

DOCUMENT RESUME

ED 354 878

IR 016 005

AUTHOR Mills, Stephen R.; Stephens, K. Gwen  
 TITLE Impact of Department of Education Dissemination Practices on California Schools.  
 INSTITUTION Far West Lab. for Educational Research and Development, San Francisco, Calif.  
 SPONS AGENCY California State Dept. of Education, Sacramento.  
 PUB DATE [92]  
 NOTE 29p.  
 PUB TYPE Reports - Research/Technical (143)

EDRS PRICE MF01/PC02 Plus Postage.  
 DESCRIPTORS \*Administrators; Change Strategies; Educational Improvement; Educational Planning; Educational Research; Elementary Secondary Education; Guidelines; \*Information Dissemination; Information Utilization; Interviews; Pilot Projects; \*Program Implementation; \*School Districts; School Surveys; State Government; \*State Surveys; Time Management  
 IDENTIFIERS \*California; California State Department of Education; Policy Implications

ABSTRACT

The California State Department of Education commissioned the Far West Laboratory to conduct a study to enhance the effectiveness of methods of disseminating educational information in the state. The study began with a pilot written survey of administrators and support staff in a few schools. In-depth telephone interviews with department staff and field interviews with representative schools and districts provided additional information. Documents were field tracked through the dissemination process, and a survey was completed by 249 employees of 42 units, followed by phone interviews with 35 division and unit managers. General field interviews of 64 district administrators, principals, and secretaries, and additional document tracking completed the data collection. The following areas were identified as needing improvement: (1) planning; (2) targeting; (3) timing; (4) content; (5) format; and (6) marketing. Identified as determinants for understanding information dissemination were information uses in the field, organizational readiness of schools, and information sufficiency. Compliance, leverage, support, and addressing hot issues were identified as change incentives. It is emphasized that department of education must include guidance information for change planning, implementation, and management in its major school improvement documents. Twelve attachments summarize the recommendations of the survey. Also attached is a memorandum related to research knowledge in the area of dissemination. (SLD)

\*\*\*\*\*  
 \* Reproductions supplied by EDRS are the best that can be made \*  
 \* from the original document. \*  
 \*\*\*\*\*

# IMPACT OF DEPARTMENT OF EDUCATION DISSEMINATION PRACTICES ON CALIFORNIA SCHOOLS

Stephen R. Mills  
Far West Laboratory

K. Gwen Stephens  
California Department of Education

U.S. DEPARTMENT OF EDUCATION  
Office of Educational Research and Improvement  
EDUCATIONAL RESOURCES INFORMATION  
CENTER (ERIC)

This document has been reproduced as received from the person or organization originating it.  
 Minor changes have been made to improve reproduction quality.

• Points of view or opinions stated in this document do not necessarily represent official OERI position or policy.

ED354878

## Introduction

Every year school districts are inundated with printed materials from state departments of education, education publishers, and various public and private organizations. The purpose of many of these printed materials is to encourage change to achieve improvement or excellence in curricula, instructional practices, learning arrangements, educational services, and school management practices. Departments of education and other purveyors of these printed materials must answer—and periodically reanswer—some essential questions to guide their ongoing information dissemination practices and activities. The essential questions are: How is our information actually being used by recipients in districts and schools, if at all? Are school changes and improvements suggested by our information actually undertaken or achieved? In what ways can production and dissemination activities be improved to ensure effective use of our information?

To answer these kinds of questions about its own statewide information dissemination activities, the California Department of Education commissioned Far West Laboratory to conduct a study to obtain useful data and feedback that would help the Department to “enhance the effectiveness and efficiency of the methods used for disseminating information to the educational community in California.” Not surprisingly, the findings from the study would seem to have application to other state departments of education, and to any organization that disseminates information to schools.

The study findings discussed here include: 1) six areas for improving the quality of information production and dissemination; 2) three determinants for understanding information use; and 3) four kinds of incentives for ensuring information use by districts and schools.

## Background to the Study

The California Department of Education, under the Honig administration, had been relying heavily since 1984 on the development and distribution of documents and publications as its key strategy for fostering educational reform in districts and schools.

1

2

PERMISSION TO REPRODUCE THIS MATERIAL HAS BEEN GRANTED BY

T. ROSS

TO THE EDUCATIONAL RESOURCES INFORMATION CENTER (ERIC)

1201665

For example, curriculum leadership from the Department was provided mainly in various curriculum frameworks, model guides, newsletters, and other related publications. Periods of intense document development lasting from six months to one year would result in these carefully crafted prescriptions and descriptions of curricular reform.

