.



Institution Library Company of Philadelphia

1314 Locust Street

Philadelphia, Pennsylvania 19107

Project Director(s) John C. Van Horne

Librarian

(215) 546-3181

Program Focus · Bibliographic Control and Collection

Development

**Grant Period** 10/1/91 - 9/30/92

**FY 91 Funding** \$92.392

Grant Number R091A10037

### Improving Access to the Afro-Americana Collection, 1553-1906

The Library Company, established in 1731 by Benjamin Franklin, is the nation's oldest library and a founding member of the Independent Research Library Association (IRLA). Its collection documents every aspect of history and background of American culture from the colonial period to about 1800. In this project, the library will begin to catalog into RLIN the 10,000 imprints in its Afro-Americana Collection spanning 400 years, from the 16th century to the early years of the 20th century. The imprints comprise material on the early exploration of Africa, the Atlantic slave trade, American and Caribbean slavery, the antislavery movement in the United States, the proslavery arguments, the politics of slavery in antebellum America, colonization, Reconstruction, and the culture of free blacks up to about 1900. Cataloging of these materials into the RLIN and OCLC databases will make them fully accessible for the first time locally and equally accessible online in hundreds of libraries throughout the country. Approximately half of the records will be original cataloging of imprints new to the RLIN database. In addition, the collection will be strengthened through selective acquisition of pre-1900 imprints not yet in the collection and through the acquisition of Afro-Americana monographs. At the conclusion of the project, records will be tapeloaded into OCLC.



Institution Miami University

271 King Library Oxford, Ohio 45056

Project Director(s) Judith Sessions

Dean and University Librarian

(513) 529-2800

Program Focus Bibliographic Control and Preservation

**Grant Period** 1/1/91 - 12/31/92

**FY 91 Funding** \$55,397

Grant Number R091A10005

# Resource Identification and Preservation of the A.W. Kuchler Vegetation Map Collection

The A.W. Kuchler Vegetation Map Collection is the largest private collection of its type in the world. Composed of over 2,000 maps and 500 books, the collection covers every country of the world, depicting the various types of natural vegetation. This project will catalog and preserve the map collection and will significantly enhance bibliographic control and access to this internationally known and unique research resource. Cataloging and preservation of the 2,000 maps and 500 books and booklets will provide unified online access to the collection, which will be retrievable nationwide immediately upon entry into OCLC as well as locally in the online catalog of the library. The preservation and conservation part of the project will apply the standards established by the American Institute for the Conservation of Historic and Artistic Works to ensure the accessibility of the collection for future use. This will include deacidification of the highly acidic paper on which most of the maps and books are printed.

By making the contents of this collection accessible through international databases and by preserving and conserving the original maps, the library will be supporting valuable and necessary research in many fields.



Institution New York Public Library

Slavic and Baltic Division 5th Avenue at 42nd Street

New York, New York 10018-2788

Project Director(s) Edward Kasinec

Chief and Astor Fellow

(212) 930-0713

Robert Davis

Assistant Project Director

Program Focus Bibliographic Control and Preservation

**Grant Period** 10/1/91 - 9/30/92

**FY 91 Funding** \$129,089

Grant Number R091A10059

# Access, Preservation, Conservation, and Collection Enhancement of Slavic and East European Manuscripts and Books

The project has as its focus the book and manuscript collections of the New York Public Library, produced in, and concerning Old Rus', Muscovy, the Russian Empire, and almost a dozen nations of Eastern Europe up to the year 1860.

The project's objectives are (A) to identify old and rare Russian and East European vernacular materials (including items in non-Slavic Eastern European languages, such as Hungarian, Albanian, and Romanian); old Slavica (i.e., pre-1860 works about the Slavic lands in non-Slavic Western European languages); and Church Slavic materials (i.e., books and manuscripts from the many Slavic countries employing the "universal" liturgical language of Church Slavic) in the holdings of NYPL: (B) to catalog for the first time, and extensively enhance cataloging and authority control in RLIN and OCLC for all books and manuscripts—approximately 2,700 titles—not presently cataloged online, including important works of relevant secondary reference literature in the library's backlog which will form the basis for a national union catalog of pre-1800 Slavic and East European imprints in the United States; (C) conserve by providing basic collection care (i.e., cleaning and minor repair, as necessary) of approximately 300 pre-1800 books and manuscripts, creating "phased" or "drop-spine" boxes, and rehousing in a special, non-offgassing storage cabinet; (D) to preserve approximately 540 important or unique items by microfilming; and (E) selectively to enhance the collection by acquiring reference works in such relevant areas as descriptive and analytical bibliography, palaeography, watermarks, bindings, and other aspects of Russian and East European book culture via microfilm or hardcopy from Soviet and East European repositories.



Institution New York Public Library

5th Avenue at 42nd Street

New York, New York 10018-2788

Project Director(s) Many Bowling

Curator of Manuscripts

(212) 930-0801

Program Focus Bibliographic Control and Preservation

**Grant Period** 10/1/91 - 9/30/92

**FY 91 Funding** \$181,329

Grant Number R091A10061

### Improved Access to the Audiovisual Materials in the New York Public Library Rare Books and Manuscript Division

The New York Public Library will preserve and make available the audiovisual materials in the archival and manuscript collections of the Rare Books and Manuscripts Division. The library's holdings of noncommercial audiotapes, videotapes, phonodiscs, and motion pictures include film footage of a 1932 disarmament rally, untranscribed oral history interviews with veterans of the Spanish Civil War, recollections of elderly gays and lesbians, films detailing air and noise pollution, and panel discussions with Jorge Luis Borges, Henry Steele Commager, Allen Ginsberg, John Kenneth Galbraith, and many others. Most are single copies in vulnerable physical condition. Preservation and service copies will be made of over 1,300 audiotapes, 300 motion pictures and 102 videotapes. The copying of moving image materials will be contracted to outside laboratories. A sound preservation laboratory will be established in the library's annex to copy the recordings already held, and to enable the copying of recordings as they are received with future acquisitions as well as the periodic recopying of preservation masters. Workstations will also be established so that researchers may have ready access to these items in video and audiocassette form. Access will also be significantly improved by the provision of detailed bibliographic control in the form of new or expanded RLIN-AMC entries and accompanying local finding aids.



Institution Ohio University

Vernon R. Alden Library

Athens, Ohio 45701

Project Director(s) Hwa-Wei Lee

Director of Libraries

(614) 593-2705

Program Focus Bibliographic Control

**Grant Period** 10/1/90 - 9/30/94

**FY 91 Funding** \$145,506

Grant Number R091A00072-91

# Cataloging of Southeast Asia Microfiche: A Major Microforms Project

This project, continued from a FY 90 grant, will provide bibliographic control and national and international access to major research resources from Indonesia that are available to scholars only in microfiche collections. Since 1960, the Library of Congress has been acquiring materials via the National Program for Acquisitions and Cataloging (NPAC) headquartered in Jakarta, Indonesia, and copying the items onto microfiche. More than 95 percent of the materials represented in the fiche are Indonesian imprints or deal with Indonesia, and the paper copies are unavailable in this country.

The ability to access the subject content of this information has been hindered as only author and title cataloging are currently available. This project will fully catalog the research materials produced by the Netherlands Royal Institute of Linguistics and Anthropology (KITLV—Koninklijk Institute voir Taal, Landen Volkenkunde), which numbers over 5.000 titles, and the records will be loaded into the OCLC database as a part of the Library of Congress' Major Microform Project. The enhanced cataloging will provide subject access to significant documents on education policies and planning, family planning and demography, migration, city and regional planning, environmental issues, economics, Islam and other religions, language, and the local governments. The increased ability to identify and access these resources nationally and internationally will dramatically increase and enhance the quality of research on Southeast Asia.



Institution Princeton University Library

One Washington Road

Princeton, New Jersey 08544

Project Director(s) Dorothy Pearson

Associate University Librarian for

Administrative Services

(609) 258-3215

Program Focus Preservation

Grant Period 10/1/91 - 9/30/92

**FY 91 Funding** \$31,825

Grant Number R091A10027

### Preservation Microfilming and Cataloging of Arabic Materials

Princeton University Library will preserve 866 volumes in Arabic script through microfilming. This project is part of a large effort to film, over a ten year period, 65,000 books in what is generally considered to be one of the premier Arabic collections in the United States. In addition to preserving the books in this important collection, the project will provide for worldwide scholarly access through the creation of bibliographic records, with location information in the RLIN and OCLC databases. Film copies of all volumes reproduced will be available for purchase at reproduction cost by scholars and other libraries. In addition, the successful completion of this project will provide Princeton University Library with expanded opportunities to raise funds for related preservation projects, particularly from individuals and governments in the Near East.



Institution

Stanford University

Hoover Institution on War, Revolution, and Peace

Stanford, California 94305

**Project Director(s)** 

Charles G. Palm

Deputy Director (415) 723-3564

**Program Focus** 

Bibliographic Control

**Grant Period** 

10/1/91 - 9/30/94

FY 91 Funding

\$349,551

**Grant Number** 

R091A10089

# Enhancing Scholarly Research Through Improved Bibliographic Access to Russian/Soviet and East European Library Research Resources

The libraries of the Hoover Institution on War, Revolution, and Peace, the University of California at Berkeley, and Stanford University, together will create machine-readable bibliographic records for Russian, Soviet, and East European library materials now represented in each institution's local card catalog. The project will focus on converting records for the holdings of these three major research collections as part of a continuing cooperative effort to enhance the sharing of library resources and to improve research capabilities in Slavic and East European studies.

