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ABSTRACT Selected information is displayed in the form of charts, tables, and graphs about: (1) the State Student Incentive Grant (SSIG) program and other state-level student financial assistance programs; (2) the primary state agency or organization responsible for administering these programs; and (3) the general state characteristics relating to student financial aid. A series of profiles give information in nine general areas: (1) office relationships and program responsibilities; (2) office program management; (3) consumer-oriented efforts; (4) brief program summaries; (5) criteria for determination of need, award, size, etc.; (6) counts of eligibles, recipients, applicants, etc.; (7) program financing; (8) counts of state populations, students, and migration; and (9) state and federal financing of education. (MSE)

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Profiles of State Student Financial Aid Programs

Vol. I Alabama-Kansas

U.S. DEPARTMENT OF HEALTH,
EDUCATION & WELFARE
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National Center for Higher Education
Management Systems



Education Commission of the States

> STATE DESCRIPTIVE PROFILES
OF
STATE STUDENT-ASSISTANCE PROGRAMS

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Developed by

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TABLE OF CONTENTS

FOREWORD

INTRODUCTION

STATE PROFILES -- Alabama to Kansas

APPENDIX

FOREWORD

The State Descriptive Profiles are one publication of a series sponsored by the Office of Planning. The others are Issues in State Student Grant Programs, Summary Description of the State Student Incentive Grant Programs and Other State Student Assistance Programs. There is a data tape available, as well. Unfortunately, we were unable to provide information on the states of Mississippi and Wyoming. We did not include information on Puerto Rico and other outlying areas due to limitations on time and funds.

The project was a joint effort by the Education Commission of the States (ECS) and the National Center for Higher Education Management Systems (NCHEMS). The Project Director was John Lee of ECS and the Project Manager was Kent Weldon of NCHEMS.

A number of people assisted on the project but special mention should be made of Dr. Joseph Boyd, who has collected more information on state student aid programs than anybody and who shared his knowledge and data with unfailing enthusiasm.

INTRODUCTION

This document is one of a series of documents (one for each state and the District of Columbia) which displays selected information in the form of charts, tables, and graphs about:

- The State Student Incentive Grant (SSIG) program and other state-level student financial assistance programs;
- The primary state agency or organization responsible for administering these programs; and
- The general state characteristics relating to student financial aid -- college age population, postsecondary education enrollments, characteristics of applicants for and recipients of state student aid monies, etc.

This collection of data represents an information base which can be built upon and used to study the SSIG and other state student aid programs in the context in which they operate. The intent was to assemble data from a wide variety of existing data sources and to develop as broad a set of data as was possible and yet one which was manageable and comprehensible in size. The purpose was not to develop a data base to be used to address any one specific problem or issue in the context of state student financial aid, but to develop an information base which would be useful in beginning analyses of any of the current issues in this context.

This document presents information pertaining only to one state. For comparisons between states, the reader is urged to obtain the State Descriptive Profiles pertaining to each of the states of interest.

There is also a companion document to this series of individual state profiles which presents summary data on all fifty states and the District of Columbia.

Data Sources

The data presented in the profiles were taken from a number of sources. A complete discussion of data sources is contained in an appendix to this document.

The Profiles

The remainder of this document contains twenty-six profiles which present information in nine general areas. Each of these areas are briefly described below, including the titles of the profiles presented in each of the areas:

A. Office Relationships and Program Responsibilities

These profiles present a statewide view of the agencies/organizations involved in administering the state student financial aid programs.

1. Descriptions of Program Offices and Agencies and Corresponding Program Relationships
2. Relationships of State Agencies

B. Office Program Management

A detailed look at the agency/organization which is primarily responsible for the management of the SSIG and possibly other state programs, and the context in which this agency operates is given.

1. State Agency Organization
2. Management Relationships Between the State Agency and Other State Agencies/Institutions
3. State Program-Office Definitions for Selected Terms
4. Information Flow Between the State Program-Office and Various Federal Offices
5. Management Staffing of State Program Offices
6. State Agency Calendar of Events for Need-Based Programs for 1976-77 School Year
7. Program and Fiscal Controls of Programs Operated by State Agency

C. Consumer-Oriented Efforts

An explanation of the content of and the mechanisms through which information about programs is disseminated to students and parents.

1. Basic Need-Based Program Information Dissemination Procedures Used for State Agency Programs.

D. Brief Program Summaries

These profiles present individual descriptions of the state sponsored programs.

1. Descriptions of State-Sponsored Student Assistance Programs
2. Basic State Program Information

E. Criteria for Determination of Need, Award Size, Etc.

These profiles present more specific information about the operation of each of the programs.

1. Student Eligibility Criteria for State Programs
2. Institutional Eligibility Criteria for State Student-Assistance Programs
3. Need Determination Criteria for State Programs
4. Award Size Determination Criteria for State Programs

F. Counts of Eligibles, Recipients, Applicants, Etc.

These profiles present statistics about the applicants and recipients of program awards.

1. Number of Need-Based Grant Program Applicants
2. Number of Need-Based Grant Program Recipients and Average Award Size

G. Program Financing

Information about state support for student financial aid programs is presented.

1. Sources and Distribution of Need-Based Grant Program Funds
2. Historic State Expenditures on Student-Assistance Programs

H. Counts of State Populations, Students, Etc.

These profiles present information about the potential target population of the student aid programs.

1. State Population Profile
2. State Postsecondary Education Student Enrollments
3. Interstate Migration of Students

I. State and Federal Financing of Education

These profiles provide additional information about state support of student financial aid as well as information about federally supported programs for an overall perspective of student aid within the state.

1. State Expenditure on Postsecondary Education Student Assistance
2. Gross Costs to Students Attending School by Postsecondary Education Sectors
3. Levels of Federal and State Program Funding by Institutional Sector
4. Relative Importance of Federal and State Program Funding by Sector

DESCRIPTIONS OF PROGRAM OFFICES AND AGENCIES AND CORRESPONDING PROGRAM RESPONSIBILITIES

ALABAMA

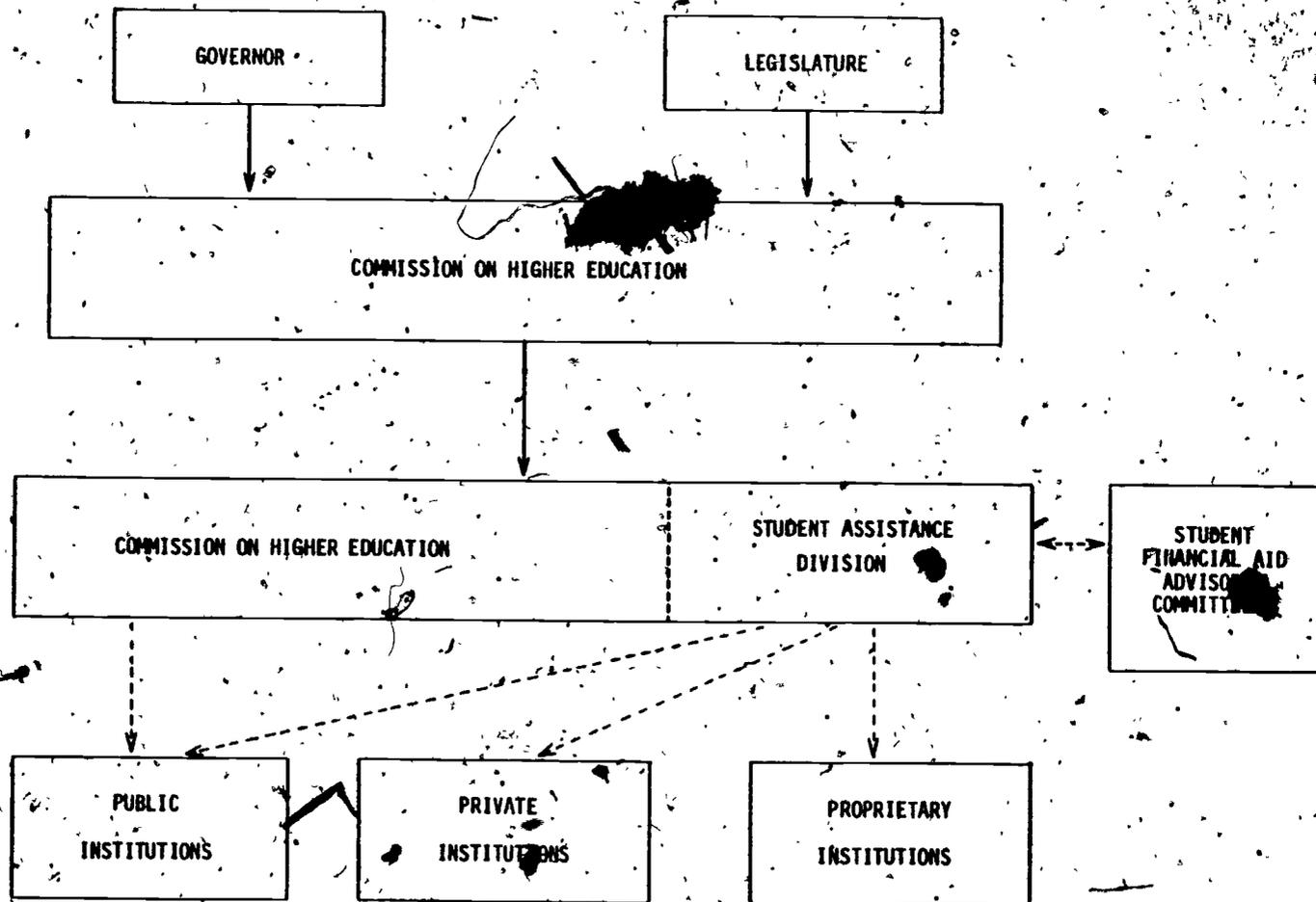
A1

The Commission on Higher Education is composed of nine members, one per congressional district and two from the state at large. Its members are appointed by the Governor for nine-year terms. The term of one member expires each year. The Commission has an Executive Director, a Coordinator of the Student Assistance Program and a Secretary. The Student Financial Aid Advisory Committee reports to the Student Assistance Division of the Commission on Higher Education.

1. Alabama Student Assistance Program
2. Academic Common Market

RELATIONSHIPS OF STATE AGENCIES

ALABAMA A2



STATE AGENCY ORGANIZATION

ALABAMA B1

COMMISSION ON HIGHER EDUCATION
COMPOSED OF NINE MEMBERS, ONE PER CONGRESSIONAL DISTRICT
AND TWO FROM THE STATE AT LARGE, APPOINTED BY THE GOVERNOR
FOR NINE-YEAR TERMS. THE TERM OF ONE MEMBER EXPIRES EACH YEAR.

EXECUTIVE DIRECTOR

COORDINATOR
ALABAMA STUDENT ASSISTANCE PROGRAM

SECRETARY

**MANAGEMENT RELATIONSHIPS BETWEEN THE STATE AGENCY
AND OTHER STATE AGENCIES/INSTITUTIONS**

ALABAMA B2

| <u>WHO ACCOMPLISHES THE FOLLOWING?</u> | <u>STATE PROGRAM OFFICE</u> | <u>INSTITUTIONAL FINANCIAL AID OFFICER</u> | <u>OTHER OFFICES OR AGENCIES</u> |
|---|-----------------------------|--|----------------------------------|
| Processing of Applications | | | |
| • Verification of Student Application Information | X | X | |
| • Identification of Eligible Recipients | X | X | |
| • Determination of Award Size | X | | |
| • Assignment of Priority to Awards | X | | |
| • Formally Approves Award | X | | |
| Makes Payment to Student (or Credits Student Account) | X | | |
| Makes Post-Award Adjustments | X | | |
| Initiates the Prosecution of Possible Fraudulent Student applications | X | X | |
| Publicizes Award Availability | X | X | |

17

18

STATE PROGRAM OFFICE DEFINITIONS FOR SELECTED TERMS

ALABAMA, B3

DEFINITIONS USED BY STATE PROGRAM OFFICES

STATE DEFINITION SPECIFIED BY

STATE DEFINITION COMMONLY USED BY ALL INSTITUTIONS

STATE DEFINITION CONSISTENT ACROSS ALL STATE PROGRAMS

PUBLIC

PRIVATE

Part-Time Student - An individual who is carrying a minimum of six semester hours or six quarter hours per academic term, or a minimum of 13 clock-hours per week and the tuition and fees customarily charged for half-time study by the institution.

Interpretation

Yes

Yes

Yes

State Resident - A person who has established residence within the state of Alabama for at least a 12-month period prior to the beginning of the term for which financial assistance is requested. If the applicant is not self-supporting, the legal residence of the applicant shall be the residence of the applicant's parents or legal guardian.

Interpretation

Yes

Yes

Yes

Independent Student - Same as defined by BEOG.

Interpretation

Yes

Yes

Yes

Satisfactory Academic Progress - A student is considered academically qualified if he/she is currently enrolled and matriculated in an eligible program at an eligible institution. (In addition, it depends on each institution's definition of "good academic standing.")

Interpretation

Yes

Yes

Yes

United-States Citizenship - Each applicant must be a citizen of the United States or in the United States for other than a temporary purpose and intend to become a permanent resident. (Not further defined.)

Interpretation

Yes

Yes

Yes

INFORMATION FLOW BETWEEN THE STATE PROGRAM OFFICE AND VARIOUS FEDERAL OFFICES

ALABAMA B4

| <u>TYPE OF INFORMATION PROVIDED BY STATE STUDENT-AID AGENCY</u> | <u>TYPE OF INFORMATION RECEIVED BY STATE STUDENT-AID AGENCY</u> | <u>PERCEPTION OF ADEQUACY OF INFORMATION FLOW</u> |
|---|---|---|
|---|---|---|

FEDERAL OFFICES

| | | | |
|--|--------------------------|-------------|------------|
| SSIG Office | Summaries Regulations | Regulations | Adequate |
| BEOG Office | None | Summaries | Marginal |
| Regional OE Student-Assistance Office (Campus-Based Programs) | | | No Contact |
| Washington OE Office (Campus-Based Programs) | | | No Contact |
| FISL/GSL Office | | | No Contact |

STATE/INSTITUTIONAL OFFICES

| | | | | |
|---|---|-----------------------------|----------------|----------------|
| Public Institutions | Summaries Recipients Applications | Regulations Terminations | Terminations | Adequate |
| Private Institutions | Summaries Recipients Applications | Regulations Terminations | Terminations | Adequate |
| Governor/Budget Office | Summaries | | Appropriations | Not Applicable |
| State Legislature (Including Committee Staffs) | Summaries | | Appropriations | Not Applicable |

MANAGEMENT STAFFING OF STATE PROGRAM OFFICES

ALABAMA B5

| <u>MANAGEMENT FUNCTION</u> | <u>PERCENTAGE OF STAFF EFFORT</u> | <u>STAFF ASSISTANCE FROM OTHER AGENCIES</u> <u>COMMENTS</u> |
|--|-----------------------------------|--|
| APPLICATIONS PROCESSING (Includes verification of student information, need analysis, award determination, etc.) | 50 ¹ | |
| RESPONDING TO REQUESTS FOR INFORMATION (Includes federal requests for information, parent/student inquiries, testimony in hearings, etc.) | 20 ¹ | |
| PROGRAM REVIEW (Includes on-site visits to institutions, formal/informal audits, etc.) | 5 ¹ | |
| PUBLIC RELATIONS AND INFORMATION DISSEMINATION (Includes parent/student workshops, work on promotional literature, etc.) | 5 ¹ | |
| GENERAL ADMINISTRATION (Includes executive personnel, staff training and development, regulations and guidelines, preparation of summary statistics, etc.) | 15 ¹ | |
| OTHER MANAGEMENT ACTIVITIES (Includes any special staff activities which do not clearly fall in one of the above categories) | 5 ¹ | |

¹ Response is not based on fact, but is reasonably certain.

STATE AGENCY CALENDAR OF EVENTS FOR NEED-BASED PROGRAMS FOR 1976-77 SCHOOL YEAR

ALABAMA 88

MONTH/YEAR

EVENTS

MARCH 1976 Agency budget presented to governors office or budget bureau

APRIL 1976 Agency makes student-aid applications available

MAY 1976 Agency budget presented to legislature

JUNE 1976 Agency completes planning workshops for students or counselors

AUGUST 1976 1) Legislature appropriates student assistance funds
2) Deadline for student-aid applications

SEPTEMBER 1976 Agency (or institutions) announces award winners

Adjustments will be made through the Third Quarter of 1976-77.

APRIL 1977 Last date at which award changes and adjustments are made

Cumulative data tape utilized in award determination.

AUGUST 1977 BEOG applications information received from federal office

PROGRAM AND FISCAL CONTROLS OF PROGRAMS OPERATED BY STATE AGENCY.

ALABAMA B7

| <u>PROGRAM CONTROLS</u> | <u>CONTROL USED SAMPLE OR TOTAL POPULATION STUDIED</u> | <u>AGENCY/OFFICE UNDERTAKING CONTROLS</u> | <u>SPECIAL ACTIONS COMMENTS</u> |
|---|--|---|--|
| A. Control on Student Application Data Accuracy 1. Match Against Tax Forms? 2. Match Against BEOG Applications? 3. Match Against Institutional Student Records? 4. Warnings of Prosecution? 5. Prosecution? | Yes, Sample Yes, All | State State | { For all discrepancy cases, Awards are delayed or canceled. |
| B. Controls on Attendance and/or Progress 1. Check on Enrollment/Initial Attendance? 2. Check on Mid-Term Attendance? 3. Check on Course Completion? 4. Check on Academic Progress Before Award? 5. Partial Awards? | Yes Yes Yes Yes | { All - Institution Sample - State | { If payment has been made when discrepancy is dis- covered, refunds are re- quested. |
| C. Controls on Award Size 1. Check to Make Sure Other Awards Actually Made? 2. Match Against BEOG, Campus-Based Award Files? 3. Re-Check to Ensure Award Adjustments Actually Made? 4. Second Review of Aid Packages? | Yes, Sample Yes, Sample Yes | State State All - Institution Sample - State | |
| D. Controls on Institutional Student-Aid Operations 1. Independent Program Audits? 2. Aid Officer Bonding? 3. Aid Officer Certification? 4. Double Signatures on All Fund Transfers? | Yes, Sample | State | |
| E. Controls on Central Administrative Staff Operations 1. Independent Program Audits? 2. Bonding of Staff Members? 3. Double Signatures on All Fund Transactions? | Yes, Sample | State | |

PROGRAM INFORMATION DISSEMINATION PROCEDURES USED FOR STATE NEED BASED PROGRAMS

ALABAMA C1

TYPE OF INFORMATION PROVIDED

| <u>INFORMATION PROVIDED THROUGH</u> | <u>APPLICATION FORMS</u> | <u>ELIGIBILITY CONDITIONS</u> | <u>FILING/CLOSING DATES FOR STATE PROGRAMS</u> | <u>INFORMATION ON THE COST OF ATTENDANCE AT VARIOUS TYPES OF INSTITUTIONS</u> | <u>INFORMATION ABOUT APPLYING FOR AID FROM OTHER SOURCES</u> | <u>INFORMATION ON PENALTIES FOR PROVIDING FRAUDULENT DATA</u> |
|--|--------------------------|-------------------------------|--|---|--|---|
| 1. High School Counselors | X | X | X | | | X |
| 2. College Financial-Aid Officers | X | X | X | X | | X |
| 3. Direct Mailings to Graduating High School Students or Parents | X | X | X | | | |
| 4. High School Meetings or Workshops | | | | | | |
| 5. College Admissions Office | | | | | | |
| 6. Newspaper Ads | | | | | | |
| 7. Radio, Television Ads | | | | | | |
| 8. Social Service Agencies | | | | | | |
| 9. Other (Please Specify) | | | | | | |

30

**DESCRIPTIONS OF STATE-SPONSORED
STUDENT ASSISTANCE PROGRAMS.**

ALABAMA DT

Alabama Student Assistance Program - A program which provides grants to state residents for undergraduate, postsecondary education within the state.

Academic Common Market - A tuition-reciprocity program to share among the fourteen states in the area served by the Southern Regional Education Board (SREB), certain post-baccalaureate academic programs at the least possible cost to each of the participating states and at the least possible cost to their residents pursuing those programs outside of their own states. Students are enrolled on an in-state tuition basis.

BASIC STATE PROGRAM INFORMATION

ALABAMA · D2

| <u>Programs</u> | <u>Year</u> | <u>Number of Awards</u> | <u>Average Full-Time Award Size</u> | <u>Total Dollars Awarded</u> | <u>Eligible Population</u> |
|------------------------------------|----------------------|---------------------------------|---|--------------------------------------|--|
| <u>Need-Based</u> | | | | | |
| <u>Grants</u> | | | | | |
| Alabama Student Assistance Program | 1975-76 | 3,496 | \$150 | \$524,400 | Resident Undergraduates |
| <u>Non Need-Based</u> | | | | | |
| Academic Common Market | 1973-74 1975-76 | 10 12 | | -0- -0- | Resident Graduates enrolled in specific programs at Out-of-State Schools |
| Program for Indian Youth | No information given | | | | |

STUDENT ELIGIBILITY CRITERIA FOR STATE PROGRAMS

ALABAMA E1

| <u>Programs</u> | <u>Acceptable Residency Status</u> | <u>Acceptable Attend. Status</u> | <u>Acceptable Student Levels</u> | <u>Special Priority to Cont'g. Awards</u> | <u>Income Limits</u> | <u>Need Limits</u> |
|------------------------------------|------------------------------------|----------------------------------|----------------------------------|---|----------------------|--------------------|
| <u>Need-Based</u> | | | | | | |
| <u>Grants</u> | | | | | | |
| Alabama Student Assistance Program | Residents attending In-State | Full-Time | All Under-graduates | No | Not Applicable | \$150 |
| <u>Non Need-Based</u> | | | | | | |
| Academic Common Market | Residents at Out-of-State Schools | Half-Time Full-Time | Graduates | Not Applicable | Not Applicable | Not Applicable |
| Program for Indian Youth | | | | | | |

INSTITUTIONAL ELIGIBILITY CRITERIA FOR STATE STUDENT-ASSISTANCE PROGRAMS

ALABAMA E2

| <u>Programs</u> | <u>University and 4-Year Colleges</u> | <u>Community/ Junior Colleges</u> | <u>Voc./Tech. Schools</u> | <u>Proprietary</u> | <u>Special Types of Institutions</u> |
|--|---|---------------------------------------|-------------------------------|--------------------|--|
| <u>Need-Based</u> | | | | | |
| <u>Grants</u> | | | | | |
| Alabama Student Assistance Program | Public Private | Public Private | Public Private | Yes | No |
| <u>Non Need-Based</u> | | | | | |
| Academic Common Market Program for Indian Youth | Public Private | No | No | No | No |

NEED DETERMINATION CRITERIA FOR STATE PROGRAMS

ALABAMA E3

| <u>Programs</u> | <u>Program Definition of Need</u> | <u>Is BEOG Application Required?</u> | <u>Expected Family Contribution (EFC) Method</u> | <u>Student Budget Includes What Items?</u> |
|------------------------------------|--|--------------------------------------|--|--|
| <u>Need-Based</u> | | | | |
| <u>Grants</u> | | | | |
| Alabama Student Assistance Program | Need = Student Budget - (Family Contribution + Scheduled BEOG Award) | Yes | BEOG | Portion of Total Student Budget |

AWARD SIZE DETERMINATION CRITERIA FOR STATE PROGRAMS

ALABAMA E4

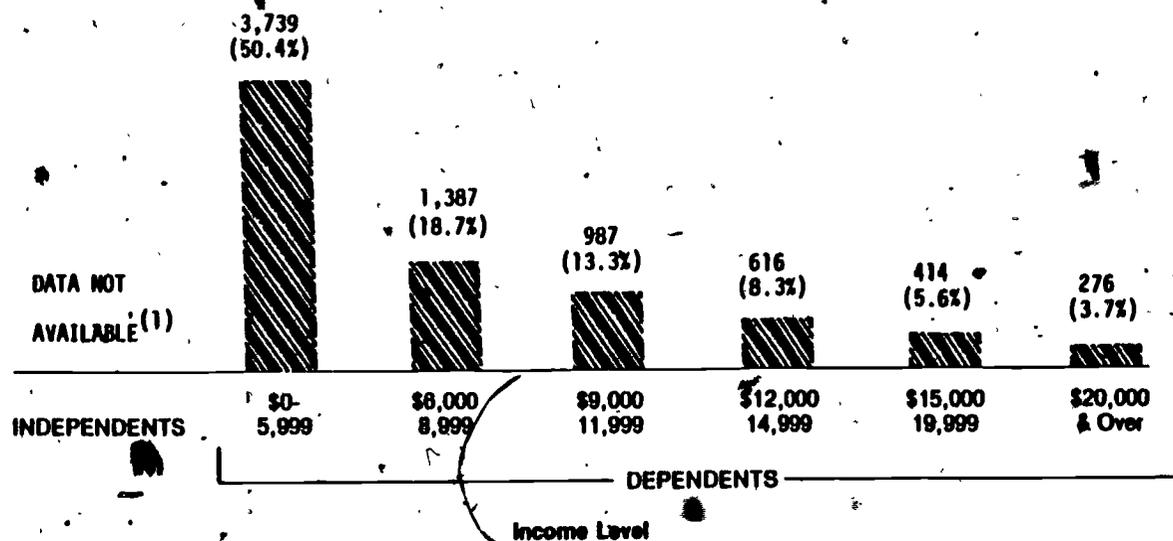
| <u>Programs</u> | <u>Maximum Award Size?</u> | <u>What Award Size Determination Method Is Used?</u> | <u>How Is Award Priority Determined?</u> | <u>Who Ultimately Determines Award Amount?</u> |
|------------------------------------|----------------------------|--|--|--|
| <u>Need-Based</u> | | | | |
| <u>Grants</u> | | | | |
| Alabama Student Assistance Program | \$150 | One specific amount for all; set by Commission | Greatest Need | State |
| <u>Non Need-Based</u> | | | | |
| Academic Common Market | Not Applicable | Out-of-State Fees normally charged | Not Applicable | Institution |
| Program for Indian Youth | | | | |

NUMBER OF NEED-BASED GRANT PROGRAM APPLICANTS

ALABAMA 'F1

THESE FIGURES FOR THE 1975-76 SCHOOL YEAR PERTAIN TO:

• ALABAMA STUDENT ASSISTANCE PROGRAM



DATA NOT AVAILABLE

| WHITE | BLACK | HISPANIC | AMERICAN INDIAN | ASIAN | OTHER |
|-------|-------|----------|-----------------|-------|-------|
| | | | | | |

Ethnic Status

DATA NOT AVAILABLE

| MEN | WOMEN |
|-----|-------|
| | |

Sex

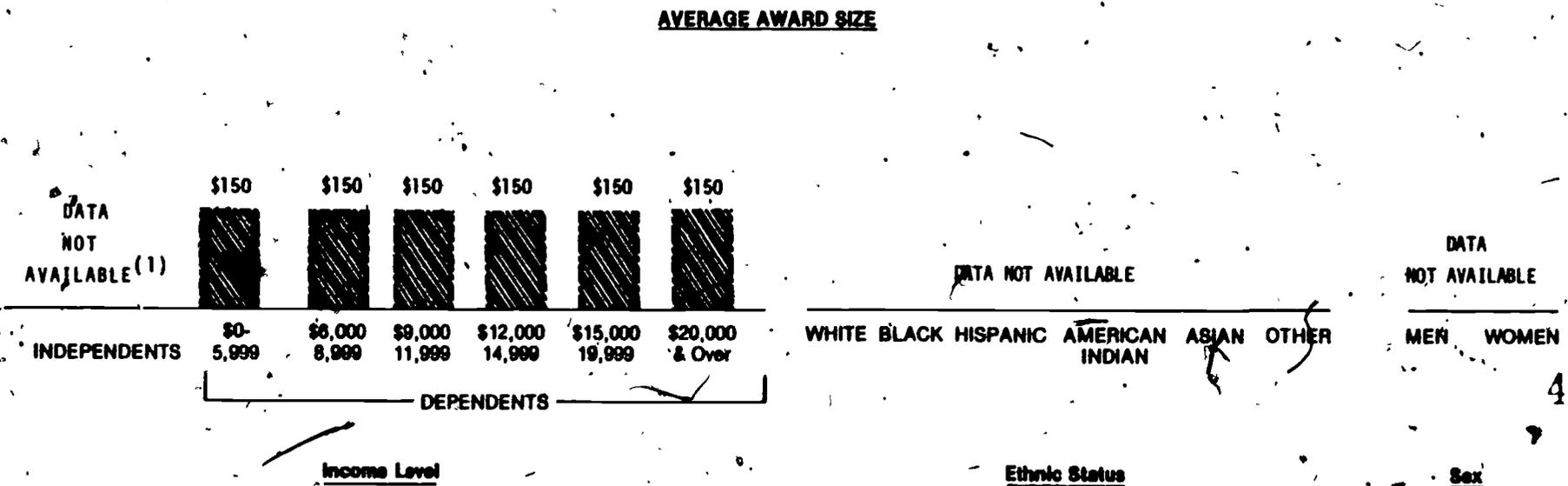
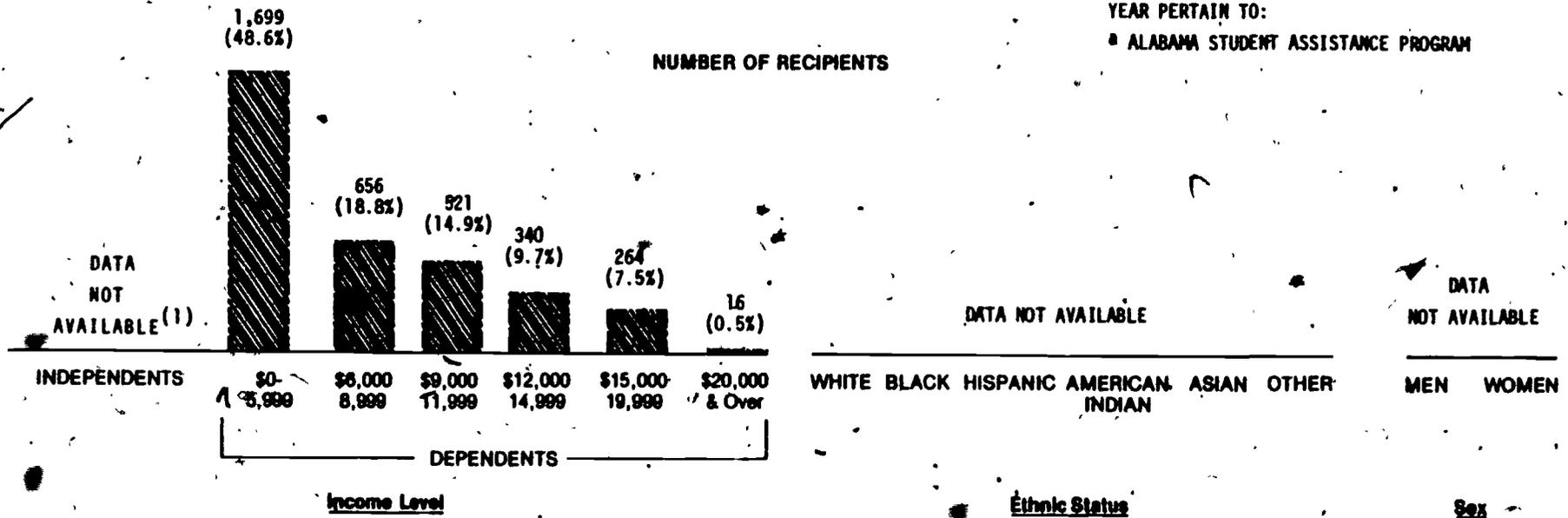
(1) The distribution of awards by student income categories includes awards made to both dependent and independent students. The data available did not distinguish between dependent and independent students.

NUMBER OF NEED-BASED GRANT PROGRAM RECIPIENTS AND AVERAGE AWARD SIZE

ALABAMA

THESE FIGURES FOR THE 1975-76 SCHOOL
YEAR PERTAIN TO:

* ALABAMA STUDENT ASSISTANCE PROGRAM



(1) The distribution of awards by student income categories includes awards made to both dependent and independent students. The data available did not distinguish between dependent and independent students.

SOURCES AND DISTRIBUTION OF NEED-BASED GRANT PROGRAM FUNDS

THESE FIGURES FOR THE 1975-76 SCHOOL YEAR PERTAIN TO:
 • THE ALABAMA STATE ASSISTANCE PROGRAM

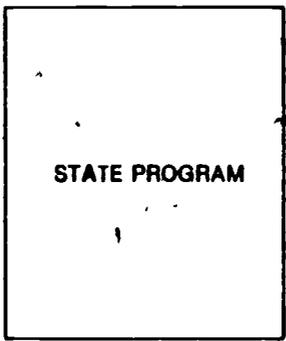
STATE APPROPRIATIONS

\$262,251 50%

PRIVATE GIFTS

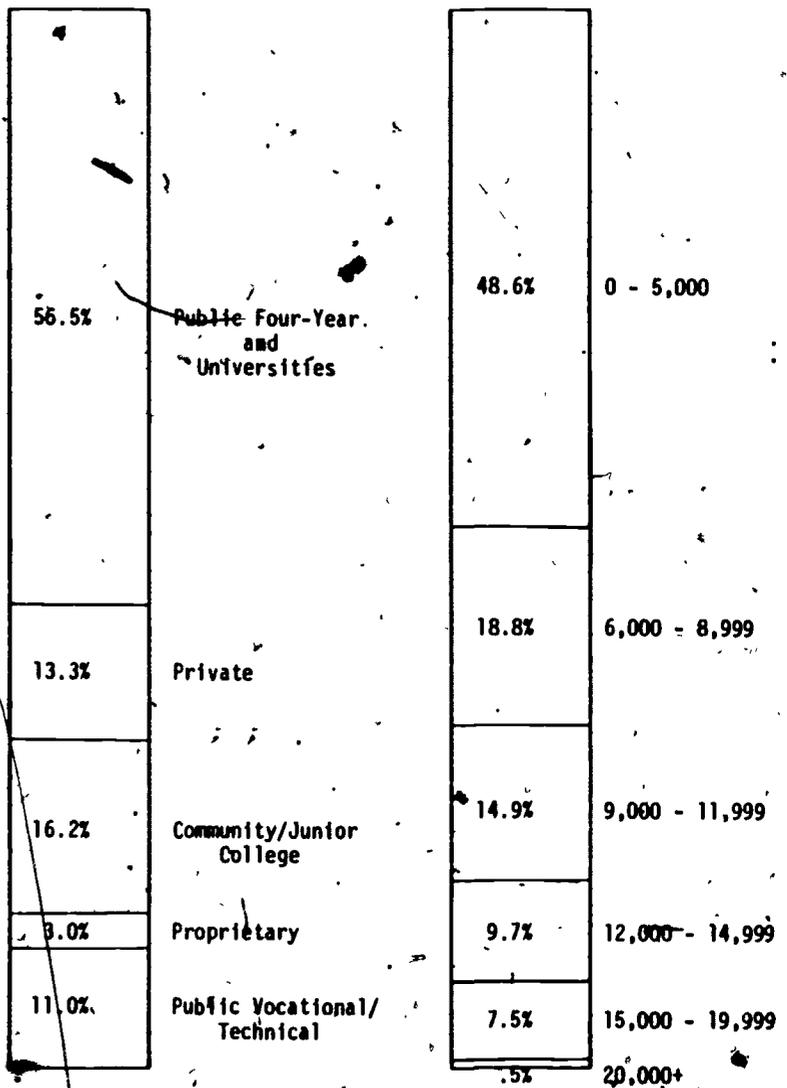
\$262,149 50%

FEDERAL SSIG PROGRAM



INSTITUTIONAL SECTORS

STUDENT INCOME CATEGORIES



The distribution of awards by student income categories includes awards made to both dependent and independent students. The data available did not distinguish between dependent and independent students.

**HISTORIC STATE EXPENDITURES ON
STUDENT-ASSISTANCE PROGRAMS**

ALABAMA G2

DOLLARS

NO LONGITUDINAL DATA AVAILABLE

1970

1971

1972

1973

1974

1975

FISCAL YEAR

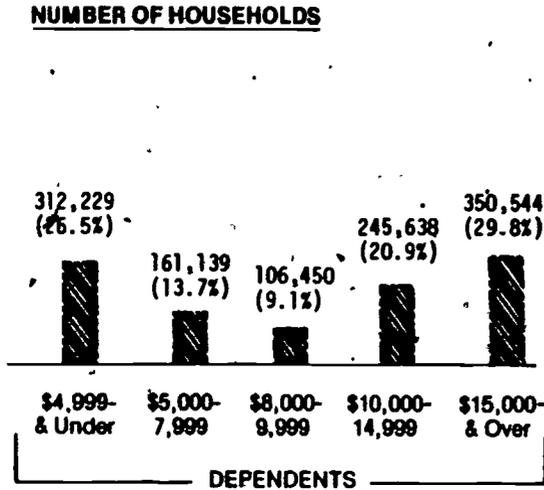
43

50

STATE POPULATION PROFILE

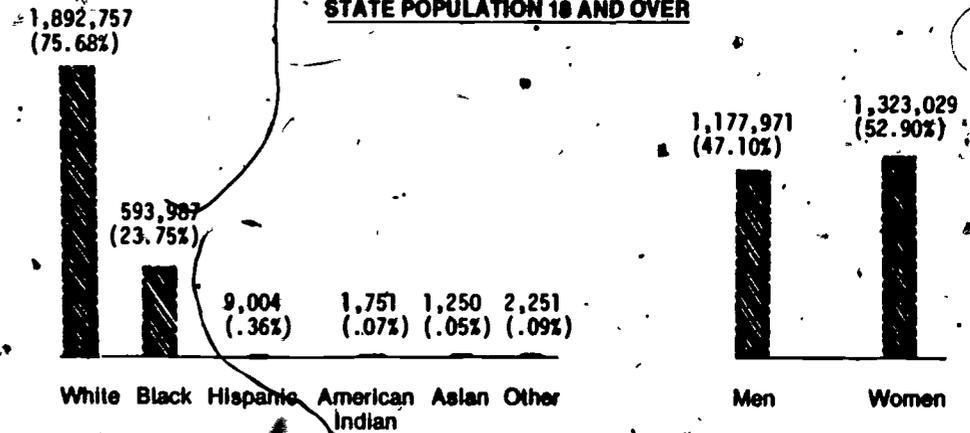
ALABAMA H1

NUMBER OF HOUSEHOLDS



Effective Buying Income

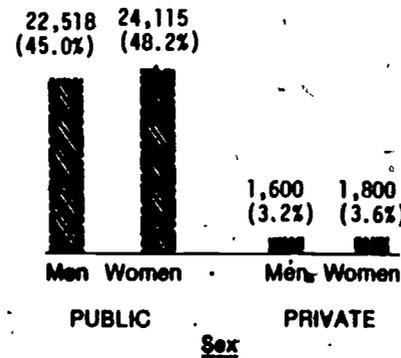
STATE POPULATION 18 AND OVER



Ethnic Status

Sex

STATE HIGH SCHOOL GRADUATES



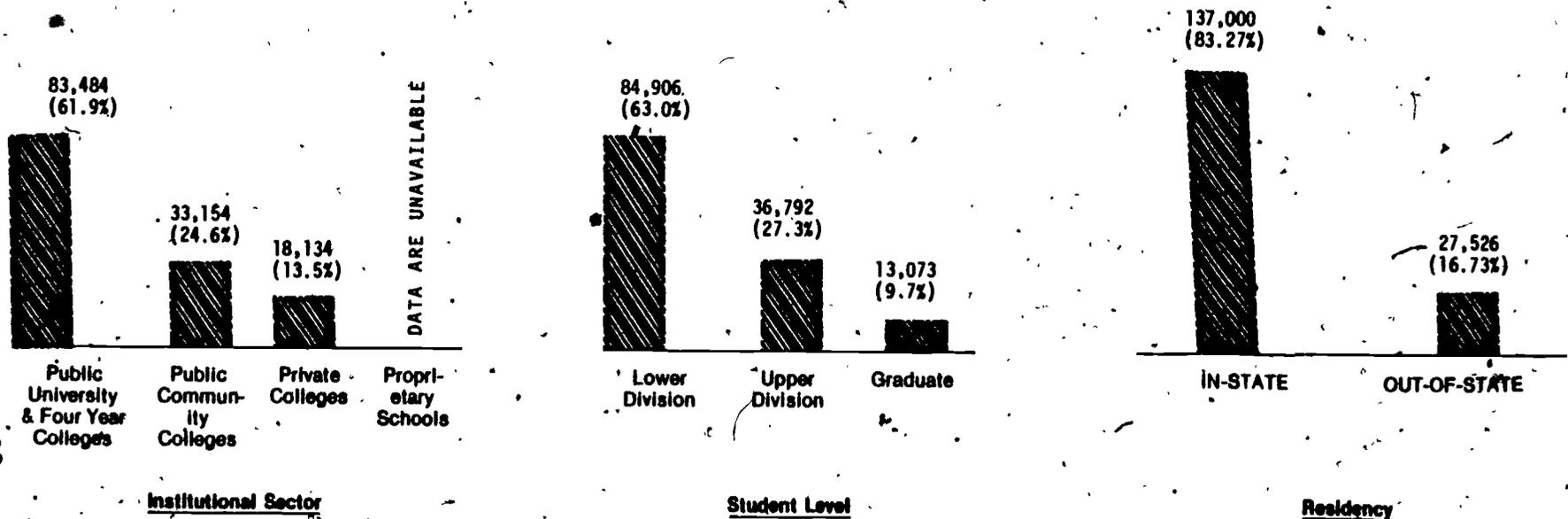
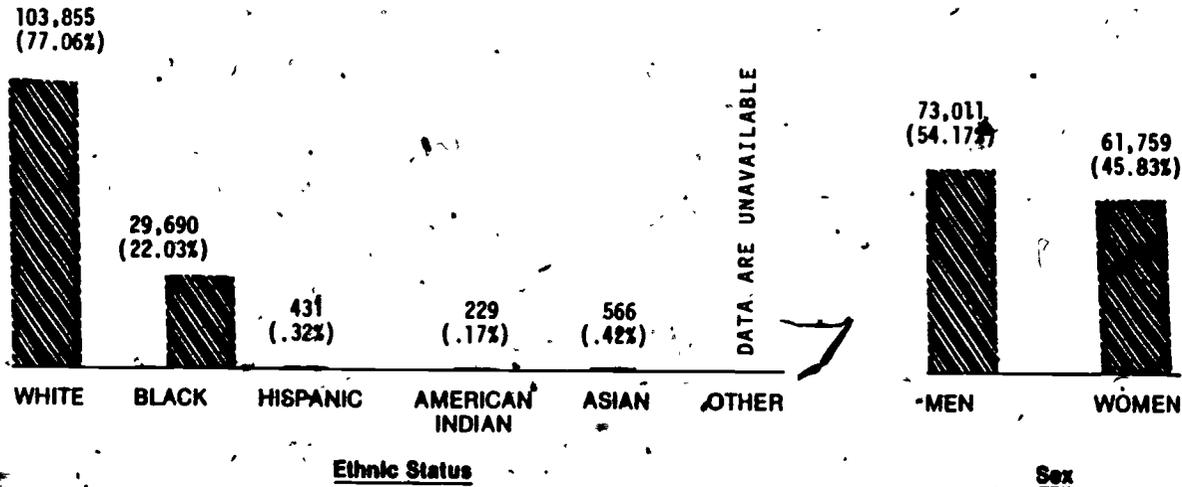
The high school graduation figures do not include 12,275 persons granted a high school equivalency certificate.

(c) Sales and Marketing Management 1977 Survey of Buying Power Data Service

STATE POSTSECONDARY EDUCATION STUDENT ENROLLMENTS

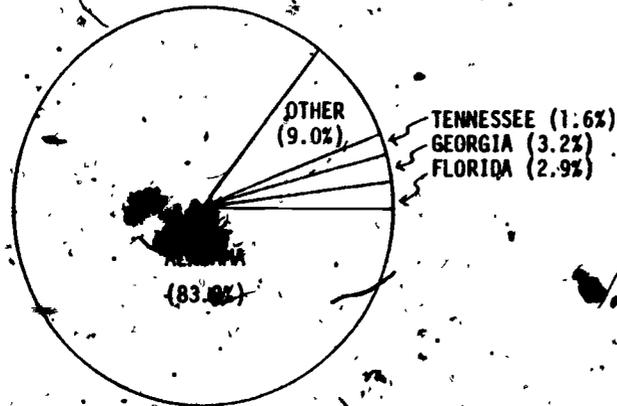
ALABAMA H2

(Figures in Thousands)

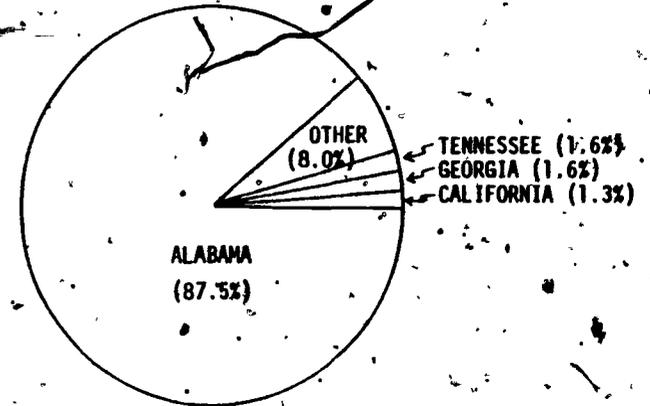


INTERSTATE MIGRATION OF STUDENTS

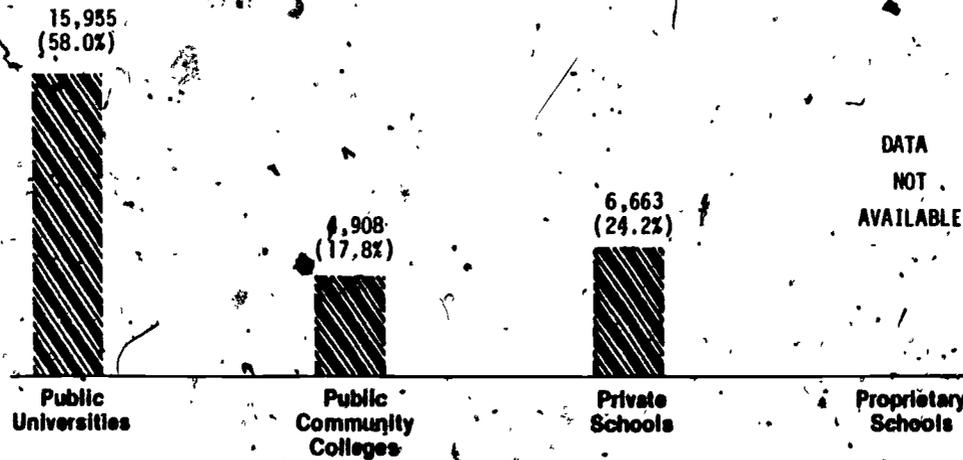
ALABAMA H3



RESIDENT AND NON-RESIDENT STUDENTS ATTENDING IN-STATE INSTITUTIONS



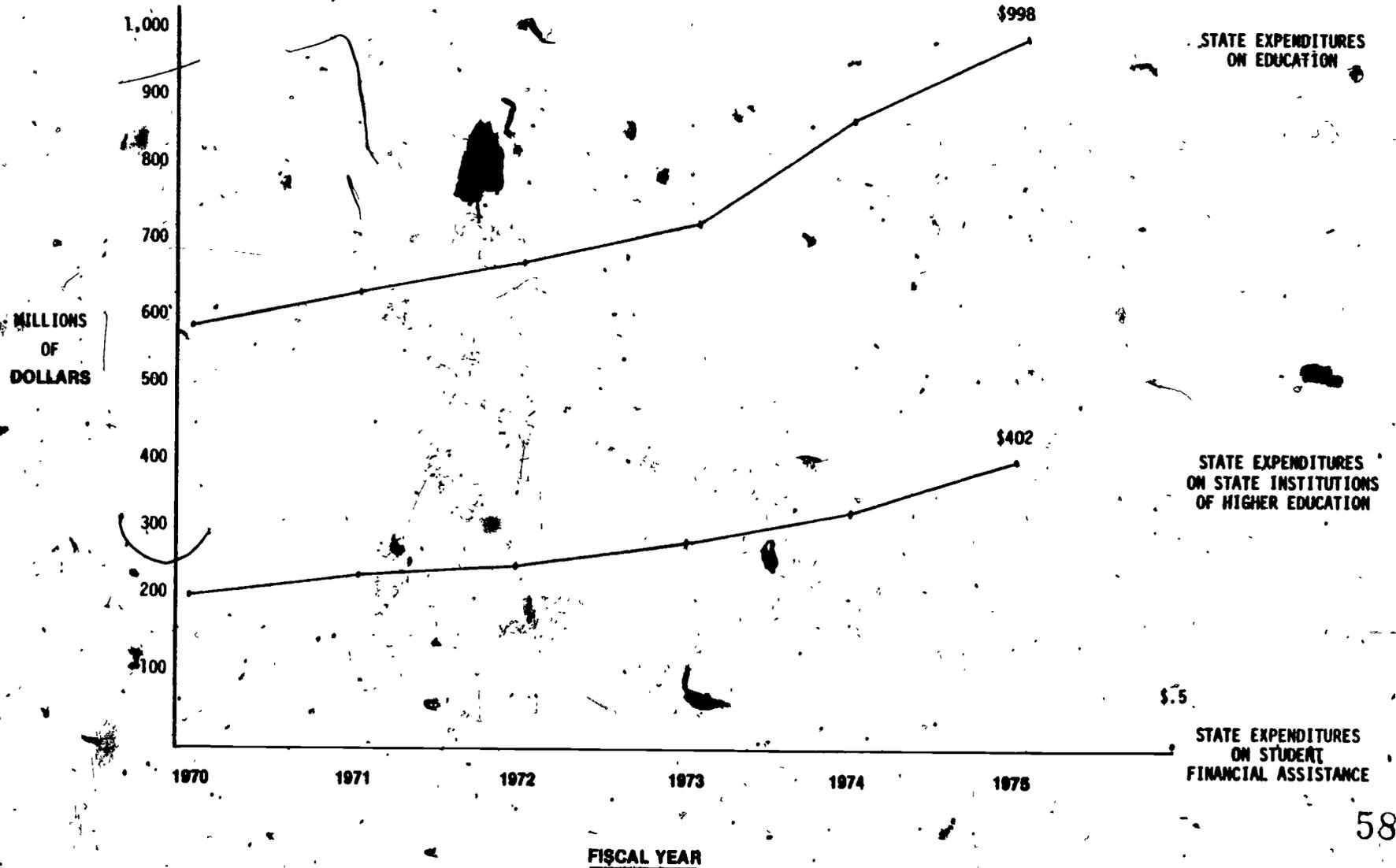
RESIDENT STUDENTS ATTENDING IN-STATE AND OUT-OF-STATE INSTITUTIONS



INSTITUTIONAL SECTOR
(Out-of-State Students In-School In-State)

STATE EXPENDITURE ON POSTSECONDARY EDUCATION STUDENT ASSISTANCE

ALABAMA 11



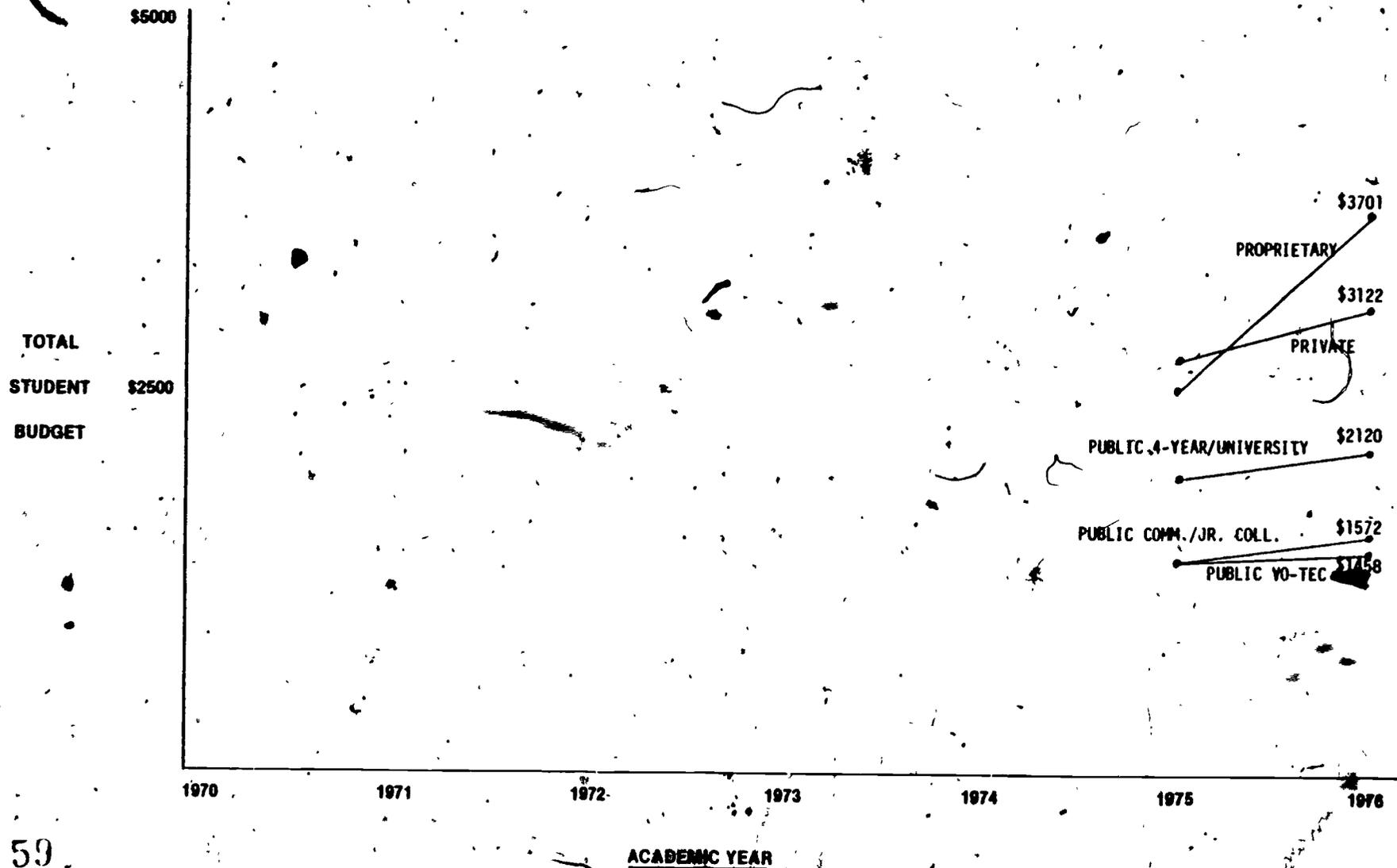
THESE DATA PERTAIN TO:

● ALABAMA STUDENT ASSISTANCE PROGRAM

GROSS COSTS TO STUDENTS ATTENDING SCHOOL BY POSTSECONDARY EDUCATION SECTORS

ALABAMA

12



59

ACADEMIC YEAR

60

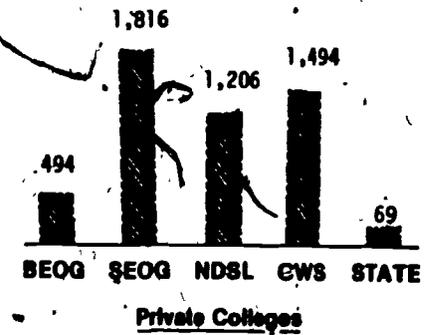
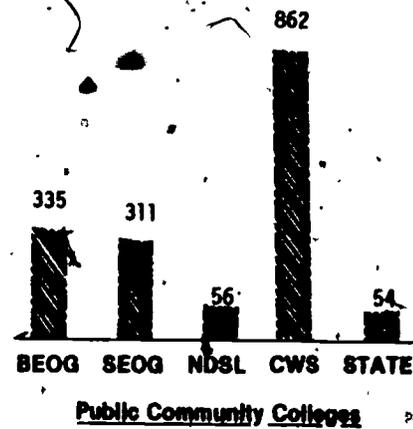
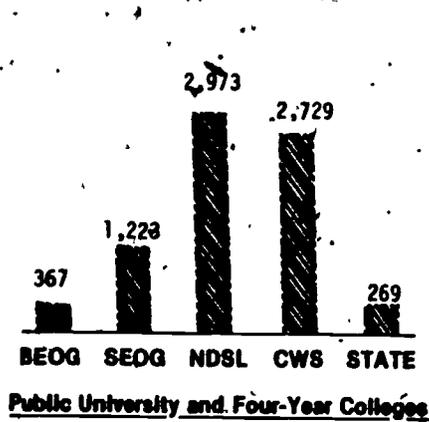
LEVELS OF FEDERAL AND STATE PROGRAM FUNDING BY INSTITUTIONAL SECTOR

ALABAMA 13

(Figures in thousands)

THE FIGURES SHOWN FOR STATE DOLLARS AWARDED ARE FOR THE 1975-76 SCHOOL YEAR AND PERTAIN TO:

- THE ALABAMA STATE ASSISTANCE PROGRAM

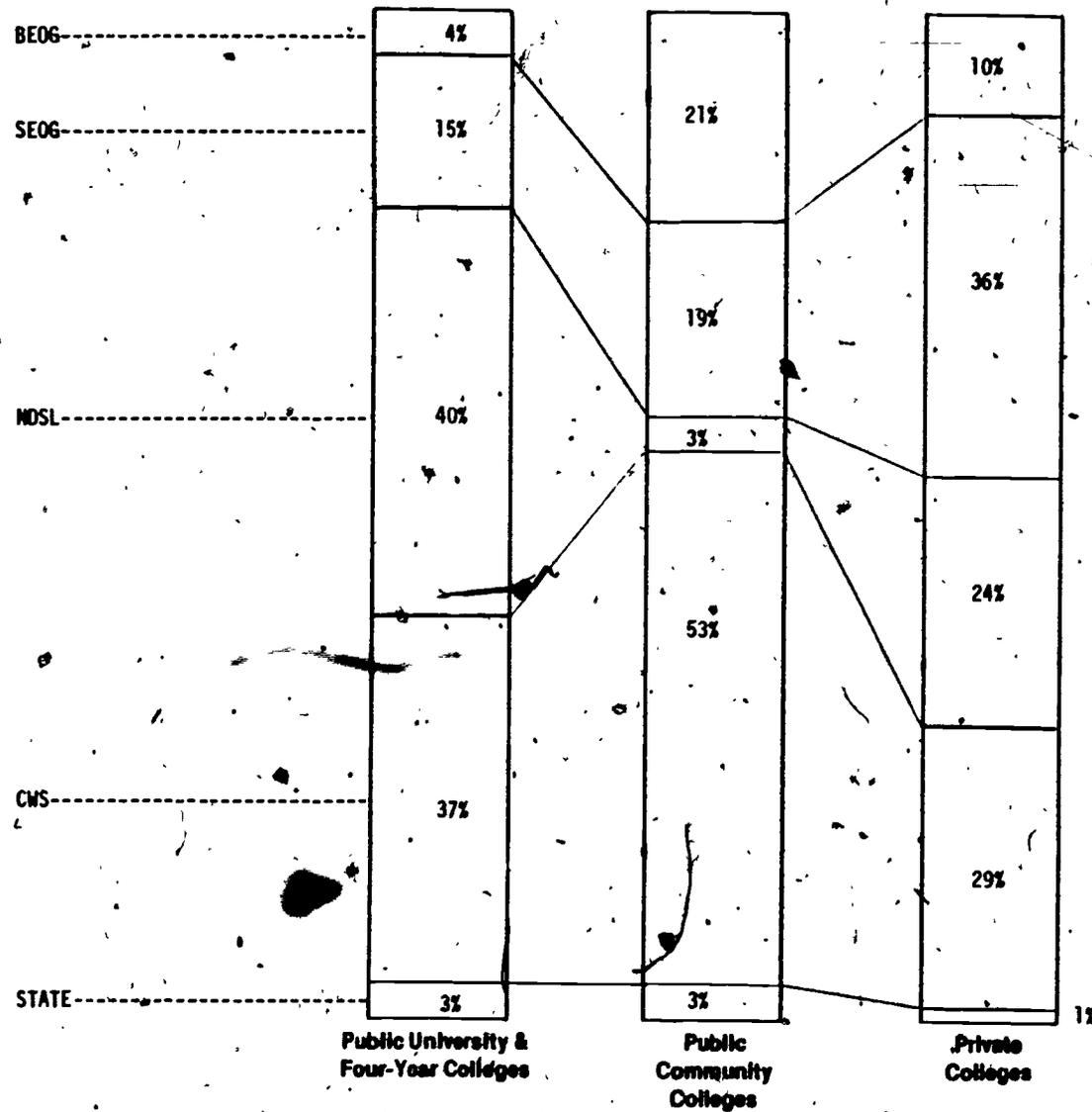


State funds were also awarded to the following types of institutions:

- \$15,750 - Proprietary
- \$57,600 - Public

RELATIVE IMPORTANCE OF FEDERAL AND STATE PROGRAM FUNDING BY SECTOR

ALABAMA 14



THE FIGURES SHOWN FOR STATE DOLLARS AWARDED ARE FOR THE 1975-76 SCHOOL YEAR AND PERTAIN TO:

- THE ALABAMA STATE ASSISTANCE PROGRAM

Percent
Distribution of
Student
Assistance
By Program
By Sector

State funds were also awarded to the following types of institutions:

- \$15,750 - Proprietary
- \$57,600 - Public

DESCRIPTIONS OF PROGRAM OFFICES AND AGENCIES AND CORRESPONDING PROGRAM RESPONSIBILITIES

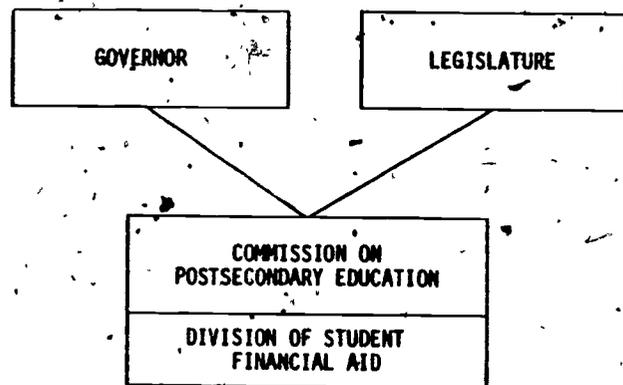
ALASKA A1

The Division of Student Financial Aid committee is made up of members from the Alaska Commission on Postsecondary Education. The legislature establishes the law and appropriates funds for the loan program. The commission establishes regulations for the loan program.

1. Alaska Student Loan Program
2. Guarantee Activities for GSL
3. SSIG

RELATIONSHIPS OF STATE AGENCIES

ALASKA A2



The Commission on Postsecondary Education reports jointly to the legislature and the governor. The Division of Student Financial Aid is part of the Commission.

The student financial aid committee is made up of commission members (not commission "staff") and any non-commission persons the commission should desire.

The Commission (via the Division of Student Financial Aid) is the state guarantee agency for government student loans.

The legislature establishes the law and appropriates funds for the loan program. The Governor has line item veto power on the budget, and appointment power for 5 of 13 commission appointments within the guidelines established by law.

The Commission establishes the regulations for the loan program.

STATE AGENCY ORGANIZATION

ALASKA B1

COMMISSION ON
POSTSECONDARY EDUCATION

DIVISION OF
STUDENT FINANCIAL AID
(CONSISTS OF COMMISSION
MEMBERS, 5 OF WHICH
ARE APPOINTED BY THE
GOVERNOR)

MANAGEMENT RELATIONSHIPS BETWEEN THE STATE AGENCY AND OTHER STATE AGENCIES/INSTITUTIONS

ALASKA B2

| <u>WHO ACCOMPLISHES THE FOLLOWING?</u> | <u>STATE PROGRAM OFFICE</u> | <u>INSTITUTIONAL FINANCIAL AID OFFICER</u> | <u>OTHER OFFICERS OR AGENCIES</u> |
|---|-------------------------------------|--|-----------------------------------|
| Processing of Applications | | | |
| • Verification of Student Application Information | X | ? ¹ | |
| • Identification of Eligible Recipients | X | ? ¹ | |
| • Determination of Award Size | X | ? ¹ (makes recommendation) | |
| • Assignment of Priority to Awards | X | | |
| • Formally Approves Award | X | | |
| Makes Payment to Student (or Credits Student Account) | X | | |
| Makes Post-Award Adjustments | X | | |
| Initiates the Prosecution of Possible Fraudulent Student Applications | X | | |
| Publicizes Award Availability | X | X | |

¹ This symbol is used because we are trying in 1977-78 an experiment only with the institutions within Alaska whereby the student sends his application to the institution. The institution's financial aid officer does the preliminary processing and then forwards the application (with recommended loan amount) to the state.

STATE PROGRAM OFFICE DEFINITIONS FOR SELECTED TERMS

ALASKA B3

DEFINITIONS USED BY STATE PROGRAM OFFICES

STATE DEFINITION SPECIFIED BY

STATE DEFINITION COMMONLY USED BY ALL INSTITUTIONS

STATE DEFINITION CONSISTENT ACROSS ALL STATE PROGRAMS

PUBLIC

PRIVATE

State Resident - Law: "Resident" means a person domiciled in Alaska who has resided in Alaska for at least two years before an application for a grant or loan is made under secs. 751-806.

Law and
Regulations

Regulations: "Resident" means a person who, except for brief intervals, military service, attendance at an educational or training institution, or for absences for good cause shown, has resided in Alaska and who has maintained his domicile in Alaska for at least the period required under AS 14.40.806(4) immediately before the date of submission of his application for a scholarship loan; domicile is the true and permanent home of a person from which he has no present intention of moving and to which he intends to return whenever he is away.

Satisfactory Academic Progress - State of Alaska currently calls it "in good standing," and its interpretation is left up to the institution when certifying that the student is full-time and in good standing.

Note 2

United States Citizenship - Alaska residence assumes U.S. citizenship.

¹ Definitions provided are from state's loan program.

² There is absolutely no consistency in interpretation. The state is working on an alternative.

INFORMATION FLOW BETWEEN THE STATE PROGRAM OFFICE AND VARIOUS FEDERAL OFFICES

ALASKA B4

TYPE OF INFORMATION PROVIDED
BY STATE STUDENT-AID AGENCY

TYPE OF INFORMATION RECEIVED
BY STATE STUDENT-AID AGENCY

PERCEPTION OF ADEQUACY
OF INFORMATION FLOW

FEDERAL OFFICES

SSIG Office

Note 1

Regulations

Adequate

BEOG Office

Summaries

Adequate

Regional OE Student-Assistance Office
(Campus-Based Programs)

Washington OE Office
(Campus-Based Programs)

FISL/GSL Office

Note 2

Regulations

Adequate

STATE/INSTITUTIONAL OFFICES

Public Institutions

Recipients
Terminations

Terminations

Adequate

Private Institutions

Recipients
Terminations

Terminations

Adequate

Governor/Budget Office

Summaries
Litigation

Adequate

State Legislature
(Including Committee Staffs)

Summaries

Regulations

Adequate

1 Top new, but will provide that which is necessary.

2 USAF acts as agent and they take care of GSL program.

75

76

MANAGEMENT STAFFING OF STATE PROGRAM OFFICES

ALASKA B5

| <u>MANAGEMENT FUNCTION</u> | <u>PERCENTAGE OF STAFF EFFORT</u> | <u>STAFF ASSISTANCE FROM OTHER AGENCIES</u> <u>COMMENTS</u> |
|--|-----------------------------------|--|
| APPLICATIONS PROCESSING (Includes verification of student information, need analysis, award determination, etc.) | 40 | |
| RESPONDING TO REQUESTS FOR INFORMATION (Includes federal requests for information, parent/student inquiries, testimony in hearings, etc.) | 1 | |
| PROGRAM REVIEW (Includes on-site visits to institutions, formal/informal audits, etc.) | 1 | |
| PUBLIC RELATIONS AND INFORMATION DISSEMINATION (Includes parent/student workshops, work on promotional literature, etc.) | 1 | |
| GENERAL ADMINISTRATION (Includes executive personnel, staff training and development, regulations and guidelines, preparation of summary statistics, etc.) | 7 | |
| OTHER MANAGEMENT ACTIVITIES (Includes any special staff activities which do not clearly fall in one of the above categories) Collections/Repayments | 50 | 4.25 FTE |

**STATE AGENCY CALENDAR OF EVENTS FOR NEED-BASED
PROGRAMS FOR 1976-77 SCHOOL YEAR**

A L A S K A

B6

MONTH/YEAR

EVENTS

NOVEMBER 1975 Agency budget presented to governors office or budget bureau

JANUARY-FEBRUARY 1976 Agency budget presented to legislature

MARCH 1976 Agency makes student-aid applications available

MAY-JUNE 1976 Deadline for student-aid applications

JUNE 1976 Legislature appropriates student assistance funds

AUGUST 1976 Agency (or institutions) announces award winners

ONGOING Award changes and adjustments can be made at any time

PROGRAM AND FISCAL CONTROLS OF PROGRAMS OPERATED BY STATE AGENCY

ALASKA B7

| <u>PROGRAM CONTROLS</u> | <u>CONTROL USED SAMPLE OR TOTAL POPULATION STUDIED</u> | <u>AGENCY/OFFICE UNDERTAKING CONTROLS</u> | <u>SPECIAL ACTIONS COMMENTS</u> |
|--|--|---|--|
| A. <u>Control on Student Application Data Accuracy</u> | | | |
| 1. Match Against Tax Forms? | Yes, All Yes | State | Note 1 |
| 2. Match Against BEOG Applications? | | | |
| 3. Match Against Institutional Student Records? | | | |
| 4. Warnings of Prosecution? | | | |
| 5. Prosecution? | | | |
| B. <u>Controls on Attendance and/or Progress</u> | | | |
| 1. Check on Enrollment/Initial Attendance? | Yes, All Yes, All Yes, All | State State Institution, State | Loan Denied Repayment Begins Loan Denied |
| 2. Check on Mid-Term Attendance? | | | |
| 3. Check on Course Completion? | | | |
| 4. Check on Academic Progress Before Award? | | | |
| 5. Partial Awards? | | | |
| C. <u>Controls on Award Size</u> | | | |
| 1. Check to Make Sure Other Awards Actually Made? | | | |
| 2. Match Against BEOG, Campus-Based Award Files? | | | |
| 3. Re-Check to Ensure Award Adjustments Actually Made? | | | |
| 4. Second Review of Aid Packages? | | | |
| D. <u>Controls on Institutional Student-Aid Operations</u> | | | |
| 1. Independent Program Audits? | | | Checks are made payable to student but delivered to aid officer. |
| 2. Aid Officer Bonding? | | | |
| 3. Aid Officer Certification? | | | |
| 4. Double Signatures on All Fund Transfers? | | | |
| E. <u>Controls on Central Administrative Staff Operations</u> | | | |
| 1. Independent Program Audits? | Yes No No | Institution, State | |
| 2. Bonding of Staff Members? | | | |
| 3. Double Signatures on All Fund Transactions? | | | |

Too new to say--wasn't used in past, but doubtful if any action would be taken.

PROGRAM INFORMATION DISSEMINATION PROCEDURES USED FOR STATE NEED BASED PROGRAMS

ALASKA C1

TYPE OF INFORMATION PROVIDED

| <u>INFORMATION PROVIDED THROUGH</u> | <u>APPLICATION FORMS</u> | <u>ELIGIBILITY CONDITIONS</u> | <u>FILING/CLOSING DATES FOR STATE PROGRAMS</u> | <u>INFORMATION ON THE COST OF ATTENDANCE AT VARIOUS TYPES OF INSTITUTIONS</u> | <u>INFORMATION ABOUT APPLYING FOR AID FROM OTHER SOURCES</u> | <u>INFORMATION ON PENALTIES FOR PROVIDING FRAUDULENT DATA</u> |
|--|--------------------------|-------------------------------|--|---|--|---|
| 1. High School Counselors | X | X | X | | X | X |
| 2. College Financial-Aid Officers | X | X | X | | X | X |
| 3. Direct Mailings to Graduating High School Students or Parents | X ¹ | X ¹ | X ¹ | | X ¹ | X ¹ |
| 4. High School Meetings or Workshops | X ² | X ² | X ² | | X ² | X ² |
| 5. College Admissions Office | | | | | | |
| 6. Newspaper Ads | | | | | | |
| 7. Radio, Television Ads | | | | | | |
| 8. Social Service Agencies | | | | | | |
| 9. Other (Please Specify) | | | | | | |

83

1 Upon request.

2 Institution aid officers perform these functions for us.

84

DESCRIPTIONS OF STATE-SPONSORED STUDENT ASSISTANCE PROGRAMS

ALASKA Q1

Alaska Student Loan Program - A need-based conditional loan program to assist resident students in the pursuit of postsecondary education.

BASIC STATE PROGRAM INFORMATION

ALASKA D2

| <u>Programs</u> | <u>Year</u> | <u>Number of Awards</u> | <u>Average Full-Time Award Size</u> | <u>Total Dollars Awarded</u> | <u>Eligible Population</u> |
|-----------------------------|--------------------|-------------------------|-------------------------------------|------------------------------|--|
| <u>Need-Based</u> | | | | | |
| <u>Loans</u> | | | | | |
| Alaska Student Loan Program | 1971-72 1975-76 | 1,081 1,719 | \$1,483 \$2,023 | \$1,603,646 \$3,477,126 | Alaska Residents in "Good Standing" |

87

88

STUDENT ELIGIBILITY CRITERIA FOR STATE PROGRAMS

ALASKA E1

| <u>Programs</u> | <u>Acceptable Residency Status</u> | <u>Accept- able Attend. Status</u> | <u>Acceptable Student Levels</u> | <u>Special Priority to Cont'g. Awards</u> | <u>Income Limits</u> | <u>Need Limits</u> |
|-----------------------------|--|--|--|---|--------------------------|------------------------|
| <u>Need-Based</u> | | | | | | |
| <u>Loans</u> | | | | | | |
| Alaska Student Loan Program | All Alaska Residents | Full-Time | All | Yes | Not Applicable | \$100 |

INSTITUTIONAL ELIGIBILITY CRITERIA FOR STATE STUDENT-ASSISTANCE PROGRAMS

ALASKA E2

| <u>Programs</u> | <u>University and 4-Year Colleges</u> | <u>Community/ Junior Colleges</u> | <u>Voc./Tech. Schools</u> | <u>Proprietary</u> | <u>Special Types of Institutions</u> |
|-----------------------------|---|---------------------------------------|-------------------------------|--------------------|--|
| <u>Need-Based</u> | | | | | |
| <u>Loans</u> | | | | | |
| Alaska Student Loan Program | Public Private | Public Private | Public Private | Yes | Yes |

NEED DETERMINATION CRITERIA FOR STATE PROGRAMS

ALASKA E3

| <u>Programs</u> | <u>Program Definition of Need</u> | <u>Is BEOG Application Required?</u> | <u>Expected Family Contribution (EFC) Method</u> | <u>Student Budget Includes What Items?</u> |
|-----------------------------|-----------------------------------|--------------------------------------|--|--|
| <u>Need-Based</u> | | | | |
| <u>Loans</u> | | | | |
| Alaska Student Loan Program | Need = Cost - Sources | No | Honesty of the student's statement of what parents will provide. | Tuition/Fees Room/Board Books |

But encouraged:

AWARD SIZE DETERMINATION CRITERIA FOR STATE PROGRAMS

ALASKA E4

| <u>Programs</u> | <u>Maximum Award Size?</u> | <u>What Award Size Determination Method Is Used?</u> | <u>How Is Award Priority Determined?</u> | <u>Who Ultimately Determines Award Amount?</u> |
|--|---|---|--|--|
| <u>Need-Based</u> <u>Loans</u> Alaska Student Loan Program | \$2,500- Undergraduate \$5,000- Graduate | Whichever is the least amount of: maximum allowed; need; or total of tuition/fees, room/board, and books. | By category (renewal, first time, graduate, etc.) if submitted by deadline date. Those after deadline date are taken in order of application received. | State |

NUMBER OF NEED-BASED GRANT PROGRAM APPLICANTS

ALASKA F1

DATA NOT APPLICABLE

| | \$0-5,999 | \$6,000-8,999 | \$9,000-11,999 | \$12,000-14,999 | \$15,000-19,999 | \$20,000 & Over |
|--------------|-----------|---------------|----------------|-----------------|-----------------|-----------------|
| INDEPENDENTS | | | | | | |
| DEPENDENTS | | | | | | |

Income Level

DATA NOT APPLICABLE

| WHITE | BLACK | HISPANIC | AMERICAN INDIAN | ASIAN | OTHER |
|-------|-------|----------|-----------------|-------|-------|
| | | | | | |

Ethnic Status

DATA NOT APPLICABLE

| MEN | WOMEN |
|-----|-------|
| | |

Sex

NUMBER OF NEED-BASED GRANT PROGRAM RECIPIENTS AND AVERAGE AWARD SIZE

ALASKA

F2

NUMBER OF RECIPIENTS

Income Level

Ethnic Status

Sex

DATA NOT APPLICABLE

DATA NOT APPLICABLE

DATA
NOT APPLICABLE

| INDEPENDENTS | \$0- 5,999 | \$6,000- 8,999 | \$9,000- 11,999 | \$12,000- 14,999 | \$15,000- 19,999 | \$20,000- & Over |
|--------------|---------------|-------------------|--------------------|---------------------|---------------------|---------------------|
| DEPENDENTS | | | | | | |

| WHITE | BLACK | HISPANIC | AMERICAN INDIAN | ASIAN | OTHER |
|-------|-------|----------|--------------------|-------|-------|
|-------|-------|----------|--------------------|-------|-------|

MEN WOMEN

AVERAGE AWARD SIZE

DATA NOT APPLICABLE

DATA NOT APPLICABLE

DATA
NOT APPLICABLE

| INDEPENDENTS | \$0- 5,999 | \$6,000- 8,999 | \$9,000- 11,999 | \$12,000- 14,999 | \$15,000- 19,999 | \$20,000- & Over |
|--------------|---------------|-------------------|--------------------|---------------------|---------------------|---------------------|
| DEPENDENTS | | | | | | |

| WHITE | BLACK | HISPANIC | AMERICAN INDIAN | ASIAN | OTHER |
|-------|-------|----------|--------------------|-------|-------|
|-------|-------|----------|--------------------|-------|-------|

MEN WOMEN

SOURCES AND DISTRIBUTION OF NEED-BASED GRANT PROGRAM FUNDS

ALASKA - G1

INSTITUTIONAL SECTORS

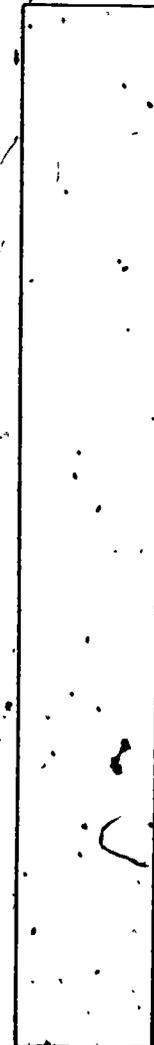
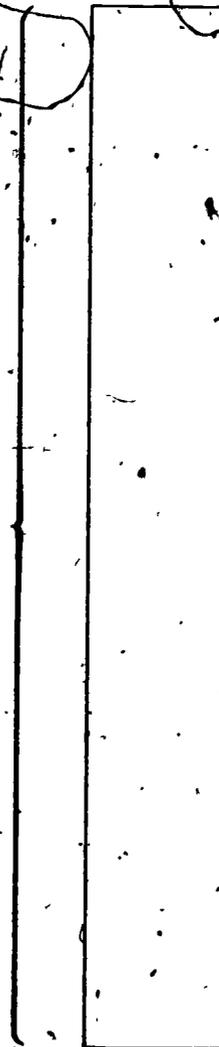
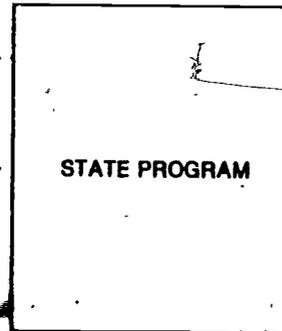
STUDENT INCOME
CATEGORIES

There are no state need-based grant programs.

STATE APPROPRIATIONS

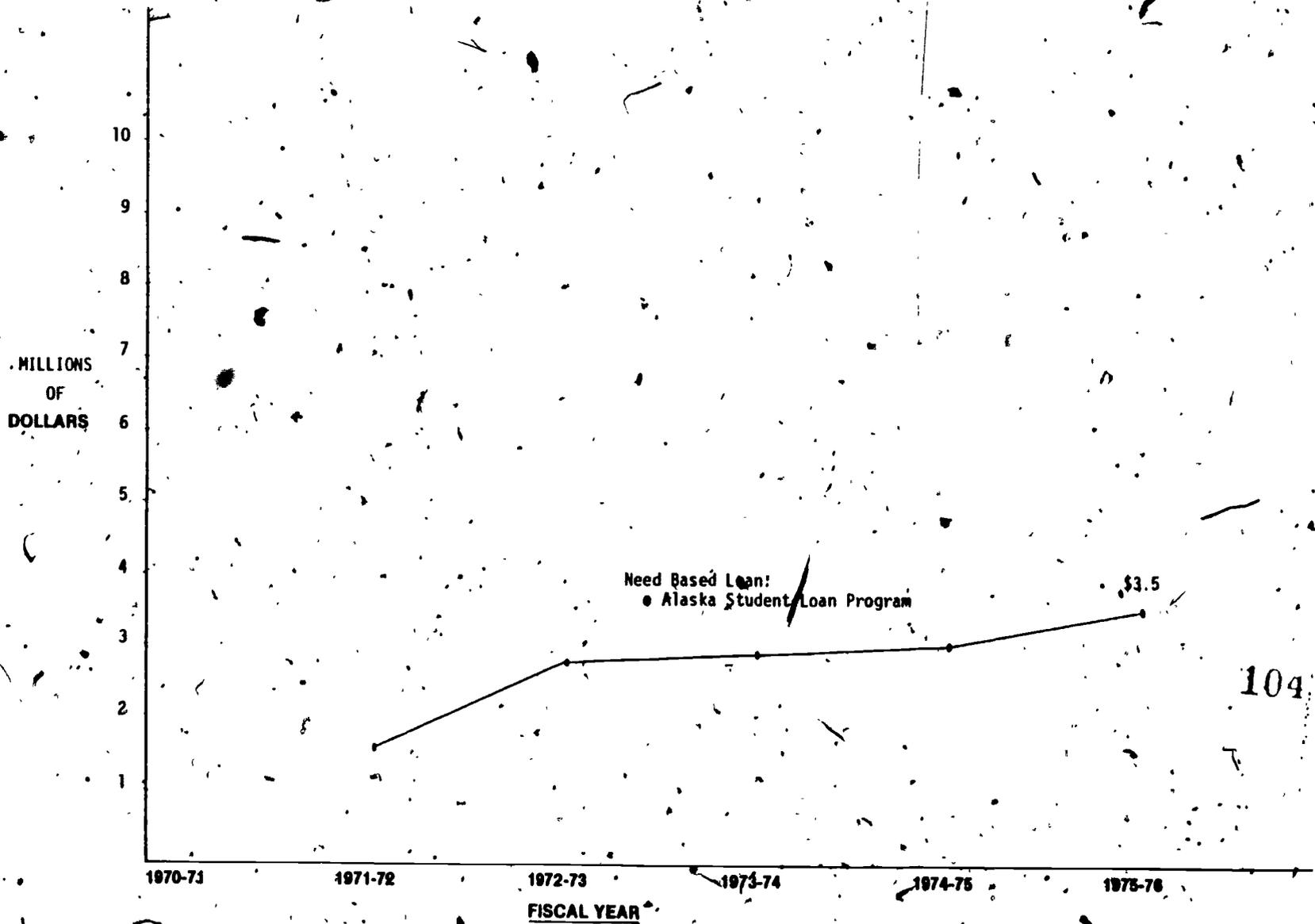
PRIVATE GIFTS

FEDERAL SSIG PROGRAM



HISTORIC STATE EXPENDITURES ON STUDENT-ASSISTANCE PROGRAMS

ALASKA G2



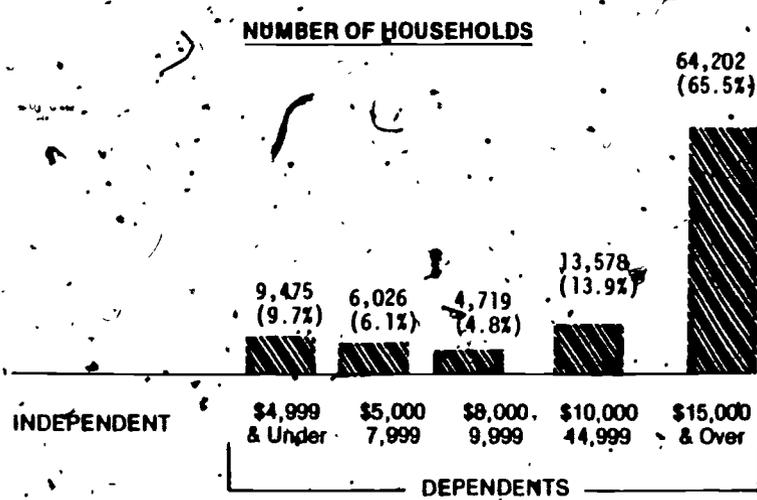
103

104

STATE POPULATION PROFILE

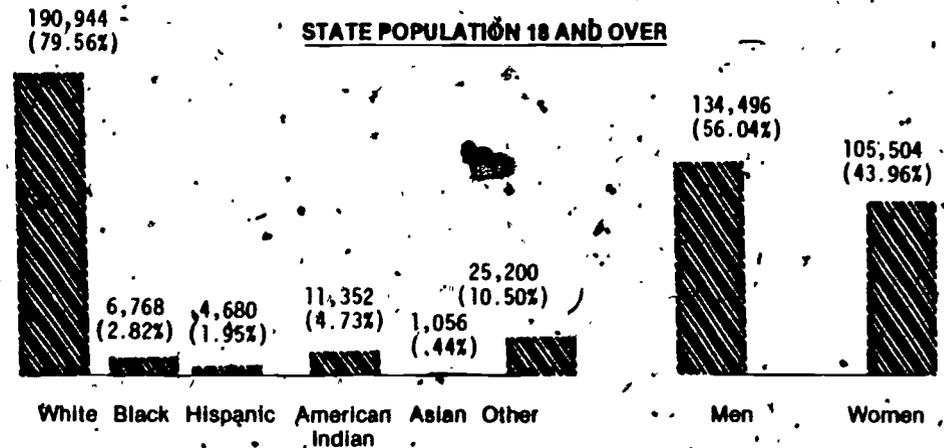
ALASKA H1

NUMBER OF HOUSEHOLDS



Effective Buying Income

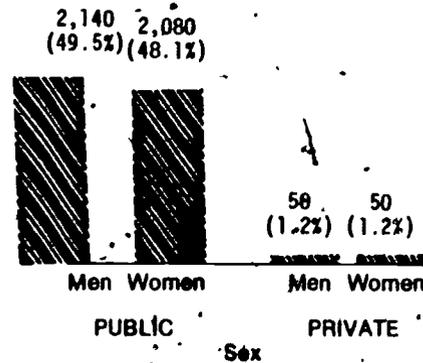
STATE POPULATION 18 AND OVER



Ethnic Status

Sex

STATE HIGH SCHOOL GRADUATES



Sex

The high school graduation figures do not include 2,437 persons granted a high school equivalency certificate.

