DOCUMENT RESUME

ED 323 873 HE 023 875

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TITLE Systematic Biology Training and Personnel. Higher

Education Surveys Report, Survey Number 10.

INSTITUTION Westat, Inc., Rockville, MD.

SPONS AGENCY National Science Foundation, Washington, D.C.

PUB DATE May 90 NOTE 56p.

PUB TYPE Reports - Research/Technical (143) -- Statistical

Data (110)

EDRS PRICE MF01/PC03 Plus Postage.

DESCRIPTORS *Biology; *College Faculty; Degrees (Academic);

*Demand Occupations; *Enrollment; Financial Support; Foreign Students; Graduate Study; Higher Education; Minority Groups; National Surveys; Professional

Education; *Scientists

IDENTIFIERS *Systematic Biology

ABSTRACT

The Task Force on Global Biodiversity of the National Science Board is charged with developing a course of action for the National Science Foundation to follow to promote responsible management of global biological diversity. Effective management of the problem is hampered by a shortage of systematic biologists -- scientists who identify, document, and classify living things. A study was conducted to gather information on systematic biology training and personnel to gauge the magnitude and severity of the shortfall of scientists. The survey collected information on the 1988-89 academic year from institutions with graduate-level systematic biology programs. All 168 doctorate-granting institutions (108 public and 60 private institutions) in the United States were surveyed. A total of 108 institutions reported that they currently train graduate students in systematic biology. The survey collected information on the following: departments training graduate students in systematic biology, and department composition; numbers of graduate students, postdoctoral fellows, faculty members, and degree recipients in systematic biology; distribution of personnel by minority group membership, citizenship, and developing country status; major disciplinary approach within systematic biology; sources and amounts of support for study and research; need for types of employment positions in systematic biology; faculty vacancies in biology and in systematic biology; and probable areas of future faculty hiring. (JDD)

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SYSTEMATIC BIOLOGY TRAINING AND PERSONNEL

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Higher Education Surveys Report Survey Number 10 May 1990

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SYSTEMATIC BIOLOGY TRAINING AND PERSONNEL

Sponsored by and written for:

The National Science Foundation
National Science Board
Committee on International Science
Task Force on Global Biodiversity

Prepared by:

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Higher Education Surveys Report Survey Number 10 May 1990



ACKNOWLEDGMENTS

This survey of Systematic Biology Training and Personnel was conducted by Westat, Inc., at the request of the National Science Board, Task Force on Global Biodiversity, and under the direction of the Division of Science Resources Studies of the National Science Foundation. The following persons guided the development of the study and provided technical oversight for the study:

- W. Franklin Harris, Executive Secretary, National Science Board, Task Force on Global Biodiversity, National Science Foundation
- Patricia White, HES Program Officer, Science and Engineering Education Sector Studies Group, National Science Foundation
- Mary Golladay, Study Director, Science and Engineering Education Sector Studies Group, National Science Foundation.

Members of the Task Force on Global Biodiversity and the systematic biology community who contributed to the questionnaire design and presentation of survey results include the following:

- James L. Edwards, Acting Deputy Director, Division of Biotic Systems and Resources, National Science Foundation
- William S. Moore, Program Director, Systematic Biology, Division of Biotic Systems and Resources, National Science Foundation
- David E. Schindel, Associate Program Director, Systematic Biology, Division of Biotic Systems and Resources, National Science Foundation
- James E. Rodman, Associate Program Director, Systematic Biology, Division of Biotic Systems and Resources, National Science Foundation

From Westat, Pat Cruz was the data preparation supervisor for the survey, and Warren Mason was the programmer.

We also acknowledge the indispensable contribution of the many officials, faculty, and staff members at the sampled institutions who completed the survey questionnaires.



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Executive Summary

Background

The Task Force on Global Biodiversity of the National Science Board is charged with developing a course of action for the National Science Foundation to follow to promote responsible management of global biological diversity. The decline in global biological diversity, characterized by the extinction of species and the degradation of ecosystems, is being caused primarily by human activity. Effective management of the problem is hampered by a shortage of systematic biologists — scientists who identify, document, and classify living things. These scientists play a critical role since the biotic inventories and classifications they produce are needed to understand biotic diversity and to monitor changes. Currently, the inventories available are far from complete.

The Task Force requested that a Higher Education Surveys (HES) study be conducted to gather information on systematic biology training and personnel to gauge the magnitude and severity of this shortfall. The HES survey collected information on the 1988-89 academic year from institutions with graduate-level systematic biology programs. It gathered data on the number of students currently training to become systematic biologists, including students from developing countries where the problems tend to be more severe and the need for management greater. Information on the faculty makeup of departments training these students was also collected. Specifically, detailed information was collected on the following:

- Departments training graduate students in systematic biology, and department composition
- Numbers of graduate students, postdoctoral fellows, faculty members, and degree recipients in systematic biology
- Distribution of personnel by minority group membership, citizenship, and developing country status
- Major disciplinary approach within systematic biology
- Sources and amounts of support for study and research
- Need for types of employment positions in systematic biology
- Faculty vacancies in biology and in systematic biology
- Probable areas of faculty hiring, and reason for hiring in that area

All 168 doctorate-granting institutions in the United States were surveyed. There were 108 public and 60 private institutions; 41 institutions that ranked in the top 50 nationwide for agriculture research and development (R&D) dollars; 45 that ranked in the top 50 nationwide for biology R&D dollars; and 97 land grant institutions. The response rate for the survey was 96 percent. Statistics reported are population estimates and refer to the 108



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institutions that reported that they currently train graduate students in systematic biology.

Currently there are 940 systematic biology faculty (700 full time and 240 adjunct), 1,154 systematic biology graduate students, 171 postdoctoral fellows, and 324 systematic biology support personnel, distributed among 220 departments. About half of the institutions train systematic biology graduate students in one department only; ar other quarter train in two departments. Almost one-third of the departments that train systematic biologists are biology departments.

Faculty Vacancies in Systematic Biology

Ninety-two institutions (85 percent of those training) reported from 1 to 15 unfilled, but budgeted positions in their biology program, for a total of 314 vacancies. Of the schools with biology vacancies, 40 had from 1 to 4 vacancies in the systematics area, for a total of 55 (or 18 percent of all biology vacancies). These 40 institutions represent 43 percent of institutions with biology program vacancies, and 37 percent of all institutions that provide training in systemic biology.

If biology program expansion were likely, most institutions (42 percent) would hire in the *molecular* area. Twenty institutions (18 percent) would hire in the *systematics* area. Of the institutions that would expand their systematics programs, 74 percent (14 institutions) currently have no systematics vacancies. Half, or 10, of these institutions would devote a new position to systematics because of positions lost to *faculty retirements or departures*. In contrast, institutions that would choose to expand their molecular programs would do so mostly because of *changes in department emphasis* (40 percent), or *greater opportunities for funding* in this area (30 percent).

Training of Students from Developing Countries

The survey requested subtotals by developing country origin of students. Overall, about 10 percent each of systematic biology graduate students and postdoctoral fellows are from developing countries. Ten percent of the Master's degrees and 7 percent of the Ph.D. degrees awarded in 1987-88 went to non-U.S. citizens and 6 percent of Master's degrees and 5 percent of Ph.D. degrees went to students from developing countries.

Major Disciplinary Approach of Faculty and Those in Training

For faculty research, 41 percent of institutions reported that the major disciplinary approach was phylogenetic analysis; 20 percent reported floristic and faunistic surveys; 16 percent, taxonomic revisions; 13 percent, surveys of particular groups; and 10 percent could not report a single approach. For postdoctoral research, 25 percent of institutions reported that the major disciplinary approach was phylogenetic analysis; 7 percent, surveys of particular groups; 6 percent, floristic and faunistic surveys; 4 percent, taxonomic revisions; and 57 percent could not report a single approach. For graduate student training, 41 percent of institutions reported that the major



disciplinary approach was phylogenetic analysis; 24 percent, floristic and faunistic surveys; 18 percent, taronomic revisions; 10 percent, surveys of particular groups; and 7 percent, no single approach.

Faculty, graduate students, and postdoctoral fellows whose major disciplinary approach is floristic and faunistic surveys each use the methods or techniques from scology and morphology most often. Faculty and graduate students whose major disciplinary approach is phylogenetic analysis use the methods or techniques from evolution and morphology most often, while postdoctoral fellows use those from morphology and molecular biology.

Faculty whose major disciplinary approach is surveys of particular groups use the methods or techniques from evolution and morphology most often, whereas graduate students use those from ecology and morphology, and postdoctoral fellows use those from ecology and evolution. Faculty and postdoctoral fellows whose major disciplinary approach is taxonomic revisions each use biogeography and morphology most often, but graduate students use those from ecology and morphology.



Introduction

In October of 1987 the National Science Board established the Task Force on Global Biodiversity to study the decline in global biological diversity. The decline in global biological diversity is a decrease in the variety and variability among living things and ecosystems, characterized by the extinction of species or the loss of variety in species' gene-pools and the degradation of ecosystems, either in a limited geographic area or globally. The decline is being caused primarily by human activity and has been observed in many parts of the world. The mission of the Task Force is to develop a course of action for the National Science Foundation to follow to promote responsible management (preservation and maintenance) of global biological diversity.

Systematic biologists — scientists who identify, document, and classify living things — play a critical role in the management of global biological diversity, since the scientific community needs the biotic inventories and classifications they produce in order to understand biotic diversity and to monitor changes. The inventories available are far from complete. Only a small fraction of the species currently thought to exist on earth have been identified, and an even smaller fraction of species inhabiting the most threatened, yet species-rich, tropical areas have been identified. These gaps in our knowledge will hamper efforts to monitor and manage change; as a result, many species may become extinct or evacuate areas they now inhabit before they have been identified and observed. Further, since the decline in global biological diversity appears to be accelerating, the extinction of unidentified species will become a greater problem in the future.

The need for immediate action is great, but there are too few scientists currently practicing systematic biology to complete the exhaustive inventories needed, and too few are being trained for the future. The Task Force requested that a Higher Education Surveys (HES) study be conducted to gather information on systematic biology training and personnel in order to gauge the magnitude and severity of these human resource shortfalls and to develop effective strategies to overcome them. Past studies of systematic biologists include "The Systematics Community," the 1985 report of the Association of Systematics Collections that surveyed individual systematists at all levels and in all occupations. conducted by HES gathered information on the number of students currently training to become systematic biologists, especially on how many are from developing countries, where the problems tend to be more severe and the need for management greater. Information on the faculty makeup of departments training these students was also collected.