During a three year project, approximately 188,360 manual catalog records will be converted to machine-readable form and the automated records made available by adding them to the nation's two principal bibliographic databases, OCLC and RLIN. The conversion project will make available nationally the entire Slavic studies collections in the three libraries. At least 24,264 of these records will be new to the national database and 156,089 will represent additional locations. This cooperative project will benefit scholarship, formal education, and independent research throughout the United States and abroad.



Institution Stanford University

Hoover Institution

Stanford, California 94305

Project Director(s)

Charles Palm
Deputy Director

**Program Focus** 

Preservation

**Grant Period** 

10/1/91 - 9/30/92

FY 91 Funding

\$93,379

**Grant Number** 

R091A10041

### Preservation of the Russian Embassy Collections

This project addresses the preservation of the Hoover Institution's collection of archival materials of the Russian Embassy in the United States (1897-1933) and the Russian Embassy in France (1916-24). The materials in the collections, many of which have reached an advanced state of deterioration, will be preserved by microfilming onto archival-quality microfilm. Enhanced access to the collections will be provided by updating the catalog records in RLIN to indicate the availability of the microfilm.

The Russian Embassy in the United States Collection is composed of records of the Military and Naval Agencies, which documents war material procurement; Financial Agency records, including documents relating to aid to post-1917 refugees; highly significant documentation of the conduct of World War I; the White Russian military and diplomatic effort during the Civil War; and United States-Russian diplomatic interaction during these events.

The Russian Embassy in France Collection consists primarily of reports, dispatches and instructions exchanged between the Paris embassy and White Civil War governments in Russia between 1918 and 1922.



Institution

Tulane University

6923 St. Charles Avenue

New Orleans, Louisiana 70118

Project Director(s)

Clifton H. Johnson

**Executive Director** 

The Amistad Research Center

(504) 865-5535

**Program Focus** 

Preservation

**Grant Period** 

10/1/91 - 9/30/92

FY 91 Funding

\$79,644

**Grant Number** 

R091A10051

# Federation of Southern Cooperatives and Emergency Land Fund Papers

Basic conservation procedures, arrangement, and preparation of a register containing historical and biographical notes, scope notes. and container lists, will be provided for Tulane's collection of the Federation of Southern Cooperatives (FSC) and the Emergency Land Fund papers. Access will be provided via the entry of bibliographic records into OCLC and TULANET, the university's local online catalog. Preservation activities will include cleaning, unfolding, removal of staples and paper clips, and storage in acid-free boxes.

The collections document the condition of rural black landowners in ten southern states after World War II, as well as the economic development promoted by the FSC and the civil rights movement.

The FSC was created in 1967 by the joining of twenty-two cooperatives and credit unions that had been started by the civil rights movement as economic survival programs. The Emergency Land Fund was created in 1972 to reverse the trend of decreasing black ownership of land in the rural South. It merged with the FSC in 1985. The FSC remains active today in ten southern states.



Institution

University of California

Berkeley, California 94720

Project Director(s)

Dorothy Gregor

University Librarian's Office

(510) 642-3773

Peter E. Hanff Bancroft Library (510) 642-3781

**Program Focus** 

Bibliographic Control

**Grant Period** 

10/1/91 - 9/30/93

FY 91 Funding

\$213,072

**Grant Number** 

R01A10083

# **Bancroft Library Manuscripts Retrospective Conversion and Access Improvement Project**

In order to improve bibliographic and physical access to the manuscript collections of the Bancroft Library, this project will carry out the following activities: (1) using the services of vendor (OCLC), convert to machine readable form approximately 13,700 catalog records for manuscript collections and provide original cataloging for additional 13,000 records, to be distributed to national bibliographic utilities (OCLC, RLIN), the University of California's online union catalog (MELVYL), the Berkeley campus online catalog (GLADIS), and the *National Union Catalog of Manuscript Collections*; (2) complete the integration of manual systems of access by incorporating the Analytic Index to Principal Correspondents (ca. 46,000 names) into the online GLADIS database; (3) survey the condition of the Manuscripts Collection to provide the basis for future planning and action relating to preservation needs, processing priorities, access improvement, and collection development; and (4) carry out other access improvements such as finalization of finding aids, review of restricted collections, and identification of collections for microfilming.



Institution University of California, Berkeley

The Library

Berkeley, California 94720

Project Director(s) Donald H. Shively

Head, East Asiatic Library

(415) 642-2556

Program Focus Bibliographic Control and Preservation

**Grant Period** 1/1/92 - 12/31/92

**FY 91 Funding** \$151,173

Grant Number R091A10084

# The Cataloging and Conservation of Rubbings of Chinese Inscriptions

The East Asiatic Library at Berkeley holds the largest collection of Chinese rubbings of any American university. Inscriptions were made in vast numbers, especially in stone, to record or commemorate events and to establish and preserve officially approved texts of philosophical and religious canons. These inked impressions of inscriptions, or rubbings, from nearly 2,600 years of Chinese history are particularly valuable research materials as they provide considerable data for studying Chinese civilization. This project will compile a detailed catalog record that will include for each of the 2,700 rubbings, title, subject, author, calligrapher, provenance, date, and other key facts that can be determined. The cataloging of these items will broaden the ability to search the many subject areas covered by the inscriptions and will make the whereabouts of this material known. Researchers in numerous areas of study will find this new subject access for the inscriptions invaluable. Basic conservation measures will also be performed to extend the usable life of the rubbings.

These inscriptions have been used to establish the standard texts of Confucian classics before the development of printing; to inform us about significant historical developments such as the importance of the silk-weaving industry of the 16th century; to document the history of regional theater and its relationship to religious rituals; and to provide the only source of evidence about the history of the Chinese system of writing.



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Institution University of California, Riverside

Tomas Rivera Library
Riverside, California 92521

Project Director(s) Henry L. Snyder

Professor of History and

Director, Eighteenth Century Short-Title

Catalogue/North America

(714) 787-5841

Program Focus Bibliographic Control

**Grant Period** 10/1/89 - 9/30/92

**FY 91 Funding** \$231,792

Grant Number R091A90019-91

### Machine-Readable Catalog Records for Early English Books, 1475-1640

The North American editorial center for the Eighteenth Century Short-Title Catalogue (ESTC/NA), whose permanent headquarters is at Riverside, will continue to create full bibliographic records for reels 1-48 of the set, Early English Books: Series 1, 1475-1640, published by University Microfilms International (UMI). The project will be carried out in conjunction with the British Library, UMI, and the North American Imprints Program (NAIP) based at the American Antiquarian Society in Worcester, Massachusetts.

The Early English Books series fully documents the English Renaissance, the spread of printing and education, and the rebirth of classical humanism. Military, religious, legal, parliamentary, and other public documents are reproduced in their original printed versions. Everyday life of the time is documented through rare almanacs, calendars, broadsides, romances, and popular pamphlets. Practical guides for country squires are included as well as sermons and liturgies, the first works of English secular philosophy, and numerous works concerning political science, economics, linguistics, music, art history, and early printing and typefaces.

At the conclusion of this project, comprehensive machine-readable access to the set will be complete. (UMI will produce records for reels 49-61.) In addition, related records created by the British Library, together with those created by ESTC/NA, UMI, and NAIP will be added to the ESTC file of RLIN as a part of the program to develop a complete English Short-Title Catalogue through 1800. As a result of this project, subject access and powerful Boolean searching capabilities will allow instantaneous access to virtually every part of the catalog record.



Institution University of California, Riverside

Riverside, California 92521-0154

Project Director(s) Henry L. Snyder

Professor of History and

Director, Eighteenth Century Short-Title

Catalogue/North America

(714) 787-5841

Program Focus Bibliographic Control

**Grant Period** 10/1/91 - 9/30/92

**FY 91 Funding** \$85,331

Grant Number R091A10064

### MARC Records for The Thomason Tracts

The Center for Bibliographical Studies will create full bibliographic records conforming to the *Anglo-American Cataloguing Rules*, second edition revised, for the microfilm set *The Thomason Tracts*, published by University Microfilms International (UMI). The project will be carried out in conjunction with the British Library and UMI.

The Center will produce full bibliographical records under this grant for approximately 22,255 items. It will incorporate 5,553 records already created by a consortium led by Indiana University to create records for the first 32 units of Early English Books, Part II: 1647-1700 (EEB2). The British Library (BL) will create the necessary headings; the Center will add them online via the Linked Systems file maintained by the Library of Congress. In addition, the Center and the BL plan to create records for the remaining 36,000 items in Donald A. Wing, editor, A Short-Title Catalogue of Books Published in England, Scotland, Ireland, Wales and British America and of English Books Printed in Other Countries 1641-1700, second edition (third edition, volume one). These 36,000 records (not in either of the microform sets), The Thomason Tracts records, and those created by the Indiana-led consortium and UMI for EEB2, will be added to the ESTC special database of the Research Libraries Information Network (RLIN) as a part of a plan to convert the ESTC file, now devoted solely to the 18th century, to a union catalogue of English books through 1800. The records for The Thomason Tracts will also be deposited in any bibliographic utility which desires it, to be made available to libraries owning the microfilm set. For the first time full machinereadable access will be available for this invaluable series.