As the years of reform passed, most of the Department's thirty divisions were similarly engaged in developing and disseminating printed information. Staff took great pride in the quality and quantity of its documents, several of which received national and even international acclaim, and many copies were sold both inside and outside California.

While the Department relied heavily on its central information dissemination strategy for affecting statewide educational reform, little data was systematically gathered about the impact of its dissemination efforts and publications in schools. As a result, the present study was initiated by the Department to illuminate its current information development and dissemination practices in order to develop strategies that would improve their impact. It was also hoped that the study might help the Department to undertake long-term thinking about the role of document development as part of a larger strategy of supporting school reform through self-help networks, electronic bulletin boards, computer conferences, workshops, and other more immediate, more direct forms of assistance.

### **How the Study was Conducted**

The study was carried out in four overlapping phases:

- *Pilot study.* Preliminary information was collected from California Department of Education staff through a written survey. At the same time, phone interviews were conducted with administrators and support staff in a few districts and schools to: 1) see how many of the proposed study questions could be answered immediately and easily; and 2) identify any differences between the intended purposes of various documents and the way the documents were viewed, understood, and used by administrators and teachers in the field. These preliminary data were then used to help shape and focus the data collection strategies used in the remaining phases of the study.

- *Department of Education interviews.* In-depth phone interviews were conducted with Department staff from various divisions and units about the purposes of both print and non-print information, and the strategies and means used to produce and disseminate this information.

- *Field interviews.* In-depth phone interviews were conducted with representative districts and schools on a statewide basis using a carefully devised sampling plan. District and building administrators and support staff were asked about the ways in which they received information from the Department of Education, the timeliness and quality of the information, and the kinds of information follow-up and support provided.

● *Document tracking.* Phone interviews were conducted with district administrators, principals, and teachers statewide to track the actual information uses and impacts resulting from the dissemination of 15 representative Department publications. Documents selected for field tracking included program advisories, education materials catalogs, program planning handbooks, curriculum frameworks, instructional guides, quality control and compliance review manuals, school improvement reports, and education newsletters.

● *Department of Education survey.* A Dissemination Practices Survey was developed for the study and consisted of a simple checkoff-type questionnaire that was sent to all divisions and units in the Department. 249 surveys were returned from 42 units (representing 19 divisions and 5 branches). Based on staff responses to the survey, 15 representative Department documents were selected for impact tracking in the field.

● *Department interviews.* The survey was followed up with phone interviews with 35 division and unit managers to better understand the purposes and problems involved in the Department's information development and dissemination practices. Interviews typically lasted 30-45 minutes; some well over an hour. Typical questions asked were:

- How do you decide on what information to produce (content, format)?
- Do you use different targeting strategies for different audiences?
- What followup support, if any, is provided for your documents?
- How do you coordinate your information planning and production with other units and divisions within the Department?
- What impact in districts/schools do you hope to achieve with your documents?
- What actual impact do you know or believe they have?
- What suggestions do you have for improving the Department's information production and dissemination practices?

● *General field interviews.* Phone interviews were conducted with a small but representative sample of California districts and schools (small, medium, and large; rural and urban) to determine the general reactions in the field to the Department's documents. A total of 34 districts were contacted and 64 district administrators, administrative secretaries, and principals were interviewed. Interviews typically lasted 20-30 minutes. Typical questions asked were:

- How are Department documents screened to determine who should get them?
- Do those who most need the documents always or usually get them?
- How useful do you or others find the Department's documents?
- What document support does the Department provide your district/school? What support is needed?
- How could the Department improve its information production and dissemination activities to better meet your needs?

• *Document tracking interviews.* A second series of phone interviews were conducted with a representative sample of California districts and schools to determine their reactions to 15 typical documents disseminated by the Department. A total of 24 districts and 59 schools were contacted and 206 county and district administrators, principals, and teachers were interviewed. Contacted schools were selected for their representativeness in size (small, medium, large), location (urban, rural), and student achievement (high, medium and low on California Assessment Program tests). Documents selected for tracking included:

Specialized Programs

- Advisory on Elementary & Secondary Summer Sessions 1989-90
- Handbook for Achieving Excellence in Business Education
- Master Tape Library Catalog

Curriculum and Instruction

- Effective Language Arts Programs for Chapter 1 and Migrant Education Students
- California Assessment Program Writing Guides
- A Question of Thinking: First Look at Performance on Open-Ended Questions in Math
- 1988 English Language Arts Adoption of Basic Instructional Materials, K-8
- Foreign Language Framework
- Quality Criteria for Middle Grades
- Handbook for Planning an Effective Writing Program

Program Assistance

- 1988-89 Coordinated Compliance Monitoring Review Manual
- New California Schools Newsletter

Field Services

- Next Steps in Implementing the School Readiness Task Force Report
- Standards and Criteria Checklist and Instructions

Department Management Services

- Selected Publications of the California Department of Education

Interviews typically lasted 20-30 minutes (with some up to 60 minutes). Typical questions asked were:

- What specifically did you do with the document? How did you use the information?
- In what ways did you adapt the information to better meet your needs?
- What action(s) were taken on the basis of information in this document? What impact did these actions have on your district or school?
- What followup or support, if any, from the Department or county office did you receive?
- How could this document (the information it contains) be improved?