The HES survey collected detailed information from institutions involved in training graduate students in systematic biology during the 1988-89 academic year (the questionnaire is reprinted in Appendix C). For the purposes of the survey, systematic biology was defined as "...the discipline that treats biological diversity at the organismal and population levels with special reference to the

¹Information on biodiversity is from the Task Porce Report, Loss of Biological Diversity: A Global Crisis Requiring International Solutions, NSP-89-122.



classification, evolution, and distribution of particular groups of organisms. Excluded are comparative studies in anatomy, behavior, biochemistry, and the like unless they are directed principally toward classification and phylogeny."

From institutions with graduate-level systematic biology programs, the survey requested information about the following:

- Departments training graduate students in systematic biology, and department composition
- Numbers of graduate students, postdoctoral fellows, faculty members, and degree recipients in systematic biology
- Distribution of personnel by minority group membership, citizenship, and developing country status
- Major disciplinary approach within systematic biology
- Sources and amounts of support for study and research
- Need for types of employment positions in systematic biology
- Faculty vacancies in biology and in systematic biology
- Probable areas of faculty hiring, and reason for hiring in that area

Questionnaires were mailed either to HES coordinators or directly to faculty contacts at all 168 doctorate-granting institutions² in the United States in the winter of 1988-89. Members of the Task Force identified faculty members to serve as respondents at about half of the institutions. Respondents for the other schools were selected by the institutions' HES coordinators, who, provided with information on survey content, decided on the most appropriate survey respondent for their institution.

Ninety-six percent (161 institutions) responded to the survey (Appendix B lists the respondent institutions). Results reported contain a nonresponse adjustment.³ The population of institutions surveyed was 108 public and 60 private institutions. Forty-one of the institutions surveyed ranked in the top 50 institutions nationwide for research and development (R&D) dollars acquired

³To account for the 4 percent of schools that did not respond to the survey the 57 private school responses were each multiplied by 1.05 to represent the 60 schools in that population, and the 104 public school responses were each multiplied by 1.04 to represent the 108 schools in that population.



6 13

Doctorate-granting institutions are characterized by a significant level of activity in and commitment to doctoral-level education as measured by the number of doctorate recipients and the diversity in doctorate program offerings. Included in this category are institutions that are not considered specialized achoris and that grant a minimum of 30 doctoral-level degrees per year. These degrees must be granted in three or more doctoral-level program areas or have an interdisciplinary program at the doctorate level. Included in the counts of doctorate degrees are the first professional medical degrees.

in agriculture for 1986, 45 ranked in the top 50 institutions nationwide for R&D dollars acquired in biology in 1985, and 97 are land grant institutions. Of the respondent institutions, 64 percent (108 institutions) currently train graduate students in systematic biology (Appendix Table A-1). Institutions not involved in training skipped the remainder of the questionnaire once this fact was determined; statistics reported here are only for institutions currently training graduate students in systematic biology. Complete coverage of departments within these institutions was dependent upon the efforts of the institutional respondents. Respondents were instructed to report for the institution as a whole, and to include information from all relevant departments. Nonetheless, several surveys were returned stating that the information contained in them was incomplete or was reported for one department only. Subsequent data retrieval by Westat resulted in complete information for all but one of these survers. The remaining incomplete survey was treated as a nonresponse, and the data from it were not used in the analysis.

The report itself is organized by survey topic from the questionnaire. Appendix A contains detailed tables, Appendix B contains technical notes on the HES system and survey methodology, and Appendix C contains the questionnaire used in data collection.

Distribution of Systematic Biology Departments

In almost 90 percent of the 108 institutions that currently train graduate students in systematic biology, training occurs through the College of Arts and Sciences; in 26 percent, through the College of Agriculture, Forestry, or Natural Resources; and in 18 percent, through some other administrative unit (Appendix Table A-2).

The 108 institutions train systematic biology students in a total of 220 departments. Almost half train in one department only and another quarter train in two departments (Figure 1). Almost one-third of training departments are biology departments, and about 10 percent each are botany, zoology, entomology, and geology departments (Figure 2; Appendix Tables A-3, A-4, and A-5).

Current
Systematic
Biology
Faculty and
Those in
Training

There are currently 940 systematic biology faculty involved in systematic biology training and research at doctorate-granting schools. Of these faculty, 700 are full-time and 240 are adjunct (those who do not have full-time appointments, including those who primarily work in other facilities such as museums and agricultural experiment stations). In all, 324 systematic biology support personnel were reported (Figure 3; Appendix Table A-3).

Percents add to more than 100 because respondents could indicate more than one administrative unit.



Figure 1. Percentage of institutions that currently train graduate students in systematic biology in one, two, three, four, five, and six or more departments

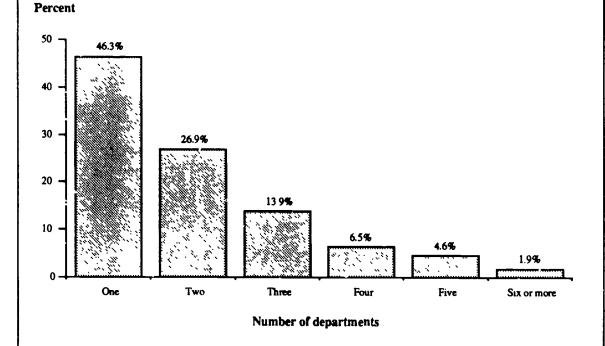


Figure 2. Percentage of systematic biology training provided in top five departments

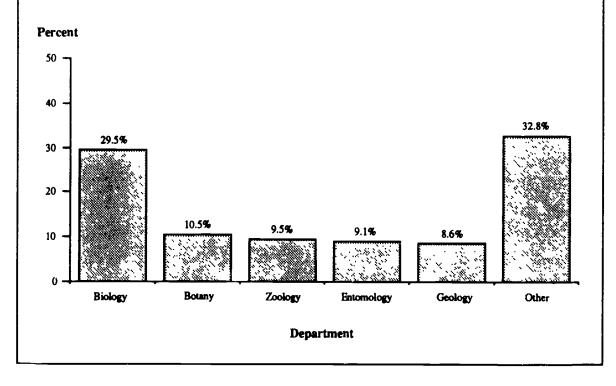




Figure 3. Total numbers of faculty, postdoctoral fellows, graduate students and support personnel in systematic biology

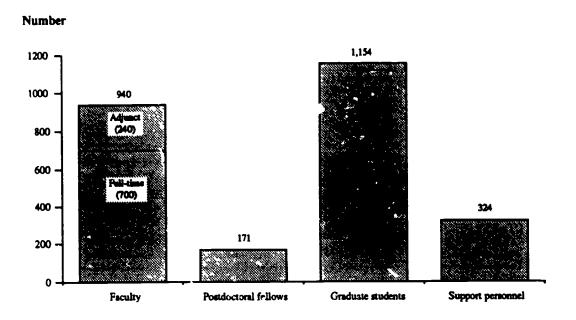


Figure 4. Percentage of systematic biology faculty in top six departments

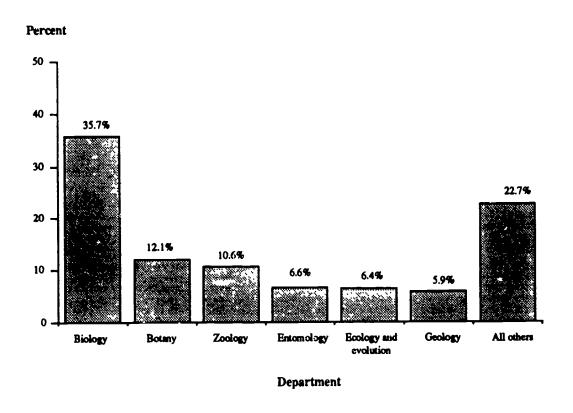




Figure 5. Percentage of systematic biology graduate students in top five departments Percent 50 **4**G 36.9% 30 26.2% 20 14.3% 10.7% 10 615 Biology Botany Geology Zoology Entomology All others Department

By department, 36 percent of the full-time systematic biology faculty are in biology, 12 percent in botany, 11 percent in zoology, and about 6 percent each are in entomology, ecology and evolution, and geology (Figure 4; Appendix Table A-7).

In the 1988-89 school year, the 108 schools were training 1,154 graduate students and 171 postdoctoral fellows. By department, 37 percent of the graduate students were in biology, 14 percent in botany, 11 percent in zoology, and about 6 percent each in geology and entomology (Figure 5; Appendix Tables A-3 and A-6).

During the 1987-88 school year, 151 Ph.D. degrees and 152 Master's degrees in systematic biology were awarded by these institutions (Appendix Table A-8).

Minority Group Membership, Citizenship, and Developing Country Status The survey requested subtotals by n mority group membership⁶ (U.S. citizens, and permanent residents only), and developing country status. Currently, only 1 percent of systematic biology full-time faculty, 2 percent of postdoctoral fellows, and 5 percent of graduate students are minority group members. Further, only 5 percent of the Master's degrees awarded and 3 percent of the Ph.D. degrees awarded in 1987-88 went to minorities (Appendix Table A-8).

Developing countries are as follows: Countries in Latin America and the Caribbean, the Per East (excluding Japan), South Asia (including India, Afghanistan, Bangladesh, Pakistan and Sri Lanka), Africa (excluding South Africa), and those in the Near and Middle East (including Turkey, Iran, Saudi Arabia, Lebanon, Jordan and Syria).



While it was the intent of the surveys to include only students majoring in systematic biology, some respondents may have also included nonmajors taking systematic biology courses.

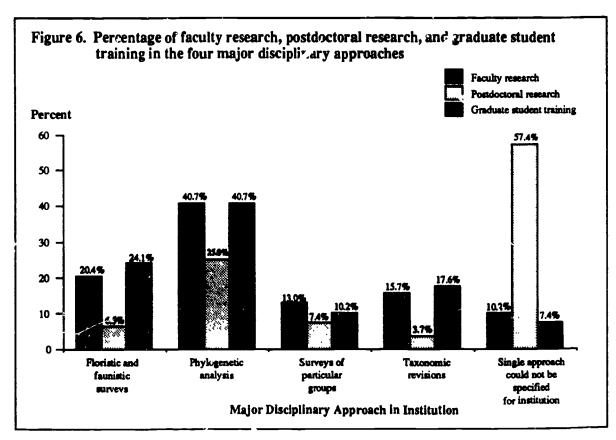
⁶Minority racial/ethnic groups are as follows: American Indian/Alaska native, Asian or Pacific Islander, black, or Hispanic.