Institution University of California, San Diego

Central University Library, 0175G

9500 Gilman Drive

La Jolla, California 92093-0175

Project Director(s)

Phyllis Mirsky

Acting University Librarian

(510) 642-3773

**Program Focus** 

Preservation

**Grant Period** 

10/1/91 - 9/30/93

FY 91 Funding

\$147,149

**Grant Number** 

R091A10068

# Preservation and Cataloging of the Archives of Twentieth Century Scientists

This project will process, preserve, and make available to the broad scholarly community the papers of nine eminent scientists of the 20th century: Roger Revelle, Herbert York, William Nierenberg, Bernd Matthias, Bill Menard, Keith Brueckner. Martin Kamen. Shang-keng Ma, and Michael Shimkin. These papers total 187 cubic feet of manuscript material critical to an understanding of the history of modern science and its impact on our society. Each collection will be organized according to nationally accepted archival standards. Basic preservation treatment will include acid-free housing, preservation photocopying, and encapsulation, where necessary. Contents of the collections will be described according to nationally accepted standards and information on the collections will be distributed through national databases, scholarly journals, archival newsletters, and other appropriate sources.



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Institution University of Hawaii, Manoa

University of Hawaii Library

2540 Maile Way

Honolulu, Hawaii 96822

Project Director(s)

John R. Haak

University Librarian

(808) 965-7205

**Program Focus** 

Bibliographic Control and Preservation

**Grant Period** 

10/1/91 - 9/30/92

FY 91 Funding

\$148,779

**Grant Number** 

R091A10017

# **Pilot Project to Create A Digitized Database for the Trust Territory Photo Collection**

This project will enable the University of Hawaii Manoa (UHM) Library to create a digitized data base of photographs from the Trust Territory Archives, giving scholars access to visual images linked to cataloging records for the first time.

The principal activity will be to scan up to 12,000 of the approximately 20,000 photographs from the Trust Territory Archives, and link each image to a catalogued record. The Trust Territory Photo Collection is a highly valuable archive of the U.S. administration of Micronesia, featuring individual Micronesians and Americans, cultural heritage, and social and political events. The Pacific Curator, a specialist in Micronesian studies, will survey the collection, select photos, add historical notes to records, and have positive prints and negatives produced as necessary. Staff will input the photos and edit records to create the new data base.

UHM Library's Pacific Collection has the world's finest holdings of Micronesian publications. Scholars come from the islands, the U.S. mainland, Europe, Australia, and New Zealand to use these documents. Access to the Trust Territories Photo Collection will add a new and enormously important dimension to the study of Micronesian history and U.S. administration of the region. UHM's membership in the CARL network will greatly facilitate U.S. mainland access to the database, and UHM anticipates new network links with Micronesian archives and libraries.

Announcement of the availability of this new resource will be made through distribution of a brochure and press releases to research libraries throughout the U.S. and the Pacific Islands.



Institution University of Illinois

1408 West Gregory Drive

Urbana, Illinois 61801

**Project Director(s)** David Bishop

University Librarian

(217) 333-0791

**Program Focus** Bibliographic Control

**Grant Period** 10/1/91 - 9/30/92

FY 91 Funding \$337,155

**Grant Number** R091A10048

### Enhancing Research through Improved Access to Major Latin American Collections at Five Universities

The libraries of Cornell University, Duke University, the University of Illinois at Urbana-Champaign, Indiana University, and Yale University will create machinereadable bibliographic records for significant library resources on Latin America for selected areas represented in each institution's card catalog. With the addition of records from these five libraries to records already added to national databases, this project will complete the nationwide retrospective conversion of a single area of scholarly interest that was begun in 1988.

This project focuses on seven subject areas in the social sciences and humanities for nine Latin American nations. Approximately 30,000 records will be converted and loaded into OCLC, RLIN, and ILLINET, an online catalog which provides over 800 libraries throughout the state of Illinois full bibliographic access. A tape will be provided to the Columbus Memorial Library of the Organization of American States.

The University of California at Berkeley, Columbia University, the University of Florida, the Hoover Institution on War, Revolution, and Peace, Indiana University, Stanford University, the University of Texas, and Yale University, eight of the twelve largest major holders of Latin American collections in academic libraries in this country, have participated in this national retrospective conversion project and have converted major portions of their Latin American records.



**Institution** University of Illinois

1408 West Gregory Drive

Urbana, Illinois 61801

Project Director(s) Carol Boast

Agriculture Librarian

(217) 333-7687

Program Focus Bibliographic Control and Preservation

**Grant Period** 12/1/91 - 11/30/92

**FY 91 Funding** \$192,205

Grant Number R091A10073

## Improving National and International Access to State Agricultural Experiment Stations and Land Grant University Publications Dating from 1862

State land grant universities and the U.S. Department of Agriculture have been publishing important agricultural series since 1862, and State Agricultural Experiment Stations have been publishing series since 1887. The University of Illinois has collected these materials since 1867 when the Agriculture Collection was formed. The materials range in subject matter from technical analyses of laboratory and field data useful to the scientific community to practical applications of research intended for use by farmers, agribusinesses, and the general public.

The objective of this project is to continue a 1986-90 project funded by the U.S. Department of Education and the National Agricultural Library (NAL) to improve bibliographic access to these major agricultural series. Through series analytics in the OCLC database, in the online catalogs of the University and NAL, and through indexing in the AGRICOLA. NAL'S international database and AGRIS (the United Nations food and agriculture database), this project will generate subject, author, and title access to each individual publication where previously only one series entry existed. Individual publications will be uniformly accessible for the first time. The data will continue to be made available nationally and internationally via OCLC and RLIN, AGRICOLA, AGRIS, and compact disc. Approximately 25,000 titles will be added during this phase of the project. Appropriate materials will be housed in preservation binders.



**Institution** University of Kansas

Kenneth Spencer Research Library

Lawrence, Kansas 66045

Project Director(s) Alexandra Mason

Librarian

(913) 864-4334

Program Focus Bibliographic Control

**Grant Period** 1/1/92 - 12/31/92

**FY 91 Funding** \$181,195

Grant Number R091A10092

### Cataloging the O'Hegarty Irish Library Materials

The University of Kansas will catalog books, serials, and ephemeral materials and perform essential conservation of fragile materials in the P.S. O'Hegarty Irish Library, one of the four largest Irish collections in the Western hemisphere. Acquired in 1955, the collection contains major holdings of Irish imprints, works on Irish topics, and works by Irish authors, dating from the 17th to the early 20th centuries. These materials, numbering over 17,000 volumes, are unique primary resources supporting research in the political, economic, and social areas of Irish history, Irish revolutionary politics, Anglo-Irish literature. Irish printing and publishing, Anglo-Irish relations, and Irish culture in general.

The most important collections within the O'Hegarty Library are the history and literature materials with nearly 6,000 titles of political, economic, and social history, and over 9,100 titles of literature. The literary works include over 1,200 titles of Irish poetry alone and nearly as much on the Irish theater. Currently, almost the entire collection is uncataloged and therefore largely unavailable. This project will significantly increase national and international access via the OCLC database and will also preserve the materials for future generations.



Institution

University of Maine

Raymond H. Fogler Library

Orono, Maine 04469

Project Director(s)

Elaine M. Albright

Director of Libraries (207) 581-1660

Program Focus

Collection Development and

Bibliographic Control

**Grant Period** 

1/1/91 - 12/31/92

FY 91 Funding

\$115,704

**Grant Number** 

R091A00024-91

### **Canadian Studies Project**

The University of Maine Library's collection of Canadian Studies materials has a depth and breadth of resources which is unmatched in the United States. The proposed project will continue an FY 90 grant which addresses researchers' needs for additional Canadian Studies materials, as well as for better bibliographic and interlibrary loan access to them. This project will provide funding to acquire materials and to continue to employ a Canadian Studies librarian and a library assistant. During the period of the grant these individuals will work with the existing library staff to: (1) identify and acquire needed Canadian federal and provincial documents, Atlantic provinces and Quebec nominal censuses, and newspaper backfiles; and (2) catalog and increase user access to the materials acquired through the grant as well as the library's holdings of Canadian federal and provincial documents.

The Fogler Library staff will actively publicize and promote the use of the materials acquired as a result of the proposed project. The library is committed to making all new and existing Canadian Studies resources available through national databases and the interlibrary loan process.



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Institution University of Minnesota

Twin Cities

Campus Libraries St. Paul, Minnesota

Project Director(s) Mary Collins

Associate University Librarian for

Technical Services (612) 625-4520

Frank Immler

Head

Humanities/Social Sciences Collections

(612) 625-0156

Program Focus Bibliographic Control and

Preservation

Grant Period 10/1/91 - 9/30/92

**FY 91 Funding** \$81,693

Grant Number R091A10074

### The Dahllof Collection of Swedish Americana

This project will improve scholarly access to the University of Minnesota's 8.000-title Tell G. Dahllof Collection of Swedish Americana. The collection contains monographs, periodicals, newspapers, and pamphlets, spanning over 350 years of printing, about the history of Swedish immigration to America, Swedish culture in America, travel descriptions by Swedish visitors to America, and American history in general.

The following measures will be taken to preserve the Dahllof collection: preparation of protective enclosures for books and pamphlets; attachment of special bookplates; restoration of some 18th and 19th century items; and microfilming of newspapers and magazines in brittle condition.