## Information Problems and Proposed Solutions

The results of Far West Laboratory's in-depth interviews with Department of Education staff, district administrators and support staff (including secretaries), and school principals and teachers revealed several key opportunities for improving the Department's large-scale information production and dissemination to schools. These opportunities are summarized as problems and proposed solutions under six main headings: *planning, targeting, timing, content, format, and marketing.*

### ● *Planning*

*Problem:* Department staff are generally not aware of related information production and dissemination activities in other parts of the organization. The information dissemination activities of units within the Department are not well coordinated and show some redundancy of effort.

*Solution #1:* Publicize information production and dissemination activities within the Department. Provide work units with regular announcements (E-mail, newsletter, etc.) about written documents in various stages of planning, production, and dissemination.

*Solution #2:* Use information production announcements to identify work units with related interests or concerns; form cooperatives among these units to coordinate dissemination activities and share production costs.

NOTE: These actions are considered necessary first steps to enable the Department to reduce the information overload problem faced by districts and schools.

### ● *Targeting*

*Problem:* Documents don't go directly to intended end users but are delayed or diverted as they pass through several levels of district hierarchy. Documents sent to district offices are susceptible to being lost, improperly screened and forwarded, or filed without forwarding to those who need them (especially personnel at the building level).

*Solution #1:* Include easy to locate (front end) information identifying the purpose of the document and who the primary end user is by either job title, department, or program/project. This assists central district office secretaries who screen all mail and must determine the most appropriate recipient(s).

*Solution #2:* When time is short, send documents directly to the *primary end user(s)*; simultaneously send complimentary copies to any others indicated by district protocol. This alleviates delays caused by a common district practice of successively routing a document through many people or chains-of-command before it arrives at the final user's desk.

CAUTION: Many district administrators don't like documents to be sent directly to schools without having been screened and approved, so use this strategy sparingly.

*Solution #3:* When mailing multiple copies of a document, decrease waste by sending fewer copies to small districts (where a few individuals wear many administrative hats) and more copies to larger districts (where more people need to see and review a document before action can be taken).

- **Timing**

*Problem:* Districts and schools receive large numbers of print materials from outside organizations (especially the Department of Education); these documents are often received at times when personnel are too busy to read and discuss them, or when it is too late to respond effectively.

*Solution #1:* Query a representative sample of the intended audience to identify the best time(s) for them to receive a particular type of document. Do *backward planning* from the "receive date" to the beginning of document production to allow sufficient lead time for writing, editing (several revisions), internal review and approvals, printing, and mailing.

*Solution #2:* In an organization with a centralized production/dissemination unit, form effective working relationships between information-originating units and the production unit to ensure better preplanning and coordination of documents. Provide sufficient advanced notice to the production unit about document preparation requirements; discuss writing, editing, printing, and delivery due dates as well as possible delays. If possible, make a *binding agreement* that: 1) requires the originating unit to provide a completed document of appropriate draft or finished quality, ready for editing or printing; and 2) requires the production unit to provide sufficient notice of production delays to allow the originating unit to use alternate means of getting the document out on time (e.g., in-house desktop publishing, use of an outside contractor).

- **Content**

*Problem:* Documents don't contain information needed by the user to implement changes: they rhapsodize about what to do but don't say how to do it. Department of Education documents are typically designed for a wide rather than a specific audience which results in a lack of specificity needed by end users. Writing a general document creates considerable work for busy administrators who must summarize, translate, or supplement the information to make it useful to school staff. Because busy administrators are often unable or unwilling to prepare needed supplementary information, there is a decreased likelihood that useful information from the Department will reach principals and teachers at the building level. Worse than that, district personnel often assume that Department of Education documents are not intended for

building-level people—because they don't appear to be written for them—and, therefore, are not forwarded. The better tailored a document is to the particular needs and circumstances of the end user, the greater the chance the end user will receive it and the greater the chance the information it contains will actually be put to use. Tailoring information to end-user needs means providing implementation ideas and strategies. As one director of instruction stated: "Don't leave us hanging to invent the rest of the damn wheel!"