Overall, about 14 percent of systematic biology graduate students and 17 percent of postdoctoral fellows are non-U.S. citizens. Of non-U.S. citizens, 73 percent of graduate students (or 10 percent of all) and 59 percent of postdoctoral fellows (or 10 percent of all) are from developing countries. Ten percent of all Master's degrees and 7 percent of all Ph.D. degrees awarded in 1987-88 went to non-U.S. citizens, and 6 percent of Master's degrees and 5 percent of Ph.D. degrees went to students from developing countries (Table A-8).

Major
Disciplinary
Approach of
Faculty and
Those in
Training

Survey respondents were asked to characterize broadly the major disciplinary approaches used by faculty, postdoctorates, and graduate students in their systematics programs from the following choices: floristic and faunistic surveys, phylogenetic analysis, surveys of particular groups, and taxonomic revisions. They were then asked to identify the two main areas of study from which the methods or techniques these groups use to conduct their research are drawn. To collect this information, respondents were presented with a list of these 10 subdisciplines: behavior, biogeography, ecology, evolution, genetics, morphology, biochemistry, cell biology, developmental biology, and molecular biology. Responses are, therefore, the judgments of the survey respondents.

For faculty research, 41 percent of institutions reported a major disciplinary approach of phylogenetic analysis, 20 percent, floristic and faunistic surveys; 16 percent, taxonomic revisions; 13 percent, surveys of particular groups; and 10 percent, too great a variety to select a single approach.



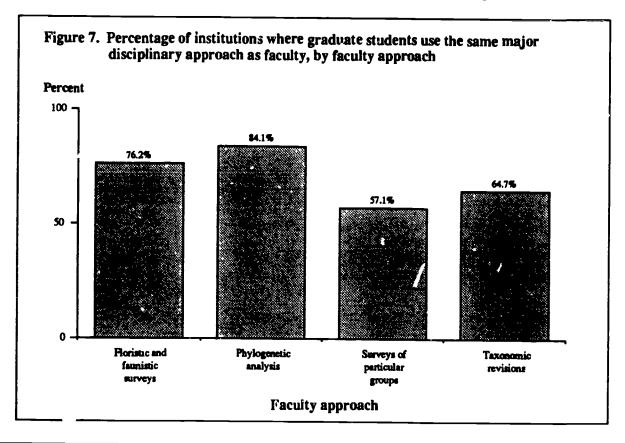


For postdoctoral research, 25 percent of institutions reported a major disciplinary approach of phylogenetic analysis; 7 percent, surveys of particular groups, 6 percent, floristic and faunistic surveys; 4 percent, taxonomic revisions; and 57 percent, too great a variety to select a single approach.

For graduate student training, 41 percent of institutions reported a major disciplinary approach of phylogenetic analysis; 24 percent, floristic and faunistic surveys; 18 percent, taxonomic revisions; 10 percent surveys of particular groups; and 7 percent, too great a variety to select a single approach (Figure 6; Appendix Tables A-9, A-10, and A-11).

Among institutions where the major disciplinary approach of faculty research is floristic and faunistic surveys, 76 percent also have a graduate student emphasis in this approach; where the faculty approach is phylogenetic analysis, the student approach is the same 84 percent of the time; where the faculty approach is surveys of particular groups, the student approach matches 57 percent of the time; and where the faculty approach is taxonomic revisions, the student approach is the same 65 percent of the time (Figure 7; Appendix Table A-12).

Faculty, graduate students, and postdoctoral fellows whose major disciplinary approach is fluvistic and faunistic surveys each use the methods or techniques from ecology and morphology more often than those from other fields (Figure 8). Faculty and graduate students



⁸Respondents were asked to indicate two fields.



Figure 8. Top two fields from which the methods or techniques used for floristic and faunistic surveys are drawn

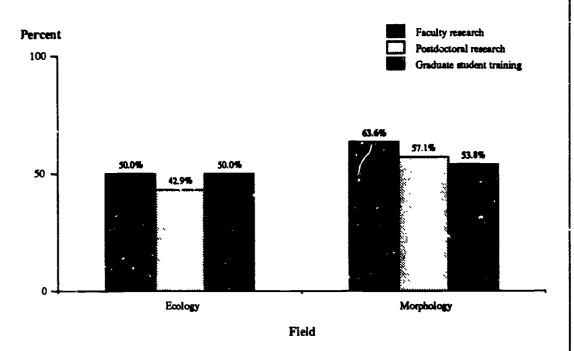
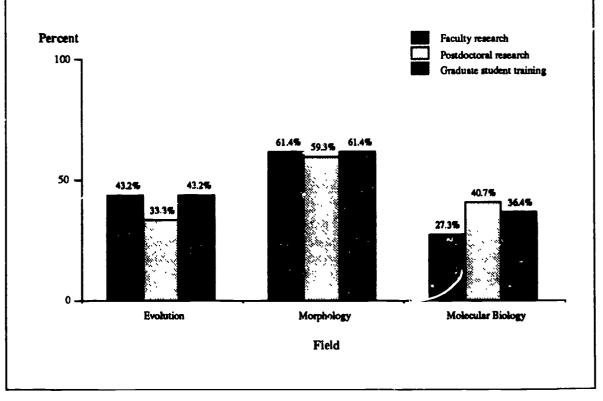


Figure 9. Top three fields from which the methods or techniques used for phylogenetic analysis are drawn





whose major disciplinary approach is phylogenetic analysis use the methods from evolution and morphology most often, although post-doctoral fellows use those from morphology and molecular biology (Figure 9). Faculty whose major disciplinary approach is surveys of particular groups use the methods from evolution and morphology more often than those from other fields, whereas graduate students use those from ecology and morphology most often, and postdoctoral fellows use those from ecology and evolution (Figure 10). Faculty and postdoctoral fellows whose major disciplinary approach is taxonomic revisions each use methods from biogeography and morphology more often, but graduate students use those from ecology and morphology (Figure 11; Appendix Tables A-9, A-10, and A-11).

Faculty Vacancies in Systematic Biology

A series of questions explored faculty vacancies in biology programs and probable areas for biology program expansion. Institutions with graduate systematic biology programs were asked for the number of full-time faculty vacancies (unfilled, but budgeted positions) in all biology programs, and then specifically the number in systematic biology. They were also asked from which of six fields their institution would be likely to hire if given an additional full-time faculty position, and to give a reason for their choice.

Ninety-two institutions (85 percent) reported from 1 to 15 biology program vacancies, for a total of 314 vacancies. Of the schools with biology vacancies, 40 had from 1 to 4 vacancies in the systematics area, for a total of 55 (or 18 percent of all biology vacancies). These 40 institutions represent 43 percent of institutions with biology program vacancies, and 37 percent of all training institutions.

If biology program expansion were likely, most institutions (42 percent) would hire in the molecular area. Twenty institutions (18 percent) would hire in the systematics area. Of the institutions that would expand their systematics programs, 74 percent (14 institutions) currently have no systematics vacancies. Also, half of these (10 institutions) would devote a new position to systematics because of positions lost to faculty retirements or departures. In contrast, institutions that would choose to expand their molecular programs would do so mostly because of changes in department emphasis (40 percent), or greater opportunities for funding in this area (30 percent) (Figures 12 and 13; Appendix Table A-13).

Need for Additional Systematic Biology Positions

In order to discover which major disciplinary approaches systematists perceive are most in need of new positions, the survey asked respondents to select, for each of five positions, the two major disciplinary approaches they saw as most in need. The positions discussed were: postdoctoral trainee or associate, tenure-track faculty, doctoral-level industrial, doctoral-level Federal or state government, and nondoctoral research associate. Respondents could choose two major disciplinary approaches from among floristic and faunistic surveys, phylogenetic analysis, surveys of particular groups, and taxonomic revisions, for each position listed.

Por example, positions in agribusiness or biotechnology.



Figure 10. Top three fields from which the methods or techniques used for surveys of particular groups are drawn

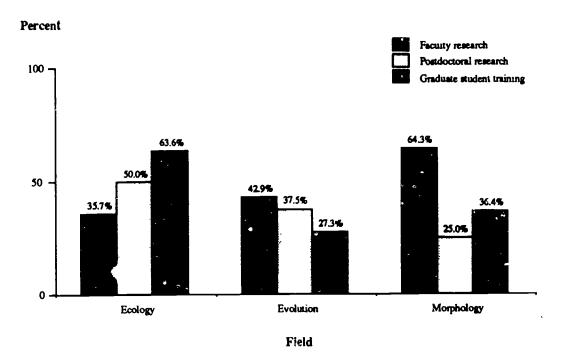


Figure 11. Top three fields from which the methods or techniques used for taxonomic revisions are drawn

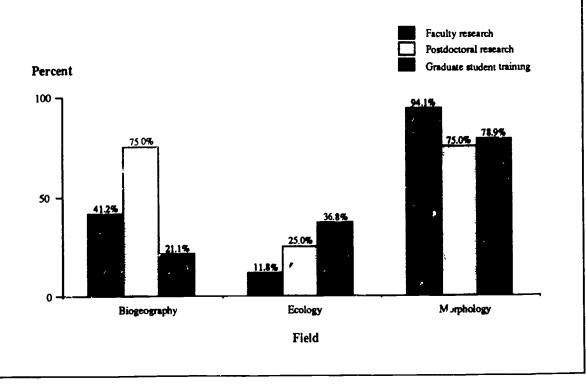




Figure 12. Probable areas of biology program expansion

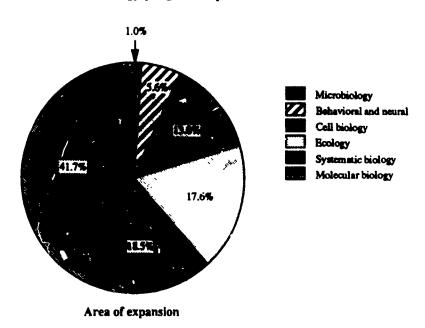
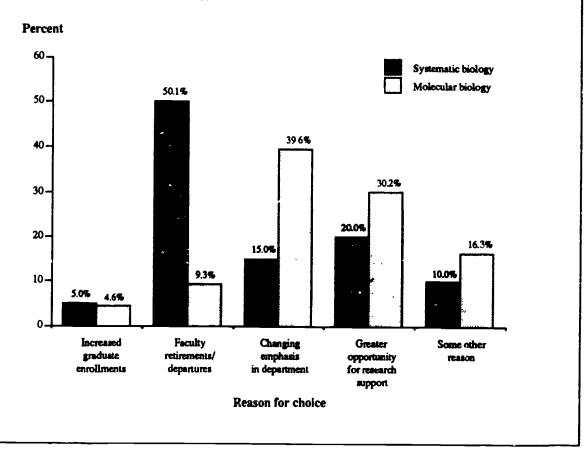
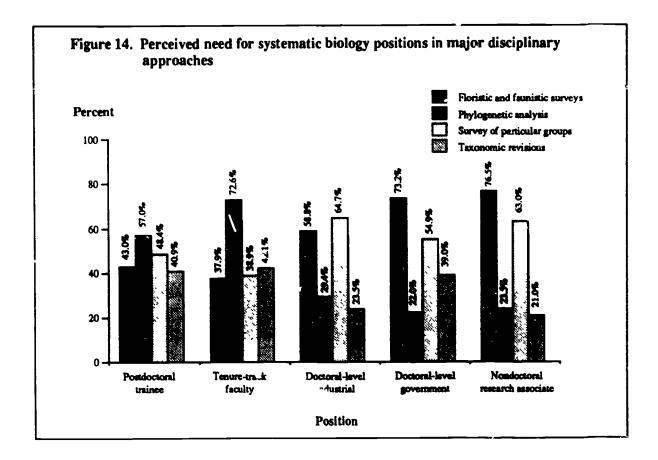