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Institution University of North Carolina

CB 3900

Chapel Hill, North Carolina 27599

Project Director(s) Marcella Grendler

Associate University Librarian

for Special Collections

(919) 962-0114

Program Focus Bibliographic Control and Preservation

**Grant Period** 1/1/92 - 12/31/92

**FY 91 Funding** \$116,868

Grant Number R091A10063

# Providing Access to Resources on Southern Americana

The University of North Carolina at Chapel Hill (UNC-CH) will preserve and make nationally accessible its manuscript, sound recording, and pamphlet collections, documenting the American South from the 18th century to the post-World War II era. One of the first in the nation to establish a Southern Historical Collection (founded in 1930), the collection was a motivating factor in the creation of the Southern Historical Association five years later. Today, the collection holds over 8.2 million manuscripts and includes the Southern Folklife Collection and numerous manuscripts of G.B. Shaw and John Ruskin, among other important items. The collection has been used by researchers to produce hundreds of books and articles examining the literature, rhetoric, religion, politics, and attitudes of the South from 1760 to the present. The collection was used by Arthur Link to study Woodrow Wilson's southern heritage.

The project will prepare MARC-AMC records for 1,800 manuscript and sound recording collections on OCLC, RLIN, and the BIS catalog of TRLN (Triangle Research Libraries Network, serving UNC-CH, North Carolina State University, and Duke University). It will also catalog on OCLC and include on BIS 4,320 Southern pamphlets held in the Rare Book Collection. Because the material forms an intellectual whole, special attention will be given to uniformity of access to printed works and manuscripts.

Preservation activities will include rehousing into archival storage envelopes all pamphlets in the collection.



Institution University of Texas, Austin

The General Libraries

PCL 3.200

Austin, Texas 78713-7330

Project Director(s)

Harold W. Billings

Director of General Libraries

**Program Focus** 

Bibliographic Control

**Grant Period** 

10/1/91 - 9/30/92

FY 91 Funding

\$126,630

**Grant Number** 

R091A10079

# **Project for Retrospective Conversion of National Resource Collection**

This project will convert 36,738 card catalog records for national resource collections in Southern U.S. history, Germanic literatures, and linguistics into machine-readable form through OCLC, a national bibliographic utility. Tapes of cataloging records so generated will be loaded into the University of Texas at Austin online catalog. Expanded availability of bibliographic records for these national resource collections will make the holdings more widely known to the research community and will help support regional and national resource sharing.



Institution University of Texas, Austin

The General Libraries

PCL 3.200

Austin, Texas 78713-7330

Project Director(s) F

Harold W. Billings

Director of General Libraries

Bobby C. McQuiston Associate Director Sponsored Projects

**Program Focus** 

Bibliographic Control

**Grant Period** 

10/1/91 - 9/30/92

FY 91 Funding

\$120,576

**Grant Number** 

R091A10080

## Project for National Database Access to Library Resources for the Study of the History of the Southern, Southwestern, and Rocky Mountain Regions of the United States

This project will convert 26,785 catalog records for research materials relating to the study of the history of the southern, southwestern, and Rocky Mountain regions of the United States into machine-readable form through a national bibliographic utility. OCLC. Tapes of cataloging records so generated will also be offered to the RLIN database and loaded into the University of Texas, Austin online catalog. Expanded availability of the bibliographic records for these collections important to research in American history and culture will make the holdings more widely known to the research community and will help to support resource sharing nationally and regionally.



**Institution** University of Virginia

Alderman Library

Charlottesville, Virginia 22903

Project Director(s) Carol M. Pfeiffer

Associate University Librarian

for Technical Services

(804) 924-3026

Program Focus Bibliographic Control

**Grant Period** 11/1/90 - 10/31/92

**FY 91 Funding** \$389,245

**Grant Number** R091A00070-91

### Retrospective Conversion of the University of Virginia's Rare Book Collection

This project, continued from a FY 90 grant, will complete the retrospective conversion of the holdings of the Rare Books Division of the Special Collections Department of the University of Virginia Library, using the services of an outside conversion vendor (OCLC, Inc.). The remaining 65.000 titles in the collection will be converted, including 20.000 titles of 20th century American literature, items from the Clifton Waller Barrett Library of American Literature and from the William Faulkner Collection. The balance will come from complementary collections relating to American history and literature, as well as from all areas of the Library of Congress classification schedule.



Institution Virginia Historical Society

428 N. Boulevard

Richmond, Virginia 23220

Project Director(s) Paulette Thomas

Lead of Technical Services

(804) 342-9661

Program Focus Preservation

**Grant Period** 12/1/91 - 11/30/92

**FY 91 Funding** \$40,357

Grant Number R01A10072

# **Conservation and Preservation of Confederate Imprints**

The Virginia Historical Society holds a unique Confederate imprints collection that includes books, pamphlets, broadsides, maps, sheet music, pictorial prints, newspapers, and magazines published in Confederate-held territory. These materials date from the time of each state's secession to the surrender of troops by a military commander or governmental official.

The imprints will be conserved and preserved by rehousing and encapsulation as well as by more extensive treatments such as washing, deacidification, and extensive mending.

This project will conserve and preserve the imprints so that researchers will be able to view and utilize the historic artifact itself.



# **HEA Title II-C**

# **Analysis of FY 91 Applications/Grantees**

		Amount of funds requested or awarded
Appropriation		\$5,854,924
Total applications received	98	16,395,129
Number of new applications received	92	15,118,754
Number of noncompetitive continuation grantees	6	1,276,375
Total awarded	36	5,854,924
New grants awarded	30	4,662,368
Continuation grants	6	1,192,556
Average grant		162,637

Type of library	Number of applicants	Number of new grantees	Number of continuation grantees
Total	98	30*	6 **
Historical societies	6	1	0
Institutions of higher education	77	25	6
Independent research libraries	5	2	0
Public libraries	3	2	0
Museums	4	0	0
Other	. 2	0	0
State Libraries	I	0	0

<sup>\*</sup> Four of these grants are jointly sponsored, directly benefiting 5 additional institutions who are not receiving funds as primary grantees. Two of the 30 are grantees for the first time this year.



<sup>\*\*</sup> One of these is a joint project benefiting 1 additional institution that is not a primary grantee.

# **HEA Title II-C**

# Appendix A



Table 1.—Project and funding totals, by state: Fiscal years 1978-91

	Т.	otal	FY	78	FY	79
State	Number	Amount	Number	Amount	Number	Amount
Total	463	\$81,506,188	20	\$4,999,996	26	\$6,000,000
Alabama	3	270,165				
Álaska	2	341,385			1	150,000
Arizona	5	652,755				
California	60	14,389,083	2	846,500	3	1,175,000
Colorado	3	670,459	1	219,103	I	215,000
Connecticut	9	2,193,852	!	149,800	1	160,000
District of Columbia	13	1,220,011	l	142,023		
Florida	4	1,942,459				
Georgia	1	92,173				
Hawaii	5	548,124				
Idaho	1	33,985				
Illinois	54	8,292,969	3	483,416	3	443,749
Indiana	15	3,636,349	1	389,106	1	200,000
Iowa	1	127,975				
Kansas	10	1,413,275		_	1	116,689
Kentucky	2	295,402	•			
Louisiana	3	281,123				
Maine	2	231,332				
Maryland	9	2,658,133				
Massachusetts	31	5,799,371	2	621,371	2	450,000
Michigan	12	2,169,732			1	350,00
Minnesota	4	334,712				
Mississippi	1	133,771				
Missouri	11	2.077.942	1	200,000	ı (	200,00
Montana	1	115,403				
Nebraska	i	90,000				
New Hampshire	5	1,067,279				
New Jersey	10	1,335,314	1	219,395	. 2	450,00
New Mexico	2	141,812				
New York	66	12,647,260		1,050,700	) 5	1,237,06
North Carolina	15	3,193,360		250,000		220,50
Ohio	16	1,966,504	-			
Oklahoma		505,874				
	3, 17	1,635,868				
Pennsylvania	8	1,604,234				
Rhode Island	5	502.031				
South Carolina	2	200,077				
Tennessee	13	1,951,737		250,000	0 1	150.00
Texas				230,000	. '	100,00
Utah	3	271 ,903 262 063				
Vermont	3				1	300,00
Virginia	10	1,387 025		93,32		.700,07
Washington	9	1,333,472				182.0
Wisconsin	13	1,488,435	5 1	85,25	3 I	102,0