*Solution #1:* Write a document for a specific end user, rather than for a wide audience. If needed, produce different versions of a document for different intended audiences (i.e., board members, district administrators, principals, teachers, parents). If separate versions are not practical, at least separate and label information in a document intended for specific readers (e.g., "What principals should know," "What teachers should know").

*Solution #2:* Suggest implementation strategies needed by the primary user that reflect the realities of school (e.g., tailoring or sequencing change activities for different curriculum areas or grade levels; organizing the school or classroom to promote the desired change; implementing instructional practices that address the new approach; and evaluating progress).

*Solution #3:* Suggest resource materials such as texts, planning guides, activities sheets, or videotapes. Make certain these resource recommendations are *annotated* so users can judge the content relevance or quality of the materials for themselves. Cross-reference the document with other materials that may be helpful. For example, cross-reference a program planning handbook with skill levels described in a statewide curriculum framework.

*Solution #4:* Provide examples of classroom activities that implement the change since this will increase the chances that the document will be passed on to teachers. If the primary users are school-level personnel, a document cannot be too concrete. If it lacks hands-on information, it risks being shelved or discarded. For example, documents advocating school improvement in specific areas are frequently put aside by district personnel because they lack practical information on curriculum materials, learning organization, and exemplary program examples.

NOTE: Many excellent and highly useful curriculum and classroom level adaptations of Department of Education materials are always being developed by district and school personnel. These could be identified and cataloged by the Department or an independent organization and made available to schools and districts throughout the state.

*Solution #5:* Describe research findings that provide a link between past practices and what is currently being recommended, and that justify and substantiate the benefits



of change. This will help to overcome teacher resistance to "new" curriculum approaches or instructional strategies. For example, it was found that teacher resistance to a foreign language framework could have been reduced if the document had provided more detailed research explaining the weaknesses of a grammar-based curriculum versus the strengths of the recommended communication-based curriculum.

*Solution #6:* Identify exemplary districts, schools, or projects that have implemented the change or the new approach. This provides schools with a relatively inexpensive means of staff development by enabling administrators and teachers to visit and witness change activities for themselves, and get advice from those who have first-hand experience with a new practice.

*Solution #7:* Anticipate the concerns of various intermediaries (e.g., superintendent, district administrators, school board members, principals) who are likely to receive the information first then suggest ways for them to present the information to the end user in order to overcome resistance and foster support for change.

*Solution #8:* Identify common obstacles or difficulties encountered in implementing the suggested change or new approach. Provide honest examples of implementation failures and suggest possible ways to avoid them. This tells users, particularly beleaguered principals and teachers, that the Department of Education (or originating organization) understands the reality of the school and classroom environment and has realistic expectations of what change is possible and how long it will take.

- **Format**

*Problem:* Documents lack "advanced organizers" to assist intermediaries in screening information for relevance, importance, or the most appropriate end user. The superintendent's secretary is the individual in the district office most often responsible for screening and routing documents to appropriate recipients. Most of the secretaries interviewed said it is difficult to tell without opening and reading a document what it covers, who *specifically* it should go to, and how important or urgent it is. As a result, documents forwarded to busy administrators may be discarded or thrown on the read-later pile (meaning the forgotten pile) unless critical information identifying the document's importance and use is easy to find, brief, and clearly written.

*Solution #1:* Locate screening information in a prominent place in a document using a consistent format. Screening information should include due dates or deadlines, and the intended primary recipient or end user of the document. For example, many district- and school-level personnel said that they often missed application deadlines and workshops because of delays in routing information through the district, and that prominently displayed due or event dates would have expedited matters considerably.

*Solution #2:* State the main purpose or intended use of the document. State what can be learned from it.

*Solution #3:* Provide a brief overview or executive summary of the document's contents. State the main ideas and the essence of the topics covered.

*Solution #4:* Highlight new or updated information with margin flags or arrows, enclosed boxes, bold lettering, or different colors. Do this particularly for new information that changes or reverses (contradicts) old information provided in a previous document. This will save the busy administrator or teacher from having to read the *entire* document to determine what is new.

*Solution #5:* Highlight key and important information (whether new or not); use margin notes for easy-to-spot reminders of key points (e.g., hints, alerts, cautions). As before, this will save the busy administrator or teacher from having to read the *entire* document to see what value it has.

*Solution #6:* Include a table of contents and an index if warranted by the type, length, or complexity of the document. Use titles and labels that communicate readily what can be learned in each section of the document.