Figure 13. Reason for expansion among those who would expand systematic biology or molecular biology





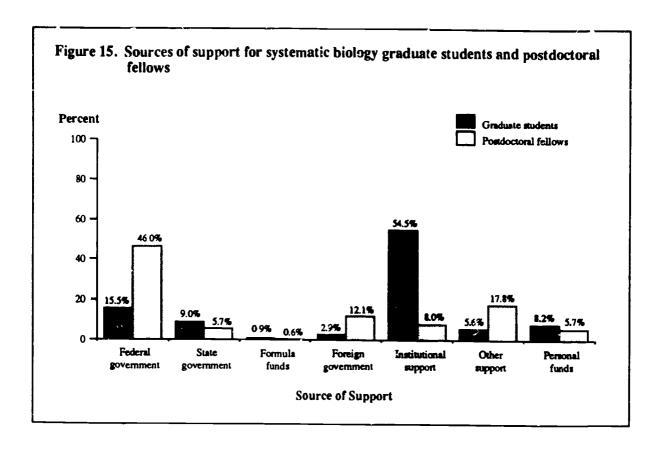


Fifty-seven percent of institutions cited phylogenetic analysis as the major disciplinary approach for which additional postdoctoral positions should be created, and 73 percent of institutions cited this major disciplinary approach as the one for which additional tenure-track faculty positions should be created.

Sixty-five percent of institutions cited surveys of particular groups as the major disciplinary approach for which additional doctoral-level industrial positions should be created, and 59 percent cited floristic and faunistic surveys. Seventy-three percent of institutions cited floristic and faunistic surveys as the major disciplinary approach for which additional doctoral-level governmental positions should be created, and 76 percent of institutions cited this major disciplinary approach as the one for which additional research associate positions should be created (Figure 14; Appendix Table A-14).

Sources of Support for Systematic Biologists in Training Respondents were asked to classify their systematic biology graduate students and postdoctoral fellows by their major source of support. About half (54 percent) of graduate students are supported mainly through institutional support, about 15 percent Federal support (12 percent Federal research grants and 3 percent Federal fellowships). Other sources include 9 percent supported mainly through state fellowships and grants, and 8 percent supported by personal funds (Figure 15; Appendix Table A-15).





Sources of support for postdoctoral fellows include 46 percent mainly through Federal support (37 percent Federal research grants and 9 percent Federal fellowships), 12 percent supported mainly by foreign governments, and 8 percent institutional support (Appendix Table A-16).

Research
Support for
Systematic
Biology and
Sources of
Support

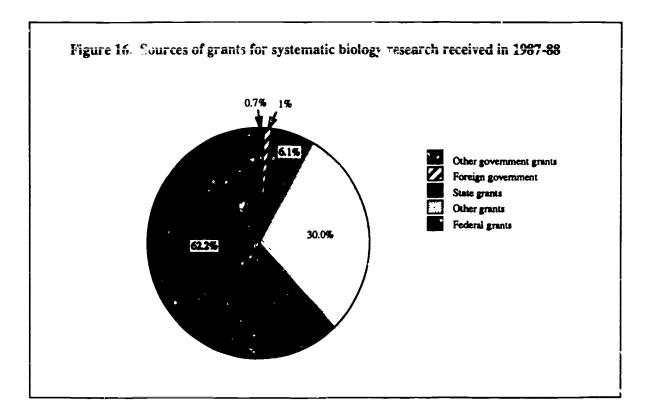
Of the \$35.5 million in research grants for systematic biology received in 1987-88, 62 percent comes from the Federal government, 6 percent comes from State governments, about 1 percent from foreign governments, and 30 percent from non-government grants (Figure 16; Appendix Table A-18).

Major
Differences
Between Types
of Institutions

There are differences in institutions' propensity to train systematic biology graduate students.

- About 80 percent of public institutions train, versus only 37 percent of private institutions
- Over 90 percent of the top 50 schools in agriculture R&D train, versus only 56 percent of those not in the top 50





- About 69 percent of the top 50 schools in biology R&D train, versus 63 percent of those not in the top 50¹⁰
- Over 80 percent of land grant schools train systematic biologists, versus 40 percent of non-land grant schools (Appendix Table A-1).

The institutions in our population that are in the top 50 for agriculture R&D, those that are in the top 50 schools for biology R&D, and the land grant schools share the following characteristics:

- They tend to train systematic biologists in a greater variety of departments than the other types of schools (Appendix Table A-4)
- They have greater than expected numbers of graduate students, postdoctoral fellows, faculty, and support personnel (Appendix Table A-3)
- They awarded a greater than expected number of Ph.D. degrees (Appendix Table A-8).

Land grant designation is from the National Association of State Universities and Land-Grant Colleges, Fact Book, Washington, D.C., 1988.



¹⁰ Rankings are from NSF publication 89-311, Academic Science/Engineering: R&D Funds, Fiscal Year 1987, Tables, which reports R&D expenditures for agriculture and biology.

APPENDIX A

Detailed Tables



Table A-1. In itutions by systematic biology training status and selected institutional characteristics:
1988-89 academic year

Selected institutional characteristic	trai	utions ning : students	not tr	utions aining students	Total institutions		
	Number	Percent	Number	Per t	Number	Percent	
Fotal institutions	108	64 3	60	35 7	168	100	
Public institutions	86	796	22	20 4	108	100	
Private institutions	22	36.7	38	63.3	60	100	
Γορ 50 agriculture R&D*	37	90 2	4	9.8	41	100	
Not top 50 agriculture R&D	71	55 9	56	44.1	127	100	
Γυρ 5 0 bio logy R&D*	31	68.9	14	31.1	45	100	
Not top 50 biology R&D	77	62 6	46	37.4	123	100	
and grant institutions	79	81 4	18	18.6	97	100	
Non-land grant institutions	29	408	42	5 9.2	71	100	

^{*}Rankings are from Academic Science/Engineering: R&D Funds, Fiscal Year 1987, Tables, NSF publication 89-311.



Table A-2. Institutions that train graduate students in stematic biology by divisions and/or colleges that are the primary focus for training and selected institutional characteristics: 1988-89 academic year¹

			Division/	college			
Selected institutional characteristic	Arts and S		Agricul Fores Natural Re	try,	Other		
	Number	Percent	Number	Percent	Number	Percent	
Total institutions	97	89.8	28	25.9	20	18 5	
Public institutions .	78	90.7	26	30 2	14	163	
Private institutions	19	86.4	2	91	6	273	
Top 50 agriculture R&D ²	36	97.3	23	62.2	4	108	
Top 50 biology R&D ²	29	93.5	10	32.3	6	194	
and grant institutions	72	91.1	26	32.9	14	177	

¹Percents across rows will not total to 100 because respondents could indicate more than one administrative unit.



²Rankings are from <u>Academic Science/Engineering</u>: <u>R&D Funds</u>. <u>Fiscal Year 1987</u>, Tables, NSF publication 89-311.

Table A-3. Systematic biology departments, graduate students, postdoctoral fellows, total, full-time and adjunct faculty, and support personnel by selected institutional characteristics: 1988-89 academic year

	Selected institutional characteristic													
Category	All training institutions (N = 108)		Public institutions (N = 86)		Private institutions (N = 22)		Institutions in top 50 R&D: agriculture* (N=37)		Institutions in top 50 R&D biology* (N=31)		Land grant institutions (N = 79)			
	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent		
Departments	220	100	183	83.2	37	16.8	105	47 7	75	34.1	178	80 9		
Graduate students	1.154	100	947	82 1	20%	179	445	38 6	397	34 4	884	76.6		
Postdoctoral fellows	171	109	132	77 2	39	22 8	62	36.3	71	41.5	135	78 9		
Total faculty	940	100	768	81 7	172	18 3	345	36 7	318	33 8	<i>7</i> 31	77.8		
Full-time	700	100	580	82 9	120	17 1	275	39.3	242	34 6	553	79.0		
Adjunct	240	100	188	78 3	52	21.7	70	29.2	76	31.7	178	74.2		
Support personnel	. 324	100	251	77.5	73	22.5	149	46.0	124	38 3	259	7 9.9		

^{*}Rankings are from Academic Science/Engineering: R&D Funds, Fiscal Year 1987, Tables, NSF publication 89-311.



Table A-4. Institutions that train graduate students in systematic biology by number of departments that train and select institutional characteristics: 1988-89 academic year

	Selected institutional characteristic													
Number of departments	All training institutions (N = 108)		Public institutions (N = 86)		Private institutions (N = 22)		Institutions in top 50 R&D: agriculture* (N=37)		Institutions in top 50 R&D biology* (N=31)		Land grant institutions (N=79)			
	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent		
Total institutions	108	100.0	85	100.0	22	100.0	36	100.0	30	100.0	78	100.0		
One department	50	46.3	37	43.5	13	59.1	9	25.0	11	36.7	29	37.2		
Two departments	29	26.9	24	28 2	5	22.7	9	25.0	5	15.7	24	30.8		
Three departments	15	13.9	12	14.1	2	91	6	16.7	6	20.0	12	15.4		
Pour departments	7	د6	5	59	2	9.1	5	13.9	5	16.7	6	7.7		
Five departments	5	4.6	5	5.9	0	0.0	5	13.9	3	10.0	5	6.4		
Six or more departments	2	1.9	2	2.4	0	0.0	2	5.6	0	0.0	2	2.6		

Rankings are from Academic Science/Engineering: R&D Funds, Fiscal Year 1987, Tables, NSF publication 89-311.