Table 1.—Project and funding totals, by state: Fiscal years 1978-91—Continued

	F	7 80	FY	781	FY	′ 82
State	Number	Amount	Number	Amount	Number	Amount
Total	22	\$5,992,268	30	\$6,000,000	35	\$5,760,000
Alabama						
Alaska		4,116				
Arizona	1	202,549	. 1	184,785		
California	2 ·	1,247,332	2	335,708	3	493,326
Colorado	1	236,356				
Connecticut	1	295,051	1	228,000	1	400,000
District of Columbia					ì	108,990
Florida			1	800,000		
Georgia						
Hawaii			1	150,000		
Idaho						
Iliinois	3	323,076	5	610,287	4	516,494
Indiana		4,116	1	145,000	i	167,516
Iowa			i	127,975		
Kansas	1	136,967			1	148.500
Kentucky						
Louisiana						
Maine						
Maryland						
Massachusetts	ì	404,889	2	354,816	3	687,867
Michigan	1	606,000	1	300.000	ì	133,901
Minnesota	-		•	2.001000	i	66,309
Mississippi					•	00,507
Missouri	1	244,571				
Montana	•	2				
Nebraska					1	90,000
New Hampshire			1	150,000		30,000
New Jersey	1	157,777	'	1.50,000	1	110,000
New Mexico	•	151,117				110.000
New York	3	788,197	3	1,113,994	3	155.021
North Carolina	2	571,445	1	270.937	1	455.034
Ohio	-	371,443	2	259,306	3	339,007
Oklahoma			2	2.19.300	3 1	611,167
Pennsylvania	1	167,739	1	12.600	1	203,451
Rhode Island	i	272,471	1	43,680	1	108,798
South Carolina	•	272,471	1	165,000	-	168.626
Tennessee			1	172,000	1	132,736
Texas	i	175,000	ı	171000	1	148,159
Utah	ı	1/5,000	1 1	174,000	2	240,439
Vermont			1	110,883	1	119,680
Virginia		500				
•	•	500				
Washington Wisconsin	1	150,000	1	. 175.025	1	250,000
WISCONSIN		4,116	1	128,604	1	60,000



Table 1.—Project and funding totals, by state: Fiscal years 1978-91—Continued

	FY.	83	FY	′ 84	FY	′ 85
State	Number	Amount <sup>*</sup>	Number	Amount	Number	Amount
Total	35	\$6,000,000	35	\$6,000,000	43	\$6,000,000
Alabama						
Alaska	1	187,269				
Arizona	1	98,978	1	95,640		
California	3	431,821	4	1,128,461	2	496,877
Colorado						
Connecticut	2	493,372	1	82,173		
District of Columbia	1	58,861			3	274,964
Florida	1	378,459	1	700,000		
Georgia						
Hawaii					1	140,000
Idaho						
Illinois	3	546,290	6	879,462	6	822,636
Indiana	1	230,080	1	608,522	1	58,889
Iowa						
Kansas	1	144,313			1	126,147
Kentucky					1	142.080
Louisiana						
Maine						
Maryland	1	71,456			1	93,356
Massachusetts	3	548,546	2	312,499	3	619,152
Michigan	1	165,337	1	86,645	3	338,796
Minnesota	1	122,602				
Mississippi		•				
Missouri	1	205,168	2	130,555	1	202,757
Montana	-				1	115,403
Nebraska						
New Hampshire			1	183,336	1	249,916
New Jersey			i	116,566		93,575
New Mexico			•			
New York	7	1,376,855	7	903,907	8	1,303,621
North Carolina	i	305,951	1	144,532		
Ohio	1	113,093	•		1	133,500
Oklahoma		115,075			•	
Pennsylvania	1	106,875	4	370,713	4	304,578
Rhode Island	•	100,075	7	210111	i	170,845
South Carolina	1	96,612			•	1700010
Tennessee	1	51,918				
	1	180,998		184,944	1	119,671
Texas	ı	100,339	1	104,744	, 1	11707
Utah Vormant					1	67,77
Vermont	1	06 144	1	72,045	-	07,77
Virginia	l	85,146	ı	12,040	,	
Washington					1	125,460
Wisconsin					ı	1.400



Table 1.—Project and funding totals, by state: Fiscal years 1978-91—Continued

FY	86	FY 87		FY 88	
Number	Amount	Number	Amount	Number	Amount
38	\$5,742,000	37	\$6,000,000	39	\$5,744,000
				1	112,557
6	1,252,137	9	i,794,005	7	1,415,787
1	124,968	1	71,836	1	69,552
1	92,173				
		1	45,000	1	64,345
4	633,428	5	730,706	2	321,556
1	96,889	1	103,080	2	610,795
1	102,140	1	126,451		
1	153,332				
				1	102,708
2	445,126	1	408,837	2	565,024
3	527,669	3	429,260	2	220,912
1	60,799			1	60,706
I	64,108				
2	391,571	l	250,000	ī	253,320
					,,
1	234,209	ì	249,318		
i	50,427		7	1	105,749
					64,000
5	680,875	4	860,382		535,945
l	•		000,002		44,106
ì		2	171.000		104,705
	33,577	_	.,,,,,,		115,689
1	132,000				108,142
	7.2,000	1	210,000	-	197,653
				-	45,683
		•	23,000	•	45,00.
1	72.888				
•	72,000	1	41 340		
				t	100,000
		i	89,999	1	42,410
			ひついフラブ	,	44,410
1	140,668	i	144,000	1	179,378
	Number  38  6  1  1  2  3  1  1  2  1  1  1	38 \$5,742,000  6 1,252,137  1 124,968 1 92,173  4 633,428 1 96,889 1 102,140 1 153,332  2 445,126 3 527,669 1 60,799 1 64,108 2 391,571  1 234,209 1 50,427 5 680,875 1 170,242 1 88,355 1 132,000	Number         Amount         Number           38         \$5,742,000         37           6         1,252,137         9           1         124,968         1           1         92,173         1           4         633,428         5           1         96,889         1           1         102,140         1           1         153,332         1           2         445,126         1           3         527,669         3           1         60,799         1           4         4,108         1           2         391,571         1           1         234,209         1           5         680,875         4           1         170,242         1           1         83,355         2           1         132,000         1           1         1         1           1         72,888         1           1         1         1	Number         Amount         Number         Amount           38         \$5,742,000         37         \$6,000,000           6         1,252,137         9         1,794,005           1         124,968         1         71,836           1         92,173         1         45,000           4         633,428         5         730,706           1         96,889         1         103,080           1         102,140         1         126,451           1         153,332         1         408,837           2         445,126         1         408,837           3         527,669         3         429,260           1         60,799         1         249,318           2         391,571         1         250,000           1         234,209         1         249,318           1         50,427         1         48,835           2         171,000         1         88,355         2         171,000           1         132,000         1         55,000           1         72,888         1         41,340           1         94,286 <td>Number         Amount         Number         Amount         Number           38         \$5,742,000         37         \$6,000,000         39           1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1</td>	Number         Amount         Number         Amount         Number           38         \$5,742,000         37         \$6,000,000         39           1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1



Table 1.—Project and funding totals, by state: Fiscal years 1978-91—Continued

State	Number 32	Amount \$5,675,000	Number	Amount	Number	Amount
		55 675 000		1 1.		l
		\$5,675,000	35	\$5,738,000	36	\$5,854,924
Alabama	1	117,601	1	39,987		
Alaska						
Arizona			1	70,803		
California	4	989,940	5	1,445,260	8	1,336.929
Colorado						
Connecticut	1	385,456				
District of Columbia	2	207,445	2	161,372		
Florida	1	64,000				
Georgia						
Hawaii					1	148,779
Idaho		•	1	33,985		
Illinois	3	587,233	5	865,276	2	529,360
Indiana	2	472,332	2	550,024		
lowa						
Kansas	1	178,398	1	152,475	1	181,195
Kentucky						
Louisiana	1	98,771			1	79,644
Maine			1	115,628	1	115,704
Maryland	1	575,000	1	499.334		
Massachusetts	1	147,827	1	123,733	3	350,830
Michigan	1	67,548				
Minnesota					1	81.693
Mississippi	1	133,771				
Missouri						
Montana						
Nebraska						
New Hamsphire						
New Jersey					1	31.82
New Mexico	1	77,812				
New York	4	573,011	3	311,565	7	1,456,11
North Carolina			2	303,890	3 ·	572,750
Ohio			3	284,475	2	200,90
Oklahoma	1	186,734				
Pennsylvania	1	115,380		85,571	1	92,39
Rhode Island	1	188,343		231,296		
South Carolina	•					
Tennessee						
Texas	1	156,591			2	247,20
Utah	į	1.0,0.71			-	<del></del> <del></del> .
Vermont						
Virginia	1	105,071	2	262,252	2	429,60
Washington	•	117.5,1771	2	201,074		·= •• ··
Wisconsin	2	246.736		2011011		



Table 2.—Analysis of fiscal year 1991 grant awards, by major activitity

Institution	Total	Bibliographic control	Preservation	Collection development
Total	\$5.854,924	\$4,447,920	\$851,780	\$555,224
Amherst College	45,140	_	45,140	_
Brandeis University	198,892	62,474	_	136,328
Columbia University	176,723	176,723	_	
Columbia University	121,597	110,893	10,704	
Columbia University,				
Teacher's College	130,414	63.618	11,485	55,311
Cornell University	125,237	2,618	95,589	27,030
Cornell University .	591,723	591,723		_
Duke University	267,170	_	_	267,170
Duke University	188,712	181,517	7,195	
Harvard University	106,888	106,888		
Huntington Library	65,482	65,482	_	
Library Company of				
Philadelphia	92,392	82,392	_	10,000
Miami University	55,397	55,397	_	_
New York Public Library	181,329	61.348	119,981	
New York Public Library	129,089	7,297	121,792	
Ohio University	145,506	145,506	_	_
Princeton University	31,825		31,825	
Stanford University,				
Hoover Institution	349,551	349,551		-
Stanford University,				
Hoover Institution	93,379	_	93,379	_
Tulane University	79,644	_ !	79,644	
University of				
California, Berkeley	213,072	213,072	_	_
University of				
California, Berkeley	151,173	120,203	30,970	_
University of				
California, Riverside University of	231,792	231,792		_
California, Riverside University of	85,331	85,331	_	<del></del>
California, San Diego	147,149	116,493	30,656	_
University of Hawaii	148,779	25,351	123,428	
University of Illinois	337,155	337,155	-	
University of Illinois	192,205	192,205	_	
University of Kansas	181,195	181,195		_
University of Maine	115,704	56,319		59,385
University of Minnesota	81,693	74,658	7,035	.19,,16,1
University of North			, 400,000	
Carolina	116,868	114,268	2,600	
University of Texas	126,630	126,630		-
University of Texas	120,576	120,576		_
University of Virginia	389,245	389,245	_	
Virginia Historical Society	40,357	1 09,240	_	