(c) Sales and Marketing Management 1977 Survey of Buying Power Data Service

STATE POSTSECONDARY EDUCATION STUDENT ENROLLMENTS

ALASKA H2

(Figures in Thousands)

DATA ARE UNAVAILABLE

WHITE BLACK HISPANIC AMERICAN INDIAN ASIAN OTHER
Ethnic Status

5,028 (47.79%)
5,492 (52.21%)
MEN WOMEN
Sex

5,374 (51.1%)
4,901 (46.6%)
245 (2.3%)
DATA ARE UNAVAILABLE
Public University & Four Year Colleges
Public Community Colleges
Private Colleges
Proprietary Schools
Institutional Sector

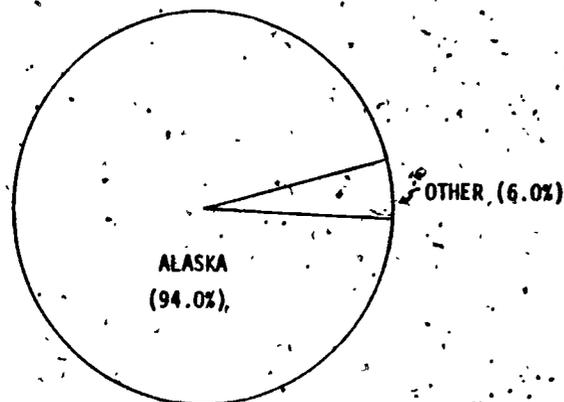
7,564 (71.9%)
2,199 (20.9%)
757 (7.2%)
Lower Division
Upper Division
Graduate
Student Level

13,102 (94.05%)
829 (5.95%)
IN-STATE
OUT-OF-STATE
Residency

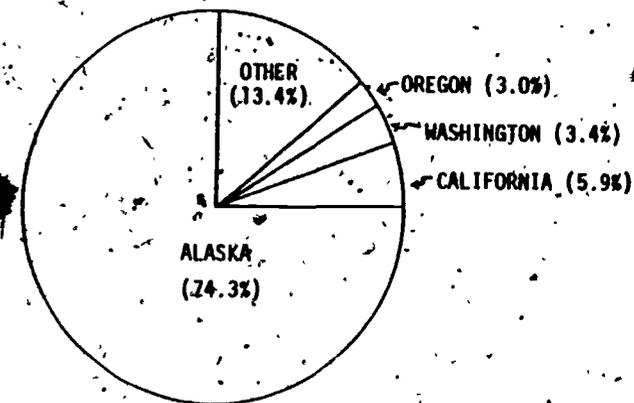
108

INTERSTATE MIGRATION OF STUDENTS

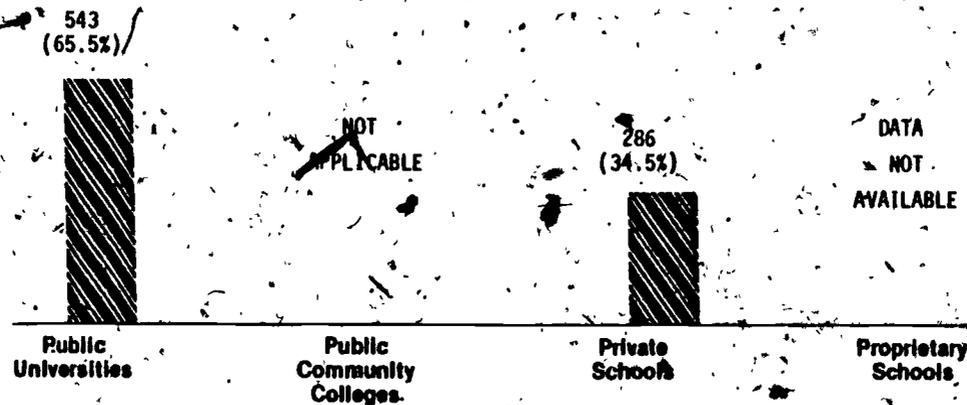
ALASKA H3



**RESIDENT AND NON-RESIDENT STUDENTS
ATTENDING IN-STATE INSTITUTIONS**



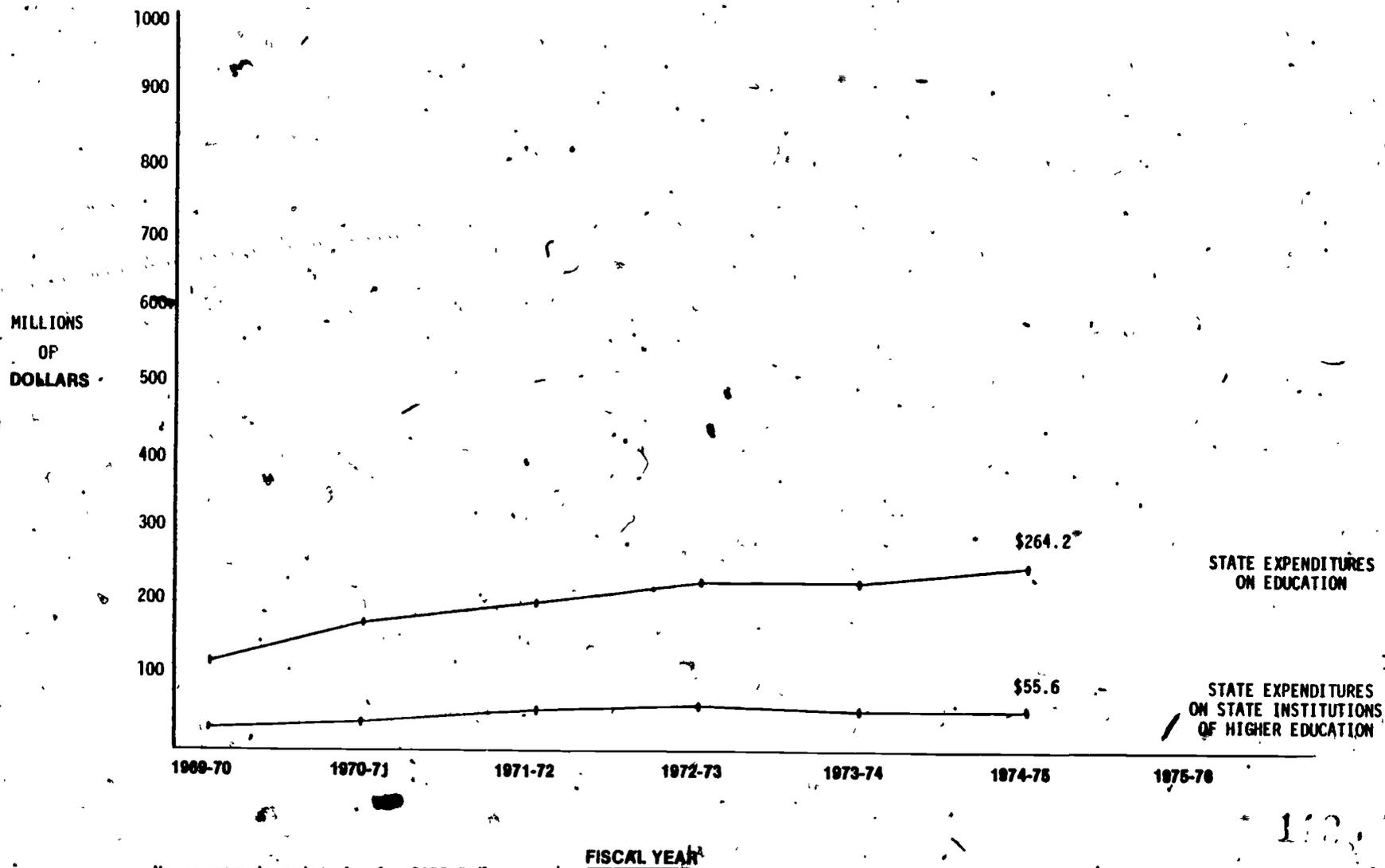
**RESIDENT STUDENTS ATTENDING IN-STATE
AND OUT-OF-STATE INSTITUTIONS**



**INSTITUTIONAL SECTOR
(Out-of-State Students in School In-State)**

**STATE EXPENDITURE ON POSTSECONDARY EDUCATION
STUDENT ASSISTANCE**

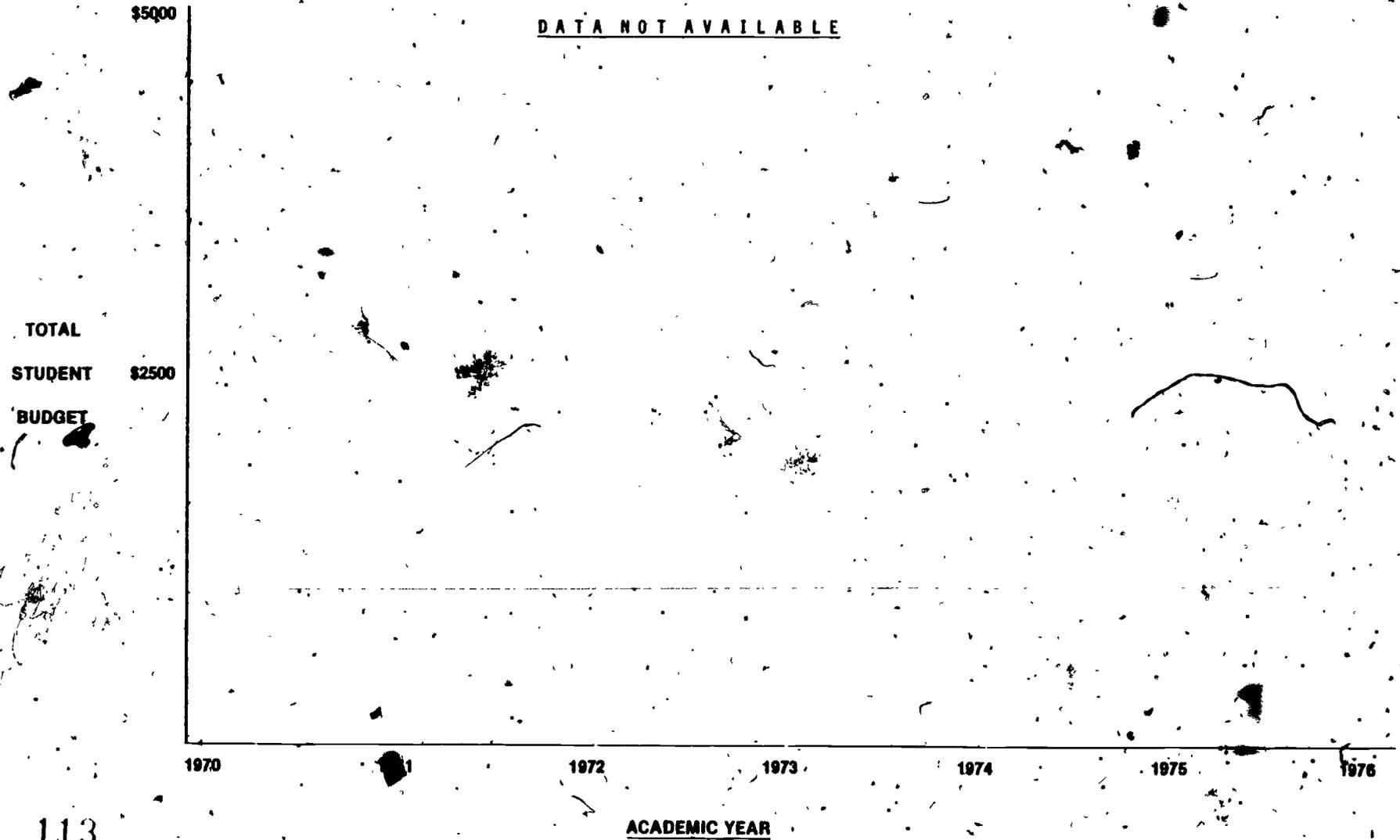
ALASKA 11



111

**GROSS COSTS TO STUDENTS ATTENDING SCHOOL
BY POSTSECONDARY EDUCATION SECTORS**

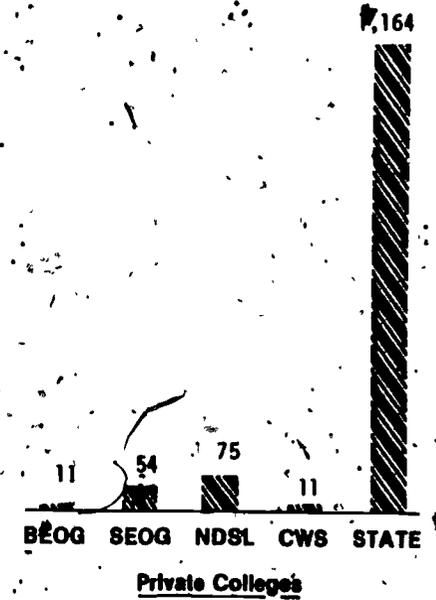
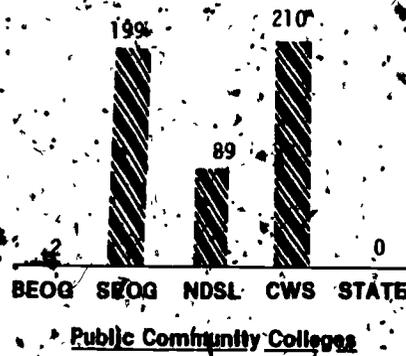
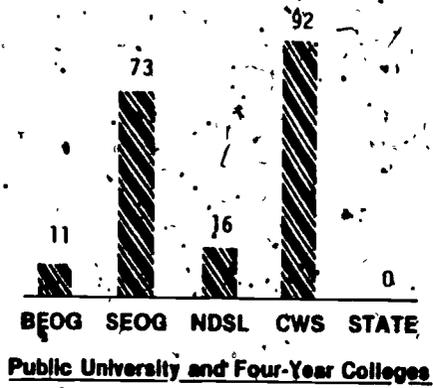
ALASKA 12



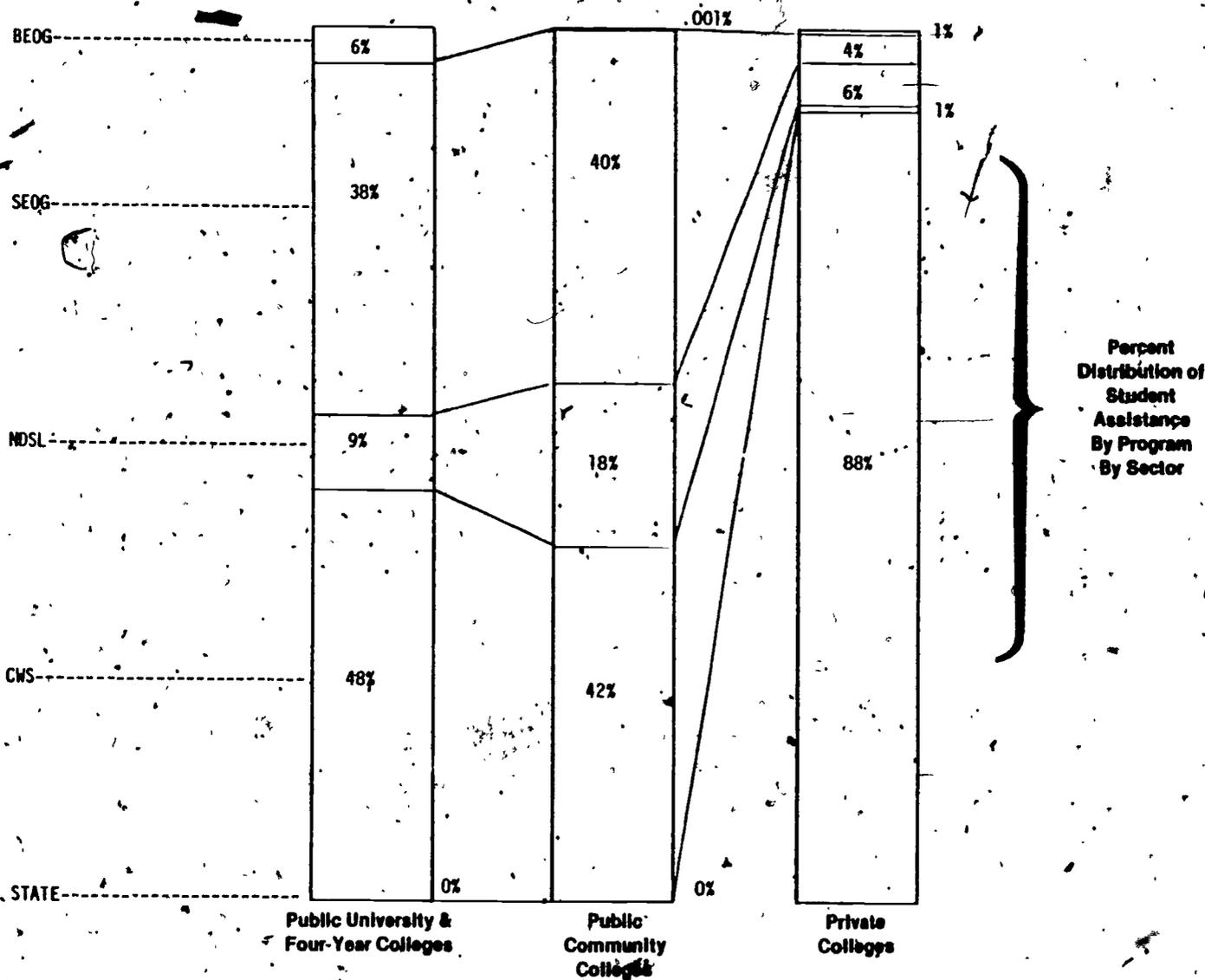
**LEVELS OF FEDERAL AND STATE PROGRAM FUNDING
BY INSTITUTIONAL SECTOR**

ALASKA 13

(Figures in thousands)



RELATIVE IMPORTANCE OF FEDERAL AND STATE PROGRAM FUNDING BY SECTOR



DESCRIPTIONS OF PROGRAM OFFICES AND AGENCIES AND CORRESPONDING PROGRAM RESPONSIBILITIES

ARIZONA A1

The Arizona Commission for Postsecondary Education is the designated state agency which administers a student-assistance program in Arizona and is directly under the Governor by authority of an executive order. The Executive Director heads the professional staff responsible for administration of the:

1. Arizona SSIG Program

WICHE Student Exchange Programs have been developed to help western students obtain access to fields of professional education that are not available in their home state. The program is administered locally by the Arizona Board of Regents through the state's Western Interstate Commission on Higher Education Commissioners. The state certifying officer is an employee of the Arizona Board of Regents.

1. WICHE Student Exchange Program

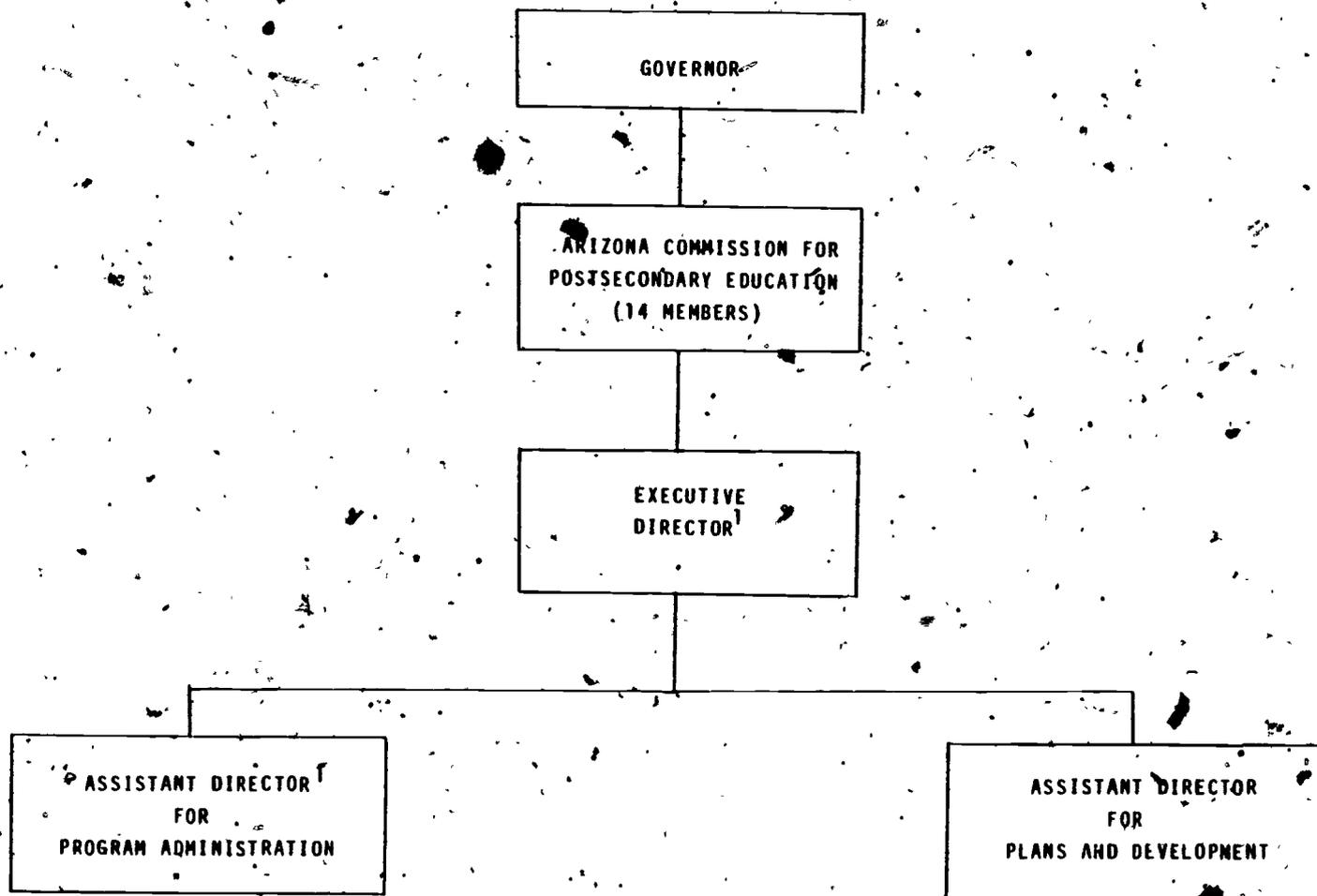
RELATIONSHIPS OF STATE AGENCIES

ARIZONA A2

The Arizona Commission for Postsecondary Education is the designated state agency, which administers a student-assistance program in Arizona and is directly under the Governor by authority of an executive order.

STATE AGENCY ORGANIZATION

ARIZONA B1



The Executive Director heads the professional staff responsible for the administration of the Arizona SSIG Program.

**MANAGEMENT RELATIONSHIPS BETWEEN THE STATE AGENCY
AND OTHER STATE AGENCIES/INSTITUTIONS**

ARIZONA B2

| <u>WHO ACCOMPLISHES THE FOLLOWING?</u> | <u>STATE PROGRAM OFFICE</u> | <u>INSTITUTIONAL FINANCIAL AID OFFICER</u> | <u>OTHER OFFICERS OR AGENCIES</u> |
|---|-----------------------------|--|-----------------------------------|
| Processing of Applications | | | |
| • Verification of Student Application Information | | X | |
| • Identification of Eligible Recipients | | X | |
| • Determination of Award Size | | X | |
| • Assignment of Priority to Awards | | X | |
| • Formally Approves Award | X | | |
| Makes Payment to Student (or Credits Student Account) | | X | |
| Makes Post-Award Adjustments | | X | |
| Initiates the Prosecution of Possible Fraudulent Student Applications | | X | |
| Publicizes Award Availability | X | X | |

COMMENTS: This is for the new SSIG program only. The Arizona Commission for Postsecondary Education allocates funds to eligible participating institutions and approves all awards. These awards are recommended by the institution FAO's based on their need analysis. The Commission complies with all federal requirements as the state agency designated to administer the program.

STATE PROGRAM OFFICE DEFINITIONS FOR SELECTED TERMS

ARIZONA B3

DEFINITIONS USED BY STATE PROGRAM OFFICES

STATE DEFINITION SPECIFIED BY

STATE DEFINITION COMMONLY USED BY ALL INSTITUTIONS

STATE DEFINITION CONSISTENT ACROSS ALL STATE PROGRAMS

PUBLIC

PRIVATE

Half-Time Student - Less than 12 semester hours
or 25 clock hours per week (at proprietary
institutions.

Interpretation

Yes

Yes

Not Applicable

State Resident - As determined by the institution.

Interpretation

Yes

Yes

Not Applicable

Independent Student - Not claimed as a dependent
by parent for tax purposes as described by
federal regulations.

Interpretation

Yes

Yes

Not Applicable

Non-Profit Institution - Not incorporated or
licensed as a profit-making institution.

Interpretation

Yes

Yes

Not Applicable

Satisfactory Academic Progress - As determined by
the institution.

Interpretation

Yes

Yes

Not Applicable

United States Citizenship - Native born or natural-
ized by appropriate federal authorities.

Interpretation

Yes

Yes

Not Applicable

**INFORMATION-FLOW BETWEEN THE STATE PROGRAM OFFICE,
AND VARIOUS FEDERAL OFFICES**

ARIZONA B4

TYPE OF INFORMATION PROVIDED
BY STATE STUDENT-AID AGENCY

TYPE OF INFORMATION RECEIVED
BY STATE STUDENT-AID AGENCY

PERCEPTION OF ADEQUACY
OF INFORMATION FLOW

FEDERAL OFFICES

SSIG Office

Summaries
Recipients
Litigation

Regulations
Terminations

Summaries
Litigation

Regulations

Adequate

BEOG Office

Regional OE Student-Assistance Office
(Campus-Based Programs)

Washington OE Office
(Campus-Based Programs)

FISL/GSL Office

STATE/INSTITUTIONAL OFFICES

Public Institutions

Private Institutions

Governor/Budget Office

State Legislature
(Including Committee Staffs)

MANAGEMENT STAFFING OF STATE PROGRAM OFFICES

ARIZONA B5

| <u>MANAGEMENT FUNCTION</u> | <u>PERCENTAGE OF STAFF EFFORT</u> | <u>STAFF ASSISTANCE FROM OTHER AGENCIES COMMENTS</u> |
|--|-----------------------------------|--|
| APPLICATIONS PROCESSING (Includes verification of student information, need analysis, award determination, etc.) | | |
| RESPONDING TO REQUESTS FOR INFORMATION (Includes federal requests for information; parent/student inquiries, testimony in hearings, etc.) | | |
| PROGRAM REVIEW (Includes on-site visits to institutions, formal/informal audits, etc.) | | |
| PUBLIC RELATIONS AND INFORMATION DISSEMINATION (Includes parent/student workshops, work on promotional literature, etc.) | | |
| GENERAL ADMINISTRATION (Includes executive personnel, staff training and development, regulations and guidelines, preparation of summary statistics, etc.) | | |
| OTHER MANAGEMENT ACTIVITIES (Includes any special staff activities which do not clearly fall in one of the above categories) | | |

NOTE: Our program is so new we have not been able to assess this yet.

**STATE AGENCY CALENDAR OF EVENTS FOR NEED-BASED
PROGRAMS FOR 1976-77 SCHOOL YEAR**

ARIZONA B6

Information not provided, 1977-78 academic year will
be first full year of operation.

**PROGRAM AND FISCAL CONTROLS OF PROGRAMS
OPERATED BY STATE AGENCY**

ARIZONA B7

| <u>PROGRAM CONTROLS</u> | <u>CONTROL USED SAMPLE OR TOTAL POPULATION STUDIED</u> | <u>AGENCY/OFFICE UNDERTAKING CONTROLS</u> | <u>SPECIAL ACTIONS COMMENTS</u> |
|---|--|---|-------------------------------------|
| A <u>Control on Student Application Data Accuracy</u> | | | |
| 1. Match Against Tax Forms? | Yes | Institution, | |
| 2. Match Against BEOG Applications? | Yes | Institution | |
| 3. Match Against Institutional Student Records? | Yes, Sample | Institution, State | |
| 4. Warnings of Prosecution? | Yes | Institution | |
| 5. Prosecution? | | | |
| B <u>Controls on Attendance and/or Progress</u> | | | |
| 1. Check on Enrollment/Initial Attendance? | Yes, Sample | Institution, State | |
| 2. Check on Mid-Term Attendance? | Yes | Institution | |
| 3. Check on Course Completion? | Yes | Institution | |
| 4. Check on Academic Progress Before Award? | Yes | Institution | |
| 5. Partial Awards? | | | |
| C <u>Controls on Award Size</u> | | | |
| 1. Check to Make Sure Other Awards Actually Made? | Yes, All | Institution, State | |
| 2. Match Against BEOG, Campus-Based Award Files? | Yes, All | Institution, State | |
| 3. Re-Check to Ensure Award Adjustments Actually Made? | | | |
| 4. Second Review of Aid Packages? | Yes, All | Institution, State | |
| D <u>Controls on Institutional Student-Aid Operations</u> | | | |
| 1. Independent Program Audits? | Yes | Institution, State | |
| 2. Aid Officer Bonding? | { Unknown at this time | | |
| 3. Aid Officer Certification? | | | |
| 4. Double Signatures on All Fund Transfers? | | | |
| E <u>Controls on Central Administrative Staff Operations</u> | | | |
| 1. Independent Program Audits? | { Not Applicable | | |
| 2. Bonding of Staff Members? | | | |
| 3. Double Signatures on All Fund Transactions? | | | |

PROGRAM INFORMATION DISSEMINATION PROCEDURES USED FOR STATE NEED BASED PROGRAMS

ARIZONA C1

TYPE OF INFORMATION PROVIDED

| <u>INFORMATION PROVIDED THROUGH</u> | <u>APPLICATION FORMS</u> | <u>ELIGIBILITY CONDITIONS</u> | <u>FILING/CLOSING DATES FOR STATE PROGRAMS</u> | <u>INFORMATION ON THE COST OF ATTENDANCE AT VARIOUS TYPES OF INSTITUTIONS</u> | <u>INFORMATION ABOUT APPLYING FOR AID FROM OTHER SOURCES</u> | <u>INFORMATION ON PENALTIES FOR PROVIDING FRAUDULENT DATA</u> |
|--|--------------------------|-------------------------------|--|---|--|---|
| 1. High School Counselors | | X | X | X | X | X |
| 2. College Financial-Aid Officers | X | X | X | X | X | X |
| 3. Direct Mailings to Graduating High School Students or Parents | | | | | | |
| 4. High School Meetings or Workshops | X | X | X | X | X | X |
| 5. College Admissions Office | | | | | | |
| 6. Newspaper Ads | | | | | | |
| 7. Radio, Television Ads | | | | | | |
| 8. Social Service Agencies | | | | | | |
| 9. Other (Please Specify) | | | | | | |

DESCRIPTIONS OF STATE-SPONSORED STUDENT ASSISTANCE PROGRAMS

ARIZONA D1

Program for Indian Youth -

WICHE Student Exchange Program - A tuition-remission program which aids students in participating western states to obtain access to fields of professional education that are not available in their home states. Funds appropriated by a sponsoring state reimburse the receiving school through payment of an institutional support fee for the educational service offered. The student does not receive a cash stipend.

Arizona SSIG Program - Provides need-based grants to state students at accredited state postsecondary educational institutions.

BASIC STATE PROGRAM INFORMATION

ARIZONA D2

| <u>Programs</u> | <u>Year</u> | <u>Number of Awards</u> | <u>Average Full-Time Award Size</u> | <u>Total Dollars Awarded</u> | <u>Eligible Population</u> |
|--------------------------------|-------------|-------------------------|-------------------------------------|------------------------------|--|
| <u>Need-Based</u> | | | | | |
| <u>Grants</u> | | | | | |
| Arizona SSIG Program | 1976-77 | 1200 | \$645 | \$775,000 | Resident Undergraduates |
| <u>Non Need-Based</u> | | | | | |
| WICHE Student Exchange Program | 1977-78 | 184 | | \$1,553,442 | Residents in Medicine, Dentistry, Veterinary Medicine, Physical Therapy, Occupational Therapy, Optometry, Pediatrics |

1 Program started Spring Semester 1977. Matching funds have been provided by each participating institution. Figures given above are approximations.

STUDENT ELIGIBILITY CRITERIA FOR STATE PROGRAMS

ARIZONA E1

| <u>Programs</u> | <u>Acceptable Residency Status</u> | <u>Acceptable Attend. Status</u> | <u>Acceptable Student Levels</u> | <u>Special Priority to Cont'g. Awards</u> | <u>Income Limits</u> | <u>Need Limits</u> |
|--------------------------------|--------------------------------------|----------------------------------|----------------------------------|---|----------------------|--------------------|
| <u>Need-Based</u> | | | | | | |
| <u>Grants</u> | | | | | | |
| Arizona SSIG Program | Residents attending In-State | Full-Time | All undergraduates | Yes | None | None |
| <u>Non Need-Based</u> | | | | | | |
| WICHE Student Exchange Program | Residents 5 years prior to admission | Full-Time | Undergraduate and Graduate | | None | None |

INSTITUTIONAL ELIGIBILITY CRITERIA FOR STATE STUDENT-ASSISTANCE PROGRAMS

ARIZONA E2

| <u>Programs</u> | <u>University and 4-Year Colleges</u> | <u>Community/ Junior Colleges</u> | <u>Voc./Tech. Schools</u> | <u>Proprietary</u> | <u>Special Types of Institutions</u> |
|--------------------------------|--|---------------------------------------|-------------------------------|--------------------|--|
| <u>Need-Based</u> | | | | | |
| <u>Grants</u> | | | | | |
| Arizona SSIG Program | Public Private | Public Private | No | Yes | No |
| <u>Non Need-Based</u> | | | | | |
| WICHE Student Exchange Program | (Student must meet each institutions requirements for admission) | | | | |

NEED DETERMINATION CRITERIA FOR STATE PROGRAMS

ARIZONA 50

| <u>Programs</u> | <u>Program Definition of Need</u> | <u>Is BEOG Application Required?</u> | <u>Expected Family Contribution (EFC) Method</u> | <u>Student Budget Includes What Items?</u> |
|--|--|--------------------------------------|--|--|
| <u>Need-Based</u> <u>Grants</u> Arizona SSIG Program | Determined by FAO at each institution based on need analysis | No | Consensus | Tuition/Fees Books Transportation General Student Costs |

AWARD SIZE DETERMINATION CRITERIA FOR STATE PROGRAMS

ARIZONA E4

| <u>Programs</u> | <u>Maximum Award Size?</u> | <u>What Award Size Determination Method is Used?</u> | <u>How is Award Priority Determined?</u> | <u>Who Ultimately Determines Award Amount?</u> |
|--------------------------------|-----------------------------------|--|--|--|
| <u>Need-Based</u> | | | | |
| <u>Grants</u> | | | | |
| Arizona SSIG Program | \$1,500 | FAO based on need analysis | Need Analysis | Institution FAO |
| <u>Non Need-Based</u> | | | | |
| WICHE Student Exchange Program | \$12,000 (Varies with program) | Tuition payment, agreed upon by WICHE and participating states | State Need | Participating Institutions and WICHE |

NUMBER OF NEED-BASED GRANT PROGRAM APPLICANTS

ARIZONA F1

THESE FIGURES FOR THE 1975-76 SCHOOL YEAR PERTAIN TO:

- STATE STUDENT INCENTIVE GRANT PROGRAM

DATA NOT APPLICABLE (1)

| | | | | | | |
|--------------|---------------------|-------------------|--------------------|---------------------|---------------------|---------------------|
| | \$0- 5,999 | \$6,000- 8,999 | \$9,000- 11,999 | \$12,000- 14,999 | \$15,000- 19,999 | \$20,000- & Over |
| INDEPENDENTS | DEPENDENTS | | | | | |
| | <u>Income Level</u> | | | | | |

DATA NOT APPLICABLE (1)

DATA NOT APPLICABLE (1)

| | | | | | |
|----------------------|-------|----------|--------------------|-------|-------|
| WHITE | BLACK | HISPANIC | AMERICAN INDIAN | ASIAN | OTHER |
| <u>Ethnic Status</u> | | | | | |

| | |
|------------|-------|
| MEN | WOMEN |
| <u>Sex</u> | |

(1) Program will start in 1977.

NUMBER OF NEED-BASED GRANT PROGRAM RECIPIENTS AND AVERAGE AWARD SIZE

F2
ARIZONA

NUMBER OF RECIPIENTS

Income Level

Ethnic Status

Sex

DATA NOT APPLICABLE¹

DATA NOT APPLICABLE¹

DATA NOT APPLICABLE¹

| INDEPENDENTS | \$0-5,999 | \$6,000-8,999 | \$9,000-11,999 | \$12,000-14,999 | \$15,000-19,999 | \$20,000 & Over | WHITE | BLACK | HISPANIC | AMERICAN INDIAN | ASIAN | OTHER | MEN | WOMEN |
|---------------------------|------------|---------------|----------------|-----------------|-----------------|-----------------|-------|-------|----------|-----------------|-------|-------|-----|-------|
| | DEPENDENTS | | | | | | | | | | | | | |
| AVERAGE AWARD SIZE | | | | | | | | | | | | | | |

DATA NOT APPLICABLE¹

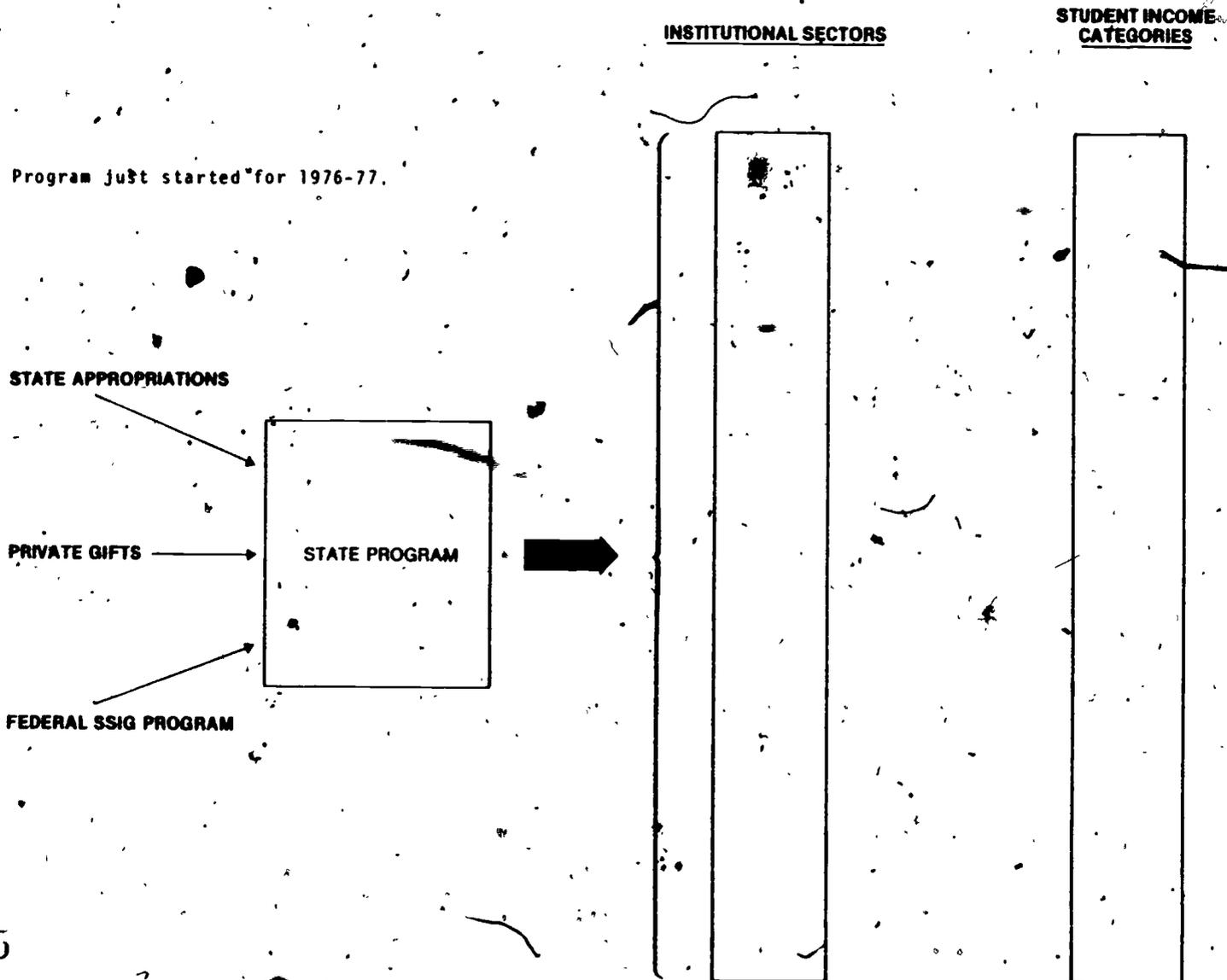
DATA NOT APPLICABLE¹

DATA NOT APPLICABLE¹

| INDEPENDENTS | \$0-5,999 | \$6,000-8,999 | \$9,000-11,999 | \$12,000-14,999 | \$15,000-19,999 | \$20,000 & Over | WHITE | BLACK | HISPANIC | AMERICAN INDIAN | ASIAN | OTHER | MEN | WOMEN |
|--------------|------------|---------------|----------------|-----------------|-----------------|-----------------|-------|-------|----------|-----------------|-------|-------|-----|-------|
| | DEPENDENTS | | | | | | | | | | | | | |

**SOURCES AND DISTRIBUTION OF
NEED-BASED GRANT PROGRAM FUNDS**

ARIZONA G1



**HISTORIC STATE EXPENDITURES ON
STUDENT-ASSISTANCE PROGRAMS**

ARIZONA G2

DOLLARS

NOT APPLICABLE

1970-71

1971-72

1972-73

1973-74

1974-75

1975-76

FISCAL YEAR

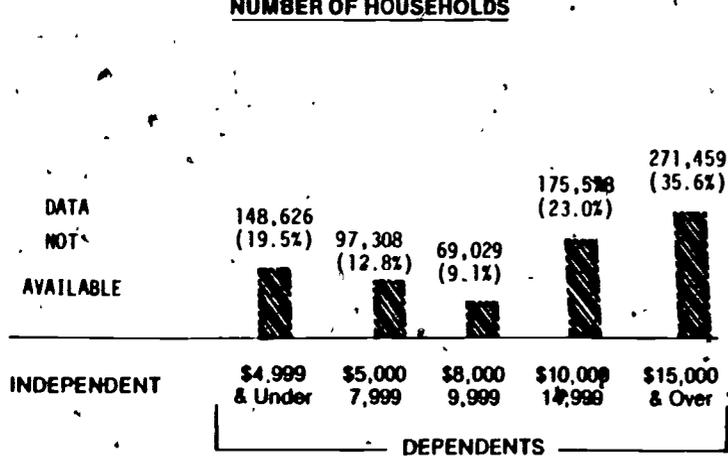
157

158

STATE POPULATION PROFILE

ARIZONA H1

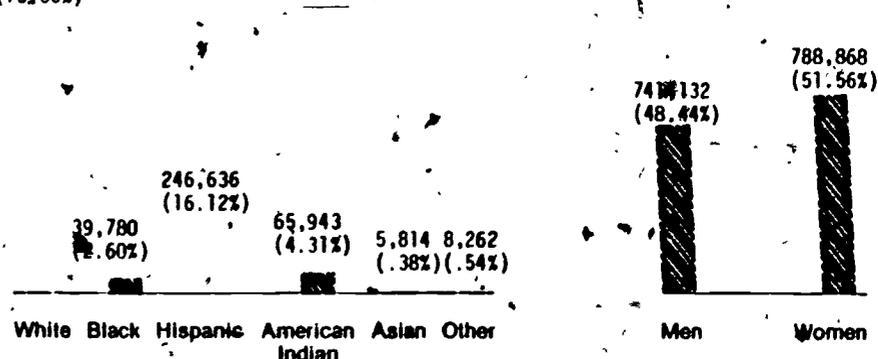
NUMBER OF HOUSEHOLDS



Effective Buying Income

1,163,565
(76.05%)

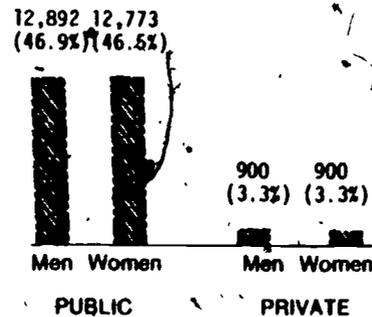
STATE POPULATION 18 AND OVER



Ethnic Status

Sex

STATE HIGH SCHOOL GRADUATES



Sex

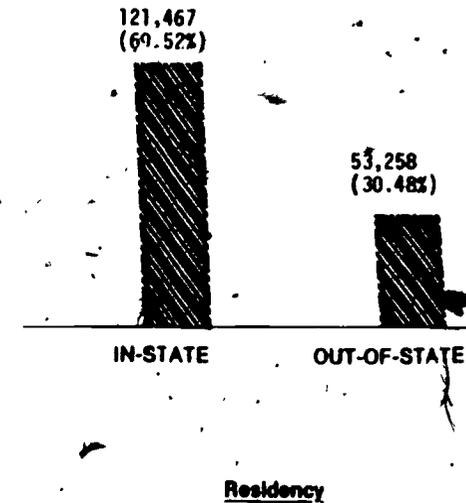
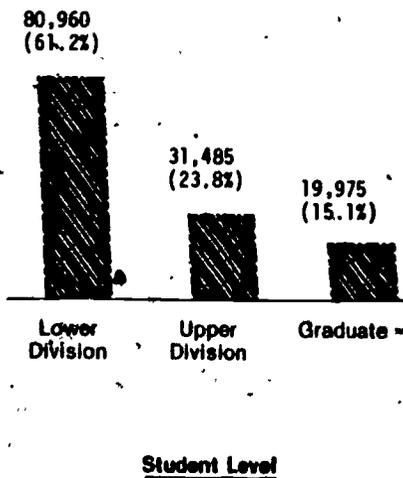
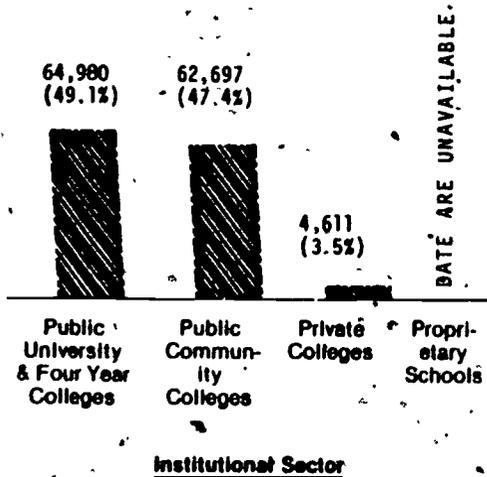
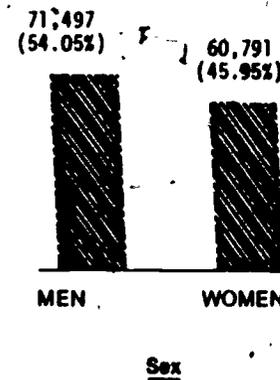
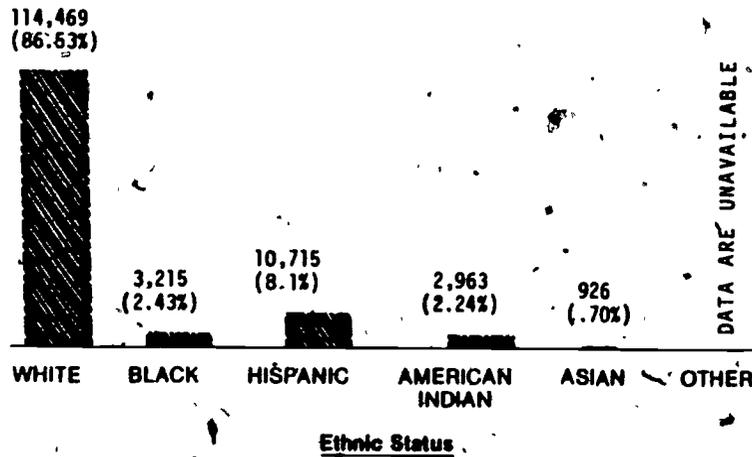
The high school graduation figures do not include 4,726 persons granted a high school equivalency certificate.

(c) Sales and Marketing Management 1977 Survey of Buying Power Data Service

STATE POSTSECONDARY EDUCATION STUDENT ENROLLMENTS

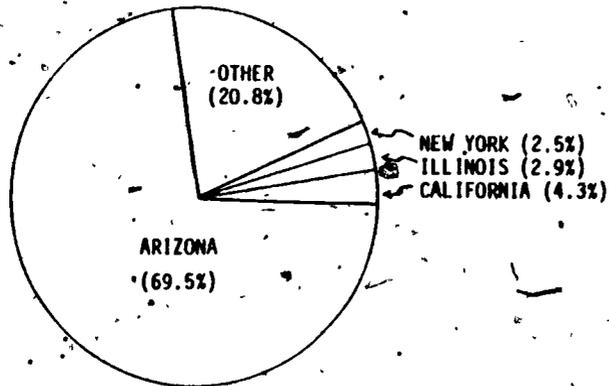
ARIZONA H2

(Figures in Thousands)

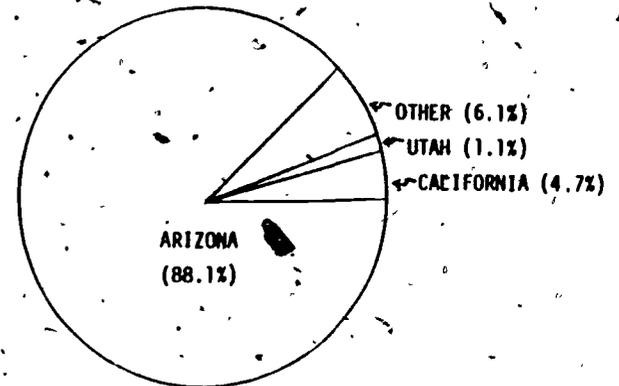


INTERSTATE MIGRATION OF STUDENTS

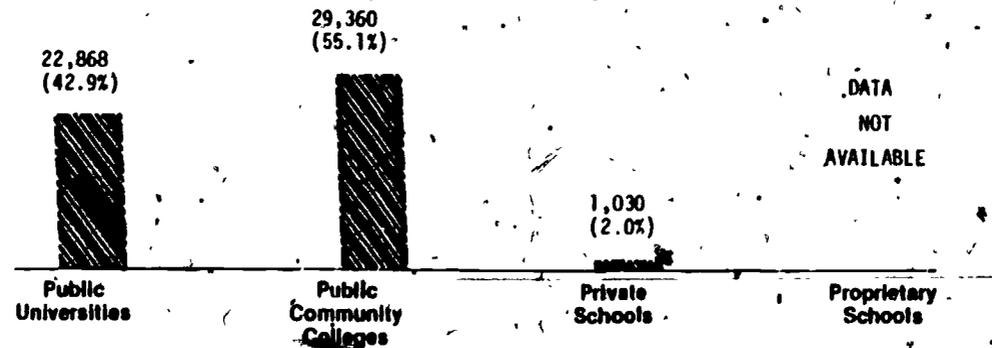
ARIZONA H3



**RESIDENT AND NON-RESIDENT STUDENTS
ATTENDING IN-STATE INSTITUTIONS**



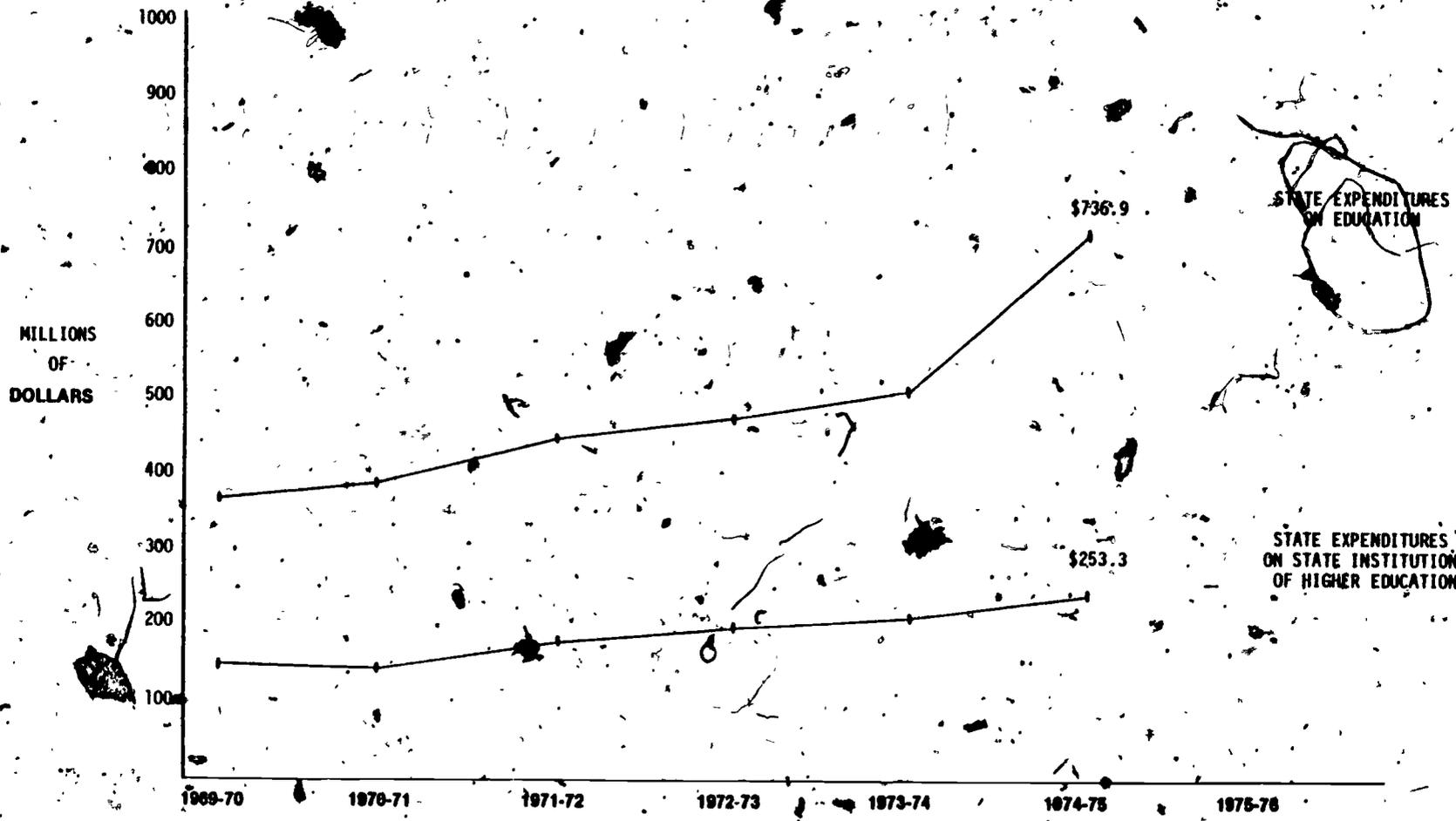
**RESIDENT STUDENTS ATTENDING IN-STATE
AND OUT-OF-STATE INSTITUTIONS**



INSTITUTIONAL SECTOR
(Out-of-State Students in School In-State)

**STATE EXPENDITURE ON POSTSECONDARY EDUCATION
STUDENT ASSISTANCE**

ARIZONA 11



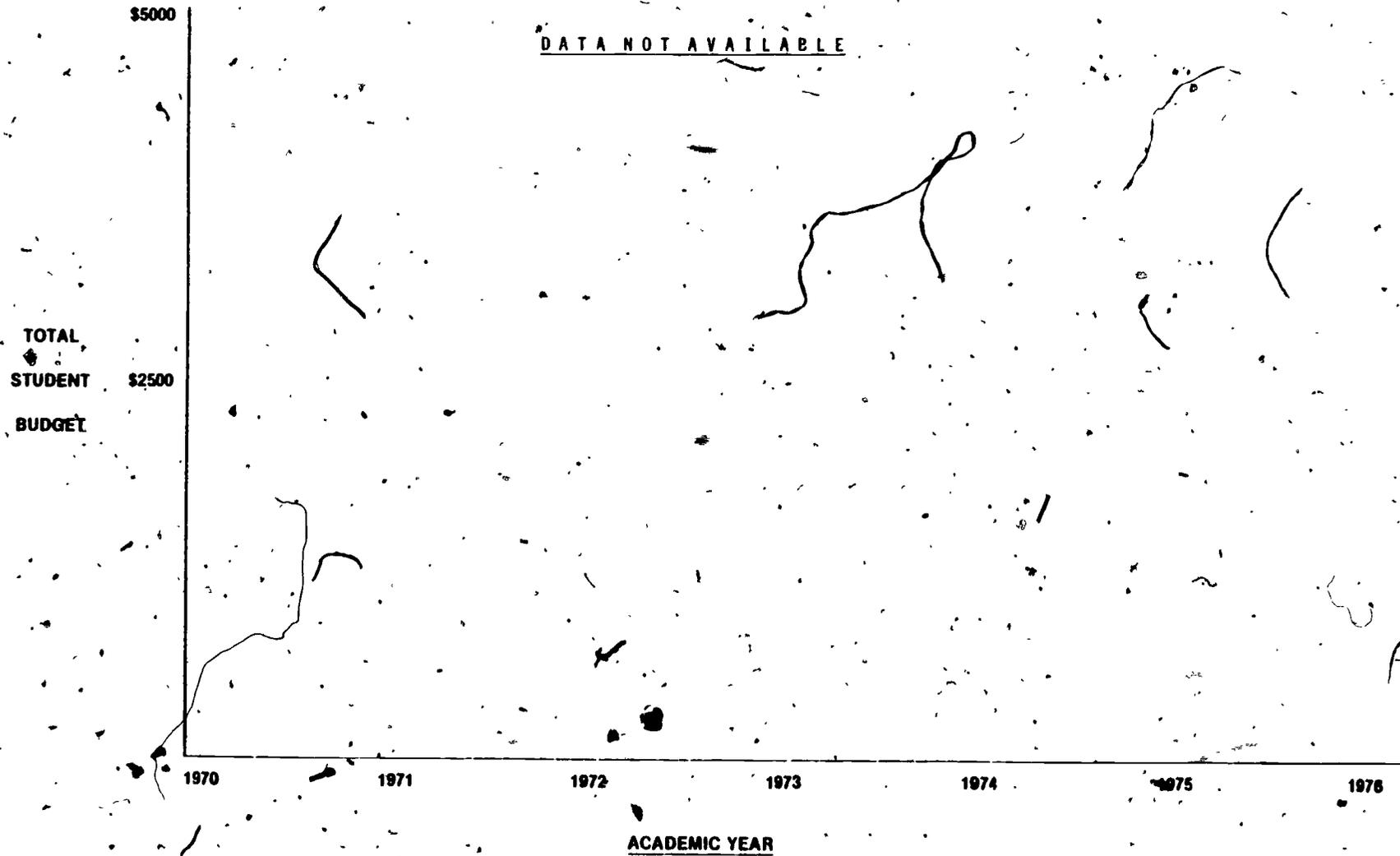
No program in existence for 1975-76 year.

FISCAL YEAR



**GROSS COSTS TO STUDENTS ATTENDING SCHOOL
BY POSTSECONDARY EDUCATION SECTORS**

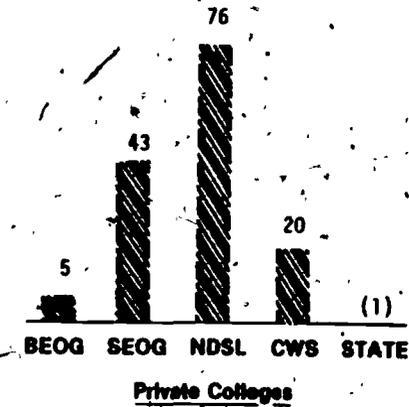
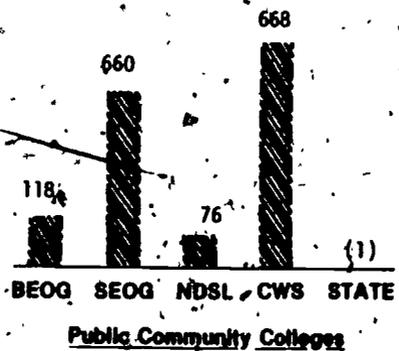
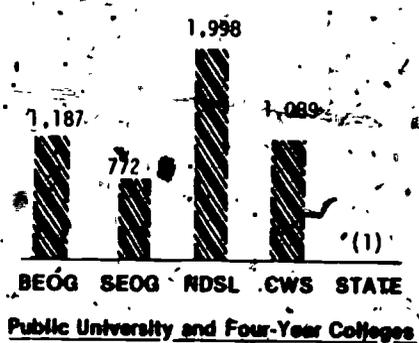
ARIZONA 12



LEVELS OF FEDERAL AND STATE PROGRAM FUNDING BY INSTITUTIONAL SECTOR

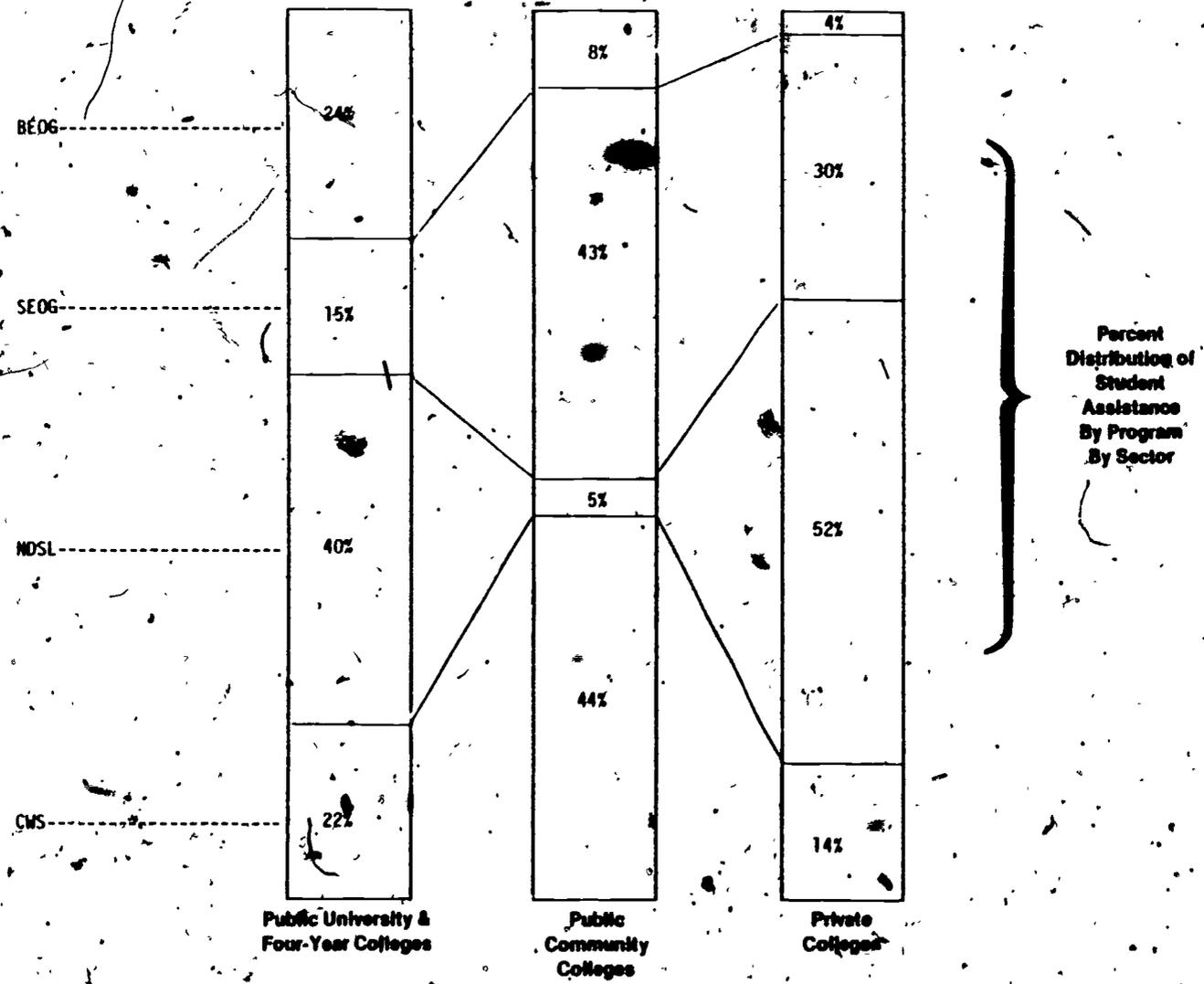
ARIZONA 13

(Figures in thousands)



(1) The data pertaining to state dollars awarded by institutional sector are unavailable.

RELATIVE IMPORTANCE OF FEDERAL AND STATE PROGRAM FUNDING BY SECTOR



**DESCRIPTIONS OF PROGRAM OFFICES AND AGENCIES AND
CORRESPONDING PROGRAM RESPONSIBILITIES**

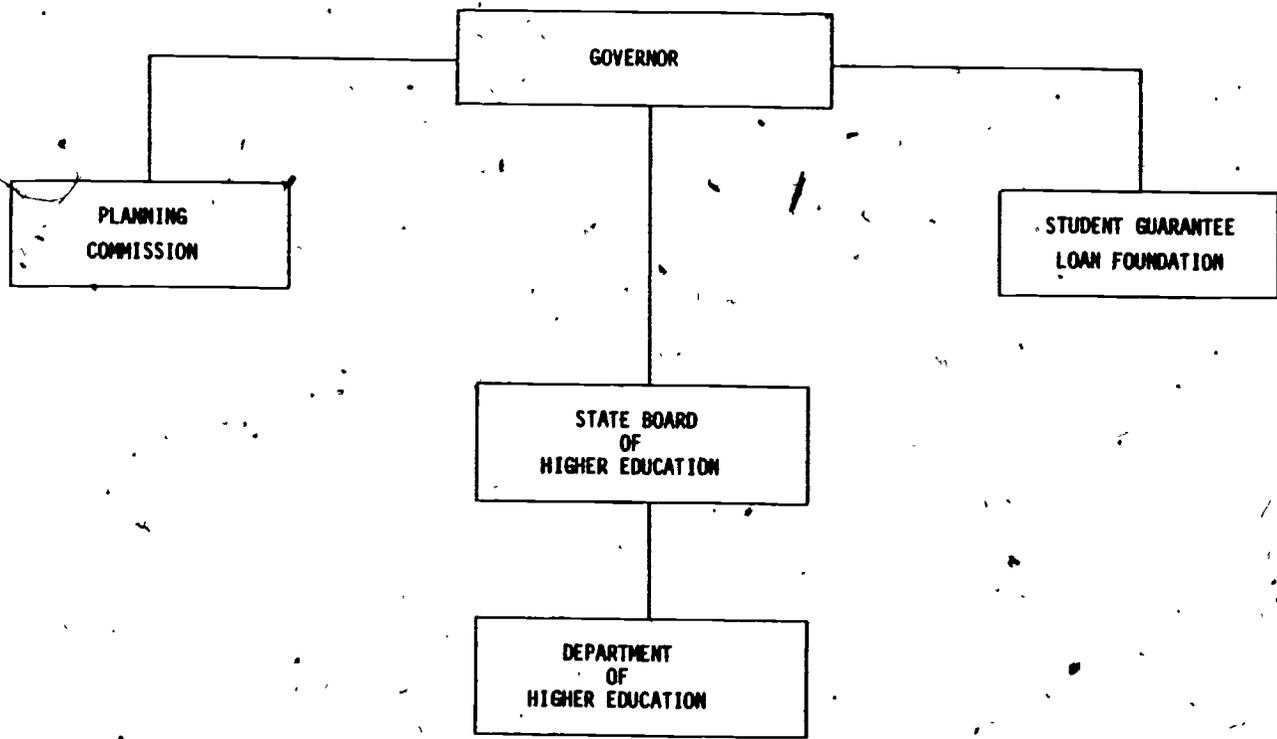
ARKANSAS AI

Department of Education

1. State Scholarship Program
2. Academic Common Market
3. State Assistance for Dependents of POWs/MIAs

RELATIONSHIPS OF STATE AGENCIES

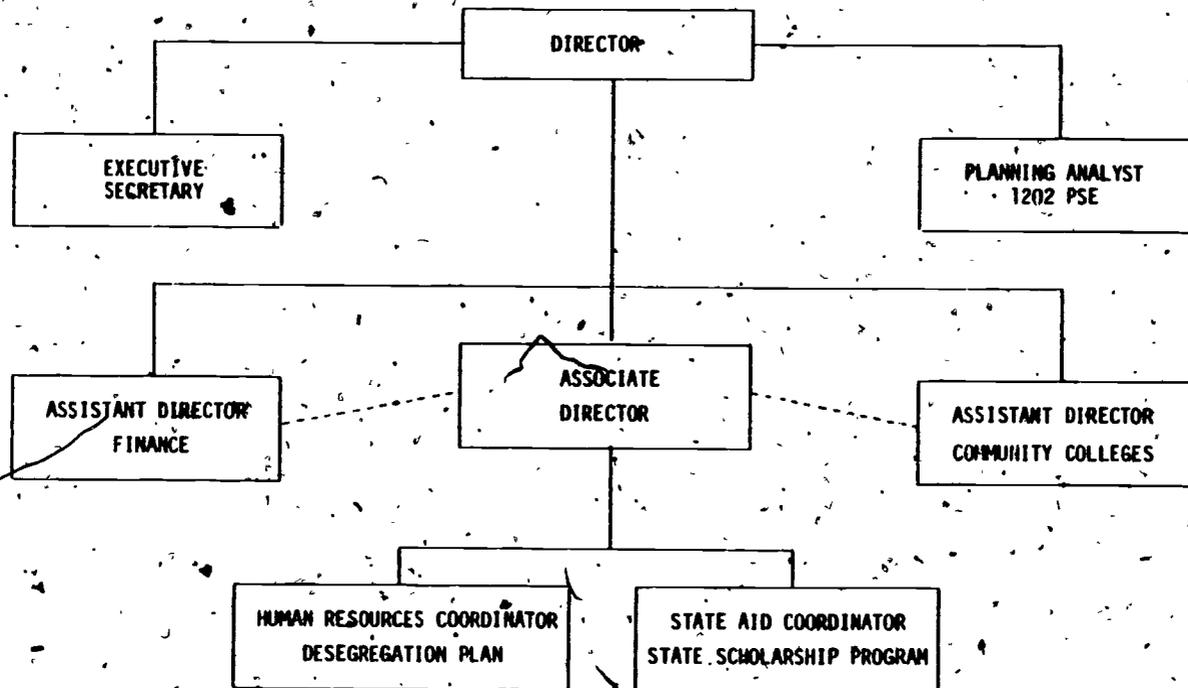
ARKANSAS A2



STATE AGENCY ORGANIZATION

ARKANSAS B1

DEPARTMENT OF HIGHER EDUCATION



MANAGEMENT RELATIONSHIPS BETWEEN THE STATE AGENCY AND OTHER STATE AGENCIES/INSTITUTIONS

ARKANSAS B2

| <u>WHO ACCOMPLISHES THE FOLLOWING?</u> | <u>STATE PROGRAM OFFICE</u> | <u>INSTITUTIONAL FINANCIAL AID OFFICER</u> | <u>OTHER OFFICES OR AGENCIES</u> |
|---|-------------------------------------|--|----------------------------------|
| Processing of Applications | | | |
| • Verification of Student Application Information | X | X | |
| • Identification of Eligible Recipients | X | X | |
| • Determination of Award Size | X | | |
| • Assignment of Priority to Awards | X | | |
| • Formally Approves Award | X | | |
| Makes Payment to Student (or Credits Student Account) | X | | |
| Makes Post-Award Adjustments | X | | |
| Initiates the Prosecution of Possible Fraudulent Student applications | X | | |
| Publicizes Award Availability | X | X | |

STATE PROGRAM OFFICE DEFINITIONS FOR SELECTED TERMS

ARKANSAS B3

| DEFINITIONS USED BY STATE PROGRAM OFFICES | STATE DEFINITION SPECIFIED BY | STATE DEFINITION COMMONLY USED BY ALL INSTITUTIONS | | STATE DEFINITION CONSISTENT ACROSS ALL STATE PROGRAMS |
|---|----------------------------------|---|---------|--|
| | | PUBLIC | PRIVATE | |
| Half-Time Student - A student who is enrolled less than full-time, with full-time status defined at 12 hours or a reasonable academic equivalent. | Interpretation | Yes | Yes | Yes |
| State Resident - Determined by affirmative answers to questions regarding payment of state taxes; ownership of real estate, driver's license, voter registration and living in the state preceding 12 months prior to application. | Interpretation | Yes | Yes | Yes |
| Independent Student - A student who has been living away from home the prior year, current year and anticipates living away from home the next year. Three years are considered. | Interpretation | Yes | Yes | Yes |
| Non-Profit Institution - A school, agency, organization or institution owned and operated by one or more non-profit corporations or associations no part of the net earnings of which may lawfully inure to the benefit of any private shareholder or individual. | Interpretation | Yes | Yes | Yes |
| Satisfactory Academic Progress - Standard of grading which indicates that the student is performing in an average manner; varies slightly from institution to institution, but 2.0 on a 4.0 scale is generally considered satisfactory. | Interpretation | Yes | Yes | Yes |
| United States Citizenship - Born in United States; naturalized citizen. | Interpretation | Yes | Yes | Yes |

INFORMATION FLOW BETWEEN THE STATE PROGRAM OFFICE AND VARIOUS FEDERAL OFFICES

ARKANSAS B4

| TYPE OF INFORMATION PROVIDED BY STATE STUDENT-AID AGENCY | TYPE OF INFORMATION RECEIVED BY STATE STUDENT-AID AGENCY | PERCEPTION OF ADEQUACY OF INFORMATION FLOW |
|---|---|---|
|---|---|---|

FEDERAL OFFICES

| | | | |
|--|-----------|--------------------------|----------|
| SSIG Office | Summaries | Summaries | Adequate |
| BEOG Office | | Applications | Adequate |
| Regional OE Student Assistance Office (Campus-Based Programs) | | Summaries Regulations | Adequate |
| Washington OE Office (Campus-Based Programs) | | Summaries Regulations | Adequate |
| FISL/GSL Office | | | |

STATE/INSTITUTIONAL OFFICES

| | | | |
|---|-------------------------|-----------------------------|----------|
| Public Institutions | Summaries Recipients | Regulations Terminations | Adequate |
| Private Institutions | Summaries Recipients | Regulations Terminations | Adequate |
| Governor/Budget Office | Summaries | | Marginal |
| State Legislature (Including Committee Staffs) | Summaries Recipients | | Marginal |

MANAGEMENT STAFFING OF STATE PROGRAM OFFICES

ARKANSAS B5

| <u>MANAGEMENT FUNCTION</u> | <u>PERCENTAGE OF STAFF EFFORT</u> | <u>STAFF ASSISTANCE FROM OTHER AGENCIES</u> <u>COMMENTS</u> |
|--|-----------------------------------|--|
| APPLICATIONS PROCESSING (Includes verification of student information, need analysis, award determination, etc.) | 70 | |
| RESPONDING TO REQUESTS FOR INFORMATION (Includes federal requests for information, parent/student inquiries, testimony in hearings, etc.) | 10 | |
| PROGRAM REVIEW (Includes on-site visits to institutions, formal/informal audits, etc.) | 5 | |
| PUBLIC RELATIONS AND INFORMATION DISSEMINATION (Includes parent/student workshops, work on promotional literature, etc.) | 10 | |
| GENERAL ADMINISTRATION (Includes executive personnel, staff training and development, regulations and guidelines, preparation of summary statistics, etc.) | 5 | |
| OTHER MANAGEMENT ACTIVITIES (Includes any special staff activities which do not clearly fall in one of the above categories) | 0 | |

STATE AGENCY CALENDAR OF EVENTS FOR NEED-BASED PROGRAMS FOR 1976-77 SCHOOL YEAR

AR K A N S A S

B6

MONTH/YEAR

EVENTS

Since the 1975 Agency budget presented to governors office or budget bureau legislature meets every two years, the 1976-77 program was part of the 1975 legislature process

The legislature meets every two years

APRIL 1975 Legislature appropriates student assistance funds

Since the program initially began in 1975, aid officers were the first group to meet, then counselors during the Spring of 1976 for the 1976-77 program

APRIL-APRIL 1975-1976 Agency completes planning workshops for students or counselors

FEBRUARY 1976 BEOG applications information received from federal office

MARCH 1976 Agency makes student-aid applications available

AUGUST-FEBRUARY 1976-1977 1) Deadline for student-aid applications
2) Agency (or institutions) announces award winners.

FEBRUARY 1977 Last date at which award changes and adjustments are made

PROGRAM AND FISCAL CONTROLS OF PROGRAMS OPERATED BY STATE AGENCY

ARKANSAS 87

| PROGRAM CONTROLS | CONTROL USED SAMPLE OR TOTAL POPULATION STUDIED | AGENCY/OFFICE UNDERTAKING CONTROLS | SPECIAL ACTIONS, COMMENTS |
|---|---|---------------------------------------|------------------------------|
| A. Control on Student Application Data Accuracy | | | |
| 1. Match Against Tax Forms? | Yes | | |
| 2. Match Against BEOG Applications? | Yes | | |
| 3. Match Against Institutional Student Records? | | Institution, State | |
| 4. Warnings of Prosecution? | | State | |
| 5. Prosecution? | | | |
| B. Controls on Attendance and/or Progress | | | |
| 1. Check on Enrollment/Initial Attendance? | Yes | | |
| 2. Check on Mid-Term Attendance? | | State | |
| 3. Check on Course Completion? | Yes | | |
| 4. Check on Academic Progress Before Award? | Yes | State | |
| 5. Partial Awards? | | State | |
| C. Controls on Award Size | | | |
| 1. Check to Make Sure Other Awards Actually Made? | | | |
| 2. Match Against BEOG, Campus-Based Award Files? | | | |
| 3. Re-Check to Ensure Award Adjustments Actually Made? | | | |
| 4. Second Review of Aid Packages? | | | |
| D. Controls on Institutional Student-Aid Operations | | | |
| 1. Independent Program Audits? | | | |
| 2. Aid Officer Bonding? | | | |
| 3. Aid Officer Certification? | | | |
| 4. Double Signatures on All Fund Transfers? | | | |
| E. Controls on Central Administrative Staff Operations | | | |
| 1. Independent Program Audits? | Yes | | |
| 2. Bonding of Staff Members? | | State | |
| 3. Double Signatures on All Fund Transactions? | | | |

1 All funds are in state treasury.

**PROGRAM INFORMATION DISSEMINATION PROCEDURES
USED FOR STATE NEED BASED PROGRAMS.**

ARKANSAS C1

TYPE OF INFORMATION PROVIDED

| <u>INFORMATION PROVIDED THROUGH</u> | <u>APPLICATION FORMS</u> | <u>ELIGIBILITY CONDITIONS</u> | <u>FILING/CLOSING DATES FOR STATE PROGRAMS</u> | <u>INFORMATION ON THE COST OF ATTENDANCE AT VARIOUS TYPES OF INSTITUTIONS</u> | <u>INFORMATION ABOUT APPLYING FOR AID FROM OTHER SOURCES</u> | <u>INFORMATION ON PENALTIES FOR PROVIDING FRAUDULENT DATA</u> |
|--|--------------------------|-------------------------------|--|---|--|---|
| 1. High School Counselors | X | X | X | | X | X |
| 2. College Financial-Aid Officers | X | X | X | | | X |
| 3. Direct Mailings to Graduating High School Students or Parents | X | X | X | X | X | X |
| 4. High School Meetings or Workshops | X | X | X | X | X | X |
| 5. College Admissions Office | X | X | X | | | X |
| 6. Newspaper Ads | X | X | X | | | |
| 7. Radio, Television Ads | X | X | X | | | |
| 8. Social Service Agencies | X | X | X | | | |
| 9. Other (Please Specify) | | | | | | |

DESCRIPTIONS OF STATE-SPONSORED STUDENT ASSISTANCE PROGRAMS

ARKANSAS D1

State Scholarship Program - A need-based, scholastic award program which provides grants of \$100-\$300 to resident students attending approved Arkansas colleges and universities.

Academic Common Market - A tuition-reciprocity program to share among the states in the area served by the Southern Regional Education Board (SREB), certain post-baccalaureate academic programs at the least possible cost to each of the participating states and at the least possible cost to their residents pursuing those programs outside of their own states. Students are enrolled on an in-state tuition basis.

State Assistance for Dependents of POWs/MIAs - A tuition-remission program which waives fees at in-state public institutions for resident dependents of prisoners of war and soldiers listed as missing in action.

BASIC STATE PROGRAM INFORMATION

ARKANSAS D2

| <u>Programs</u> | <u>Year</u> | <u>Number of Awards</u> | <u>Average Full-Time Award Size</u> | <u>Total Dollars Awarded</u> | <u>Eligible Population</u> |
|--|--------------------|---------------------------------|---|--------------------------------------|----------------------------|
| <u>Need-Based</u> | | | | | |
| <u>Grants</u> | | | | | |
| State Scholarship Program | 1975-76 | 1,135 | \$200 | \$202,050 | Resident Freshmen |
| <u>Non Need-Based</u> | | | | | |
| Academic Common Market | 1973-74 1975-76 | 3 5 | Note 1 Note 1 | Note 1 Note 1 | |
| State Assistance for Dependents of POWs/MIAs | 1975-76 | 1 | \$250 | \$ 250 | Dependents of POWs/MIAs |

193

195

No awards made. Receiving institution simply extends student in-state status.

STUDENT ELIGIBILITY CRITERIA FOR STATE PROGRAMS

ARKANSAS E1

| <u>Programs</u> | <u>Acceptable Residency Status</u> | <u>Acceptable Attend. Status</u> | <u>Acceptable Student Levels</u> | <u>Special Priority to Cont'g. Awards</u> | <u>Income Limits</u> | <u>Need Limits</u> |
|--|------------------------------------|-------------------------------------|----------------------------------|---|----------------------|--------------------|
| <u>Need-Based</u> | | | | | | |
| <u>Grants</u> | | | | | | |
| State Scholarship Program | Residents attending In-State | Full-Time | Freshmen | Not Given | Not Given | Not Given |
| <u>Non Need-Based</u> | | | | | | |
| Academic Common Market | Residents at Out-of-State Schools | Not Given | Graduates | No | Not Applicable | Not Applicable |
| State Assistance for Dependents of POWs/MIAs | Residents attending In-State | Full-Time Half-Time Part-Time | All Under-graduates | No | Not Applicable | Not Applicable |

Beginning with 1977-78 program, award status will be extended to sophomore students.

INSTITUTIONAL ELIGIBILITY CRITERIA FOR STATE STUDENT-ASSISTANCE PROGRAMS

ARKANSAS E2

| <u>Programs</u> | <u>University and 4-Year Colleges</u> | <u>Community/ Junior Colleges</u> | <u>Voc./Tech. Schools</u> | <u>Proprietary</u> | <u>Special Types of Institutions</u> |
|--|---|---------------------------------------|-------------------------------|--------------------|--|
| <u>Need-Based</u> | | | | | |
| <u>Grants</u> | | | | | |
| State Scholarship Program | Public Private | Public | No | No | No |
| <u>Non Need-Based</u> | | | | | |
| Academic Common Market | Public | No | No | No | No |
| State Assistance for Dependents of POWs/MIAs | Public | Public | Public | No | No |

NEED DETERMINATION CRITERIA FOR STATE PROGRAMS

ARKANSAS E3

| <u>Programs</u> | <u>Program Definition of Need</u> | <u>Is BEOG Application Required?</u> | <u>Expected Family Contribution (EFC) Method</u> | <u>Student Budget Includes What Items?</u> |
|---------------------------|--|--------------------------------------|--|---|
| <u>Need-Based</u> | | | | |
| <u>Grants</u> | | | | |
| State Scholarship Program | Need = Student Budget - EFC from BEOG Analysis | Yes | BEOG | Tuition/Fees Room/Board Books Transportation |

AWARD SIZE DETERMINATION CRITERIA FOR STATE PROGRAMS

| <u>Programs</u> | <u>Maximum Award Size?</u> | <u>What Award Size Determination Method is Used?</u> | <u>How is Award Priority Determined?</u> | <u>Who Ultimately Determines Award Amount?</u> |
|--|-------------------------------|--|---|--|
| <u>Need-Based</u> | | | | |
| <u>Grants</u> | | | | |
| State Scholarship Program | \$300 | Student eligibility index and cost of education determine the amount from BEOG-based awarding chart. | Eligible students who meet application deadline; if number exceeds funds, proration occurs. | State |
| <u>Non Need-Based</u> | | | | |
| Academic Common Market | Equal to Out-of-State Charges | By the amount of out-of-state fees charged by the various institutions. | Not Applicable | Note 1 |
| State Assistance for Dependents of POWs/MIAs | None | Written State Board of Higher Education guidelines. | Not Applicable | State Board of Higher Education Guidelines |

Agreement by states involved to waive out-of-state fees.