Table A-5. Departments that currently train graduate students in systematic biology by name and selected institutional characteristics: 1938-89 academic year

					Selected	l institutio	nal characte	eristic				
Department name	A raii institu (N=	ning utions	Public nstitutions (N = 86)		Private institutions (N = 22)		Institutions in top 50 R&D: agriculture* (N=37)		Institutions in top 50 R&D: biology* (N=31)		Land grant institutions (N = 79)	
	Number	Perceni	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent
Total departments	220	100 0	183	100 0	37	100.0	105	100.0	75	100.0	178	100.0
Biology	65	29.5	48	26 2	17	45.9	14	13.3	15	20.0	39	21 9
 Botany	23	10.5	23	126	0	00	14	13.3	9	12.0	23	12.9
Zoology	21	9.5	19	10 4	2	5.4	11	10.5	6	8.0	19	10.7
Entomology	20	91	19	104	1	2.7	18	17.1	9	12.0	20	11.2
Geology	19	86	15	8.2	4	10 8	6	5.7	7	9.3	15	8.4
Anthropology	9	41	6	3 3	3	8.1	3	2.9	3	4.0	6	3.4
Forestry	8	3.6	7	38	1	27	7	6.7	5	6.7	7	3.9
Ecology & Evolution	6	27	6	33	0	0.0	2	19	3	4.0	6	34
Plant Pathology	6	27	5	2 7	1	2.7	6	5.7	2	2.7	6	3.4
Anatomy	4	18	3	16	1	2.7	1	10	2	2.7	3	17
Fisheries	3	14	3	1.6	0	0.0	3	2.9	2	2.7	3	1.7
Horticulture	3	14	2	11	1	2.7	3	2.9	3	40	3	1.7
Oceanography	3	14	3	16	0	00	1	1.C	0	00	3	1.7
Botany & Microbiology	2	9	2	11	0	0.0	1	1.0	0	00	2	1.1
Botany & Plant Pathology	2	.9	2	11	0	00	1	10	1	13	2	11
Ecology & Systematics	2	.9	1	-	1	2.7	1	1.0	1	1.3	2	1.1
Microbio gy	2	9	2	11	0	0.0	2	19	1	13	2	1.1
Plant, Soil & Insect Science	2	.9	2	1.1	0	0.0	2	1.9	1	1.3	2	1.1
Other (N<2)	20	9.1	15	8 2	5	13.5	9	8.6	5	6.7	15	8.4

^{*}Rankings are from Academic Science/Engineering: R&D Funds, Fiscal Year 1987, Tables, NSF publication 89-311.



Table A-6. Systematic biology graduate students by departmental affiliation and selected institutional characteristics: 1988-89 academic year

					Selected	institutio	nal charact	eristic				
Department name	All training institutions (N = 108)		Public institutions (N=86)		Private institutions (N = 22)		Institutions in top 50 R&D: agriculture* (N=37)		Institutions in top 50 R&D: biology* (N=31)		Land grant institutions (N = 79)	
	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent
Total graduate students	1,154	1 00 .0	947	100 0	207	100.0	445	100.0	397	100.0	884	100.0
Dialam	426	24.0	•••									100.0
Biology Botany	426	369	312	329	114	55.1	57	12.8	121	30.5	258	29.2
Zoology	165 124	14.3 10 7	165	174	0	0.0	94	21.1	62	15.6	145	16.4
Geology	70		108	11 4	16	7.7	73	16.4	41	10.3	108	12 2
Entomology	67	6 1 5.8	56 60	59	14	6.8	14	3.1	33	8.3	56	6.3
Ecology & Evolution	45	3.8	45	63 48	7 0	0.0	56 19	12.6	3G 21	9.6 5.3	67 4 5	7.6 5.1
Fisheries	40	3.5	40	4.2	0	0.0	40	9.0	12	3.0	39	4.4
Ecology & Systematics	38	33	37	3.9	1	.5	1	.2	1	.3	38	4.4
Anthropology	29	2.5	12	1.3	17	8 2	3	.7	17	4.3	12	1.4
Plant Pathology	18	16	17	1.8	1	.5	18	40	3	.8	18	2.0
Porestry	14	12	12	1.3	2	1.0	12	2.7	9	2.3	12	1.4
Anatomy	13	11	10	11	3	1.4	5	1.1	9	2.3	10	1.1
Horticulture	11	1.0	4	.4	7	3.4	11	2.5	11	2.8	7	.8
Population Biology	10	.9	0	0.0	10	4.8	0	0.0	0	0.0	0	0.0
Natural History	9	8	9	1.0	0	0.0	9	2.0	e	0.0	9	1.0
Botany & Range Science	8	.7	0	0.0	8	3.9	0	0.0	0	0.0	0	00
Genetics	8	.7	8	.8	0	0.0	8	1.8	8	2.0	8	.9
Botany & Microbiology	7	6	7	.7	0	0.0	1	.2	0	0.0	7	.8
Botany & Plant Pathology	6	5	6	.6	0	0.0	1	.2	1	.3	6	.7
Oceanography	6	.5	6	.6	0	0.0	1	.2	0	0.0	6	.7
Other (N < 6)	40	3.5	33	3.5	7	3.4	22	4.9	10	2.5	33	3.7

^{*}Rankings are from Academic Science/Engineering: R&D Funds. Fiscal Year 1987, Tables, NSF publication 89-311.



Full-time faculty engaged in systematic biology training and research by departmental affiliation and Table A-7. selected institutional characteristics: 1988-89 academic year

		Selected institutional characteristic														
Department name	All training institutions (N = 108)		Public institutions (N = 86)		Private institutions (N = 22)		Institutions in top 50 R&D: agriculture* (N = 37)		Institutions in top 50 R&D: biology* (N=31)		Lend grant institutions (N = 79)					
	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent				
Total full-time	700	100.0	580	100.0	120	100.0	275	100.0	242	100.0	533	100.0				
Biology	250	35 7	190	32.8	60	50.0	38	13.8	72	29.8	162	29.3				
Botany	85	12.1	85	14.7	0	0.0	50	18.2	28	11.6	75	13.6				
Zoology	74	106	61	10.5	13	10.8	36	13.1	16	6.6	62	11.2				
Entomology ·	46	66	42	7.2	4	3.3	39	14.2	25	10.3	46	8.3				
Ecology & Evolution	45	6.4	45	78	0	0.0	11	4 0	23	9.5	45	8.1				
Geology	41	59	34	59	7	5.8	9	3.3	19	7.9	34	6.1				
Plant Pathology	18	26	17	2.9	1	.8	18	6.5	2	.8	18	3.3				
Anthropology	13	1.9	6	1.0	7	5.8	3	1.1	7	2.9	6	1.1				
Fisheries	12	17	12	2.1	0	0.0	12	4.4	5	2.1	12	2.2				
Ecology & Systematics	11	16	10	17	1	.8	1	.4	1	.4	11 8	2.0 1.4				
Forestry	11	1.6	8	1.4	3	2.5	8	2.9	8	3.3	10	1.8				
Horticulture	10	1.4	4	7	6	5.0	10	3.6	10	4.1	8	1.4				
Natural History	8	11	8	1.4	0	0.0	8	2.9	0	00	3	.5				
Anatomy	7	10	5	9	2	1.7	2	.7	4	1.7	3	.,				
Population									0	70	0	0.0				
Biology	7	1.0	0	00	7	5.8	0	00	6	2.5	6	11				
Genetics	6	9	6	10	0	0.0	6	2.2	0	0.0	6	11				
Oceanography	6	.9	6	1.0	0	0.0	1	.4	U	0.0	J					
Botany & Microbiology	. 5	.7	5	.9	0	0.0	1	.4	0	0.0	5	.9				
Botany & Plant Pathology	. 5	.7	5	.9	0	0.0	1	.4	1	.4	5	.9				
Botany & Range Science	. 5	.7	0	0.0	5	4.2	0	0.0	0	0.0	0	0.0				
Ecology & Behavior	. 5	.7	5	.9	0	0.0	5	1.8	5	2.1	5	.9 4.1				
Other (N < 5)	. 30	4.3	26	4.5	4	3.3	16	5.8	10	4.1	26	4.				

^{*}Rankings are from Academic Science/Engineering: R&D Funds, Fiscal Year 1987, Tables, NSF publication 89-311.



Table A-8. Systematic biology graduate students, degrees granted, postdoctoral fellows, and full-time faculty, by minority group membership¹, citizenship, and developing country status² and selected institutional characteristics: 1988-89 academic year

		Selecter institutional characteristic													
Category	All training institutions (N = 108)		Public institutions (N=86)		Private institutions (N=22)		Institutions in top 50 R&D: agriculture ³ (N=37)		Institutions in top 50 R&D: biology ³ (N=31)		Land grant institutions (N = 79)				
	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percen			
Graduate students		•		·			!			<u> </u>	l	L			
Total	1,154	100 0	947	100.0	207	100 ^	445	100.0	397	100.0	884	100.0			
members	56	49	42	44	14	6.8	8	1.8	19	4.8	42	48			
Non-U S. citizens	156	13 .5	120	12 7	36	17.4	58	13.0	58	14 6	111	126			
From developing countries	114	9.9	94	99	20	9.7	48	10.8	40	10.1	94	10.6			
Master's degrees: '87-	'38														
Total	152	100 0	127	100.0	25	100.0	50	100 0	55	100.0	110	100.0			
Minority group members	8	5.3	8	63	0	0.0	0	0.0	2	100.0	119	100.0			
Non-U.S. citizens	15	9.9	11	8 7	4	16.0	6	12.0	5	3 .6 9.1	8 10	6.7			
From developing countries	9	59	7	5 .5	2	8.0	5	10 0	2	3.6	7	8 4 5.9			
Ph.D. degrees: '87-'88											•	3.7			
Total Minority group	151	100 0	131	100 0	20	100 0	60	100 0	51	100.0	134	100.0			
members	5	33	5	3.8	0	0.0	2	3.3	2	2.0					
Non-U.S. citizens	11	73	8	6.1	3	15.0	5	8.3	4	3.9 7.8	5	37			
From developing					-	.5.0	,	6.5	•	/.8	8	60			
countries	8	5.3	6	46	2	10 0	3	5.0	3	59	6	4.5			
Postdoctoral fellows															
Total	171	100 0	132	100.0	39	100.0	62	100.0	71	100.0	135	100 0			
Minority group members	4	2.3	4	3.0	0	0.0	3	4.8							
. on-U.S. citizens	29	170	19	14 4	10	25.6	9	14.5	3	4.2	4	3.0			
From developing			=-	•		۵۰.0	,	14.5	15	21.1	18	133			
countries	17	99	11	83	6	15 4	5	8.1	9	12.7	11	8.1			
Full-time faculty															
Total	700	100 0	580	100 0	120	100.0	275	100.0	242	100.0	553	100 0			
Minority group members	9	1.3	7	1.2	2	1.7	5	18	3	1.2	7	13			
Non-U.S. citizens	23	3.3	<i>7</i> 1	3.6	2	1.7	21	7.6	21	8.7	21	38			
From developing countries	2	.3	0	0.0	2	1.7	0	0.0	2	.8	0	0.0			

¹Minority groups are listed in questionnaire on page C-5 of this report.