*Solution #7:* Identify a contact person within the Department of Education by name, address, and phone who can be reached for further information or clarification about the document. This is one of the easiest ways to publicize the unit responsible for the document, and provide recipients with fast, reliable, and inexpensive document assistance and support. It is also a good way to get feedback about a document.

- **Marketing**

*Problem:* Potential users are unaware of what documents are available, or who to call for information about available documents. When asked whether they were satisfied with the information they currently receive from the Department of Education, many district and school personnel had difficulty answering because they were not aware of what else they could or should be getting. Many were very interested in receiving documents once they were informed of their existence.

*Solution #1:* Provide a *selected publications* catalog that provides a brief annotation (purpose, primary user, content) for each available Department of Education document or collections of documents (e.g. newsletters). This will alert the field to the availability of information, and help prospective purchasers to determine the content and value of Department documents and reduce their chances of making costly purchasing mistakes. It will also increase the likelihood that the catalog itself will become a useful resource reference by districts and schools.

*Solution #2:* Provide the field with a regularly updated list of new Department of Education documents. Issue this update on a regular basis (e.g., bi-yearly) so the field will anticipate and expect it—school personnel said that documents that were received on a regular basis tended to get more use.

*Solution #3:* Produce a concise (simplified) *Department of Education directory* for the field. Such a directory was frequently requested by district and school administrators interviewed to help them identify people within the Department who have appropriate knowledge and expertise.

*Solution #4:* Ensure that instructional-materials display centers located in county or district offices are on the mailing list of every unit within the Department, and are well stocked with representative documents and copies of the Department's *selected publications*.

### Information Uses in the Field

As a result of examining the impact of the Department's documents in the field, several key factors were discovered that determine if and to what extent information is used by districts and schools. These are now described.

On the basis of in-depth phone interviews with central office administrators, building principals, and teachers, nine types (or levels) of information use by districts and schools were identified. These fall on a continuum summarized in **Table One**.

Code	Interview Response	Action Summary
1	Doesn't remember document.	Not seen
1.5	Has never seen it, but knows it's available.	Not seen
2	Read and threw away.	Not used
3	Read and filed.	Not used
4	Forwarded the original, but did not keep a copy.	Forwarded
5	Forwarded an adapted version, but kept no copy.	Forwarded
6	Keeps on file and uses as a reference.	Used
7	Used for meeting agenda ("for your information" only).	Used
8	Used in planning change.	Used
9	Used in implementing change.	Used

Of the 15 Department of Education documents that were tracked, 27 percent of the interviewees said they had "not seen" the documents, 13 percent said they had "not used" the documents, 11 percent said they had "forwarded" the documents to others, and 49 percent said they had "used" the documents in some way.

Respondent feedback from the general field interviews and document tracking interviews suggested that the chief determinants of information use are *organizational readiness of schools, information sufficiency, and change incentives*. Each of these is discussed below.

### **Organizational Readiness of Schools**

Is there reasonable consensus on what action is needed to implement changes suggested in a Department of Education document and on how this action should be accomplished? Are administrators and teachers sufficiently motivated and willing to engage in the change effort? Are there sufficient supports and resources for undertaking the change?

From the standpoint of a Department of Education's ability to exert influence for action or change in the field, *organizational readiness* is difficult to manipulate since it is largely determined by the characteristics of district or school personnel (attitudes, motivation) and by the availability of resources (money, time, materials). While such characteristics are difficult to control by a department of education, they can be influenced to some degree through the Department's staff development and categorical funding programs.

### **Information Sufficiency**

Do administrators and teachers have sufficient knowledge and skills to undertake action? Does the document or guidance material advocating change provide sufficient information needed by administrators and teachers to plan and implement action?

Unlike organizational readiness, *information sufficiency* can be directly influenced by the Department of Education in ways described previously under *targeting, content, and format*.

To assess this variable, we analyzed the adequacy of the 15 tracked documents by determining if they contained sufficient information in three areas—*targeting, content, and format*. Relevant problem-solution strategies proposed for each area were used as *criteria* to judge the information sufficiency of the documents. Only those solution strategies (i.e., criteria) considered appropriate to a document were used to judge its informational sufficiency. The results of this assessment revealed that the average number of sufficiency criteria satisfied by the Department's tracked documents for five of

its branches were as follows: Field Services (77 percent), Specialized Programs (53 percent), Curriculum & Instruction (40 percent), Program Assistance (35 percent), Department Management (33 percent). Within each branch of the Department, there is room for improving the information sufficiency of its disseminated publications through application of appropriate "quality criteria" and recommended solution strategies.