# Table 3.—Summary of funding, Sanajor activity: Fiscal years 1978-91

Fiscal year	Total funding	Bibliographic control	Percent of funding	Preservation	Percent of funding	Collection development	Percent of funding
Total	\$81,506,188	\$61,213,036	75	\$15,172,959	61	\$5,120,193	9
1978	4.999,996	2,864,339	57	1,340,554	27	795,103	16
1979	6,000,000	3,978,366	99	1,393,201	23	628,433	=======================================
1980	5,992,268	4,345,765	73	805,383	13	841,120	14
1861	000,000,0	4,249,840	71	1,298,542	22	451,618	7
1982	5.760,000	4,042,549	70	1,521,258	27	196,193	m
1983	6,000,000	4,738,575	79	909,612	15	351,813	9
1984	000,000,9	4,526,772	9/	1,044,973	17	428,255	7
. 1985	6,000,000	4,236,695	70	1,729,997	29	33,308	*)
1986	5.742.000	4,429,374	77	1,122,409	20	190,217	к
1987	000,000,0	4,732,543	79	1,202,696	20	64,761	
1988	5,744,000	4,804,408	84	850,570	15	89,022	-
1989	5,675,000	4,674,002	. 82	591,729	11	409,269	7
1990	5,738,000	5,141,888	06	510,255	6	85,857	-
1661	5,854,924	4,447,920	92	851,780	15	555,224	6

<sup>&#</sup>x27; Less than I percent

# Figure 1.—Program focus: Fiscal year 1991

Bibliographic 76%

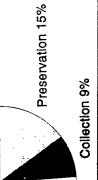
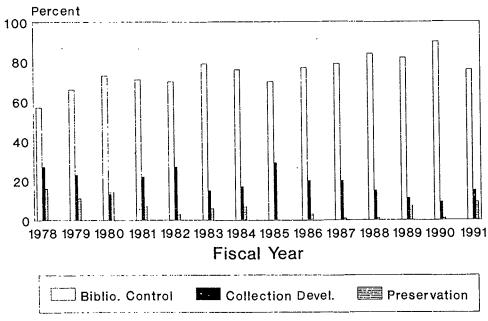


Figure 2.—HEA Title II-C program focus: Fiscal years 1978–91



<sup>.</sup> Less than 1 percent

Chart illustrates shifts in program focus during the fourteen years of the program, 1978-91. Funds expended on bibliographic control have climbed from 57 percent in the first year to a high of 90 percent in 1990. A substantial decrease occurred in 1991. Collection development, never a major expenditure, reached its peak of 16 percent in the first year, dropping gradually to a negligible percent, resting at 1 percent in both 1987 and 1988, but showing a marked increase over the past four years in 1989. Preservation, which has hovered in the 20 percent area for most of the fourteen years, dropped to 9 percent in 1990 but nearly doubled in 1991. These emphases are placed on the program by the library community. Department of Education has set no funding priorities.



Table 4.—Summary of funding: Fiscal years 1977–91

Fiscal year	Authorization	Administration request	Congressional appropriation	Amount awarded
Total	\$160,000,000	\$29,000,000	\$81,513,924	\$81,506,188
1977	10,000,000	0	0	0
1978	15,000,000	5,000,000	5,000,000	4,999,996
1979	20,000,000	5,000,000	6,000,000	6,000,000
1980	20,000,000	6,000,000	6,000,000	5,992,268
1981	10,000,000	7,000,000	6,000,000	6,000,000
1982	15,000,000	6,000,000	5,760,000	5,760,000
1983	15,000,000	0	6,000,000	6,000,000
1984	15,000,000	0	6,000,000	6,000,000
1985	15,000,000	0	6,000,000	6,000,000
1986	15,000,000	0	5,742,000	5,742,000
1987	10,000,000	0	6,000,000	6,000,000
1988	(*)	0	5,744,000	5,744,000
1989	(*)	0	5,675,000	5,675,000
1990	(*)	0	5,738,000	5,738,000
1991	(*)	0	5,854,924	5,854,924
	l l	I		I

<sup>\*</sup>According to the Higher Education Amendments of 1986 (Public Law 99-498), \$10.000.000 was authorized for FY 87 and such sums as deemed necessary for four succeeding years.



# Figure 3.—States benefiting from HEA Title II-C since fiscal year 1978

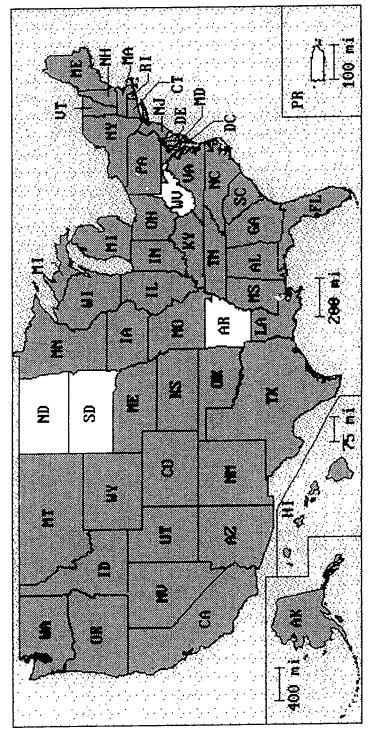








Table 5.—Summary of major activity, by institution: Fiscal years 1978–91

Institution	Total	1978	1979	1980	1981	1982
Academy of Natural		_			В	
Sciences	\$228,989	s	\$	\$	\$43,680	\$
American Antiquarian	,	,	·	·	· '	·
Society	200,760					İ
American Museum of		в-с	B-C	B-C	B-P	В
Natural History	1,612,965	250,000	242,165	237,819	166,539	125,929
Amherst	1,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,			·		, i
College	45,140					
Art Institute of	,	P-C				
Chicago	306,620					
Auburn	000,020	100,00				
University	270,165				1	
Boston Public	2,0,1,05	В	В		P	P
Library	1,403,417	238,240	150.000	<sup>1</sup> 4,116	187,069	158,139
Brandeis	1,405,417	250,210	150,000	,,	107,007	150,157
University	298, 853					
Brown	270, 055			В-С	В	B-P
University	1,604,234			272,471	165,000	168,626
Carnegie	1,004,234		į	2/2,4/1	105,000	100,020
Institute	108,142		]			
Center for	100,142			1	В	В
Research Libraries	1,667,618				122,809	230,011
Cleveland Public	1,007,010		ł		B	250,011
Library	80,306				80,306	
Colorado State	80,300	В	B	В	80,500	
University	670,459		215,000	236,356		
College of William	070,439	219,103	213,000	230,330	1	
and Mary	42,116					
Columbia	42,110		P			İ
	1,433,528		250,000	14.116		
University Columbia University,	1,433,320	1	230,000	4,110		
Teachers College	130,414			ļ		
Cornell	130,414	ĺ	C	B-C	В-С	
University	1,966,433		194,897	240,413	284,639	
	1,900,433	ĺ	194,097	240,413	B-P	
Dartmouth	1,067,279	J	İ	1	150,000	
College	1,007,279	1			130,000	
Detroit Public	166 920		1			
Library	166,829					İ
Duke	1 262 400	C		C 250,000	1	
University	1,362,490	250,000		250,000		
Emory	00.100		1			1
University	92,173					D.C.D.
Folger Shakespeare	720.050	B-P-C	1			B-C-P
Library	738,850	142,023				108,990
George Washington	121.20					1
University	171,506	) [		1		



Table 5.—Summary of major activity, by institution: Fiscal years 1978–91—Continued

1983	1984	1985	1986	1987	1988	1989	1990	1991
							1770	1771
\$	B-P \$130,016	B \$55,293	\$ B 91,500	\$ B 109,260	S	\$	\$	\$
	P-C 83,953	P 145,739	P 161,160	P 199,661				
	03,755	143,737	101,100	199,001				P 45.140
		P 64,093	B 79,327					45(110
P		Р		P	B 112,577	B 117.601	B 39,987	
157.577		174,543		210.000	В		B-P 123,733	B-C
		B 170,845		B 210,000	100,051 B 197,653 B-P	B 188,343	B 231,296	198.802
B 299,488	B 114.278	P 145.000	P 139,214	P 119,549	108,142	B 236,531	B <sup>2</sup> 260,938	
B-P 349.530 B 241.204	B 99,734 B-P-C 183,336	B-P 249,916 B-P 106,030	B-P 211.545 B-P 77.456 B-P 234.209 B-P 60.799 B	B-P 249,818	В		B 42.116 B-P 109,897 B-P-C 111.130	B-P-C 130,414 B-P-C
D.C.			170,242 B-P 92,173		44.10%		192,260	
B-C 58,861		B-P 80,368 B-P 99,670	C 124.968	B 71,836		C 129,319	B-P 94,321	