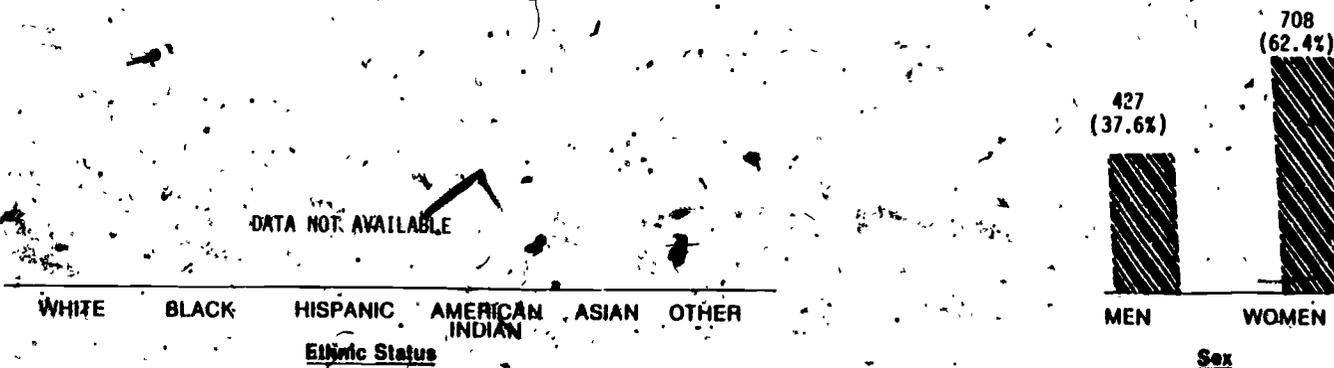
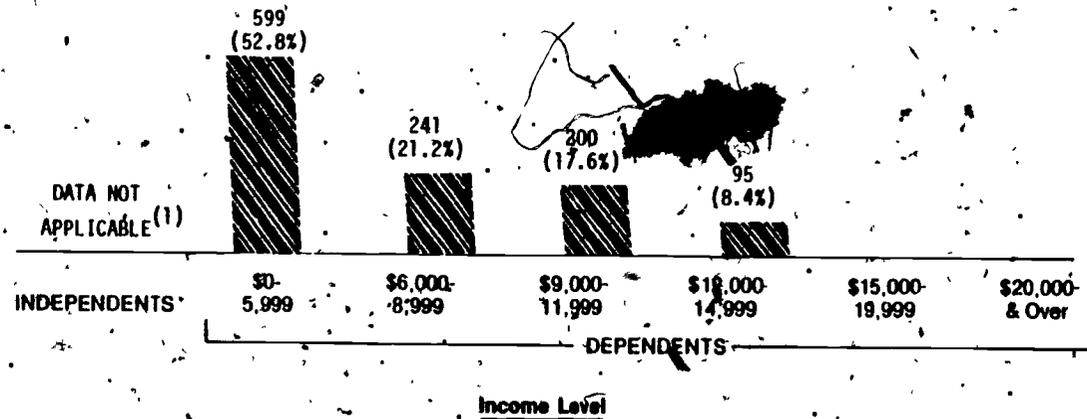


NUMBER OF NEED-BASED GRANT PROGRAM APPLICANTS

ARKANSAS FI

THESE FIGURES FOR THE 1975-76
SCHOOL YEAR PERTAIN TO:

• STATE SCHOLARSHIP PROGRAM

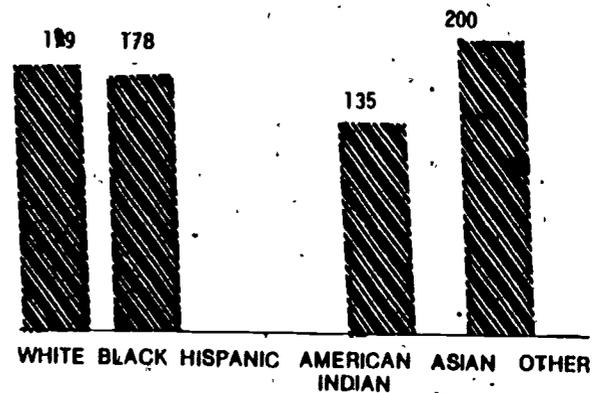
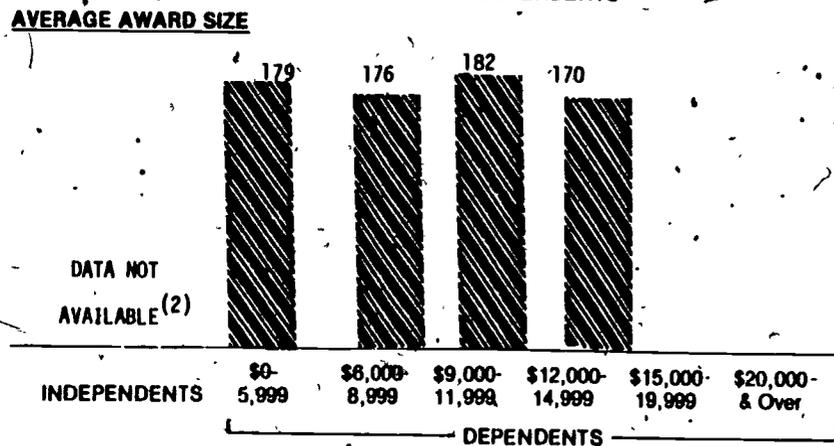
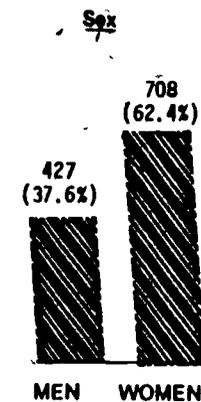
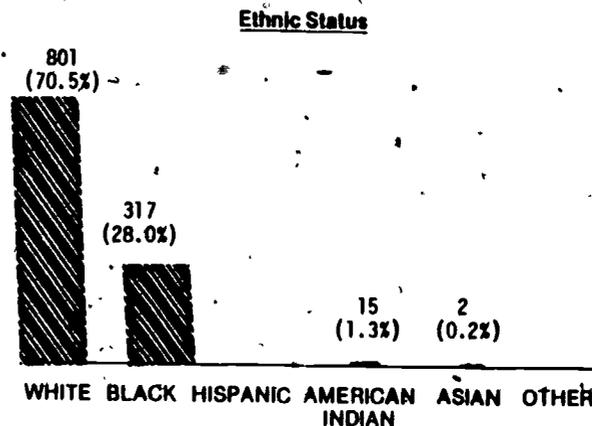
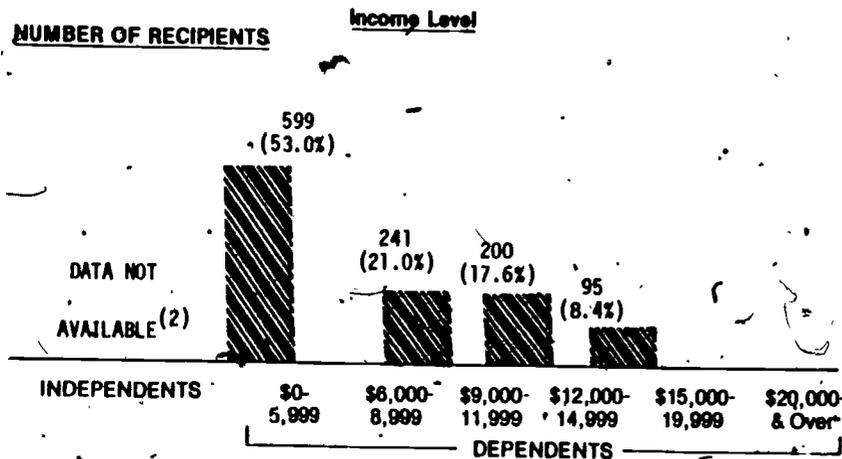


(1) The distribution of awards by student income categories includes awards made to both dependent and independent students. The data available did not distinguish between dependent and independent students.

NUMBER OF NEED-BASED GRANT PROGRAM RECIPIENTS AND AVERAGE AWARD SIZE

F2
ARKANSAS

THESE FIGURES FOR THE 1975-76 SCHOOL YEAR PERTAIN TO:
• STATE SCHOLARSHIP PROGRAM⁽¹⁾



DATA NOT AVAILABLE
MEN WOMEN

(1) Statistics are based on the number of awards paid out. The state had funds for all applicants who applied.
 (2) The distribution of awards by student income categories includes awards made to both dependent and independent students. The data available did not distinguish between dependent and independent students.

SOURCES AND DISTRIBUTION OF NEED-BASED GRANT PROGRAM FUNDS

ARKANSAS G1

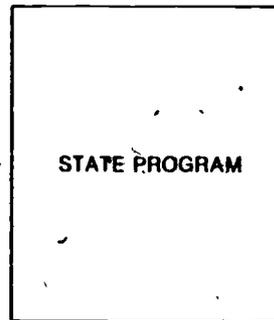
THESE FIGURES FOR THE 1975-76 SCHOOL YEAR PERTAIN TO:

- STATE SCHOLARSHIP PROGRAM

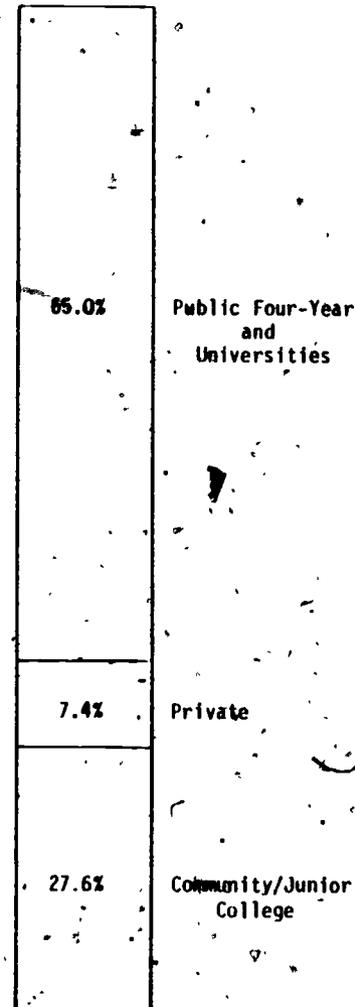
STATE APPROPRIATIONS
\$350,000 75.9%

PRIVATE GIFTS

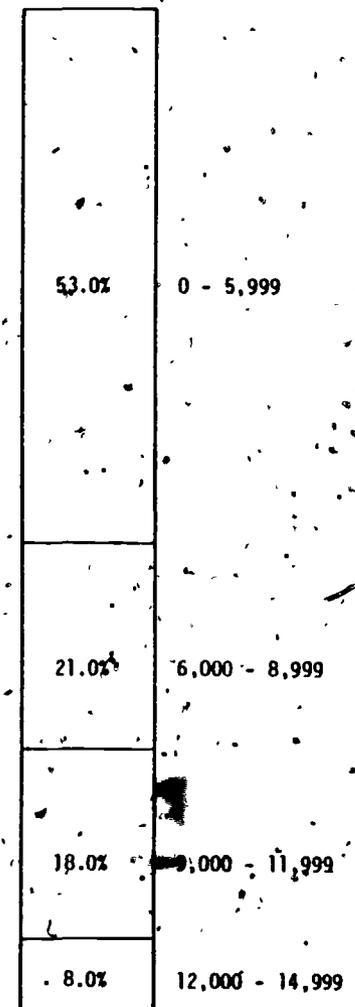
\$110,937 24.1%
FEDERAL SSIQ PROGRAM



INSTITUTIONAL SECTORS



STUDENT INCOME CATEGORIES

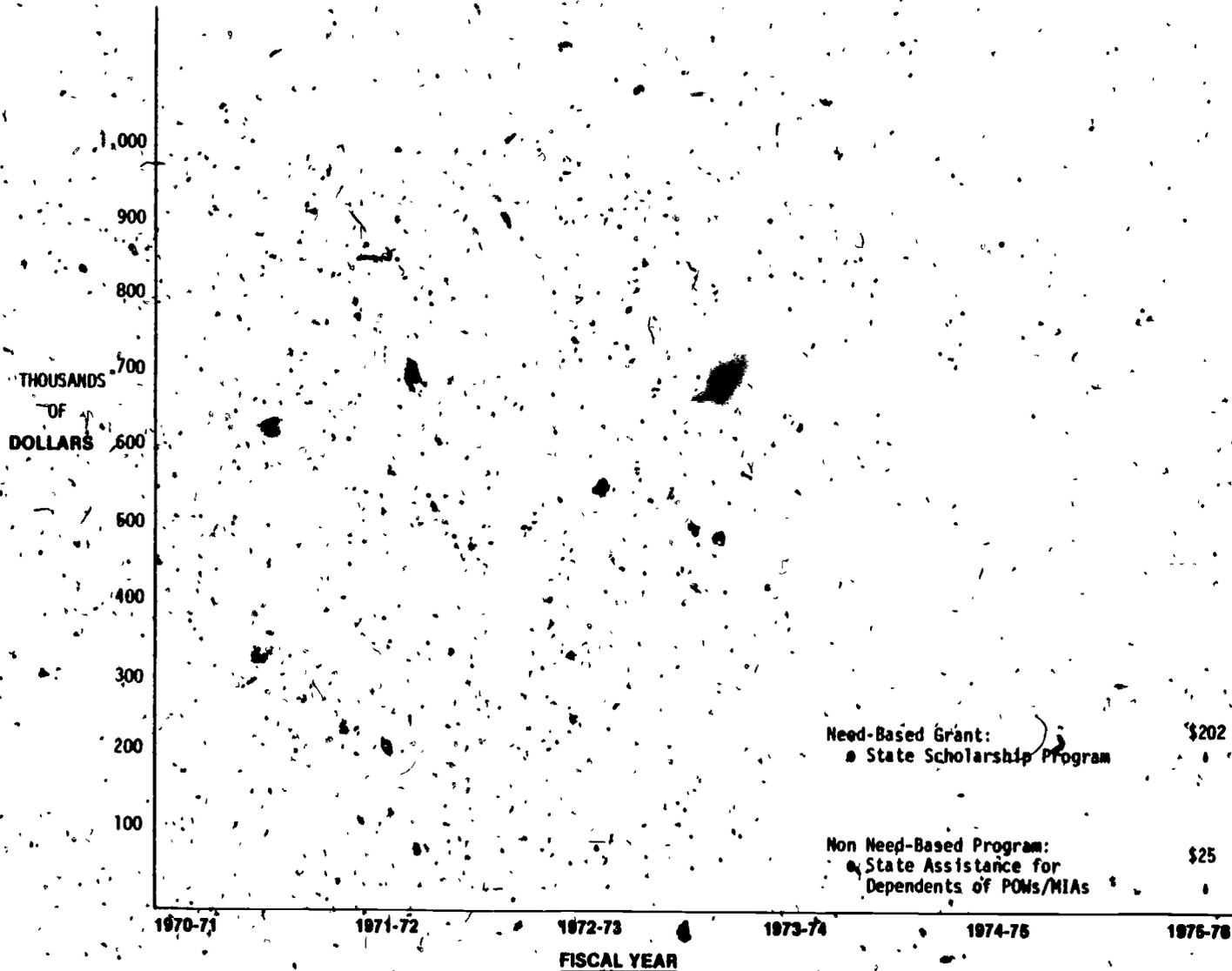


The distribution of awards by student income categories includes awards made to both dependent and independent students. The data available did not distinguish between dependent and independent students.

2091

HISTORIC STATE EXPENDITURES ON STUDENT-ASSISTANCE PROGRAMS

ARKANSAS G2



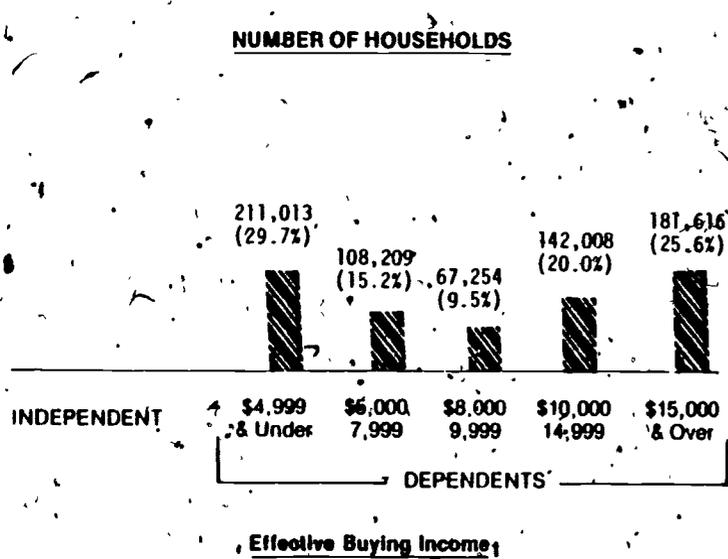
211

212

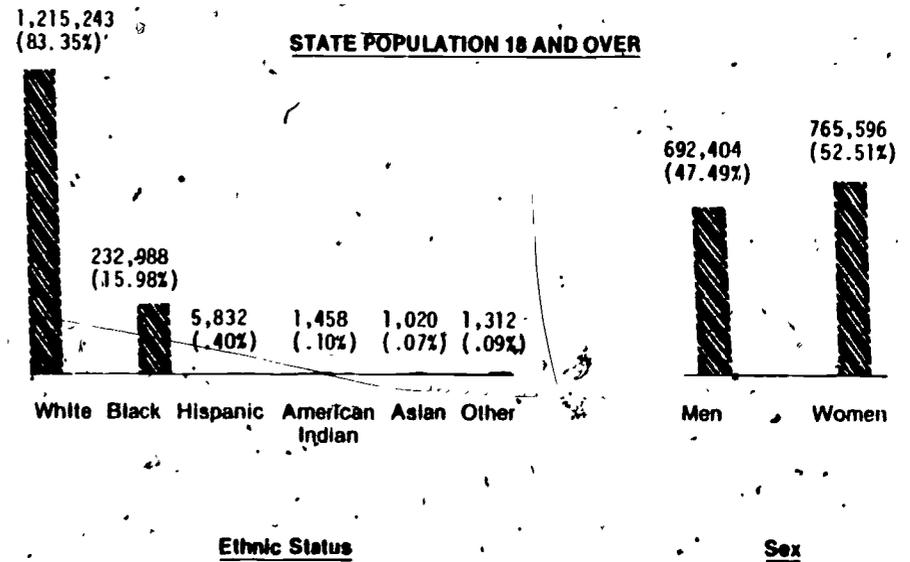
STATE POPULATION PROFILE

ARKANSAS H1

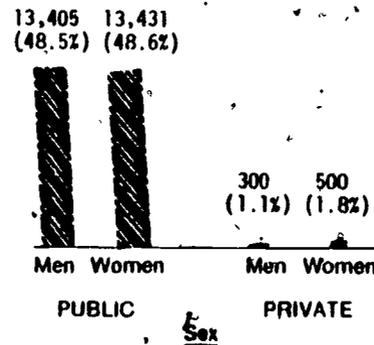
NUMBER OF HOUSEHOLDS



STATE POPULATION 18 AND OVER



STATE HIGH SCHOOL GRADUATES



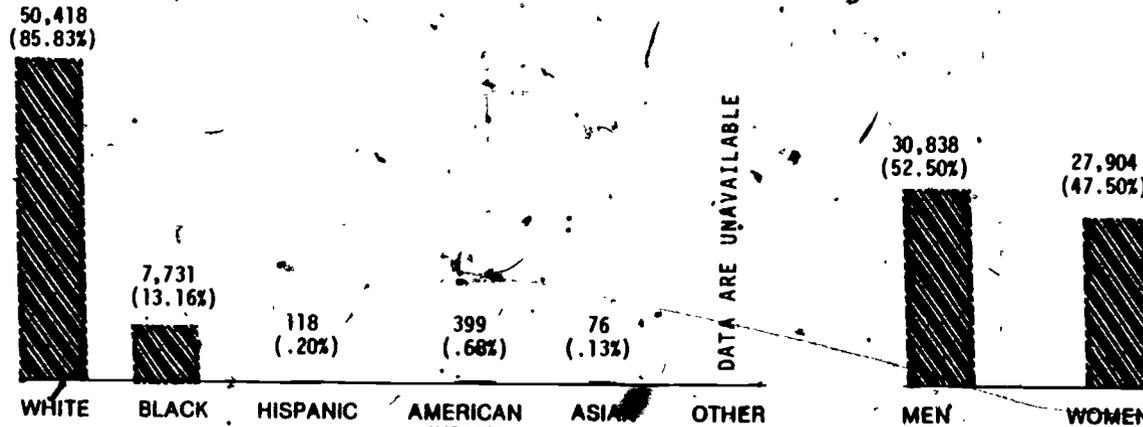
The high school graduation figures do not include 2,461 persons granted a high school equivalency certificate.

(c) Sales and Marketing Management Survey of Buying Power Data-Service

STATE POSTSECONDARY EDUCATION STUDENT ENROLLMENTS

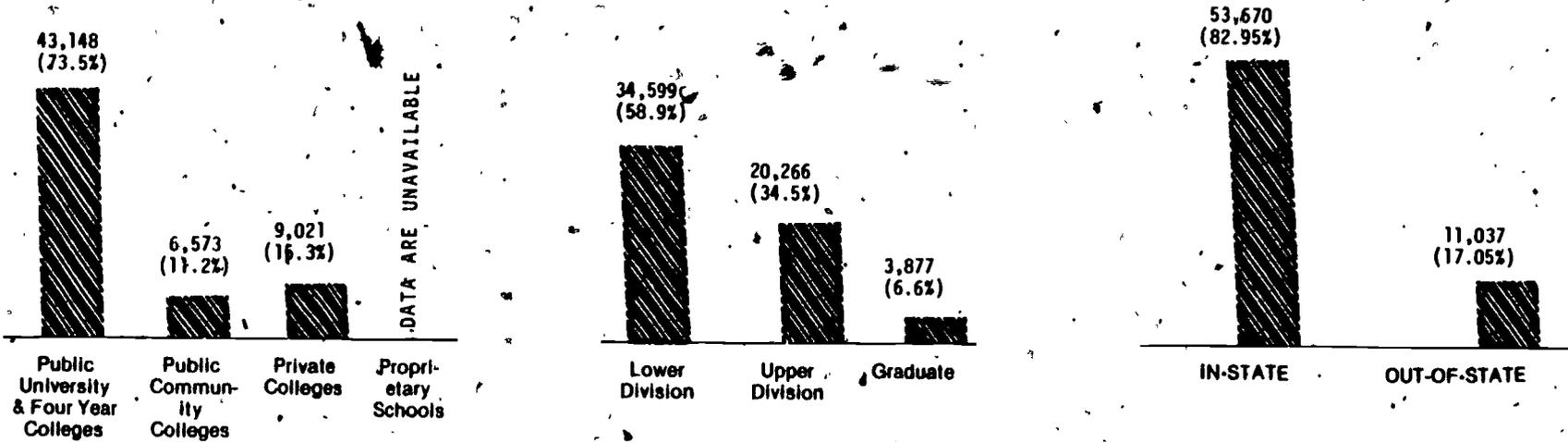
ARKANSAS H2

(Figures in Thousands)



Ethnic Status

Sex



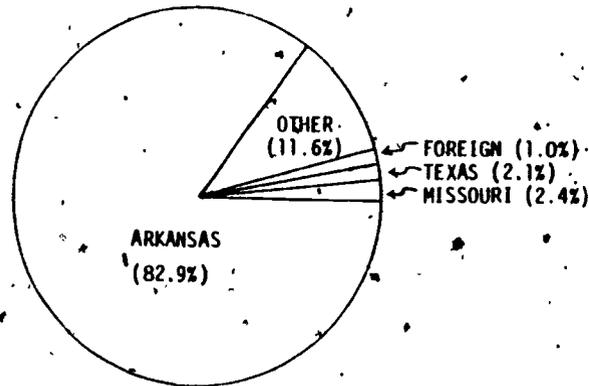
Institutional Sector

Student Level

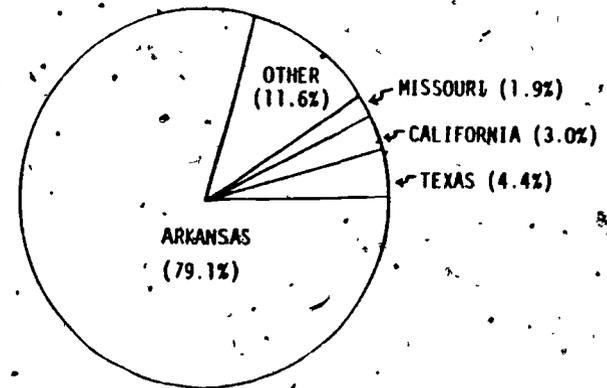
Residency

INTERSTATE MIGRATION OF STUDENTS

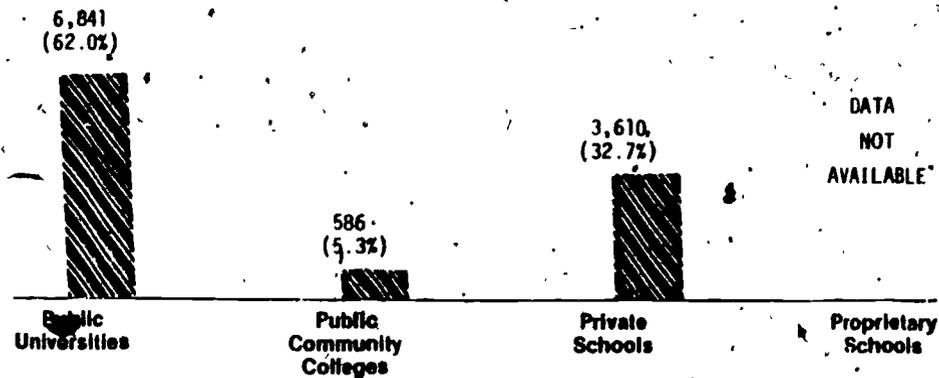
ARKANSAS H3



RESIDENT AND NON-RESIDENT STUDENTS ATTENDING IN-STATE INSTITUTIONS



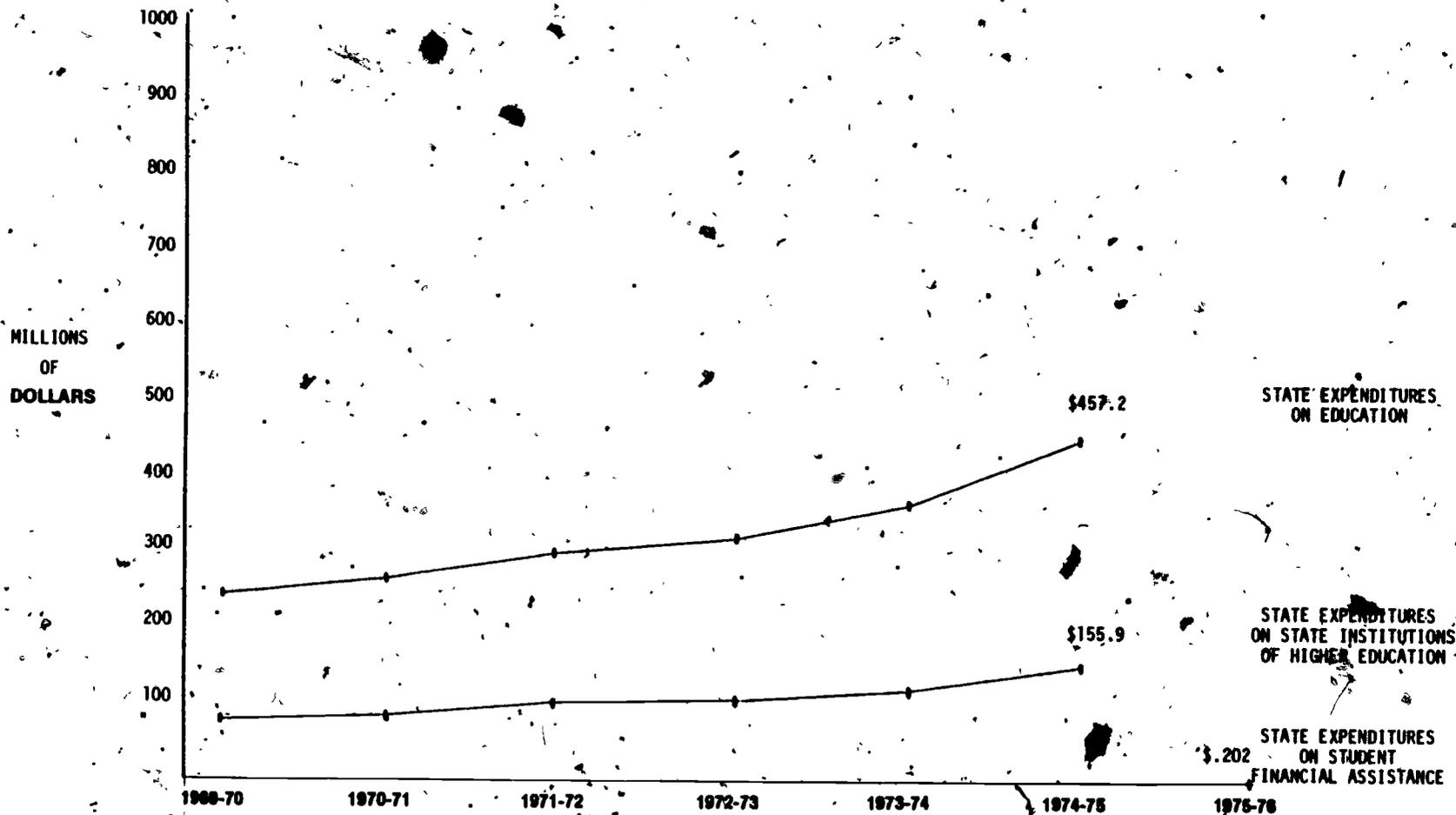
RESIDENT STUDENTS ATTENDING IN-STATE AND OUT-OF-STATE INSTITUTIONS



INSTITUTIONAL SECTOR
(Out-of-State Students in School in-State)

STATE EXPENDITURE ON POSTSECONDARY EDUCATION STUDENT ASSISTANCE

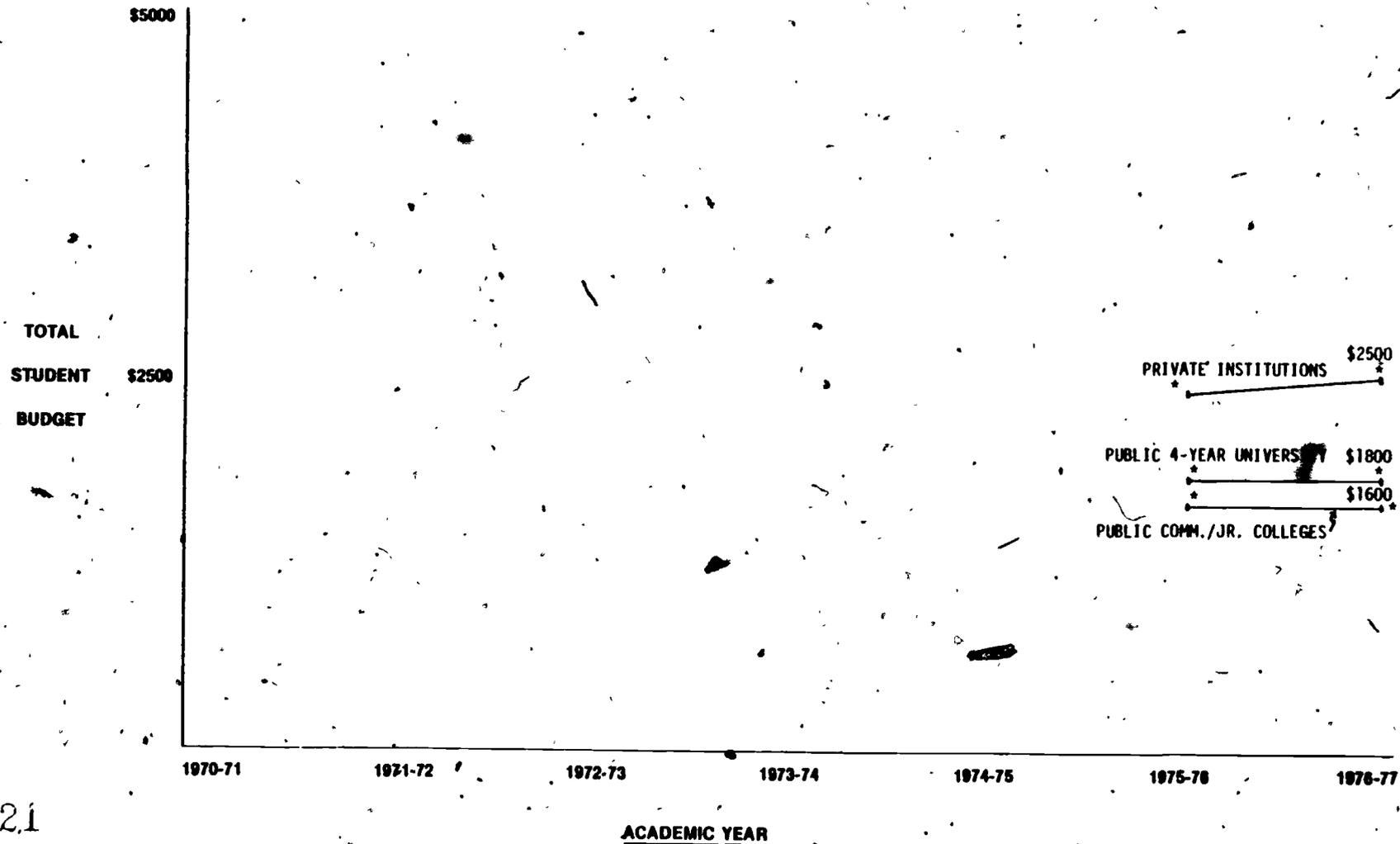
ARKANSAS 11



THESE DATA PERTAIN TO:
 ● STATE SCHOLARSHIP PROGRAM
 ● STATE ASSISTANCE FOR DEPENDENTS OF POWS/MIAS

GROSS COSTS TO STUDENTS ATTENDING SCHOOL BY POSTSECONDARY EDUCATION SECTORS

ARKANSAS 12



221

*Response is based on a reasonable estimation or approximation.

222

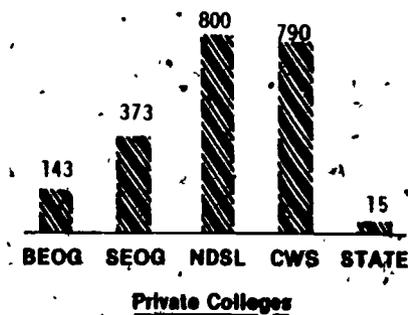
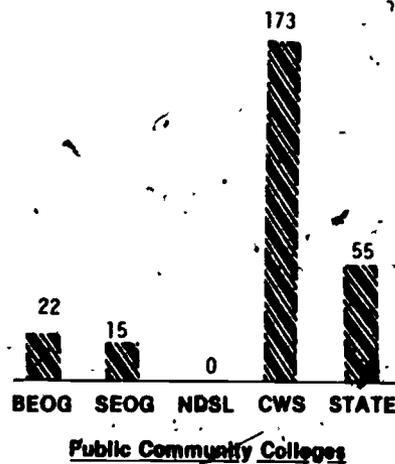
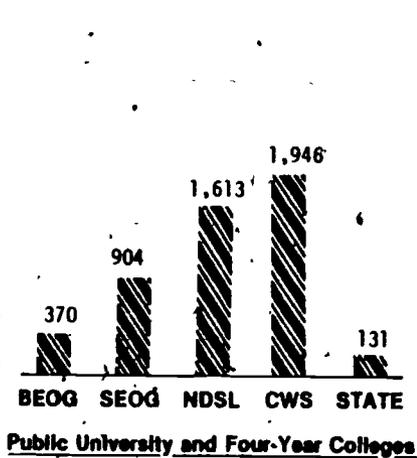
LEVELS OF FEDERAL AND STATE PROGRAM FUNDING BY INSTITUTIONAL SECTOR

ARKANSAS 13

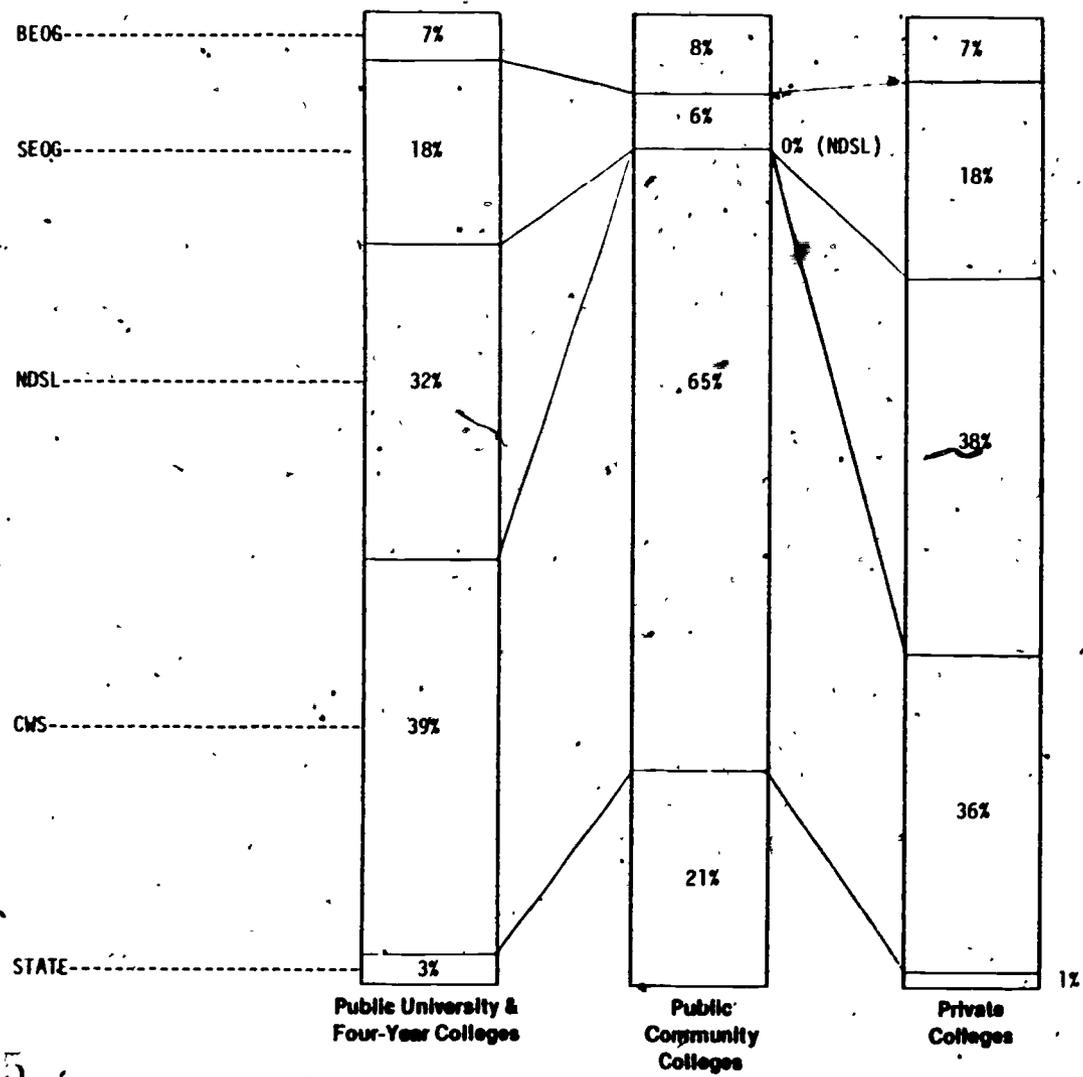
(Figures in thousands)

THE FIGURES SHOWN FOR STATE DOLLARS AWARDED ARE FOR THE 1975-76 SCHOOL YEAR AND PERTAIN TO:

- STATE SCHOLARSHIP PROGRAM



RELATIVE IMPORTANCE OF FEDERAL AND STATE PROGRAM FUNDING BY SECTOR



THE FIGURES SHOWN FOR STATE DOLLARS AWARDED ARE FOR THE 1975-76 SCHOOL YEAR AND PERTAIN TO:

- STATE SCHOLARSHIP PROGRAM

} Percent Distribution of Student Assistance By Program By Sector

**DESCRIPTIONS OF PROGRAM OFFICES AND AGENCIES AND
CORRESPONDING PROGRAM RESPONSIBILITIES**

CALIFORNIA A1

Student Aid Commission

1. State Scholarship Program
2. College Opportunity Grant Program
3. Occupational Education and Training Grant Program
4. Law Enforcement Personnel Dependents Scholarship
5. Real Estate Scholarship Program
6. Graduate Fellowship Program
7. Medical Student Contract Program
8. Supervised Clinical Training Program
9. Statewide Student Financial Aid Information Program

Other Agencies

1. Education Assistance to Veterans and Dependents
2. Tuition Grant Program

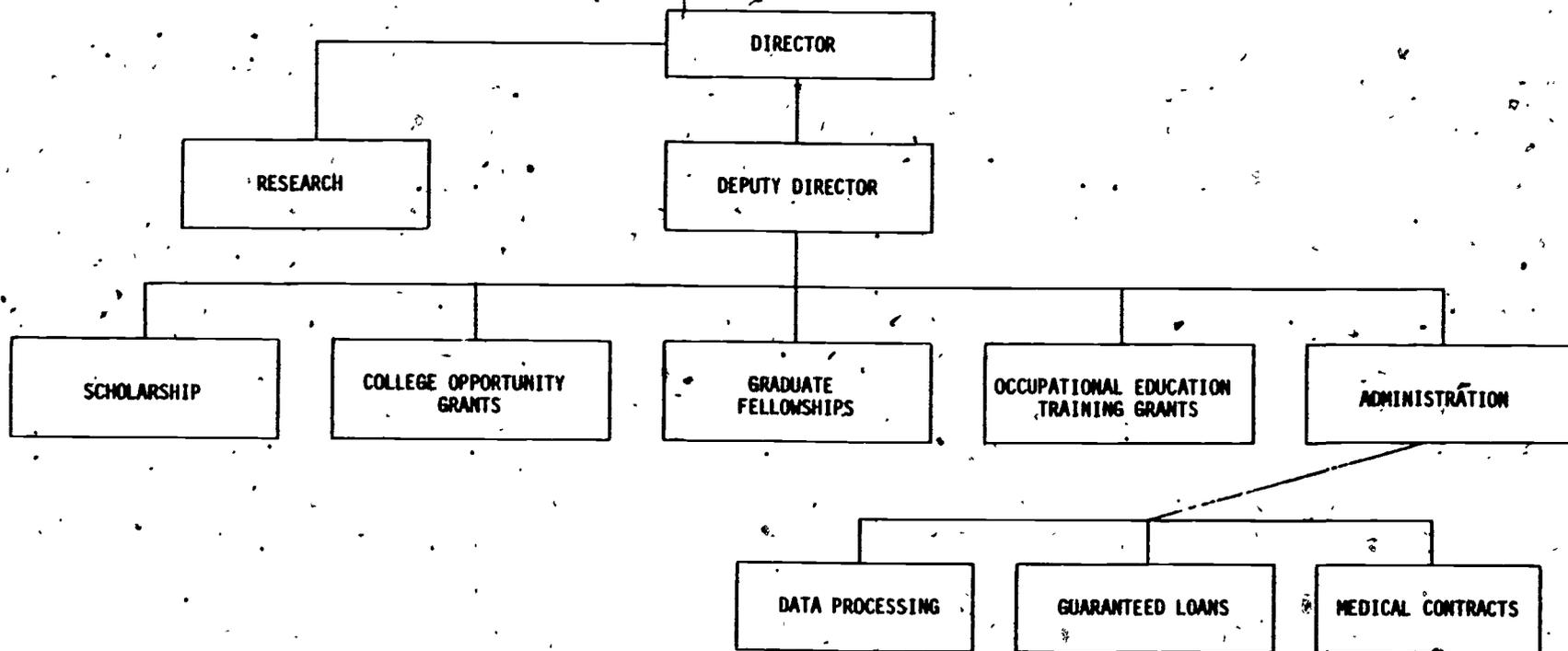
California State Department of Rehabilitation

1. Vocational Rehabilitation Program

STATE AGENCY ORGANIZATION

CALIFORNIA B1

CALIFORNIA STATE STUDENT AID COMMISSION



250

229

**MANAGEMENT RELATIONSHIPS BETWEEN THE STATE AGENCY
AND OTHER STATE AGENCIES/INSTITUTIONS**

CALIFORNIA B2

| <u>WHO ACCOMPLISHES THE FOLLOWING?</u> | <u>STATE PROGRAM OFFICE</u> | <u>INSTITUTIONAL FINANCIAL AID OFFICER</u> | <u>OTHER OFFICES OR AGENCIES</u> |
|---|-----------------------------|--|----------------------------------|
| Processing of Applications | | | |
| • Verification of Student Application Information | X | | |
| • Identification of Eligible Recipients | X | | |
| • Determination of Award Size | X | | |
| • Assignment of Priority to Awards | X | | |
| • Formally Approves Award | X | | |
| Makes Payment to Student (or Credits Student Account) | X | | |
| Makes Post-Award Adjustments | X | | |
| Initiates the Prosecution of Possible Fraudulent Student applications | X | | |
| Publicizes Award Availability | X | | |

STATE PROGRAM OFFICE DEFINITIONS FOR SELECTED TERMS

CALIFORNIA B3

DEFINITIONS USED BY STATE PROGRAM OFFICES

STATE DEFINITION SPECIFIED BY

STATE DEFINITION COMMONLY USED BY ALL INSTITUTIONS

STATE DEFINITION CONSISTENT ACROSS ALL STATE PROGRAMS

PUBLIC

PRIVATE

Part-Time Student - A part-time student is one who is taking 6-11 units or their equivalent. A student enrolled for 9-11 units or their equivalent is considered three-fourths time and a student enrolled for 6-8 units or their equivalent is considered one-half time.

Law

State Resident - A resident is a student who has had residence in the state for more than one year immediately preceding the residence determination date.

Law

Independent Student - A student who has not received more than \$600 in support, has not been claimed as an exemption on parent's income tax return, and has not lived with parents for the three prior tax years.

Satisfactory Academic Progress - Maintains at least a 2.0 GPA.

**INFORMATION FLOW BETWEEN THE STATE PROGRAM OFFICE
AND VARIOUS FEDERAL OFFICES**

CALIFORNIA B4

TYPE OF INFORMATION PROVIDED
BY STATE STUDENT-AID AGENCY

TYPE OF INFORMATION RECEIVED
BY STATE STUDENT-AID AGENCY

PERCEPTION OF ADEQUACY
OF INFORMATION FLOW

FEDERAL OFFICES

SSIG Office

BEOG Office

Regional OE Student-Assistance Office
(Campus-Based Programs)

Washington OE Office
(Campus-Based Programs)

FISL/GSL Office

DATA ARE UNAVAILABLE

STATE/INSTITUTIONAL OFFICES

Public Institutions

Private Institutions

Governor/Budget Office

State Legislature
(Including Committee Staffs)

MANAGEMENT STAFFING OF STATE PROGRAM OFFICES

CALIFORNIA B5

MANAGEMENT FUNCTION

PERCENTAGE OF STAFF EFFORT

STAFF ASSISTANCE FROM OTHER AGENCIES COMMENTS

APPLICATIONS PROCESSING

(Includes verification of student information, need analysis, award determination, etc.)

RESPONDING TO REQUESTS FOR INFORMATION

(Includes federal requests for information, parent/student inquiries, testimony in hearings, etc.)

PROGRAM REVIEW

(Includes on-site visits to institutions, formal/informal audits, etc.)

PUBLIC RELATIONS AND INFORMATION DISSEMINATION

(Includes parent/student workshops, work on promotional literature, etc.)

GENERAL ADMINISTRATION

(Includes executive personnel, staff training and development, regulations and guidelines; preparation of summary statistics, etc.)

OTHER MANAGEMENT ACTIVITIES

(Includes any special staff activities which do not clearly fall in one of the above categories)

DATA ARE UNAVAILABLE

237

238

**STATE AGENCY CALENDAR OF EVENTS FOR NEED-BASED
PROGRAMS FOR 1976-77 SCHOOL YEAR**

CALIFORNIA

86

MONTH/YEAR

EVENTS

| | |
|---|--|
| <p>December for School & COG; February for OETG</p> | <p>AUGUST - 1976 Agency makes student-aid applications available</p> <p>NOVEMBER - 1976 Agency budget presented to governors office or budget bureau</p> <p>DECEMBER-FEBRUARY 1976-77 Deadline for student-aid applications</p> |
| <p>April for School & COG; May for OETG</p> | <p>JANUARY - 1977 BEOG applications information received from federal office</p> <p>FEBRUARY - 1977 Last date at which award changes and adjustments are made</p> <p>APRIL-MAY - 1977 Agency (or institutions) announces award winners</p> |

**PROGRAM AND FISCAL CONTROLS OF PROGRAMS
OPERATED BY STATE AGENCY**

CALIFORNIA .B7

| <u>PROGRAM CONTROLS</u> | <u>CONTROL USED SAMPLE OR TOTAL POPULATION STUDIED</u> | <u>AGENCY/OFFICE UNDERTAKING CONTROLS</u> | <u>SPECIAL ACTIONS COMMENTS</u> |
|--|---|--|-------------------------------------|
| <p>A. <u>Control on Student Application Data Accuracy</u></p> <p>1. Match Against Tax Forms? 2. Match Against BEOG Applications? 3. Match Against Institutional Student Records? 4. Warnings of Prosecution? 5. Prosecution?</p> | <p>Yes, Sample No No Request for Repayment Request for Repayment with interest where appropriate.</p> | <p>Student Aid Commission</p> | |
| <p>B. <u>Controls on Attendance and/or Progress</u></p> <p>1. Check on Enrollment/Initial Attendance? 2. Check on Mid-Term Attendance? 3. Check on Course Completion? 4. Check on Academic Progress Before Award? 5. Partial Awards?</p> | <p>Yes, All No Yes, Sample Yes, All Yes, All</p> | <p>Student Aid Commission Student Aid Commission " " "</p> | |
| <p>C. <u>Controls on Award Size</u></p> <p>1. Check to Make Sure Other Awards Actually Made? 2. Match Against BEOG, Campus-Based Award Files? 3. Re-Check to Ensure Award Adjustments Actually Made? 4. Second Review of Aid Packages?</p> | <p>No Yes, All No Yes, All</p> | <p>Student Aid Commission Student Aid Commission</p> | |
| <p>D. <u>Controls on Institutional Student-Aid Operations</u></p> <p>1. Independent Program Audits? 2. Aid Officer Bonding? 3. Aid Officer Certification? 4. Double Signatures on All Fund Transfers?</p> | <p>Yes, Sample No No No</p> | <p>Audit Division, Dept. of Finance</p> | |
| <p>E. <u>Controls on Central Administrative Staff Operations</u></p> <p>1. Independent Program Audits? 2. Bonding of Staff Members? 3. Double Signatures on All Fund Transactions?</p> | <p>Yes, Sample No Yes, Sample</p> | <p>Audit Division, Dept. of Finance Audit Division, Dept. of Finance</p> | |

**PROGRAM INFORMATION DISSEMINATION PROCEDURES
USED FOR STATE NEED BASED PROGRAMS**

CALIFORNIA C1

TYPE OF INFORMATION PROVIDED

| <u>INFORMATION PROVIDED THROUGH</u> | <u>APPLICATION FORMS</u> | <u>ELIGIBILITY CONDITIONS</u> | <u>FILING/CLOSING DATES FOR STATE PROGRAMS</u> | <u>INFORMATION ON THE COST OF ATTENDANCE AT VARIOUS TYPES OF INSTITUTIONS</u> | <u>INFORMATION ABOUT APPLYING FOR AID FROM OTHER SOURCES</u> | <u>INFORMATION ON PENALTIES FOR PROVIDING FRAUDULENT DATA</u> |
|--|--------------------------|-------------------------------|--|---|--|---|
| 1. High School Counselors | X | X | X | X | | X |
| 2. College Financial-Aid Officers | X | X | X | X | | X |
| 3. Direct Mailings to Graduating High School Students or Parents | | | | | | |
| 4. High School Meetings or Workshops | X | X | X | X | | X |
| 5. College Admissions Office | X | X | X | X | | X |
| 6. Newspaper Releases | | | X | | | |
| 7. Radio, Television Releases | | | X | | | |
| 8. Social Service Agencies | | | | | | |
| 9. Other (Please Specify) | | | | | | |

243

244

DESCRIPTIONS OF STATE-SPONSORED STUDENT ASSISTANCE PROGRAMS

CALIFORNIA

D1

State Scholarship Program - A grant program which assists academically able, financially needy students to complete four years of college. It also assists independent colleges by diverting students from state-supported institutions which expands educational opportunities by providing alternative choices to students. The funds are distributed directly to in-state schools in the names of the students and only cover tuition and fees.

College Opportunity Grant Program - A grant program which assists disadvantaged resident students, primarily minority, who have potential for college success and community leadership. Attendance may be at either in-state public or private institutions (all schools eligible to participate in the BEOG program).

Occupational Education and Training Grant Program - A grant program designed to provide skilled workers for critical occupations by supplying in-state postsecondary occupational education aid to needy and talented resident students.

Law Enforcement Personnel Dependents Scholarship - A program which provides college grants to needy dependent children of law enforcement officers killed or permanently disabled in the line of duty. Students must be state residents at time of parent's death and attend in-state public or private four-year schools or public two-year schools.

Real Estate Scholarship Program - Through the California State University and Colleges, the Commission grants assistance to needy disadvantaged students pursuing real estate careers.

Graduate Fellowship Program - A scholastic grant program which assists needy graduate and professional resident students, emphasizing fields where there are manpower shortages.

Supervised Clinical Training Program - This program is designed to provide supervised clinical training to supervised clinical training to U.S. citizens who are residents of California, and who are graduates of foreign medical schools. This is not a student assistance program, but provides capitation grants to in-state four-year institutions.

CSUC EOP Grants -

Community College EOA Grants -

University of California Affirmative Action Grants -

California Education Assistance to Veterans and Dependents -

California Vocational Rehabilitation for Disabled Persons -

246

BASIC STATE PROGRAM INFORMATION

CALIFORNIA D2

| <u>Programs</u> | <u>Year</u> | <u>Number of Awards</u> | <u>Average Full-Time Award Size</u> | <u>Total Dollars Awarded</u> | <u>Eligible Population</u> |
|--|--------------------|-------------------------|-------------------------------------|------------------------------|---|
| <u>Need-Based</u> | | | | | |
| <u>Grants</u> | | | | | |
| State Scholarship Program (California Grant A) | 1970-71 1975-76 | 15,914 36,096 | \$ 816 \$ 1,138 | \$13,586,764 \$41,075,206 | Resident Undergraduates |
| College Opportunity Grant Program | 1970-71 1975-76 | 1,720 8,162 | \$ 869 \$ 1,085 | \$ 1,493,457 \$ 8,851,686 | Minority/Low Income Students |
| Occupational Education and Training Grant Program | 1973-74 1975-76 | 500 885 | \$ 870 \$ 1,285 | \$ 526,983 \$ 1,137,360 | Students Seeking Occupational Training |
| Law Enforcement Personnel Dependents Scholarship | 1971-72 1975-76 | 1 12 | \$ 211 \$ 1,058 | \$ 211 \$ 12,700 | Dependents of Law Officers |
| Real Estate Scholarship Program | 1974-75 1975-76 | 9 8 | \$ 111 \$ 712 | \$ 1,000 \$ 5,700 | Disadvantaged Students Seeking Real Estate Careers |
| Graduate Fellowship Program | 1970-71 1975-76 | 938 1,088 | \$ 933 \$ 1,712 | \$ 875,295 \$ 1,848,958 | Graduate and Professional Students |
| <u>Non Need-Based</u> | | | | | |
| Medical Student Contract Program | 1972-73 1975-76 | 24 Note 1 | \$10,206 Note 1 | \$ 244,952 Note 1 | Medical Students |
| Supervised Clinical Training Program | 1972-73 1975-76 | 26.6 23.3 | \$10,000 \$10,000 | \$ 265,833 \$ 232,500 | Foreign Medical School Graduates |
| CSUC EOP Grants Community College EOP Grants Univ. of Ca. Affirmative Action Grants Cal. Education Assistance to Veterans and Dependents Cal. Vocational Rehabilitation for Disabled Persons | | | | | INFORMATION NOT GIVEN |

As a result of a legal opinion that state payments to medical schools under this program were unconstitutional, funds were reverted by the legislature.

STUDENT ELIGIBILITY CRITERIA FOR STATE PROGRAMS

CALIFORNIA E1

| Programs | Acceptable Residency Status | Acceptable Attend. Status | Acceptable Student Levels | Special Priority to Cont'g. Awards | Income Limits | Need Limits |
|---|------------------------------|---------------------------|---------------------------|------------------------------------|----------------|---|
| Need-Based | | | | | | |
| Grants | | | | | | |
| State Scholarship Program (California Grant A) | Residents attending In-State | Half-Time Full-Time | All Under-graduates | No ¹ | \$29,900 | \$100-CSUC \$200-U.C. \$550-Independent College |
| College Opportunity Grant Program | Residents attending In-State | Half-Time Full-Time | All Under-graduates | No ¹ | \$12,000 | \$250 |
| Occupational Education and Training Grant Program | Residents attending In-State | Half-Time Full-Time | Freshmen Sophomores | No ¹ | \$29,900 | \$200 |
| Law Enforcement Personnel Dependents Scholarship | Residents attending In-State | Half-Time Full-Time | All | No | No | \$200 |
| Real Estate Scholarship Program | Residents attending In-State | Full-Time | All Under-graduates | Not Given | Note 3 | Note 3 |
| Graduate Fellowship Program | Residents attending In-State | Full-Time | Graduates | Not Given | \$29,900 | \$150 |
| Non Need-Based | | | | | | |
| Medical Student Contract Program | Residents attending In-State | Full-Time | Graduates | Not Given | Not Applicable | Not Applicable |
| Supervised Clinical Training Program | Residents attending In-State | Full-Time | Graduates | Not Applicable | Not Applicable | Not Applicable |
| CSUC EOP Grants Community College EOP Grants Univ. of Cal. Affirmative Action Grants Cal. Education Assistance to Veterans and Dependents Cal. Vocational Rehabilitation for Disabled Persons | INFORMATION NOT GIVEN | | | | | |

250

¹ Except those designated for SSIG funds.

² At time of parent's death.

INSTITUTIONAL ELIGIBILITY CRITERIA FOR STATE STUDENT-ASSISTANCE PROGRAMS

| Programs | University and 4-Year Colleges | Community/ Junior Colleges | Voc./Tech. Schools | Proprietary | Special Types of Institutions |
|---|-----------------------------------|-------------------------------|-----------------------|-------------|-----------------------------------|
| Need-Based | | | | | |
| Grants | | | | | |
| State Scholarship Program (California Grant A) | Public Private | Private | No | Yes | Yes ¹ |
| College Opportunity Grant Program | Public Private | Public Private | No | Yes | Yes ¹ |
| Occupational Education and Training Grant Program | No | Public Private | Yes | Yes | Hospital-Based Nursing Schools |
| Law Enforcement Personnel Dependents Scholarship | Public Private | Public Private | No | No | No |
| Real Estate Scholarship Program | Public ² | No | No | No | No |
| Graduate Fellowship Program | Public Private | No | No | No | Graduate Schogls |
| Non Need-Based | | | | | |
| Medical Student Contract Program | Public Private | No | No | No | No |
| Supervised Clinical Training Program | Public Private | No | No | No | No |
| CSUC EOP Grants Community College EOP Grants Univ. of Cal. Affirmative Action Grants Cal. Educational Assistance to Veterans and Dependents Cal. Vocational Rehabilitation for Disabled Persons | } INFORMATION NOT GIVEN | | | | |

¹ All schools eligible to participate in the BEOG Program.

² CSUC.

NEED DETERMINATION CRITERIA FOR STATE PROGRAMS

| <u>Programs</u> | <u>Program Definition of Need</u> | <u>Is BEOG Application Required?</u> | <u>Expected Family Contribution (EFC) Method</u> | <u>Student Budget Includes What Items?</u> |
|---|-----------------------------------|--------------------------------------|--|--|
| <u>Need-Based</u> | | | | |
| <u>Grants</u> | | | | |
| State Scholarship Program | Need = Student Budget - EFC | Yes | Consensus | Tuition/Fees |
| College Opportunity Grant Program | Need = Student Budget - EFC | Yes | Consensus | Tuition/Fees Room/Board Books Transportation General Student Costs |
| Occupational Education and Training Grant Program | Need = Student Budget - Total EFC | Yes | Consensus | Tuition/Fees Books Transportation General Student Costs |
| Law Enforcement Personnel Dependents Scholarship | Need = Student Budget - EFC | Yes | Consensus | Tuition/Fees Room/Board Books Transportation General Student Costs |
| Real Estate Scholarship Program | Note 1 | Note 1 | Note 1 | Nothing Specific |
| Graduate fellowship Program | Need = Student Budget, - EFC | No | Consensus | Tuition/Fees |

¹ Depends on individual institution.

AWARD SIZE DETERMINATION CRITERIA FOR STATE PROGRAMS

CALIFORNIA E4

| Programs | Maximum Award Size? | What Award Size Determination Method is Used? | How is Award Priority Determined? | Who Ultimately Determines Award Amount? |
|--|-----------------------------|--|--|---|
| Need-Based | | | | |
| Grants | | | | |
| State Scholarship Program (California Grant A) | \$2,700 | Based on tuition + fees limited by financial need. | By G.P.A. and S.A.T. | State |
| College Opportunity Grant Program | \$3,600 | Based on tuition + fees limited by financial need. | Applications are scored by selection team and then ranked by total score. | State |
| Occupational Education and Training Grant Program | \$2,500 ¹ | Based on tuition + fees limited by financial need. | Needy students are scored using occupationally-oriented criteria and then ranked by score. | State |
| Law Enforcement Personnel Dependents Scholarship | \$1,500 | Based on tuition + fees limited by financial need. | None used. | State |
| Real Estate Scholarship Program | \$ 300 | Based on tuition + fees limited by financial need. | Note 2 | Institution |
| Graduate Fellowship Program | Up to Fall Tuition and Fees | Based on tuition + fees limited by financial need. | Based on appropriate Graduate Admissions test scores, grades, parent's income and education, and state manpower needs. | State |
| Non Need-Based | | | | |
| Supervised Clinical Training Program | \$10,000 | Not Applicable | Not Applicable | Not Applicable |
| CSUC EOP Grants Community College EOP Grants U. of C. Affirmative Action Grants Cal. Ed. Assistance to Veterans and Dependents Cal. Voc. Rehabilitation for Disabled Persons | | } INFORMATION NOT GIVEN | | |

¹ \$2,000 for tuition; \$500 for transportation, supplies, equipment.

² Depends on individual institution.

NUMBER OF NEED-BASED GRANT PROGRAM APPLICANTS

CALIFORNIA F1

DATA NOT AVAILABLE

| INDEPENDENTS | \$0- 5,999 | \$6,000- 8,999 | \$9,000- 11,999 | \$12,000- 14,999 | \$15,000- 19,999 | \$20,000- & Over |
|--------------|---------------|-------------------|--------------------|---------------------|---------------------|---------------------|
| | DEPENDENTS | | | | | |

Income Level

DATA NOT AVAILABLE

DATA NOT AVAILABLE

| WHITE | BLACK | HISPANIC | AMERICAN INDIAN | ASIAN | OTHER | MEN | WOMEN |
|---------------|-------|----------|--------------------|-------|-------|------------|-------|
| <u>Status</u> | | | | | | <u>Sex</u> | |

NUMBER OF NEED-BASED GRANT PROGRAM RECIPIENTS AND AVERAGE AWARD SIZE

F2

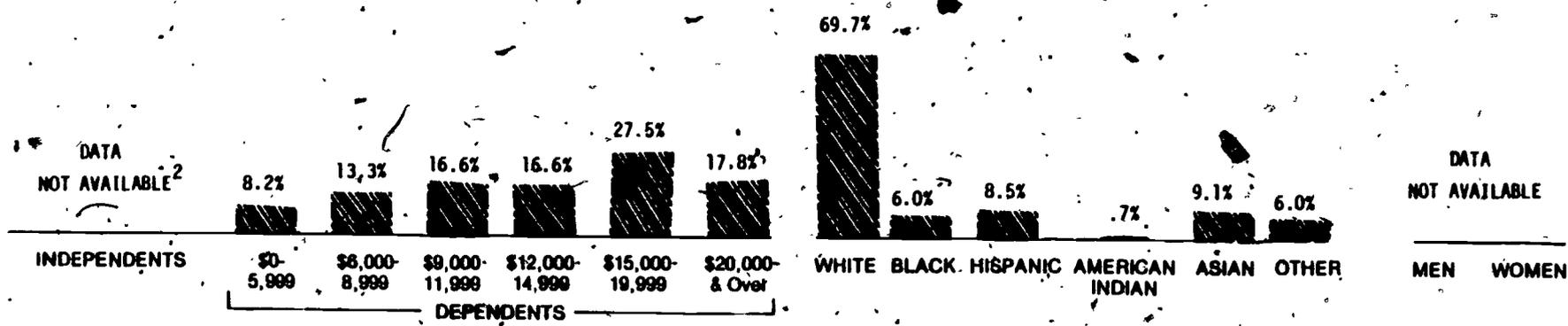
CALIFORNIA

NUMBER OF RECIPIENTS

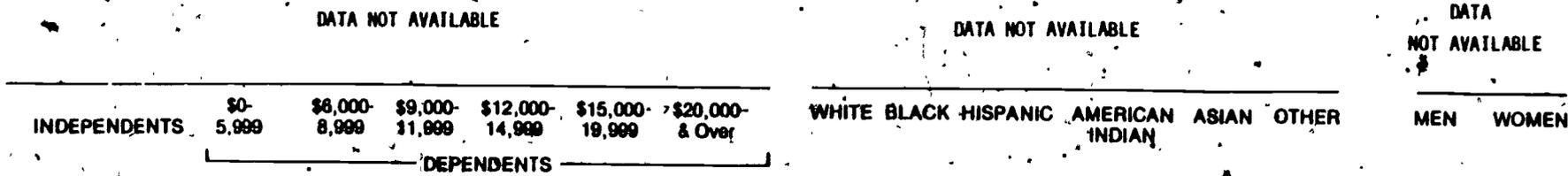
Income Level¹

Ethnic Status

Sex



AVERAGE AWARD SIZE



¹ The data on income level reflect the distribution of first-time recipients only.
² The distribution of awards by student income categories includes awards made to both dependent and independent students. The data available did not distinguish between dependent and independent students.



SOURCE AND DISTRIBUTION OF NEED-BASED GRANT PROGRAM FUNDS

THESE FIGURES FOR THE 1975-76 SCHOOL YEAR PERTAIN TO:

- STATE SCHOLARSHIP PROGRAM
- COLLEGE OPPORTUNITY GRANT PROGRAM
- OCCUPATIONAL EDUCATION AND TRAINING GRANT PROGRAM

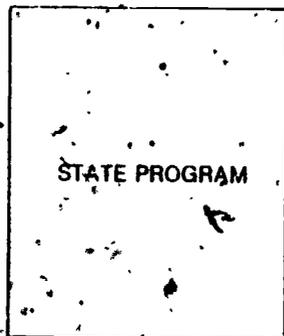
STATE APPROPRIATIONS

\$49,763,940 94.1%

PRIVATE GIFTS

\$3,137,300 5.9%

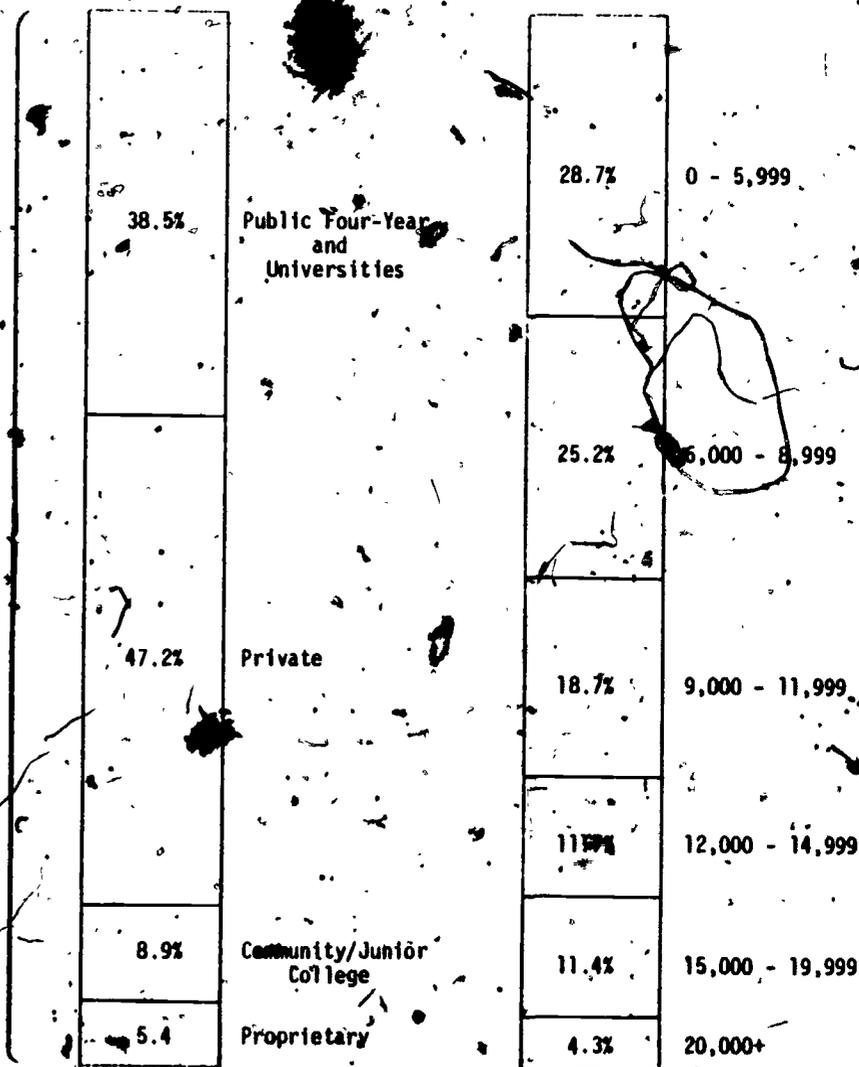
FEDERAL SSIG PROGRAM



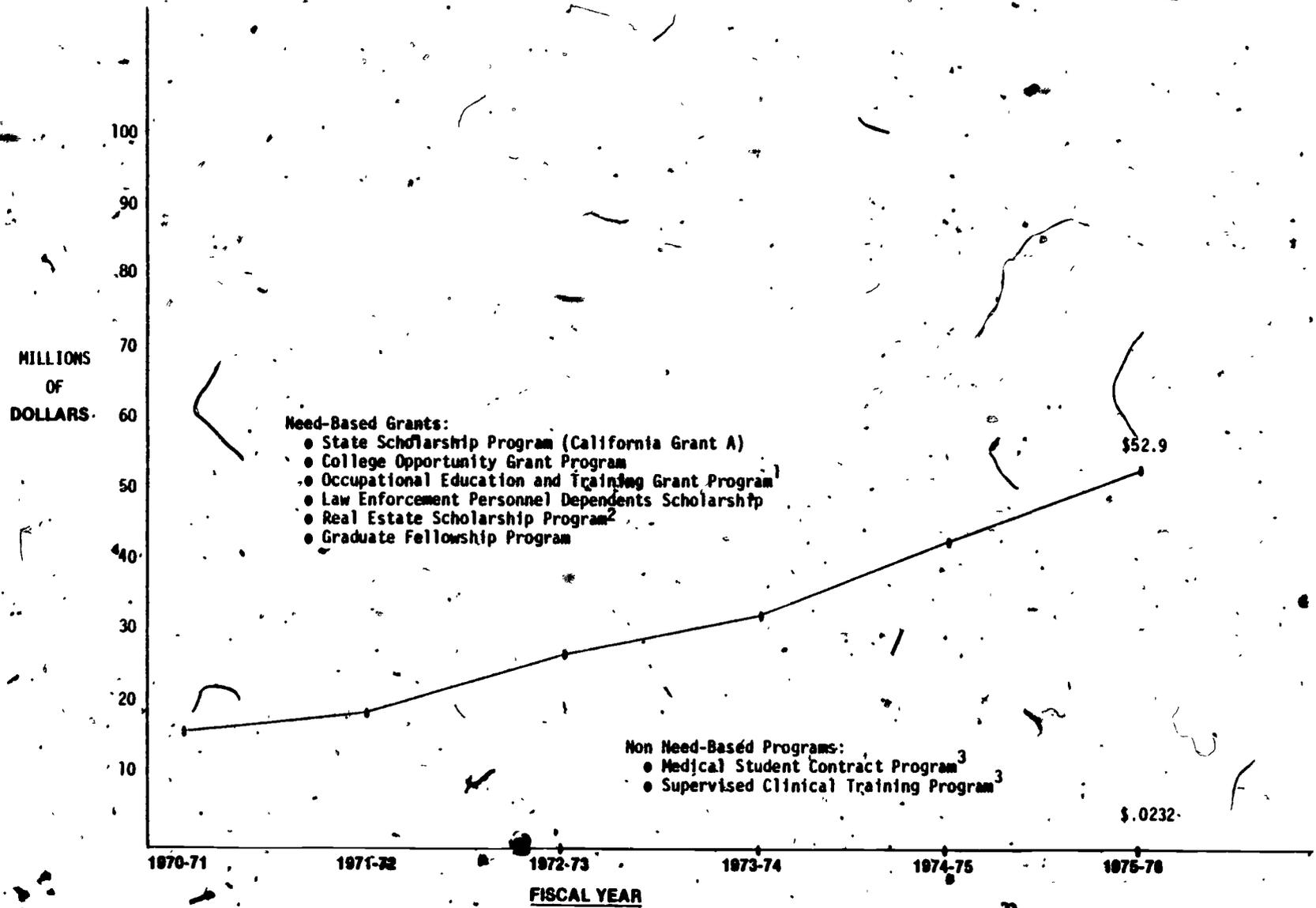
These data were taken from the Financial Status and Performance Report for the Reporting Period July 1, 1975, to September 30, 1976. The figures pertaining to the distribution of funds by institutional sector and student income categories only reflect the distribution of the federal portion of the total dollars.

INSTITUTIONAL SECTORS

STUDENT INCOME CATEGORIES



HISTORIC STATE EXPENDITURES ON STUDENT-ASSISTANCE PROGRAMS



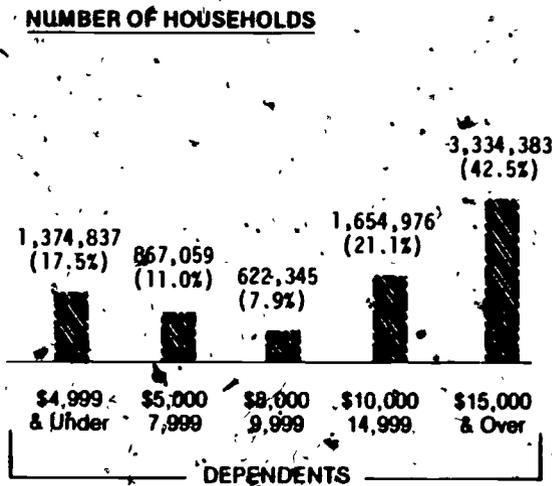
¹ 1973-74 first year of program.
² 1974-75 first year of program.
³ 1972-73 first year of program.

\$.0232

STATE POPULATION PROFILE

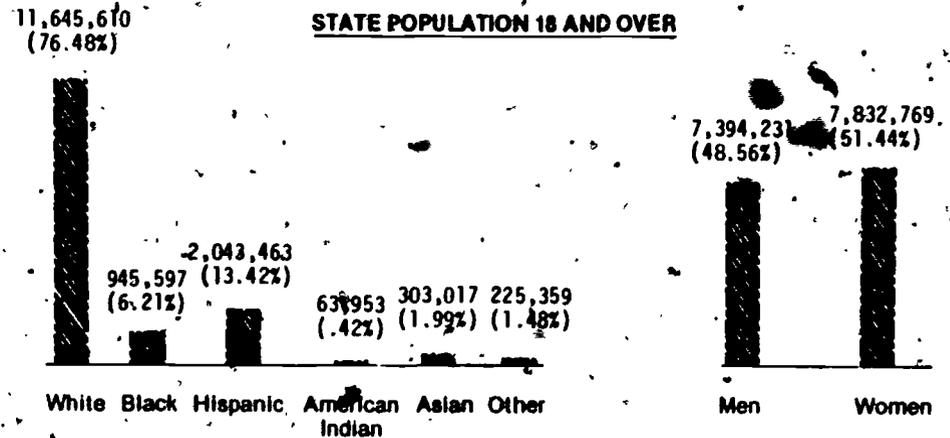
CALIFORNIA H1

NUMBER OF HOUSEHOLDS



Effective Buying Income

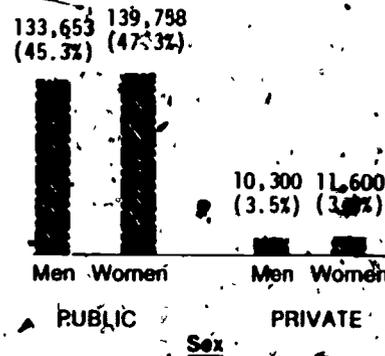
STATE POPULATION 18 AND OVER



Ethnic Status

Sex

STATE HIGH SCHOOL GRADUATES



Sex

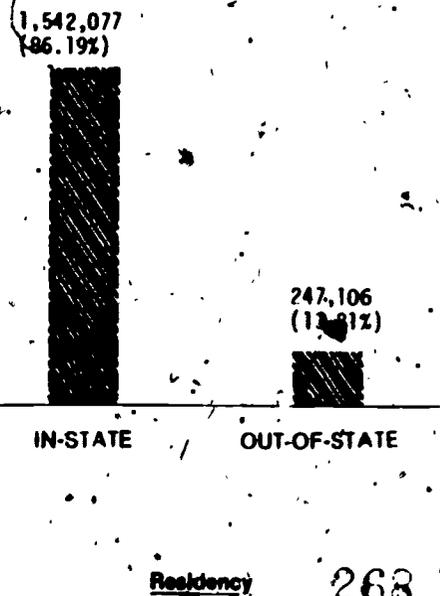
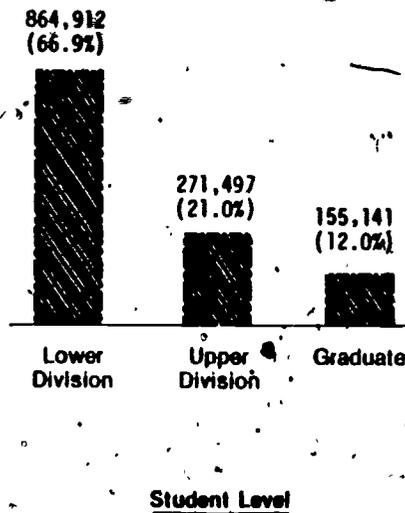
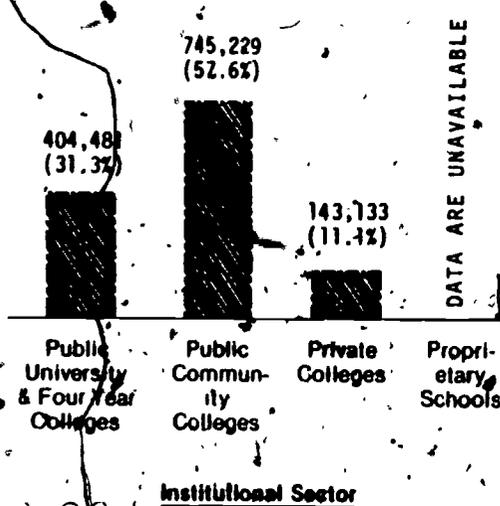
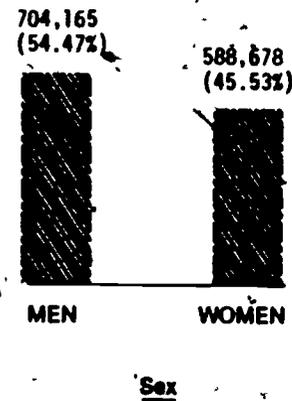
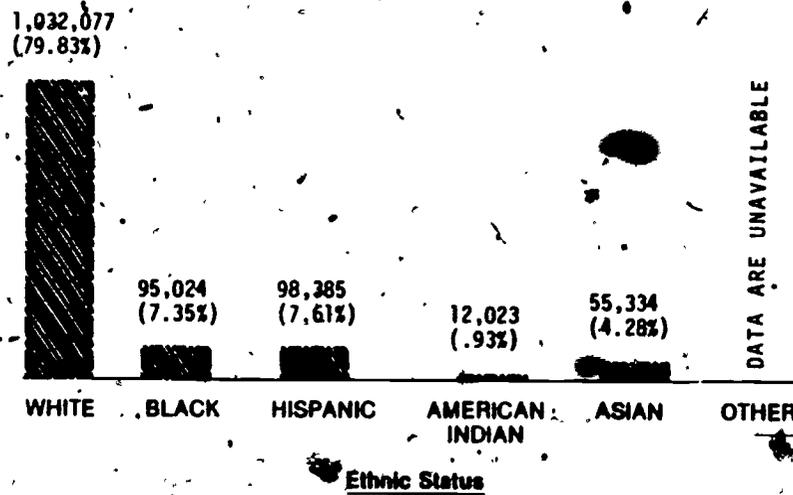
The high school graduation figures do not include 5,392 persons granted a high school equivalency certificate, nor 15,848 persons graduated from other than regular day school programs.