³Rankings are from <u>Academic Science/Engineering: R&D Funds, Fiscal Year 1987</u>, Tables, NSF publication 89-311.

SOURCE: Higher Education Surveys, Systematic Biology Training and Personnel (HES 10), National Science Foundation, 1990.



²Developing countries are listed in questionnaire on page C-5 of this report.

Table A-9. Major disciplinary approach within institution for graduate student training in systematic biology by fields from which methods or techniques are drawn: 1988-89 academic year*

Method/technique	Major disciplinary approach for graduate student training							
	Floristic & faunistic surveys		Phylogenetic analysis		Surveys of particular groups		Taxonomic revisions	
	Number	Percent	Number	Percent	Number	Percent	Number	Percent
'Otal	26	24.1	44	40.7	11	10.2	19	17.6
Schavior	2	7.7	2	4.5	1	9.1	1	5.3
liogeography	9	34.6	4	9.1	1	9.1	4	21.1
cology	13	50.0	7	15.9	7	63 .6	7	36.8
volution	4	15.4	19	43.2	3	27.3	5	26.3
іспенся	3	11.5	2	4.5	3	27.3	0	0.0
forphology	14	53.8	27	61.4	4	36.4	15	78.9
Siochemistr _j	1	3.8	6	13.6	1	9.1	0	0.0
Cell biology	0	0.0	0	0.0	0	0.0	1	5.3
Developmental biology	0	00	1	2.3	0	0.0	1	5.3
folecular biology	2	7.7	16	36.4	2	18.2	3	15.8
fethod/technique from other field	0	0.0	3	6.8	0	0.0	1	5.3

^{*}Fercents down columns will not total to 100 because respondents were asked to indicate two fields.



Table A-10. Major disciplinary approach within institution for postdoctoral research in systematic biology by fields from which methods or techniques are drawn: 1988-89 academic year*

	Major disciplir ary approach for postdoctoral research									
Method/technique •	Froristic & faunistic surveys		• •	genetic lysis	perti	eys of cular ups		nomic sions		
	Number	Percent	Number	Percent	Number	Penvent	Number	Percent		
Total	7	6.5	27	25 .0	8	7.4	4	3.7		
Behavior	1	14.3	2	7.4	2	25 .0	0	0.0		
liogeography	1	14.3	3	11.1	0	0.0	3	75 .0		
cology	3	42.9	4	14.8	4	50 .0	1	25.0		
volution	2	28.6	9	33.3	3	37.5	1	25 .0		
cnetics	0	0.0	3	11.1	2	25 .0	0	0.0		
iorphology	4	57.1	16	59.3	2	25 .0	3	75 .0		
iochemistry	0	0.0	4	14.8	1	12.5	0	0.0		
ell biology	0	0.0	0	0.0	1	12.5	0	0.0		
evelopmental biology	1	14.3	0	0.0	0	0.0	0	0.0		
olecular biology	1	14.3	11	40.7	1	12.5	0	0.0		
lethod/technique from other field	0	0.0	2	7.4	0	0.0	0	0.0		

^{*}Percents down columns will not total to 100 because respondents were asked to indicate two fields.



Table A-11. Major disciplinary approach within institution for faculty research in systematic biology by fields from which methods or techniques are drawn: 1988-89 academic year*

			Maj	or discipli	nary appro	ch for fac	ulty researc	h
Method/technique	Ploristic & faunistic surveys		Phylog ana	genetic lysis	perti	eys of cular ups	Taxonomic revisions	
	Number	Percent	Number	Percent	Number	Percent	Number	Percent
otal	22	20 4	44	40.7	14	13.0	17	15 7
ehavior	3	136	1	2.3	1	7.1	0	00
iogeography	8	36 4	6	13.6	1	71	7	41.2
cology	11	50 0	10	22.7	5	35 7	2	11.8
volution	4	18.2	19	43.2	6	429	3	176
enetics	1	4.5	3	6.8	2	14.3	2	118
orphology	14	63.6	2	61.4	9	64.3	16	94 1
ochemistry	1	4.5	3	6.8	1	7.1	0	0.0
ell biology	0	00	1	2.3	1	71	1	59
evelopmental biology	0	00	2	4.5	0	00	0	0.0
olecular biology	2	91	12	27.3	2	14.3	1	5.9
ethod/technique from other field	0	0.0	2	4.5	0	0.0	1	5,9

^{*}Percents down columns will not total to 100 because respondents were asked to indicate two fields.



Table A-12. Major disciplinary approach within institution for faculty research by approach for graduate student training: 1988-89 academic year

	Major disciplinary approach for faculty research												
Major disciplinary approach for graduate student training	Total		fau	Floristic & faunistic surveys		Phylogenetic analysis		veys of ticular oups	Taxonomic revisions				
	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent			
Total	96	100.0	21	21.9	44	45.8	14	14.6	17	17.7			
Floristic & faunistic surveys	25	26.0	16	76.2	1	2.3	2	14.3	6	35.3			
hylogenetic analysis	42	43.8	3	14.3	37	84.1	2	14.3	0	0.0			
urveys of particular groups	11	11.5	1	4.8	2	4.5	8	57.1	0	0.0			
axonomic revisions	18	18.8	1	4.8	4	9.1	2	14.3	11	64.7			



Table A-13. Vacancies in biology programs and in systematic biology, likely area of new hire, and reason given for hiring in specified area by selected institutional characteristics: 1988-89 academic year

			,		Selected	institutio	nai charact	eristic					
Area/reason	All training institutions (N = 108)		Public institutions (N=86)		instit	Private institutions (N = 22)		Institutions in top 50 R&D: agriculture* (N=37)		Institutions in top 50 R&D: biology* (N=31)		Land grant institutions (N = 79)	
	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent	
Fotal biology vacancies	314	1000	244	100.0	70	100.0	131	100.0	120	100.0	233	100.0	
Vacancies in systematics	55	17.5	38	156	17	24 3	22	16.8	21	17.5	39	16 7	
Likely area for new hire	: :												
Ceilular	14	13.0	7	8 1	7	31.8	4	10.8	4	12.9	6	76	
Behavioral/ Neural	6	5.8	5	5.8	1	4.5	3	8.1	2	6.5	4	5.1	
Molecular	45	41.7	42	48.8	3	13. ó	15	40.5	10	32.3	37	46.8	
Ecological	19	176	16	18.6	3	13.6	6	16.2	7	22.6	14	17.7	
Microbiology	1	10	1	1.2	0	0.0	0	0.0	0	0.0	0	0.0	
Systematic	20	18.5	14	16.3	6	27.3	7	18 .9	7	22.6	14	17.7	
Reason for area of hire	•												
Increased graduate enrollment	5	4.6	4	4.7	1	4.5	2	5.4	2	6.5	3	38	
Retirements/ departures	31	28 7	23	26 7	8	36.4	9	24.3	11	35.5	21	26.6	
Changing department cmphasis	nt 30	27.8	24	27 9	υ	27.3	10	27.0	6	19.4	21	26.6	
More research support	27	25 0	23	26.7	4	18.2	12	32.4	7	22.6	22	27.8	
Other reason	12	11.1	10	11.6	2	9.1	2	5.4	4	12.9	10	12.7	

^{*}Rankings are from Academic Science/Engineering: R&D Funds, Fiscal Year 1987, Tables, NSF publication 89-311.



Table A-14. Perceived need for systematic biology positions in disciplinary areas by type of position: 1988-89 academic year*

						Position				
Ar:a	Postdoctoral trainee/ associate (N = 108)		fa	Tenure-track faculty (N=108)		Doctoral-level industrial (N = 108)		Doctoral level Federal/State government (N = 108)		endoctoral research essociate N = 108)
	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent
Floristic & faunistic surveys	40	43.0	36	37.9	40	58.8	60	73.2	62	7 6.5
Phylogenetic analysis	53	57.0	69	72.6	20	29.4	18	22.0	19	23.5
Surveys of particular groups	45	48.4	37	38.9	44	64.7	45	54.9	51	63.0
Taxonomic revisions	38	40.9	40	42.1	16	23.5	32	39 .0	17	21.0
Other approaches	6	6.5	7	7.4	7	10.3	6	7.3	8	9.9

^{*}Percents down columns will not total to 100 because respondents were asked to indicate two positions.



Table A-15. Systematic biology graduate students by source of support and selected institutional characteristics: 1988-89 academic year

					Selected	l institutio	nal charact	enstic				
Source		ning tutions		blic utions =86)	ınstit	Private institutions (N = 22)		Institutions in top 50 R&D: agriculture* (N=37)		utions 0 R&D: ogy* :31)	Land grant institutions (N = 79)	
	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent
`otal.,	1,154	100 0	947	82 1	207	179	445	38 .6	397	34.4	884	76.6
ederal fellowship	38	33	25	26	13	6.3	17	3.8	19	4.8	25	28
ederal research	141	12 2	116	122	25	12 1	62	13 9	50	12.6	115	130
or grant	104	90	101	10 7	3	14	47	10.6	40	10.1	98	11 1
ormula funds	10	9	9	10	1	.5	8	1.8	7	1.8	10	1.1
oreign government	33	29	30	3 2	3	1 4	14	3.1	12	3.0	30	י ;
nstitutional support	629	54.5	514	54 3	115	55 6	208	46.7	178	44.8	492	55 7
Other support	65	56	62	65	3	1 4	44	99	12	3.0	68	77
ersonal funds	95	82	90	9.5	5	24	34	76	38	9.6	46	5.2
lot determined .	39	34	0	0.0	39	18.8	11	2.5	41	10.3	0	0.0

^{*}Rankings are from Academic Science/Engineering: R&D Funds. Fiscal Year 1987, Tables, NSF publication 89-311.