Table 5.—Summary of major activity, by institution: Fiscal years 1978–91—Continued

Institution	Total	1978	1979	1980	1981	1982
Georgetown					]	
University	309.655	İ		1		
Harvard		P	P	P	P	B-P
University	2.993,079	383,131	300,000	400,773	167.747	288,985
Houston Academy			İ		ļ	
of Medicine	72,888		ļ		Ì	
Huntington		P	P	P		
Library	802,663	171,500	225,000	251.551		
Indiana	1	B-C	В		В	В
University	3,528,754	389,106	200,000	<sup>1</sup> 4.116	145.000	167,516
Iowa State					B-P	
University	127,975				127,975	
Jewish Theological						
Seminary	211,378			,		
Johns Hopkins					ļ	
University	269,960	1			ļ	
Library Company of			1		]	
Philadelphia	177,963	1	Ì			Į
Massachusetts Institute			<u> </u>		ļ	B-P
of Technology	858,122	1	ļ			240,743
Metropolitan Museum						<b>!</b>
of Art	101,850	ļ		Ì		ļ
Miami	1					
University	119,730					
Michigan State			1		Ì	ļ
University	251,982		1	İ	İ	
Mississippi State					Į	1
University	133,771					
Missouri Botanical		В	В	В		
Garden	1.387.935	200,000	200,000	244,571		Į
New York						В
Botanical Garden	498,401					225,042
New York Historical			ļ	1	1	
Society	196,639		1			
New York	170.01	l P	P	j	B-P	Ì
Public Library	2,944,520	550,700	300,000		662,816	1
New York State		В	В	В		
Education Department	805,849	_	250,000	305,849	<b>\</b>	
New York State	000.01	7				
Libray	203,32	3				}
New York	20.7	<b>`</b>		1	1	
University	352,02	3				
· ·	1,72,(72	`			P	
Newberry	131.65	χĺ		ì	131,658	:
	1 12102	V2 I	1			· 1
Library Northern Illinois		İ	ļ	i	1	1



Table 5.—Summary of major activity, by institution: Fiscal years 1978–91—Continued

1983	1984	1985	1986	1987	1988	1989	1990	1991
B-P 249,834	B-P 249,998	B 94,926 B-P 227,092	B-P 239,943 B-P 72,888	B-P 110,000	B 69,552 B-P 120,861	B 78,126 B 147,827	B 67.051	B 106,888
B 35,750 B-P-C 230,080	B 608.522		B 53,380 B-P 96,889	B 103,080	B <sup>2</sup> 610.795	B 472,332	B 501.318	B 65.482
B-P		B 02.256	B 40,350		B 64,798	B-P 120,840	B-P 90,538	
71,456 B-P 141.135 B-P	B 62,501	93.356 B 217.517	B 196.226		04,798		B-C 85.571	B-C 92.392
101.850 B-P	В						B-P 64,333	B 55.397
165.337	86.645		B 240.044	B 250,000	B-P 253,320	C 133,771		
B 273.359	B-P 69.929 P 235.339	B-P 65,585 P 212,583	B-P-C 135,718		B-P 61.125 B-P 207,574	B-P-C 2 329,372		B-P 2 310,418
B 152,748	B-P 185,136	B 166.887		B 50,575				
	B 93,480	B 141.753						



Table 5.—Summary of major activity, by institution: Fiscal years 1978–91—Continued

Institution	Total	1978	1979	1980	1981	1982
Northwestem			B- C	1		B-C
University	601,197		250,000	<sup>1</sup> 4,116		55,104
Ohio State	i		1		B-C	B-C
University	638,608				179,000	101,017
Ohio						В
University	733,709					115,999
Pierpont Morgan						
Library	187,131	_	_	_		D D
Princeton		В	В	В		B-P
University	1,131,198	219,395	250,000	153,661		110,000
Rice						В
University	82,858					82,858
Rutgers			B-P	1		
University	204,116		200,000	<sup>1</sup> 4,116		
Southern Illinois		]			B-P-C	B-P
University	530,006				180,000	90,006
Stanford					В	В
University	3,457,750				209,013	231,030
Stanford University,						İ
Hoover Institution	1,629,783					
State Historical	١٠					
Society of Wisconsin	598,496				!	
State University of New						В
York at Buffalo	1,022,300					104,063
Syracuse	1				İ	
University	292,360					
Temple				1		
University	66,748					İ
Tulane						
University	281,123					
University of			B-P		İ	
Alaska	341,385		150,000	14.116		
University of				B-C	В	
Arizona	652,755	i  <i>'</i>		202,549	184,785	
University of California,		В	В	В		B-P-C
Berkeley	5,727,290	675,000	750,000	995,781		157,297
University of California,						B-P
Davis	104,999	)				104,999
University of California,	j				ì	1
Los Angeles	354,893	3		l		1
University of California,				1		
Riverside	902,361		l			
University of California,	1	1				
San Diego	859,361	ı				
University of California.	-			1		
Santa Barbara	77,000	)		1		



Table 5.—Summary of major activity, by institution: Fiscal years 1978–91—Continued

1983	1984	1985	1986	1987	1988	1989	1990	1991
B-C 113.093	B 172,193	B 133,500	B-P 88,355	B 119,784 B-P 70,000 B 101,000	B 104,705		P 87,143 B 132,999	B 145,506
B-P 89.675	B-P-C 97,456 B 116,566	P 93,575	B-P 50,427		B P 105,749			P 31,825
B 287.448 B 168,489	B 350,000 P 262,000	B 240,377 B-P 125,460 B 109,707	B 359,407 P 257,916 B-P 120,054 B 94,996	B 130,000 B 463,805 B-P 2414,244 B-P 125,000 B	B 130,000 B 400,121 B-P <sup>2</sup> 252,693 B-P 111,246 B 267,246	B 258,482 B 116,736 B 122,799	B 658,067	B-P <sup>2</sup> 442,930
P 187.269	B-P 132,360 B-P 66,748	P 160,000			B 102,708	B 98,771		P 79.644
B 98,978	B 95,640 B-P-C 250,566	B-P-C 256,500	B 250,023	B-P 2402,673	B-P-C 3 616,685	B-P-C <sup>2</sup> 574,522	B 70,803 B 2433,998	B-P <sup>2</sup> 364,245
B-P-C 108,623	B-P-C 265,895		B 135,000 B-P-C 196,411	B-P 140.000 B 155,000 B 141,283 B 77,000		B 156.936	B 214,893 B 138,302	B 2 317,123 B-P 147,149



Table 5.—Summary of major activity, by institution: Fiscal years 1978–91—Continued

Institution	Total	1978	1979	1980	1981	1982
University of		В	B-P-C	B-P-C	P-C	
Chicago	1.567.183	250,000	68,749	<sup>2</sup> 254.040	55.820	
University of		1		ļ		B-P
Cincinnati	394,151					394.151
University of						
Connecticut	150,545	1				
University of	1				В	•
Florida	1,942,459				800,000	
University of					В	
Hawaii	548,124				150.000	
University of						
Idaho	33,985					
University of		C	С	С	B-C	B-C
Illinois	2,943,929	70.216	125,000	64,920	120.000	141,373
University of						
Illinois, Chicago	309,525			_		
University of	İ		В	В		B-P
Kansas	1.413,275		116.689	136.967		148.500
University of						
Kentucky	295,402				İ	1
University of	Ì				Ì	
Maine	231,332		ļ		ļ	
, University of	İ				1	
Maryland	2,388,173	i			_	
University of		ļ	В	В	В	B-P
Michigan	1.750.921	1	350,000	606,000	300,000	133,901
University of				İ		В
Minnesota	334,712	2				66,309
University of						
Missouri	422.51	1				
University of		İ				
Montana	115,403	3		-	1	
University of						B-P-C
Nebraska	90,000	O		1		90,000
University of						
New Mexico	141,813	2				_
University of			В	В	В	В
North Carolina	1.830.87	0	220,500	321,445	270,937	339,007
University of		ļ	1			ŀ
Notre Dame	107,59	5				
University of		.			İ	В
Oklahoma	505,87	4		1		203,451
University of				B-P		B-P
Pennsylvania	586,19	4		167,739		108,798



Table 5.—Summary of major activity, by institution: Fiscal years 1978–91—Continued

1983	1984	1985	1986	1987	1988	1989	1990	1991
B-P-C 136,119	B 159,247	B 195.000	B 210,438			B-P-C 137,710	B-P-C 100.060	
B 68.372 B 378.459	B 82.173 B 700.000	B 140.000		P 45,000	B-P-C 64,345	B 64,000 B	B-P 148,779	
B-C 110.683	B-C 182.429 B 157.835	B-C 125,100 B 151,690	B 204,449	B-P-C <sup>2</sup> 361.373	B-P 191,556	33,985 B-P 213,192	B-P <sup>2</sup> 504,278	B <sup>2</sup> 529,360
B-P 144,313	137.633	B 126.147 B-P 142.080	B 102.140 B-P 153,322	B 126.451		B 178.398	B 152,475	B 181,195
B 122.602	B 68,230	B-P-C 2232.766 B-P 202,757 B 115.403	B 404.776 B 64.108 B-P 151.527	B 408,837	B 500.226 B-P 60.706	B 575,000 B-P 67,548	B-C 115,628 B 499,334	
B 305,951	B 144.532	B-P 58.889			B-P 64,000 B-P	B-P 77.812 B-P	B-P 111,630 B-P 48,706	
B 106,875	B 103,982	B 98,800			115,689	186,734		