(c) Sales and Marketing Management 1977 Survey of Buying Power Data Service

STATE POSTSECONDARY EDUCATION STUDENT ENROLLMENTS

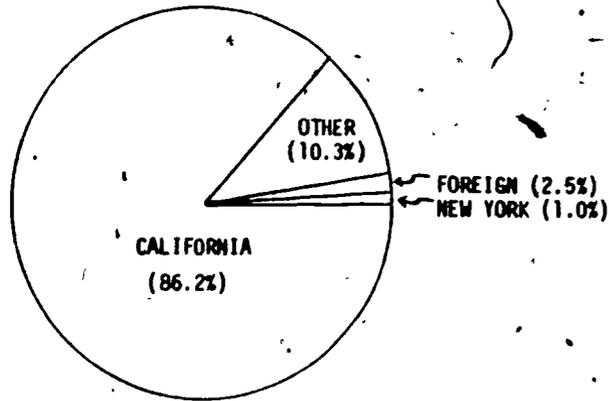
CALIFORNIA H2

(Figures in Thousands)

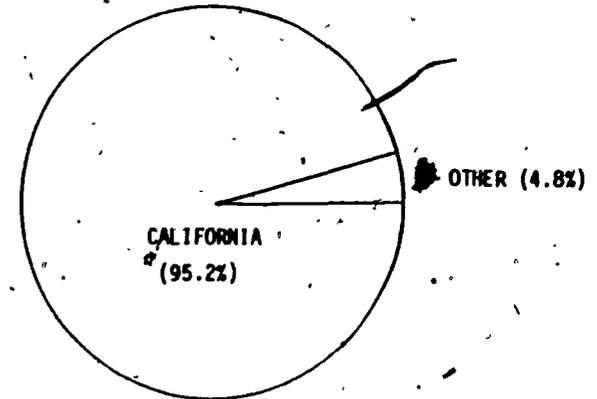


INTERSTATE MIGRATION OF STUDENTS

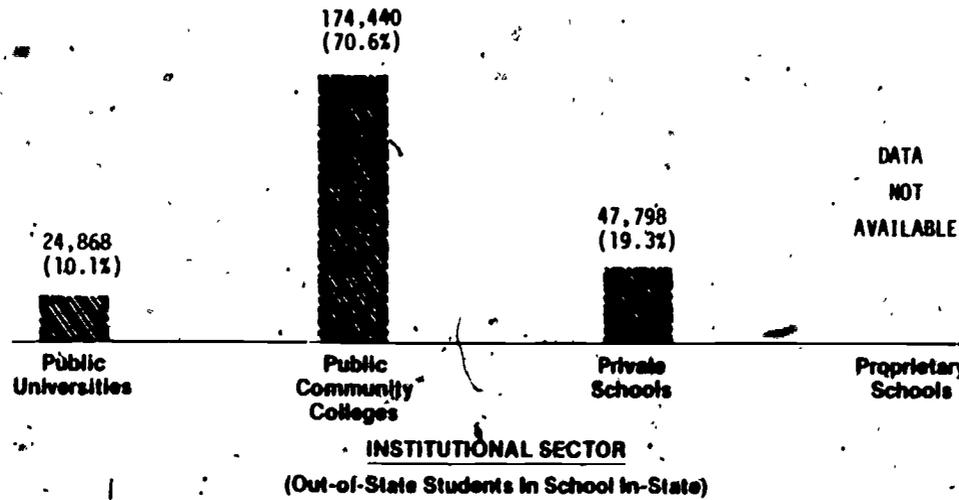
CALIFORNIA 43



RESIDENT AND NON-RESIDENT STUDENTS ATTENDING IN-STATE INSTITUTIONS

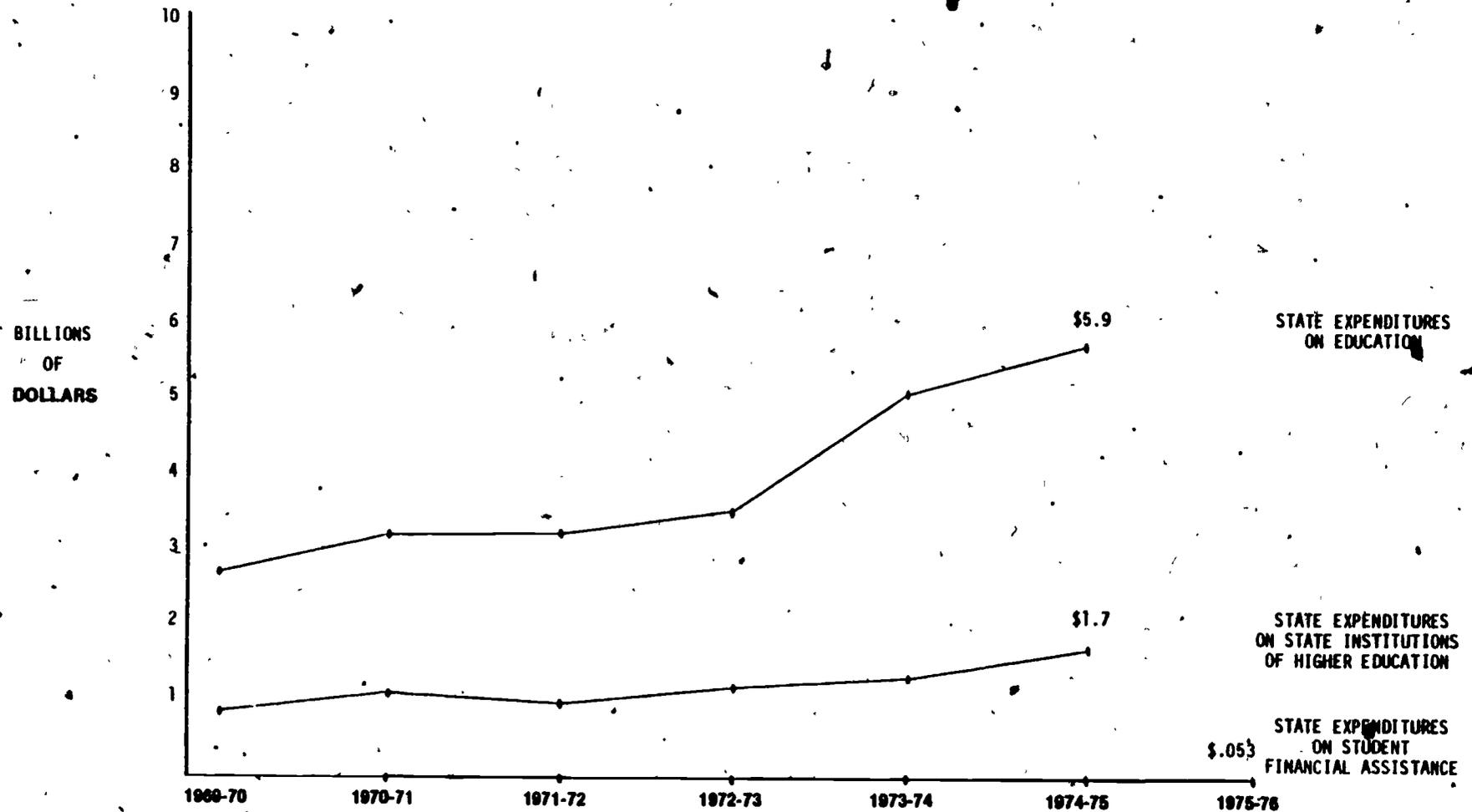


RESIDENT STUDENTS ATTENDING IN-STATE AND OUT-OF-STATE INSTITUTIONS



STATE EXPENDITURE ON POSTSECONDARY EDUCATION STUDENT ASSISTANCE

CALIFORNIA 11



THESE DATA PERTAIN TO:

- STATE SCHOLARSHIP PROGRAM (CAL. GRANT A)
- COLLEGE OPPORTUNITY GRANT PROGRAM
- OCCUPATIONAL EDUCATION AND TRAINING GRANT PROGRAM

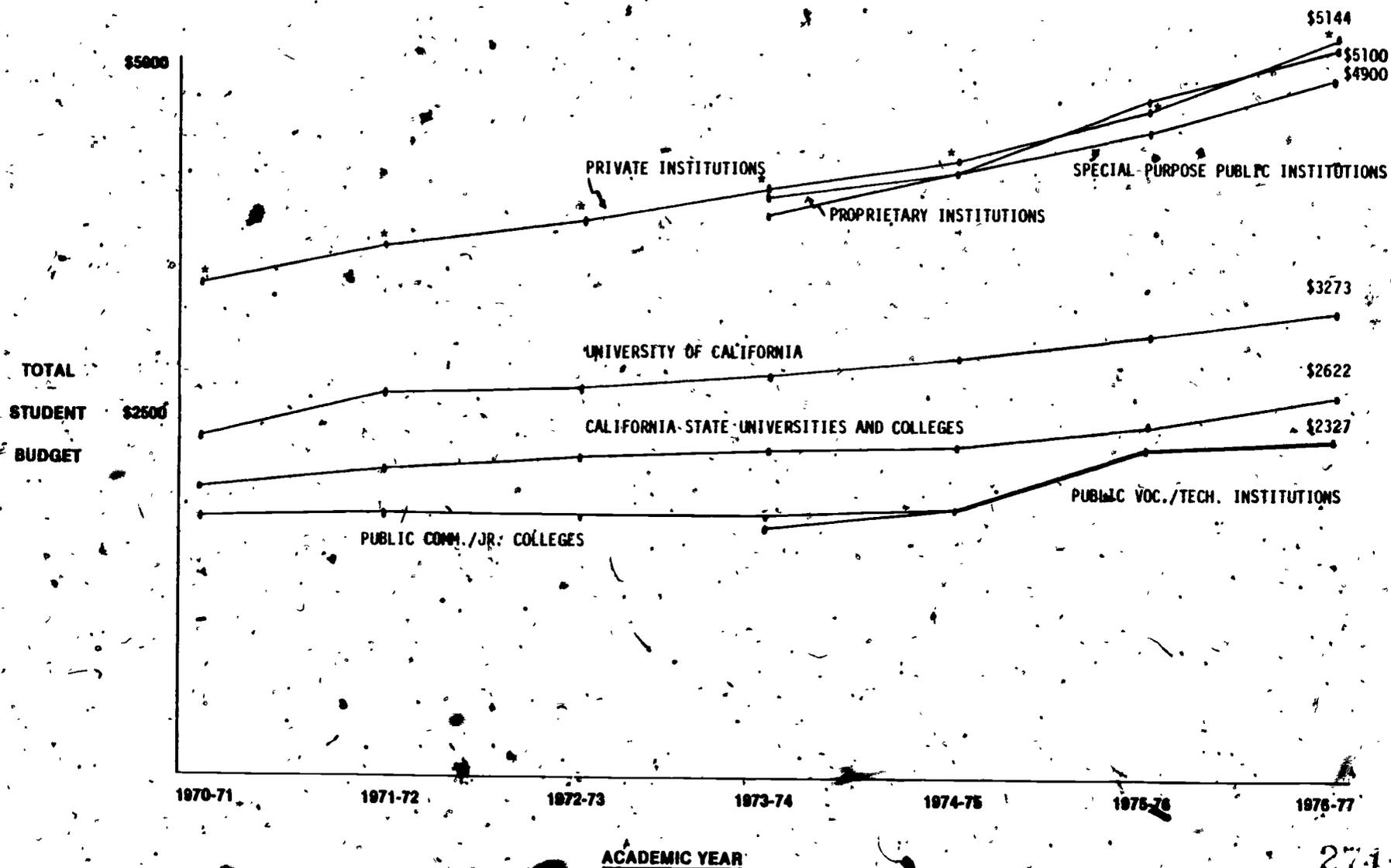
FISCAL YEAR

- LAW ENFORCEMENT PERSONNEL DEPENDENTS SCHOLARSHIP
- REAL ESTATE SCHOLARSHIP PROGRAM

- GRADUATE FELLOWSHIP PROGRAM
- MEDICAL STUDENT CONTRACT PROGRAM
- SUPERVISED CLINICAL TRAINING PROGRAM

GROSS COSTS TO STUDENTS ATTENDING SCHOOL BY POSTSECONDARY EDUCATION SECTORS

CALIFORNIA 12

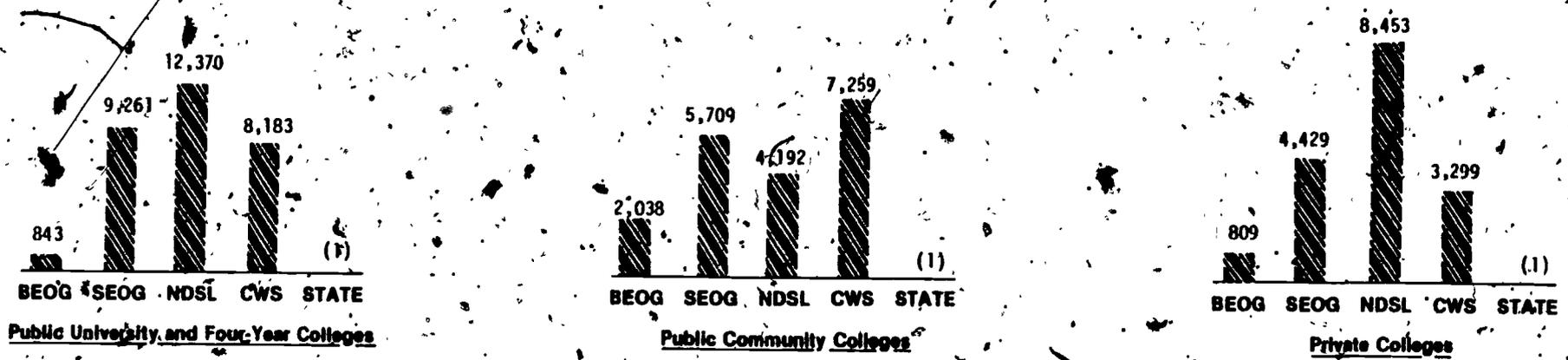


*Response is based on a reasonable estimation or approximation.



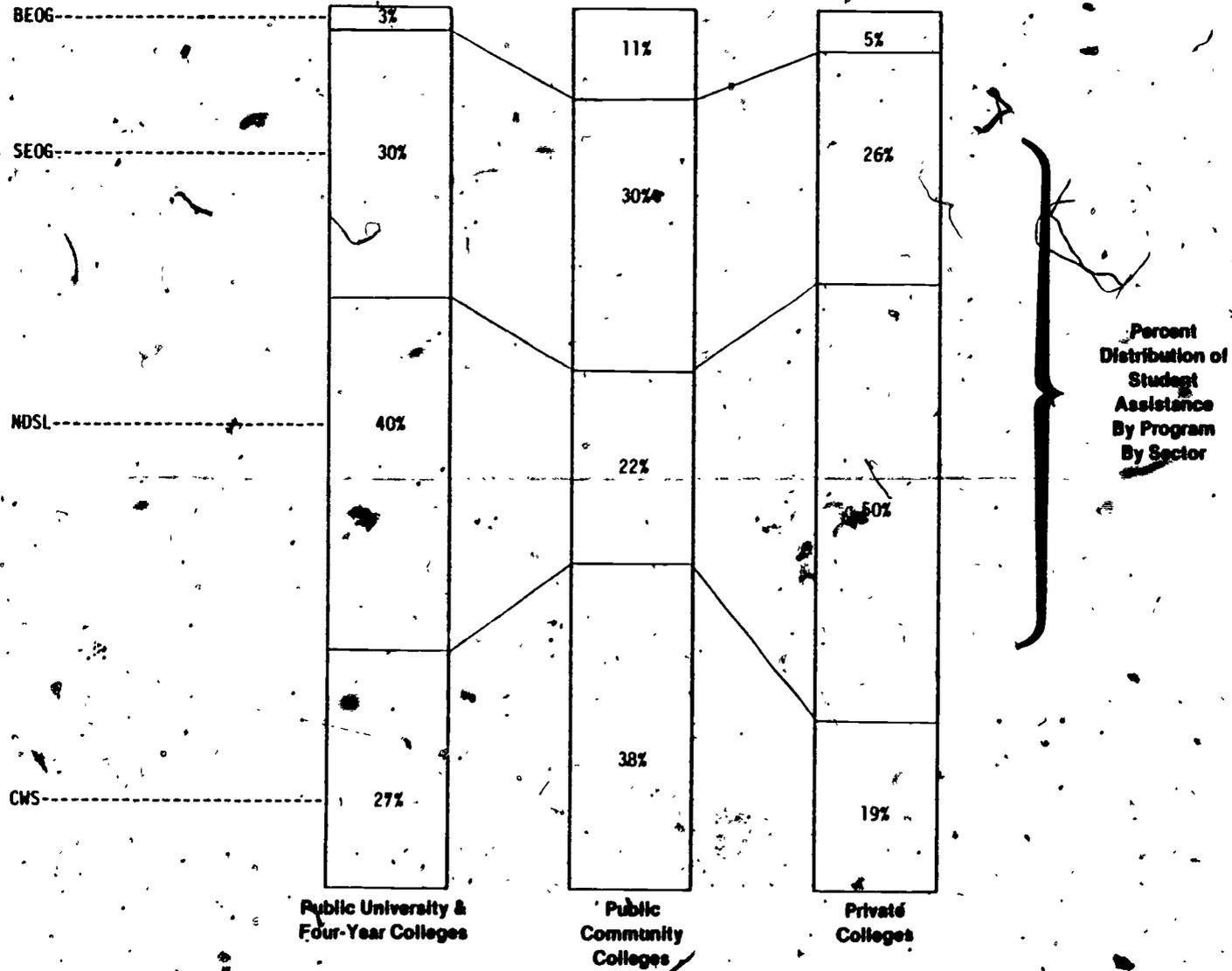
LEVELS OF FEDERAL AND STATE PROGRAM FUNDING BY INSTITUTIONAL SECTOR

(Figures in thousands)



(1) The data pertaining to state dollars awarded by institutional sector are unavailable.

RELATIVE IMPORTANCE OF FEDERAL AND STATE PROGRAM FUNDING BY SECTOR



The data pertaining to state dollars awarded by institutional sector are unavailable.

**DESCRIPTIONS OF PROGRAM OFFICES AND AGENCIES AND
CORRESPONDING PROGRAM RESPONSIBILITIES**

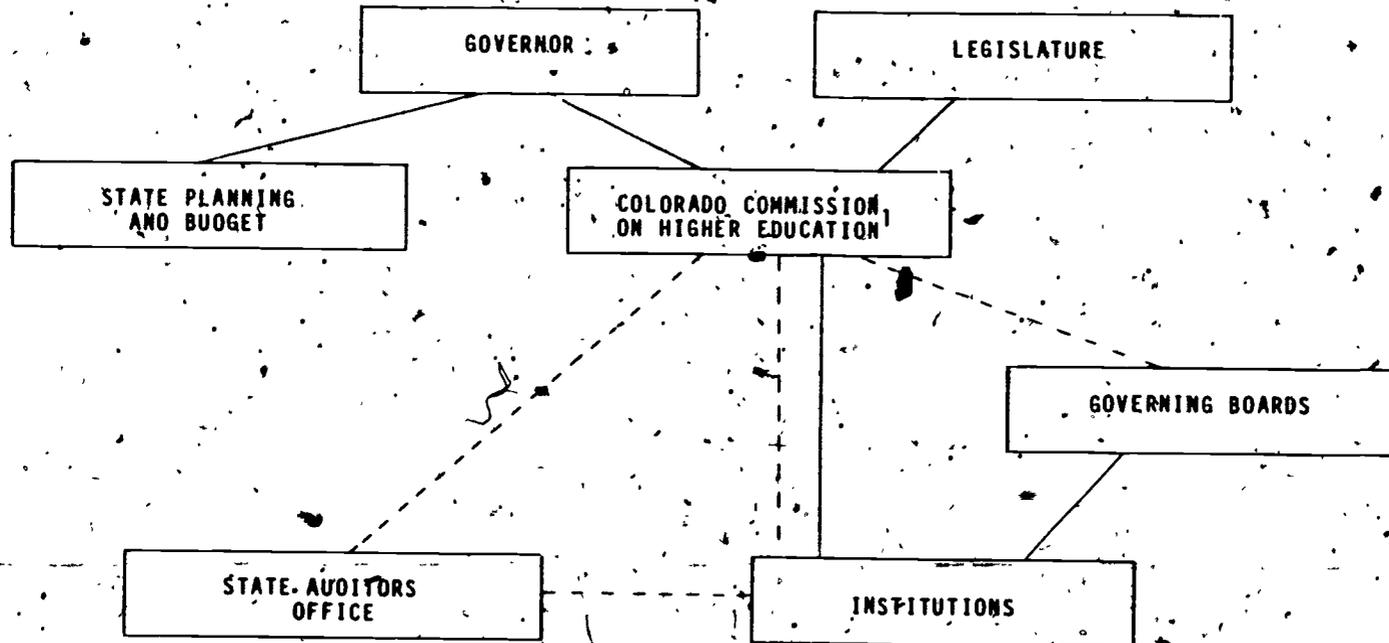
COLORADO A1

Colorado Commission on Higher Education has control relationship with institutions with regard to some aspects of student aid only, coordinative relationships in all other aspects.

1. Colorado Student Grants
2. Colorado Student Scholarships
3. Athletic Grants
4. Colorado Graduate Grants and Fellowships
5. Colorado Work Study Program
6. Matching Funds for Health Professions and Nursing Loans
7. Tuition Assistance for Dependents of Firemen and Law Enforcement Personnel Killed or Disabled on Duty
8. Matching Funds for National Defense Student Loans
9. Colorado Veterans Tuition Assistance
10. Dependents of POWs/MIA
11. Local District Junior College Grants (same as No. 1)
12. Local District Junior College Scholarships (same as No. 2)

RELATIONSHIPS OF STATE AGENCIES

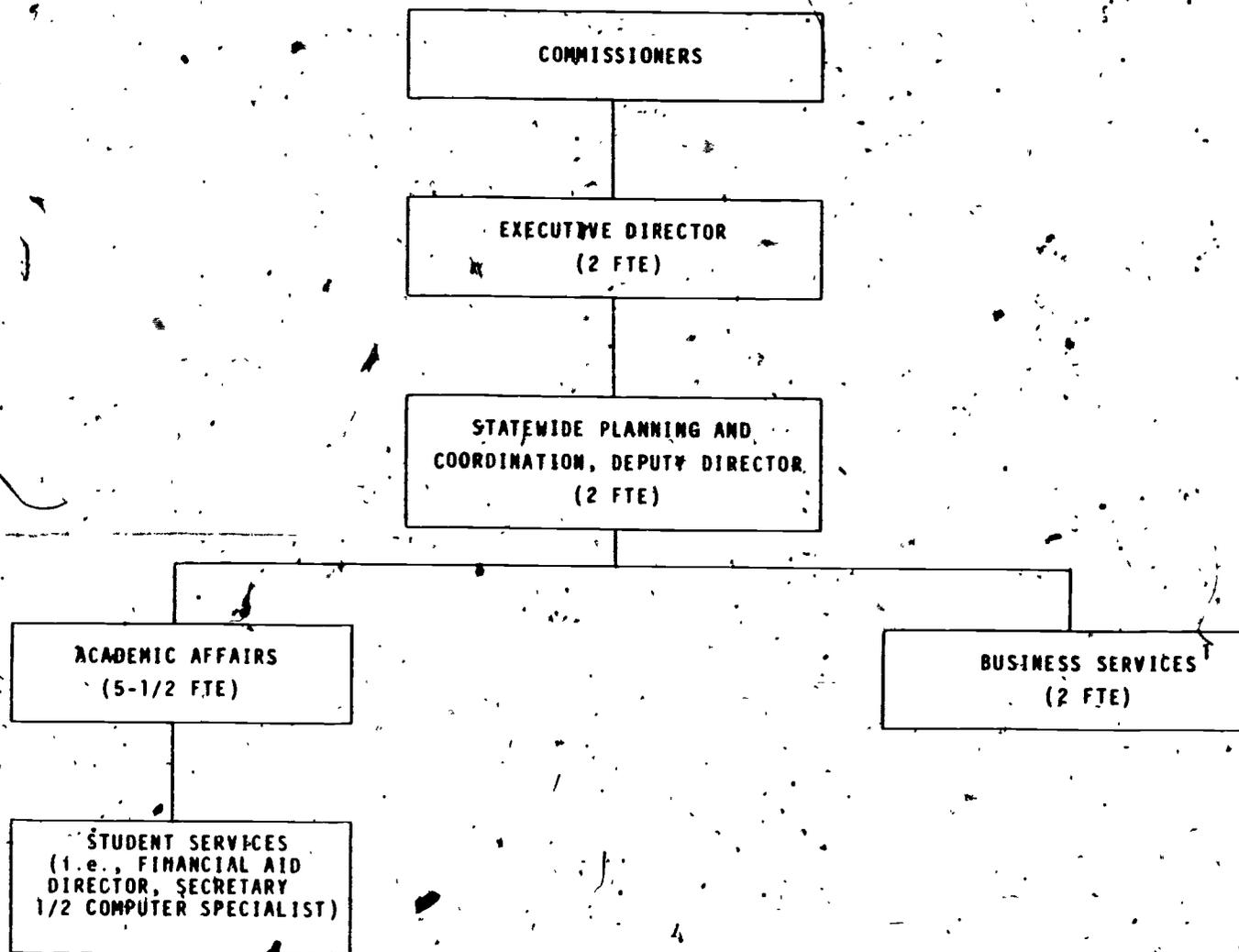
COLORADO A2



Commission has control relationship with institutions with regard to some aspects of student aid only, coordinative relationships in all other aspects.

STATE AGENCY ORGANIZATION

COLORADO B1



¹ Provides 1/2 FTE service on financial aid

**MANAGEMENT RELATIONSHIPS BETWEEN THE STATE AGENCY
AND OTHER STATE AGENCIES/INSTITUTIONS**

| <u>WHO ACCOMPLISHES THE FOLLOWING?</u> | <u>STATE PROGRAM OFFICE</u> | <u>INSTITUTIONAL FINANCIAL AID OFFICER</u> | <u>OTHER OFFICERS OR AGENCIES</u> |
|---|-----------------------------|--|-----------------------------------|
| Processing of Applications | | | |
| • Verification of Student Application Information | | X | |
| • Identification of Eligible Recipients | | X | |
| • Determination of Award Size | | X | |
| • Assignment of Priority to Awards | | X | |
| • Formally Approves Award | | X | |
| Makes Payment to Student (or Credits Student Account) | | X | |
| Makes Post-Award Adjustments | | X | |
| Initiates the Prosecution of Possible Fraudulent Student applications | | X ² | |
| Publicizes Award Availability | | X | |
| Prepares Program Statistics | X | | |
| Obtains Funds for Program | X | | |
| Develops Program Guidelines | X | | |

¹ Adequate verification probably is not being done.

² Rarely done.

STATE PROGRAM OFFICE DEFINITIONS FOR SELECTED TERMS

COLORADO B3

**DEFINITIONS USED BY
STATE PROGRAM OFFICES**

**STATE DEFINITION
SPECIFIED BY**

**STATE DEFINITION COMMONLY
USED BY ALL INSTITUTIONS**

**STATE DEFINITION CONSISTENT
ACROSS ALL STATE PROGRAMS**

PUBLIC

PRIVATE

Half-Time Student - As defined by institution.

State Resident - Colorado defines in-state student for tuition purposes. In-state student means a student who has been domiciled in Colorado for one year or more immediately preceding registration at any institution of higher education in Colorado for any term or session for which domiciliary classification is claimed, but attendance at an institution of higher education, public or private, within the state of Colorado shall not alone be sufficient to qualify for domicile in Colorado.

Law

Yes

Yes

Independent Student - Not claimed in prior year; not aided more than \$600; not living at home (federal definition).

Interpretation

Yes

Yes

Non-Profit Institution - Not yet defined.

Satisfactory Academic Progress - Varies by institution. Usually refers to completion of some portion of hours attempted with some grade level.

Interpretation

Yes

Yes

United States Citizenship - Not defined. Our programs apply to all in-state students, regardless of citizenship. Certain visa classes are a bar to "intent to remain" and thus bar the student from in-state status.

Interpretation

Yes

Yes

INFORMATION FLOW BETWEEN THE STATE PROGRAM OFFICE AND VARIOUS FEDERAL OFFICES

COLORADO B4

| <u>FEDERAL OFFICES</u> | <u>TYPE OF INFORMATION PROVIDED BY STATE STUDENT-AID AGENCY</u> | <u>TYPE OF INFORMATION RECEIVED BY STATE STUDENT-AID AGENCY</u> | <u>PERCEPTION OF ADEQUACY OF INFORMATION FLOW</u> | |
|--|--|---|---|-------------------------|
| SSIG Office | Summaries (Information required for program review, report on state legislation) | Regulations Program Review Funding Levels | Adequate ¹ | |
| BEOG Office | None | None | Adequate ² | |
| Regional OE Student-Assistance Office (Campus-Based Programs) | Institutional Allocations | Same as is sent to institutions ³ | Marginal | |
| Washington OE Office (Campus-Based Programs) | None | | | |
| FISL/GSL Office | None | Regulations | Adequate | |
| <u>STATE/INSTITUTIONAL OFFICES</u> | | | | |
| Public Institutions | Allocations Program Guidelines | Summary Information Legislative Informa- tion | Reports on Expenditures | Adequate |
| Private Institutions | None | None | None | Inadequate ⁴ |
| Governor/Budget Office | Budget Request Statistics | Budget Recommendations | | Adequate |
| State Legislature (Including Committee Staffs) | Budget Request Statistics | Appropriations | | Adequate |

- ¹ Suspect any inadequacy of information from federal state results from state not paying attention.
² BEOG information coordinated at institutions.
³ Receive much of our information about federal programs from institutions.
⁴ Will change if private institutions brought into state programs.

MANAGEMENT STAFFING OF STATE PROGRAM OFFICES

COLORADO B5

| <u>MANAGEMENT FUNCTION</u> | <u>PERCENTAGE OF STAFF EFFORT</u> | <u>STAFF ASSISTANCE FROM OTHER AGENCIES</u> <u>COMMENTS</u> |
|---|--|--|
| APPLICATIONS PROCESSING (Includes verification of student information, need analysis, award determination, etc.) | 1/2 FTE (Veterans Tuition Assistance Program only) | 25 Institutional FTE ¹ |
| RESPONDING TO REQUESTS FOR INFORMATION (Includes federal requests for information, parent/student inquiries, testimony in hearings, etc.) | 1/2 FTE | 10 Institutional FTE ¹ |
| PROGRAM REVIEW (Includes on-site visits to institutions, formal/informal audits, etc.) | 1/8 FTE | --- |
| PUBLIC RELATIONS AND INFORMATION DISSEMINATION (Includes parent/student workshops, work on promotional literature, etc.) | 1/8 FTE | 5 Institutional FTE ¹ |
| GENERAL ADMINISTRATION (Includes executive personnel, staff training and development, regulations and guidelines, preparation of summary statistics, allocation of funds, budget preparation, transfer of funds, accounting.) | 1 FTE | State Auditor's Office (? FTE) |
| OTHER MANAGEMENT ACTIVITIES (Includes any special staff activities which do not clearly fall in one of the above categories) (Serving on related committees.) | 1/2 FTE 1/4 FTE | |

A portion of the financial aid staff of 33 institutions work on state programs and expend effort in all of these areas. A rough estimate would be 40 to 50 FTE participate in all of these functions. The figures shown in the preceding column as estimated breakdowns of that estimate.

STATE AGENCY CALENDAR OF EVENTS FOR NEED-BASED PROGRAMS FOR 1976-77 SCHOOL YEAR

COLORADO 86

MONTH/YEAR

EVENTS

AUGUST 1975 Agency budget presented to governor's office or budget bureau

NOVEMBER 1975 Agency budget presented to legislature

1) Varies by institution, some accept continuously
ONGOING

1) BEOG applications information received from federal office
2) Deadline for student-aid applications

MAY 1976 Legislature appropriates student assistance funds

JUNE 1976 Allocation of funds to institutions, institutions begin making awards to students.

Continuously July 1976-June 1977

JULY 1976 Reallocation and transfer.

1) Institutions do this October through January

OCTOBER 1976 1) Institutions complete planning workshops for students or counselors
2) Institutions make student-aid applications available

2) Institutions do this October through March

JUNE 1977 Last date at which award changes and adjustments are made

SEPTEMBER 1977 Collection of data on awards

**PROGRAM AND FISCAL CONTROLS OF PROGRAMS
OPERATED BY STATE AGENCY**

COLORADO B7

| <u>PROGRAM CONTROLS</u> | <u>CONTROL USED SAMPLE OR TOTAL POPULATION STUDIED</u> | <u>AGENCY/OFFICE UNDERTAKING CONTROLS</u> | <u>SPECIAL ACTIONS COMMENTS</u> |
|--|--|---|---|
| A. <u>Control on Student Application Data Accuracy</u> | | | |
| 1. Match Against Tax Forms? | Yes, All | institution | Student must resolve discrepancy to obtain aid. Aid terminates if student fails to enroll for sufficient hours. |
| 2. Match Against BEOG Applications? | Yes, All | institution | |
| 3. Match Against Institutional Student Records? | Yes, All | institution | |
| 4. Warnings of Prosecution? | Yes, All | institution | |
| 5. Prosecution? | Yes, All | institution | |
| B. <u>Controls on Attendance and/or Progress</u> | | | |
| 1. Check on Enrollment/Initial Attendance? | Yes | institution | |
| 2. Check on Mid-Term Attendance? | Some | institution | |
| 3. Check on Course Completion? | Yes | institution | |
| 4. Check on Academic Progress Before Award? | Yes | institution | |
| 5. Partial Awards? | Yes | institution | |
| C. <u>Controls on Award Size</u> | | | |
| 1. Check to Make Sure Other Awards Actually Made? | Yes | institution | |
| 2. Match Against BEOG, Campus-Based Award Files? | Yes | institution | |
| 3. Re-Check to Ensure Award Adjustments Actually Made? | Yes | institution | |
| 4. Second Review of Aid Packages? | Yes | institution | |
| D. <u>Controls on Institutional Student-Aid Operations</u> | | | |
| 1. Independent Program Audits? | Yes | State | Has not been done since 1972. Reinstated in January. |
| 2. Aid Officer Bonding? | | | |
| 3. Aid Officer Certification? | | | |
| 4. Double Signatures on All Fund Transfers? | | | |
| E. <u>Controls on Central Administrative Staff Operations</u> | | | |
| 1. Independent Program Audits? | Yes | State | |
| 2. Bonding of Staff Members? | Yes | State | |
| 3. Double Signatures on All Fund Transactions? | Yes | State | |

**PROGRAM INFORMATION DISSEMINATION PROCEDURES
USED FOR STATE NEED BASED PROGRAMS**

COLORADO C1

TYPE OF INFORMATION PROVIDED

| <u>INFORMATION PROVIDED THROUGH</u> | <u>APPLICATION FORMS</u> | <u>ELIGIBILITY CONDITIONS</u> | <u>FILING/CLOSING DATES FOR STATE PROGRAMS</u> | <u>INFORMATION ON THE COST OF ATTENDANCE AT VARIOUS TYPES OF INSTITUTIONS</u> | <u>INFORMATION ABOUT APPLYING FOR AID FROM OTHER SOURCES</u> | <u>INFORMATION ON PENALTIES FOR PROVIDING FRAUDULENT DATA</u> |
|--|--------------------------|-------------------------------|--|---|--|---|
| 1. High School Counselors | | | | | | |
| 2. College Financial-Aid Officers | | | | | | |
| 3. Direct Mailings to Graduating High School Students or Parents | | | | | | |
| 4. High School Meetings or Workshops | | | | | | |
| 5. College Admissions Office | | | | | | |
| 6. Newspaper Ads | | | | | | |
| 7. Radio, Television Ads | | | | | | |
| 8. Social Service Agencies | | | | | | |
| 9. Other (Please Specify) | | | | | | |

Decentralized, but state has not specified content or mechanism.

DESCRIPTIONS OF STATE-SPONSORED STUDENT ASSISTANCE PROGRAMS

COLORADO D1

Colorado Student Grants - Provides need-based grants to resident undergraduate students at in-state public institutions.

Colorado Student Scholarships - Provides grants to outstanding talented and scholastic resident students for attendance at in-state public institutions.

Athletic Grants - Provides grants to outstanding athletic students for attendance at in-state public institutions.

Colorado Graduate Grants and Fellowships - Provides grants on a semi-need-based basis, to non-resident and resident students in attendance at in-state public universities and four-year institutions.

Colorado Work Study Program - Provides work-study opportunities for state resident students at in-state public schools. Program is 70-75% need-based and 25-30% non-need-based.

Matching Funds for Health Professions and Nursing Loans - Provides one-ninth match loans required for federal health professions and nursing funds on a need basis. Loans awarded to resident and non-resident students attending in-state public institutions.

Tuition Assistance for Dependents of Fireman and Law Enforcement Personnel Killed or Disabled in Duty - Provides tuition-remission awards on a need-basis to law/fire officer dependents. Recipients must be resident students attending in-state public institutions.

NDSL Loan Funds - Provides the required one-ninth match loan for federal NDSL funds prior to 1976-77. In 1976-77, provides over-match loans to increase student loan funds. Loans are awarded to needy non-resident and resident students attending in-state public institutions.

Colorado Veterans Tuition Assistance - Provides financial-assistance grants to Vietnam-Era veterans. Recipients must be resident students at in-state public institutions.

Dependents of POWs/MIAs - Provides tuition assistance grants to dependents of Veterans/POWs/MIAs not eligible for federal benefits. Recipients must be resident students at in-state public institutions.

WICHE Student Exchange Program - A tuition-remission program which aids students in participating western states to obtain access to fields of professional education that are not available in their home states. Funds appropriated by a sponsoring state-reimburse the receiving school through payment of an institutional support fee for the educational service offered. The student does not receive a cash stipend. This program was terminated in Colorado in 1975.

Local District Junior College Grants - Provides need-based grants to resident students attending in-state public community and junior colleges.

Local District Junior College Scholarships - Provides grants to outstanding scholastic or talented resident students attending in-state public community and junior colleges.

BASIC STATE PROGRAM INFORMATION

COLORADO D2

| <u>Programs</u> | <u>Year</u> | <u>Number of Awards</u> | <u>Average Full-Time Award Size</u> | <u>Total Dollars Awarded</u> | <u>Eligible Population</u> |
|--|--------------------|--|-------------------------------------|--|--|
| <u>Need-Based</u> | | | | | |
| <u>Grants</u> | | | | | |
| Colorado Student Grants | 1971-72 1975-76 | Unavail. [REDACTED] | \$606 | \$5,195,453 \$7,762,819 | Resident Undergraduates |
| Colorado Graduate Grants ² | 1971-72 1975-76 | Unavailable 1,500 ³ | \$676 | \$ 912,000 \$1,014,300 | Graduate students enrolled at in-state schools |
| Local District Junior College Grants | 1972-73 1975-76 | Unavail. 262 ⁵ | \$471 | \$ 152,486 ⁴ \$ 123,345 | Resident Freshmen and Sophomores |
| <u>Loans</u> | | | | | |
| Matching Funds for Health Professions and Nursing Loans ⁶ | 1972-73 1975-76 | | | \$ 38,297 \$ 31,721 | Students enrolled at in-state schools |
| NDSL Loan Funds | 1972-73 1975-76 | | | \$ 402,223 \$ 376,742 | Students enrolled at in-state schools |
| <u>Work Study</u> | | | | | |
| Colorado Work Study Program ⁷ | 1970-71 1975-76 | | | \$ 600,000 \$1,439,515 | Resident Undergraduates |
| <u>Other</u> | | | | | |
| Tuition Assistance for Dependents of Fireman and Law Enforcement | 1972-73 1975-76 | 1 5 | | \$ 600 ⁸ \$ 1,500 ⁸ | Dependents of Law/Fire Officers |
| <u>Non Need-Based</u> | | | | | |
| Colorado Student Scholarships | 1973-74 1975-76 | Unavail. 4,055 ¹ | \$238 | \$ 960,000 | Resident Undergraduates |
| Athletic Grants ⁹ | 1971-72 1975-76 | Unavail. 1,900 ³ | \$508 | \$ 822,800 \$ 965,237 | Outstanding Athletes |
| Colorado Veterans Tuition Assistance | 1974-75 1975-76 | 7,836 ⁵ 9,000 ⁵ | \$186 \$192 | \$1,206,407 \$1,906,182 | Veterans |

CONTINUED

BASIC STATE PROGRAM INFORMATION

COLORADO D2

| <u>Programs</u> | <u>Year</u> | <u>Number of Awards</u> | <u>Average Full-Time Award Size</u> | <u>Total Dollars Awarded</u> | <u>Eligible Population</u> |
|---|----------------------|---------------------------------|---|--------------------------------------|---------------------------------------|
| Dependents of POWs/MIAs | No expenditures----- | | | | Dependents of Veterans, POWs, MIAs |
| Local District Junior College Scholarship | 1973-74 | 65 | \$300 | \$ 19,500 | Resident Freshmen and Sophomores |
| | 1975-76 | 58 | \$300 | \$ 17,400 | |

1 95% accurate.

2 This program will be divided into Colorado Graduate Grants and Colorado Graduate Fellowships for 1977-78. At present it is operating without guidelines. It is a semi need-based program.

3 Response based on a reasonable estimation or approximation.

4 Includes scholarships.

5 Response not based on fact, but is reasonably certain.

6 Program operates according to federal regulations.

7 75% need-based; 25% non need-based. If student works "on-campus," 100% salary comes from appropriation; off-campus agencies must provide 20% match.

8 Response considered a "best guess."

9 The Commission has not yet developed guidelines for use of funds in this program.

STUDENT ELIGIBILITY CRITERIA FOR STATE PROGRAMS

COLORADO E1

| <u>Programs</u> | <u>Acceptable Residency Status</u> | <u>Acceptable Attend. Status</u> | <u>Acceptable Student Levels</u> | <u>Special Priority to Cont'g. Awards</u> | <u>Income Limits</u> | <u>Need Limits</u> |
|---|---|-------------------------------------|----------------------------------|---|----------------------|--------------------|
| <u>Need-Based</u> | | | | | | |
| <u>Grants</u> | | | | | | |
| Colorado Student Grants | Residents - In-State | Part-Time Half-Time Full-Time | All Undergraduates | No | None | None |
| Colorado Graduate Grants | Residents & Non-Residents at In-State Schools | Not specified | Graduates | No | None | None |
| Local District Junior College Grants | Residents - In-State | Part-Time Half-Time Full-Time | Freshmen Sophomores | No | None | None |
| <u>Loans</u> | | | | | | |
| Matching Funds for Health Professions and Nursing Loans | Residents & Non-Residents at In-State Schools | Half-Time Full-Time | All | No | None | None |
| NDSL Loan Funds | Residents & Non-Residents at In-State Schools | Half-Time Full-Time | All | No | None | None |
| <u>Work-Study</u> | | | | | | |
| Colorado Work-Study Program | Residents - In-State | Half-Time Full-Time | All Undergraduates | No | None | None |
| <u>Other</u> | | | | | | |
| Tuition Assistance for Dependents of Fireman, and Law Enforcement | Residents - In-State | Part-Time Half-Time Full-Time | All | No | None | None |

STUDENT ELIGIBILITY CRITERIA FOR STATE PROGRAMS

COLORADO E1

| <u>Programs</u> | <u>Acceptable Residency Status</u> | <u>Acceptable Attend. Status</u> | <u>Acceptable Student Levels</u> | <u>Special Priority to Cont'g. Awards</u> | <u>Income Limits</u> | <u>Need Limits</u> |
|--|------------------------------------|-------------------------------------|----------------------------------|---|----------------------|--------------------|
| <u>Non Need-Based</u> | | | | | | |
| Colorado Student Scholarships | Residents attending In-State | Part-Time Half-Time Full-Time | All Undergraduates | No | Not Applicable | Not Applicable |
| Athletic Grants | Residents attending In-State | Not Specified | All Undergraduates | No | Not Applicable | Not Applicable |
| Colorado Veterans Tuition Assistance | Residents attending In-State | Part-Time Half-Time Full-Time | All | No | Not Applicable | Not Applicable |
| Dependents of POWs/MIAs | Residents attending In-State | | All | No | Not Applicable | Not Applicable |
| Local District Junior College Scholarships | Residents attending In-State | Part-Time Half-Time Full-Time | Freshmen, Sophomores | No | Not Applicable | Not Applicable |

Awards limited to tuition, fees, books.

INSTITUTIONAL ELIGIBILITY CRITERIA FOR STATE STUDENT-ASSISTANCE PROGRAMS

COLORADO E2

| <u>Programs</u> | <u>University and 4-Year Colleges</u> | <u>Community/ Junior Colleges</u> | <u>Voc./Tech. Schools</u> | <u>Proprietary</u> | <u>Special Types of Institutions</u> |
|--|---|---------------------------------------|-------------------------------|--------------------|--|
| <u>Need-Based</u> | | | | | |
| <u>Grants</u> | | | | | |
| Colorado Student Grants | Public | Public | No | No | No |
| Colorado Graduate Grants | Public | No | No | No | No |
| Local District Junior College Grants | No | Public | No | No | No |
| <u>Loans</u> | | | | | |
| Matching Funds for Health Professions and Nursing Loans | Public | Public | No | No | No |
| NDSL Loan Funds | Public | Public | No | No | No |
| <u>Work-Study</u> | | | | | |
| Colorado Work-Study Program | Public | Public | Public | No | No |
| <u>Other</u> | | | | | |
| Tuition Assistance for Dependents of Fireman and Law Enforcement | Public | Public | No | No | No |
| <u>Non Need-Based</u> | | | | | |
| Colorado Student Scholarships | Public | Public | No | No | No |
| Athletic Grants | Public | Public | No | No | No |
| Colorado Veterans Tuition Assistance | Public | Public | Public | No | No |
| Dependents of POWs/MIAs | Public | Public | No | No | No |
| Local District Junior College Scholarships | No | Public | No | No | No |

NEED DETERMINATION CRITERIA FOR STATE PROGRAMS

COLORADO E3

| <u>Programs</u> | <u>Program Definition of Need</u> | <u>Is BEOG Application Required?</u> | <u>Expected Family Contribution (EFC) Method</u> | <u>Student Budget Includes What Items?</u> |
|--|--|--------------------------------------|--|--|
| <u>Need-Based</u> | | | | |
| <u>Grants</u> | | | | |
| Colorado Student Grants | Need = Budget - Resources greater than "0" | Strongly Encouraged | Consensus | General Student Costs |
| Colorado Graduate Grants | Need = Budget - Resources greater than "0" | No | Consensus | General Student Costs |
| Local District Junior College Grants | Need = Budget - Resources greater than "0" | Strongly Encouraged | Consensus | General Student Costs |
| <u>Loans</u> | | | | |
| Matching Funds for Health Professions, and Nursing Loans | Need = Budget - Resources greater than "0" | No (Encouraged) | Consensus | General Student Costs |
| NDSL Loan Funds | Need = Budget - Resources greater than "0" | No (Encouraged) | Consensus | General Student Costs |
| <u>Work-Study</u> | | | | |
| Colorado Work-Study Program | Need = Budget - Resources greater than "0" | No (Encouraged) | Consensus | General Student Costs |
| <u>Other</u> | | | | |
| Tuition Assistance for Dependents of Fireman and Law Enforcement | Need = Budget - Resources greater than "0" | No (Encouraged) | Consensus | General Student Costs |

AWARD SIZE DETERMINATION CRITERIA FOR STATE PROGRAMS

COLORADO E4

| <u>Programs</u> | <u>Maximum Award Size?</u> | <u>What Award Size Determination Method is Used?</u> | <u>How is Award Priority Determined?</u> | <u>Who Ultimately Determines Award Amount?</u> |
|--|--|---|--|--|
| <u>Need-Based</u> | | | | |
| <u>Grants</u> | | | | |
| Colorado Student Grants | \$1,000/year | According to institutional packaging philosophy and funds available | According to institutional philosophy (usually an amount to be "unmet" for each student is established, any student with need = or less than that does not receive aid). | Institution |
| Colorado Graduate Grants | None now; \$3,000/year as of 1977-78 | By institutional packaging philosophy | By need and merit | Institution |
| Local District Junior College Grants | \$1,000 | Need and institutional packaging philosophy | Institutional philosophy | Institution |
| <u>Loans</u> | | | | |
| Matching Funds for Health Professions and Nursing Loans | \$3,500 | According to need and packaging philosophy of institution | | Institution |
| MDSL Loan Funds | | | | |
| <u>Work-Study</u> | | | | |
| Colorado Work-Study Program | None | According to student need and amount student can reasonably be expected to earn | Institutional packaging philosophy | Institution |
| <u>Other</u> | | | | |
| Tuition Assistance for Dependents of Fireman and Law Enforcement | Tuition and Fees | According to student need and amount of tuition owed | | Institution |
| <u>Non-Need-Based</u> | | | | |
| Colorado Student Scholarships | \$ 300 | Institutional | Varies among institutions; some measure of merit | Institution |

313

314

CONTINUED

AWARD SIZE DETERMINATION CRITERIA FOR STATE PROGRAMS

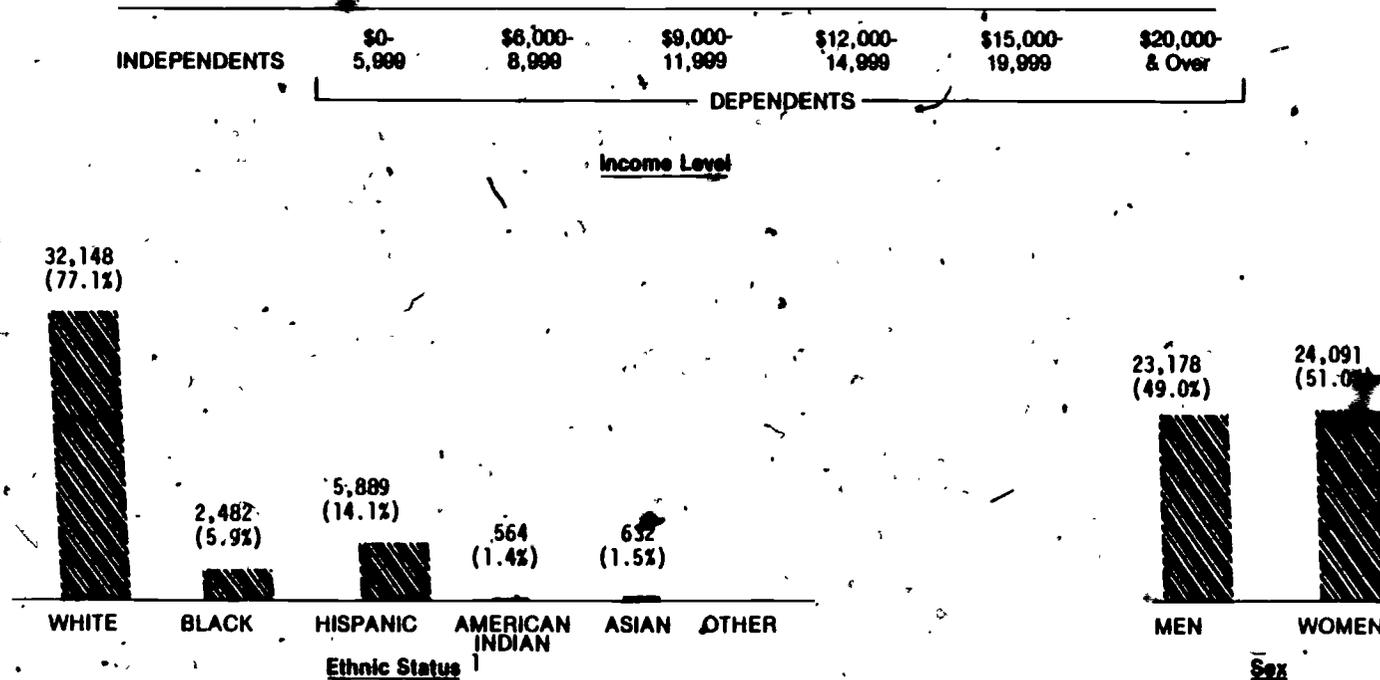
COLORADO E4

| <u>Programs</u> | <u>Maximum Award Size?</u> | <u>What Award Size Determination Method is Used?</u> | <u>How is Award Priority Determined?</u> | <u>Who Ultimately Determines Award Amount?</u> |
|---|----------------------------|--|--|--|
| Athletic Grants | | | | |
| Dependents of POWs/MIAs | | | | |
| Local District Junior College Scholarship | 300 | Tuition and fees due Institutional policy | Merit | Institution Institution |

NUMBER OF NEED-BASED GRANT PROGRAM APPLICANTS

COLORADO F1

DATA NOT AVAILABLE



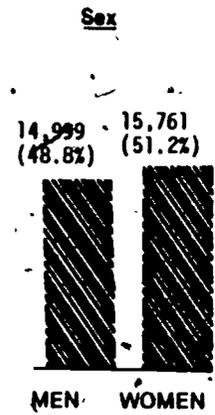
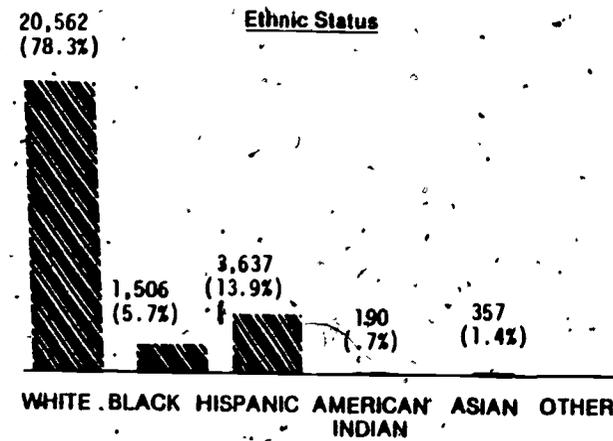
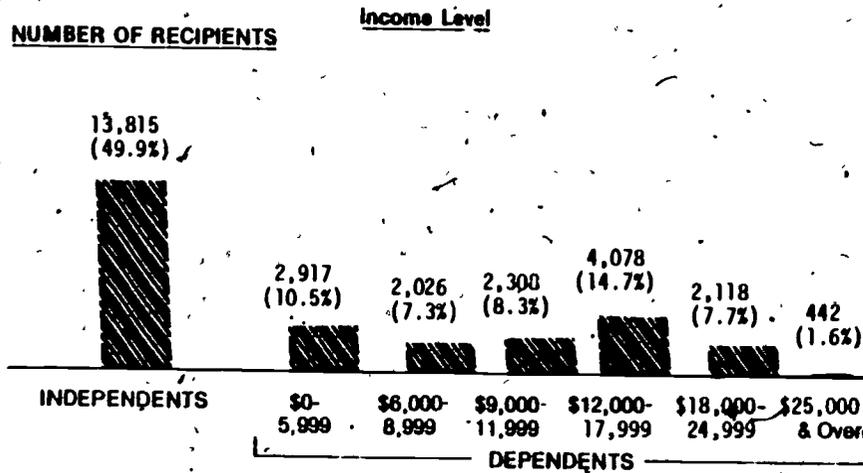
There were 5554 applicants whose ethnic status was not reported. These are not included in the ethnic status distributions shown above. These figures include applications to all sources of student aid (BEOG, SEOG, SSIG, Colorado Student Grants); all recipients, state residents and non-residents, attend in-state schools.

NUMBER OF NEED-BASED GRANT PROGRAM RECIPIENTS AND AVERAGE AWARD SIZE

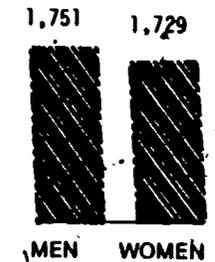
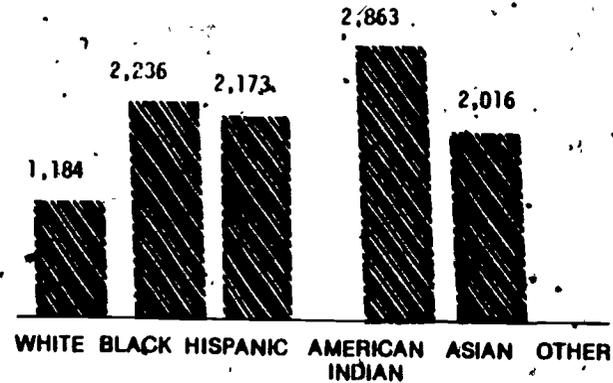
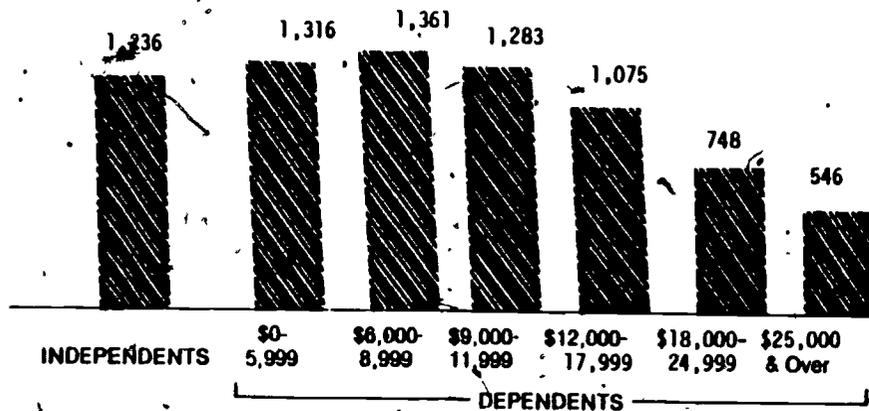
F2

COLORADO

NUMBER OF RECIPIENTS



AVERAGE AWARD SIZE



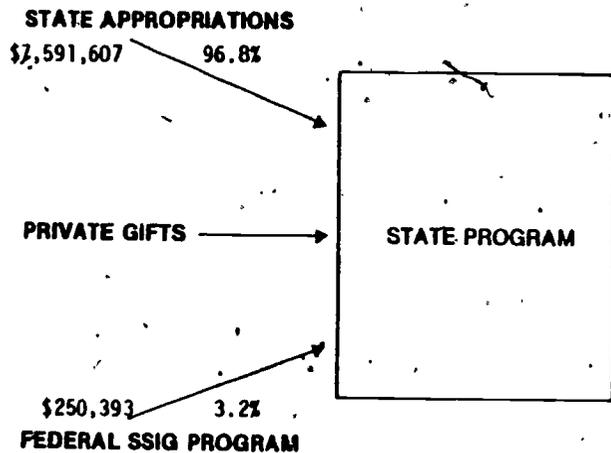
NOTES:

- 1) These figures include awards made from all sources (BEQG, SEOG, SSIG, Colorado Student Grants); all recipients, state residents and non-residents, attend in-state schools.
- 2) There were 846 recipients whose income level was not reported. These are not included in the income level distributions shown above.
- 3) There were 4,508 applicants whose ethnic status was not reported (1,780,299 awarded).
- 4) There is a discrepancy in the totals by category (Income Level, Ethnic Status and Sex). However, these were the numbers given in the MASSGP Survey.

SOURCES AND DISTRIBUTION OF NEED-BASED GRANT PROGRAM FUNDS

THESE FIGURES FOR THE 1975-76 SCHOOL YEAR PERTAIN TO:

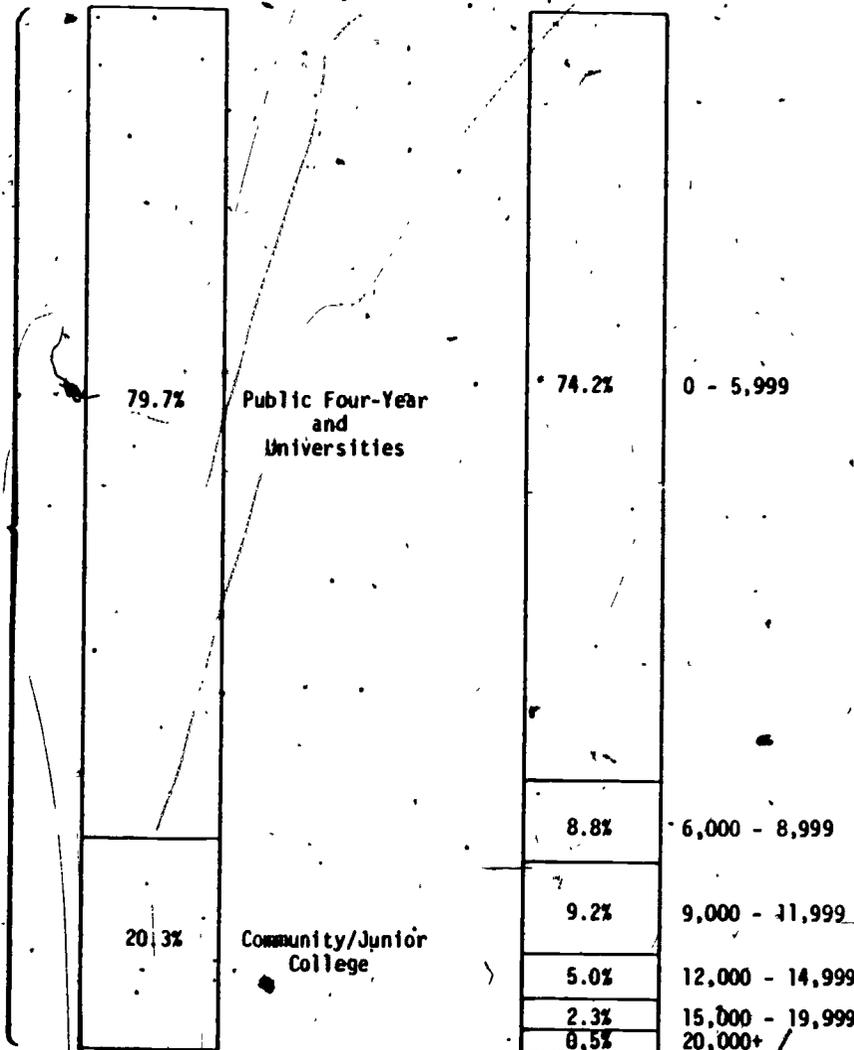
- COLORADO STUDENT GRANTS



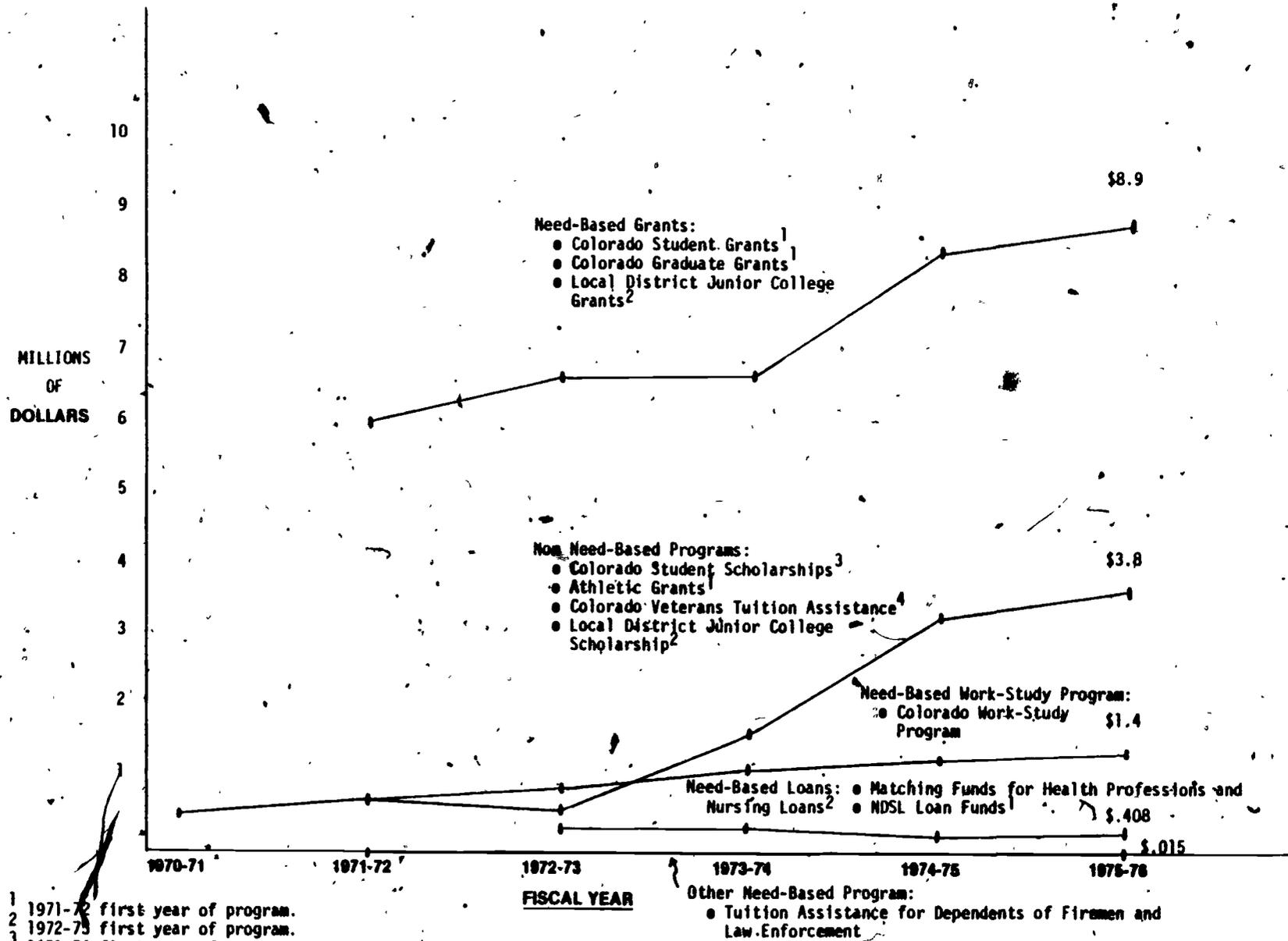
These data were taken from the Financial Status and Performance Report for the Reporting Period July 1, 1975, to September 30, 1976. The figures pertaining to the distribution of funds by institutional sector and student income categories only reflect the distribution of the federal portion of the total dollars.

INSTITUTIONAL SECTORS

STUDENT INCOME CATEGORIES



HISTORIC STATE EXPENDITURES ON STUDENT-ASSISTANCE PROGRAMS



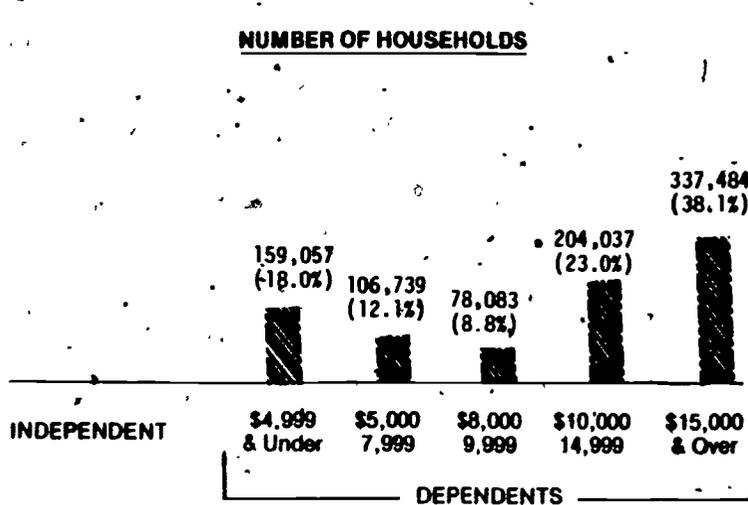
1 1971-72 first year of program.
 2 1972-73 first year of program.
 3 1973-74 first year of program.
 4 1974-75 first year of program.



STATE POPULATION PROFILE

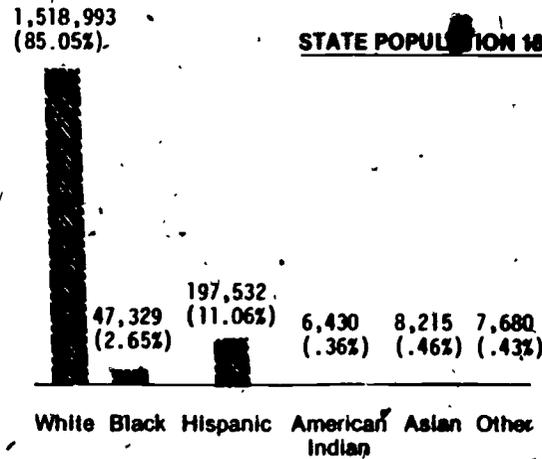
COLORADO H1

NUMBER OF HOUSEHOLDS



Effective Buying Income

STATE POPULATION 18 AND OVER

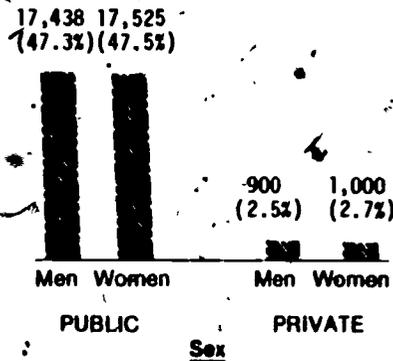


Ethnic Status



Sex

STATE HIGH SCHOOL GRADUATES



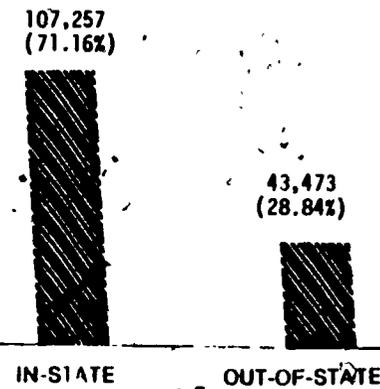
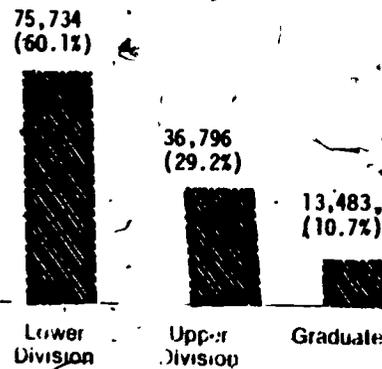
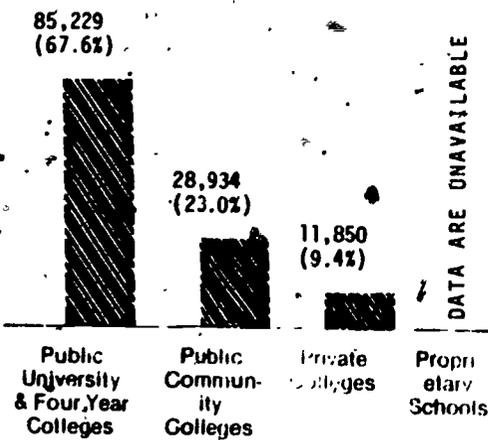
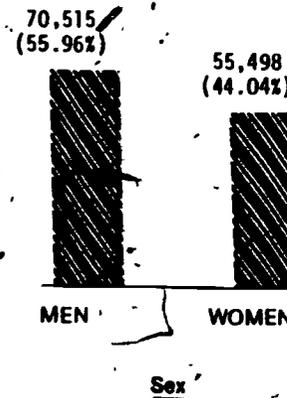
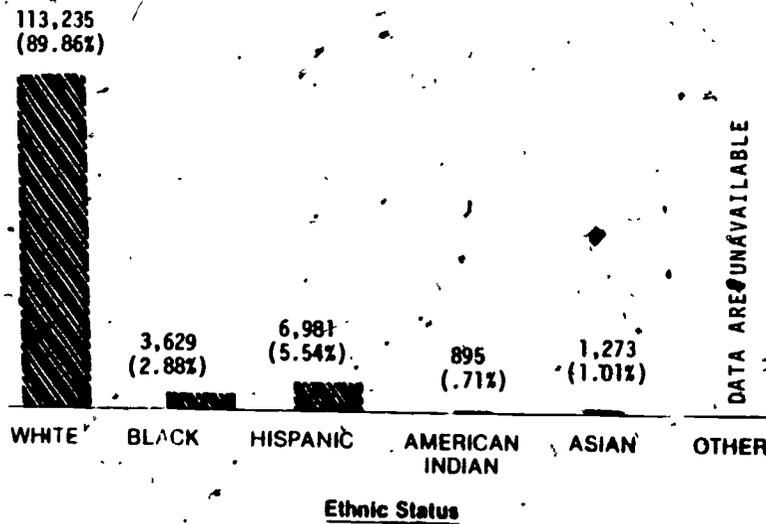
Sex

The high school graduation figures do not include 5,081 persons granted a high school equivalency certificate.

(c) Sales and Marketing Management 1977 Survey of Buying Power Data Service

STATE POSTSECONDARY EDUCATION STUDENT ENROLLMENTS

COLORADO H2



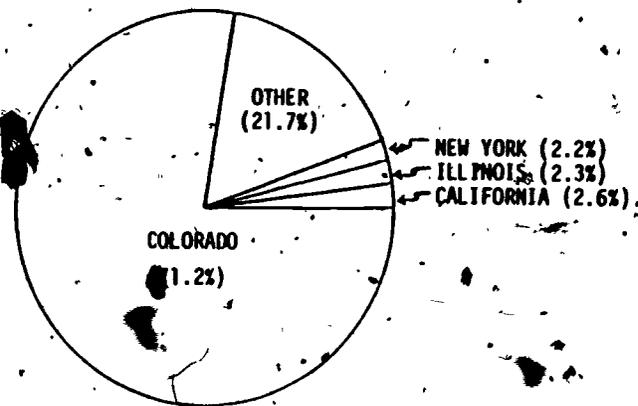
Institutional Sector

Student Level

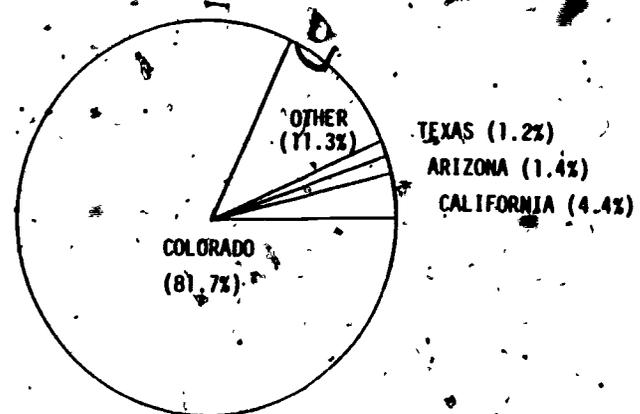
Residency

INTERSTATE MIGRATION OF STUDENTS

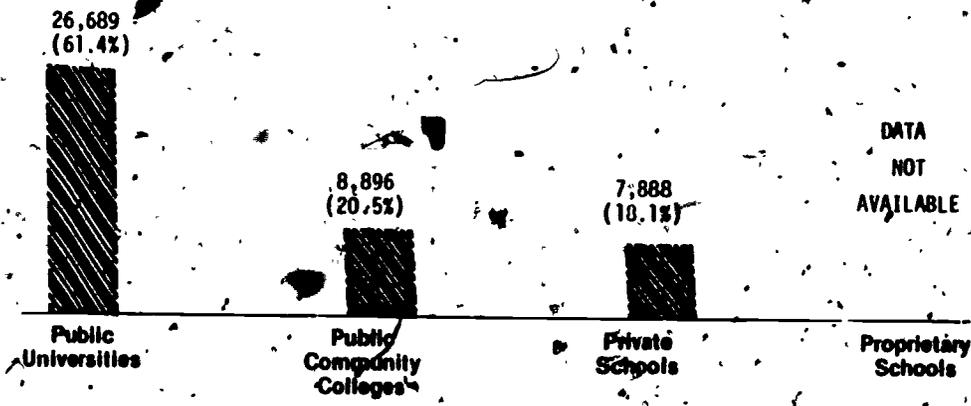
COLORADO H3



RESIDENT AND NON-RESIDENT STUDENTS ATTENDING IN-STATE INSTITUTIONS



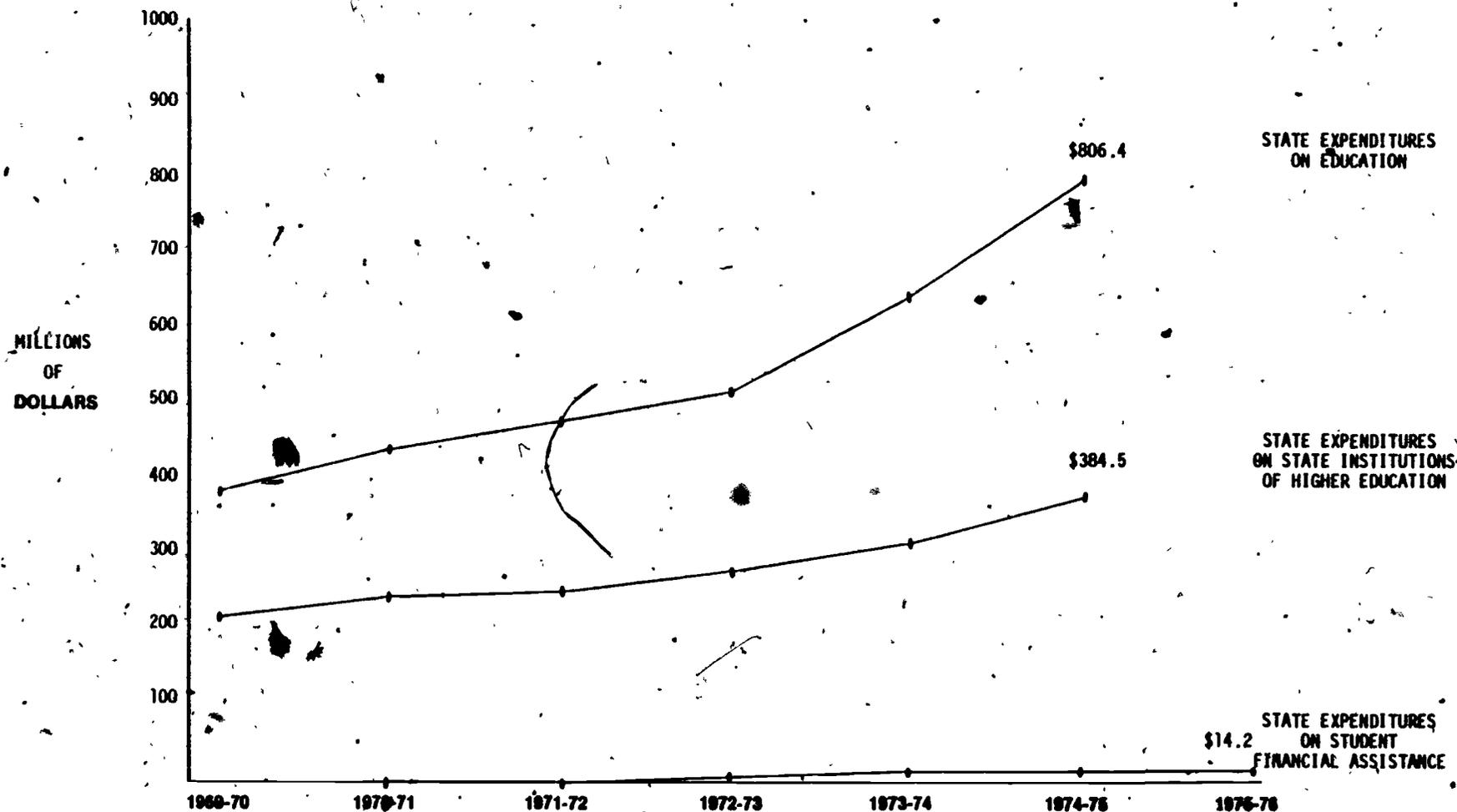
RESIDENT STUDENTS ATTENDING IN-STATE AND OUT-OF-STATE INSTITUTIONS



INSTITUTIONAL SECTOR (Out-of-State Students in School In-State)

STATE EXPENDITURE ON POSTSECONDARY EDUCATION STUDENT ASSISTANCE

COLORADO 11



THESE DATA PERTAIN TO:

- COLORADO STUDENT GRANTS
- COLORADO GRADUATE GRANTS
- LOCAL DISTRICT JUNIOR COLLEGE GRANTS

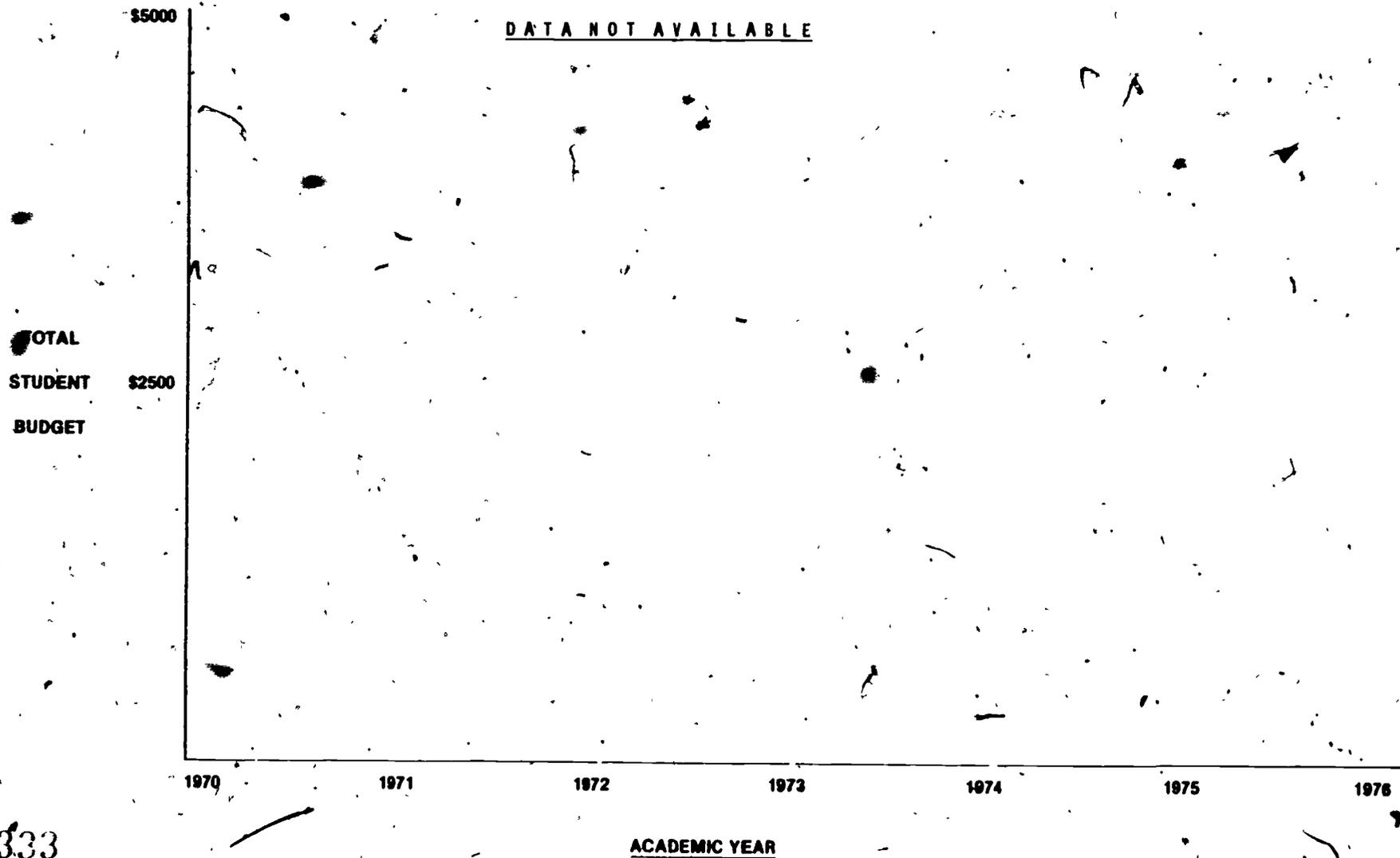
● FISCAL YEAR

- COLORADO WORK-STUDY PROGRAM
- TUITION ASSISTANCE FOR DEPENDENTS OF FIREMAN AND LAW ENFORCEMENT
- COLORADO STUDENT SCHOLARSHIPS

- ATHLETIC GRANTS
- COLORADO VETERANS TUITION ASSISTANCE
- LOCAL DISTRICT JUNIOR COLLEGE SCHOLARSHIP

**GROSS COSTS TO STUDENTS ATTENDING SCHOOL
BY POSTSECONDARY EDUCATION SECTORS**

COLORADO 12



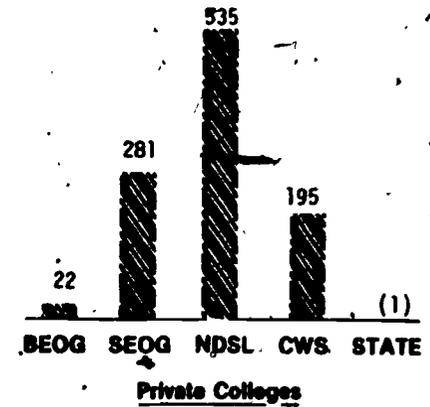
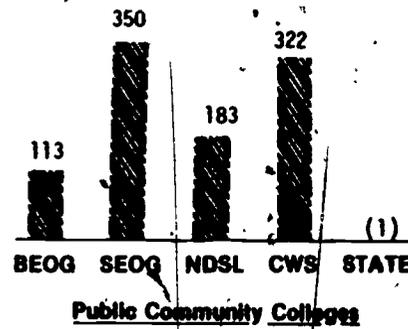
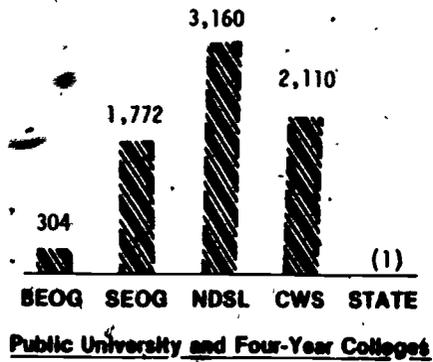
333

334

LEVELS OF FEDERAL AND STATE PROGRAM FUNDING BY INSTITUTIONAL SECTOR

COLORADO 13

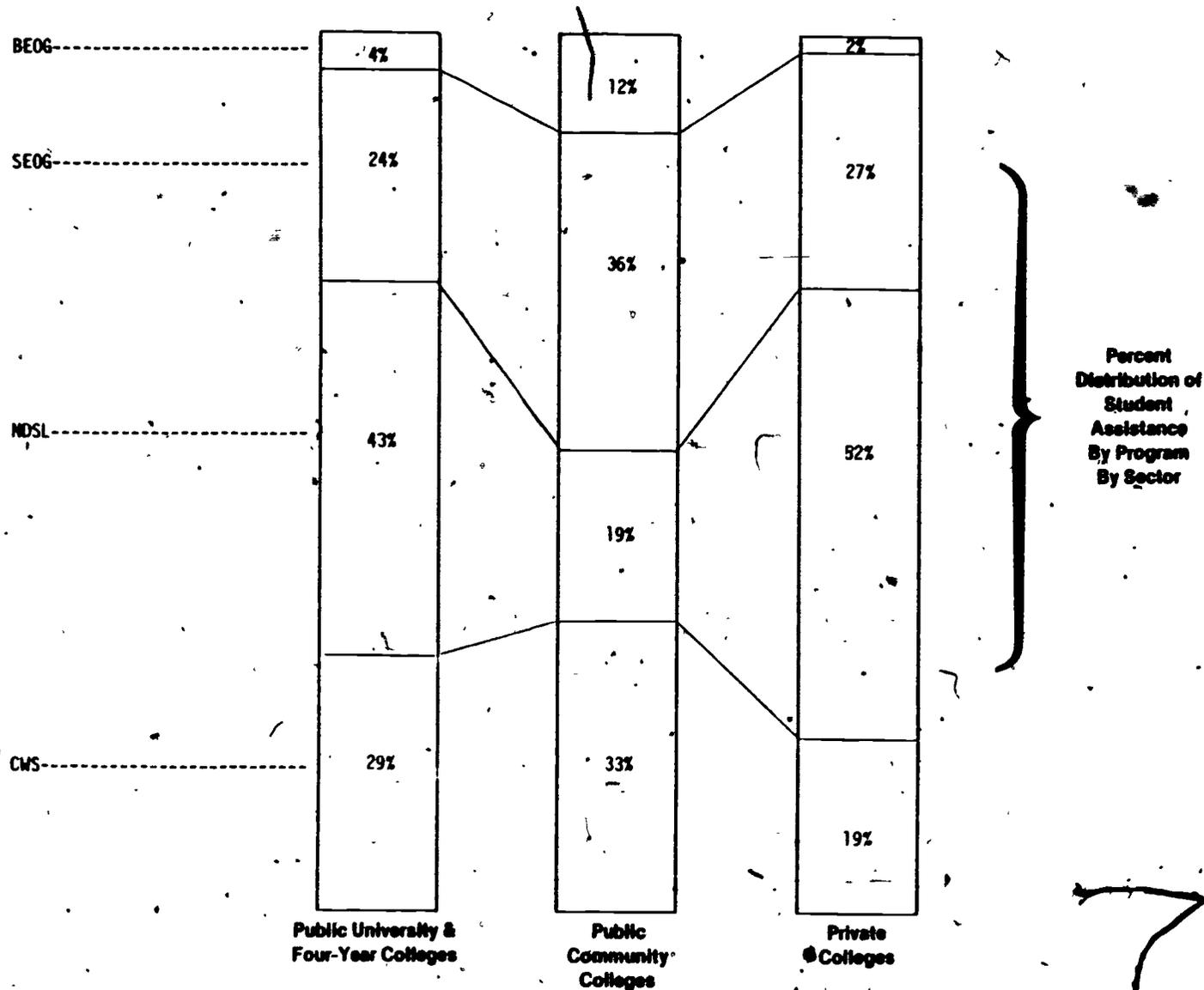
(Figures in thousands)



(1) The data pertaining to state dollars awarded by institutional sector are unavailable.

RELATIVE IMPORTANCE OF FEDERAL AND STATE PROGRAM FUNDING BY SECTOR

COLORADO 14



The data pertaining to state dollars awarded by institutional sector are unavailable.