### Change Incentives

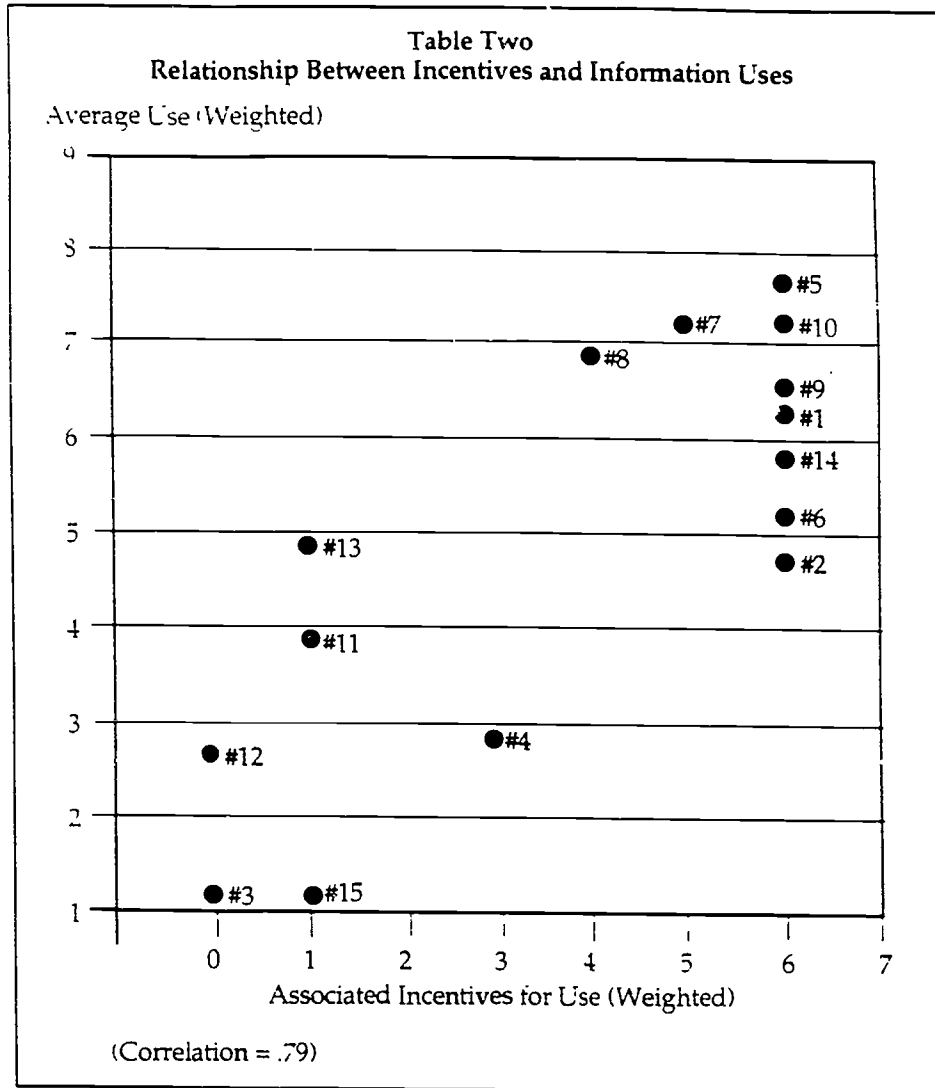
Are publications advocating action or change compelled or encouraged by any of the following incentives: *compliance, leverage, support, or "hot issue?"*

- *Compliance*: refers to information that conveys mandates of law or regulation.
- *Leverage*: refers to information that suggests standards or that conveys other information of an advisory nature.
- *Support*: refers to follow-up assistance and service provided for printed materials in any of the following ways: phone contacts, consultants, workshops, conferences, advisory groups, teleconferences, or computer networks.
- *Hot issue*: refers to information that addresses a currently popular or high concern issue in education, e.g., restructuring, authentic assessment, accountability, critical thinking, school financing.

These *change incentives* are well within the sphere of influence of a department of education to ensure information use as evidenced by the fact that we were able to associate one or more incentives with 13 of 15 tracked documents. (Incentives were "associated" on the basis of the survey and follow-up interviews with Department staff.) The results of our in-depth interviews with district administrators, principals, and teachers revealed that tracked documents that had no associated incentives were used by only 21 percent of interviewees, whereas documents that had one or more incentives were used by 25-78 percent of the interviewees. In short, the more incentives for information use and change, the greater the impact in districts and schools.