Table A-16. Systematic oiology postdoctoral fellows by source of support and selected institutional characteristics: 1988-89 academic year

					Selected	institutio	nal charact	eristic				
Source	A traii instii (N =	ning tutions	Pul institu (N=	Jtions	Priv institu (N =		Institutions in top 50 R&D: agriculture* (N=37)		Institutions in top 50 R&D: biology* (N=31)		Land grant institutions (N = 79)	
	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent
Гоtal	174	100.0	132	75 9	42	24.1	62	35.6	71	40.8	128	73 .6
rederal fellowship	16	92	13	9.8	3	71	3	4.8	9	12.7	10	7.8
Federal research	64	368	51	38 6	13	31.0	28	45.2	23	32.4	54	42.2
State fellowship or grant	10	5 7	1)	7.6	0	0.0	6	9.7	2	2.8	10	7.8
Formula funds	1	.6	1	.8	0	0.0	1	1.6	1	1.4	1	.8
oreign government	21	12.1	15	11 4	6	14.3	2	3.2	9	12.7	14	10.9
nstitutional support	14	80	11	8.3	3	7.1	8	12.9	8	11.3	11	8.6
Other support	31	178	22	16 7	9	21.4	4	6.5	10	14.1	19	14.8
ersonal funds	10	5.7	9	6.8	1	2.4	9	14.5	5	7.0	9	7.0
Not determined	7	4.0	0	0.0	7	16.7	1	1.6	4	5.6	0	0.0

^{*}Rankings are from Academic Science/Engineering: R&D Funds. Fiscal Year 1987, Tables, NSF publication 89-311.



Grant amounts for systematic biology research received in 1987-88 by source and selected Table A-17. institutional characteristics: 1988-89 academic year

	Selected institutional characteristic										
Source	All training institutions (N=108)	Public institutions (N = 86)	Private institutions (N = 22)	Institutions in top 50 R&D: agriculture* (N=37)	Institutions in top 50 R&D: biology* (N=31)	Land grant institutions (N = 79)					
	Amount	Amount	Amount	Amount	Amount	Amount					
Total	\$35,520,647	\$19,914,288	\$15,606,354	\$7,405,373	\$7,183,871	\$19,861,242					
Federal government	22,087,194	15,606,793	6,480,401	5,885,209	6,004,532	15,717,509					
State overnment	2,165,038	1,978,405	186,633	1,006,450	612,195	1,853,789					
Foreign government	361,431	319,326	42,105	122,538	181,259	291,807					
Other government	233,811	233,811	0	39,699	35,829	227,580					
Other	10,673,168	1,775,953	8,897,215	351,477	350,056	1,770,557					

^{*}Rankings are from Academic Science/Engineering: R&D Funds. Fiscal Year 1987, Tables, NSF publication 89-311.



Table A-18. Distribution of grants for systematic biology research received in 1987-88 by source and selected institutional characteristics: 1988-89 academic year (amounts in thousands of dollars)

	Selected institutional characteristic												
Source	All training institutions (N = 108)		Public institutions (N=86)		Private institutions (N=22)		Institutions in top 50 R&D: agriculture* (N=37)		Institutions in top 50 R&D: biology* (N=31)		Land grant institutions (N=79)		
	Amount	Percent	Amount	Percent	Amount	Percent	Amount	Percent	Amount	Percent	Amount	Percen	
Total	\$35,521	100.0	\$19,914	56.1	\$15,606	43.9	\$7,405	20.8	\$7,184	20.2	\$19,861	55 .9	
Pederal government	22,087	62.2	15,607	78.4	6,480	41.5	5,885	79.5	6,004	83.6	15,718	79.1	
State government	2,165	6.1	1,978	9.9	187	1.2	1,006	13.6	612	8.5	1,854	9.3	
Poreign government	361	1.0	319	1.6	42	.3	122	1.7	181	2.5	292	1.5	
Other government	234	.7	234	1.2	0	0.0	40	.5	36	.5	228	1.1	
Other	10,673	30.0	1,776	8.9	8,897	57.0	351	4.7	350	4.9	1,770	8.9	

^{*}Rankings are from Academic Science/Engineering: R&D Funds. Fiscal Year 1987, Tables, NSF publication 89-311.



APPENDIX B .

Technical Notes



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Higher Education Surveys (HES)

The Higher Education Surveys (HES) system was established to conduct brief surveys of higher education institutions on topics of interest to Federal policymakers and the educational community. The system is sponsored by the National Science Foundation, the U.S. Department of Education, and the National Endowment for the Humanities.

HES questionnaires typically request a limited amount of readily accessible data from a subsample of institutions in the HES panel, which is a nationally representative sample of 1,093 colleges and universities in the United States. Each institution in the panel has identified a HES campus representative, who serves as survey coordinator. The campus representative facilitates data collection by identifying the appropriate respondent for each survey and distributing the questionnaire to that person.

Survey
Methodology Systematic
Biology Training
and Personnel
Survey

This mail survey on systematic biology training and personnel was conducted at the request of the National Science Foundation (NSF), Task Force on Global Biodiversity of the Committee on International Science. The information was collected to provide reliable national estimates of the human resource base in systematics to aid in assessing the need for intervention by NSF.

The respondents for this survey consisted of all of the doctorate-granting institutions in the United States, as defined by the U.S. Department of Education's National Center for Education Statistics. The questionnaire and cover letter were mailed to institutions on December 16, 1988. Telephone followup of non-respondents was conducted from mid-January to mid-March, 1989. Data were collected by telephone from 44 respondents. Data were adjusted for nonresponse. An overall response rate of 96 percent was obtained from the 168 eligible institutions. The response rate among public and private institutions was similar (96 percent and 95 percent, respectively).

Description of Institutional Type

Based on the U.S. Department of Education's Higher Education General Information Survey (HEGIS) classification, doctorate-granting institutions are characterized by a significant level of activity in and commitment to doctoral-level education as measured by the number of doctorate recipients and the diversity in doctoral-level program offerings. Included in this category are institutions that are not considered specialized schools and that grant a minimum of 30 doctoral-level degrees per year. These degrees must be in three or more doctoral-level program areas or have an inter-disciplinary program at the doctorate level. Included in the counts of doctorate degrees are the first-professional medical degrees.

¹² To account for the 4 percent of schools that did not respond to the survey, the 57 private school responses were each multiplied by 1.05 to represent the 60 schools in that population, and the 104 public school responses were each multiplied by 1.04 to represent the 108 schools in that population.

B-3



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Figure B-1. Institutions that Responded to the HES #10 Survey

Adelphi Univ.
American Univ.
Arizona St. Univ.
Auburn Univ. - Main
Ball St. Univ.
Boston College
Boston Univ.

Bowling Green St. Univ. - Main

Brandeis Univ.

Brigham Young Univ. - Main

Brown Univ.
Bryn Mawr College
Calif. Inst. of Tech.
Carnegie-Mellon Univ.
Case Western Reserve Univ.
Catholic Univ. of America

Clark Univ. Clemeon Univ. Colorado St. Univ.

Columbia Univ. - Main Division

Corneil Univ.

CUNY - Grad. School & Univ. Ctr.

Dartmouth College Drew Univ. Duke Univ. Emory Univ. Florida St. Univ. Pordham Univ.

George Washington Univ.

Georgetown Univ. Georgia St. Univ. Howard Univ. Illinois Inst. of Tech. Illinois St. Univ.

Indiana Univ. - Bloomington Iowa St. Univ. of Sci. & Tech.

Johns Hopkins Univ.

Kansas St. Univ. of Agn. & App. Sci.

Kent St. Univ. - Main

Lehigh Univ.

Louisiana St. Univ. & A&M College

Loyola Univ. of Chicago Marquette Univ.

Massachusetts Inst. of Tech.

Memphis St. Univ.

Miami Unir. - Oxford Camp.

Michigan St. Univ. Mississippi St. Univ. New Mexico St. Univ. - Main I lew School for Social Research

New York Univ.

North Carolina St. Univ. - Raleigh

Northern Illinois Univ. Northwestern Univ. Ohio St. Univ - Main Ohio Univ. - Main Oklahoma St. Univ. - Main

Oregon St. Univ.

Pennsylvania St. Univ.
Princeton Univ.
Purdue Univ. - Main

Rand Grad. Inst. for Policy Studies

Rensselner Poly. Inst.

Rice Univ.
Rockefeller Univ.
Rutsers Univ. N

Rutgers Univ. - New Brunswick Saint Joha's Univ. - New York

Saint Louis Univ. - Main

Southern Illinois Univ. - Carbondale

Southern Methodist Univ.

SUNY - Albany SUNY - Binghamton SUNY - Buffalo

SUNY - Stony Brook - Main

Syracuse Univ. - Main

Temple Univ.

Texas A&M Univ. - Main

Texas Tech Univ.
Texas Woman's Univ.

Tufts Univ.

Tulane Univ. of Louisiana
United States International Univ.

Univ. of Akron - Main Univ. of Alabama

Univ. of Alabama - Birmingham

Univ. of Arizona
Univ. of Arkansas - Main
Univ. of Calif. - Berkeley
Univ. of Calif. - Davis
Univ. of Calif. - Irvine
Univ. of Calif. - Los Angeles
Univ. of Calif. - Riverside
Univ. of Calif. - San Diego
Univ. of Calif. - Santa Eurbara
Univ. of Calif. - Santa Eurbara
Univ. of Calif. - Santa Cruz

Univ. of Chicago
Univ. of Cincinnati - Main
Univ. of Colorado at Boulder
Univ. of Connecticut

Univ. of Connecticut
Univ. of Delaware
Univ. of Deaver
Univ. of Detroit
Univ. of Georgia
Univ. of Hawaii at Manoa

Univ. of Houston - Univ. Park

Univ. of Idaho

Univ. of Illinois at Chicago Univ. of Illinois - Urbana Camp. Univ. of Iowa
Univ. of Kansas - Main

Univ. of Louisville

Univ. of Mass. - Amherst Camp.

Univ. of Minmi

Univ. of Minnesota - Minn./St. Paul

Univ. of Mississippi - Main
Univ. of Missouri - Columbia
Univ. of Missouri - Kassas City
Univ. of Nebraska - Lincoln
Univ. of Nevada - Reno
Univ. of New Hampahire

Univ. of North Caroline - Chapel Hill Univ. of North Caroline - Greensboro

Univ. of North Dekota - Main Univ. of North Taxes Univ. of Northern Colorado Univ. of Northern Dame

Univ. of New Mexico - Main

Univ. of Okinhome - Norman Camp.