**DESCRIPTIONS OF PROGRAM OFFICES AND AGENCIES AND
CORRESPONDING PROGRAM RESPONSIBILITIES**

CONNECTICUT A1

Connecticut State Scholarship Commission

1. State Scholarship Program
2. Higher Education Grant Program
3. Higher Education Loan Program
4. State Supplemental Grant Program
5. Contracted Students with Independent Colleges
6. State Work Study Program
7. Awards to Children of Deceased/Disabled Veterans

339

340

RELATIONSHIPS OF STATE AGENCIES

CONNECTICUT A2

DATA ARE UNAVAILABLE

STATE AGENCY ORGANIZATION

CONNECTICUT B1

DATA ARE UNAVAILABLE

MANAGEMENT RELATIONSHIPS BETWEEN THE STATE AGENCY AND OTHER STATE AGENCIES/INSTITUTIONS

CONNECTICUT B2

WHO ACCOMPLISHES THE FOLLOWING?

STATE
PROGRAM
OFFICE

INSTITUTIONAL
FINANCIAL
AID OFFICER

OTHER OFFICES OR AGENCIES

Processing of Applications

- Verification of Student Application Information
- Identification of Eligible Recipients
- Determination of Award Size
- Assignment of Priority to Awards
- Formally Approves Award

X

X

X

X

X

X

X

X

X

Makes Payment to Student (or Credits Student Account)

X

X

Makes Post-Award Adjustments

X

Initiates the Prosecution of Possible Fraudulent
Student applications

Publicizes Award Availability

X

X

COMMENTS: Although Connecticut programs of student financial assistance have been decentralized in the past, administrative and operational services for major programs previously under contract with out-of-state agencies are now in the process of being transferred to in-state capability.

STATE PROGRAM OFFICE DEFINITIONS FOR SELECTED TERMS

CONNECTICUT 83

DEFINITIONS USED BY
STATE PROGRAM OFFICES

STATE DEFINITION
SPECIFIED BY

STATE DEFINITION COMMONLY
USED BY ALL INSTITUTIONS

STATE DEFINITION CONSISTENT
ACROSS ALL STATE PROGRAMS

PUBLIC

PRIVATE

DATA ARE UNAVAILABLE

347

348

**INFORMATION FLOW BETWEEN THE STATE PROGRAM OFFICE
AND VARIOUS FEDERAL OFFICES**

CONNECTICUT 84

**TYPE OF INFORMATION PROVIDED
BY STATE STUDENT-AID AGENCY**

**TYPE OF INFORMATION RECEIVED
BY STATE STUDENT-AID AGENCY**

**PERCEPTION OF ADEQUACY
OF INFORMATION FLOW**

FEDERAL OFFICES

SSIG Office

BEOG Office

Regional OE Student-Assistance Office
(Campus-Based Programs)

Washington OE Office
(Campus-Based Programs)

FISL/GSL Office

DATA ARE UNAVAILABLE

STATE/INSTITUTIONAL OFFICES

Public Institutions

Private Institutions

Governor/Budget Office

State Legislature
(Including Committee Staffs)

350

MANAGEMENT STAFFING OF STATE PROGRAM OFFICES

CONNECTICUT B5

MANAGEMENT FUNCTION

PERCENTAGE OF STAFF EFFORT

STAFF ASSISTANCE FROM OTHER AGENCIES COMMENTS'

APPLICATIONS PROCESSING

(Includes verification of student information, need analysis, award determination, etc.)

RESPONDING TO REQUESTS FOR INFORMATION

(Includes federal requests for information, parent/student inquiries, testimony in hearings, etc.)

PROGRAM REVIEW

(Includes on-site visits to institutions, formal/informal audits, etc.)

PUBLIC RELATIONS AND INFORMATION DISSEMINATION

(Includes parent/student workshops, work on promotional literature, etc.)

GENERAL ADMINISTRATION

(Includes executive personnel, staff training and development, regulations and guidelines, preparation of summary statistics, etc.)

OTHER MANAGEMENT ACTIVITIES

(Includes any special staff activities which do not clearly fall in one of the above categories)

DATA ARE UNAVAILABLE

**STATE AGENCY CALENDAR OF EVENTS FOR NEED-BASED
PROGRAMS FOR 1976-77 SCHOOL YEAR**

CONNECTICUT

88

MONTH/YEAR

EVENTS

SEPTEMBER 1975 1) Agency budget presented to governors office or budget bureau
2) Agency budget presented to legislature
3) Agency makes student-aid applications available

DECEMBER 1975 Deadline for student-aid applications

Agency responds to individual inquiries/requests to participate in parent/student nights and other activities

ONGOING Agency completes planning workshops for students or counselors

February for new awards; August for renewal awards

FEBRUARY-AUGUST 1976 Agency (or institutions) announces award winners

April for new awards; August for renewal awards

APRIL-AUGUST 1976 Last date at which award changes and adjustments are made

JUNE 1976 Legislature appropriates student assistance funds

**PROGRAM AND FISCAL CONTROLS OF PROGRAMS
OPERATED BY STATE AGENCY**

CONNECTICUT 87

| PROGRAM CONTROLS | CONTROL USED SAMPLE OR TOTAL POPULATION STUDIED | AGENCY/OFFICE UNDERTAKING CONTROLS | SPECIAL ACTIONS COMMENTS |
|---|--|---|-------------------------------------|
| A. Control on Student Application Data Accuracy 1. Match Against Tax Forms? 2. Match Against BEOG Applications? 3. Match Against Institutional Student Records? 4. Warnings of Prosecution? 5. Prosecution? | | | |
| B. Controls on Attendance and/or Progress 1. Check on Enrollment/Initial Attendance? 2. Check on Mid-Term Attendance? 3. Check on Course Completion? 4. Check on Academic Progress Before Award? 5. Partial Awards? | | DATA ARE UNAVAILABLE | |
| C. Controls on Award Size 1. Check to Make Sure Other Awards Actually Made? 2. Match Against BEOG, Campus-Based Award Files? 3. Re-Check to Ensure Award Adjustments Actually Made? 4. Second Review of Aid Packages? | | | |
| D. Controls on Institutional Student-Aid Operations 1. Independent Program Audits? 2. Aid Officer Bonding? 3. Aid Officer Certification? 4. Double Signatures on All Fund Transfers? | | | |
| E. Controls on Central Administrative Staff Operations 1. Independent Program Audits? 2. Bonding of Staff Members? 3. Double Signatures on All Fund Transactions? | | | |

355

356

**PROGRAM INFORMATION DISSEMINATION PROCEDURES
USED FOR STATE NEED BASED PROGRAMS**

CONNECTICUT C1

TYPE OF INFORMATION PROVIDED

INFORMATION
PROVIDED THROUGH

APPLICATION
FORMS

ELIGIBILITY
CONDITIONS

FILING/CLOSING DATES
FOR STATE PROGRAMS

INFORMATION ON
COST OF ATTENDANCE
AT VARIOUS TYPES
OF INSTITUTIONS

INFORMATION ABOUT
APPLYING FOR AID
FROM OTHER SOURCES

INFORMATION ON
PENALTIES FOR
PROVIDING
FRAUDULENT DATA

1. High School Counselors
2. College Financial-Aid Officers
3. Direct Mailings to Graduating High School Students or Parents
4. High School Meetings or Workshops
5. College Admissions Office
6. Newspaper Ads
7. Radio, Television Ads
8. Social Service Agencies
9. Other (Please Specify)

DATA ARE UNAVAILABLE

357

358

DESCRIPTIONS OF STATE-SPONSORED STUDENT ASSISTANCE PROGRAMS

CONNECTICUT

State Scholarship Program - A need-based grant program for scholastically eligible resident students attending in-state and out-of-state institutions.

Higher Education Grant Program - A need-based grant program for scholastically eligible resident students attending in-state and out-of-state institutions. This program is in the process of being phased out.

Higher Education Loan Program -

State Supplemental Grant Program - A need-based grant program for resident students attending in-state public and private institutions.

Contracted Students with Independent Colleges -

State Work-Study Program - A work-study program for needy and scholastically eligible resident students attending public and private institutions.

Awards to Children of Deceased/Disabled Veterans - Awards \$400 flat grants to children of deceased/disabled/MIA veterans. Students may be residents or non-residents, attending public or private schools.

State Scholarship Program - Up to 10% of State Scholarship Program appropriation annually may be used for graduate awards. This is a need-based scholastic grant program for resident students attending four-year schools.

BASIC STATE PROGRAM INFORMATION

CONNECTICUT D2

| <u>Programs</u> | <u>Year</u> | <u>Number of Awards</u> | <u>Average Full-Time Award Size</u> | <u>Total Dollars Awarded</u> | <u>Eligible Population</u> |
|--|--------------------|-------------------------|-------------------------------------|------------------------------|--------------------------------|
| <u>Need-Based</u> | | | | | |
| <u>Grants</u> | | | | | |
| State Scholarship Program | 1970-71 1975-76 | 2,035 2,396 | \$652 \$730 | \$1,327,650 \$1,748,716 | All Resident Students |
| Higher Education Grant Program | 1974-75 1976-76 | 793 939 | \$335 \$330 | \$ 266,750 \$ 310,200 | Resident Undergraduates |
| State Scholarship Program (Graduate Awards) | 1970-71 1975-76 | 118 235 | \$762 \$776 | \$ 89,925 \$ 182,400 | All Resident Graduate Students |
| <u>Work-Study</u> | | | | | |
| State Work-Study Program | 1972-73 1975-76 | 457 1,250 | \$225 \$223 | \$ 103,075 \$ 278,600 | Resident Students |
| <u>Non Need-Based</u> | | | | | |
| Awards to Children of Deceased/Disabled Vets | 1970-71 1975-76 | 85 146 | \$200 \$400 | \$ 16,063 \$ 56,600 | Dependents of Veterans/POW/MIA |
| Contracted Students with Independent College | } | INFORMATION NOT GIVEN | | | |
| Connecticut Higher Education Loan Program | | | | | |
| Prospective Nurses Program | | | | | |

STUDENT ELIGIBILITY CRITERIA FOR STATE PROGRAMS

CONNECTICUT E1

| <u>Programs</u> | <u>Acceptable Residency Status</u> | <u>Acceptable Attend. Status</u> | <u>Acceptable Student Levels</u> | <u>Special Priority to Cont'g. Awards</u> | <u>Income Limits</u> | <u>Need Limits</u> |
|--|---|-------------------------------------|----------------------------------|---|----------------------|--------------------|
| <u>Need-Based</u> | | | | | | |
| <u>Grants</u> | | | | | | |
| State Scholarship Program | Residents attending In/Out State | Full-Time | All | Yes | Not Applicable | Not Applicable |
| Higher Education Grant Program | Residents attending In/Out State | Full-Time | All Undergraduates | Yes | Not Given | Not Given |
| Higher Education Loan Program | Information Not Given | | | | | |
| State Supplemental Grant Program | Residents attending In-State | Part-Time Half-Time Full-Time | All Undergraduates | Yes | Not Given | Not Given |
| State Scholarship Program (Graduate Awards) | Residents attending In/Out State | Full-Time | Graduates | Yes | Not Given | Not Given |
| <u>Work-Study</u> | | | | | | |
| State Work-Study Program | Residents attending In-State | Half-Time Full-Time | All | No | Not Given | Not Given |
| <u>Non Need-Based</u> | | | | | | |
| Awards to Children of Deceased/Disabled Veterans | Residents attending In/Out of State and Non-Residents | Half-Time Full-Time | All | No | Not Applicable | Not Applicable |
| Contracted Students with Independent College | } INFORMATION NOT GIVEN | | | | | |
| Connecticut Higher Education Loan Program | | | | | | |
| Prospective Nurses Program | | | | | | |

INSTITUTIONAL ELIGIBILITY CRITERIA FOR STATE STUDENT-ASSISTANCE PROGRAMS

| <u>Programs</u> | <u>University and 4-Year Colleges</u> | <u>Community/ Junior Colleges</u> | <u>Voc./Tech. Schools</u> | <u>Proprietary</u> | <u>Special Types of Institutions</u> |
|---|---|---------------------------------------|-------------------------------|--------------------|--|
| <u>Need-Based</u> | | | | | |
| <u>Grants</u> | | | | | |
| State Scholarship Program | Public Private | Public | No | Yes | No |
| Higher Education Grant-Program | Public Private | Public | No | Yes | No |
| Higher Education Loan Program | Information Not Given | | | | |
| State Supplemental Grant Program | Public Private | Public | No | Yes | No |
| State Scholarship Program (Graduate Awards) | Public Private | No | No | No | No |
| <u>Work-Study</u> | | | | | |
| State Work-Study Program | Public Private | Public | No | No | No |
| <u>Non Need-Based</u> | | | | | |
| Awards to Children of Deceased/Disabled Veterans | Public Private | Public | Public | Yes | No |
| Contracted Students with Independent Colleges, Connecticut Higher Education Loan Program Prospective Nurses Program | } INFORMATION NOT GIVEN | | | | |

NEED DETERMINATION CRITERIA FOR STATE PROGRAMS

| <u>Programs</u> | <u>Program Definition of Need</u> | <u>Is BEOG Application Required?</u> | <u>Expected Family Contribution (EFC) Method</u> | <u>Student Budget Includes What Items?</u> |
|---|--|--------------------------------------|--|--|
| <u>Need-Based</u> | | | | |
| <u>Grants</u> | | | | |
| State Scholarship Program | As determined by CSS using the Parents' Confidential Statement | No | Parents' Confidential Statement | Tuition/Fees Room/Board Books Transportation General Student Costs |
| Higher Education Grant Program | As determined by CSS using the Parents' Confidential Statement | Yes | BEOG, Parents' Confidential Statement | Tuition/Fees Room/Board Books Transportation General Student Costs |
| Higher Education Loan Program | Information Not Given | | | |
| State Supplemental Grant Program | As defined by the in-state institutions | No | Not Given | Tuition/Fees Room/Board Books Transportation General Student Costs |
| State Scholarship Program (Graduate Awards) | As determined by CSS using appropriate financial aid forms | No | Not Given | Tuition/Fees Room/Board Books Transportation General Student Costs |
| <u>Work-Study</u> | | | | |
| State Work-Study Program | As defined by the in-state institutions | No | Not Applicable | Tuition/Fees Room/Board Books Transportation General Student Costs |

AWARD SIZE DETERMINATION CRITERIA FOR STATE PROGRAMS

CONNECTICUT E4

| <u>Programs</u> | <u>Maximum Award Size?</u> | <u>What Award Size Determination Method is Used?</u> | <u>How is Award Priority Determined?</u> | <u>Who Ultimately Determines Award Amount?</u> |
|--|----------------------------|---|--|--|
| <u>Need-Based</u> | | | | |
| <u>Grants</u> | | | | |
| State Scholarship Program | \$1,000 | Size of awards is related to need. | Renewals have first priority. | State |
| Higher Education Grant Program | \$1,000 | Flat-grant awards of \$300 each were made during 1975-76. | Renewals have first priority. | State |
| Higher Education Loan Program | Information Not Given | | | |
| State Supplemental Grant Program | \$1,000 | By the institution as related to student needs. | Priority given to renewals. | Institution |
| State Scholarship Program (Graduate Awards) | \$1,000 | Need Related | Renewals have priority. Maximum award period is three years. | State |
| <u>Work-Study</u> | | | | |
| State Work-Study Program | \$1,000 | By Statutes | Not Applicable | Institution |
| <u>Non Need-Based</u> | | | | |
| Awards to Children of Deceased/Disabled Veterans | \$ 400 | By Statutes | Not Applicable | State |
| Contracted Students with Independent Colleges | } INFORMATION NOT GIVEN. | | | |
| Connecticut Higher Education Loan Program | | | | |
| Prospective Nurses Program | | | | |

370

NUMBER OF NEED-BASED GRANT PROGRAM APPLICANTS

CONNECTICUT F1

DATA NOT AVAILABLE

| | \$0- 5,999 | \$6,000- 8,999 | \$9,000- 11,999 | \$12,000- 14,999 | \$15,000- 19,999 | \$20,000- & Over |
|--------------|---------------|-------------------|--------------------|---------------------|---------------------|---------------------|
| INDEPENDENTS | | | | | | |
| | DEPENDENTS | | | | | |

Income Level

DATA NOT AVAILABLE

DATA
NOT APPLICABLE

| WHITE | BLACK | HISPANIC | AMERICAN INDIAN | ASIAN | OTHER |
|-------|-------|----------|--------------------|-------|-------|
| | | | | | |

Ethnic Status

| MEN | WOMEN |
|-----|-------|
| | |

Sex

371

372

NUMBER OF NEED-BASED GRANT PROGRAM RECIPIENTS AND AVERAGE AWARD SIZE

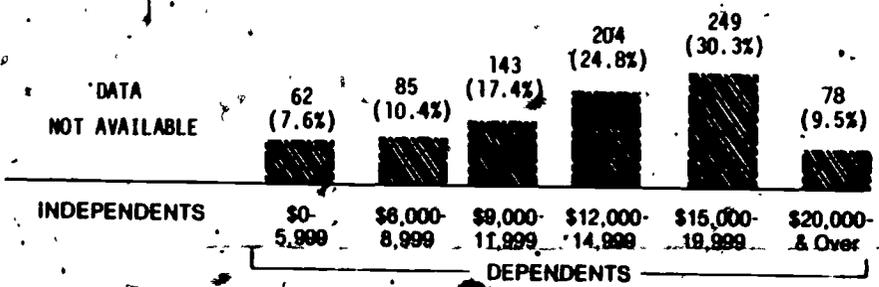
F2
CONNECTICUT

NUMBER OF RECIPIENTS

Income Level

Ethnic Status

Sex

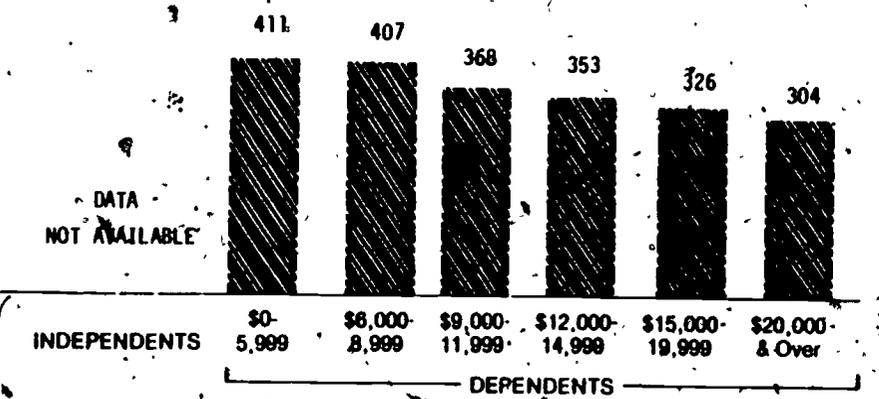


DATA NOT AVAILABLE

DATA NOT AVAILABLE

| | | | | | | | | | | | | | | |
|--------------|------------|---------------|----------------|-----------------|-----------------|-----------------|-------|-------|----------|-----------------|-------|-------|-----|-------|
| INDEPENDENTS | \$0-5,999 | \$6,000-8,999 | \$9,000-11,999 | \$12,000-14,999 | \$15,000-19,999 | \$20,000 & Over | WHITE | BLACK | HISPANIC | AMERICAN INDIAN | ASIAN | OTHER | MEN | WOMEN |
| | DEPENDENTS | | | | | | | | | | | | | |

AVERAGE AWARD SIZE



DATA NOT AVAILABLE

DATA NOT AVAILABLE

| | | | | | | | | | | | | | | |
|--------------|------------|---------------|----------------|-----------------|-----------------|-----------------|-------|-------|----------|-----------------|-------|-------|-----|-------|
| INDEPENDENTS | \$0-5,999 | \$6,000-8,999 | \$9,000-11,999 | \$12,000-14,999 | \$15,000-19,999 | \$20,000 & Over | WHITE | BLACK | HISPANIC | AMERICAN INDIAN | ASIAN | OTHER | MEN | WOMEN |
| | DEPENDENTS | | | | | | | | | | | | | |

These data were taken from the Financial Status and Performance Report for the Reporting Period July 1, 1975, to September 30, 1976, and only reflect the distribution of the federal portion of the "Number of Recipients" and "Average Award Size." These data do not differentiate between dependent and independent students.

SOURCES AND DISTRIBUTION OF NEED-BASED GRANT PROGRAM FUNDS

THESE FIGURES FOR THE 1975-76 SCHOOL YEAR PERTAIN TO:

- STATE SCHOLARSHIP PROGRAM

STATE APPROPRIATIONS

\$1,459,129 83.4%

PRIVATE GIFTS

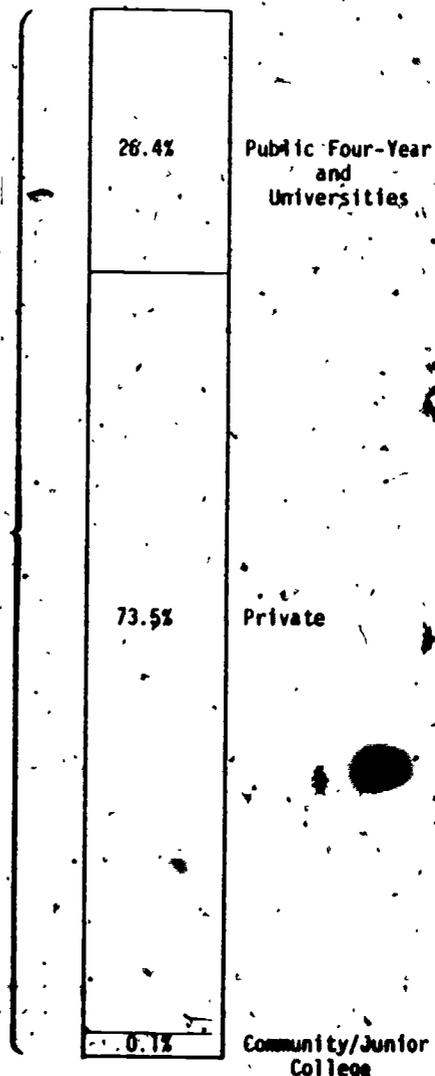
STATE PROGRAM

\$289,587 16.6%

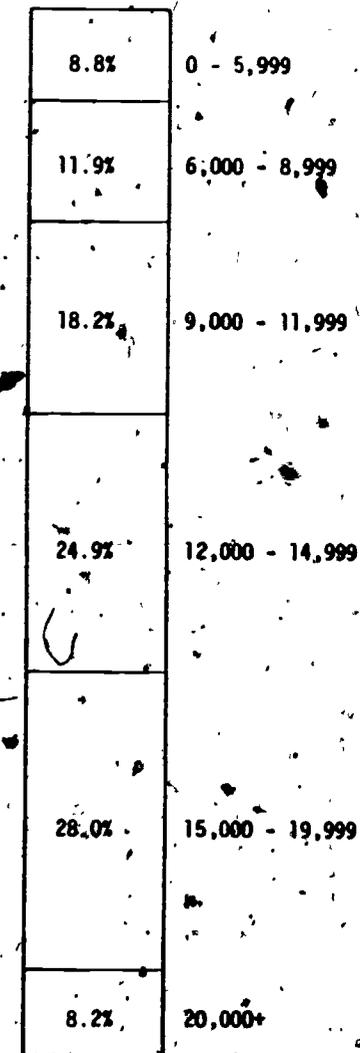
FEDERAL SSIG PROGRAM

These data were taken from the Financial Status and Performance Report for the Reporting Period July 1, 1975, to September 30, 1976. The figures pertaining to the distribution of funds by institutional sector and student income categories only reflect the distribution of the federal portion of the total dollars.

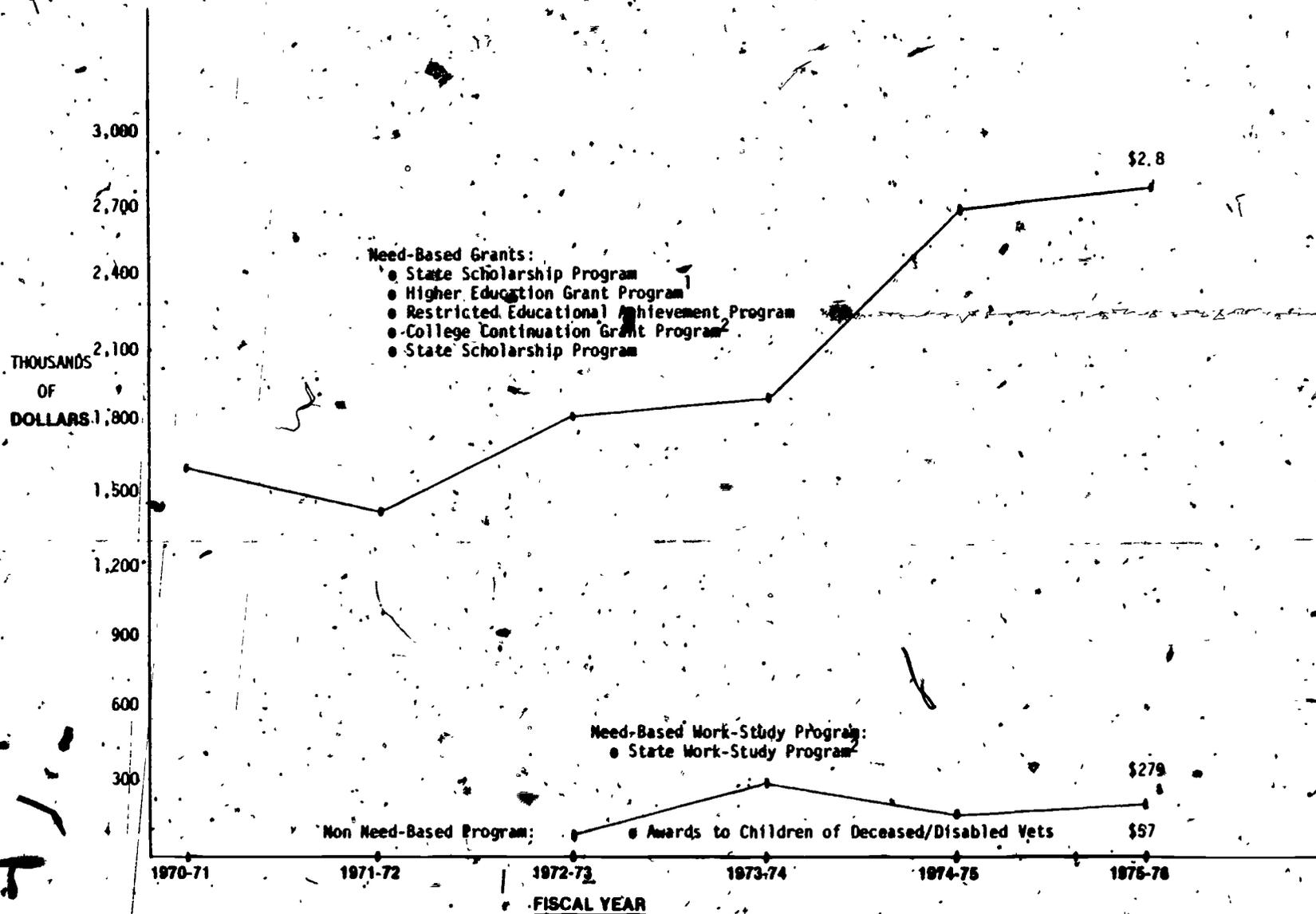
INSTITUTIONAL SECTORS



STUDENT INCOME CATEGORIES



HISTORIC STATE EXPENDITURES ON STUDENT-ASSISTANCE PROGRAMS



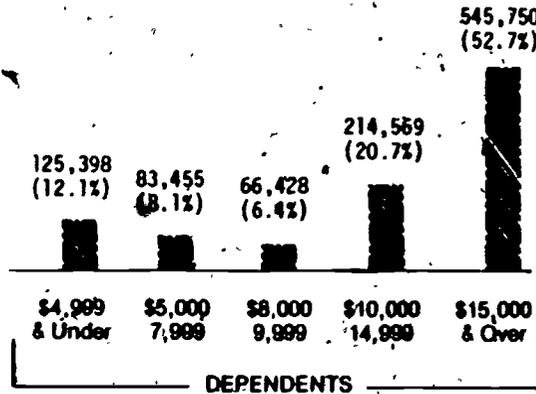
¹ 1974-75 first year of program.
² 1972-73 first year of program.



STATE POPULATION PROFILE

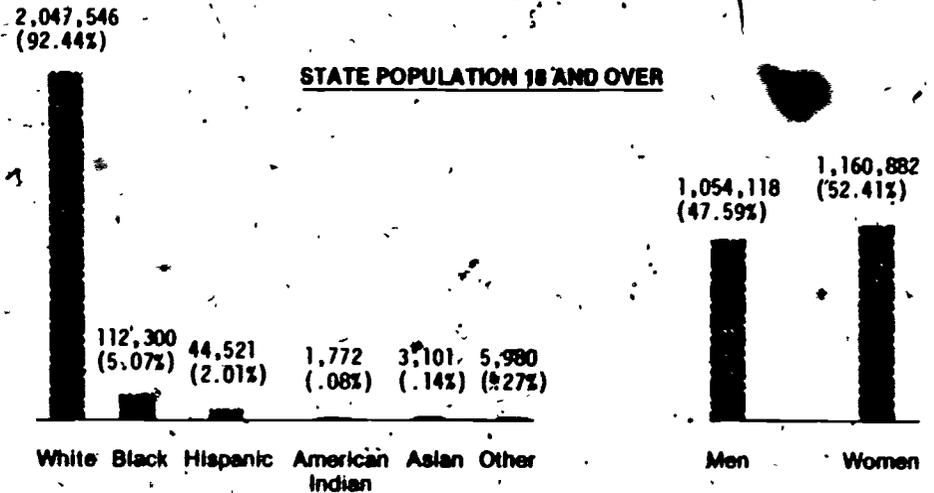
CONNECTICUT H1

NUMBER OF HOUSEHOLDS



Effective Buying Income

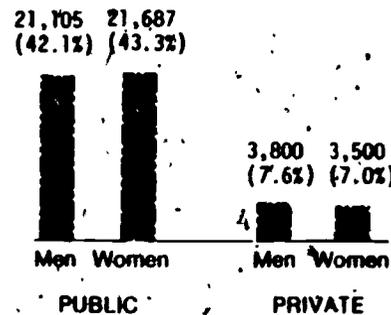
STATE POPULATION 18 AND OVER



Ethnic Status

Sex

STATE HIGH SCHOOL GRADUATES



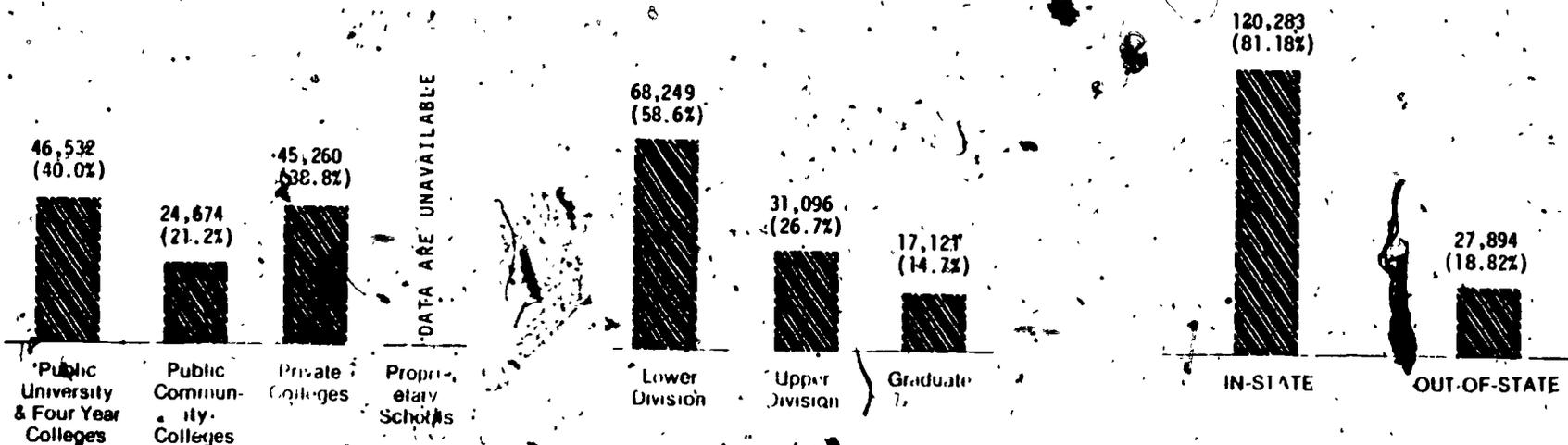
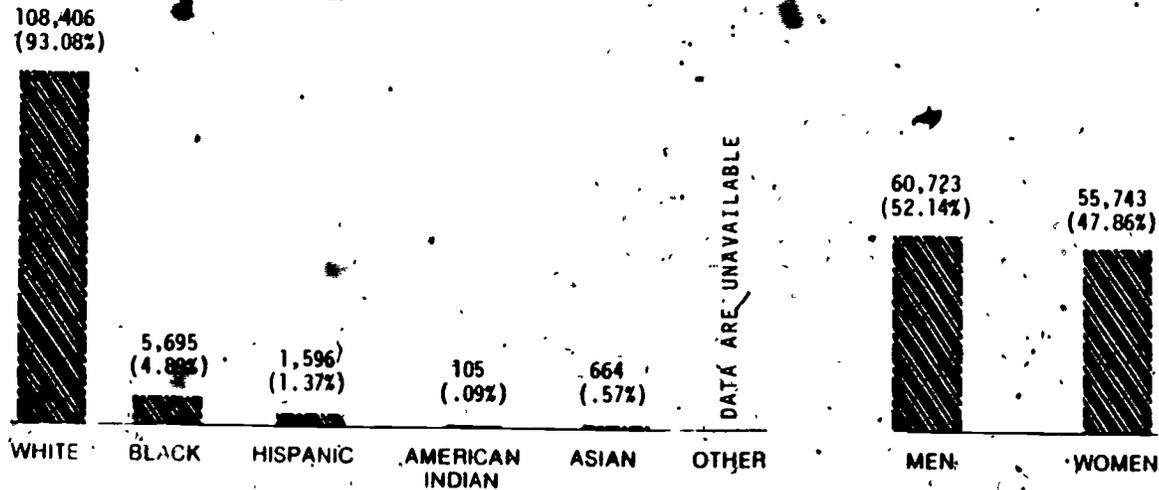
Sex

The high school graduation figures do not include 6,282 persons granted a high school equivalency certificate; nor 4,457 persons graduated from other than regular day school programs.

(c) Sales and Marketing Management 1977 Survey of Buying Power Data Service

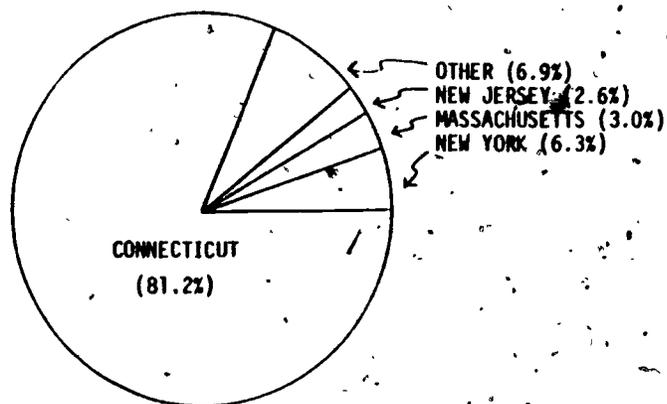
STATE POSTSECONDARY EDUCATION STUDENT ENROLLMENTS

CONNECTICUT H2

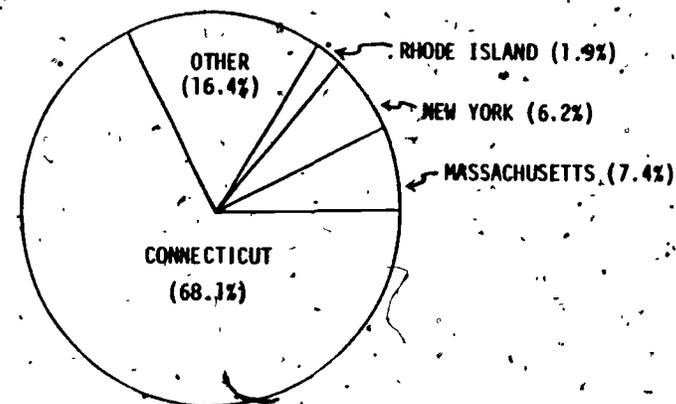


INTERSTATE MIGRATION OF STUDENTS

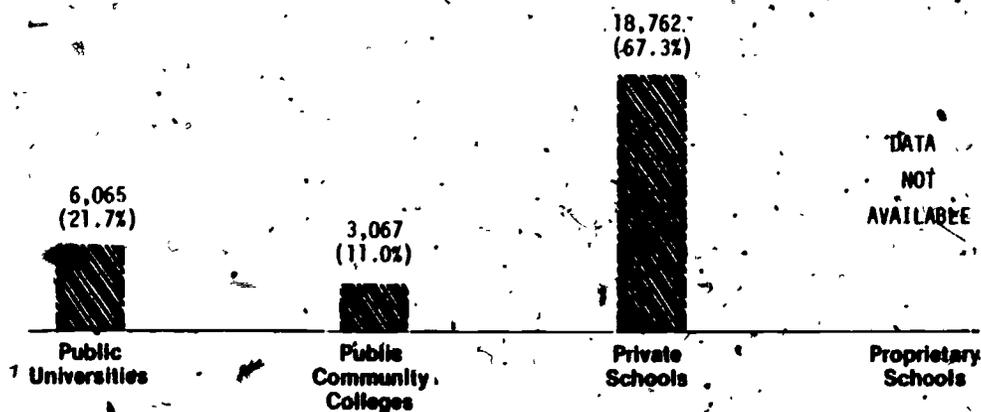
CONNECTICUT H3



RESIDENT AND NON-RESIDENT STUDENTS ATTENDING IN-STATE INSTITUTIONS



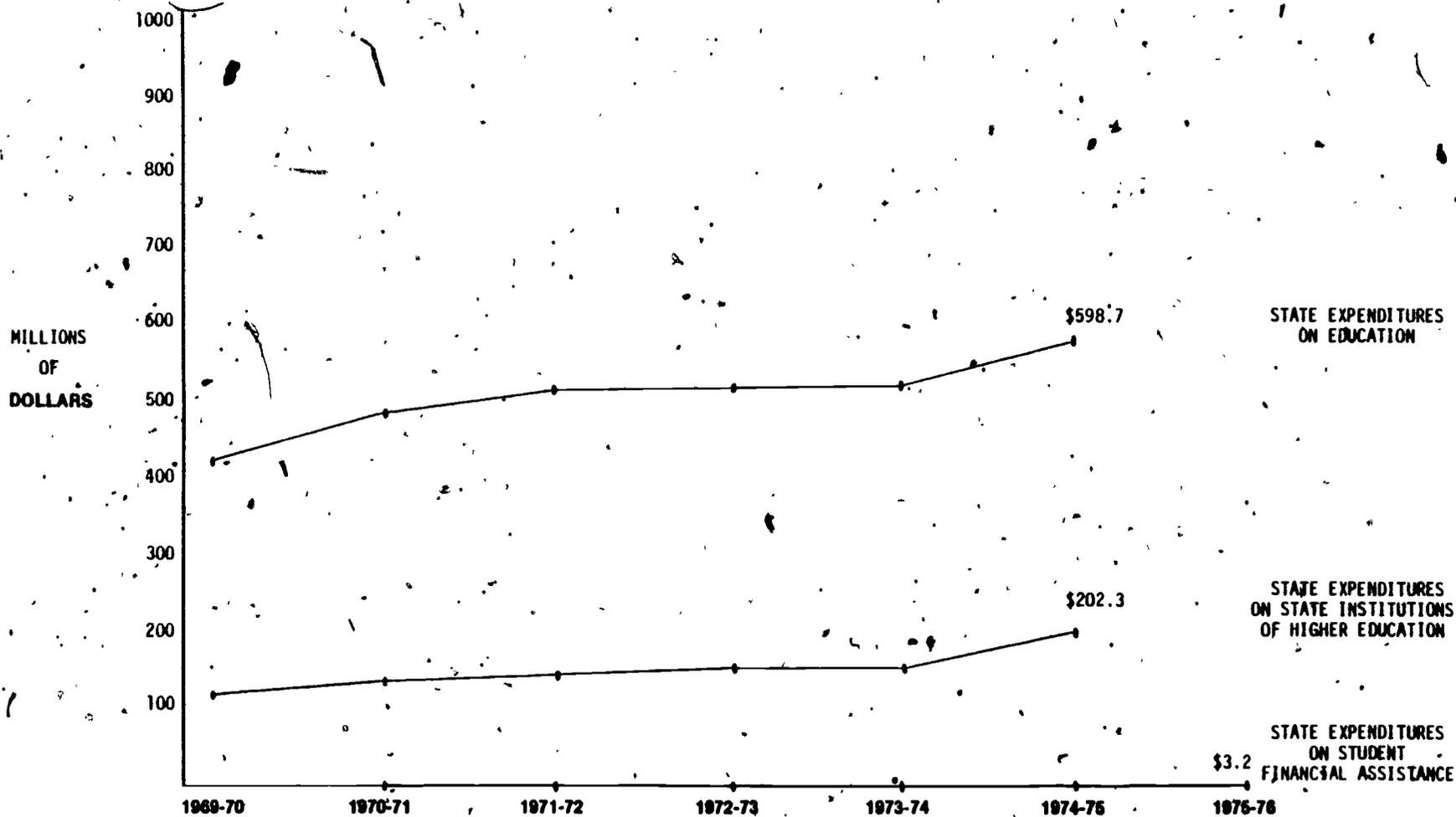
RESIDENT STUDENTS ATTENDING IN-STATE AND OUT-OF-STATE INSTITUTIONS



INSTITUTIONAL SECTOR

(Out-of-State Students In School In-State)

STATE EXPENDITURE ON POSTSECONDARY EDUCATION STUDENT ASSISTANCE.



THESE DATA PERTAIN TO:

- STATE SCHOLARSHIP PROGRAM
- HIGHER EDUCATION GRANT PROGRAM
- RESTRICTED EDUCATIONAL ACHIEVEMENT PROGRAM

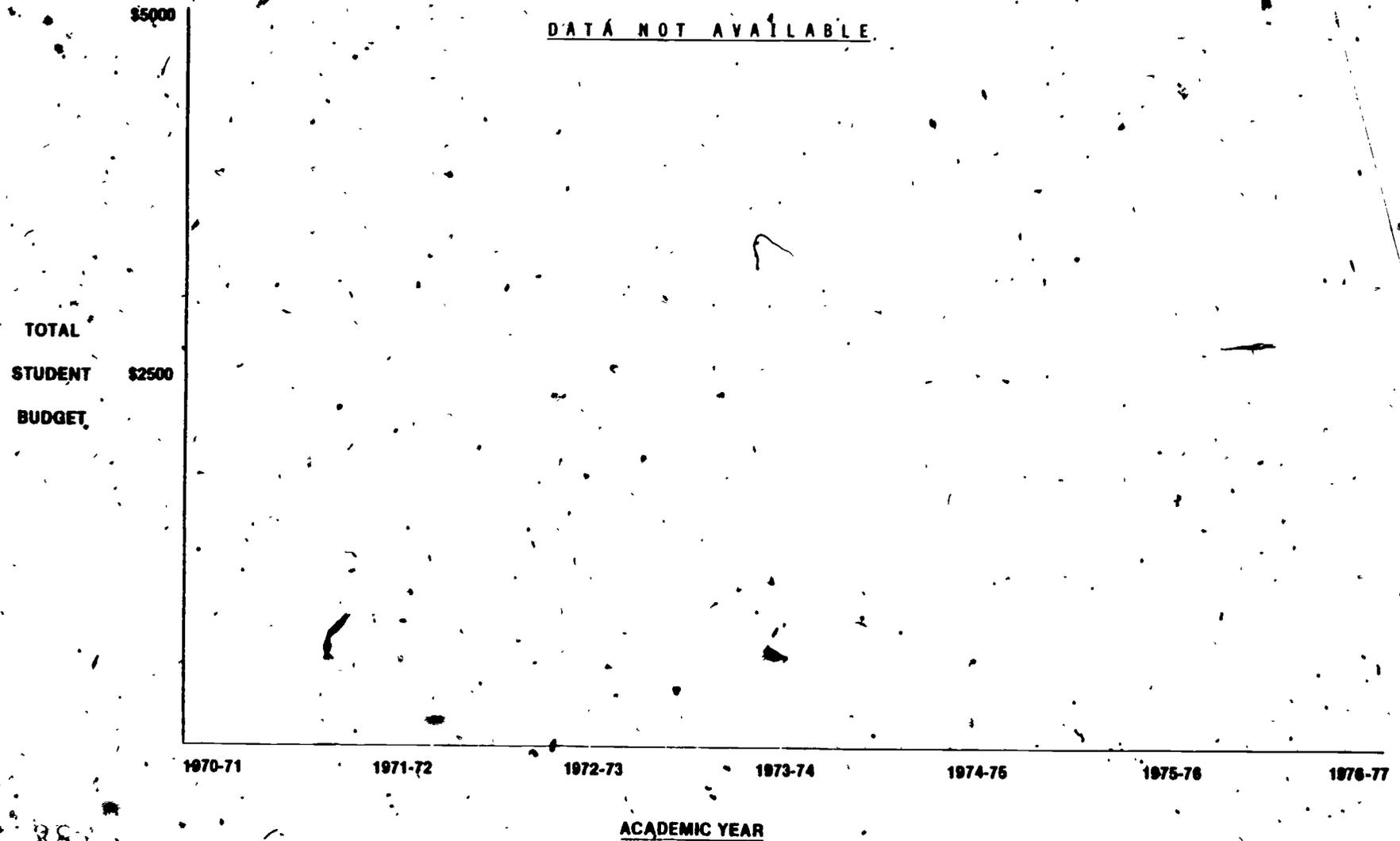
FISCAL YEAR

- COLLEGE CONTINUATION GRANT PROGRAM
- STATE SCHOLARSHIP PROGRAM (GRADUATE AWARDS)

- STATE WORK-STUDY PROGRAM
- AWARDS TO CHILDREN OF DECEASED/DISABLED VETERANS

**GROSS COSTS TO STUDENTS ATTENDING SCHOOL
BY POSTSECONDARY EDUCATION SECTORS**

CONNECTICUT 12

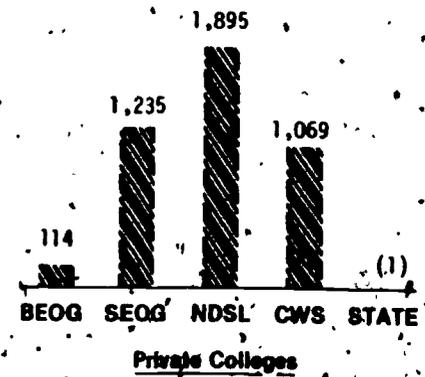
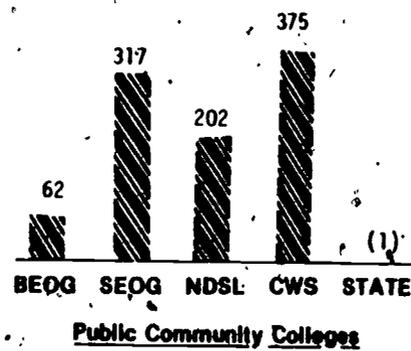
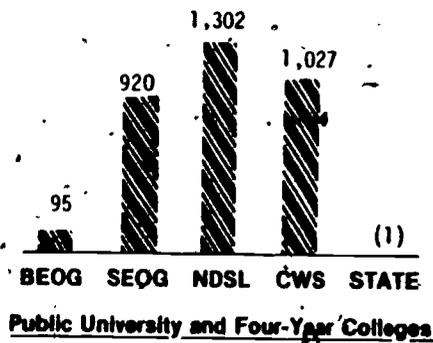


387

LEVELS OF FEDERAL AND STATE PROGRAM FUNDING BY INSTITUTIONAL SECTOR

CONNECTICUT 13

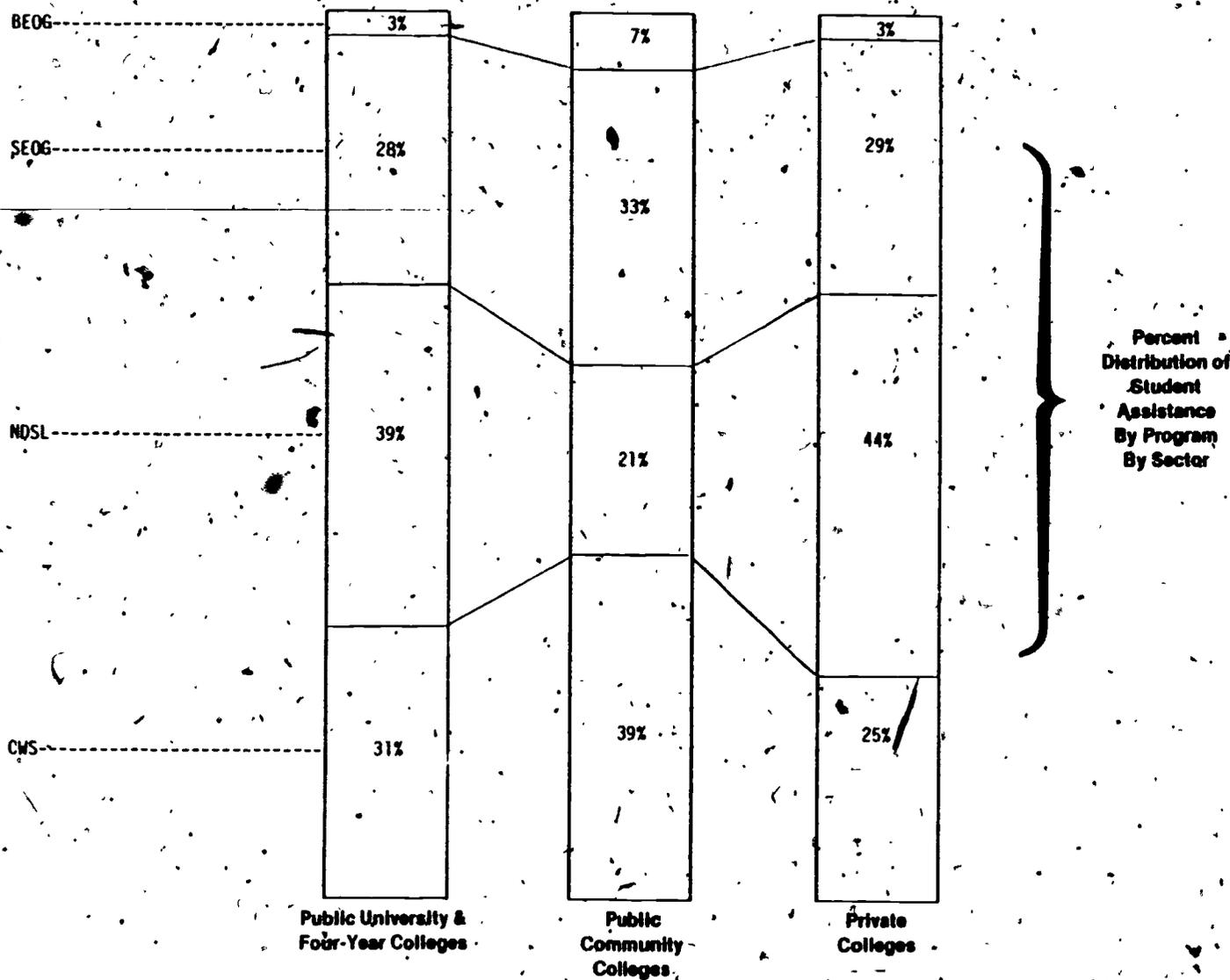
(Figures in thousands)



(1) The data pertaining to state dollars awarded by institutional sector are unavailable.

RELATIVE IMPORTANCE OF FEDERAL AND STATE PROGRAM FUNDING BY SECTOR

CONNECTICUT 14



**DESCRIPTIONS OF PROGRAM OFFICES AND AGENCIES AND
CORRESPONDING PROGRAM RESPONSIBILITIES**

DELAWARE AT

Department of Public Instruction

1. Higher Education Scholarship Program
2. Tallman Teacher Education Scholarship Program
3. State Student Incentive Grant Program
4. Children of Deceased Veterans and Others Scholarships

Higher Education Loan Program

1. Higher Education Loan Program

RELATIONSHIPS OF STATE AGENCIES

DELAWARE A2

DATA ARE UNAVAILABLE

396

395

STATE AGENCY ORGANIZATION

DELAWARE B1

DATA ARE UNAV

**MANAGEMENT RELATIONSHIPS BETWEEN THE STATE AGENCY
AND OTHER STATE AGENCIES/INSTITUTIONS**

DELAWARE B2

WHO ACCOMPLISHES THE FOLLOWING?

**STATE
PROGRAM
OFFICE**

**INSTITUTIONAL
FINANCIAL
AID OFFICER**

OTHER OFFICES OR AGENCIES

Processing of Applications

- Verification of Student Application Information
- Identification of Eligible Recipients
- Determination of Award Size
- Assignment of Priority to Awards
- Formally Approves Award

DATA ARE UNAVAILABLE

Makes Payment to Student (or Credits Student Account)

Makes Post-Award Adjustments

**Initiates the Prosecution of Possible Fraudulent
Student applications**

Publicizes Award Availability

STATE PROGRAM OFFICE DEFINITIONS FOR SELECTED TERMS

DELAWARE 83

**DEFINITIONS USED BY
STATE PROGRAM OFFICES**

**STATE DEFINITION
SPECIFIED BY**

**STATE DEFINITION COMMONLY
USED BY ALL INSTITUTIONS**

**STATE DEFINITION CONSISTENT
ACROSS ALL STATE PROGRAMS**

PUBLIC

PRIVATE

DATA ARE UNAVAILABLE

401

402

**INFORMATION FLOW BETWEEN THE STATE PROGRAM OFFICE
AND VARIOUS FEDERAL OFFICES**

DELAWARE B4

TYPE OF INFORMATION PROVIDED
BY STATE STUDENT-AID AGENCY

TYPE OF INFORMATION RECEIVED
BY STATE STUDENT-AID AGENCY

PERCEPTION OF ADEQUACY
OF INFORMATION FLOW

FEDERAL OFFICES

SSIG Office

BEOG Office

Regional OE Student-Assistance Office
(Campus-Based Programs)

Washington OE Office
(Campus-Based Programs)

FISL/GSL Office

DATA ARE UNAVAILABLE

STATE/INSTITUTIONAL OFFICES

Public Institutions

Private Institutions

Governor/Budget Office

State Legislature
(Including Committee Staffs)

403

404

MANAGEMENT STAFFING OF STATE PROGRAM OFFICES

DELAWARE 85

MANAGEMENT FUNCTION

PERCENTAGE OF STAFF EFFORT

STAFF ASSISTANCE FROM OTHER AGENCIES COMMENTS

APPLICATIONS PROCESSING

(Includes verification of student information, need analysis, award determination, etc.)

RESPONDING TO REQUESTS FOR INFORMATION:

(Includes federal requests for information, parent/student inquiries, testimony in hearings, etc.)

PROGRAM REVIEW

(Includes on-site visits to institutions, formal/informal audits, etc.)

PUBLIC RELATIONS AND INFORMATION DISSEMINATION

(Includes parent/student workshops, work on promotional literature, etc.)

GENERAL ADMINISTRATION

(Includes executive personnel, staff training and development, regulations and guidelines, preparation of summary statistics, etc.)

OTHER MANAGEMENT ACTIVITIES

(Includes any special staff activities which do not clearly fall in one of the above categories)

DATA ARE UNAVAILABLE

**STATE AGENCY CALENDAR OF EVENTS FOR NEED-BASED
PROGRAMS FOR 1976-77 SCHOOL YEAR**

DELAWARE B6

MONTH/YEAR

EVENTS

DATA ARE UNAVAILABLE

408

407

**PROGRAM AND FISCAL CONTROLS OF PROGRAMS
OPERATED BY STATE AGENCY**

DELAWARE B7

| <u>PROGRAM CONTROLS</u> | <u>CONTROL USED SAMPLE OR TOTAL POPULATION STUDIED</u> | <u>AGENCY/OFFICE UNDERTAKING CONTROLS</u> | <u>SPECIAL ACTIONS COMMENTS</u> |
|---|--|---|-------------------------------------|
| A. <u>Control on Student Application Data Accuracy</u> 1. Match Against Tax Forms? 2. Match Against BEOG Applications? 3. Match Against Institutional Student Records? 4. Warnings of Prosecution? 5. Prosecution? | | | |
| | | | <u>DATA ARE UNAVAILABLE</u> |
| B. <u>Controls on Attendance and/or Progress</u> 1. Check on Enrollment/Initial Attendance? 2. Check on Mid-Term Attendance? 3. Check on Course Completion? 4. Check on Academic Progress Before Award? 5. Partial Awards? | | | |
| C. <u>Controls on Award Size</u> 1. Check to Make Sure Other Awards Actually Made? 2. Match Against BEOG, Campus-Based Award Files? 3. Re-Check to Ensure Award Adjustments Actually Made? 4. Second Review of Aid Packages? | | | |
| D. <u>Controls on Institutional Student-Aid Operations</u> 1. Independent Program Audits? 2. Aid Officer Bonding? 3. Aid Officer Certification? 4. Double Signatures on All Fund Transfers? | | | |
| E. <u>Controls on Central Administrative Staff Operations</u> 1. Independent Program Audits? 2. Bonding of Staff Members? 3. Double Signatures on All Fund Transactions? | | | |

**PROGRAM INFORMATION DISSEMINATION PROCEDURES
USED FOR STATE NEED BASED PROGRAMS**

DELAWARE C1

TYPE OF INFORMATION PROVIDED

| <u>INFORMATION PROVIDED THROUGH</u> | <u>APPLICATION FORMS</u> | <u>ELIGIBILITY CONDITIONS</u> | <u>FILING/CLOSING DATES FOR STATE PROGRAMS</u> | <u>INFORMATION ON THE COST OF ATTENDANCE AT VARIOUS TYPES OF INSTITUTIONS</u> | <u>INFORMATION ABOUT APPLYING FOR AID FROM OTHER SOURCES</u> | <u>INFORMATION ON PENALTIES FOR PROVIDING FRAUDULENT DATA</u> |
|--|--------------------------|-------------------------------|--|---|--|---|
| 1. High School Counselors | | | | | | |
| 2. College Financial-Aid Officers | | | | | | |
| 3. Direct Mailings to Graduating High School Students or Parents | | | | | | |
| 4. High School Meetings or Workshops | | | | | | |
| 5. College Admissions Office | | | | | | |
| 6. Newspaper Ads | | | | | | |
| 7. Radio, Television Ads | | | | | | |
| 8. Social Service Agencies | | | | | | |
| 9. Other. (Please Specify) | | | | | | |

DATA ARE UNAVAILABLE

DESCRIPTIONS OF STATE-SPONSORED STUDENT ASSISTANCE PROGRAMS

DELAWARE

D1

Higher Education Scholarship Program - Provides financial assistance grants to state residents who must attend out-of-state public or private universities and four-year institutions to pursue programs not available at state-supported institutions. Grants are awarded on a scholastic and-need basis.

Tallman Teacher Education Scholarship Program - Provides scholastic grants on a need-basis to worthy high school graduates going into teaching. Recipients must be non-resident or resident students attending in-state public universities and four-year institutions.

State Student Incentive Grant Program - Provides financial assistance grants through federal and state matching funds to needy undergraduate resident students attending in-state public and private colleges.

Delaware Higher Education Loan Program - Provides loans on a need-basis per the State Endowment of Bank Loans for resident students for their higher education under the Higher Education Act of 1969.

Children of Deceased Veterans and Others Scholarships - Provides educational benefit grants to children of deceased Veterans, POWs, former POWs, MIAs and law/fire officers killed in line of duty.

BASIC STATE PROGRAM INFORMATION

DELAWARE D2

| <u>Programs</u> | <u>Year</u> | <u>Number of Awards</u> | <u>Average Full-Time Award Size</u> | <u>Total Dollars Awarded</u> | <u>Eligible Population</u> |
|--|-------------|---------------------------------|---|--------------------------------------|---|
| <u>Need-Based</u> | | | | | |
| <u>Grants</u> | | | | | |
| Higher Education Scholarship Program | 1970-71 | 234 | \$ 510 | \$ 119,550 | Residents attending Out-of-State Schools |
| | 1975-76 | 273 | \$ 550 | \$ 147,875 | |
| Tallman Teacher Education Scholarship Program | 1970-71 | 3 | \$ 870 | \$ 2,610 | Students enrolled in In-State Education Programs |
| | 1975-76 | 6 | \$ 466 | \$ 2,800 | |
| State Student Incentive Grant Program | 1974-75 | 180 | \$ 700 ¹ | \$ 90,864 | Students enrolled in In-State Schools |
| | 1975-76 | 236 | \$ 750 ¹ | \$ 118,635 | |
| <u>Loans</u> | | | | | |
| Delaware Higher Education Loan Program | 1970-71 | 998 | \$1,084 | \$1,082,275 | Resident Students |
| | 1975-76 | 942 | \$2,116 | \$1,993,461 | |
| <u>Non Need-Based</u> | | | | | |
| Children of Deceased Veterans and Others Scholarships | 1970-71 | 15 ² | \$ 500 ² | \$ 7,500 ² | Dependents of Veterans, POWs, MIAs, Law/Fire Officers |
| | 1975-76 | | | | |

¹ Response based on reasonable estimation or approximation.

² Response not based on fact, but reasonably certain.

STUDENT ELIGIBILITY CRITERIA FOR STATE PROGRAMS

DELAWARE E1

| <u>Programs</u> | <u>Acceptable Residency Status</u> | <u>Acceptable Attend. Status</u> | <u>Acceptable Student Levels</u> | <u>Special Priority to Cont'g. Awards</u> | <u>Income Limits</u> | <u>Need Limits</u> |
|---|---|----------------------------------|----------------------------------|---|----------------------|--------------------|
| <u>Need-Based</u> | | | | | | |
| <u>Grants</u> | | | | | | |
| Higher Education Scholarship Program | Residents attending Out-of-State Schools | Full-Time | All | Yes | Not Applicable | Note 1 |
| Tallman Teacher Education Scholarship Program | Residents attending In-State and Non-Residents | Full-Time | All Undergraduates | Yes | Not Applicable | Resources |
| State Student Incentive Grant Program | Residents attending In-State | Full-Time | All Undergraduates | Yes | \$15,000 | Not Given |
| <u>Loans</u> | | | | | | |
| Delaware Higher Education Loan Program | Residents attending In/Out State | Half-Time | All | Yes | \$25,000 | \$400 |
| <u>Non Need-Based</u> | | | | | | |
| Children of Deceased Veterans and Others Scholarships | Residents attending In/Out of State and Non-Residents | Full-Time | All Undergraduates | Yes | Not Applicable | Not Applicable |

¹ The difference between University of Delaware tuition and out-of-state tuition.

INSTITUTIONAL ELIGIBILITY CRITERIA FOR STATE STUDENT-ASSISTANCE PROGRAMS

DELAWARE E2

| <u>Programs</u> | <u>University and 4-Year Colleges</u> | <u>Community/ Junior Colleges</u> | <u>Voc./Tech. Schools</u> | <u>Proprietary</u> | <u>Special Types of Institutions</u> |
|---|---|---------------------------------------|-------------------------------|--------------------|--|
| <u>Need-Based</u> | | | | | |
| <u>Grants</u> | | | | | |
| Higher Education Scholarship Program | Public Private | No | No | No | No |
| Tallman Teacher Education Scholarship Program | Public | No | No | No | No |
| State Student Incentive Grant Program | Public Private | Yes | No | Yes | No |
| <u>Loans</u> | | | | | |
| Delaware Higher Education Loan Program | Public Private | Public | Public | Yes | No |
| <u>Non Need-Based</u> | | | | | |
| Children of Deceased Veterans and Others Scholarships | Public Private | Public | No | Yes | No |

419

420

NEED DETERMINATION CRITERIA FOR STATE PROGRAMS

DELAWARE E3

| <u>Programs</u> | <u>Program Definition of Need</u> | <u>Is BEOG Application Required?</u> | <u>Expected Family Contribution (EFC) Method</u> | <u>Student Budget Includes What Items?</u> |
|---|---|--------------------------------------|--|--|
| <u>Need-Based</u> | | | | |
| <u>Grants</u> | | | | |
| Higher Education Scholarship Program | Need = Out-of-State Tuition - Univ. of Delaware Tuition | No | CSS with/local variation | Tuition |
| Tallman Teacher Education Scholarship Program | Need = College Costs - Student Resources | No | CSS with/local variation | General Student Costs |
| State Student Incentive Grant Program | Need = Student's College Costs - Packaged Resources | Yes | BEOG | General Student Costs |
| <u>Loans</u> | | | | |
| Delaware Higher Education Loan Program | Based on varying methods employed by various colleges | No | Based on college of choice method | General Student Costs |

AWARD SIZE DETERMINATION CRITERIA FOR STATE PROGRAMS

DELAWARE E4

| <u>Programs</u> | <u>Maximum Award Size?</u> | <u>What Award Size Determination Method Is Used?</u> | <u>How is Award Priority Determined?</u> | <u>Who Ultimately Determines Award Amount?</u> |
|---|----------------------------|--|--|--|
| <u>Need-Based</u> | | | | |
| <u>Grants</u> | | | | |
| Higher Education Scholarship Program | \$ 800 | Based on need up to \$800. | Date of receipt of application | State |
| Tallman Teacher Education Scholarship Program | \$ 750 | Based upon need and available funds. | Date of receipt of application | State |
| State Student Incentive Grant Program | \$1,000 | (Packaged Need) Resources minus Cost | Need | Institution |
| <u>Loans</u> | | | | |
| Delaware Higher Education Loan Program | \$2,500 | Financial Analysis | None | Institution |
| <u>Non Need-Based</u> | | | | |
| Children of Deceased Veterans and Others Scholarships | \$1,900 | Tuition Cost | Not Applicable | State |

NUMBER OF NEED-BASED GRANT PROGRAM APPLICANTS

DELAWARE F1

DATA NOT AVAILABLE

| | \$0-5,999 | \$6,000-8,999 | \$9,000-11,999 | \$12,000-14,999 | \$15,000-19,999 | \$20,000 & Over |
|--------------|-----------|---------------|----------------|-----------------|-----------------|-----------------|
| INDEPENDENTS | | | | | | |
| DEPENDENTS | | | | | | |

Income Level

DATA NOT AVAILABLE

DATA NOT AVAILABLE

| WHITE | BLACK | HISPANIC | AMERICAN INDIAN | ASIAN | OTHER |
|-------|-------|----------|-----------------|-------|-------|
| | | | | | |

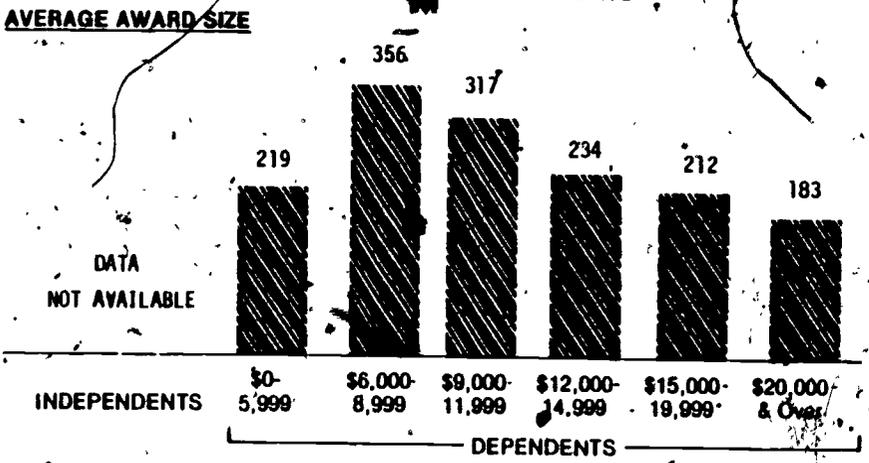
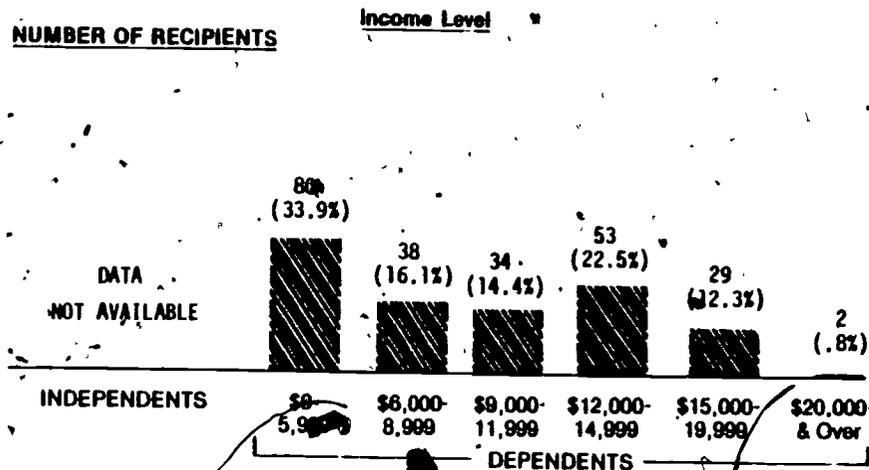
Ethnic Status

| MEN | WOMEN |
|-----|-------|
| | |

Sex

NUMBER OF NEED-BASED GRANT PROGRAM RECIPIENTS AND AVERAGE AWARD SIZE

F2
DELAWARE



Ethnic Status

| DATA NOT AVAILABLE | | | | | | DATA NOT AVAILABLE | |
|--------------------|-------|----------|-----------------|-------|-------|--------------------|-------|
| WHITE | BLACK | HISPANIC | AMERICAN INDIAN | ASIAN | OTHER | MEN | WOMEN |
| DATA NOT AVAILABLE | | | | | | DATA NOT AVAILABLE | |

Sex

| DATA NOT AVAILABLE | | | | | | DATA NOT AVAILABLE | |
|--------------------|-------|----------|-----------------|-------|-------|--------------------|-------|
| WHITE | BLACK | HISPANIC | AMERICAN INDIAN | ASIAN | OTHER | MEN | WOMEN |
| DATA NOT AVAILABLE | | | | | | DATA NOT AVAILABLE | |

These data were taken from the Financial Status and Performance Report for the Reporting Period July 1, 1975, to September 30, 1976, and only reflect the distribution of the federal portion of the "Number of Recipients" and "Average Award Size." These data do not differentiate between dependent and independent students.

SOURCES AND DISTRIBUTION OF NEED-BASED GRANT PROGRAM FUNDS

THESE FIGURES FOR THE 1975-76 SCHOOL YEAR PERTAIN TO:

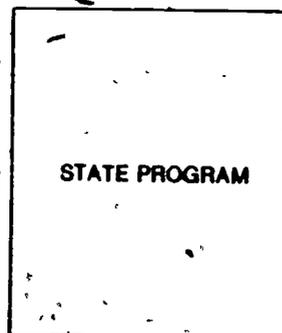
- STATE STUDENT INCENTIVE GRANT PROGRAM

STATE APPROPRIATIONS

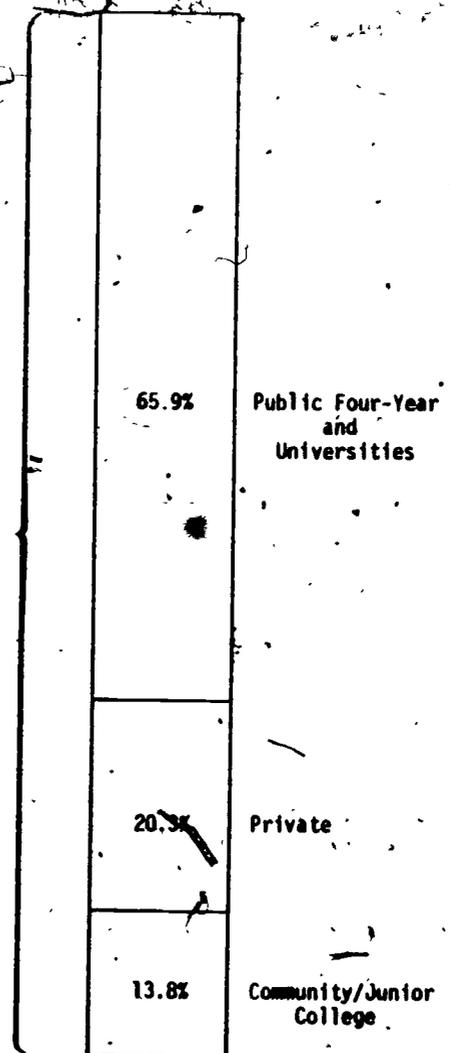
\$60,768 50.0%

PRIVATE GIFTS

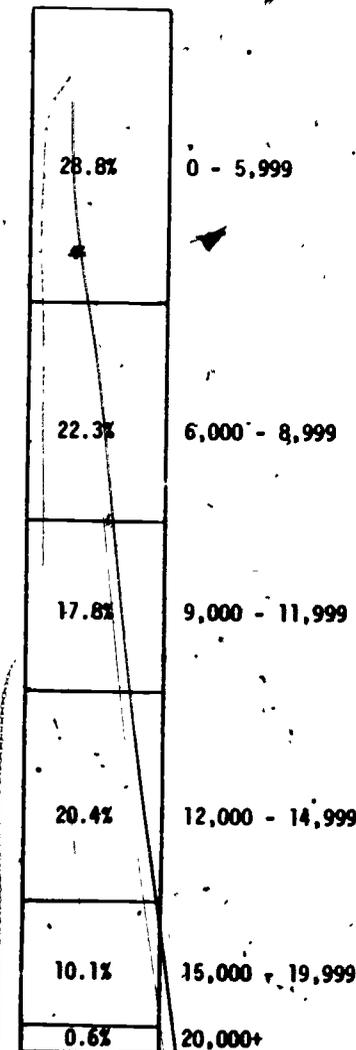
\$60,768 50.0%
FEDERAL SSIG PROGRAM



INSTITUTIONAL SECTORS



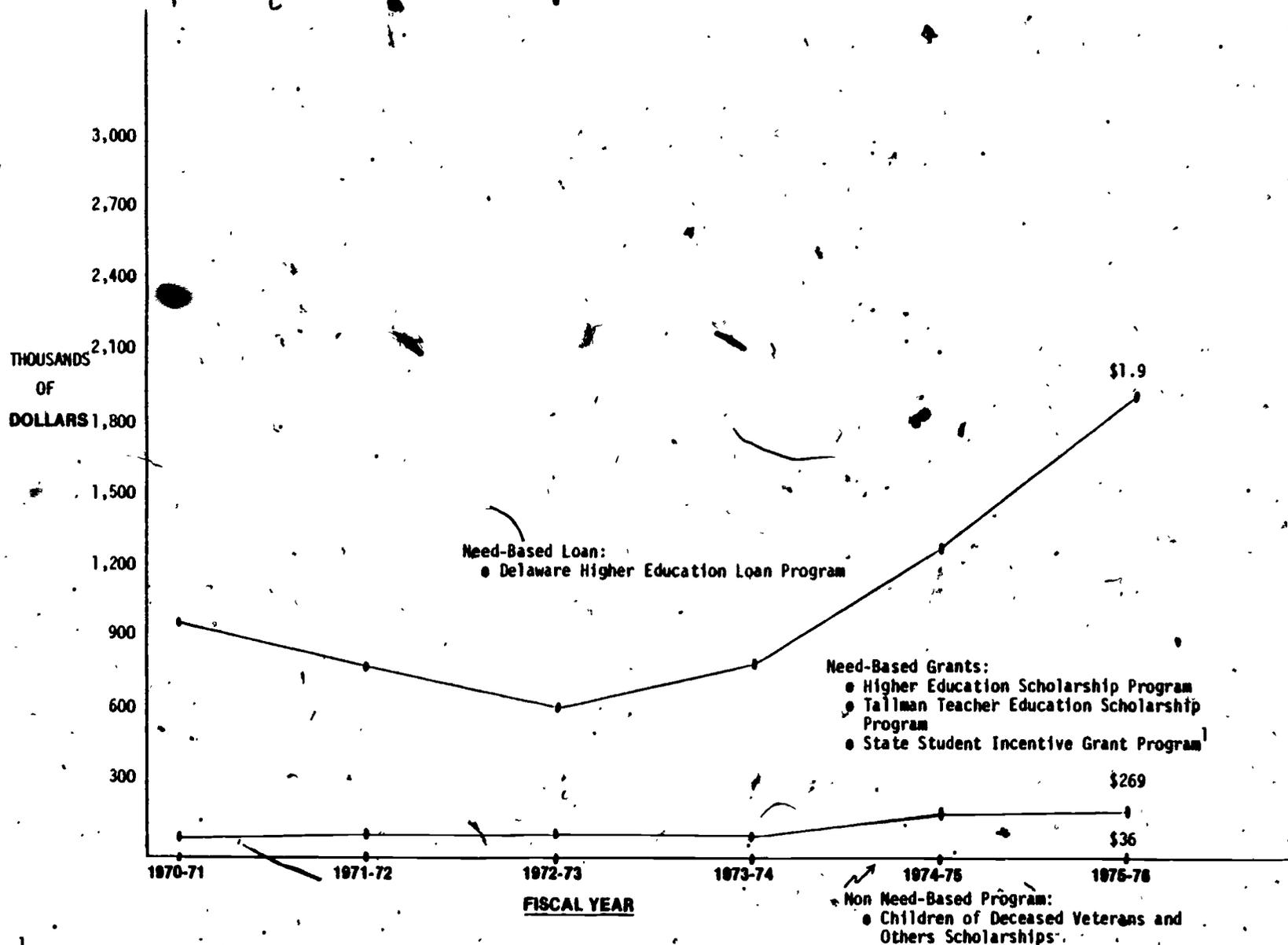
STUDENT INCOME CATEGORIES



These data were taken from the Financial Status and Performance Report for the Reporting Period July 1, 1975, to September 30, 1976.

HISTORIC STATE EXPENDITURES ON STUDENT-ASSISTANCE PROGRAMS

DELAWARE G2

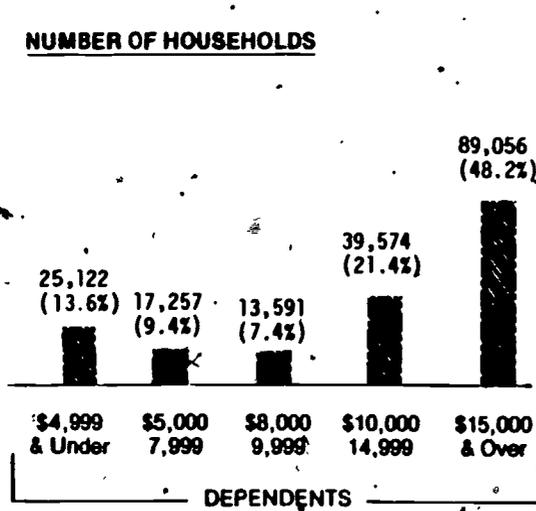


1974-75 first year of program.

STATE POPULATION PROFILE

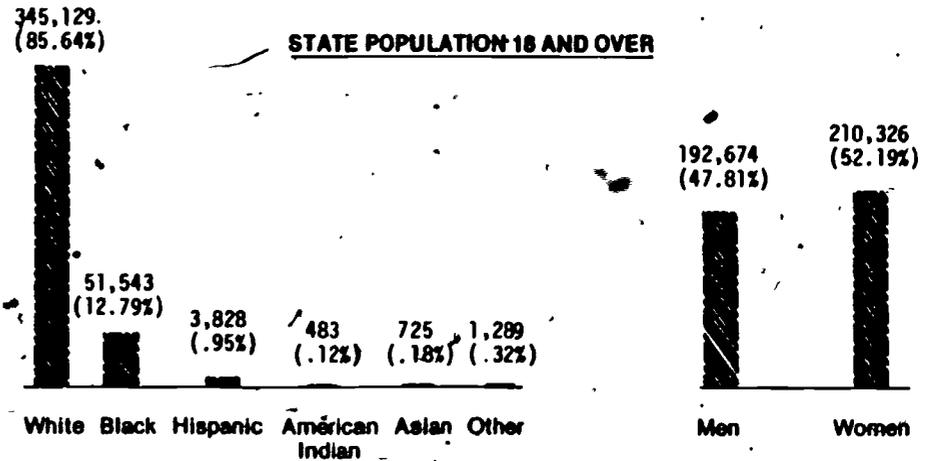
DELAWARE H1

NUMBER OF HOUSEHOLDS



Effective Buying Income

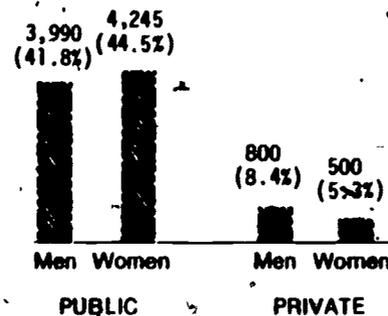
STATE POPULATION 18 AND OVER



Ethnic Status

Sex

STATE HIGH SCHOOL GRADUATES



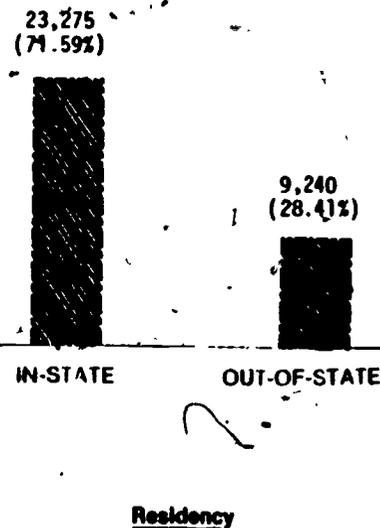
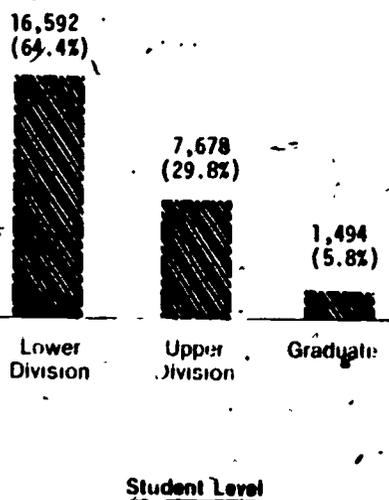
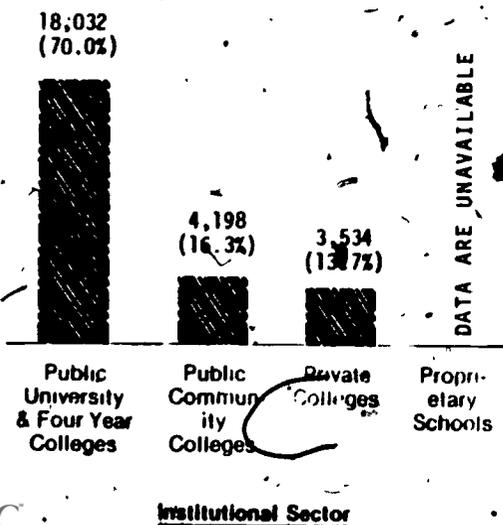
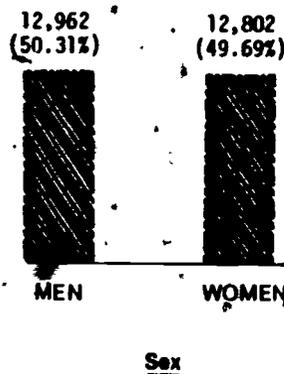
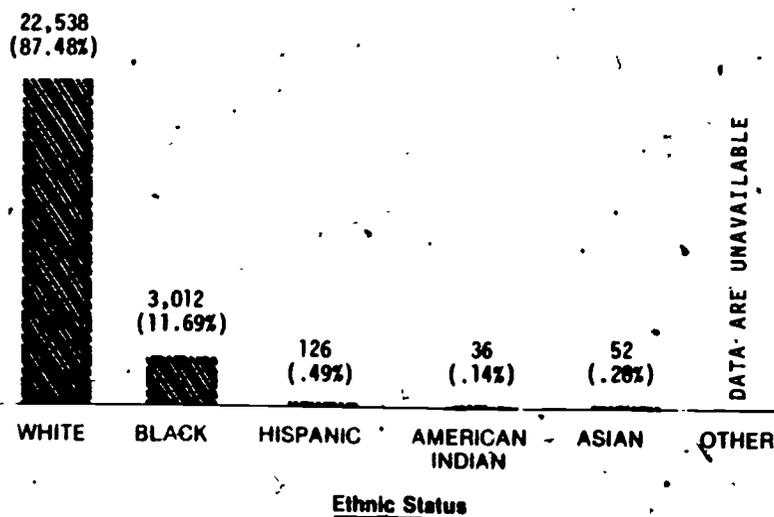
School

The high school graduation figures do not include 632 persons granted a high school equivalency certificate, nor 287 persons graduated from other than regular day school programs.