Table 5.—Summary of major activity, by institution: Fiscal years 1978–91—Continued

Institution	Total	1978	1979	1980	1981	1982
University of						·
Pittsburgh	467,832					
University of Rochester						
Eastman School of Music	688,146		.			
University of					В	В
South Carolina	502.031				172.000	132,736
University of	1		В		B-C	
Southern California	472,983		200,000		126.695	
University of						
Tennessee	51,918					
University of		B-C	B-C	B-C	B-P-C	P-C
Texas	1,795,991	250,000	150,000	175,000	174,000	157,581
University of			j		В	В
Utah	271,903				110,883	119,680
University of						
Vermont	262,063					
University of			В			
Virginia	1,262,142		300,000	1 500		
University of		В	·	В	B-P	B-P
Washington	1,137,699	93,327		150.000	175,025	250,000
University of	;	В	В		Р	B-P
Wisconsin	889,939	85,255	182,000	14,116	128,604	60,000
Vanderbilt						В
University	148,159					148,159
Virginia Historical						
Society	82,767					
Washington State		ļ				
University	140,668					ļ
Washington	1					ì
University	267,493					
Western Washington		1				
University	55,105					
Yale		P	P	B-P	B-P	В
University	2,043,307	149,800	160,000	295,051	228,000	400,000

KEY: B-Bibliographic Control P-Preservation C-Collection Development

Note: Institutions listed are 108 primary grantees only. Tables do not show the additional institutions that received funds through joint grants.



<sup>&</sup>lt;sup>1</sup> Funds awarded to conduct dissemination projects for FY 79 grants.

<sup>&</sup>lt;sup>2</sup>Funds for two projects.

<sup>&</sup>lt;sup>3</sup>Funds for three projects.

Table 5.—Summary of major activity, by institution: Fiscal years 1978–91—Continued

1983	1984	1985	1986	1987	1988	1989	1990	1991
	в-с	B-P	В			В		
	69,967	<sup>2</sup> 150,485	132,000	_		115,380		
		B 233,000		B 455.146				
В		233,000		433.140 B	В			
96,612				55,000	45,683			
				,	В			
					146,288			
В								
51,918 B	В	В				B-P		В
180,998	184,944	119,671				156,591		2 247,206
101,000				B-C	ĺ			- 11,430
				41,340				
		B-P-C		B-P-C	B-P-C			
В	В	67,777		94,286 B	100,000	В	В	В
85,146	72,045			89,999		105,071	220,136	389,245
				B-P	В		B-P	
				144,000	179,378		145,969	
			B-P		B-P-C	B-P		
			107,952		<sup>2</sup> 192,012	130,000		
				<u> </u>	P			P
			В		42,410	:		40.357
			140.668					1
в-с	В		140,000					
205.168	62,325							
	<u> </u>						В	
							55.105	
B 425,000						B 385,456		
423,000		1	1		l .	202,420	1	



# **HEA Title II-C**

Appendix B



Summary o	of Joint Projects: Fisc	al Yea	rs 1978-91
Total number Total number Total number have also be Total number	of institutions-grantees of institutions-participants. of participating institutions een grantees on their own . of joint projects supporting	which	\$19,985,773 20 42 30 raphic 49
Amount of grant	Grantee	Key	Participating institutions
<b>FY 78</b> \$250,000	Duke University	С	University of North Carolina at Chapel Hill North Carolina State University, Raleigh
200,000	Missouri Botanical Garden	В	New York Botanical Garden
675,000	University of California, Berkeley	В	Stanford University University of California, Los Angeles
FY 79			
\$200,000	Missouri Botanical Garden	В	New York Botanical Garden
750,000	University of California, Berkeley	В	Stanford University University of California, Los Angeles
350,000	University of Michigan	В	Michigan State University Wayne State University
300,000	University of Virginia	В	University of Alabama University of South Carolina Vanderbilt University
FY 80			
\$250,000	Duke University	C	University of North Carolina at Chapel Hill North Carolina State University Raleigh

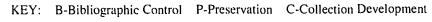


KEY:

B-Bibliographic Control P-Preservation

C-Collection Development

Summary	of Joint Projects: Fisc	al Year	rs 1978-91—Continued
Amount of grant	Grantee	Key	Participating institutions
FY 80—Co	ontinued		
\$244,571	Missouri Botanical Garden	В	New York Botanical Garden
995,781	University of California, Berkeley	В	Stanford University University of California, Los Angeles
606,000	University of Michigan	В	Michigan State University Wayne State University
FY 81			
\$662,816	NewYork Public Library	B,P	Columbia University New York University
000,008	University of Florida	В	Emory University Florida State University University of Georgia University of Kentucky University of Miami University of Tennessee Virginia Polytechnic Institute
300,000	University of Michigan	В	Michigan State University Wayne State University
FY 82			
\$225,042	NewYork Botanical Garden	·B	Missouri Botanical Garden
400,000	Yale University	В	Cornell University Stanford University
FY 83			
\$273,359	New York Botanical Garden	В	Missouri Botanical Garden





378,459

В

Emory University Florida State University Louisiana State University

University of Florida

Amount of grant	Grantee	Key	Participating institutions
FY 83—Co			
	University of Florida—	continued	University of Alabama University of Georgia University of Kentucky University of Miami University of Tennessee Virginia Polytechnic Institute
\$305,951	University of North Carolina	В	Duke University North Carolina State University, Raleigh
425,000	Yale University	В	Cornell University Stanford University
FY 84			
\$608,522	Indiana University	В	University of Arizona University of California, Riverside University of Delaware University of Utah
700,000	University of Florida	В	Emory University Florida State University Georgia Institute of Technology Louisiana State University University of Alabama University of Georgia University of Kentucky University of Miami University of South Carolina University of Tennessee Vanderbilt University Virginia Polytechnic Institute
144,532	University of North Carolina	В	Duke University North Carolina State University, Raleigh



KEY: B-Bibliographic Control P-Preservation C-Collection Development

Amount of grant FY 85	Grantee	Key	Participating institutions
\$233,000	University of Rochester, Eastman School of Music	В	Indiana University University of California, Berkeley
FY 86			
\$170,242	Duke University	В	North Carolina State University, Raleigh University of North Carolina, Chapel Hill
240,044	Missouri Botanical Garden	В	New York Botanical Garden
404,776	University of Maryland	В	New York State Library Texas A & M University University of Delaware
FY 87			
\$455,146	University of Rochester, Eastman School of Music	В	Harvard University Indiana University Stanford University University of California, Berkeley Yale University
250,000	Missouri Botanical Garden	В	New York Botanical Garden
408,837	University of Maryland	В	New York State Library Texas A & M University University of Delaware
FY 88			
\$112,577	Auburn University	В	University of Alabama
506,839	Indiana University	В	University of Rochester, Eastman School of Music Harvard University Stanford University



KEY: B-Bibliographic Control P-Preservation C-Collection Development

Amount of grant	Grantee	Key	Participating institutions			
FY 88—Continued						
	Indiana University—contin	ued	University of California, Berkeley Yale University			
253,320	Missouri Botanical Garden	B,P	New York Botanical Garden			
400,121	Stanford University	В	University of California, Berkeley University of Florida University of Texas Yale University			
500,226	University of Maryland	В	New York State Library University of Delaware			
179,378	University of Washington	В	University of Oregon			
FY 89						
\$117,601	Auburn University	В	University of Alabama			
360,000	Indiana University	В	Cornell University University of Rochester, Eastman School of Music Harvard University Yale University			
258,482	Stanford University	В	Indiana University University of California, Berkeley University of Florida University of Texas Yale University			
575,000	University of Maryland	В	New York State Library Texas A & M University University of Delaware			
385,456	Yale University	В	Columbia University Stanford University, Hoover Institution			



B-Bibliographic Control P-Preservation C-Collection Development

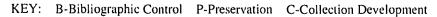
Amount of grant FY 90	Grantee	Key	Participating institutions
\$192,260	Duke University	B,P	Johns Hopkins University
501,318	Indiana University	В	Cornell University University of Rochester, Eastman School of Music Harvard University University of California, Berkeley
658.067	Stanford University	В	Stanford University, Hoover Institution Indiana University University of California, Berkeley University of Florida University of Texas University of Wisconsin Yale University
214,893	University of California, Los Angeles	В	University of California, Berkeley
329.512	University of Illinois	В	Columbia University Cornell University Duke University University of New Mexico
499,334	University of Maryland	В	New York State Library Texas A & M University University of Delaware
FY 91			
\$591,723	Cornell University	В	University of Rochester, Eastman School of Music Harvard University Indiana University University of California, Berkeley



KEY:

B-Bibliographic Control P-Preservation C-Collection Development

Amount of grant	Grantee	Key	Participating institutions				
FY 91—continued							
\$188,712	Duke University	B,P	Johns Hopkins University				
267,170	Duke University	С	North Carolina State University, Raleigh University of North Carolina, Chapel Hill				
349,551	Stanford University, Hoover Institution	В	Stanford University University of California, Berkeley				
337,155	University of Illinois	В	Cornell University Duke University Indiana University Yale University				





THIRD CLASS

TATES OF ACTION

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