The relationship between incentives and information use was plotted in **Table Two**. (The # numbers at the intersection points are the document numbers).

NOTE: To produce this table, the four incentives were weighted as follows: compliance = 4, leverage = 3, support = 2, hot issue = 1, no incentives = 0. The possible incentive weights, therefore, ranged from 0 to 7, according to the total number of single or multiple incentives that were associated with each document (x-axis). The *types of uses* claimed by interviewees for each document were also weighted using the coded values 1-9 (y-axis) as given in **Table One**. The *use weights* were then added and averaged in order to estimate the overall level of use for each document.



As can be clearly seen in **Table Two**, documents with two or three associated incentives got more attention and action according to interviewees than documents with no incentives or only one incentive (the computed correlation (Pearson) between the two variables—incentives and types of use—was **0.79**).

### Conclusions

With respect to its “flagship” documents—those that advocate educational change to achieve improvement or excellence in curricula, instructional practices, learning arrangements, educational services, or school management—a state department of education (or any information disseminating organization) must do more than provide general philosophical perspectives and generalized recommendations. The organization

must provide school personnel with more essential and specific guidance and assistance that will help them to prepare for, plan, implement, and manage change.

The bottom line is this: If a department of education doesn't include guidance information for change planning, implementation, and management in its major school improvement documents, it should be prepared to provide it in various ways in document support and follow-up assistance. Providing either guidance information or follow-up support or both can assure a department of seeing better use of its documents and, ultimately, better impact of its information dissemination in districts and schools.

As indicated from our interviews with Department of Education staff and with district and school administrators, failure to properly target documents to specific end users' needs or to ensure that documents reach intended end users can greatly retard the impact of the Department's information dissemination efforts. A department of education, therefore, must take particular care in targeting, formatting, and timing the dissemination of its documents (via mail, electronic, or other means) to ensure their getting into the hands of school personnel who are most likely to read them and to take action.

The most powerful incentive for document use a department of education can provide is *compliance*. However, since only a small percentage of a department's documents (e.g., about 20 percent in the case of California) are likely to have this type of incentive, it is essential that Department directors and managers try to ensure that information production and dissemination strategies utilize or take advantage of one or more of the remaining incentives—*leverage*, *support*, and *hot issue*. These latter incentives, particularly if utilized in various combinations, can provide powerful inducements to district and school personnel to use a department's documents in ways that can lead to effective actions for change. Furthermore, budgetary resources expended for print dissemination will have a more realistic hope of impacting the field and, thereby, will better justify the cost and effort involved.

**Acknowledgement.** Far West Laboratory wishes to thank the California Department of Education for commissioning this study and for its openness and willingness to share these findings with others.

# CALIFORNIA SCHOOLS

- 1012 DISTRICTS
- 7485 PUBLIC SCHOOLS (\* 9.2% of U.S.)
- 11,090 PUBLIC & PRIVATE SCHOOLS
- 5,543,252 STUDENTS
- 208,228 TEACHERS (full time)

\* TX 7.1%, IL 4.9%, NY 4.8%, OH 4.6%



# CALIF DEPT OF EDUCATION

- 7 BRANCHES
- 27 DIVISIONS
- 200 UNITS
- 1300 FULL-TIME STAFF
- PUBLICATIONS PER YEAR \*
  - 600 TITLES (50 NEW)
  - 2,630,000 COPIES SOLD
  - 682,000 COPIES FREE
  - \$1,773,000 SALES INCOME

\* 1989-90 estimate

## CDE DISSEMINATION STUDY PHASES

- PILOT STUDY
- DEPARTMENT INTERVIEWS
- FIELD INTERVIEWS
- DOCUMENT TRACKING

---

## DETERMINANTS OF INFORMATION USE

- ORGANIZATIONAL READINESS FOR CHANGE
- INFORMATION SUFFICIENCY
  - Targeting, timing, content, format, marketing
- CHANGE INCENTIVES
  - Compliance
  - Leverage
  - Support
  - Hot issue

---

## LEVELS OF DOCUMENT USE

- 1 DOESN'T REMEMBER DOCUMENT
- 1.5 HASN'T SEEN
- 2 READ AND DISCARDED
- 3 READ AND FILED
- 4 FORWARDED BUT KEPT COPY
- 5 ADAPTED AND FORWARDED
- 6 USED AS REFERENCE
- 7 USED IN MEETINGS
- 8 USED IN PLANNING
- 9 USED IN IMPLEMENTATION