(c) Sales and Marketing Management 1977 Survey of Buying Power Data Service

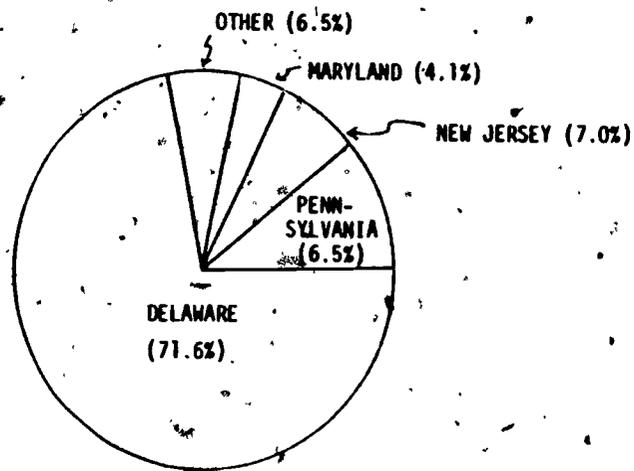
STATE POSTSECONDARY EDUCATION STUDENT ENROLLMENTS

DELAWARE H2

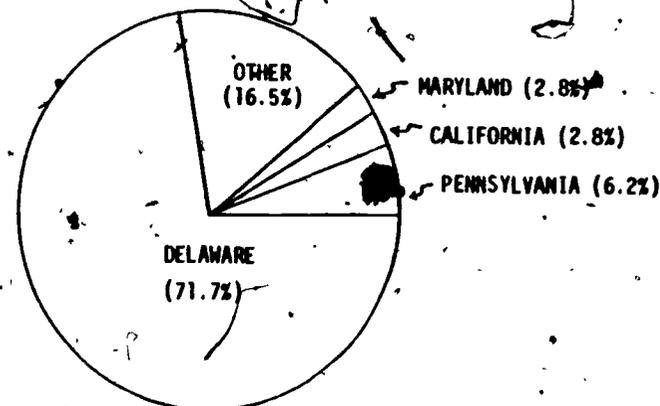


INTERSTATE MIGRATION OF STUDENTS

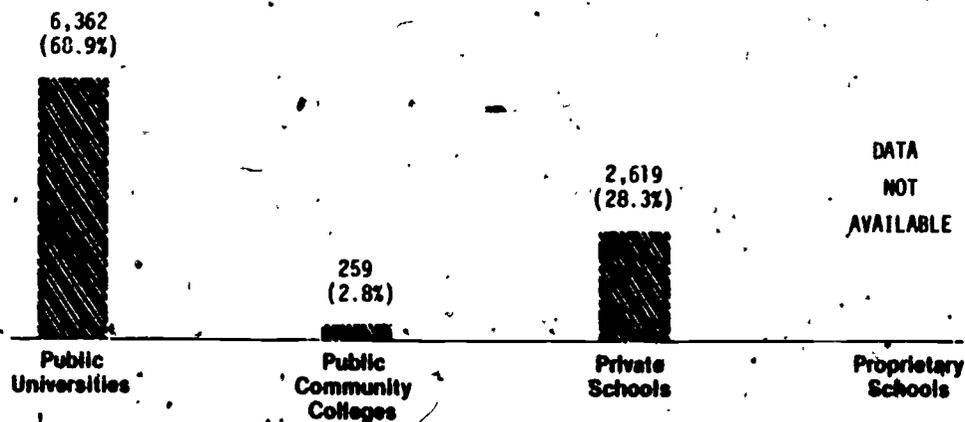
DELAWARE H3



**RESIDENT AND NON-RESIDENT STUDENTS
ATTENDING IN-STATE INSTITUTIONS.**



**RESIDENT STUDENTS ATTENDING IN-STATE
AND OUT-OF-STATE INSTITUTIONS**

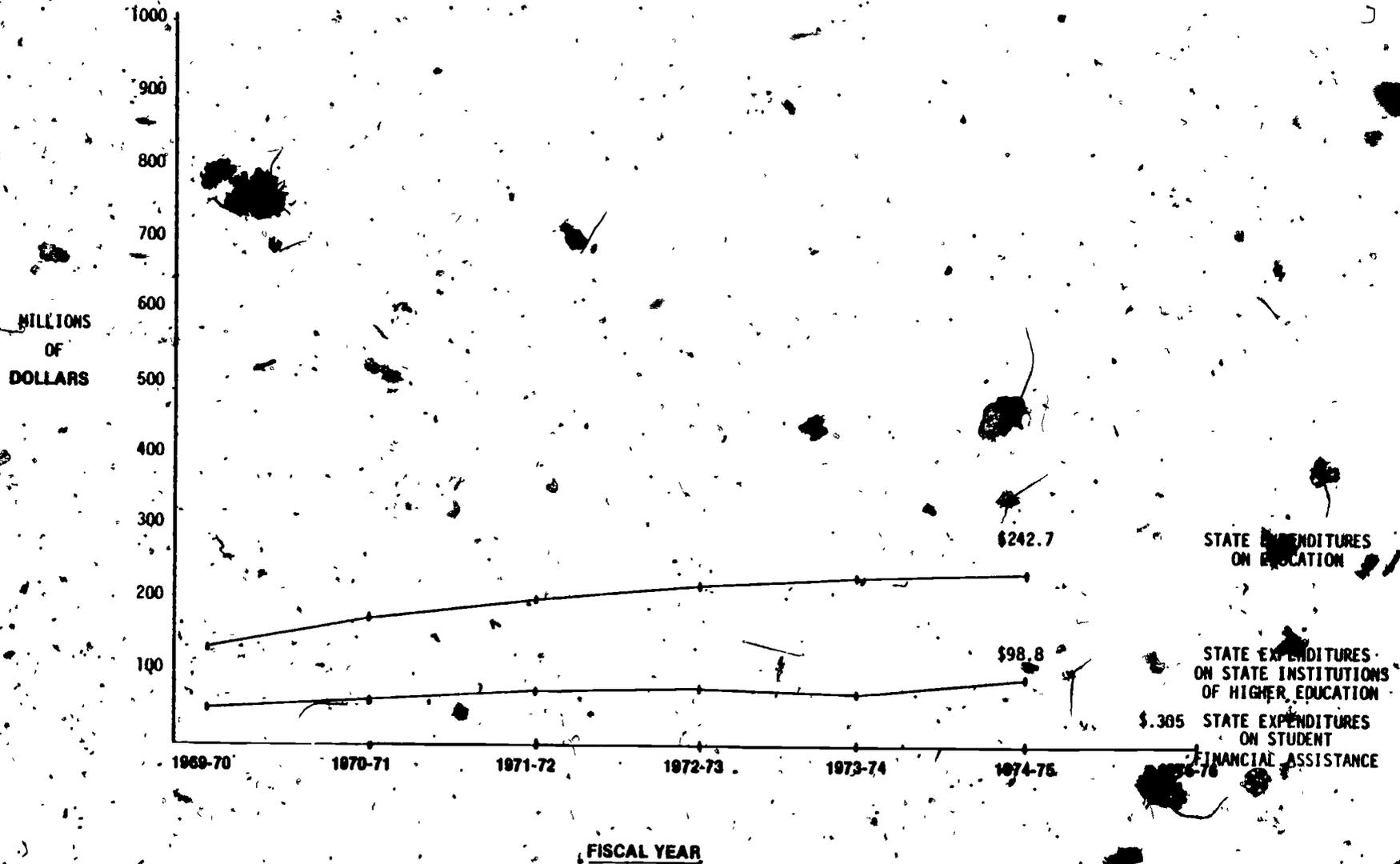


INSTITUTIONAL SECTOR

(Out-of-State Students, In-School In-State)

STATE EXPENDITURE ON POSTSECONDARY EDUCATION STUDENT ASSISTANCE

DELAWARE 11



THESE DATA PERTAIN TO:

- HIGHER EDUCATION SCHOLARSHIP PROGRAM
- TALLMAN TEACHER EDUCATION SCHOLARSHIP PROGRAM
- STATE STUDENT INCENTIVE GRANT PROGRAM
- CHILDREN OF DECEASED VETERANS AND OTHERS SCHOLARSHIPS

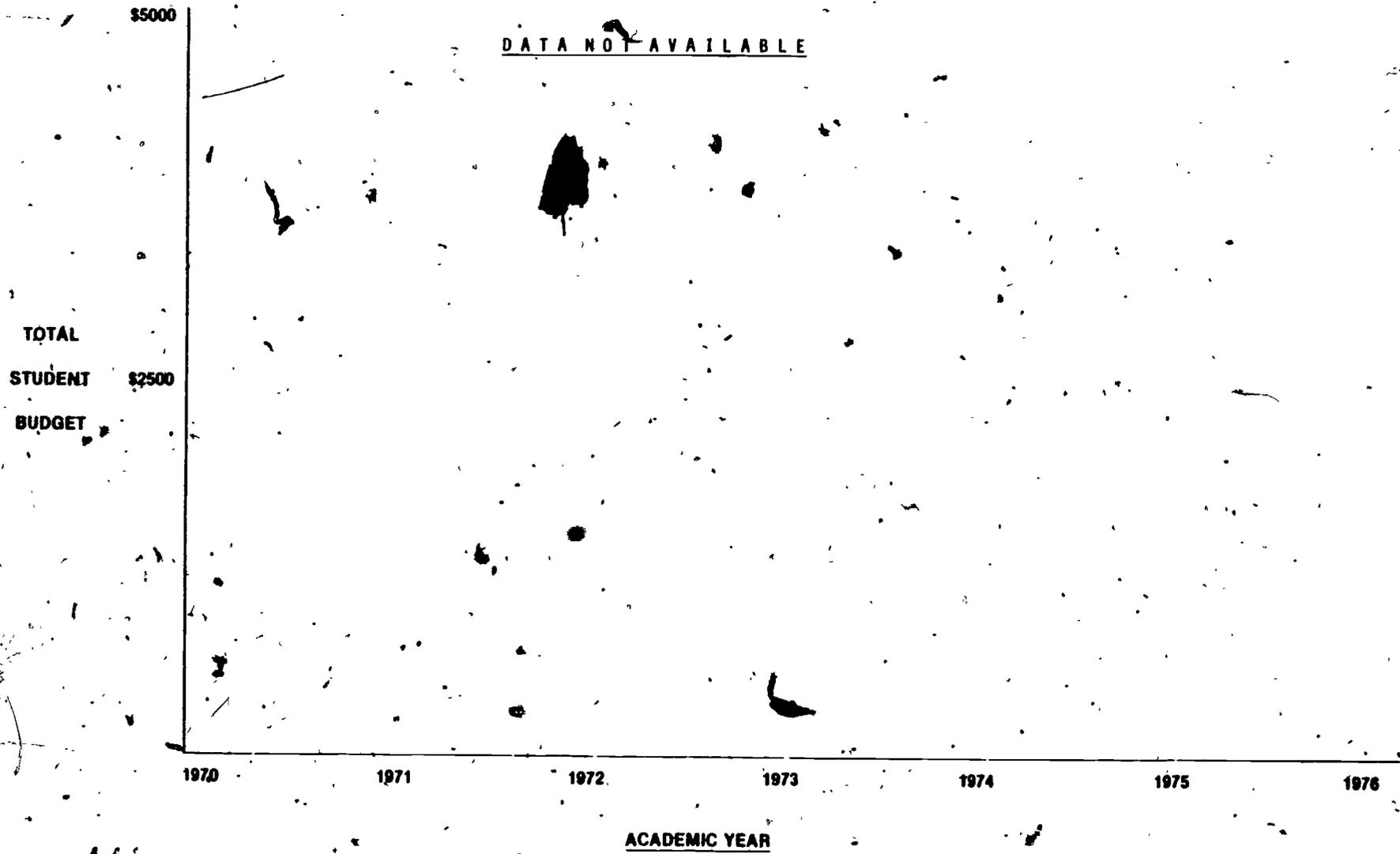
439

410

**GROSS COSTS TO STUDENTS ATTENDING SCHOOL
BY POSTSECONDARY EDUCATION SECTORS**

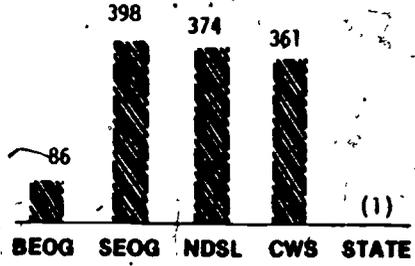
DELAWARE

12

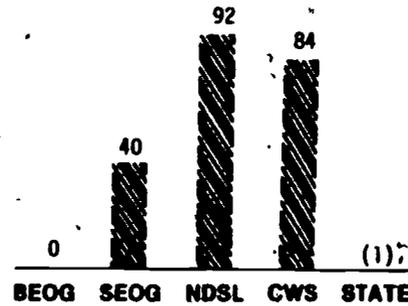


LEVELS OF FEDERAL AND STATE PROGRAM FUNDING BY INSTITUTIONAL SECTOR

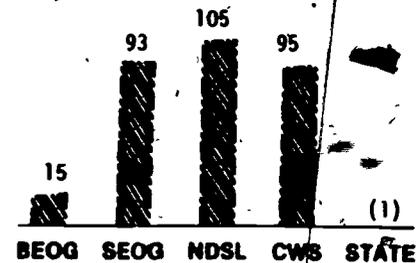
(Figures in thousands)



Public University and Four-Year Colleges



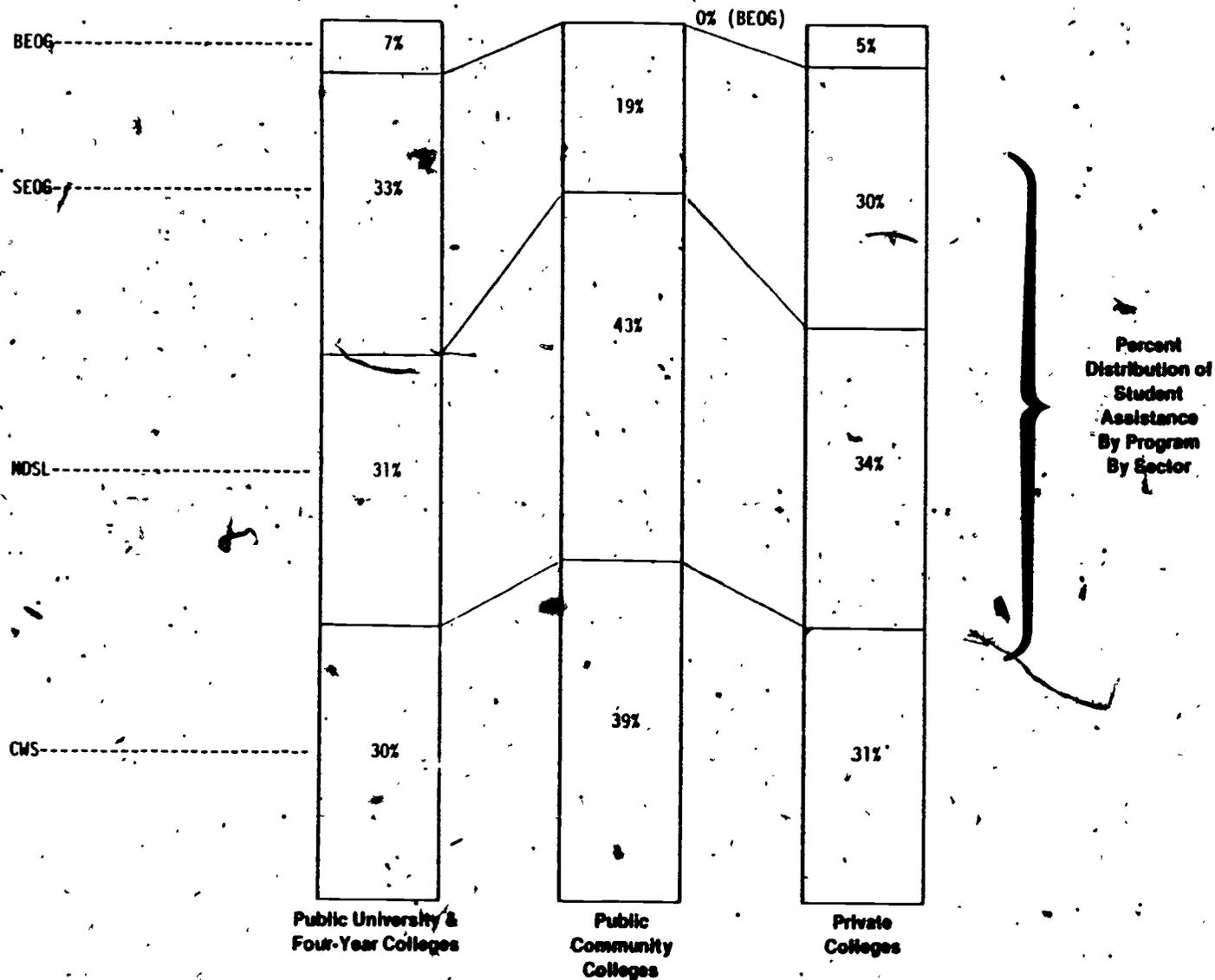
Public Community Colleges



Private Colleges

(1) The data pertaining to state dollars awarded by institutional sector are unavailable

RELATIVE IMPORTANCE OF FEDERAL AND STATE PROGRAM FUNDING BY SECTOR



The data pertaining to state dollars awarded by institutional sector are unavailable.

**DESCRIPTIONS OF PROGRAM OFFICES AND AGENCIES AND
CORRESPONDING PROGRAM RESPONSIBILITIES**

DISTRICT OF COLUMBIA A1

The Education Services Division, Education Assistance Branch administers two programs with one staff for the State Student Incentive Grant Program and nine staff members for the Guaranteed Student Loan Program. It coordinates financial activities with the Controller's office which is responsible for financial management of City appropriations for the programs.

1. State Student Incentive Grant Program
2. Guaranteed Student Loan Program

RELATIONSHIPS OF STATE AGENCIES

DISTRICT OF COLUMBIA A2

Department: Human Resources

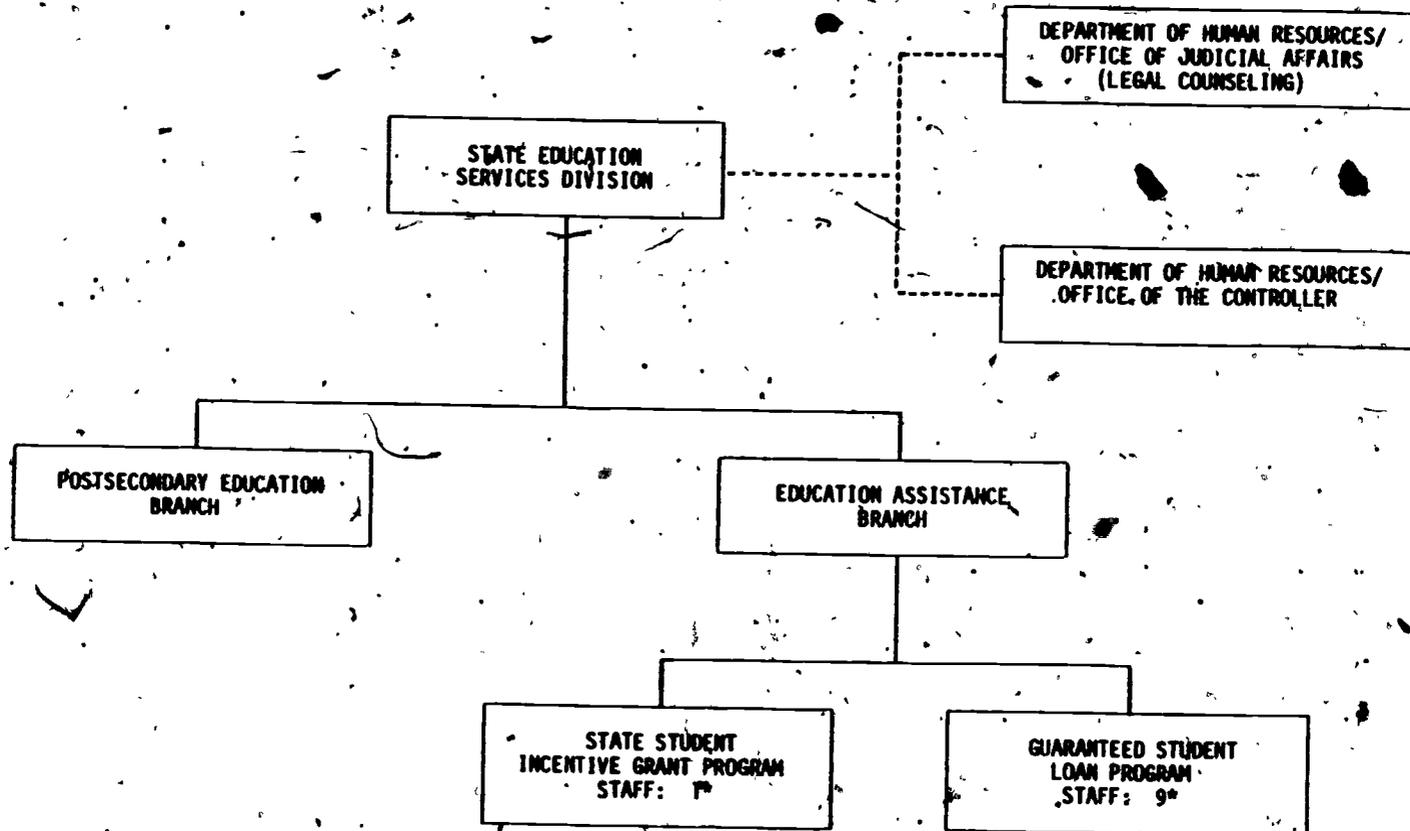
Office: State Agency Affairs
Division: State Education Services
Branch: Education Assistance

Office: Controller
Division: Grants Management
Program: Education Assistance

The State Education Services Division, Education Assistance Branch is responsible for the operation of the student education assistance programs. It coordinates financial activities with the Controller's office which is responsible for financial management of City appropriations for the programs.

STATE AGENCY ORGANIZATION

DISTRICT OF COLUMBIA B1



* The Division is in the process of adding staff to both programs. Of the nine staff in Guaranteed Student Loan Program, six are on detail until permanent staff are recruited.

STATE PROGRAM OFFICE DEFINITIONS FOR SELECTED TERMS

DISTRICT OF COLUMBIA B3

DEFINITIONS USED BY STATE PROGRAM OFFICES

STATE DEFINITION SPECIFIED BY

STATE DEFINITION COMMONLY USED BY ALL INSTITUTIONS

STATE DEFINITION CONSISTENT ACROSS ALL STATE PROGRAMS

PUBLIC

PRIVATE

Half-Time Student - A student who enrolls/attends the equivalent of six credit hours per semester or of what is established as half-time by the institution.

Interpretation

Yes

State Resident - A student who has been a resident for a minimum of 15 consecutive months immediately prior to application.

Interpretation

Yes

Independent Student - A student who files a federal income tax return claiming himself as an exemption and who is not declared as a dependent on the income tax return of any other person.

Interpretation

Yes

Non-Profit Institution - A public institution or a corporation which qualifies as non-profit under paragraph 501(c)(3) of the IRS code.

Yes

In Good Academic Standing - Average performance at the "C" grade level for graded courses and deemed "passing" in non-graded courses.

Interpretation

Yes

United States Citizenship - A student who is native born or naturalized.

Interpretation

INFORMATION FLOW BETWEEN THE STATE PROGRAM OFFICE AND VARIOUS FEDERAL OFFICES

DISTRICT OF COLUMBIA B4

TYPE OF INFORMATION PROVIDED
BY STATE STUDENT-AID AGENCY

TYPE OF INFORMATION RECEIVED
BY STATE STUDENT-AID AGENCY

PERCEPTION OF ADEQUACY
OF INFORMATION FLOW

FEDERAL OFFICES

SSIG Office

Summaries
Regulations

Summaries
Regulations

Adequate

BEOG Office

Regional OE Student Assistance Office
(Campus-Based Programs)

Washington OE Office
(Campus-Based Programs)

FISL/GSL Office

Summaries
Regulations

Summaries
Regulations

Adequate

STATE/INSTITUTIONAL OFFICES

Public Institutions

Applications
Regulations
Recipients

Applications

Inadequate

Private Institutions

Applications
Regulations
Recipients

Applications

Inadequate

Governor/Budget Office

State Legislature
(Including Committee Staffs)

453

MANAGEMENT STAFFING OF STATE PROGRAM OFFICES

DISTRICT OF COLUMBIA B5

MANAGEMENT FUNCTION

PERCENTAGE OF STAFF EFFORT

STAFF ASSISTANCE FROM OTHER AGENCIES COMMENTS

APPLICATIONS PROCESSING

(Includes verification of student information, need analysis, award determination, etc.)

RESPONDING TO REQUESTS FOR INFORMATION

(Includes federal requests for information, parent/student inquiries, testimony in hearings, etc.)

PROGRAM REVIEW

(Includes on-site visits to institutions, formal/informal audits, etc.)

PUBLIC RELATIONS AND INFORMATION DISSEMINATION

(Includes parent/student workshops, work on promotional literature, etc.)

GENERAL ADMINISTRATION

(Includes executive personnel, staff training and development, regulations and guidelines, preparation of summary statistics, etc.)

OTHER MANAGEMENT ACTIVITIES

(Includes any special staff activities which do not clearly fall in one of the above categories)

In the grant program, which is still in its developmental stage, staff needs are being identified for recruitment. The loan program is being reorganized and new staff will be recruited. It is difficult at this time to make an assessment because both programs have been operating in a most unusual manner and modifications are being implemented daily. Target dates for full implementation of a new operational structure are September 30, 1977, for SSIG and October 1, 1978, for the GSLP.

**STATE AGENCY CALENDAR OF EVENTS FOR NEED-BASED
PROGRAMS FOR 1976-77 SCHOOL YEAR**

DISTRICT OF COLUMBIA

86

MONTH/YEAR

EVENTS

New schedule for subsequent
school years now 3/1

JUNE 1976 Agency makes student-aid applications available

June for continuations;
September for initial awards

JUNE & SEPTEMBER 1976 Deadline for student-aid applications

462

161

**PROGRAM AND FISCAL CONTROLS OF PROGRAMS
OPERATED BY STATE AGENCY**

DISTRICT OF COLUMBIA B7

| <u>PROGRAM CONTROLS</u> | <u>CONTROL USED SAMPLE OR TOTAL POPULATION STUDIED</u> | <u>AGENCY/OFFICE UNDERTAKING CONTROLS</u> | <u>SPECIAL ACTIONS COMMENTS</u> |
|--|--|---|---|
| A. <u>Control on Student Application Data Accuracy</u> | | | |
| 1. Match Against Tax Forms? | Yes, All | State | { Student must provide additional evidence to verify eligibility. |
| 2. Match Against BEOG Applications? | Yes, All | State | |
| 3. Match Against Institutional Student Records? | | | |
| 4. Warnings of Prosecution? | | | |
| 5. Prosecution? | | | |
| B. <u>Controls on Attendance and/or Progress</u> | | | |
| 1. Check on Enrollment/Initial Attendance? | Yes, All | State | |
| 2. Check on Mid-Term Attendance? | Yes, All | State | |
| 3. Check on Course Completion? | | | |
| 4. Check on Academic Progress Before Award? | | | |
| 5. Partial Awards? | | | |
| C. <u>Controls on Award Size</u> | | | |
| 1. Check to Make Sure Other Awards Actually Made? | Yes, All | State | { In cases of over-awards, students are required to refund the excess amount. |
| 2. Match Against BEOG, Campus-Based Award Files? | Yes, All | State | |
| 3. Re-Check to Ensure Award Adjustments Actually Made? | | | |
| 4. Second Review of Aid Packages? | Yes, All | State | |
| D. <u>Controls on Institutional Student-Aid Operations</u> | | | |
| 1. Independent Program Audits? | | | |
| 2. Aid Officer Bonding? | | | |
| 3. Aid Officer Certification? | | | |
| 4. Double Signatures on All Fund Transfers? | | | |
| E. <u>Controls on Central Administrative Staff Operations</u> | | | |
| 1. Independent Program Audits? | | | |
| 2. Bonding of Staff Members? | | | |
| 3. Double Signatures on All Fund Transactions? | | | |
| 4. Panel Review and Approval of All Awards? | Yes, All | State | |



**PROGRAM INFORMATION DISSEMINATION PROCEDURES
USED FOR STATE NEED BASED PROGRAMS**

DISTRICT OF COLUMBIA C1

TYPE OF INFORMATION PROVIDED

| <u>INFORMATION PROVIDED THROUGH</u> | <u>APPLICATION FORMS</u> | <u>ELIGIBILITY CONDITIONS</u> | <u>FILING/CLOSING DATES FOR STATE PROGRAMS</u> | <u>INFORMATION ON THE COST OF ATTENDANCE AT VARIOUS TYPES OF INSTITUTIONS</u> | <u>INFORMATION ABOUT APPLYING FOR AID FROM OTHER SOURCES</u> | <u>INFORMATION ON PENALTIES FOR PROVIDING FRAUDULENT DATA</u> |
|--|--------------------------|-------------------------------|--|---|--|---|
| 1. High School Counselors | X | X | X | | | |
| 2. College Financial-Aid Officers | X | X | X | | | |
| 3. Direct Mailings to Graduating High School Students or Parents | | | | | | |
| 4. High School Meetings or Workshops | X | X | X | | | |
| 5. College Admissions Office | X | X | X | | | |
| 6. Newspaper Ads | | X | X | | | |
| 7. Radio, Television Ads | | X | X | | | |
| 8. Social Service Agencies | X | X | X | | | |
| 9. Other (Please Specify) | | | | | | |

**DESCRIPTIONS OF STATE-SPONSORED
STUDENT ASSISTANCE PROGRAMS**

DISTRICT OF COLUMBIA

D1

District of Columbia State Student Incentive Grant Program - A grant program to provide funds to assist D.C. residents with substantial financial need in attaining their education goals through attendance at public, private and proprietary schools.

District of Columbia Guaranteed Student Loan Program - A need-based loan program to provide funds to assist D.C. residents in attaining their education goals through attendance at public, private and proprietary schools.

District of Columbia War Orphans Scholarship -

BASIC STATE PROGRAM INFORMATION

DISTRICT OF COLUMBIA D2

| <u>Programs</u> | <u>Year</u> | <u>Number of Awards</u> | <u>Average Full-Time Award Size</u> | <u>Total Dollars Awards</u> | <u>Eligible Population</u> |
|---|---------------------------|-------------------------|-------------------------------------|-----------------------------|----------------------------|
| <u>Need-Based</u> | | | | | |
| <u>Grants</u> | | | | | |
| D.C. State Student Incentive Grant Program | 1975-76 | 356 | \$750 | \$219,050 | Resident Undergraduates |
| <u>Loans</u> | | | | | |
| D.C. Guaranteed Student Loan Program | No information given----- | | | Resident Students | |
| <u>Other (Not Designated)</u> | | | | | |
| District of Columbia War Orphan Scholarship | No information given----- | | | | |

469

470

STUDENT ELIGIBILITY CRITERIA FOR STATE PROGRAMS

DISTRICT OF COLUMBIA E1

| <u>Programs</u> | <u>Acceptable Residency Status</u> | <u>Acceptable Attend. Status</u> | <u>Acceptable Student Levels</u> | <u>Special Priority to Cont'g. Awards</u> | <u>Income Limits</u> | <u>Need Limits</u> |
|--|------------------------------------|----------------------------------|----------------------------------|---|----------------------|--------------------|
| <u>Need-Based</u> | | | | | | |
| <u>Grants</u> | | | | | | |
| D.C. State Student Incentive Grant Program | Residents attending In/Out State | Half-Time Full-Time | All Undergraduates | Yes | Not Applicable | \$400 |
| <u>Loans</u> | | | | | | |
| D.C. Guaranteed Student Loan Program | Residents attending In/Out State | Half-Time Full-Time | All | Yes | Not Applicable | Not Applicable |
| <u>Other (Not Designated)</u> | | | | | | |
| District of Columbia War Orphans Scholarship | * Information not given | | | | | |

INSTITUTIONAL ELIGIBILITY CRITERIA FOR STATE STUDENT-ASSISTANCE PROGRAMS

DISTRICT OF COLUMBIA E2

| <u>Programs</u> | <u>University and 4-Year Colleges</u> | <u>Community/ Junior Colleges</u> | <u>Voc./Tech. Schools</u> | <u>Proprietary</u> | <u>Special Types of Institutions</u> |
|--|---|---------------------------------------|-------------------------------|--------------------|--|
| <u>Need-Based</u> | | | | | |
| <u>Grants</u> | | | | | |
| D.C. State Student Incentive Grant Program | Public Private | Public | Public | Yes | No |
| <u>Loans</u> | | | | | |
| D.C. Guaranteed Student Loan Program | Public Private | Public | Public | Yes | No |
| <u>Other (Not Designated)</u> | | | | | |
| District of Columbia War Orphans Scholarship | Information not given----- | | | | |

NEED DETERMINATION CRITERIA FOR STATE PROGRAMS

DISTRICT OF COLUMBIA E3

| <u>Programs</u> | <u>Program Definition of Need</u> | <u>Is BEOG Application Required?</u> | <u>Expected Family Contribution (EFC) Method</u> | <u>Student Budget Includes What?</u> |
|--|--|--------------------------------------|--|---|
| <u>Need-Based</u> | | | | |
| <u>Grants</u> | | | | |
| D.C. State Student Incentive Grant Program | Need = Cost of Education - Family Contribution + Other Financial Aid | Yes | BEOG and "Other" | Tuition/Fees Room/Board Books Transportation Personal |
| <u>Loans</u> | | | | |
| D.C. Guaranteed Student Loan Program | Need = Cost of Education - Family Contribution + Other Financial Aid | No | Not Applicable | General Student Costs |

475

476

AWARD SIZE DETERMINATION CRITERIA FOR STATE PROGRAMS DISTRICT OF COLUMBIA E4

| <u>Programs</u> | <u>Maximum Award Size?</u> | <u>What Award Size Determination Method is Used?</u> | <u>How is Award Priority Determined?</u> | <u>Who Ultimately Determines Award Amount?</u> |
|--|--|---|--|--|
| <u>Need-Based</u> | | | | |
| <u>Grants</u> | | | | |
| D.C. State Student Incentive Grant Program | \$1,500 | Percentage of need determined by total funds available and number of eligible applicants. | Continuations first; and first-come, first-served. | State |
| <u>Loans</u> | | | | |
| D.C. Guaranteed Student Loan Program | \$1,000- Undergraduates; \$1,500- Graduates | By Lenders | Repeat borrowers have first priority. | Lenders |
| <u>Other (Not Designated)</u> | | | | |
| District of Columbia War Orphans Scholarship | Information not given----- | | | |

NUMBER OF NEED-BASED GRANT PROGRAM APPLICANTS

DISTRICT OF COLUMBIA

F1

DATA NOT AVAILABLE

| | | | | | | |
|---------------------|-------------------|----------------------|-----------------------|------------------------|------------------------|----------------------------|
| INDEPENDENTS | \$0-5,999 | \$6,000-8,999 | \$9,000-11,999 | \$12,000-14,999 | \$15,000-19,999 | \$20,000 & Over |
| | DEPENDENTS | | | | | |

Income Level

DATA NOT APPLICABLE

DATA NOT APPLICABLE

| | | | | | |
|-----------------------------|--------------|-----------------|------------------------|--------------|--------------|
| WHITE | BLACK | HISPANIC | AMERICAN INDIAN | ASIAN | OTHER |
| <u>Ethnic Status</u> | | | | | |

| | |
|-------------------|--------------|
| MEN | WOMEN |
| <u>Sex</u> | |

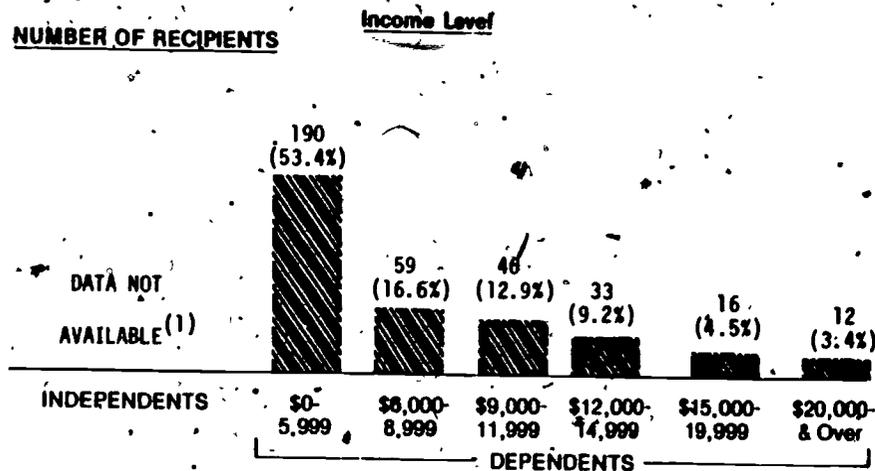
NUMBER OF NEED-BASED GRANT PROGRAM RECIPIENTS AND AVERAGE AWARD SIZE

F2

DISTRICT OF COLUMBIA

THESE FIGURES FOR THE 1975-76 SCHOOL YEAR PERTAIN TO:

• D.C. STATE STUDENT INCENTIVE GRANT PROGRAM



Ethnic Status

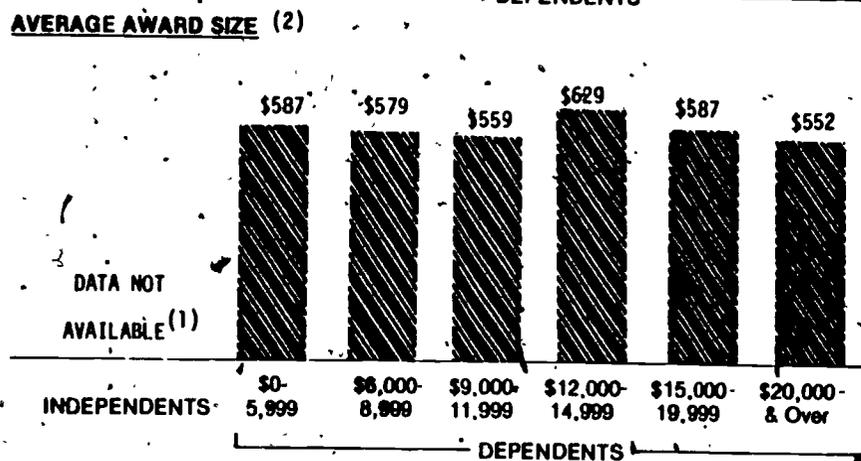
Sex

DATA NOT APPLICABLE

DATA NOT APPLICABLE

WHITE BLACK HISPANIC AMERICAN ASIAN OTHER
INDIAN

MEN WOMEN



DATA NOT APPLICABLE

DATA NOT APPLICABLE

WHITE BLACK HISPANIC AMERICAN ASIAN OTHER
INDIAN

MEN WOMEN

- (1) The distribution of awards, by student income categories includes awards made to both dependent and independent students. The data available did not distinguish between dependent and independent students.
- (2) There is a slight discrepancy in the total dollars awarded as given in the NASSGP Survey. The award sizes should be considered as estimates.

SOURCES AND DISTRIBUTION OF NEED-BASED GRANT PROGRAM FUNDS

DISTRICT OF COLUMBIA G1

THESE FIGURES FOR THE 1975-76 SCHOOL YEAR PERTAIN TO:

- STATE STUDENT INCENTIVE GRANT PROGRAM

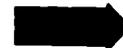
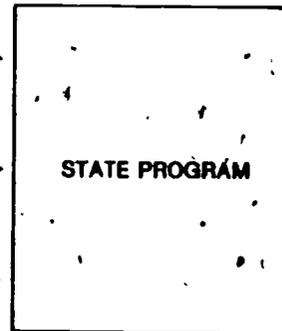
STATE APPROPRIATIONS

\$104,125 50.0%

PRIVATE GIFTS

\$104,125 50.0%

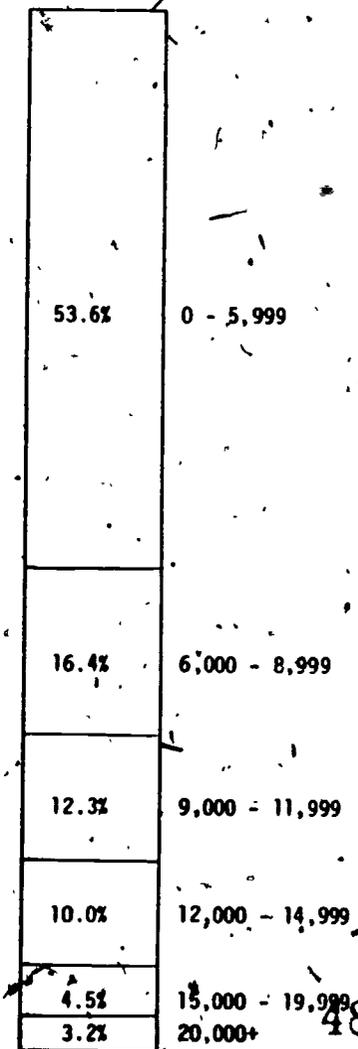
FEDERAL SSIG PROGRAM



INSTITUTIONAL SECTORS



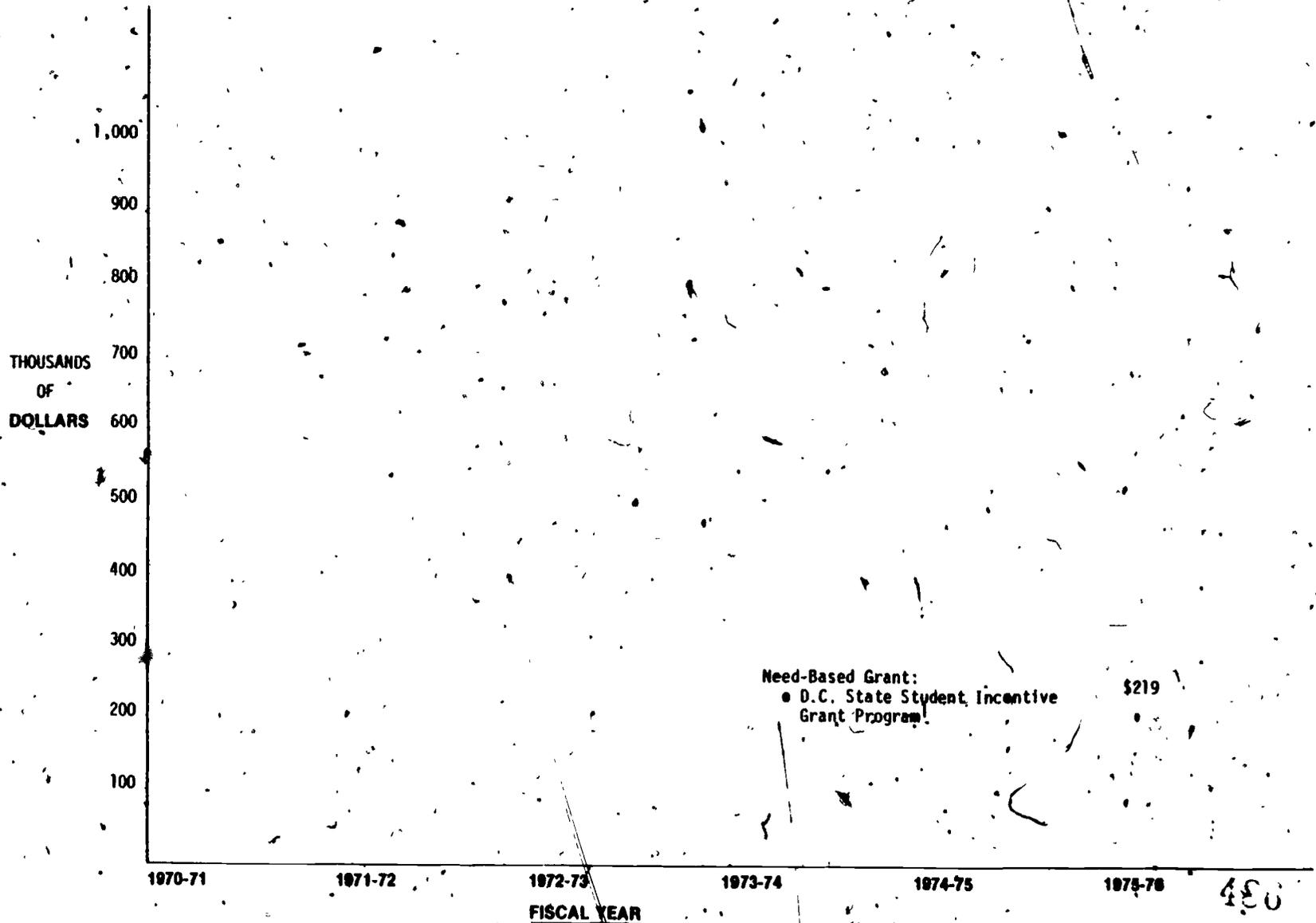
STUDENT INCOME CATEGORIES



These data were taken from the Financial Status and Performance Report for the Reporting Period July 1, 1975, to September 30, 1976.

HISTORIC STATE EXPENDITURES ON STUDENT ASSISTANCE PROGRAMS

DISTRICT OF COLUMBIA G2



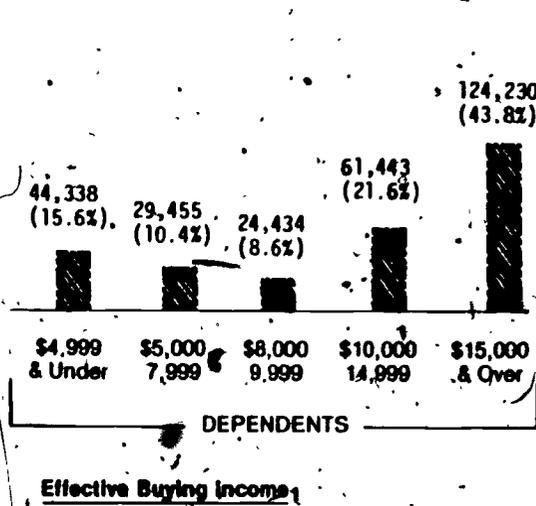
485

486

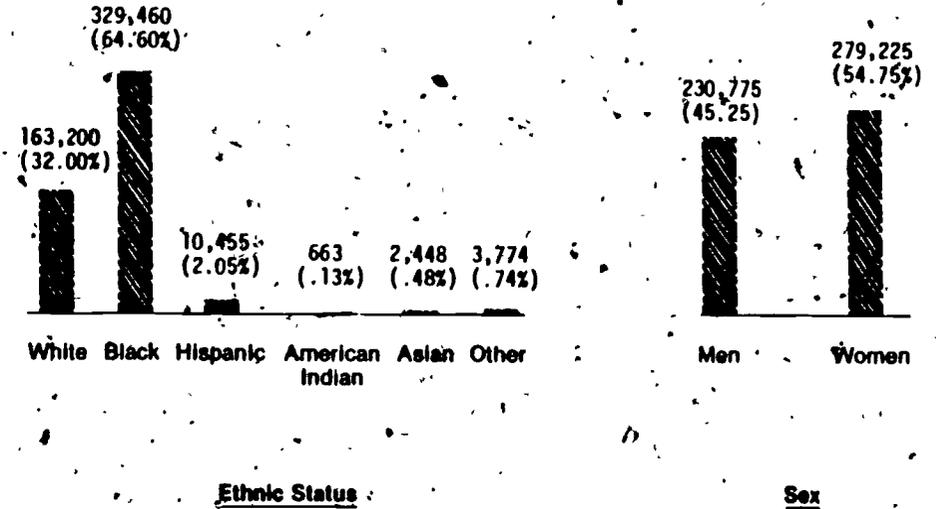
STATE POPULATION PROFILE

DISTRICT OF COLUMBIA H1

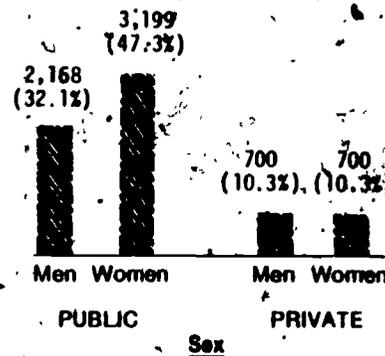
NUMBER OF HOUSEHOLDS



STATE POPULATION 18 AND OVER



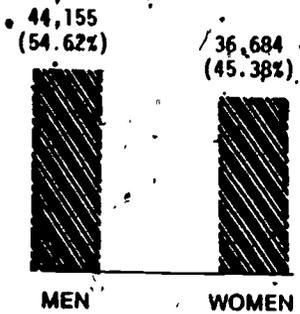
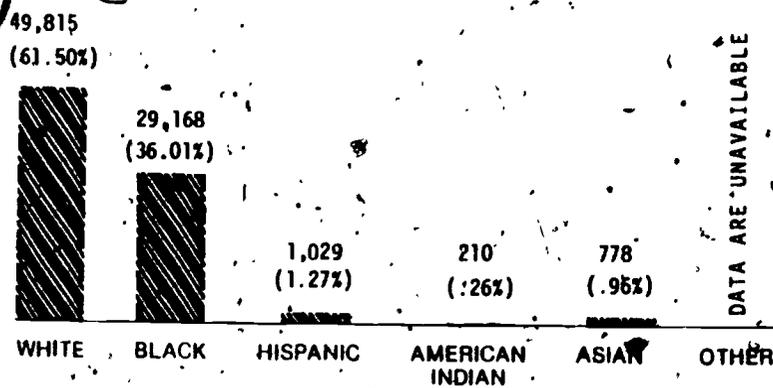
STATE HIGH SCHOOL GRADUATES



The high school graduation figures do not include 248 persons graduated from other than regular day school programs.

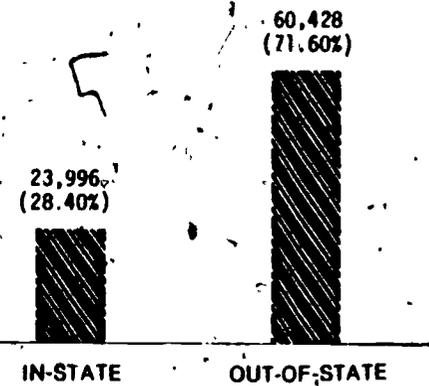
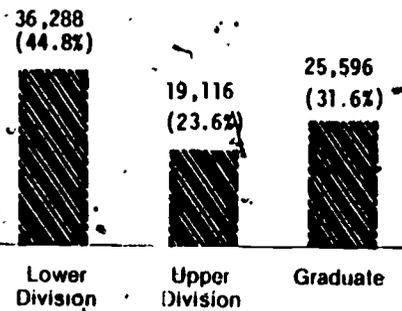
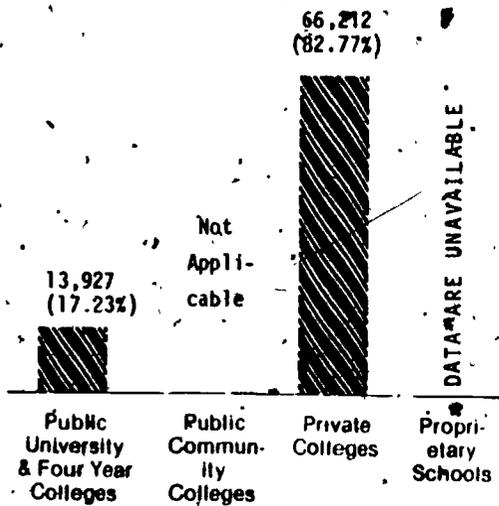
(c) Sales and Marketing Management 1977 Survey of Buying Power Data Service

STATE POSTSECONDARY EDUCATION STUDENT ENROLLMENTS DISTRICT OF COLUMBIA H2



Ethnic Status

Sex



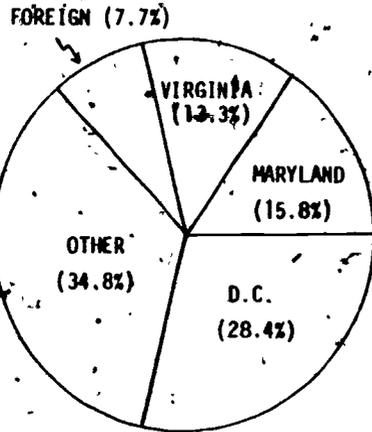
Institutional Sector

Student Level

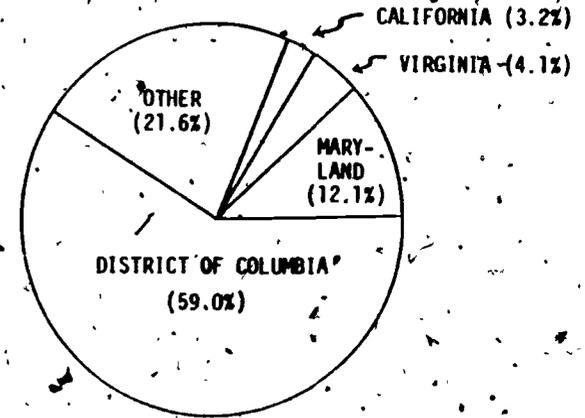
Residency

INTERSTATE MIGRATION OF STUDENTS

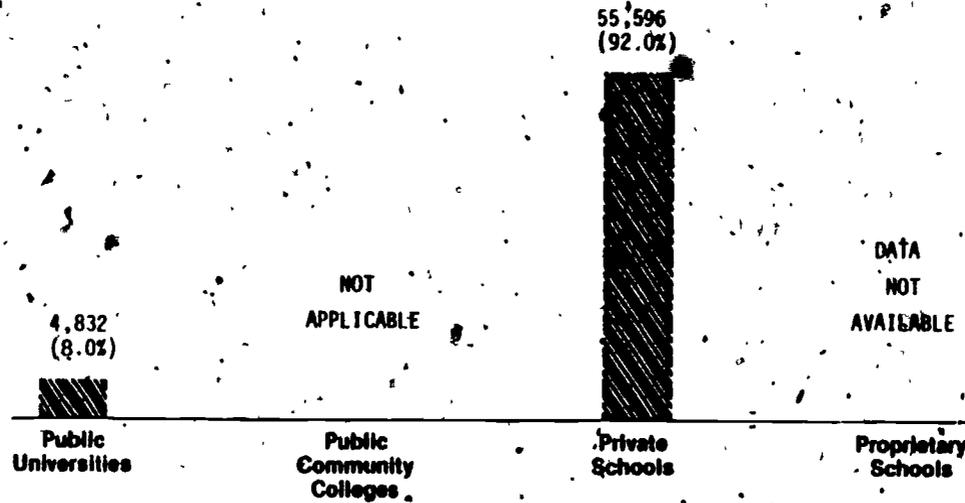
DISTRICT OF COLUMBIA H3



RESIDENT AND NON-RESIDENT STUDENTS ATTENDING IN-STATE INSTITUTIONS



RESIDENT STUDENTS ATTENDING IN-STATE AND OUT-OF-STATE INSTITUTIONS



INSTITUTIONAL SECTOR

(Out-of-State Students in School In-State)

**STATE EXPENDITURE ON POSTSECONDARY EDUCATION
STUDENT ASSISTANCE**

DISTRICT OF COLUMBIA 11

DOLLARS

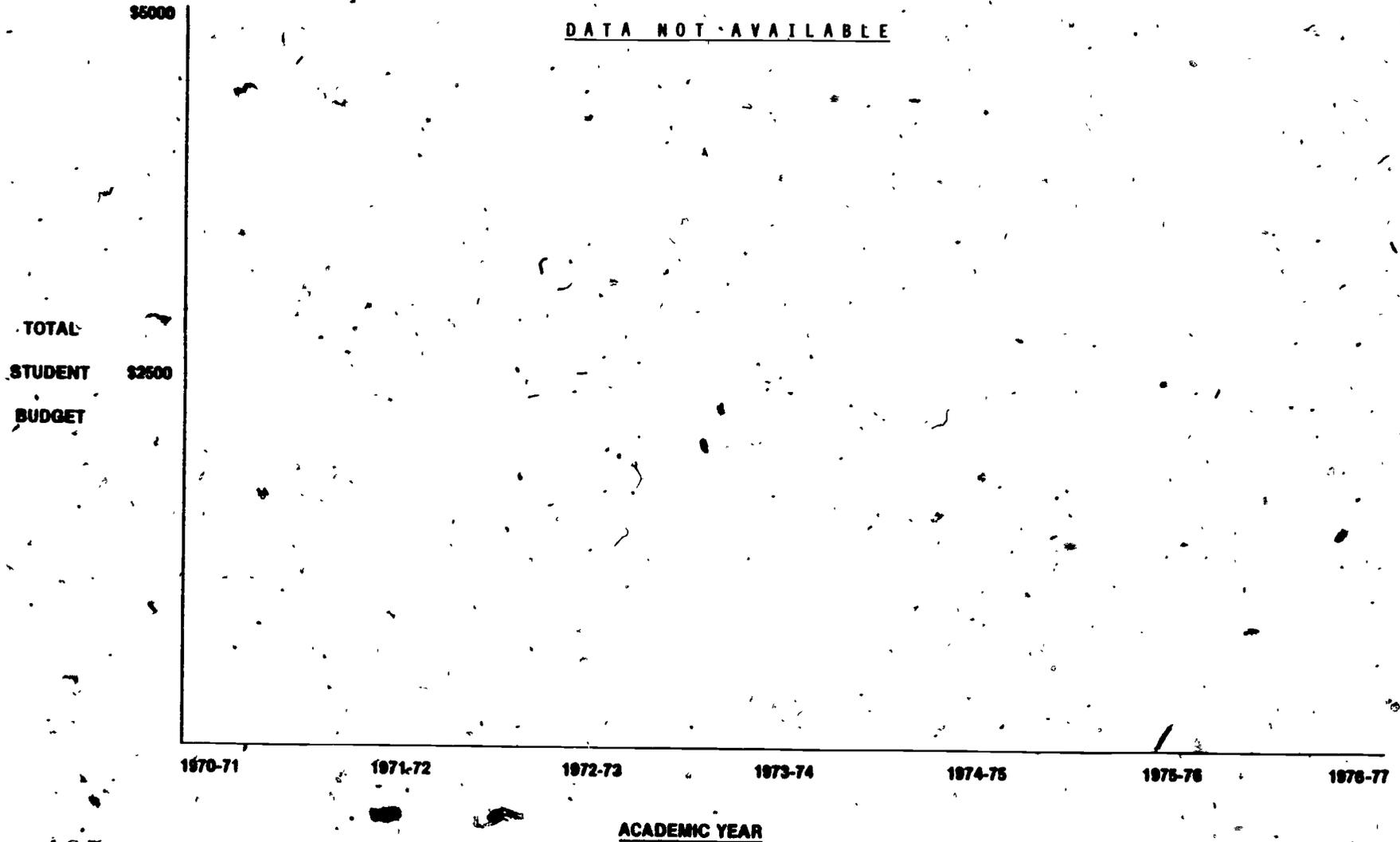
DATA ARE UNAVAILABLE

1969-70 1970-71 1971-72 1972-73 1973-74 1974-75 1975-76

FISCAL YEAR

**GROSS COSTS TO STUDENTS ATTENDING SCHOOL
BY POSTSECONDARY EDUCATION SECTORS**

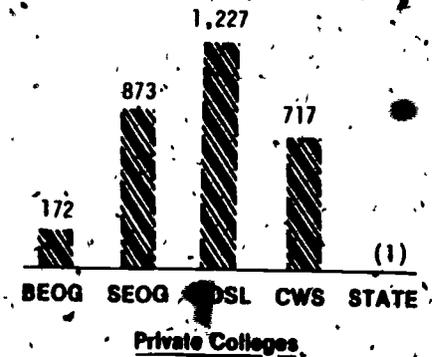
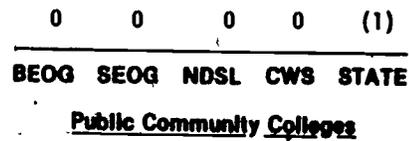
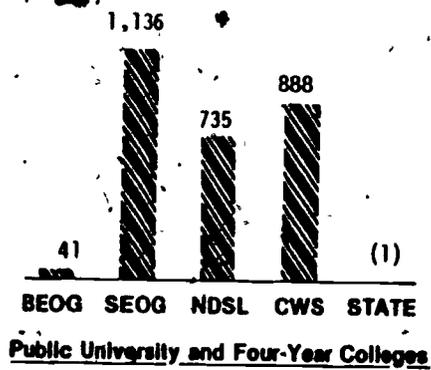
DISTRICT OF COLUMBIA 12



LEVELS OF FEDERAL AND STATE PROGRAM FUNDING BY INSTITUTIONAL SECTOR

DISTRICT OF COLUMBIA 13

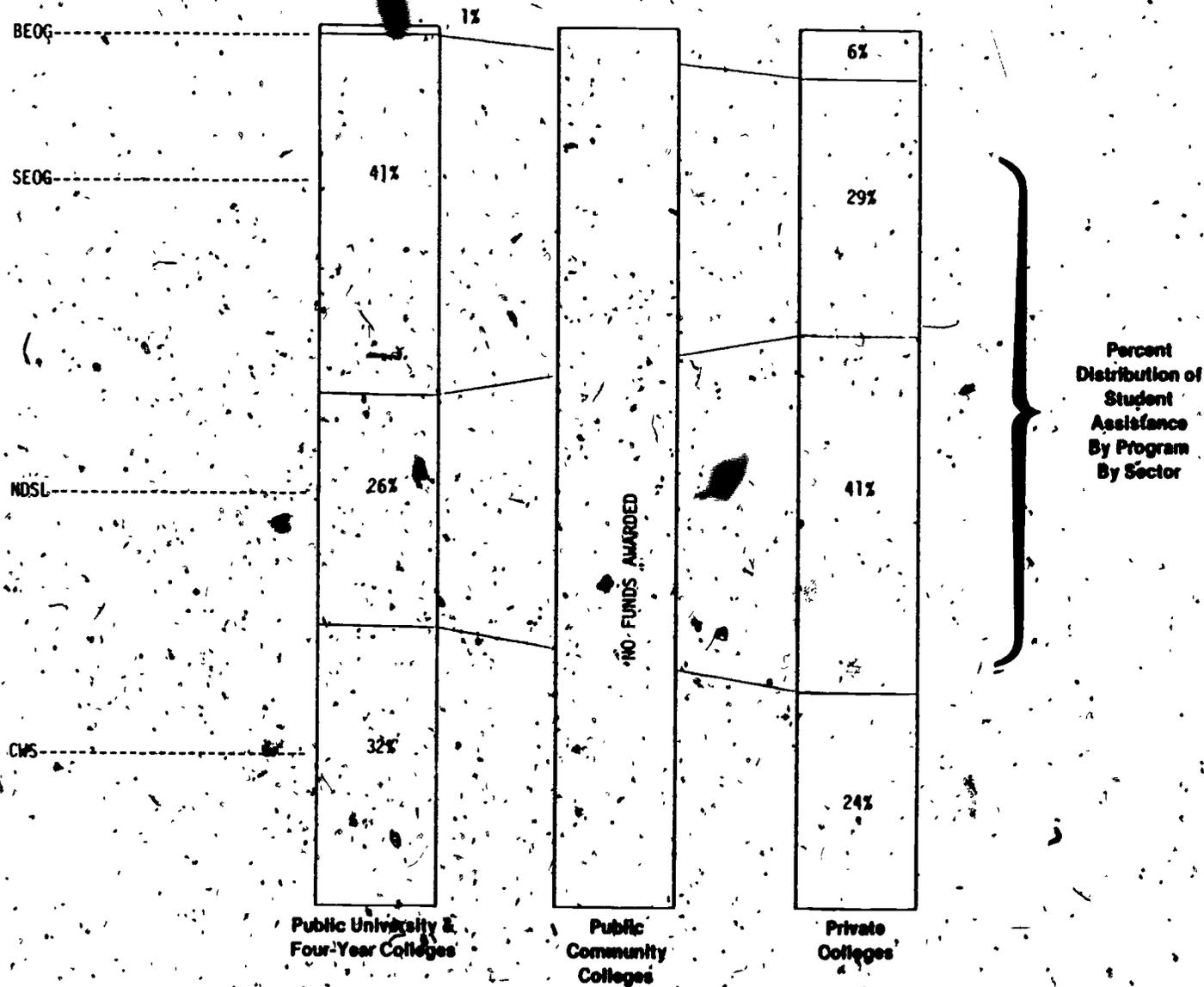
(Figures in thousands)



(1) The data pertaining to state dollars awarded by institutional sector are unavailable.

RELATIVE IMPORTANCE OF FEDERAL AND STATE PROGRAM FUNDING BY SECTOR

DISTRICT OF COLUMBIA 14



The data pertaining to state dollars awarded by institutional sector are unavailable.

**DESCRIPTIONS OF PROGRAM OFFICES AND AGENCIES AND
CORRESPONDING PROGRAM RESPONSIBILITIES**

FLORIDA A1

Student Financial Aid, Department of Education

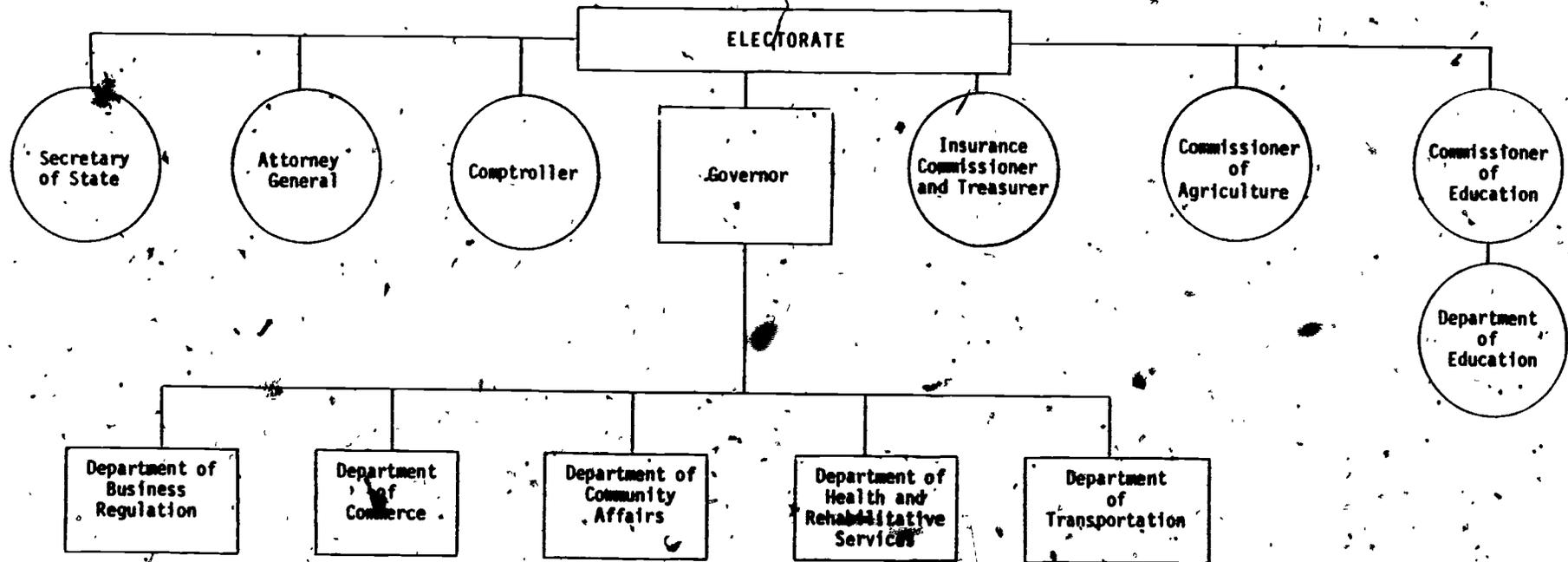
1. Student Assistance Grants
2. Seminole-Miccosukee Indian Scholarships
3. Insured Student Loans
4. Student Loans
5. Scholarships for Children of Deceased and Disabled Veterans
6. Confederate Memorial Scholarships.

Co-administered between the Bureau of Education for Exceptional Students and
Student Financial Aid.

1. Exceptional Child Teaching Scholarships

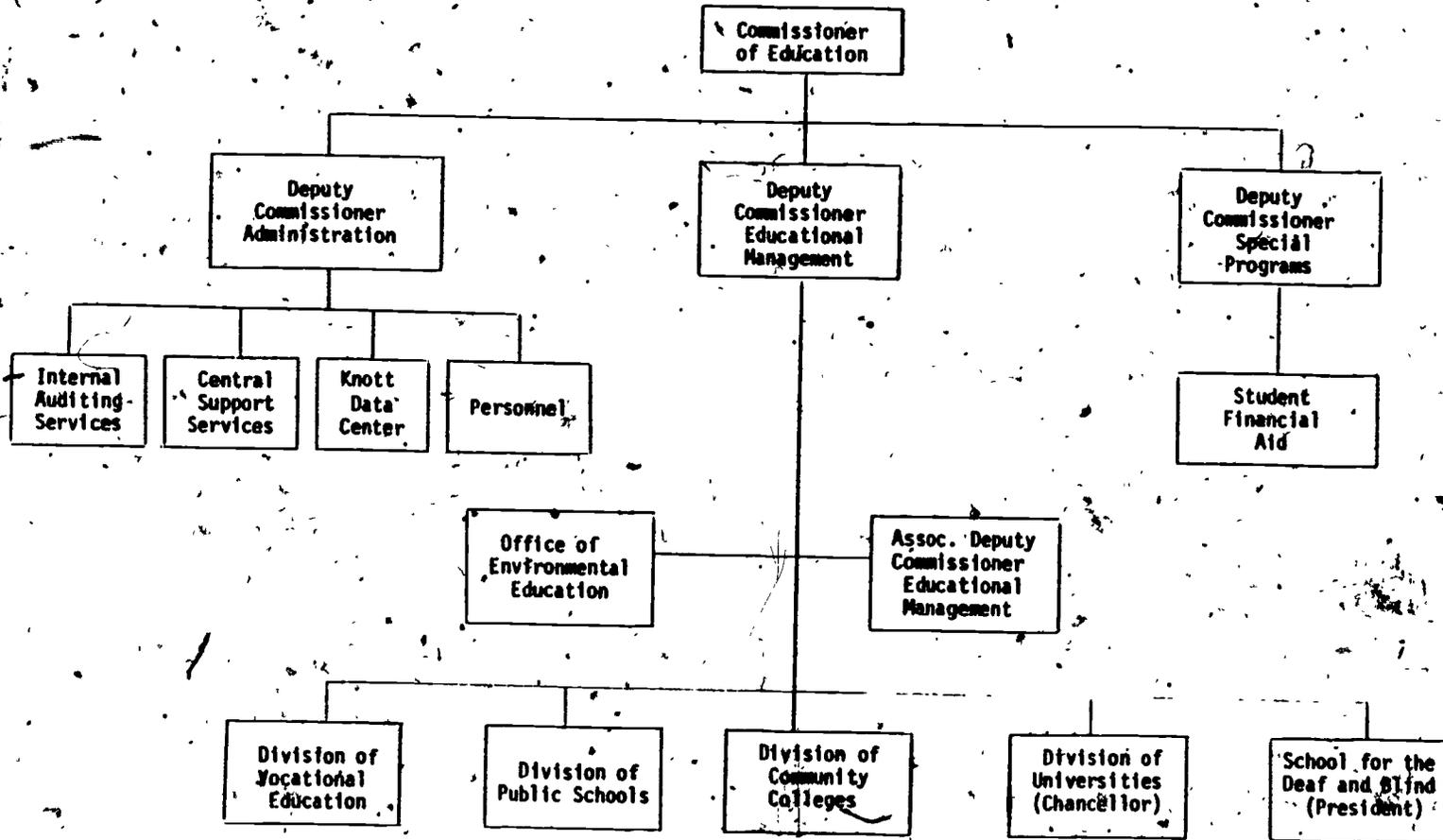
RELATIONSHIPS OF STATE AGENCIES

FLORIDA A2



STATE AGENCY ORGANIZATION

FLORIDA B1



505

506

**MANAGEMENT RELATIONSHIPS BETWEEN THE STATE AGENCY
AND OTHER STATE AGENCIES/INSTITUTIONS**

FLORIDA B2

| <u>WHO ACCOMPLISHES THE FOLLOWING?</u> | <u>STATE PROGRAM OFFICE</u> | <u>INSTITUTIONAL FINANCIAL AID OFFICER</u> | <u>OTHER OFFICERS OR AGENCIES</u> |
|---|-----------------------------|--|-----------------------------------|
| Processing of Applications | | | |
| • Verification of Student Application Information | X | X | |
| • Identification of Eligible Recipients | X | X | |
| • Determination of Award Size | X | X | |
| • Assignment of Priority to Awards | X | | |
| • Formally Approves Award | X | | |
| Makes Payment to Student (or Credits Student Account) | X | X | |
| Makes Post-Award Adjustments | X | X | |
| Initiates the Prosecution of Possible Fraudulent Student applications | X | | |
| Publicizes Award Availability | X | X | |

Pursuant to annual Legislative direction or "intent."

STATE PROGRAM OFFICE DEFINITIONS FOR SELECTED TERMS

FLORIDA B3

**DEFINITIONS USED BY
STATE PROGRAM OFFICES**

**STATE DEFINITION
SPECIFIED BY**

**STATE DEFINITION COMMONLY
USED BY ALL INSTITUTIONS**

**STATE DEFINITION CONSISTENT
ACROSS ALL STATE PROGRAMS.**

PUBLIC

PRIVATE

Half-Time Student - One who carries at least one-half of the normal full-time course work or other required activity as determined by an institution.

Interpretation

Yes

Yes

Yes

State Resident - A citizen or permanent resident of the United States who is domiciled in the State of Florida. A student must have been a resident of the State of Florida for one year to qualify for the Florida Insured Student Loan Program. A student must have been a resident for two years to qualify for the Florida Student Assistance Grant Program.

Law

Yes

Yes

Yes

Independent Student - One who is self-supporting. The State of Florida uses the federal guidelines.

Law and Interpretation

Yes

Yes

Yes

Non-Profit Institution - Federal definition as defined in Section 1201 of the Higher Education Act of 1965, as amended.

Law and Interpretation

Yes

Yes

Yes

Satisfactory Academic Progress - In the student assistance grant program a student must earn a minimum of 24 semester or 36 quarter hours with a 2.0 or better GPA each academic year to qualify for renewal consideration.

Law and Interpretation

Yes

Yes

Yes

United States Citizenship - Implied.

Law and Interpretation

Yes

Yes

Yes

INFORMATION FLOW BETWEEN THE STATE PROGRAM OFFICE AND VARIOUS FEDERAL OFFICES

FLORIDA 84

| <u>FEDERAL OFFICES</u> | <u>TYPE OF INFORMATION PROVIDED BY STATE STUDENT-AID AGENCY</u> | <u>TYPE OF INFORMATION RECEIVED BY STATE STUDENT-AID AGENCY</u> | <u>PERCEPTION OF ADEQUACY OF INFORMATION FLOW</u> |
|--|---|---|---|
| SSIG Office | Adequate | Summaries Regulations Announcements | Adequate |
| BEOG Office | Inadequate | Magnetic Tapes Out of BEOG Applications Summaries | Marginal |
| Regional OE Student-Assistance Office (Campus-Based Programs) | Adequate | Adequate, if requested Marginal, if voluntary | Marginal |
| Washington OE Office (Campus-Based Programs) | Not Applicable | Marginal | Marginal |
| FISL/GSL Office | Adequate | Adequate | Adequate |
| <u>STATE/INSTITUTIONAL OFFICES</u> | | | |
| Public Institutions | Adequate | Adequate | Adequate |
| Private Institutions | Adequate | Adequate | Adequate |
| Governor/Budget Office | Adequate | Adequate | Adequate |
| State Legislature (Including Committee Staffs) | Adequate | Adequate | Adequate |

MANAGEMENT STAFFING OF STATE PROGRAM OFFICES*

FLORIDA B5

| <u>MANAGEMENT FUNCTION</u> | <u>PERCENTAGE OF STAFF EFFORT</u> | <u>STAFF ASSISTANCE FROM OTHER AGENCIES</u> <u>COMMENTS</u> |
|--|-----------------------------------|--|
| APPLICATIONS PROCESSING (Includes verification of student information, need analysis, award determination, etc.) | 30 | 4 FTE |
| RESPONDING TO REQUESTS FOR INFORMATION (Includes federal requests for information, parent/student inquiries, testimony in hearings, etc.) | 25 | |
| PROGRAM REVIEW (Includes on-site visits to institutions, formal/informal audits, etc.) | 10 | 1 FTE |
| PUBLIC RELATIONS AND INFORMATION DISSEMINATION (Includes parent/student workshops, work on promotional literature, etc.) | 10 | 1 FTE |
| GENERAL ADMINISTRATION (Includes executive personnel, staff training and development, regulations and guidelines, preparation of summary statistics, etc.) | 25 | 3 FTE |
| OTHER MANAGEMENT ACTIVITIES (Includes any special staff activities which do not clearly fall in one of the above categories) | | |

**STATE AGENCY CALENDAR OF EVENTS FOR NEED-BASED
PROGRAMS FOR 1976-77 SCHOOL YEAR**

FLORIDA

B6

MONTH/YEAR

EVENTS

SEPTEMBER 1976 Agency budget presented to governors office or budget bureau

OCTOBER 1976 Agency completes planning workshops for students or counselors

NOVEMBER 1976 1) Agency budget presented to legislature
2) Agency makes student-aid applications available

JANUARY 1977 BEOG applications information received from federal office

MARCH 1977 Deadline for student-aid applications

JUNE 1977 Legislature appropriates student assistance funds

JULY 1977 Agency (or institutions) announces award winners

515

516

**PROGRAM AND FISCAL CONTROLS OF PROGRAMS
OPERATED BY STATE AGENCY**

FLORIDA B7

PROGRAM CONTROLS

**CONTROL USED
SAMPLE OR TOTAL
POPULATION STUDIED**

**AGENCY/OFFICE
UNDERTAKING CONTROLS**

**SPECIAL ACTIONS
COMMENTS**

A. Control on Student Application Data Accuracy

1. Match Against Tax Forms?
2. Match Against BEOG Applications?
3. Match Against Institutional Student Records?
4. Warnings of Prosecution?
5. Prosecution?

Yes
Yes
Yes
Yes
Yes

Institution, State
Institution, State
Institution, State
Institution, State
Institution, State

B. Controls on Attendance and/or Progress

1. Check on Enrollment/Initial Attendance?
2. Check on Mid-Term Attendance?
3. Check on Course Completion?
4. Check on Academic Progress Before Award?
5. Partial Awards?

Yes

Yes
Yes

Institution, State

Institution, State
Institution, State

C. Controls on Award Size

1. Check to Make Sure Other Awards Actually Made?
2. Match Against BEOG, Campus-Based Award Files?
3. Re-Check to Ensure Award Adjustments Actually Made?
4. Second Review of Aid Packages?

Yes
Yes

Institution, State
Institution, State

Institution

D. Controls on Institutional Student-Aid Operations

1. Independent Program Audits?
2. Aid Officer Bonding?
3. Aid Officer Certification?
4. Double Signatures on All Fund Transfers?

Institution
Institution
Institution
Institution

E. Controls on Central Administrative Staff Operations

1. Independent Program Audits?
2. Bonding of Staff Members?
3. Double Signatures on All Fund Transactions?

Yes
Yes

State
State

**PROGRAM INFORMATION DISSEMINATION PROCEDURES
USED FOR STATE NEED BASED PROGRAMS**

FLORIDA C1

TYPE OF INFORMATION PROVIDED

| <u>INFORMATION PROVIDED THROUGH</u> | <u>APPLICATION FORMS</u> | <u>ELIGIBILITY CONDITIONS</u> | <u>FILING/CLOSING DATES FOR STATE PROGRAMS</u> | <u>INFORMATION ON THE COST OF ATTENDANCE AT VARIOUS TYPES OF INSTITUTIONS</u> | <u>INFORMATION ABOUT APPLYING FOR AID FROM OTHER SOURCES</u> | <u>INFORMATION ON PENALTIES FOR PROVIDING FRAUDULENT DATA</u> |
|--|--------------------------|-------------------------------|--|---|--|---|
| 1. High School Counselors | X | X | X | | X | |
| 2. College Financial-Aid Officers | X | X | X | | X | |
| 3. Direct Mailings to Graduating High School Students or Parents | X | X | X | | X | |
| 4. High School Meetings or Workshops | X | X | X | | X | |
| 5. College Admissions Offices | X | X | X | | X | |
| 6. Newspaper Ads | | | | | | |
| 7. Radio, Television Ads | | | | | | |
| 8. Social Service Agencies | X | X | X | | X | |
| 9. Other (Please Specify) Public Television Programs | | | X | | X | |

DESCRIPTIONS OF STATE-SPONSORED STUDENT ASSISTANCE PROGRAMS

FLORIDA

D1

Florida Student Assistance Grants - A grant program which provides assistance to resident students with the greatest amount of unmet (relative) need. Students must attend in-state post-secondary schools.

Seminole-Miccosukee Indian Scholarships - A direct grant-award program for needy Seminole and Miccosukee Indians living within the boundaries of the State of Florida and attending accredited in-state schools.

Florida Insured Student Loans - A loan program which provides financial assistance to enable state residents to study at approved state institutions.

Florida Student Loans - A state funded program providing financial need loans up to \$1800 yearly for educational expenses. Loans were awarded to resident students at in-state public schools. The program is being phased out.

Scholarships for Children of Deceased and Disabled Veterans - An assistance grant program for resident dependents of deceased and disabled Veterans, MIAs and POWs attending in-state public schools.

Confederate Memorial Scholarships - An educational grant-assistance program designed to aid resident students who are lineal descendants of confederate soldiers or sailors and who are attending in-state public schools.

Exceptional Child Teaching Scholarships - A tuition reimbursement program enabling certified teachers of exceptional children to pursue additional courses in exceptional child education so that their teaching certificates can be extended to include eligibility to teach in specified shortage areas. Grants are awarded to resident students attending in-state public and private universities and four-year schools.

BASIC STATE PROGRAM INFORMATION

FLORIDA D2

| <u>Programs</u> | <u>Year</u> | <u>Number of Awards</u> | <u>Average Full-Time Award Size</u> | <u>Total Dollars Awarded</u> | <u>Eligible Population</u> |
|--|--------------------|---------------------------------|---|--------------------------------------|--|
| <u>Need-Based</u> | | | | | |
| <u>Grants</u> | | | | | |
| Florida Student Assistance Grants | 1972-73 1975-76 | 283 4,462 | \$ 1,200 \$ 1,031 | \$ 340,000 \$ 4,598,493 | Resident Undergraduates |
| Seminole-Miccosukee Indian Scholarships | 1970-71 1975-76 | 2 3 | \$ 600 \$ 600 | \$ 1,200 \$ 1,800 | Seminole and Miccosukee Indians |
| <u>Loans</u> | | | | | |
| Florida Insured Student Loans | 1972-73 1975-76 | 1,066 8,789 | \$ 740 \$ 1,655 | \$ 789,075 \$ 14,545,672 | Residents Studying at Approved In-State Institutions |
| <u>Non Need-Based</u> | | | | | |
| Scholarships for Children of Deceased and Disabled Veterans | 1971-72 1975-76 | 27 42 | \$ 350 \$ 450 | \$ 10,000 \$ 25,000 | Dependents of Veterans/ POWs/MIAs |
| Exceptional Child Teaching Scholarships | 1971-72 1975-76 | 912 850 | \$ 115 \$ 132 | \$ 105,000 \$ 112,620 | Teachers of Exceptional Children |
| Confederate Memorial Scholarships | 1971-72 1975-76 | 27 27 | \$ 150 \$ 150 | \$ 4,000 \$ 4,000 | Lineal Descendents of Confederate Soldiers and Sailors |

524

STUDENT ELIGIBILITY CRITERIA FOR STATE PROGRAMS

FLORIDA E7

| <u>Programs</u> | <u>Acceptable Residency Status</u> | <u>Acceptable Attend. Status</u> | <u>Acceptable Student- Levels</u> | <u>Special Priority to Cont'g. Awards</u> | <u>Income Limits</u> | <u>Need Limits</u> |
|--|--|--|---|---|--------------------------|------------------------|
| <u>Need-Based</u> | | | | | | |
| <u>Grants</u> | | | | | | |
| Florida Student Assistance Grants | Residents attending In-State | Full-Time | All Under-graduates | Yes | Not Applicable | \$200 |
| Seminole-Miccosukee Indian Scholarships | Residents attending In-State | Full-Time | All Under-graduates | Yes | Not Applicable | -0- |
| <u>Loans</u> | | | | | | |
| Florida Insured Student Loans | Residents attending In-State | Half-Time Full-Time | All | Yes | Not Applicable | Not Applicable |
| <u>Non Need-Based</u> | | | | | | |
| Scholarships for Children of Deceased or Disabled Veterans | Residents attending In-State | Full-Time | All Under-graduates | Yes | Not Applicable | Not Applicable |
| Exceptional Child Teaching Scholarships | Residents attending In-State | Part-Time | Graduates | No | Not Applicable | Not Applicable |
| Confederate Memorial Scholarships | Residents attending In-State | Full-Time | All Under-graduates | Yes | Not Applicable | Not Applicable |

¹ Post-baccalaureate, not necessarily "graduate."

INSTITUTIONAL ELIGIBILITY CRITERIA FOR STATE STUDENT-ASSISTANCE PROGRAMS

FLORIDA E2.

| <u>Programs</u> | <u>University and 4-Year Colleges</u> | <u>Community/ Junior Colleges</u> | <u>Voc./Tech. Schools</u> | <u>Proprietary</u> | <u>Special Types of Institutions</u> |
|---|---|---------------------------------------|-------------------------------|--------------------|--|
| <u>Need-Based</u> | | | | | |
| <u>Grants</u> | | | | | |
| Florida Student Assistance Grants | Public Private | Public | No | No | Hospital Schools of Nursing |
| Seminole-Miccosukee Indian Scholarships | Public Private | Public | No | No | No |
| <u>Loans</u> | | | | | |
| Florida Insured Student Loans | Public Private | Public | Public | Yes | Hospital* Schools of Nursing |
| <u>Non Need-Based</u> | | | | | |
| Scholarships for Children of Deceased and Disabled Veterans | Public | Public | No | No | No |
| Exceptional Child Teaching Scholarships | Public Private | No | No | No | No |
| Confederate Memorial Scholarships | Public | Public | No | No | No |

*Under Florida law, residents attending a non-Florida institution under the auspices of the Southern Regional Education Board Interstate Compact are eligible for loans.

528

NEED DETERMINATION CRITERIA FOR STATE PROGRAMS

FLORIDA E3

| <u>Programs</u> | <u>Program Definition of Need</u> | <u>Is BEOG Application Required?</u> | <u>Expected Family Contribution (EFC) Method</u> | <u>Student Budget Includes What Items?</u> |
|---|---|--------------------------------------|--|--|
| <u>Need Based</u> | | | | |
| <u>Grants</u> | | | | |
| Florida Student Assistance Grants | Need = Educational Costs - Total Family Contribution | Yes | Uniform Methodology | General Student Costs |
| Seminole-Miccosukee Indian Scholarships | Need = Educational Costs - Total Family Contribution | No | Uniform Methodology | General Student Costs |
| <u>Loans</u> | | | | |
| Florida Insured Student Loans | Federal definition for federally insured student loan program | No | Uniform Methodology | General Student Costs |

Instructional and non-instructional educational costs.