Univ. of Oregon
Univ. of Pennsylvania
Univ. of Pittsburgh
Univ. of Rhode Island
Univ. of Rochester

Univ. of South Carolina - Columbia
Univ. of South Dakrota - Main
Univ. of South Florida
Univ. of Southern Calif.
Univ. of Southern Mississippi
Univ. of Tennessee Knowille
Univ. of Texas - Austin
Univ. of Texas - Daties

Univ. of the Pacific Univ. of Toledo Univ. of Utah

Univ. of Vermont & St. Agri. College

Univ. of Virginia - Main Univ. of Washington

Univ. of Wisconsin - Milwaukee

Univ. of Wyoming Utah St. Univ. Vanderbilt Univ.

Virginia Commonwealth Univ. Virginia Poly. Inst. & St. Univ.

Washington St. Univ. Washington Univ. Wayne St. Univ. West Virginia Univ. Western Michigan Univ.

Yale Univ. Yeshiva Univ.



APPENDIX C

Survey Questionnaire



OMB # 3145-0009 Exp. 1/31/90



SURVEY #10 SYSTEMATIC BIOLOGY TRAINING AND PERSONNEL

December 1988

Dear Colleague,

I am writing on behalf of the National Science Foundation to request your participation in our Higher Education Survey (HES) on Systematic Biology Training and Personnel.

In October of 1987 the National Science Board of NSF established a Task Force on Global Biodiversity. One job of the Task Force is to evaluate the scientific resources, including the human resource base, currently available to understand and manage global biodiversity. We in the Task Force sense that the human resource base supporting Systematic Biology is not large enough to successfully undertake the important task before it, but realize that in order to provide focused recommendations for the proper agencies to confront and deal with this problem anecdotal evidence is not enough; better data are needed. This survey will provide these data.

As you read the questionnaire, you will see why it is essential to have someone familiar with Systematic Biology coordinate the data collection. We are sending the survey to all 170 doctoral-granting institutions in the United States, and thus it is not possible to contact each of you individually, but your name was suggested by the staff of NSF's Systematic Biology Program as being well qualified for this task. Please be aware, though, that it will be necessary for you to work with Systematic Biologists in other departments to produce complete and accurate institution-wide data.

The survey is being conducted for us by HES, which is jointly sponsored by NSF, the Department of Education, and the National Endowment for the Humanities. If you have any questions about this survey, contact the HES coordinator at your institution, or call Carin Celebuski of Westat at 1-800-937-8281 x3986.

Thank you for your assistance. We believe the goal will be worth our combined efforts.

Sincerely,

w, rrankin Harris Executive Secretary

Task Force on Global Biodiversity



Systematic Biology is the discipline that treats biological diversity at the organismal and population levels with special reference to the classification, evolution and distribution of particular groups of organisms. Excluded are comparative studies in anatomy, behavior, biochemistry, and the like unless they are directed principally toward classification and phylogeny.

1.	Please gradua	indicate the major adn te students in Systematic	ninistrative units at you c Biology. (CHECKALL THA	r institution that an	e the primary foci	us for training
		Division/College of Ar	ts and Sciences/Scienc	46		
		Division/College of Ag	iculture/Forestry/Natu	ral Resources		
		Other division (specify	n			
		Not currently training	graduate students in Sy	stemac Biology (sk	P TO QUESTION 9)	
2.		list the department(s) tr on, and, for each departs			matic Biology in 19	988-89 at your
	I. II. III. IV.	Systematic Biology grad Full-time faculty engage Adjunct faculty engaged Support personnel for S	d in Systematic Biology in Systematic Biology			
	Count	each person only once in	each column (i.e., with	his/her major depar	rtment affiliation).	
Fa	oulty who do	o not have full-time appointme	ADJUNCT FAC ints, including those who prin experiment stat	narily work in other facilit	les such as museums s	and agricultural
				NUMBER OF SYST	EMATIC BIOLOGY:	
				FAC	ULTY	
			L.	N.	M.	N.
		<u>DEPARTMENT</u>	GRADUATE STUDENTS	FULL-TIME	ADAUNCT	SUPPORT PERSONNEL
a.						
b.						
C.						
d.						
●.						



f.

- 3. In column I below, please report the total numbers of:
 - Systematic Biology graduate students. a.
 - Master's degree recipients in Systemau: Biology in 1987-88. b.
 - C. Ph.D. degree recipients in Systematic Biology in 1987-88,
 - Poetdoctoral fellows/associates in Systematic Biology, and
 - Full-time Systematic Biology faculty, €.

In column II, report the numbers (of those in column I) who are members of the minority recial/ethnic groups given below. (Do not include non-U.S. Citizens on temporary vises.)

In column III, report the numbers (of those in column I) who are non-U.S. citizens on temporary visas. In column IV, report the numbers (of those in column III) who are from developing countries.

MINIORITY RACIAL/ETHNIC GROUPS

American Indian/Alaskan Native, Asian or Pacific Islander, Black, or Hispanic

DEVELOPING COUNTRIES BY REGION

Countries in Latin America and the Caribbean

Countries in the Far East, excluding Japan

Countries in South Asia, including India, Alghanistan, Bangladesh, Pakistan, and Sri Lanka Countries in Africa, excluding South Africa.

Countries in the Near and Middle East, including Turkey, Iran, Saudi Arabia, Lebanon, Jordan and Syria

NUMBER IN SYSTEMATIC BIOLOGY

					B. CITIZENS ORARY VIBAS
	CATEGORY	i. Iotal	II. MINORITY GROUP MEMBERS	III.	IV. FROM DEVELOPING COUNTRIES
8.	Graduate students				
b.	Master's degree recipients in 1987-88				
c.	Ph.D. degree recipients in 1987-88				
đ.	Postdoctoral fellows/associates				
€.	Full-time faculty				



4. Please check the one disciplinary approach within Systematic Biology (rows a through d below) that has the major emphasis at your institution in the training/research areas (columns) listed below.

Then, for the disciplinary approach checked in each column, indicate the two analytic methods/techniques (rows e through o below) that have the major emphasis.

TRAINING/RESEARCH AREA ı. III. DISCIPLINARY APPROACH GRADUATE POST-WITHIN SYSTEMATIC BIOLOGY STUDENT **DOCTORAL** FACULTY (CHECK 1 FOR EACH COLUMN) TRAINING RESEARCH RESEARCH Fioristic & Faunistic Surveys a. b. Phylogenetic Analysis Surveys of Particular Groups C. **Taxonomic Revisions** d. ANALYTIC METHOD/TECHNIQUE **USED IN DISCIPLINARY** APPROACH CHECKED ABOVE (CHECK 2 FOR EACH COLUMN) Behavior A. f. Bicgeography **Ecology** g. h. **Evolution** Genetics i. Morphology **Biochemistry** k. **Cell Biology** I. **Developmental Biology** m. Molecular Biology n. Other (specify) 0.



 For which disciplinary approaches is the need to create new positions most urgent for the health of Systematic Biology in general? Please check the two disciplinary areas that have the greatest need for each position (column) listed below.

NEED FOR SYSTEMATIC BIOLOGY POSITIONS AS:

	DISCIPLINARY APPROACH WITHIN SYSTEMATIC BIOLOGY (CHECK 2 POR EACH COLUMN)	I. POST- DOCTORAL TRAINEE/ ASSOCIATE	II. TENURE TRACK FACULTY	III. DOCTORAL LEVEL INDUSTRIAL	IV. DOCTORAL LEVEL PEDERAL/ STATE GOVERNMENT	V. NON- DOCTORAL RESEARCH ASSOCIATE
a .	Floristic & Faunistic Surveys					
b.	Phylogenetic Analysis					
C.	Surveys of Particular Groups					
d.	Taxonomic Revisions					
€.	Other (specify)					

6. Please classify each of your Systematic Biology graduate students and postdoctoral fellows/associates by their major source of support. Count each individual only once. The totals should agree with the corresponding totals in question 3.

	MAJOR SOURCE OF SUPPORT	NUMBER OF GRADUATE STUDENTS	Number of Postdoctoral Pellows/ ASSOCIATES
a.	Federal fallowship		
b.	Federal resea grant		
C.	State fellowship or grant		
d.	Formula funds		
€.	Foreign government		
f.	institutional support		
g.	Other support		
h.	Personal funds		
i.	TOTAL NUMBER (sum of a - h)		



- 7. Please report, by source, the value of grants for Systematic Biology research received in 1987-88. If exact figures are not available, please estimate. If any of the awards is multi-year, please show only that portion that supported research during 1987-88. For each source, indicate the total value of:
 - I. Research and support grants going directly to graduate students or postdoctoral fellows/associates,
 - II. Research and support grants going to the institution and to individual departments and faculty, and
 - III. All research and support grants (which should be the sum of columns I and II for each source)

GRANT SOURCE	I. GRANTS TO GRADUATE STUDENTS, POSTDOCTORAL. FELLOWS/ASSOCIATES	II. GRANTS TO INSTITUTIONS, DEPARTMENTS, FACULTY	il. TOTAL GRANTS
Federal government	\$	\$	— —
State government	\$	\$	\$ \$
Foreign government	\$	\$	\$
Other government or public funds (e.g county or other municipal)		\$	s
Other sources	\$	\$	s
ALL SOURCES (sum of a-e)	\$	\$	\$
Questions 8a through 8d concern How many full-time faculty vacant Biology programs?			
	cies (unfilled budgeted (
How many full-time faculty vacant Biology programs?	cies (unfilled budgeted person of fall 1988	positions) exist as of the	

С.	If you were given an additional full-time faculty position to be filled by a biologist, from which area of biolog would you most likely hire? (CHECK ONLY ONE)		
		Cellular	

نا	Concadi
	Behavioral and Neural
	Molecular
	Ecological
	Microbiology
	Systematic



d.	What is the major reason that you would hire from this area of biology? (CHECK ONE)				
		Increased graduate enrollments			
		Faculty retirements/departures			
		Changing emphasis in the Department			
		Greater opportunities for research support in this area			
		Other (specify)			
9.	identifie	have your permission to release this data to the National Science Foundation with the institution intact? This would allow NSF to use data from other surveys (e.g., IPEDS) to help analyze the All information published by NSF will be in aggregate form only.			
		Yes			
		No			
	Please s	sign			
Pleas	e provide Name:	your name and phone number, in case additional information or clarification are needed.			
	Phone N	Number:/			
Than	ık you for	your assistance. Please return th: form by January 10, 1989 to:			
	Westat 1650 Re	Education Surveys search Boulevard le, MD 20850			

Please keep a copy of this survey for your records.

If you have any questions or problems concerning this survey, please call Carin Celebuski at:

800/937-8281 x3986 (toll free)



END

U.S. Dept. of Education

Office of Education Research and Improvement (OERI)

ERIC

Date Filmed

March 21,1991