## INFORMATION DISSEMINATION CONCERNS

- PLANNING
- TARGETING
- TIMING
- CONTENT
- FORMAT
- MARKETING

# PLANNING SOLUTIONS

- PROBLEM:  
DEPARTMENT INFORMATION PRODUCTION AND  
DISSEMINATION ARE NOT COORDINATED
- SOLUTIONS:
- PUBLICIZE DOCUMENT PRODUCTION AND  
DISSEMINATION ACTIVITIES WITHIN THE  
DEPARTMENT
- IDENTIFY UNITS WITH RELATED INTERESTS  
AND CONCERNS

# TARGETING SOLUTIONS

- PROBLEM:  
DOCUMENTS DON'T GET TO END USER  
(DELAYED, DIVERTED)
- SOLUTIONS:
- IDENTIFY INTENDED END USER ON DOCUMENT
- SEND DOCUMENT DIRECTLY TO END USERS
- SEND MORE COPIES TO LARGER DISTRICTS

## TIMING SOLUTIONS

- PROBLEM:  
DOCUMENTS ARE RECEIVED AT INOPPORTUNE  
TIMES (TOO LATE, TOO BUSY)
- SOLUTIONS:
- IDENTIFY BEST RECEIVING TIMES FOR KEY  
DOCUMENTS
- PROVIDE SUFFICIENT LEAD TIME TO PRODUCE  
AND SEND DOCUMENTS



# CONTENT SOLUTIONS

- PROBLEM:  
DOCUMENTS DON'T CONTAIN NEEDED  
INFORMATION
- SOLUTIONS:
- WRITE FOR SPECIFIC END USER
- SUGGEST IMPLEMENTATION STRATEGIES
- SUGGEST RESOURCE MATERIALS
- PROVIDE CLASSROOM EXAMPLES
- DESCRIBE RELATED RESEARCH FINDINGS
- IDENTIFY EXEMPLARY SCHOOLS, PROJECTS
- ANTICIPATE CONCERNS OF INTERMEDIARIES
- IDENTIFY COMMON OBSTACLES

# FORMAT SOLUTIONS

- PROBLEM:  
DOCUMENTS LACK ADVANCED ORGANIZERS FOR  
SCREENING AND FORWARDING
- SOLUTIONS:
- LOCATE SCREENING INFORMATION IN A  
PROMINENT PLACE
- STATE THE PURPOSE OF THE DOCUMENT
- PROVIDE A BRIEF OVERVIEW OR EXEC.  
SUMMARY
- HIGHLIGHT NEW OR UPDATED INFORMATION
- HIGHLIGHT KEY AND IMPORTANT INFORMATION
- INCLUDE A TABLE OF CONTENTS AND INDEX IF  
WARRANTED
- IDENTIFY A DEPARTMENT CONTACT

# MARKETING SOLUTIONS

- PROBLEM:  
USERS ARE UNAWARE OF DOCUMENT  
AVAILABILITY
- SOLUTIONS:
- DEVELOP A SELECTED PUBLICATIONS CATALOG
- PROVIDE FIELD WITH REGULARLY UPDATED LIST  
OF NEW AND OLD PUBLICATIONS
- PROVIDE THE FIELD WITH A DEPARTMENT  
DIRECTORY
- SHOWCASE MATERIALS AT COUNTY OFC. AND  
DISTRICT DISPLAY CENTERS

# MEMORANDUM

UNITED STATES DEPARTMENT OF EDUCATION  
OFFICE OF THE ASSISTANT SECRETARY  
FOR EDUCATIONAL RESEARCH AND IMPROVEMENT

September 8, 1992

To: Members, PIP Working Groups

From: Eve M. Bither *EMB*  
Director, Programs  
for the Improvement of Practice

RE: Selected Resource Documents  
Assembled for Working Groups

As you begin work in your respective groups I would like to emphasize how important it is that we have access to the best available research knowledge in carrying out this task.

Each of the groups has a substantive focus that has an extensive literature of its own that will need to be examined. In addition, there are common concerns across the work groups on issues of synthesis, dissemination, and use of information for purposes of improvement and reform in education.

To assist you in locating key documents in the latter area, selected materials have been assembled in a resource notebook--a large three-ring binder located on a credenza outside my office. A copy of the table of contents for the notebook is attached. Staff are free to scan the notebook and borrow items for further use. But please, return the items promptly so others can use them too.

I would appreciate your suggestions as to additional references that may be especially useful to the work groups, and your candid assessment of any of the items in the notebook that you think are not helpful. If you need help locating additional resource material on specific issues of dissemination and change, check with John Egermeier or Marshall Sashkin who took the lead in assembling this initial set of documents for us.

cc: Diane Ravitch  
Francie Alexander  
Maris Vinovskis

112016005