AWARD SIZE DETERMINATION CRITERIA FOR STATE PROGRAMS

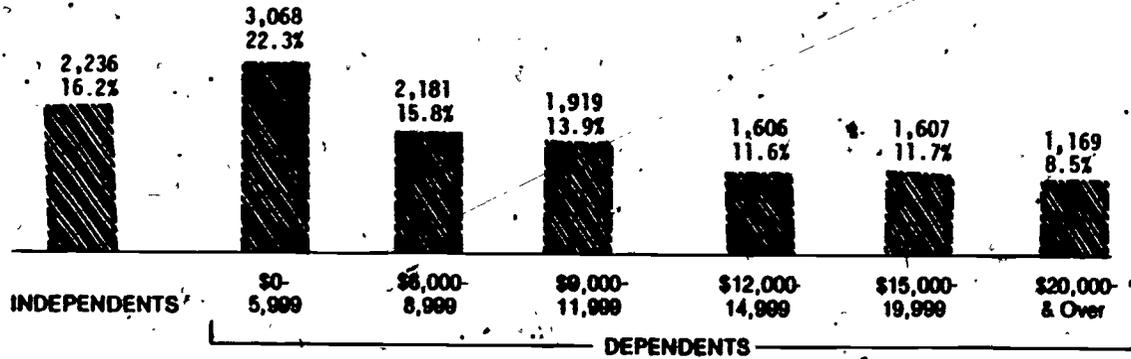
FLORIDA E4

| <u>Programs</u> | <u>Maximum Award Size?</u> | <u>What Award Size Determination Method is Used?</u> | <u>How is Award Priority Determined?</u> | <u>Who Ultimately Determines Award Amount?</u> |
|---|----------------------------|---|---|--|
| <u>Need-Based</u> | | | | |
| <u>Grants</u> | | | | |
| Florida Student Assistance Grants | \$1,200 | Amount of need. No less than \$200--no more than \$1,200. | Renewal applicants first. Then others showing the greatest amount of relative need in descending order of need. | Note 1 |
| Seminole-Miccosukee Indian Scholarships | \$ 600 | Amount of need not to exceed \$600. | Renewals--others on a first come-first awarded basis. | State |
| <u>Loans</u> | | | | |
| Florida Insured Student Loans | \$2,500 | Cannot exceed need or educational costs--maximum of \$2,500. Gross adjusted annual income of parents or applicant cannot exceed \$25,000* | Qualified applicants are awarded on a first come, first served basis. | State |
| <u>Non Need-Based</u> | | | | |
| Scholarships for Children of Deceased and Disabled Veterans | Tuition & Fees | Amount of tuition and fees. | Not Applicable | Institution |
| Exceptional Child Teaching Scholarships | | | | |
| Confederate Memorial Scholarships | \$150/year | \$150 per academic year. | Qualified applicants are awarded on a first come, first served basis. | State |

* State recommends award amount. Institution adjusts award if need has been exceeded.

NUMBER OF NEED-BASED GRANT PROGRAM APPLICANTS

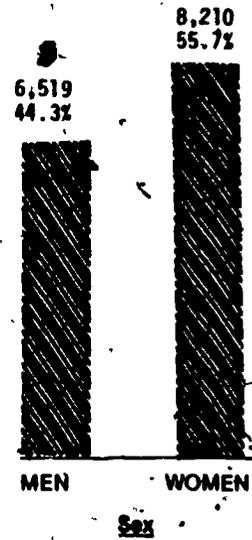
FLORIDA F1



Income Level

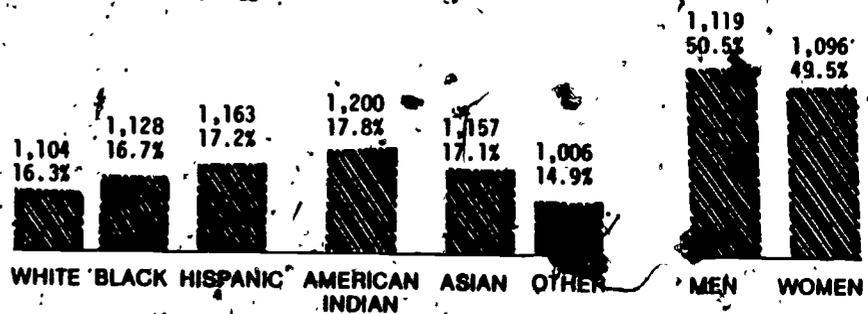
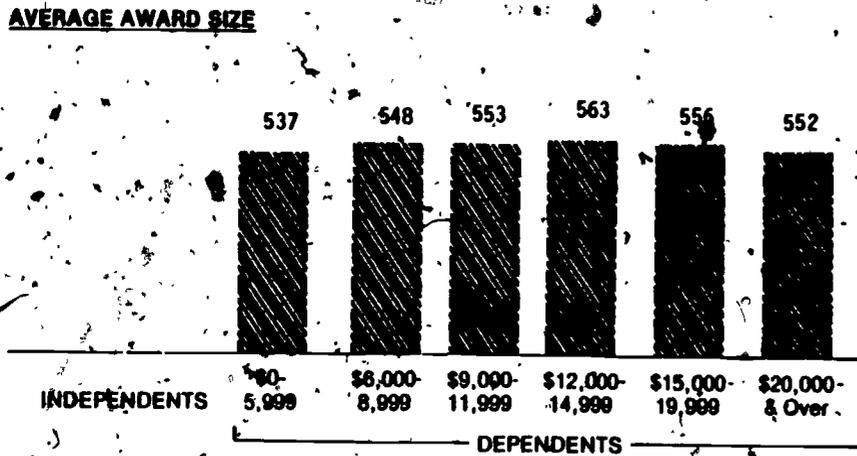
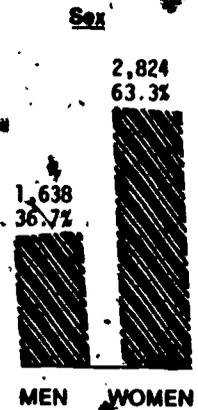
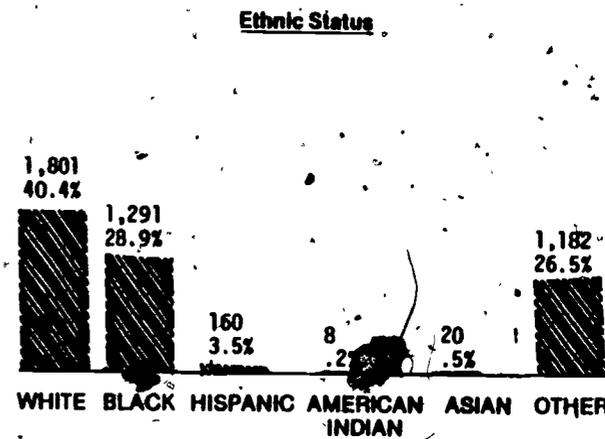
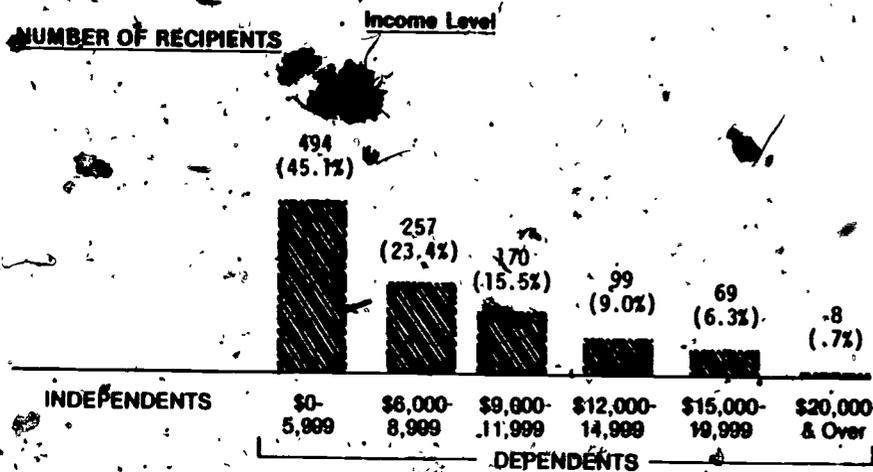
DATA NOT AVAILABLE

WHITE BLACK HISPANIC AMERICAN INDIAN ASIAN OTHER
Ethnic Status



NUMBER OF NEED-BASED GRANT PROGRAM RECIPIENTS AND AVERAGE AWARD SIZE

F2
FLORIDA



These data were taken from the Financial Status and Performance Report for the Reporting Period, July 1, 1975, to September 30, 1976, and only reflect the distribution of the federal portion of the "Number of Recipients" and "Average Award Size." These data do not differentiate between dependent and independent students.

SOURCES AND DISTRIBUTION OF NEED-BASED GRANT PROGRAM FUNDS

FLORIDA G1

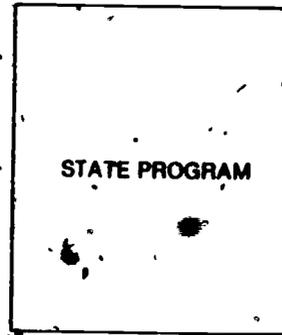
THESE FIGURES FOR THE 1975-76 SCHOOL YEAR PERTAIN TO:

- FLORIDA STUDENT ASSISTANCE GRANTS

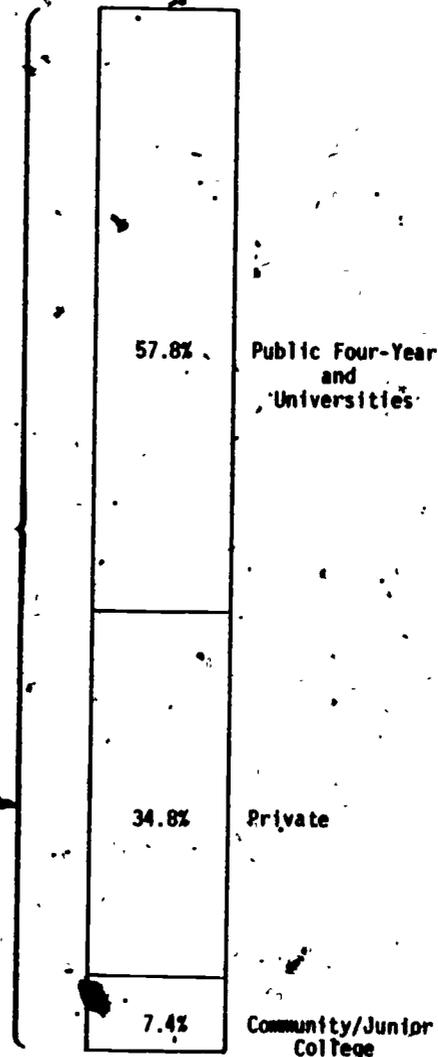
STATE APPROPRIATIONS
\$4,000,000 87.0%

PRIVATE GIFTS
-0-

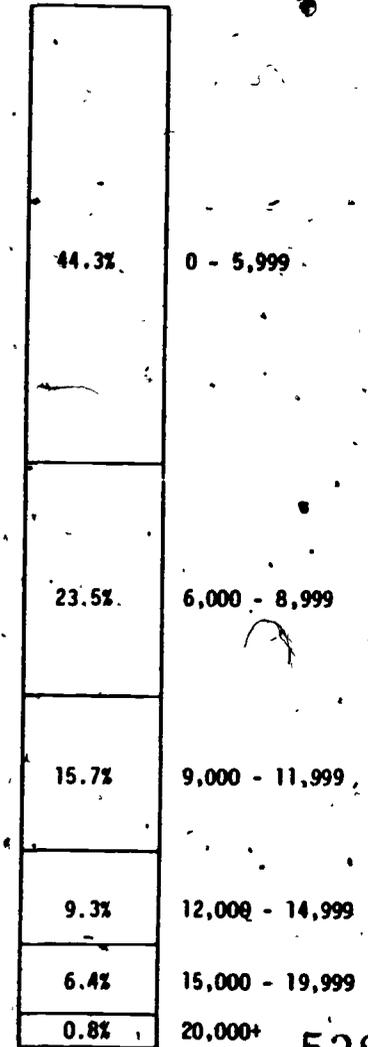
\$598,953 13.0%
FEDERAL SSIG PROGRAM



INSTITUTIONAL SECTORS



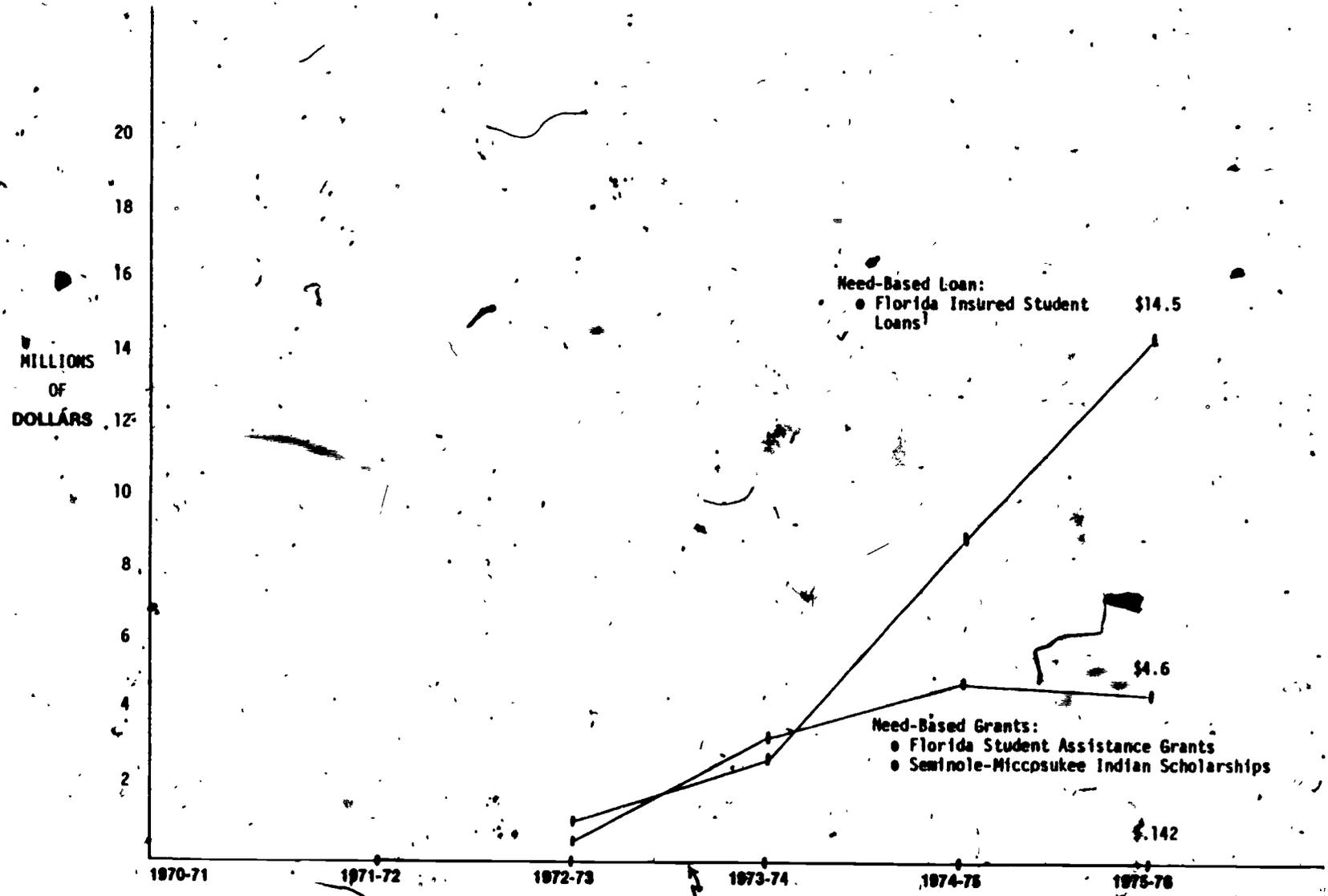
STUDENT INCOME CATEGORIES



These data were taken from the Financial Status and Performance Report for the Reporting Period July 1, 1975, to September 30, 1976. The figures pertaining to the distribution of funds by institutional sector and student income categories only reflect the distribution of the federal portion of the total dollars.

HISTORIC STATE EXPENDITURES ON STUDENT ASSISTANCE PROGRAMS

FLORIDA G2



MILLIONS
OF
DOLLARS

1970-71 1971-72 1972-73 1973-74 1974-75 1975-76

FISCAL YEAR

Need-Based Loan:
● Florida Insured Student Loans \$14.5

Need-Based Grants:
● Florida Student Assistance Grants
● Seminole-Miccosukee Indian Scholarships \$4.6

Non-Need-Based Programs:
● Scholarships for Children of Deceased and Disabled Veterans
● Exceptional Child Teaching Scholarships
● Confederate Memorial Scholarships \$142

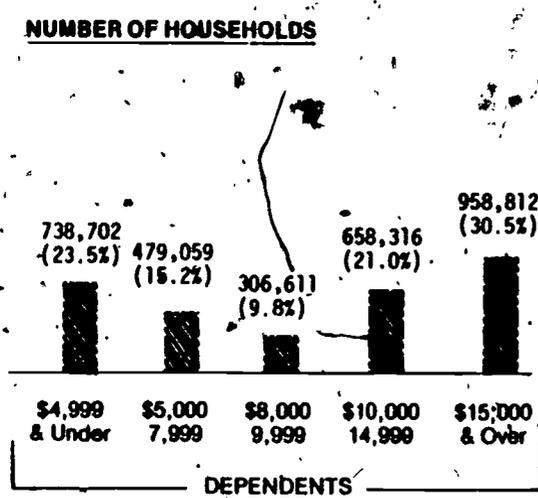
1972-73 first year of program.

540

STATE POPULATION PROFILE

FLORIDA H1

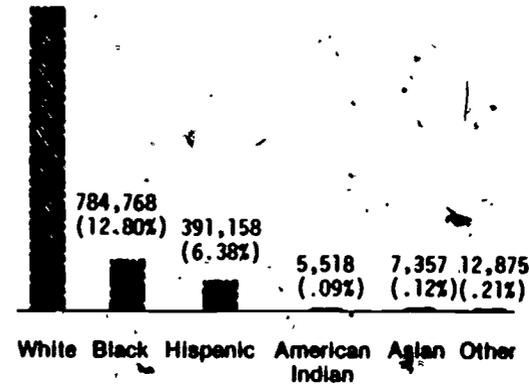
NUMBER OF HOUSEHOLDS



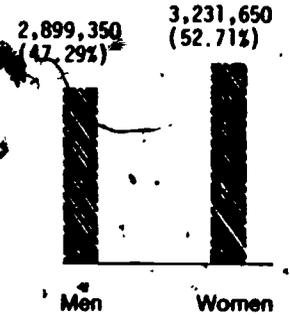
Effective Buying Income

4,929,324
(80.40%)

STATE POPULATION 18 AND OVER



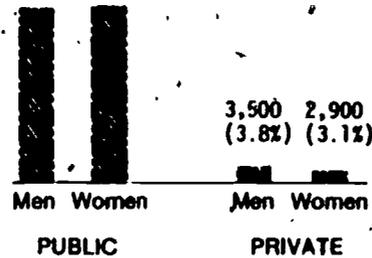
Ethnic Status



Sex

STATE HIGH SCHOOL GRADUATES

42,809 43,672
(46.1%)(47.0%)



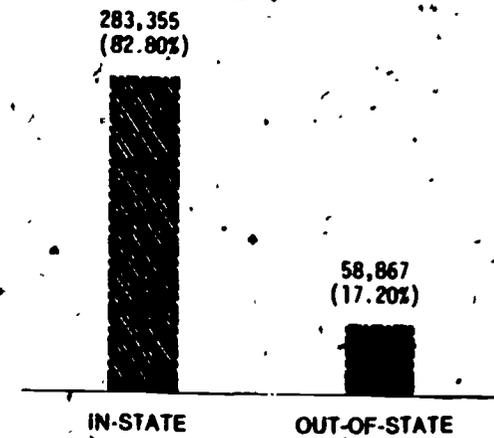
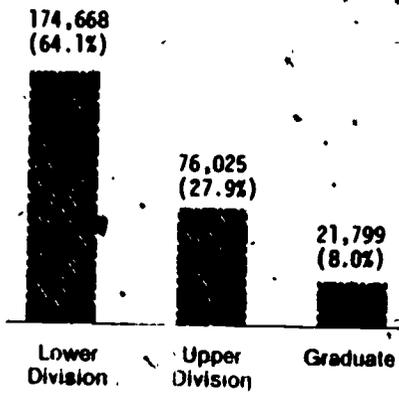
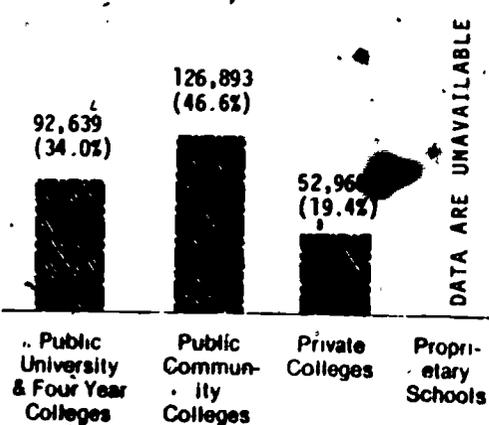
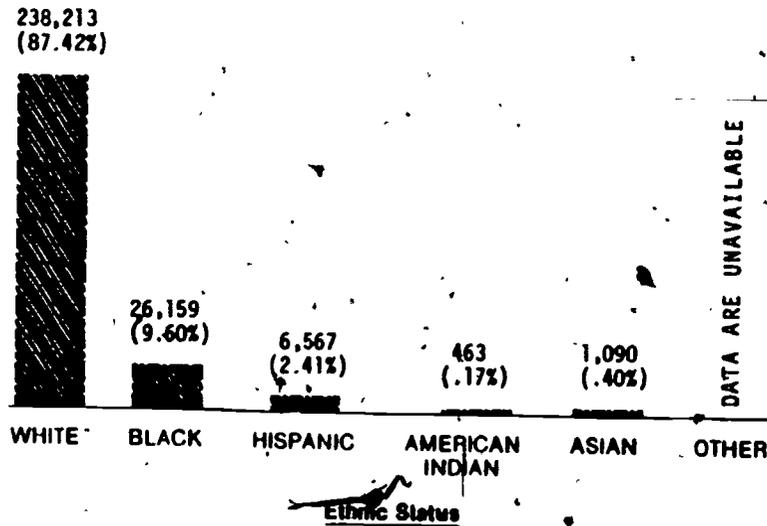
Sex

The high school graduation figures do not include 12,589 persons granted a high school equivalency certificate.

(c) Sales and Marketing Management Survey of Buying Power Data Service

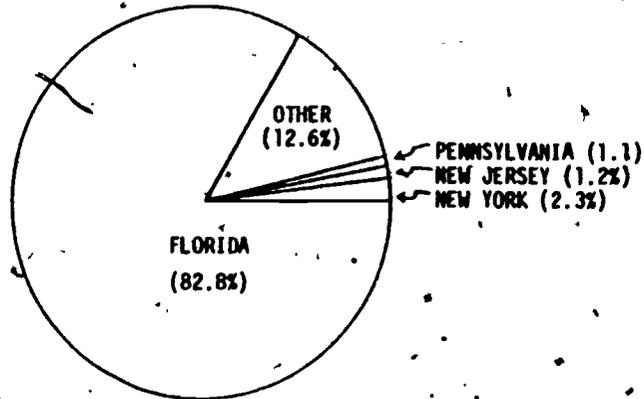
STATE POSTSECONDARY EDUCATION STUDENT ENROLLMENTS

FLORIDA H2

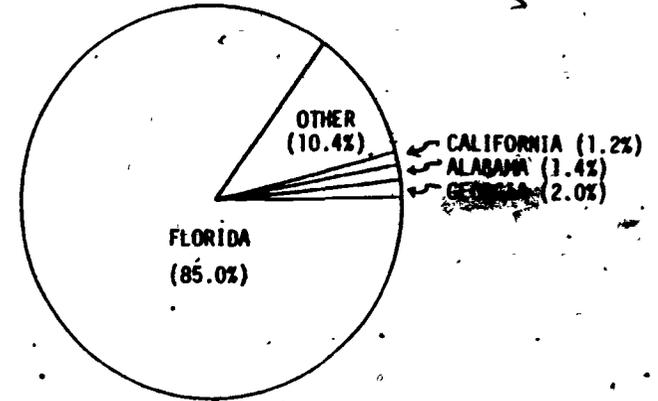


INTERSTATE MIGRATION OF STUDENTS

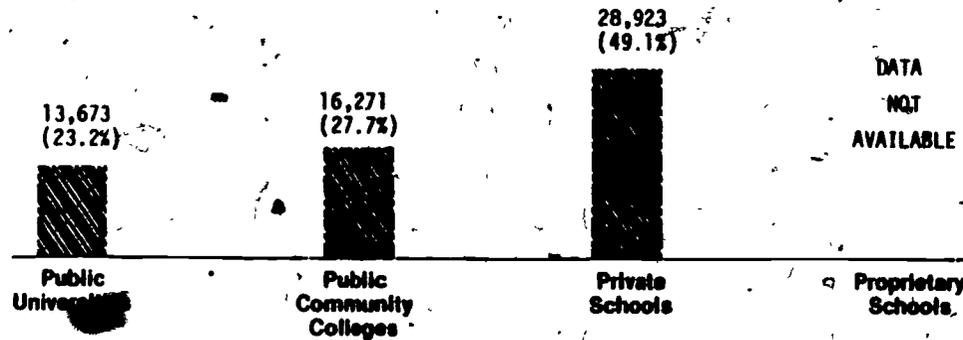
FLORIDA H3



RESIDENT AND NON-RESIDENT STUDENTS
ATTENDING IN-STATE INSTITUTIONS



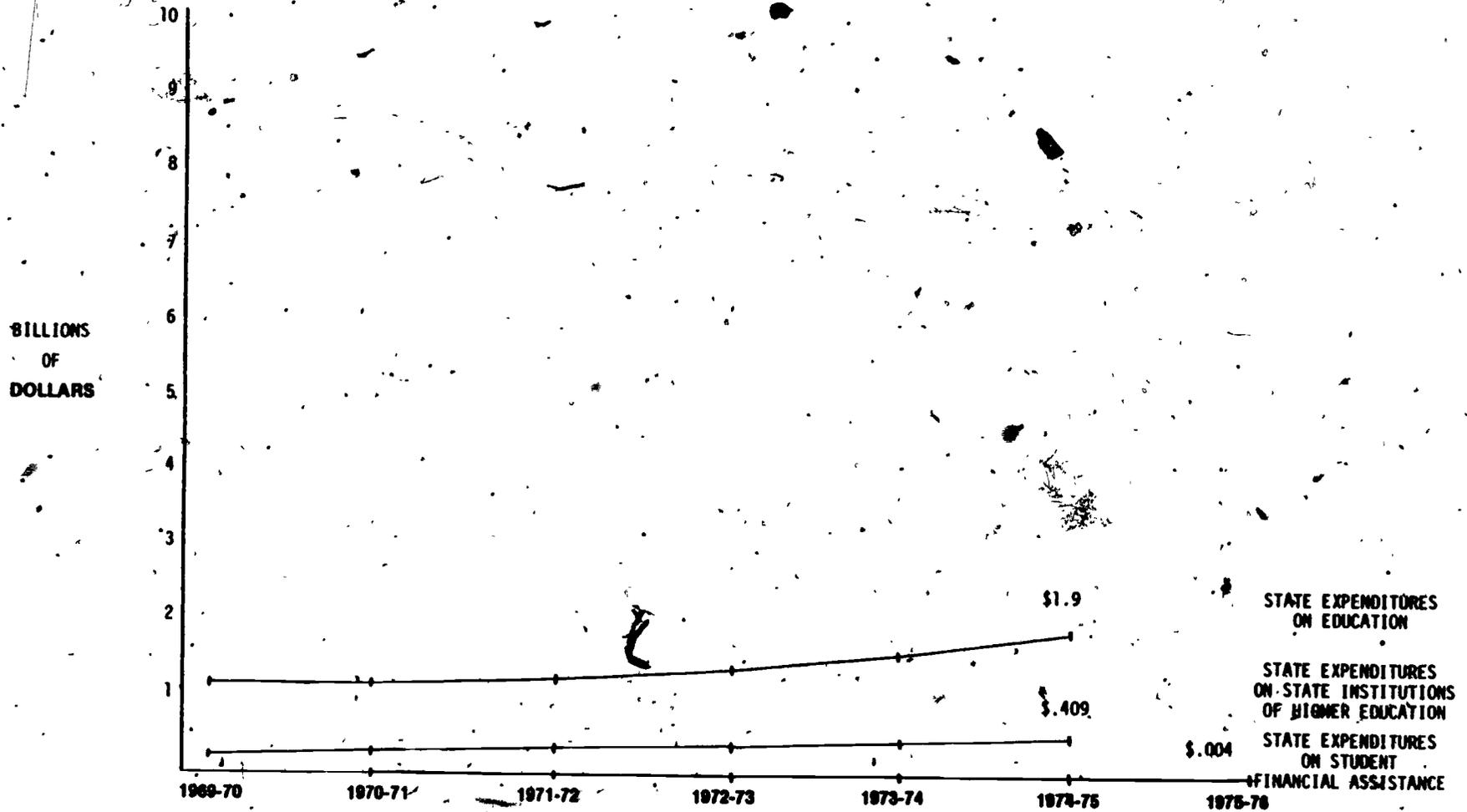
RESIDENT STUDENTS ATTENDING IN-STATE
AND OUT-OF-STATE INSTITUTIONS



INSTITUTIONAL SECTOR
(Out-of-State Students in School In-State)

STATE EXPENDITURE ON POSTSECONDARY EDUCATION STUDENT ASSISTANCE

FLORIDA 11



547

THESE DATA PERTAIN TO:

- FLORIDA STUDENT ASSISTANCE GRANTS
- SEMINOLE-MICCOSUKEE, INDIAN SCHOLARSHIPS
- SCHOLARSHIPS FOR CHILDREN OF DECEASED AND DISABLED VETERANS

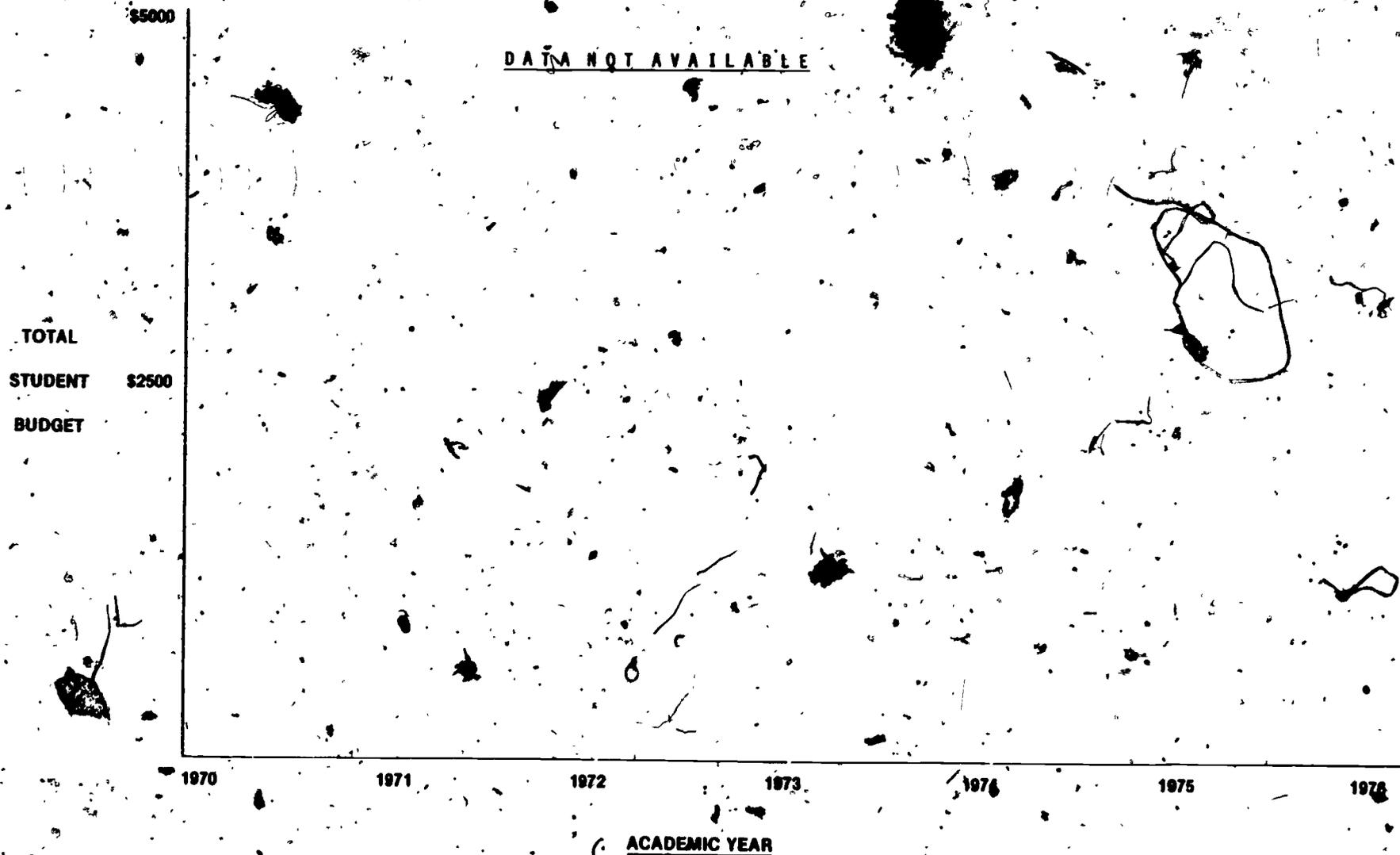
FISCAL YEAR

- EXCEPTIONAL CHILD TEACHING SCHOLARSHIPS
- CONFEDERATE MEMORIAL SCHOLARSHIPS

548

**GROSS COSTS TO STUDENTS ATTENDING SCHOOL
BY POSTSECONDARY EDUCATION SECTORS**

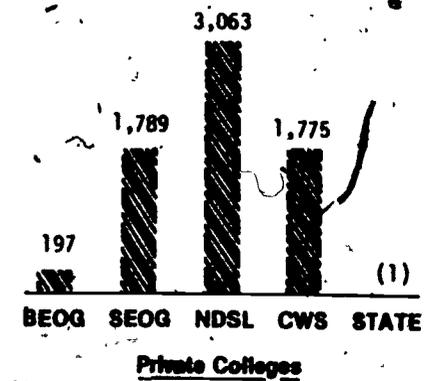
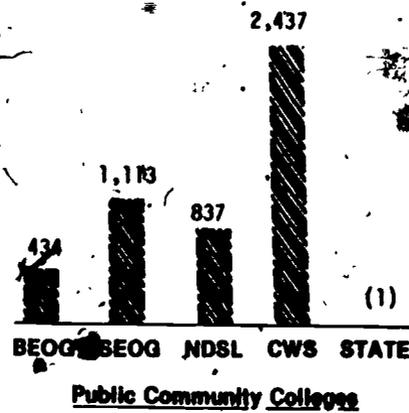
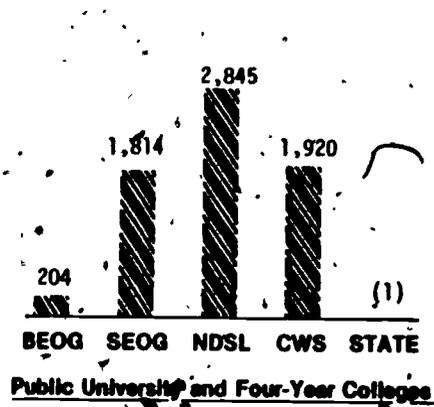
FLORIDA 12



**LEVELS OF FEDERAL AND STATE PROGRAM FUNDING
BY INSTITUTIONAL SECTOR**

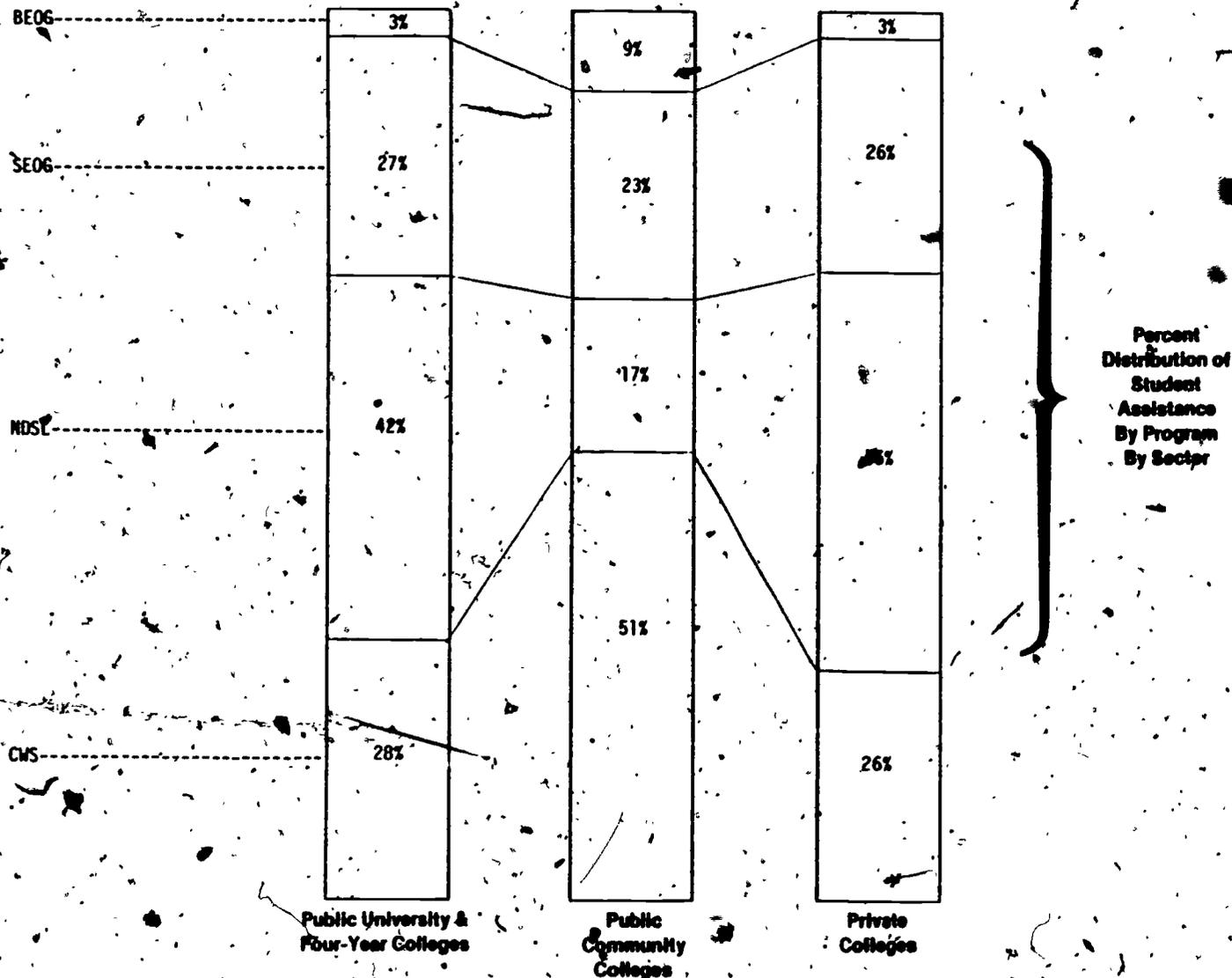
FLORIDA 13

(Figures in thousands)



(1) The data pertaining to state dollars awarded by institutional sector are unavailable.

RELATIVE IMPORTANCE OF FEDERAL AND STATE PROGRAM FUNDING BY SECTOR



The data pertaining to state dollars awarded by institutional sector are unavailable.

DESCRIPTIONS OF PROGRAM OFFICES AND AGENCIES AND CORRESPONDING PROGRAM RESPONSIBILITIES

GEORGIA A1

The State Scholarship Commission, the Higher Education Assistance Authority, and the Higher Education Assistance Corporation are distinct legal entities; however, they are in effect one centralized agency with a common board of directors and executive director. All state appropriations are made through the Commission. All personnel are employees of the Commission and it provides all personnel and funds to carry out operations of the Authority and Corporation.

The Higher Education Assistance Authority is a "public corporation" assigned to the Commission for administrative purposes only.

1. Incentive Scholarship Program
2. Independent College Tuition Equalization Grant Program
3. Law Enforcement Personnel Dependents Scholarship Program
4. State Direct Student Loan Program

Board of Regents and the State Medical Education Board

1. State Medical Education Board Scholarships

Board of Regents

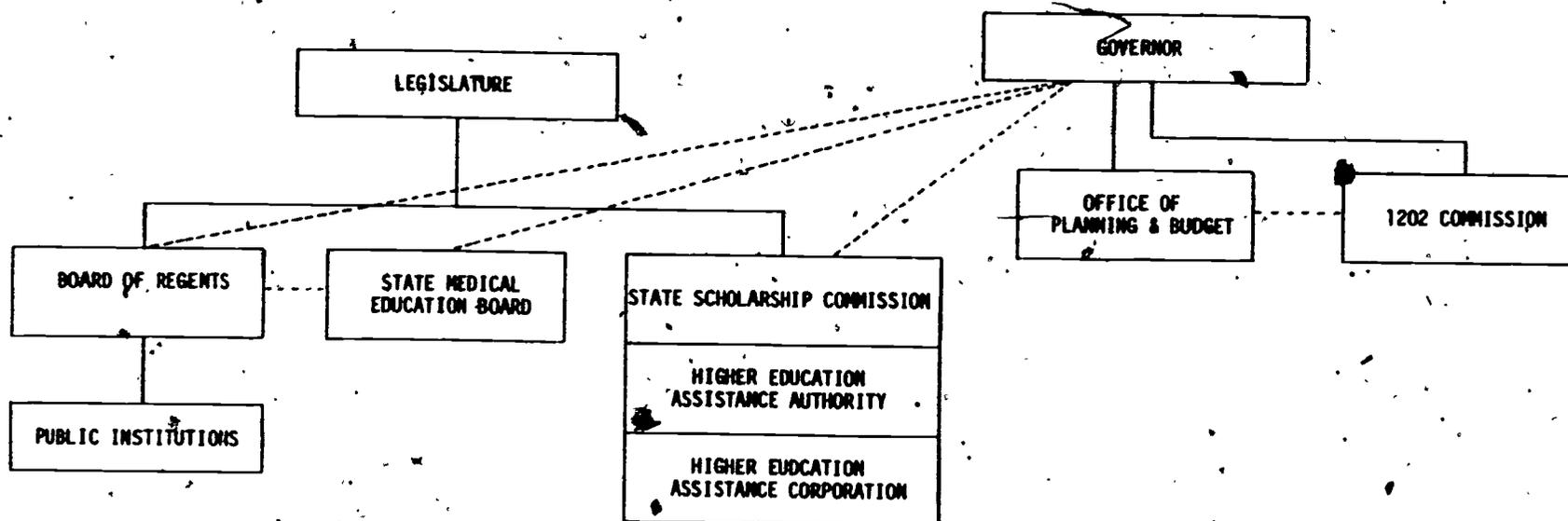
1. Regents Scholarship Program

Southern Regional Education Board

1. Academic Common Market (SREB)

RELATIONSHIPS OF STATE AGENCIES

GEORGIA A2



Governor: Appoints members of Regents, Medical Education Board, and the Directors of the Commission, Authority and Corporation. He can direct planning and coordination of agencies and programs as Governor; recommends funding and laws to legislature regarding programs, planning and coordination.

Legislature: Controls funding, and can control by law both planning and coordination.

Office of Planning and Budget: Advises Governor on planning budget and coordination and program reviews.

1202 Commission: Is a budget unit of the Office of Planning and Budget but directly advises Governor on planning and coordination and program reviews.

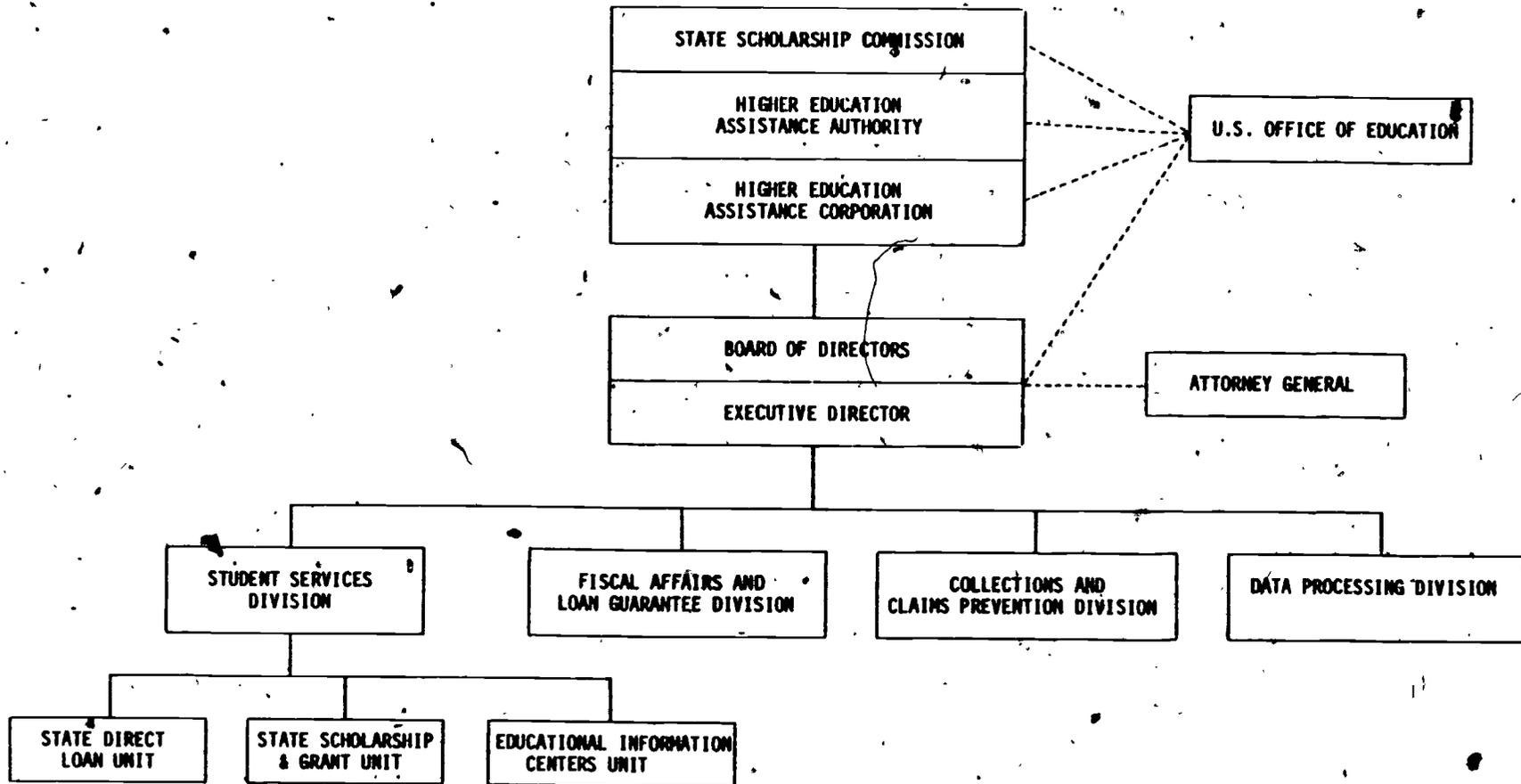
Board of Regents: Administers records on cancellable loans made to medical students selected by the Medical Education Board; Regents allocate Regent Scholarship (cancellable loan) funds to public two- and four-year graduate institutions for their use.

State Medical Education Board: Selects students for medical education cancellable loans. The Regent's office handles the administration of the records.

Higher Education Assistance Corporation: Administers (1) GIS (SSIG) Program, (2) GTG (Private College Grant Program), (3) LEPD (Law Enforcement Dependents, Etc. Program), (4) SDSL (State Direct Loan Program--Mostly Health Career Students), and (5) GSL (Guaranteed Student Loan Program)

STATE AGENCY ORGANIZATION

GEORGIA 81



The State Scholarship Commission is a "state agency" through which all state appropriations are made. All personnel are employees of the Commission and it provides all personnel and funds to carry out operations of the Authority and the Corporation. The Commission itself now administers only the Law Enforcement Personnel Dependents Program.

The Higher Education Assistance Authority is a "public corporation" assigned to the Commission for administrative purposes only--and administers the GIS (SSIG) program, the Private College Tuition Education Grant Program and the State Direct Guaranteed Loan Program.

The Higher Education Assistance Corporation is a "public corporation" assigned to the Commission for administrative purposes only--and administers the Guaranteed Student Loan Program. The Authority is an eligible lender under that program.

Although the Commission, the Authority, and the Corporation are distinct legal entities, they are in effect one centralized agency. The three agencies have a common board of directors, a common executive director, and the organization is set up and operates on a "functional basis"--without regard to the three entities or the multiple programs.

MANAGEMENT RELATIONSHIPS BETWEEN THE STATE AGENCY AND OTHER STATE AGENCIES/INSTITUTIONS

GEORGIA B2

| <u>WHO ACCOMPLISHES THE FOLLOWING?</u> | <u>STATE PROGRAM OFFICE</u> | <u>INSTITUTIONAL FINANCIAL AID OFFICER</u> | <u>OTHER OFFICES OR AGENCIES</u> |
|---|-------------------------------------|--|----------------------------------|
| Processing of Applications | | | |
| • Verification of Student Application Information | X | | |
| • Identification of Eligible Recipients | X | | |
| • Determination of Award Size | X | | |
| • Assignment of Priority to Awards | X | | |
| • Formally Approves Award | X | | |
| Makes Payment to Student (or Credits Student Account) | X | X | |
| Makes Post-Award Adjustments | X | | |
| Initiates the Prosecution of Possible Fraudulent Student applications | X | | |
| Publicizes Award Availability | X | X | |

STATE PROGRAM OFFICE DEFINITIONS FOR SELECTED TERMS

GEORGIA B3

DEFINITIONS USED BY STATE PROGRAM OFFICES

STATE DEFINITION SPECIFIED BY

STATE DEFINITION COMMONLY USED BY ALL INSTITUTIONS

STATE DEFINITION CONSISTENT ACROSS ALL STATE PROGRAMS

Half-Time Student - Means a student who is enrolled or who intends to enroll and carry at least one-half the normal academic workload of a full-time student.

Interpretation

PUBLIC

Yes

PRIVATE

Yes

Yes

State Resident - Means a citizen of the United States who is domiciled in the State (of Georgia).

Law

Yes

Yes

Yes

Independent Student - Means a self-supporting student who did not or will not reside with, receive \$600 or more in support, or be claimed as an income tax exemption by anyone other than himself (or spouse) during the current or immediately preceding calendar year.

Interpretation

Yes

Yes

Yes

Non-Profit Institution - Means an institution owned and operated by one or more persons, or non-profit corporation or association no part of the net earnings of which inures, or may hopefully inure, to the benefit of such person or any private shareholder or individual.

Interpretation

Yes

Yes

Yes

Satisfactory Academic Progress - Means satisfactory academic progress in a course of study, as determined by the institution at which the student is enrolled.

Interpretation

Yes

Yes

Yes

United States Citizenship - Definition not given.

Law

Yes

Yes

Yes

¹ Half-time students are not eligible under state grant programs.

² GSE program now requiring substantial advancement to be eligible for additional loans.

INFORMATION FLOW BETWEEN THE STATE PROGRAM OFFICE AND VARIOUS FEDERAL OFFICES

GEORGIA B4

| | <u>TYPE OF INFORMATION PROVIDED BY STATE STUDENT-AID AGENCY</u> | <u>TYPE OF INFORMATION RECEIVED BY STATE STUDENT-AID AGENCY</u> | <u>PERCEPTION OF ADEQUACY OF INFORMATION FLOW</u> | |
|--|---|---|---|-------------------------|
| <u>FEDERAL OFFICES</u> | | | | |
| SSIG Office | Summaries Regulations | Summaries Regulations | Adequate | |
| BEOG Office | | Summaries Recipients Applications Regulations | Adequate ¹ | |
| Regional OE Student-Assistance Office (Campus-Based Programs) | | | Inadequate | |
| Washington OE Office (Campus-Based Programs) | | | Inadequate | |
| FISL/GSL Office | Summaries Litigation Regulations | Summaries Regulations | Adequate | |
| <u>STATE/INSTITUTIONAL OFFICES</u> | | | | |
| Public Institutions | Summaries Recipients Applications | Regulations Terminations | Litigation (occasional) Terminations | Inadequate ² |
| Private Institutions | Summaries Recipients Applications | Regulations Terminations | Litigation (occasional) Terminations | Inadequate ² |
| Governor/Budget Office | Summaries Regulations | | | Adequate |
| State Legislature (Including Committee Staffs) | Summaries Regulations | Regulations | | Adequate |

566



¹ A change in direction of flow as regards application processing or data entry would perhaps be more effective.
² A combination of listings and individual student notices regarding state awards are involved, but needs to be improved upon.

MANAGEMENT STAFFING OF STATE PROGRAM OFFICES

GEORGIA B5

| <u>MANAGEMENT FUNCTION</u> | <u>PERCENTAGE OF STAFF EFFORT</u> | <u>STAFF ASSISTANCE FROM OTHER AGENCIES</u> <u>COMMENTS</u> |
|--|-----------------------------------|--|
| APPLICATIONS PROCESSING (Includes verification of student information, need analysis, award determination, etc.) | 29 | |
| RESPONDING TO REQUESTS FOR INFORMATION (Includes federal requests for information, parent/student inquiries, testimony in hearings, etc.) | 2 | Purely in-house response to telephone and mail requests for information. See "Public Relations and Information Dissemination" below. |
| PROGRAM REVIEW (Includes on-site visits to institutions, formal/informal audits, etc.) | 5 | These persons also do P.R. and Information Dissemination work in connection with reviews and audits. |
| PUBLIC RELATIONS AND INFORMATION DISSEMINATION (Includes parent/student workshops, work on promotional literature, etc.) | 16 | This overlaps with "Responding to Requests for Information" above. It is hard to separate these two items. |
| GENERAL ADMINISTRATION (Includes executive personnel, staff training and development, regulations and guidelines, preparation of summary statistics, etc.) | 30 | Includes Collections Division personnel. |
| OTHER MANAGEMENT ACTIVITIES (Includes any special staff activities which do not clearly fall in one of the above categories) | 18 | Includes Central Accounting and EDP Systems personnel. |

**STATE AGENCY CALENDAR OF EVENTS FOR NEED-BASED
PROGRAMS FOR 1976-77 SCHOOL YEAR**

GEORGIA B6

MONTH/YEAR

EVENTS

SEPTEMBER 1975 Agency budget presented to governors office or budget bureau

DECEMBER 1975 Agency completes planning workshops for students or counselors

JANUARY 1976 Agency budget presented to legislature

FEBRUARY 1976 BEOG applications information received from federal office

APRIL 1976 1) Legislature appropriates student assistance funds
2) Agency makes student-aid applications available

2) This program has been phased in on an academic level basis during the past three years, thus delaying distribution of applications until after the legislature determined the level of funding and the academic level through which funding would be provided. Beginning with AY 1977-78, the program will cover all undergraduate level students for the first time. Having reached this point, the agency plans to advance its calendar for AY 1978-79 and have applications ready for distribution in January 1978.

Subsequent awards are made if funds become available due to dropouts, etc. Recalculations are made where necessary.

MAY-SEPTEMBER 1976 Agency (or institutions) announces award winners

AUGUST 1976 Deadline for student-aid applications

ONGOING Award changes and adjustments are made as necessary throughout the year

PROGRAM AND FISCAL CONTROLS OF PROGRAMS OPERATED BY STATE AGENCY

GEORGIA 87

| PROGRAM CONTROLS | CONTROL USED SAMPLE OR TOTAL POPULATION STUDIED | AGENCY/OFFICE UNDERTAKING CONTROLS | SPECIAL ACTIONS COMMENTS |
|---|---|---------------------------------------|--|
| A. Control on Student Application Data Accuracy | | | |
| 1. Match Against Tax Forms? | Yes, Sample ¹ | State | Anticipate doing this in FY 79. Initiate in FY 78. |
| 2. Match Against BEOG Applications? | | | |
| 3. Match Against Institutional Student Records? | | | |
| 4. Warnings of Prosecution? | Yes | State | |
| 5. Prosecution? | Yes | State | |
| B. Controls on Attendance and/or Progress | | | |
| 1. Check on Enrollment/Initial Attendance? | Yes, All | Institution, State | Checks returned if not enrolled. Deny if academic progress is not satisfactory. |
| 2. Check on Mid-Term Attendance? | Yes, All ² | Institution, State | |
| 3. Check on Course Completion? | | | |
| 4. Check on Academic Progress Before Award? | Yes, All | Institution, State | |
| 5. Partial Awards? | | | |
| C. Controls on Award Size | | | |
| 1. Check to Make Sure Other Awards Actually Made? | | | Award recalculated if error is reported or found, different BEOG award, etc. |
| 2. Match Against BEOG, Campus-Based Award Files? | | | |
| 3. Re-Check to Ensure Award Adjustments Actually Made? | | | |
| 4. Second Review of Aid Packages? | Yes, Individual | Institution, State | |
| D. Controls on Institutional Student-Aid Operations | | | |
| 1. Independent Program Audits? | Yes, All | State | Note 2 Corrected as may be necessary. |
| 2. Aid Officer Bonding? | | | |
| 3. Aid Officer Certification? | Yes, All | State | |
| 4. Double Signatures on All Fund Transfers? | | | |
| E. Controls on Central Administrative Staff Operations | | | |
| 1. Independent Program Audits? | Yes, All | State | Performed by state auditor from both a financial and management point of view. Action as may be appropriate. |
| 2. Bonding of Staff Members? | Yes, All | State | |
| 3. Double Signatures on All Fund Transactions? | | | |

¹ 5% or more.

² To get underway in FY 78 by agency personnel.

PROGRAM INFORMATION DISSEMINATION PROCEDURES USED FOR STATE NEED BASED PROGRAMS

GEORGIA. CI

TYPE OF INFORMATION PROVIDED

| <u>INFORMATION PROVIDED THROUGH</u> | <u>APPLICATION FORMS</u> | <u>ELIGIBILITY CONDITIONS</u> | <u>FILING/CLOSING DATES FOR STATE PROGRAMS</u> | <u>INFORMATION ON THE COST OF ATTENDANCE AT VARIOUS TYPES OF INSTITUTIONS</u> | <u>INFORMATION ABOUT APPLYING FOR AID FROM OTHER SOURCES</u> | <u>INFORMATION ON PENALTIES FOR PROVIDING FRAUDULENT DATA</u> |
|--|--------------------------|-------------------------------|--|---|--|---|
| 1. High School Counselors | X | X | X | Note 1 | X | X |
| 2. College Financial-Aid Officers | X | X | X | | X | X |
| 3. Direct Mailings to Graduating High School Students or Parents | | | | | | |
| 4. High School Meetings or Workshops | X | X | X | X | X | X |
| 5. College Admissions Office | X | X | X | | X | X |
| 6. Newspaper Ads | | | | | | |
| 7. Radio, Television Ads | X | X | X | | | |
| 8. Social Service Agencies ² | X | X | X | | X | X |
| 9. Other (Please Specify) Regional Staff Personnel ³ | X | X | X | X | X | X |

573¹ Intend to do this in the future.

² Assisted or served by regional staff personnel.

³ Regional staff personnel serve students and parents, high school counselors, and public at large on an individual and group basis throughout the state.

DESCRIPTIONS OF STATE-SPONSORED STUDENT ASSISTANCE PROGRAMS

GEORGIA

D1

Georgia Incentive Scholarship Program (SSIG Program) - A need-based grant program to increase accessibility of resident students to in-state postsecondary educational institutions by providing financial assistance.

Independent College Tuition Equalization Grant Program - A grant program to increase financial access and provide a degree of choice to resident students desiring to attend an in-state private college by providing non-need based equalization grants to each student. This program thereby lessens the cost to the state of having such increasing numbers of students attending public institutions of higher education.

Law Enforcement Personnel Dependents Scholarship Program - A grant program to assure financial access to postsecondary education to children of law enforcement officers, firemen and prison guards permanently disabled or killed in the line of duty, in recognition of their services of such parent to the public. Recipients must be state residents and may attend in-state or out-of-state public or private institutions or hospital schools of nursing.

State Direct Student Loan Program - A conditional loan program to meet (1) the public demand and need for skilled manpower in certain critical paramedical, professional and educational fields of study by providing financial aid to those resident students with need and who express a willingness to practice their profession in an underserved area of the state; and (2) to secondarily provide loan assistance to some general studies resident students unable to obtain a loan from a commercial lender. Loans made to students in critical occupational fields may be cancelled if the student practices his profession in an underserved area. Each year of such practice cancels the amount of loan received for an academic year of study. Otherwise, such loans are repayable in cash with interest at 7% per annum.

Georgia State Medical Education Board Scholarships - A conditional loan program to provide health care delivery to underserved rural areas of the state by providing assistance to needy resident students enrolled in medical colleges and who express willingness to practice their profession in a community having a population under 15,000, or in a state institution under the control of the State Department of Human Resources Policy. Each year of approved practice by a recipient cancels one-fifth of the total amount of loan assistance received. Otherwise, such loans are repayable in cash with interest at 8% per annum.

Academic Common Market (SREB) - A tuition-reciprocity program to share among the states in the area served by the Southern Regional Education Board (SREB), certain post-baccalaureate academic programs at the least possible cost to each of the participating states and at the least possible cost to their residents pursuing those programs outside of their own states. Students are enrolled on an in-state tuition basis.

Regents Scholarship Program - A conditional loan program to aid local retention of academically gifted students by providing assistance to such students with superior academic ability who have need and express willingness to practice their profession within the state upon graduation. Recipients must attend in-state public institutions. Each year the student practices his/her profession within the state serves to cancel \$1000 of the total amount of loan assistance received. Otherwise, such loans are repayable in cash with interest at 3% per annum.

575

576

BASIC STATE PROGRAM INFORMATION

GEORGIA D2

| <u>Programs</u> | <u>Year</u> | <u>Number of Awards</u> | <u>Average Full-Time Award Size</u> | <u>Total Dollars Awarded</u> | <u>Eligible Population</u> |
|--|-------------|---------------------------------|---|--------------------------------------|---|
| <u>Need-Based</u> | | | | | |
| <u>Grants</u> | | | | | |
| Georgia Incentive Scholarship Program (SSIG Program) | 1974-75 | 2,692 | \$ 244 | \$ 656,697 | Resident Undergraduates |
| | 1975-76 | 4,243 | \$ 275 | \$ 1,168,042 | |
| <u>Loans</u> | | | | | |
| State Direct Student Loan Program | 1970-71 | 222 | \$ 900 | \$ 200,000 | Paramedical, Professional and Educational Students |
| | 1975-76 | 1,817 | \$ 1,186 | \$ 2,155,500 | |
| Georgia State Medical Education Board Scholarships | 1970-71 | 88 | \$ 2,500 | \$ 219,500 | Resident Medical Students |
| | 1975-76 | 138 | \$ 2,500 | \$ 345,000 | |
| Regents Scholarship Program | 1970-71 | 470 | \$ 425 | \$ 200,000 | Resident Students |
| | 1975-76 | 470 | \$ 425 | \$ 200,000 | |
| <u>Non Need-Based</u> | | | | | |
| Independent College Tuition Equalization Grant Program | 1972-73 | 6,215 | \$ 400 | \$ 2,486,094 | Resident Undergraduates |
| | 1975-76 | 13,971 | \$ 430 | \$ 6,007,342 | |
| Law Enforcement Personnel Dependents Scholarship Program | 1971-72 | 3 | \$ 2,000 | \$ 6,000 | Dependents of Law/Fire Officers and Prison Guards |
| | 1975-76 | 6 | \$ 2,000 | \$ 12,000 | |
| Academic Common Market (SREB) | 1975-76 | 26 | Not Applicable | Not Applicable | Resident Graduate Students in Selected Fields of Study |

STUDENT ELIGIBILITY CRITERIA FOR STATE PROGRAMS

GEORGIA E1

| <u>Programs</u> | <u>Acceptable Residency Status</u> | <u>Acceptable Attend. Status</u> | <u>Acceptable Student Levels</u> | <u>Special Priority to Cont'g. Awards</u> | <u>Income Limits</u> | <u>Need Limits</u> |
|--|--|----------------------------------|----------------------------------|---|----------------------|--------------------|
| <u>Need-Based</u> | | | | | | |
| <u>Grants</u> | | | | | | |
| Georgia Incentive Scholarship Program (SSIG Program) | Residents attending In-State | Full-Time | All Under-graduates | Yes | Not Given | \$250 |
| <u>Loans</u> | | | | | | |
| State Direct Student Loan Program | Residents attending In/Out of State | Half-Time Full-Time | All | Yes | Not Given | Not Given |
| Georgia State Medical Education Board Scholarships | Residents attending In/Out of State | Full-Time | Graduates | Yes | Not Given | \$2,500 |
| Regents Scholarship Program | Residents attending In-State | Full-Time | All | Yes | Not Given | Not Given |
| <u>Non Need-Based</u> | | | | | | |
| Independent College Tuition Equalization Grant Program | Residents attending In-State | Full-Time | All Under-graduates | No | Not Applicable | Not Applicable |
| Law Enforcement Personnel Dependents Scholarship Program | Residents attending In/Out of State | Full-Time | All | No | Not Applicable | Not Applicable |
| Academic Common Market (SREB) | Residents attending Out-of-State Schools | Half-Time Full-Time | Graduates | Not Applicable | Not Applicable | Not Applicable |

579

580

INSTITUTIONAL ELIGIBILITY CRITERIA FOR STATE STUDENT-ASSISTANCE PROGRAMS

GEORGIA E2

| <u>Programs</u> | <u>University and 4-Year Colleges</u> | <u>Community/ Junior Colleges</u> | <u>Voc./Tech. Schools</u> | <u>Proprietary</u> | <u>Special Types of Institutions</u> |
|--|---|---------------------------------------|-------------------------------|--------------------|--|
| <u>Need-Based</u> | | | | | |
| <u>Grants</u> | | | | | |
| Georgia Incentive Scholarship Program (SSIG Program) | Public Private | Public Private | Public | No | Hospital Schools of Nursing |
| <u>Loans</u> | | | | | |
| State Direct Student Loan Program | Public Private | Public Private | Public | Yes | Hospital Schools of Nursing |
| Georgia State Medical Education Board Scholarships | --- | --- | --- | --- | Medical Schools |
| Regents Scholarship Program | Public | Public | | | Public Graduate Schools |
| <u>Non Need-Based</u> | | | | | |
| Independent College Tuition Equalization Grant Program | Private | Private | No | No | No |
| Law Enforcement Personnel Dependents Scholarship Program | Public Private | Public Private | Public | No | Hospital Schools of Nursing |
| Academic Common Market (SREB) | --- | --- | --- | --- | Graduate Schools |

NEED DETERMINATION CRITERIA FOR STATE PROGRAMS

GEORGIA E3

| <u>Programs</u> | <u>Program Definition of Need</u> | <u>Is BEOG Application Required?</u> | <u>Expected Family Contribution (EFC) Method</u> | <u>Student Budget Includes What Items?</u> |
|--|--|--------------------------------------|--|--|
| Need-Based | | | | |
| <u>Grants</u> | | | | |
| Georgia Incentive Scholarship Program (SSIG Program) | Need = Student Budget - (EFC + BEOG + Non-institutional Grant Aid + A Required Self-Help Amount) | No | BEOG (CSS Methodology) | General Student Costs |
| <u>Loans</u> | | | | |
| State Direct Student Loan Program | Need = Student Budget - (BEOG + Other State Grant Aid + Any Other Institutional or Non-institutional Grant Aid Reported) | No | BEOG (CSS Methodology) | General Student Costs |
| Georgia State Medical Education Board Scholarships | Need = Student Budget - (EFC + Any Other Grant Aid Received) | No | BEOG (CSS Methodology) | General Student Costs |
| Regents Scholarship Program | Need = Student Budget - (BEOG + Other State Institutional or Non-institutional Grant Aid + EFC) | No | BEOG (CSS Methodology) | General Student Costs |

AWARD SIZE DETERMINATION CRITERIA FOR STATE PROGRAMS

GEORGIA E4

| <u>Programs</u> | <u>Maximum Award Size?</u> | <u>What Award Size Determination Method is Used?</u> | <u>How is Award Priority Determined?</u> | <u>Who Ultimately Determines Award Amount?</u> |
|---|--|---|--|--|
| <u>Need-Based</u> | | | | |
| <u>Grants</u> | | | | |
| Georgia Incentive Scholarship Program (SIG Program) | 450 | 30% of Need | Due to present (1) insufficiency of funds to meet the needs of all eligible students, and (2) the relatively low amount of the maximum award, students are urged to apply and file all required data early, and awards are made on a "first completed/first awarded" basis with priority to continuation awards. | State |
| <u>Loans</u> | | | | |
| State Direct Student Loan Program | \$3,000 | Amount of need, but not to exceed one-half of the student's cost of education budget. | Funds are allocated to various fields of study, primarily nursing, and awards are made within the field quotas according to (1) need, and (2) expressed willingness by student to practice profession in an underserved area in the state. | State |
| Georgia State Medical Education Board Scholarships | \$2,500 | Need, but not to exceed \$2,500/AY. | Need plus expressed willingness to practice in a community having a population under 15,000 or in a state medical institution under the Department of Human Resources. | Not Given |
| Regents Scholarship Program | \$500- Junior College \$750- Senior College \$1,000- Graduate | Need, not to exceed appropriate maximum amount. | Predicted GPA to be in upper 25% of class, and maintenance of rank in upper 25% of class. | Institution |

AWARD SIZE DETERMINATION CRITERIA FOR STATE PROGRAMS

GEORGIA 54

| <u>Programs</u> | <u>Maximum Award Size?</u> | <u>What Award Size Determination Method is Used?</u> | <u>How is Award Priority Determined?</u> | <u>Who Ultimately Determines Award Amount?</u> |
|--|----------------------------|---|--|--|
| <u>Non Need-Based</u> | | | | |
| Independent College Tuition Equalization Grant Program | \$ 400 ¹ | As entitlement program, the state legislature determines the amount each year. Current statutory law limits the maximum (that may be appropriated) to \$600 per AY. | Not Applicable | State |
| Law Enforcement Personnel Dependents Scholarship Program | \$2,000 | The \$2,000 is fixed by statutory law. | Not Applicable | State |
| Academic Common Market (SREB) | Not Applicable | Not Applicable | Not Applicable | Institution ² |

¹ \$500 per AY beginning 1977-78 AY

² Acceptance by host institution; if resident of and recommended by exchange (sending) state, entitles recipient to resident institution rate.

NUMBER OF NEED-BASED GRANT PROGRAM APPLICANTS

GEORGIA F1

DATA NOT AVAILABLE

| | \$0-5,999 | \$6,000-8,999 | \$9,000-11,999 | \$12,000-14,999 | \$15,000-19,999 | \$20,000 & Over |
|--------------|-----------|---------------|----------------|-----------------|-----------------|-----------------|
| INDEPENDENTS | | | | | | |
| DEPENDENTS | | | | | | |

Income Level

DATA NOT AVAILABLE

DATA NOT AVAILABLE

| WHITE | BLACK | HISPANIC | AMERICAN INDIAN | ASIAN | OTHER |
|-------|-------|----------|-----------------|-------|-------|
| | | | | | |

Ethnic Status

MEN WOMEN

Sex

NUMBER OF NEED-BASED GRANT PROGRAM RECIPIENTS AND AVERAGE AWARD SIZE

F2
G E O R G I A .

THESE FIGURES FOR THE 1975-76 SCHOOL YEAR PERTAIN TO:

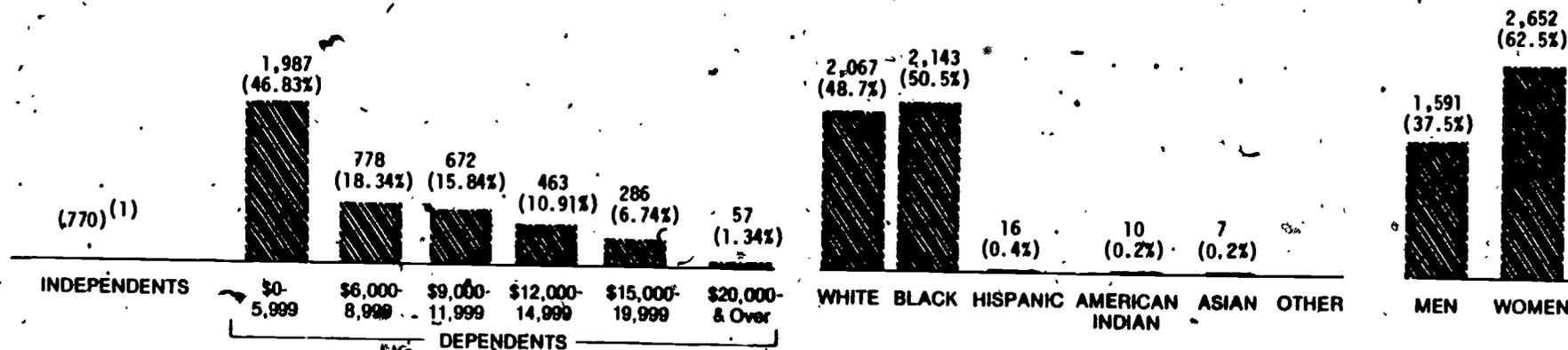
• GEORGIA INCENTIVE SCHOLARSHIP PROGRAM (SSIG)

NUMBER OF RECIPIENTS

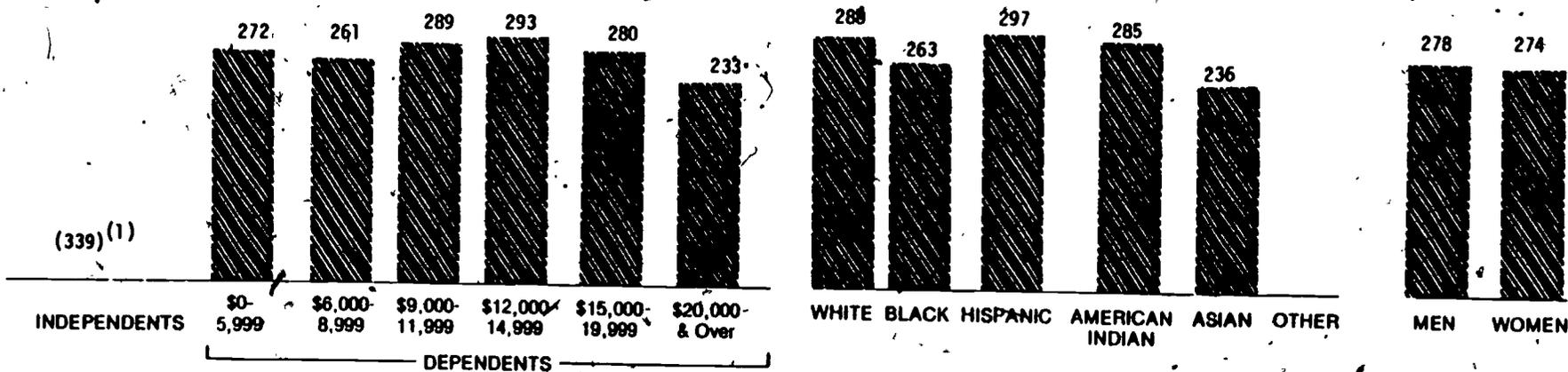
Income Level

Ethnic Status

Sex



AVERAGE AWARD SIZE



(1) The "Independent" figure is included and calculated in the "Dependent" figure. This figure is merely for information.

SOURCES AND DISTRIBUTION OF NEED-BASED GRANT PROGRAM FUNDS

GEORGIA G1

THESE FIGURES FOR THE 1975-76 SCHOOL YEAR PERTAIN TO:

- GEORGIA INCENTIVE SCHOLARSHIP PROGRAM

STATE APPROPRIATIONS

\$750,248 64.2%

PRIVATE GIFTS

STATE PROGRAM

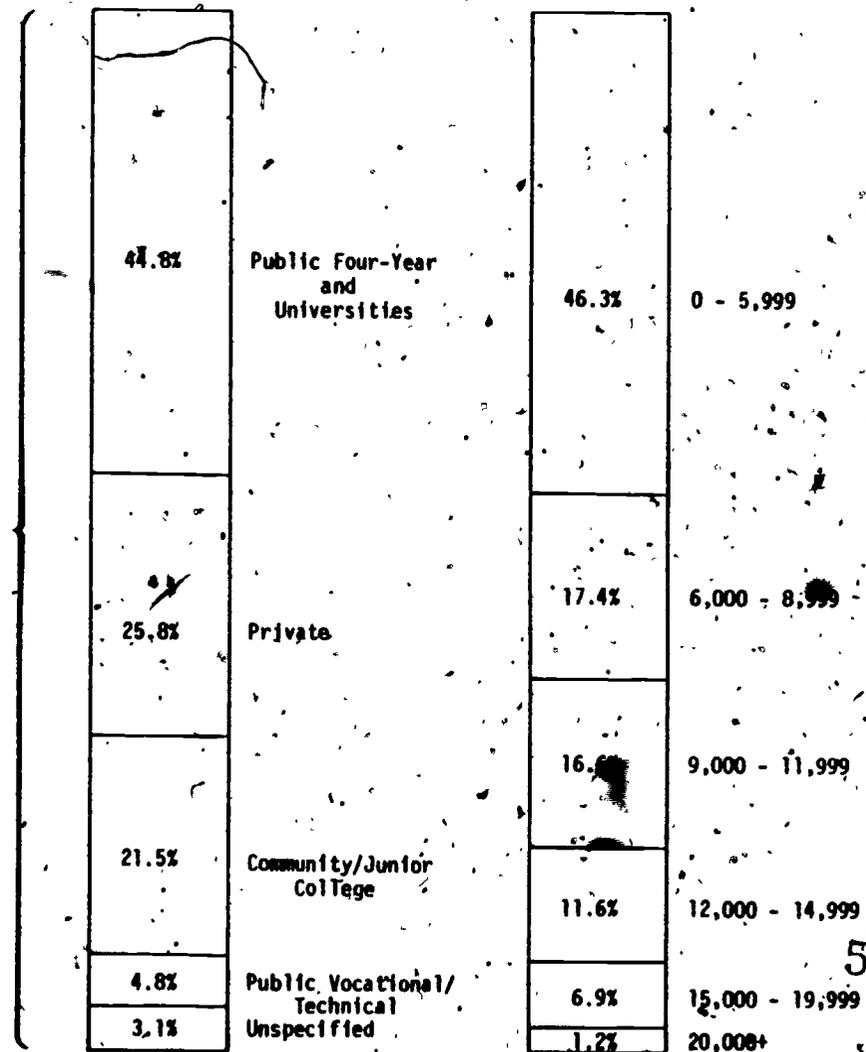
\$417,794 35.8%

FEDERAL SSIG PROGRAM

The distribution of awards by student income categories includes awards made to both dependent and independent students. The data available did not distinguish between dependent and independent students.

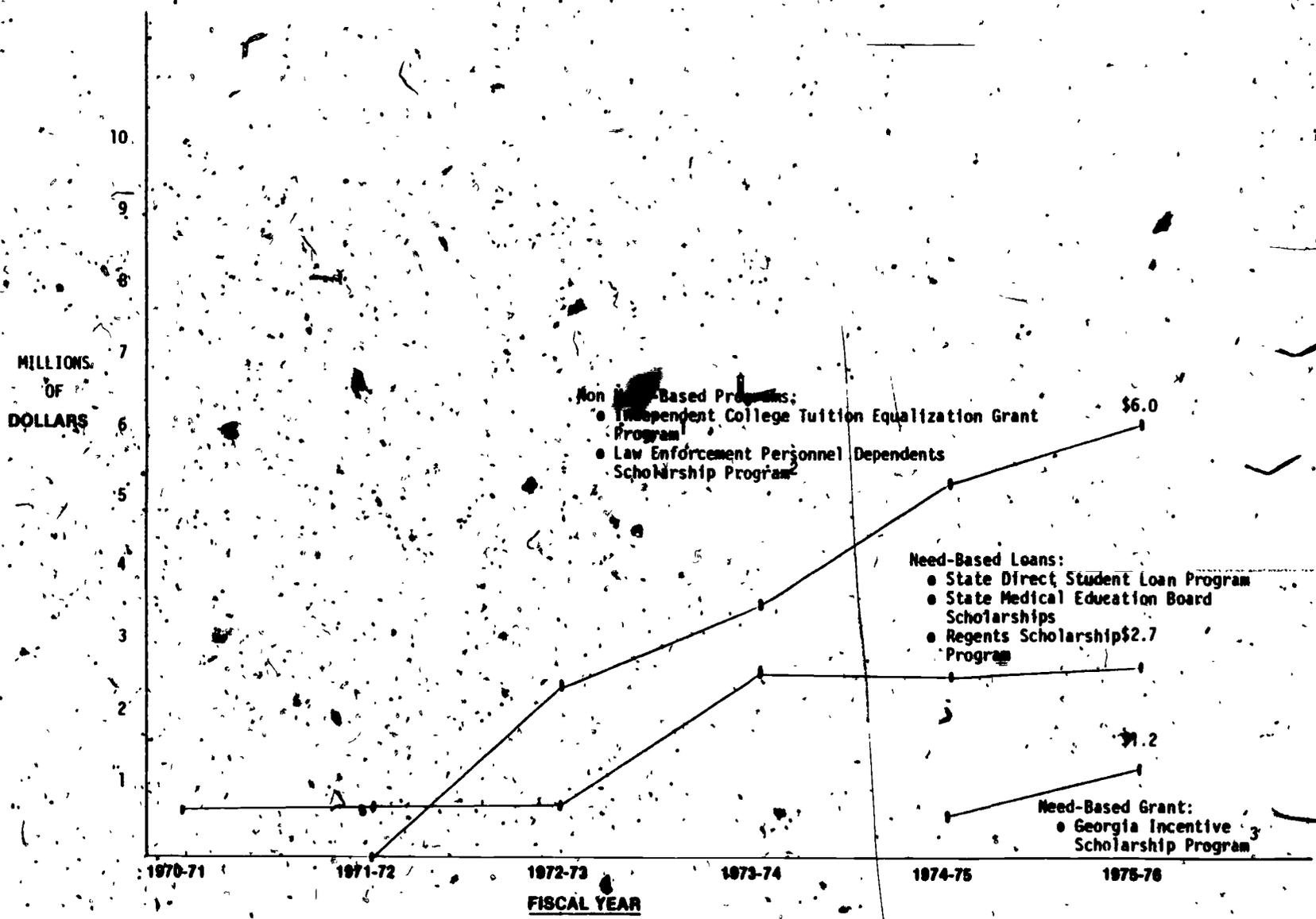
INSTITUTIONAL SECTORS

STUDENT INCOME CATEGORIES



HISTORIC STATE EXPENDITURES ON STUDENT-ASSISTANCE PROGRAMS

GEORGIA G2



¹ 1972-73 first year of program.

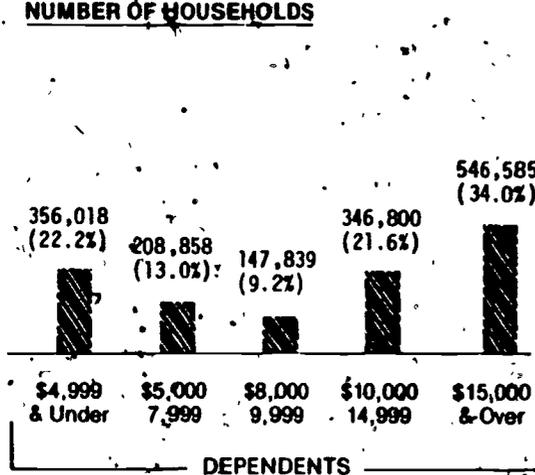
² 1971-72 first year of program.

³ 1974-75 first year of program.

STATE POPULATION PROFILE

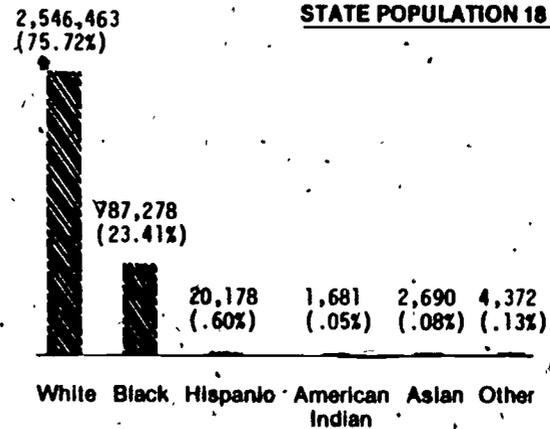
GEORGIA H1

NUMBER OF HOUSEHOLDS



Effective Buying Income

STATE POPULATION 18 AND OVER

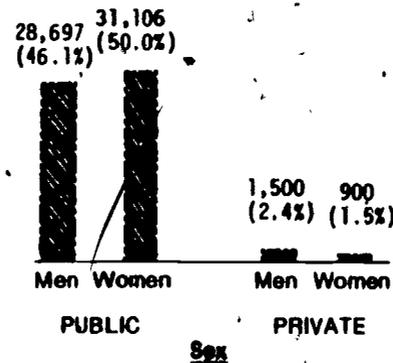


Ethnic Status



Sex

STATE HIGH SCHOOL GRADUATES

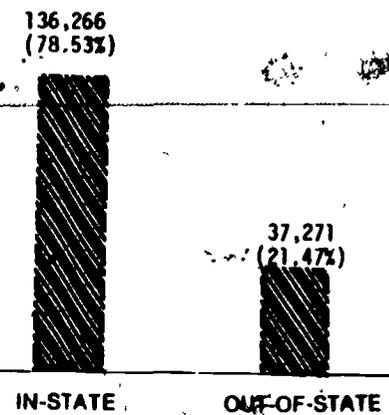
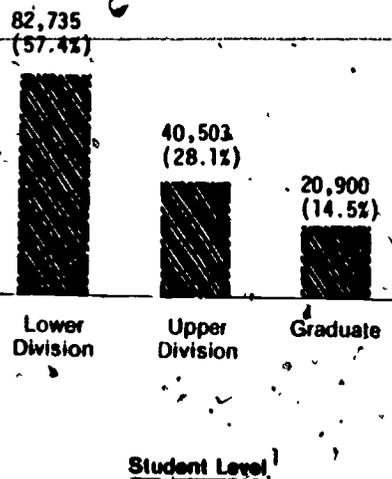
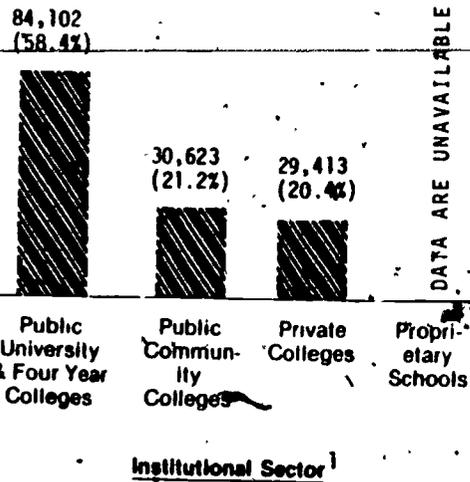
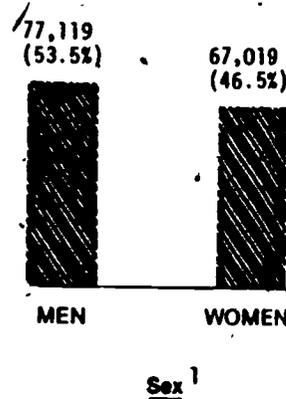
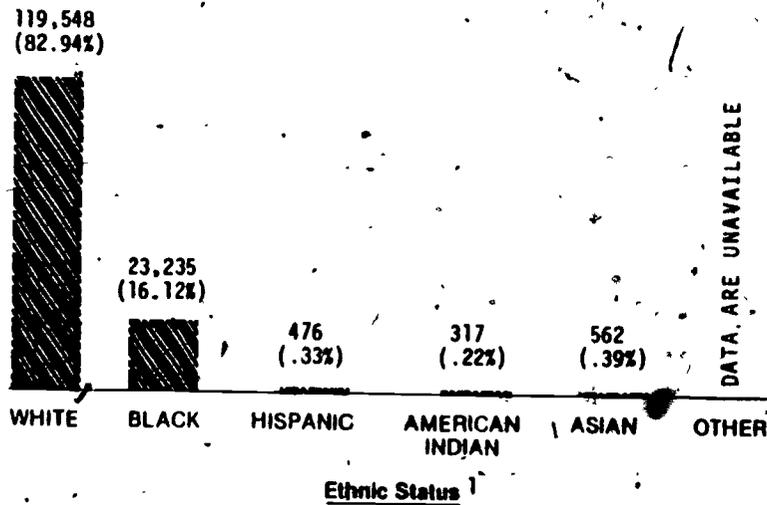


The high school graduation figures do not include 8,900 persons granted a high school equivalency certificate.

(c) Sales and Marketing Management 1977 Survey of Buying Power Data Service

STATE POSTSECONDARY EDUCATION STUDENT ENROLLMENTS

GEORGIA '82

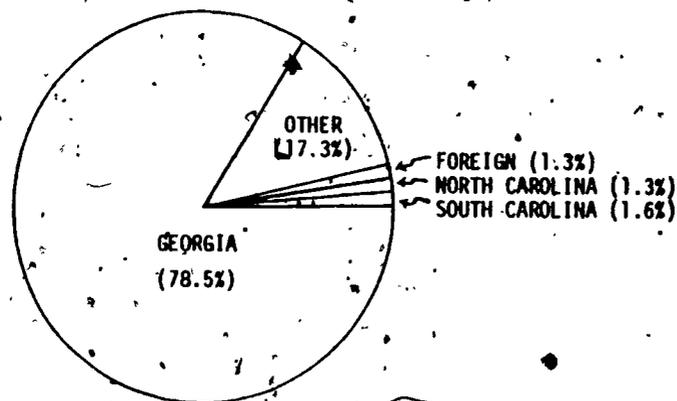


¹Based on FTE
²Based on Head Count

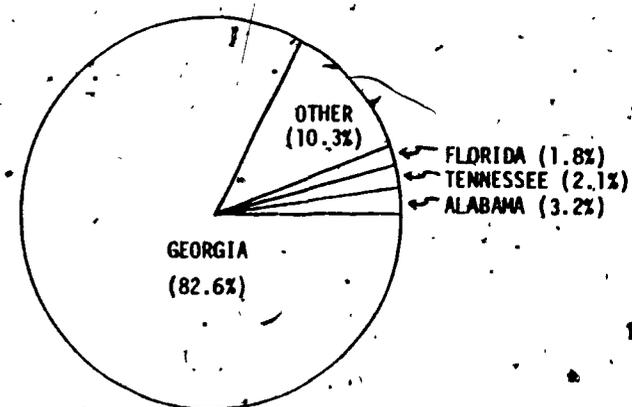
Residency²

INTERSTATE MIGRATION OF STUDENTS

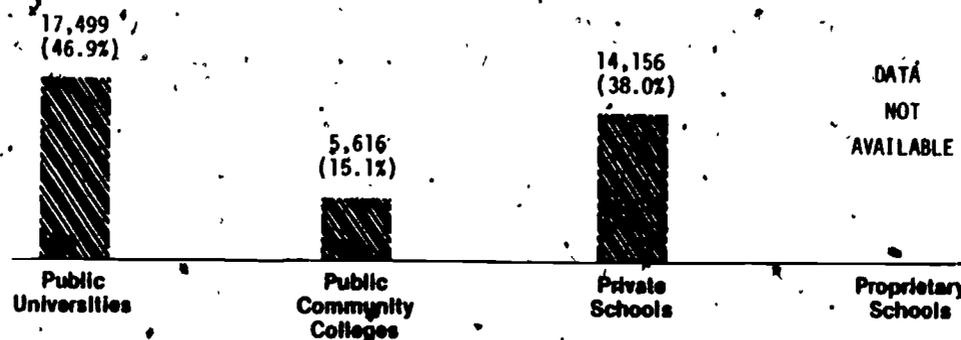
GEORGIA H3



STUDENTS ATTENDING IN-STATE INSTITUTIONS



RESIDENT STUDENTS ATTENDING IN-STATE AND OUT-OF-STATE INSTITUTIONS

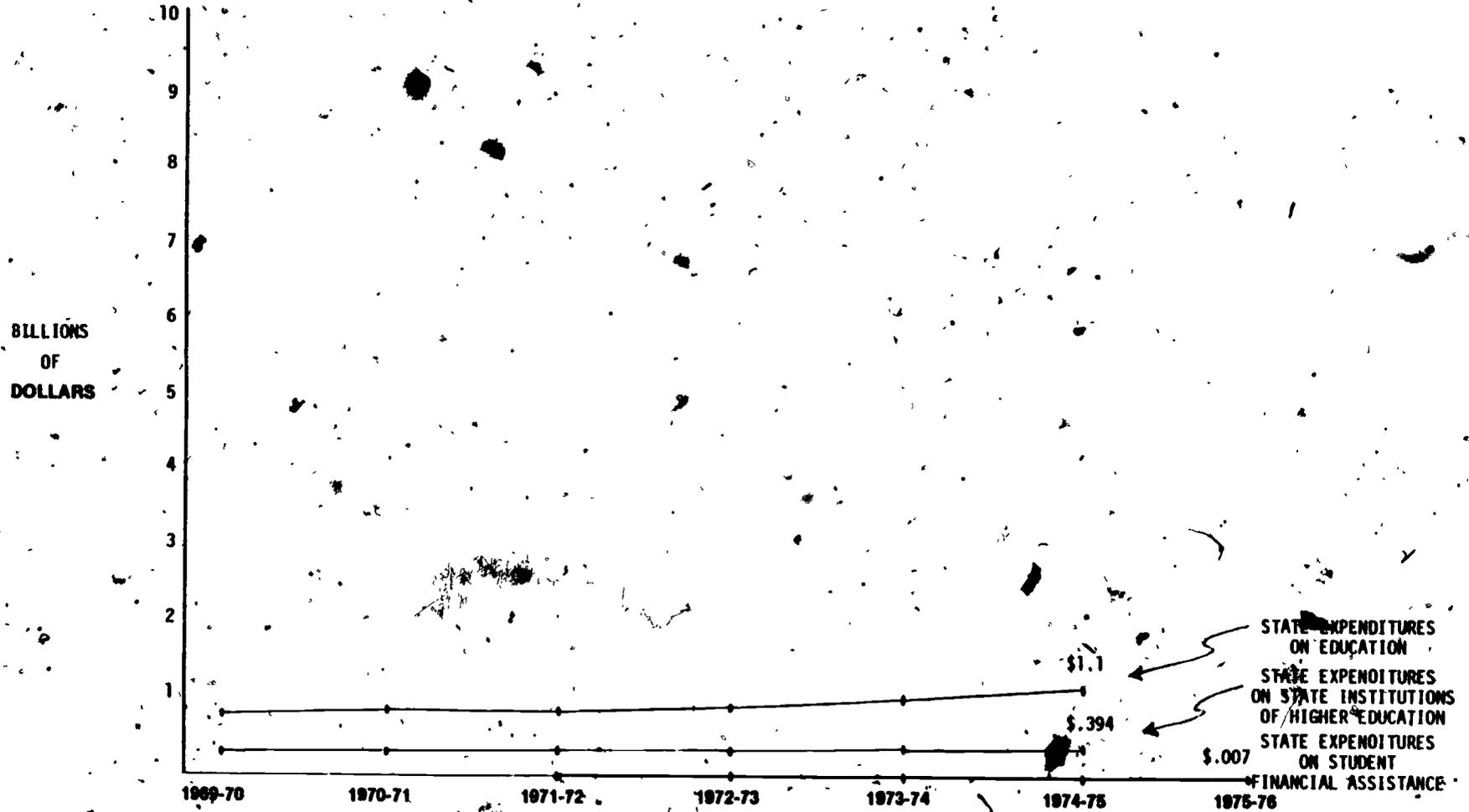


INSTITUTIONAL SECTOR*

(Out-of-State Students in School In-State)

STATE EXPENDITURE ON POSTSECONDARY EDUCATION STUDENT ASSISTANCE

GEORGIA 11



THESE DATA PERTAIN TO:
 • GEORGIA INCENTIVE SCHOLARSHIP PROGRAM
 • INDEPENDENT COLLEGE TUITION EQUALIZATION GRANT PROGRAM

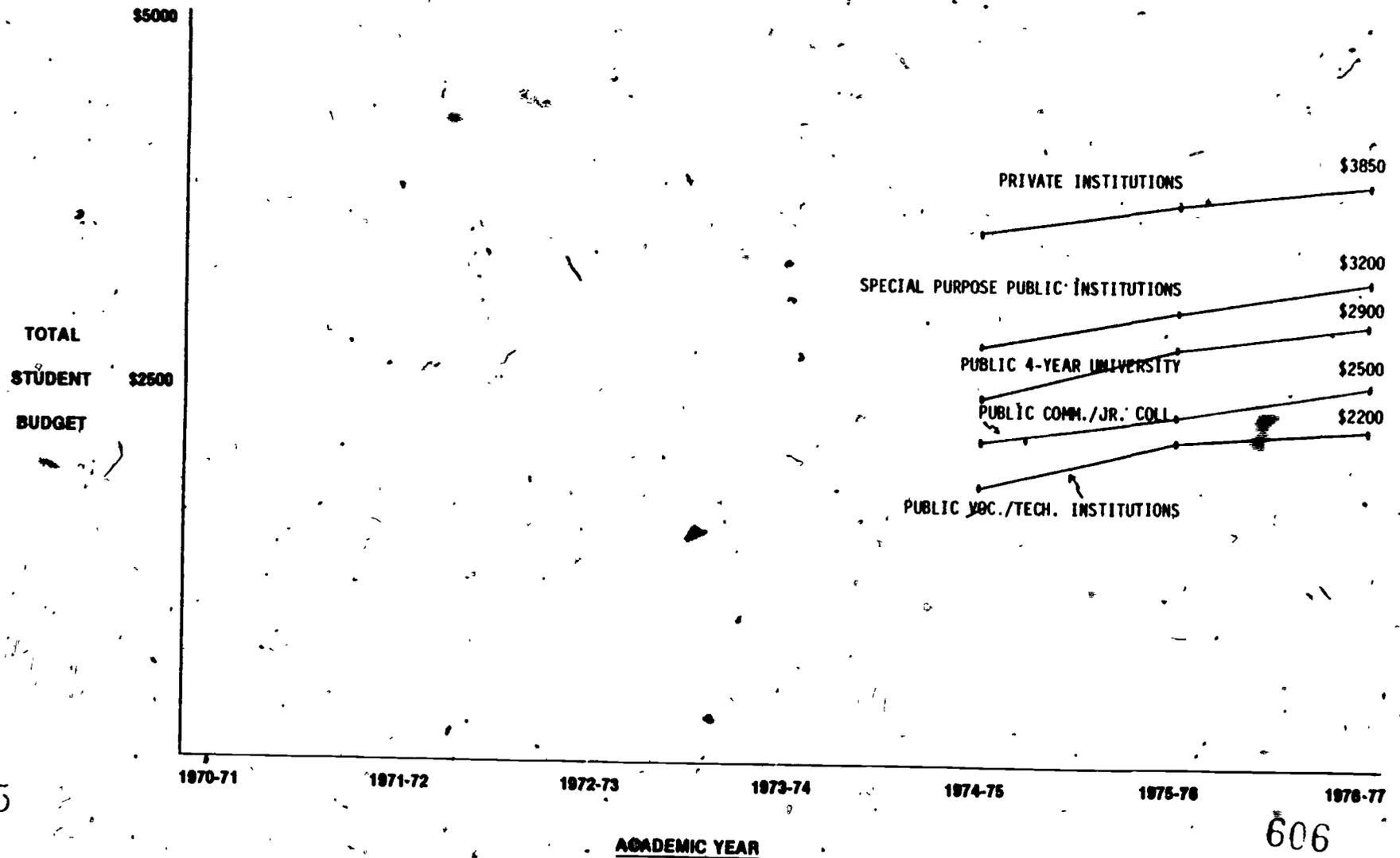
FISCAL YEAR

• LAW ENFORCEMENT PERSONNEL DEPENDENTS SCHOLARSHIP PROGRAM



GROSS COSTS TO STUDENTS ATTENDING SCHOOL BY POSTSECONDARY EDUCATION SECTORS

GEORGIA 12



605

606

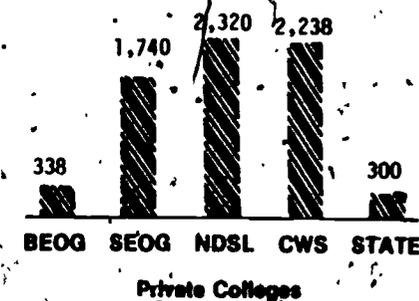
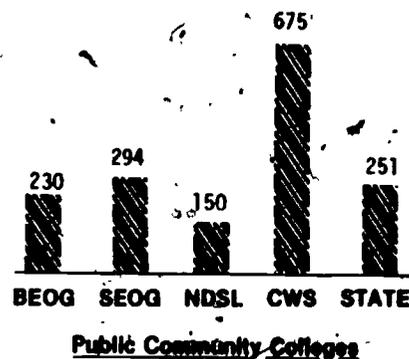
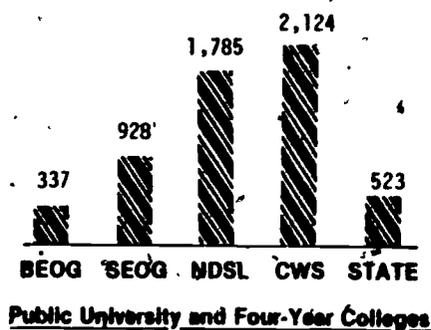
LEVELS OF FEDERAL AND STATE PROGRAM FUNDING BY INSTITUTIONAL SECTOR

GEORGIA 13

(Figures in thousands)

THE FIGURES SHOWN FOR STATE DOLLARS AWARDED ARE FOR THE 1975-76 SCHOOL YEAR AND PERTAIN TO:

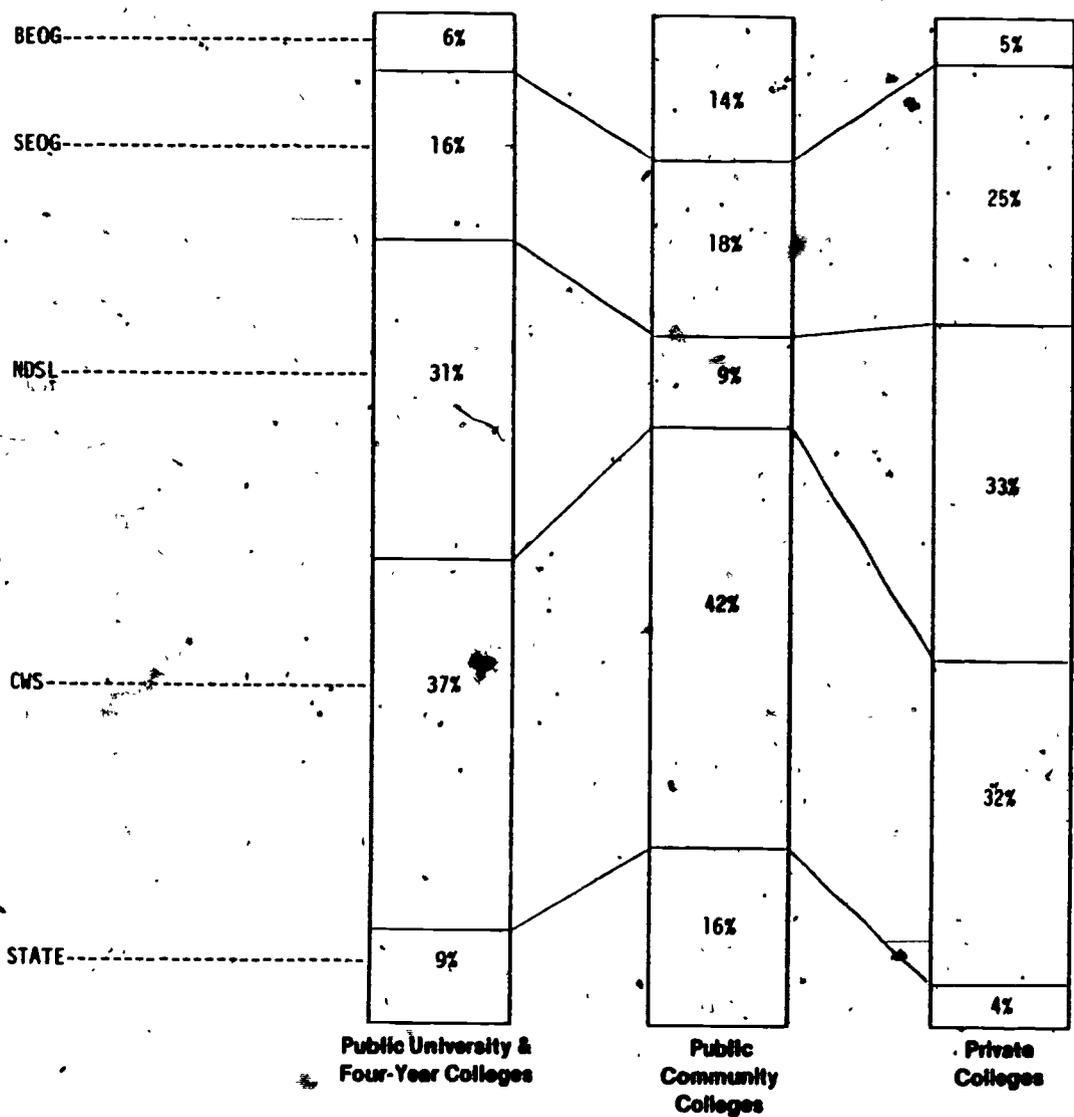
- GEORGIA INCENTIVE SCHOLARSHIP PROGRAM



State funds were also awarded to the following types of institutions:

- Public Vocational, - \$56,575
- Unspecified - \$36,125

RELATIVE IMPORTANCE OF FEDERAL AND STATE PROGRAM FUNDING BY SECTOR



THE FIGURES SHOWN FOR STATE DOLLARS AWARDED ARE FOR THE 1975-76 SCHOOL YEAR AND PERTAIN TO:

- GEORGIA INCENTIVE SCHOLARSHIP PROGRAM

} Percent Distribution of Student Assistance By Program By Sector

609

610

State funds were also awarded to the following types of institutions:

- Public Vocational \$56,575
- Unspecified \$36,125

DESCRIPTIONS OF PROGRAM OFFICES AND AGENCIES AND CORRESPONDING PROGRAM RESPONSIBILITIES

HAWAII A1

The Office of the Vice President for Academic Affairs, University of Hawaii, reports directly to the President of the University of Hawaii. It has one staff member assigned to student affairs who is responsible for advising the Vice President on policy direction and for providing administrative support for University-wide Student Services including admission and financial aids programs; compliance with all the federal laws affecting students; and academic affairs staff work not related to student services as well.

1. Hawaii Student Incentive Grant Program
2. Hawaii State Scholarship Program
3. Hawaii Merit Scholarship Program
4. Tuition Waiver Program
5. State Higher Education Loan Fund

WICHE Student Exchange Programs have been developed to help western students obtain access to fields of professional education that are not available in their home state. The program is administered centrally by the Western Interstate Commission on Higher Education. Located within each state is a certifying officer who is the state's representative.

1. WICHE Student Exchange Program
2. WICHE Fellows Program

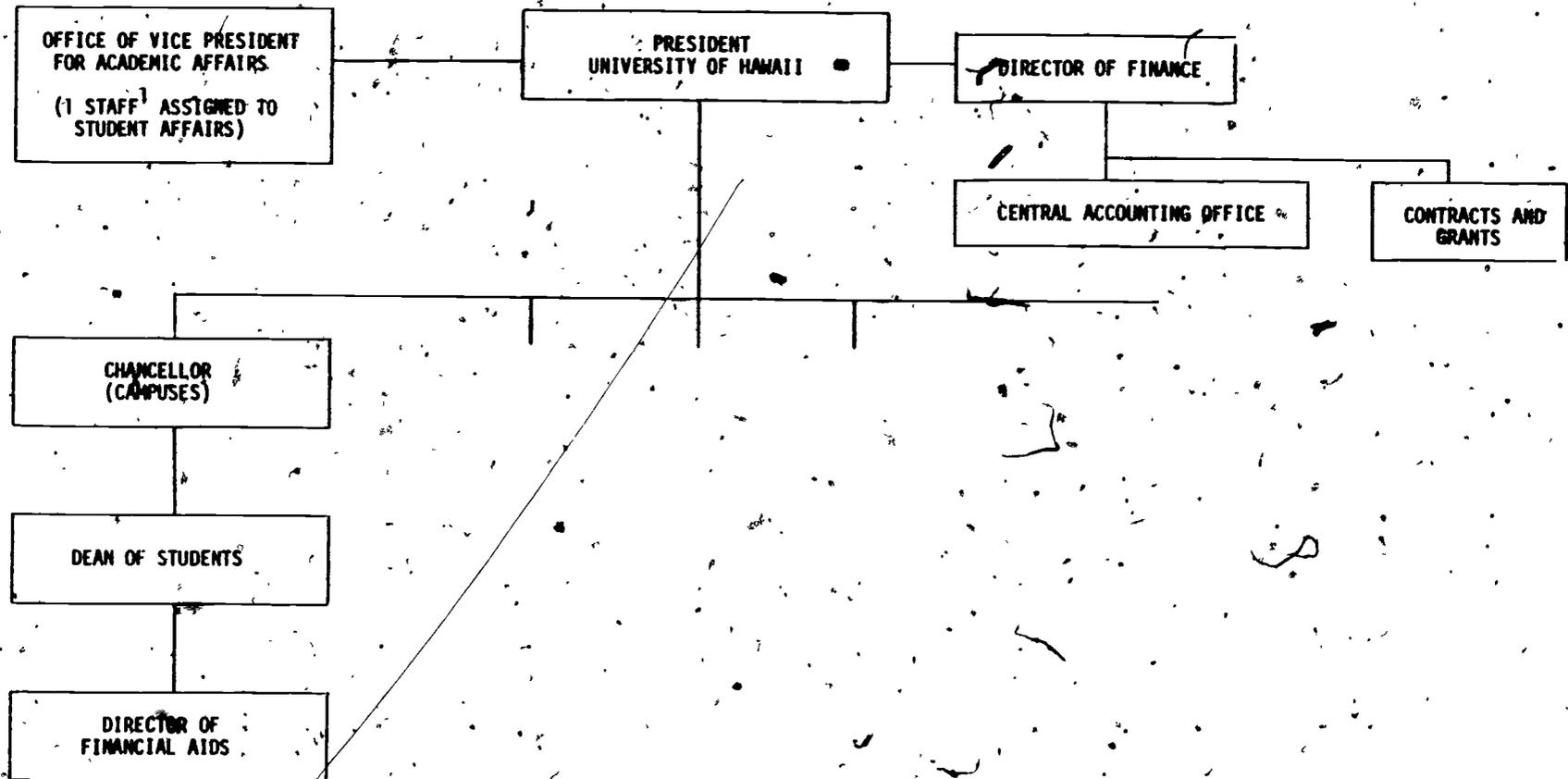
RELATIONSHIPS OF STATE AGENCIES

HAWAII A2

The Office of the Vice President for Academic Affairs, University of Hawaii, is responsible for overall policy and program direction for Universitywide Student Services, including student financial aid programs. Funding for the State Higher Education Loan Fund and for the Hawaii Student Incentive Grant Program are secured by this office as part of the biennium budget for Universitywide Student Services. The Governor approves the budget and submits it to the Legislature, which in turn passes on the budget. The Governor allocates funds on the advice of the Department of Budget and Finance. The State 1202 Commission shortly will become the State agency responsible for the State SSIG program, but will initiate a decentralized administrative pattern, allowing individual colleges to continue to make awards subject to certain criteria and to college allocations.

STATE AGENCY ORGANIZATION

#HAWAII B1



¹ Responsible for coordinating Universitywide Student Services including admission and financial aid; compliance with all federal laws affecting students; academic affairs staff work, not related to student services, as well.

MANAGEMENT RELATIONSHIPS BETWEEN THE STATE AGENCY AND OTHER STATE AGENCIES/INSTITUTIONS

HAWAII B2

| <u>WHO ACCOMPLISHES THE FOLLOWING?</u> | <u>STATE PROGRAM OFFICE</u> | <u>INSTITUTIONAL FINANCIAL AID OFFICER</u> | <u>OTHER OFFICES/ OR AGENCIES</u> |
|---|-------------------------------------|--|-----------------------------------|
| Processing of Applications | | | |
| • Verification of Student Application Information | | X | |
| • Identification of Eligible Recipients | | X | |
| • Determination of Award Size | | X | |
| • Assignment of Priority to Awards | | X | |
| • Formally Approves Award | | X | |
| Makes Payment to Student (or Credits Student Account) | | X | |
| Makes Post-Award Adjustments | | X | |
| Initiates the Prosecution of Possible Fraudulent Student applications | | X | |
| Publicizes Award Availability | X | X | |

COMMENTS: Central office mainly assists in securing State funds and federal SSIG funds; in promulgating rules and regulations; in centralized accounting system management; and in publicity. Campuses operate programs.

STATE PROGRAM OFFICE DEFINITIONS FOR SELECTED TERMS

HAWAII B3

**DEFINITIONS USED BY
STATE PROGRAM OFFICES**

**STATE DEFINITION
SPECIFIED BY**

**STATE DEFINITION COMMONLY
USED BY ALL INSTITUTIONS**

**STATE DEFINITION CONSISTENT
ACROSS ALL STATE PROGRAMS**

| | | STATE DEFINITION COMMONLY USED BY ALL INSTITUTIONS | | |
|--|--------------------------------------|--|---------|-----|
| | | PUBLIC | PRIVATE | |
| Half-Time Student - A student who is enrolled for at least six credit hours (semester). | Interpretation | Yes | | Yes |
| State Resident - One year domiciled in Hawaii. | Law and Interpretation (Regulations) | Yes | | Yes |
| Independent Student - Hawaii uses Federal criteria for independent student. | Interpretation | Yes | Yes | Yes |
| Non-Profit Institution - Hawaii uses Federal criteria for independent student. | Interpretation | Yes | | Yes |
| Satisfactory Academic Progress - Determined by each campus; "satisfactory progress" is so stated in law. | Law and Interpretation | Yes | | Yes |
| United States Citizenship - <u>Not</u> a foreign citizen or immigrant. | Interpretation | Yes | | Yes |

INFORMATION FLOW BETWEEN THE STATE PROGRAM OFFICE AND VARIOUS FEDERAL OFFICES.

HAWAII B4

TYPE OF INFORMATION PROVIDED
BY STATE STUDENT-AID AGENCY

TYPE OF INFORMATION RECEIVED
BY STATE STUDENT-AID AGENCY

PERCEPTION OF ADEQUACY
OF INFORMATION FLOW

FEDERAL OFFICES

| | | | |
|--|--------------------------|--------------------------|----------------|
| SSIG Office | Summaries Regulations | Summaries Regulations | Adequate |
| BEOG Office | None | Summaries Regulations | Adequate |
| Regional OE Student-Assistance Office (Campus-Based Programs) | None | | Adequate |
| Washington OE Office (Campus-Based Programs) | None | Summaries Regulations | Adequate |
| FIS/GSL Office | None | None | Not Applicable |

STATE/INSTITUTIONAL OFFICES

| | | | |
|---|--------------------------|-----------|----------------|
| Public Institutions | Summaries Regulations | Summaries | Adequate |
| Private Institutions | None | None | Not Applicable |
| Governor/Budget Office | Summaries | None | Adequate |
| State Legislature (Including Committee Staffs) | Summaries | None | Adequate |

622

621

ERIC
Full text provided by ERIC

1 Campuses supply data, not university central office.

MANAGEMENT STAFFING OF STATE PROGRAM OFFICES

HAWAII B5

| <u>MANAGEMENT FUNCTION</u> | <u>PERCENTAGE OF STAFF EFFORT</u> | <u>STAFF ASSISTANCE FROM OTHER AGENCIES</u> <u>COMMENTS</u> |
|--|-----------------------------------|--|
| APPLICATIONS PROCESSING (Includes verification of student information, need analysis, award determination; etc.) | 0 | Performed by each campus (75%) ¹ |
| RESPONDING TO REQUESTS FOR INFORMATION (Includes federal requests for information, parent/student inquiries, testimony in hearings, etc.) | 5 | Performed by each campus (10%) ¹ |
| PROGRAM REVIEW (Includes on-site visits to institutions, formal/informal audits, etc.) | 1 | Performed by each campus (0%) ¹ |
| PUBLIC RELATIONS AND INFORMATION DISSEMINATION (Includes parent/student workshops, work on promotional literature, etc.) | 1 | Performed by each campus (5%) ¹ |
| GENERAL ADMINISTRATION (Includes executive personnel, staff training and development, regulations and guidelines, preparation of summary statistics, etc.) | 8 | Performed by each campus (10%) ¹ |
| OTHER MANAGEMENT ACTIVITIES (Includes any special staff activities which do not clearly fall in one of the above categories) | 85 | --- |

623

Response is based on a reasonable estimation or approximation.

624

**STATE AGENCY CALENDAR OF EVENTS FOR NEED-BASED
PROGRAMS FOR 1976-77 SCHOOL YEAR**

HAWAII 86

MONTH/YEAR

EVENTS

AUGUST 1975 Agency budget presented to Governor's Office

SEPTEMBER-NOVEMBER 1975 Agency completes planning workshops for students or counselors

JANUARY 1976 1) Agency budget presented to legislature
2) Institutions make student-aid applications available

APRIL 1976 Legislature appropriates student assistance funds

JUNE-SEPTEMBER 1976 Institutions announce award winners

SEPTEMBER 1976 Deadline for student-aid applications

NOVEMBER 1976 Last date at which award changes and adjustments are made

625

626

**PROGRAM AND FISCAL CONTROLS OF PROGRAMS
OPERATED BY STATE AGENCY**

HAWAII 67

| <u>PROGRAM CONTROLS</u> | <u>CONTROL USED SAMPLE OR TOTAL POPULATION STUDIED</u> | <u>AGENCY/OFFICE UNDERTAKING CONTROLS</u> | <u>SPECIAL ACTIONS COMMENTS</u> |
|--|--|---|--|
| A. <u>Control on Student Application Data Accuracy</u> | | | |
| 1. Match Against Tax Forms? | Yes, Sample | Institution | Discuss with student |
| 2. Match Against BEOG Applications? | | | |
| 3. Match Against Institutional Student Records? | | | |
| 4. Warnings of Prosecution? | Yes | Institution | |
| 5. Prosecution? | | | |
| B. <u>Controls on Attendance and/or Progress</u> | | | |
| 1. Check on Enrollment/Initial Attendance? | Yes, All | Institution | Revise award |
| 2. Check on Mid-Term Attendance? | | | |
| 3. Check on Course Completion? | Yes | Institution | |
| 4. Check on Academic Progress Before Award? | Yes | Institution | |
| 5. Partial Awards? | | | |
| C. <u>Controls on Award Size</u> | | | |
| 1. Check to Make Sure Other Awards Actually Made? | Yes, All | Institution | Correct/repackage by institutions |
| 2. Match Against BEOG, Campus-Based Award Files? | Yes, All | Institution | |
| 3. Re-Check to Ensure Award Adjustments Actually Made? | | | |
| 4. Second Review of Aid Packages? | | | |
| D. <u>Controls on Institutional Student-Aid Operations</u> | | | |
| 1. Independent Program Audits? | | | Institution, State Institution, State |
| 2. Aid Officer Bonding? | | | |
| 3. Aid Officer Certification? | | | |
| 4. Double Signatures on All Fund Transfers? | Yes | | |
| 5. Internal Audit | Yes | | |
| E. <u>Controls of Central Administrative Staff Operations</u> | | | |
| 1. Independent Program Audits? | | | Institution, State Institution, State |
| 2. Bonding of Staff Members? | | | |
| 3. Double Signatures on All Fund Transactions? | Yes | | |
| 4. Internal Audit | Yes | | |

628

627

PROGRAM INFORMATION DISSEMINATION PROCEDURES USED FOR STATE NEED BASED PROGRAMS

HAWAII C1

TYPE OF INFORMATION PROVIDED

| <u>INFORMATION PROVIDED THROUGH</u> | <u>APPLICATION FORMS</u> | <u>ELIGIBILITY CONDITIONS</u> | <u>FILING/CLOSING DATES FOR STATE PROGRAMS</u> | <u>INFORMATION ON THE COST OF ATTENDANCE AT VARIOUS TYPES OF INSTITUTIONS</u> | <u>INFORMATION ABOUT APPLYING FOR AID FROM OTHER SOURCES</u> | <u>INFORMATION ON PENALTIES FOR PROVIDING FRAUDULENT DATA</u> |
|--|--------------------------|-------------------------------|--|---|--|---|
| 1. High School Counselors | X | X | X | X | X | X |
| 2. College Financial-Aid Officers | X | X | X | X | X | X |
| 3. Direct Mailings to Graduating High School Students or Parents | | | | | | |
| 4. High School Meetings or Workshops | X | X | X | X | X | X |
| 5. College Admissions Office | X | X | X | X | X | X |
| 6. Newspaper Ads | | | | | | |
| 7. Radio, Television Ads | | | | | | |
| 8. Social Service Agencies | | | | | | |
| 9. Other (Please Specify) College Fair | X | X | X | X | X | X |

DESCRIPTIONS OF STATE-SPONSORED STUDENT ASSISTANCE PROGRAMS

HAWAII D1

Hawaii Student Incentive Grant Program - A grant program to assist financially needy state residents to enroll at campuses of the University of Hawaii by paying their tuition.

Hawaii State Scholarship Program - A tuition-reimission program to assist financially needy state residents to enroll at campuses of the University of Hawaii.

Hawaii Merit Scholarship Program - A tuition-reimission program to help and to recognize outstanding resident students and needy part-time resident students to attend campuses of the University of Hawaii.

Tuition Waiver Program - A tuition-reimission program for non-resident and resident students who bring special talents (band, athletics, graduate students, agricultural, foreign) to the campuses of the University of Hawaii.

State Higher Education Loan Fund - A low-interest loan program to provide loans to needy residents attending in-state public schools. (Rate is 3%--repayable over 10 years.)

WICHE Student Exchange Program - A tuition-reimission program which aids students in participating western states to obtain access to fields of professional education that are not available in their home states. Funds appropriated by a sponsoring state reimburse the receiving school through payment of an institutional support fee for the educational service offered. The student does not receive a cash stipend.

WICHE Fellows Program - A tuition-reimission program which aids students in participating western states to obtain access to graduate programs not available in their home state. Students pay in-state tuition rather than non-resident tuition. The student does not receive a cash stipend.

631

632

BASIC STATE PROGRAM INFORMATION

HAWAII D2

| <u>Programs</u> | <u>Year</u> | <u>Number of Awards</u> | <u>Average Full-Time Award Size</u> | <u>Total Dollars Awarded</u> | <u>Eligible Population</u> |
|---|---------------------------|-------------------------|-------------------------------------|------------------------------|--|
| <u>Need-Based</u> | | | | | |
| <u>Grants</u> | | | | | |
| Hawaii Student Incentive Grant Program ¹ | 1975-76 | 784 | \$225 | \$175,974 | Resident Undergraduates |
| <u>Loans</u> | | | | | |
| State Higher Education Loan Fund | No information given----- | | | | Resident Students |
| <u>Tuition Remission</u> | | | | | |
| Hawaii State Scholarship Program ² | 1970-71 1975-76 | 800 1,224 | Not Applicable Not Applicable | | Resident Undergraduates |
| <u>Non Need-Based</u> | | | | | |
| Hawaii Merit Scholarship Program | 1974-75 1975-76 | 216 278 | Not Applicable Not Applicable | | Resident Undergraduates |
| Tuition Waiver Program ³ | 1973-74 1975-76 | 400 596 | Not Applicable Not Applicable | | Students talented in music, athletics, agriculture, foreign study or graduate programs |
| WICHE Student Exchange Program ⁴ | | | | | |
| WICHE Fellows Program ⁴ | | | | | |
| Hawaii Veteran's Memorial Fund ⁵ | | | | | |

- ¹ The State Legislature has approved recommendations to transfer program to State 1202 Commission and to expand program to include private non-profit schools using the alternate state funding formula.
- ² Number of awards available is based on set percentage (currently 13%) of previous Fall semester full-time undergraduate enrollment of each campus of the University of Hawaii.
- ³ Program has helped University to recruit and retain many talented students both from Hawaii and elsewhere.
- ⁴ Board of Regents is not responsible for the administration of this program. It does assist students, however, in becoming aware of it.
- ⁵ Board of Regents is not responsible for the administration of this program. It is a private scholarship.

STUDENT ELIGIBILITY CRITERIA FOR STATE PROGRAMS

HAWAII E1

| <u>Programs</u> | <u>Acceptable Residency Status</u> | <u>Acceptable Attend. Status</u> | <u>Acceptable Student Levels</u> | <u>Special Priority to Cont'g. Awards</u> | <u>Income Limits</u> | <u>Need Limits</u> |
|--|--|----------------------------------|----------------------------------|---|----------------------|--------------------|
| <u>Need-Based</u> | | | | | | |
| <u>Grants</u> | | | | | | |
| Hawaii Student Incentive Grant Program | Residents attending In-State | Full-Time | All Undergraduates | Yes | Not Applicable | Cost of Tuition |
| <u>Loans</u> | | | | | | |
| State Higher Education Loan Fund | Residents attending In-State | Full-Time | All | Yes | Not Applicable | \$200 |
| <u>Tuition Remission</u> | | | | | | |
| Hawaii State Scholarship Program | Residents attending In-State | Full-Time | All Undergraduates | Yes | Not Applicable | Cost of Tuition |
| <u>Non Need-Based</u> | | | | | | |
| Hawaii Merit Scholarship Program | Residents attending In-State | Full-Time Part-Time | All Undergraduates | Yes | Not Applicable | Not Applicable |
| Tuition Waiver Program | Residents attending In-State & Non-Residents | Full-Time | All | Yes | Not Applicable | Not Applicable |
| WICHE Student Exchange Program | | | | | | |
| WICHE Fellows Program | | | | | | |
| Hawaii Veteran's Memorial Fund | | | | | | |

INSTITUTIONAL ELIGIBILITY CRITERIA FOR STATE STUDENT-ASSISTANCE PROGRAMS

HAWAII E2

| <u>Programs</u> | <u>University and 4-Year Colleges</u> | <u>Community/ Junior Colleges</u> | <u>Voc./Tech. Schools</u> | <u>Proprietary</u> | <u>Special Types of Institutions</u> |
|--|---|---------------------------------------|-------------------------------|--------------------|--|
| <u>Need-Based</u> | | | | | |
| <u>Grants</u> | | | | | |
| Hawaii Student Incentive Grant Program | Public/ Private | Public/ Private | No | No | No |
| <u>Loans</u> | | | | | |
| State Higher Education Loan Fund | Public | Public | No | No | No |
| <u>Tuition Remission</u> | | | | | |
| Hawaii State Scholarship Program | Public | Public | No | No | No |
| <u>Non Need-Based</u> | | | | | |
| Hawaii Merit Scholarship Program | Public | Public | No | No | No |
| Tuition Waiver Program | Public | Public | No | No | No |
| WICHE Student Exchange Program | | | | | |
| Hawaii Veteran's Memorial Fund | | | | | |

The State Legislature has approved recommendations to transfer program to State 1202 Commission and to expand program to include private non-profit schools using the alternate state funding formula.

NEED DETERMINATION CRITERIA FOR STATE PROGRAMS

HAWAII E3

| <u>Programs</u> | <u>Program Definition of Need</u> | <u>Is BEOG Application Required?</u> | <u>Expected Family Contribution (EFC) Method</u> | <u>Student Budget Includes What Items?</u> |
|--|--|--------------------------------------|--|--|
| <u>Need-Based</u> | | | | |
| <u>Grants</u> | | | | |
| Hawaii Student Incentive Grant Program | "The inability of a student and his supportive economic unit to meet the cost of education incurred by the student and his supportive unit while the student is attending the university." | Yes | CSS | Tuition/Fees; Room/Board; Books; Transportation; General Student Costs |
| <u>Loans</u> | | | | |
| State Higher Education Loan Fund | Same as Grants | Yes | CSS | Tuition/Fees; Room/Board; Books; Transportation; General Student Costs |
| <u>Tuition Remission</u> | | | | |
| Hawaii State Scholarship Program | Same as Grants | Yes | CSS | Tuition/Fees; Room/Board; Books; Transportation; General Student Costs |

AWARD SIZE DETERMINATION CRITERIA FOR STATE PROGRAMS

HAWAII E4

| <u>Programs</u> | <u>Maximum Award Size?</u> | <u>What Award Size Determination Method is Used?</u> | <u>How is Award Priority Determined?</u> | <u>Who Ultimately Determines Award Amount?</u> |
|--|----------------------------|---|--|--|
| <u>Need-Based</u> | | | | |
| <u>Grants</u> | | | | |
| Hawaii Student Incentive Grant Program | \$750 | Award is only for full tuition at time of registration; should student withdraw in part or in full, refund of award is made to HSIG fund. | Generally, neediest first, with continuing students given priority over initial year. | Institution |
| <u>Loans</u> | | | | |
| State Higher Education Loan Fund | | Costs as listed in note less resources plus other aid--loan to cover unmet need. | Not Applicable | Institution |
| <u>Tuition Remission</u> | | | | |
| Hawaii State Scholarship Program | \$450 | Set by statute--cost of tuition which is then waived. | Order of need by each campus per its allocation of award units. | Institution |
| <u>Non Need-Based</u> | | | | |
| Hawaii Merit Scholarship Program | \$450 | By the number of credits taken times cost per credit--tuition then waived. | Each campus sets its own priorities. | Institution |
| Tuition Waiver Program | \$450 | Must be cost of tuition at campus student attends--set by law--tuition then waived. | Determined by Chancellor of each campus; allocated to program areas by Legislative intent. | Institution |
| WICHE Student Exchange Program | | | | |
| WICHE Fellows Program | | | | |
| Hawaii Veteran's Memorial Fund | | | | |

641

642

Not to exceed tuition fees, books, supplies, and \$200 per month for room and board. Amount for room and board allowance being considered for adjustment; also maximum award (total of all years) may be set.

NUMBER OF NEED-BASED GRANT PROGRAM APPLICANTS

HAWAII F1

DATA NOT AVAILABLE

| | \$0-5,999 | \$6,000-8,999 | \$9,000-11,999 | \$12,000-14,999 | \$15,000-19,999 | \$20,000 & Over |
|--------------|---------------------|---------------|----------------|-----------------|-----------------|-----------------|
| INDEPENDENTS | | | | | | |
| | DEPENDENTS | | | | | |
| | <u>Income Level</u> | | | | | |

DATA NOT AVAILABLE

DATA NOT AVAILABLE

| WHITE | BLACK | HISPANIC | AMERICAN INDIAN | ASIAN | OTHER |
|----------------------|-------|----------|-----------------|-------|-------|
| | | | | | |
| <u>Ethnic Status</u> | | | | | |

| MEN | WOMEN |
|------------|-------|
| | |
| <u>Sex</u> | |

NUMBER OF NEED-BASED GRANT PROGRAM RECIPIENTS AND AVERAGE AWARD SIZE

HAWAII, F2

NUMBER OF RECIPIENTS

DATA NOT AVAILABLE

DATA NOT AVAILABLE

DATA
NOT AVAILABLE

INDEPENDENTS

| | | | | | |
|---------------|-------------------|--------------------|---------------------|---------------------|---------------------|
| \$0- 5,999 | \$6,000- 8,999 | \$9,000- 11,999 | \$12,000- 14,999 | \$15,000- 19,999 | \$20,000- & Over |
|---------------|-------------------|--------------------|---------------------|---------------------|---------------------|

| | | | | | |
|-------|-------|----------|--------------------|-------|-------|
| WHITE | BLACK | HISPANIC | AMERICAN INDIAN | ASIAN | OTHER |
|-------|-------|----------|--------------------|-------|-------|

| | |
|-----|-------|
| MEN | WOMEN |
|-----|-------|

DEPENDENTS

Income Level

Ethnic Status

Sex

AVERAGE AWARD SIZE

DATA NOT AVAILABLE

DATA NOT AVAILABLE

DATA
NOT AVAILABLE

INDEPENDENTS

| | | | | | |
|---------------|-------------------|--------------------|---------------------|---------------------|---------------------|
| \$0- 5,999 | \$6,000- 8,999 | \$9,000- 11,999 | \$12,000- 14,999 | \$15,000- 19,999 | \$20,000- & Over |
|---------------|-------------------|--------------------|---------------------|---------------------|---------------------|

| | | | | | |
|-------|-------|----------|--------------------|-------|-------|
| WHITE | BLACK | HISPANIC | AMERICAN INDIAN | ASIAN | OTHER |
|-------|-------|----------|--------------------|-------|-------|

| | |
|-----|-------|
| MEN | WOMEN |
|-----|-------|

DEPENDENTS

Income Level

Ethnic Status

Sex

SOURCES AND DISTRIBUTION OF NEED-BASED GRANT PROGRAM FUNDS

HAWAII G1

THESE FIGURES FOR THE 1975-76 SCHOOL YEAR PERTAIN TO:
 • HAWAII STUDENT GRANT PROGRAM

STATE APPROPRIATIONS,

\$87,987

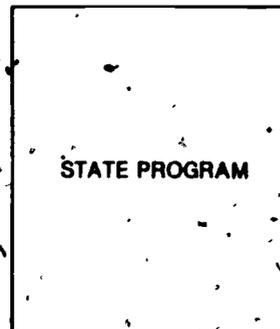
50%

PRIVATE GIFTS

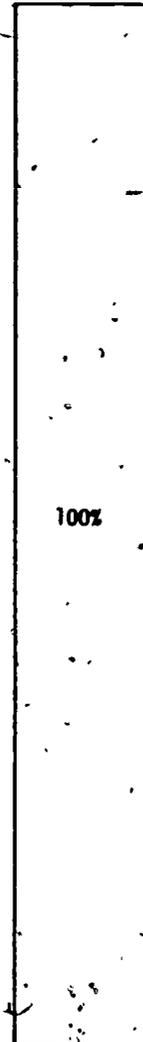
\$87,987

50%

FEDERAL SSI PROGRAM



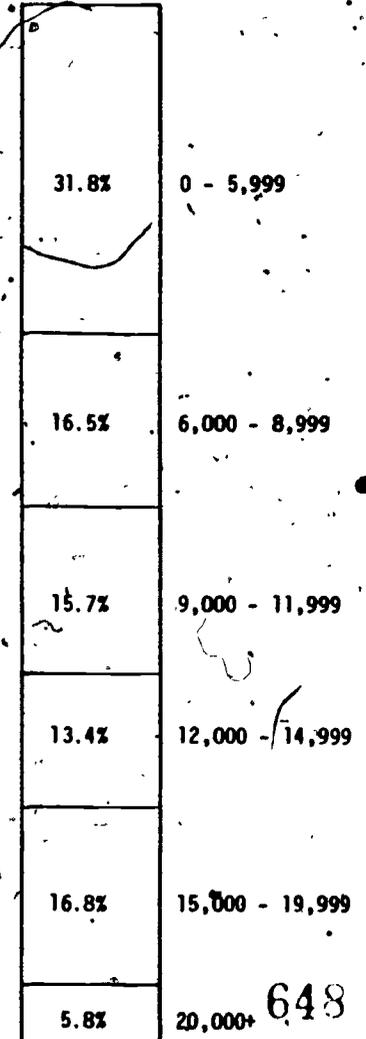
INSTITUTIONAL SECTORS



100%

Public Four-Year
and
Universities

STUDENT INCOME CATEGORIES

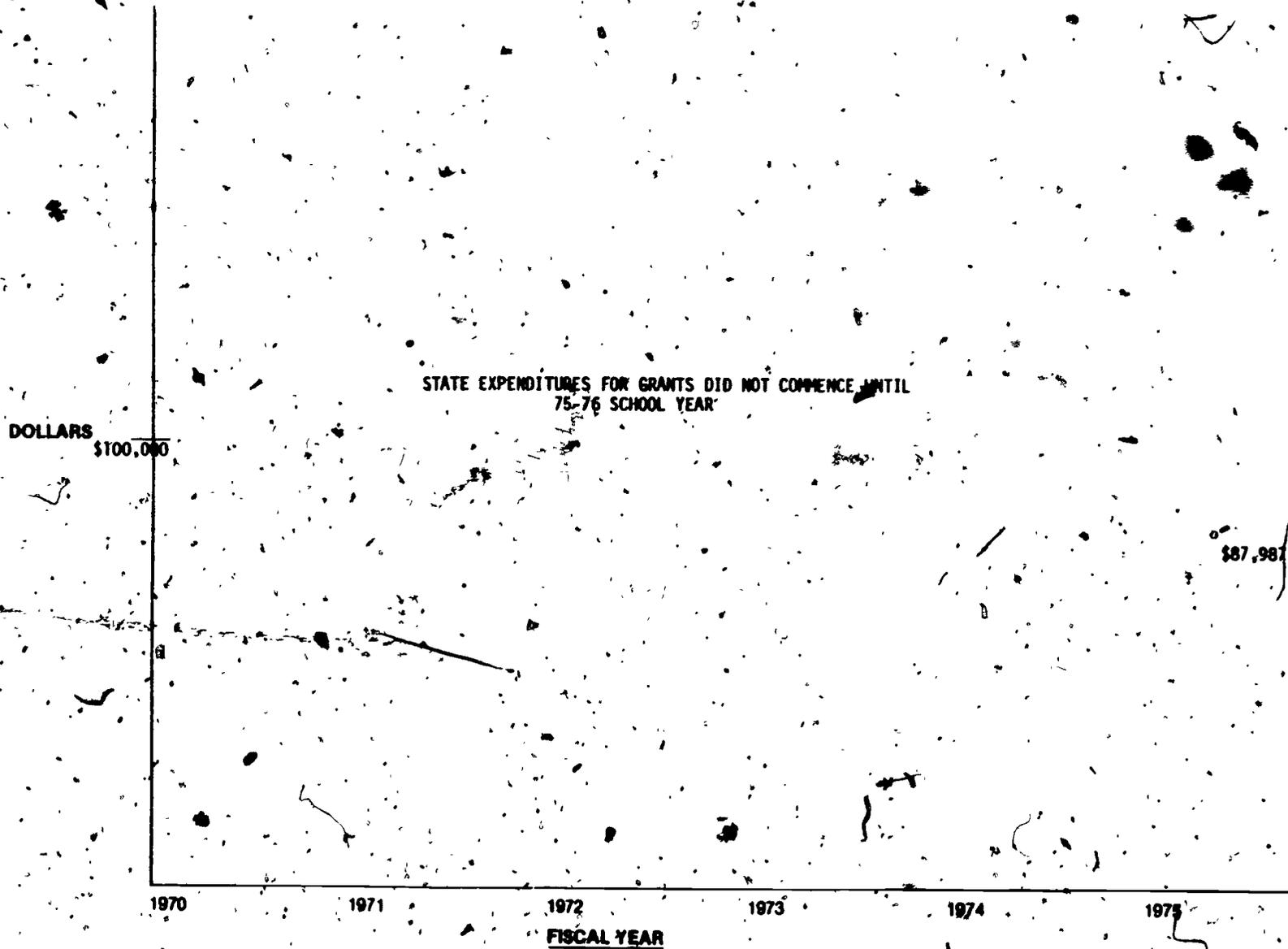


These data were taken from the Financial Status and Performance Report for the reporting period July 1, 1975, to September 30, 1976.

The distribution of awards by student income categories includes awards made to both dependent and independent students. The data available did not distinguish between dependent and independent students.

HISTORIC STATE EXPENDITURES ON STUDENT-ASSISTANCE PROGRAMS

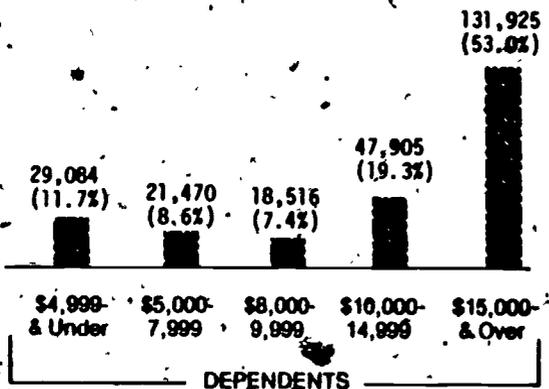
HAWAII G2



STATE POPULATION PROFILE

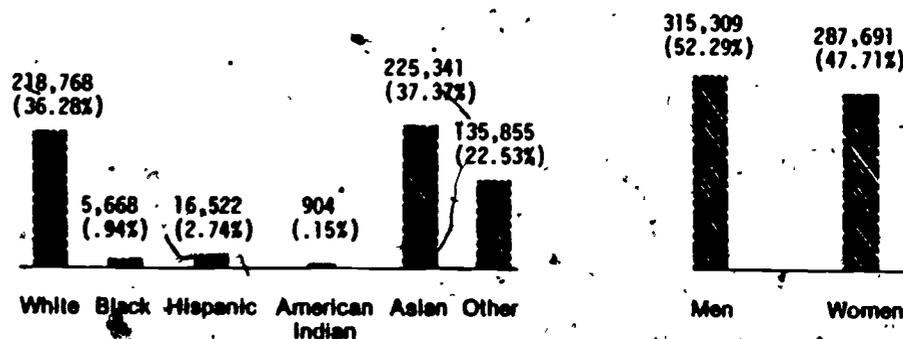
HAWAII HI

NUMBER OF HOUSEHOLDS



Effective Buying Income₁

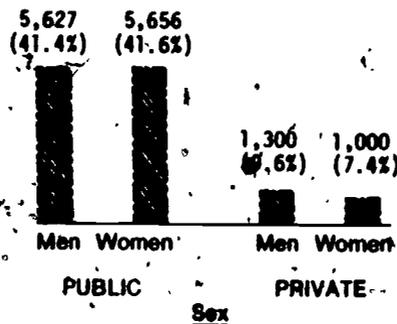
STATE POPULATION 18 AND OVER



Ethnic Status

Sex

STATE HIGH SCHOOL GRADUATES



The high school graduation figures do not include 1,792 persons who graduated from other than regular day school programs.

(c) Sales and Marketing Management 1977 Survey of Buying Power Data Service

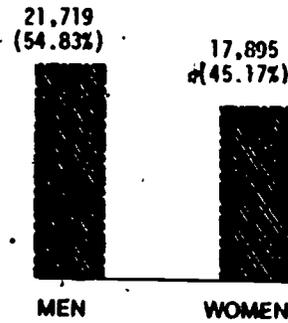
STATE POSTSECONDARY EDUCATION STUDENT ENROLLMENTS

HAWAII H2

DATA ARE UNAVAILABLE

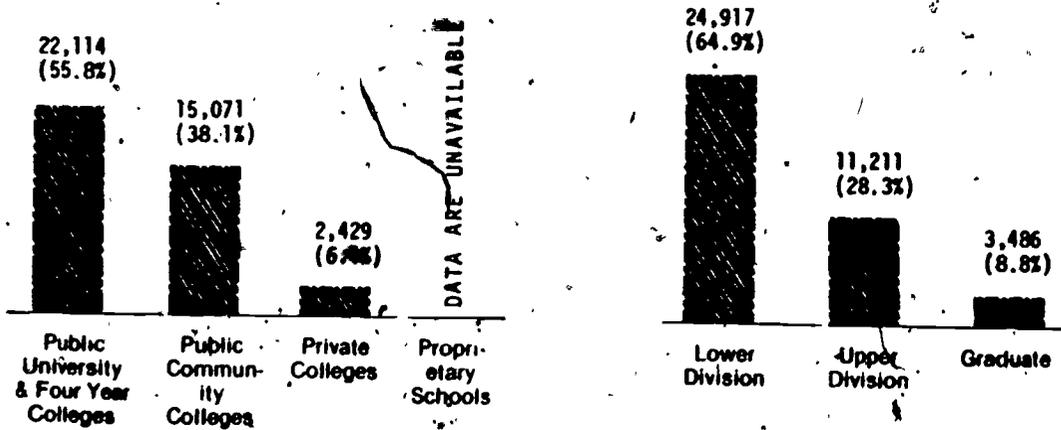
DATA ARE UNAVAILABLE

WHITE BLACK HISPANIC AMERICAN INDIAN ASIAN OTHER
Ethnic Status



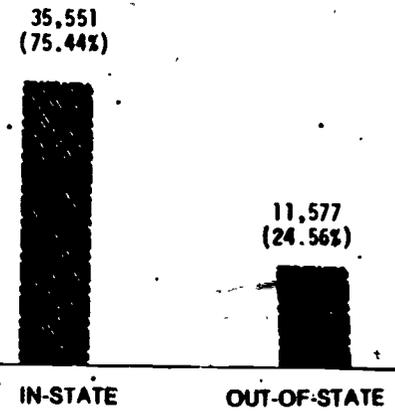
Sex

DATA ARE UNAVAILABLE



Institutional Sector

Student Level

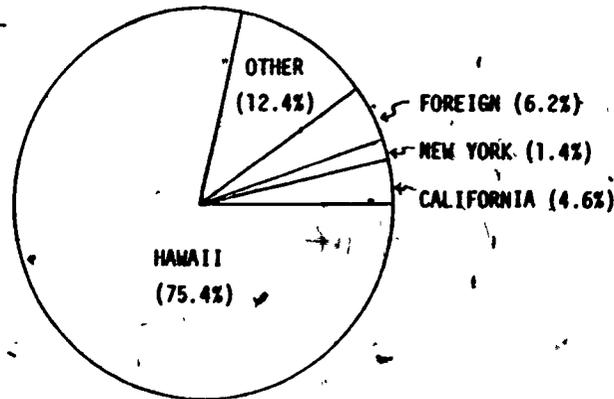


Residency

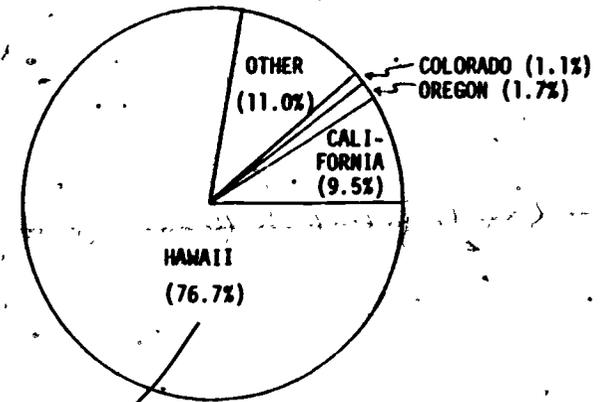
INTERSTATE MIGRATION OF STUDENTS

HAWAII

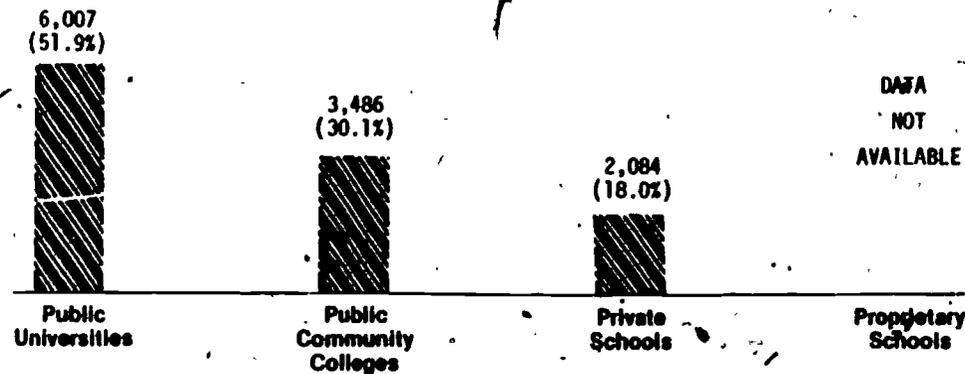
H3



RESIDENT AND NON-RESIDENT STUDENTS ATTENDING IN-STATE INSTITUTIONS



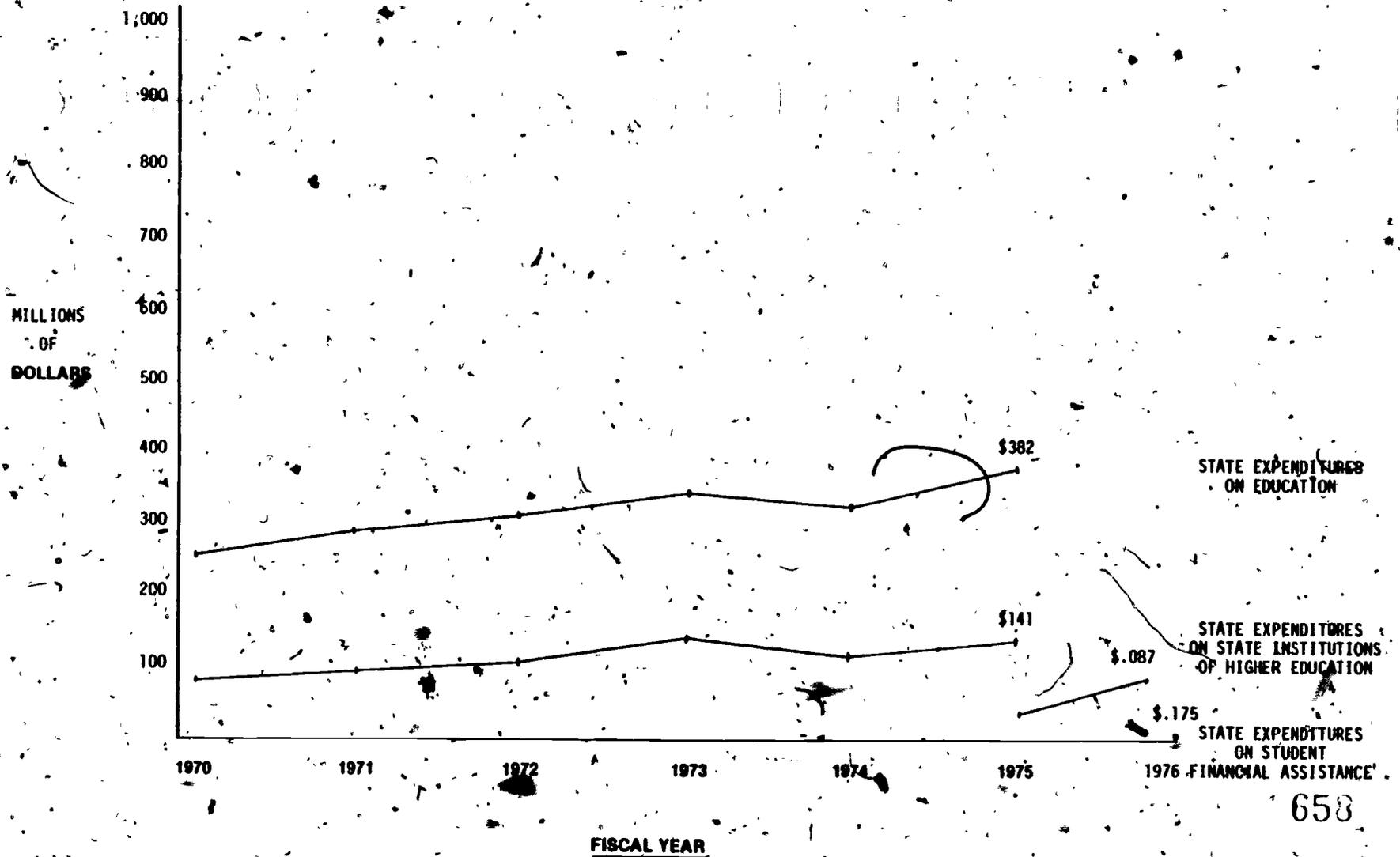
RESIDENT STUDENTS ATTENDING IN-STATE AND OUT-OF-STATE INSTITUTIONS



INSTITUTIONAL SECTOR
(Out-of-State Students in School In-State)

STATE EXPENDITURE ON POSTSECONDARY EDUCATION STUDENT ASSISTANCE

HAWAII 11

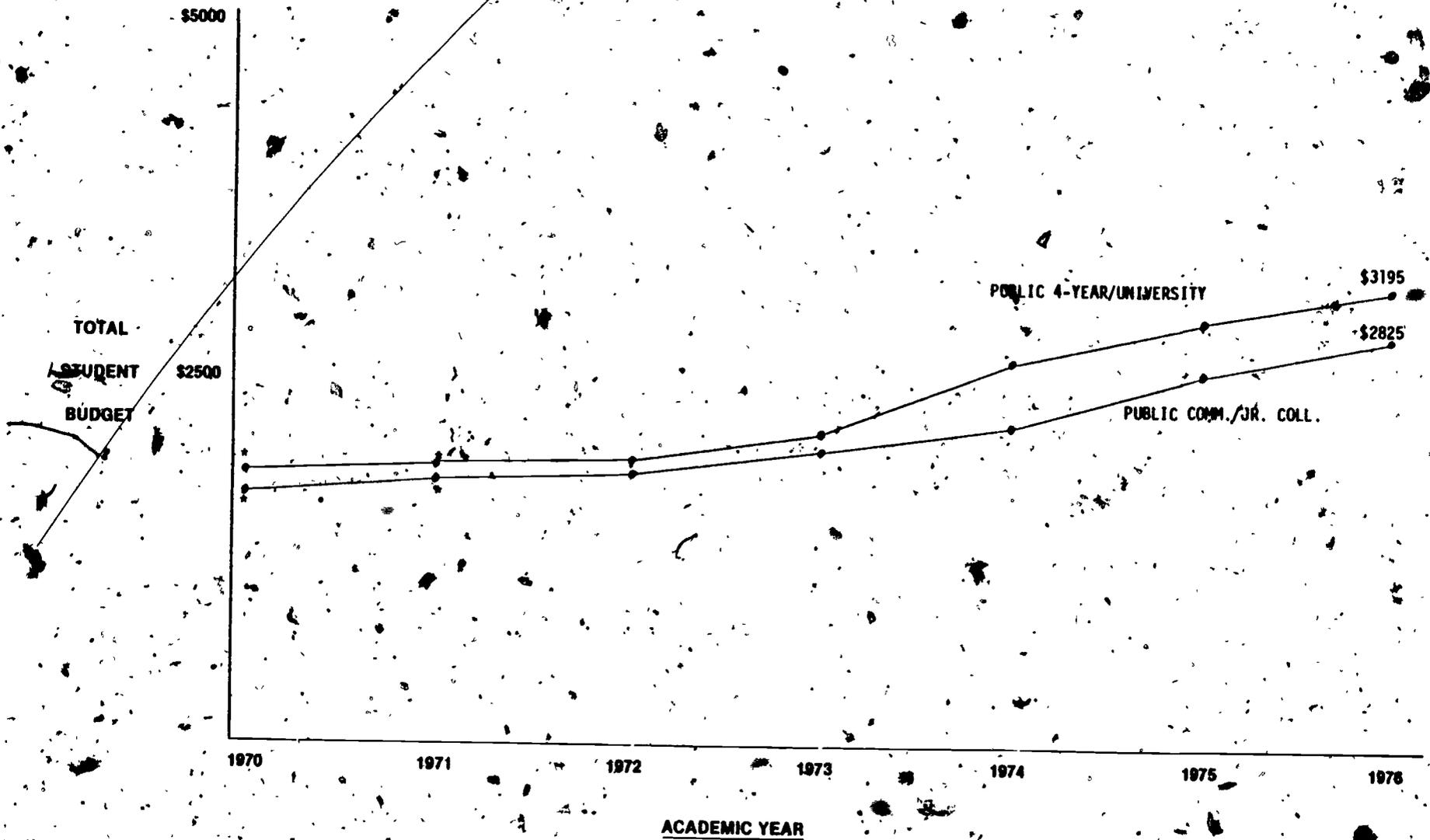


THESE DATE PERTAIN TO:

- HAWAII STUDENT INCENTIVE GRANT

657

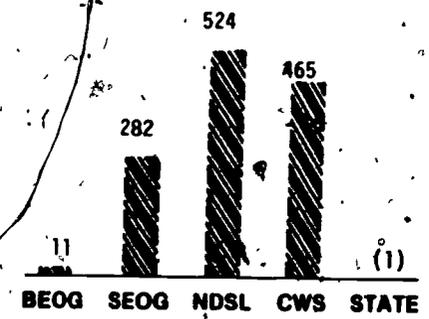
GROSS COSTS TO STUDENTS ATTENDING SCHOOL, BY POSTSECONDARY EDUCATION SECTORS



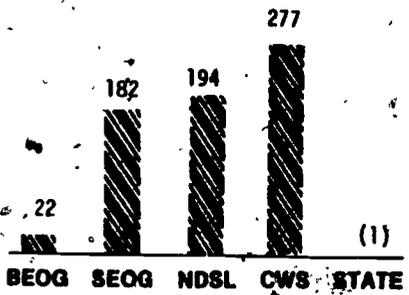
* Response not based on fact, but is reasonably certain.

LEVELS OF FEDERAL AND STATE PROGRAM FUNDING BY INSTITUTIONAL SECTOR

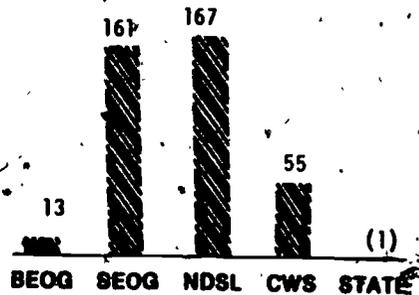
(Figures in thousands)



Public University and Four-Year Colleges



Public Community Colleges



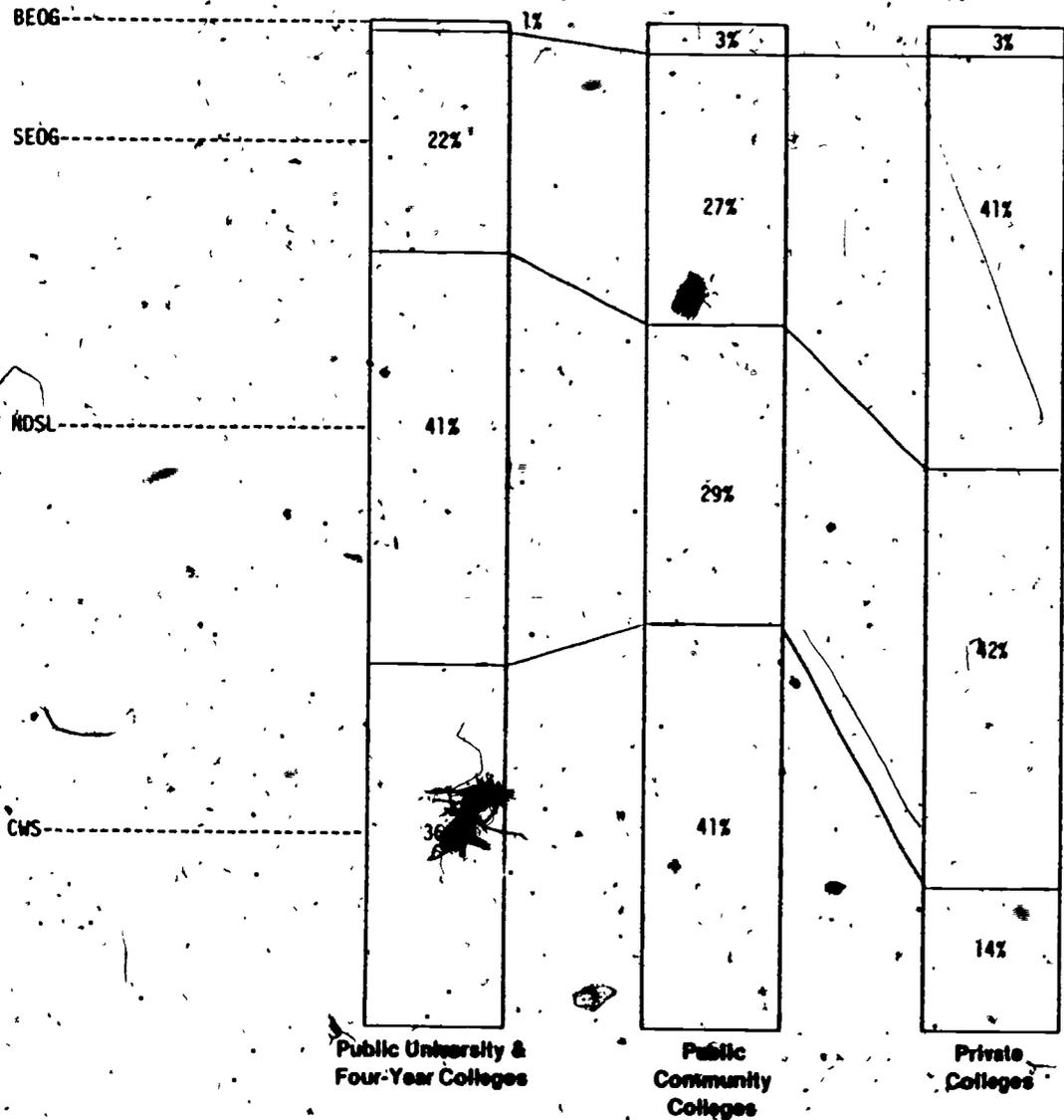
Private Colleges

(1) The data pertaining to state dollars awarded by institutional sector are unavailable.

661

662

RELATIVE IMPORTANCE OF FEDERAL AND STATE PROGRAM FUNDING BY SECTOR



Percent Distribution of Student Assistance By Program By Sector

The data pertaining to state dollars awarded by institutional sector are unavailable.

DESCRIPTIONS OF PROGRAM OFFICES AND AGENCIES AND CORRESPONDING PROGRAM RESPONSIBILITIES

IDAHO A1

Office of the State Board of Education

1. State Scholarship Program
2. State Student Incentive Grant Program
3. State Higher Education Loan Fund
4. Merit Scholarship Program
5. Tuition Waiver Program

WICHE Student Exchange Programs have been developed to help western students obtain access to fields of professional education that are not available in their home state. The program is administered centrally by the Western Interstate Commission on Higher Education. Located within each state is a certifying officer who is the state's representative.

1. WICHE Student Exchange Program

RELATIONSHIPS OF STATE AGENCIES

IDAHO A2

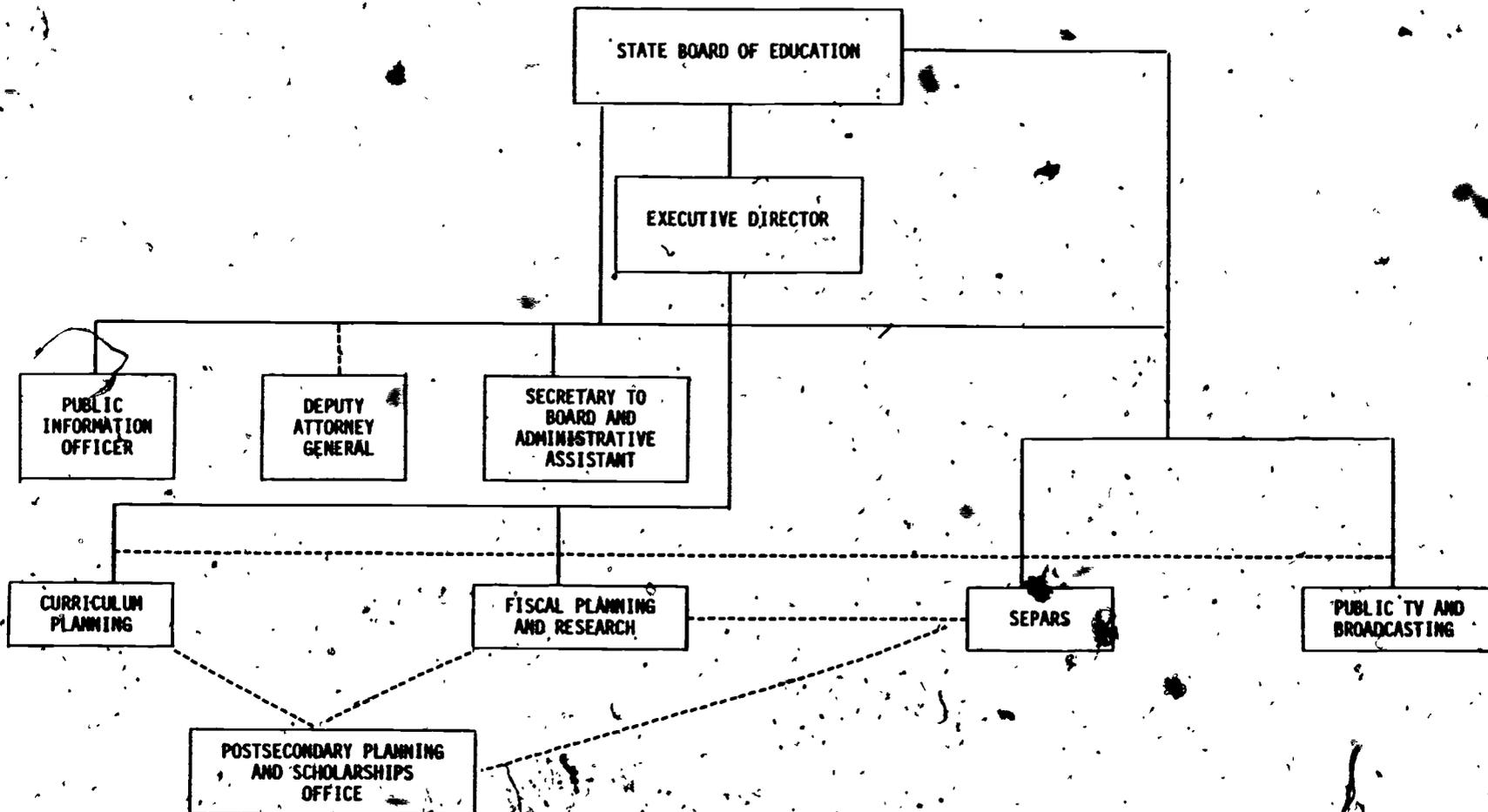
DATA ARE UNAVAILABLE

667

668

STATE AGENCY ORGANIZATION

IDAHO 81



**MANAGEMENT RELATIONSHIPS BETWEEN THE STATE AGENCY
AND OTHER STATE AGENCIES/INSTITUTIONS**

IDAHO B2

| <u>WHO ACCOMPLISHES THE FOLLOWING?</u> | <u>STATE PROGRAM OFFICE</u> | <u>INSTITUTIONAL FINANCIAL AID OFFICER</u> | <u>OTHER OFFICERS OR AGENCIES</u> |
|---|-----------------------------|--|-----------------------------------|
| Processing of Applications | | | |
| • Verification of Student Application Information | | X | |
| • Identification of Eligible Recipients | | X | |
| • Determination of Award Size | X | X | |
| • Assignment of Priority to Awards | | X | |
| • Formally Approves Award | X | | |
| Makes Payment to Student (or Credits Student Account) | X | | |
| Makes Post-Award Adjustments | | X | |
| Initiates the Prosecution of Possible Fraudulent Student applications | | X | |
| Publicizes Award Availability | X | | |

671

672

STATE PROGRAM OFFICE DEFINITIONS FOR SELECTED TERMS

IDAHO B3

**DEFINITIONS USED BY
STATE PROGRAM OFFICES**

**STATE DEFINITION
SPECIFIED BY**

**STATE DEFINITION COMMONLY
USED BY ALL INSTITUTIONS**

**STATE DEFINITION CONSISTENT
ACROSS ALL STATE PROGRAMS**

PUBLIC

PRIVATE

Half-time Student - An individual who is enrolled in and is carrying not less than one-half of the number of credit hours which would qualify that individual as a full-time student--normally described as at least 12 semester hours per semester.

Interpretation

Yes

Yes

Yes

State Resident - As defined by state law.

Law

Yes

Yes

Yes

Independent Student - A student who has not, and will not be claimed as an exemption, has not received or will not receive financial assistance of more than \$600, nor has not or will not live for more than two consecutive weeks in the home of a parent--during the calendar year(s) in which aid is received and the calendar year prior to the academic year for which aid is requested.

Interpretation

Yes

Yes

Non-Profit Institution - Independent colleges and universities shall mean an educational organization which is operated privately and not for profit under the control of an independent board and not directly controlled or administered by a public or political subdivision.

Interpretation

Yes

Yes

Yes

Satisfactory Academic Progress - Is required; no actual definition; highly decentralized so each institution uses its own guidelines for progress.

United States Citizenship - Not applicable.

INFORMATION FLOW BETWEEN THE STATE PROGRAM OFFICE AND VARIOUS FEDERAL OFFICES

IDAHO B4

| | <u>TYPE OF INFORMATION PROVIDED BY STATE STUDENT-AID AGENCY</u> | | <u>TYPE OF INFORMATION RECEIVED BY STATE STUDENT-AID AGENCY</u> | | <u>PERCEPTION OF ADEQUACY OF INFORMATION FLOW</u> |
|--|---|---------------------------|---|--------------|---|
| <u>FEDERAL OFFICES</u> | | | | | |
| SSIG Office | Summaries Regulations | Litigations | Regulations | | Adequate |
| BEOG Office | | | Summaries | | Adequate |
| Regional OE Student-Assistance Office (Campus-Based Programs) | --- | | --- | | No Contact |
| Washington OE Office (Campus-Based Programs) | --- | | --- | | No Contact |
| FISL/GSL Office | --- | | --- | | No Contact |
| <u>STATE/INSTITUTIONAL OFFICES</u> | | | | | |
| Public Institutions | Summaries Recipients | Litigation Regulations | Applications Litigation | Terminations | Adequate |
| Private Institutions | Summaries Recipients | Litigation Regulations | Applications Litigation | Terminations | Adequate |
| Governor/Budget Office | Litigation Summaries | Regulations | --- | --- | Not Applicable |
| State Legislature (Including Committee Staffs) | Summaries Regulations | Litigation | --- | --- | Not Applicable |

675

676

MANAGEMENT STAFFING OF STATE PROGRAM OFFICES

DAHO 85

| <u>MANAGEMENT FUNCTION</u> | <u>PERCENTAGE OF STAFF EFFORT</u> | <u>STAFF ASSISTANCE FROM OTHER AGENCIES</u> <u>COMMENTS</u> |
|--|-----------------------------------|--|
| APPLICATIONS PROCESSING (Includes verification of student information, need analysis, award determination, etc.) | .2 | .2 |
| RESPONDING TO REQUESTS FOR INFORMATION (Includes federal requests for information, parent/student inquiries, testimony in hearings, etc.) | .1 | |
| PROGRAM REVIEW (Includes on-site visits to institutions, formal/informal audits, etc.) | | |
| PUBLIC RELATIONS AND INFORMATION DISSEMINATION (Includes parent/student workshops, work on promotional literature, etc.) | .1 | |
| GENERAL ADMINISTRATION (Includes executive personnel, staff training and development, regulations and guidelines, preparation of summary statistics, etc.) | .1 | |
| OTHER MANAGEMENT ACTIVITIES (Includes any special staff activities which do not clearly fall in one of the above categories) | .5 | Includes Data Management. |

INAPPROPRIATE INFORMATION SUPPLIED

677

678

**STATE AGENCY CALENDAR OF EVENTS FOR NEED-BASED
PROGRAMS FOR 1976-77 SCHOOL YEAR**

IDAHO 66

MONTH/YEAR

EVENTS

AUGUST 1975 Agency budget presented to governors office or budget bureau.

ONGOING Agency makes student-aid applications available

JANUARY 1976 Agency budget presented to legislature

MARCH 1976 Legislature appropriates student assistance funds

AUGUST-ONGOING 1976 Agency (or institutions) announces award winners

MARCH 1977 Deadline for student-aid applications

JUNE 1977 Last date at which
award changes and
adjustments are
made

PROGRAM AND FISCAL CONTROLS OF PROGRAMS OPERATED BY STATE AGENCY

IDAHO 87

| PROGRAM CONTROLS | CONTROL USED SAMPLE OR TOTAL POPULATION STUDIED | AGENCY/OFFICE UNDERTAKING CONTROLS | SPECIAL ACTIONS COMMENTS |
|---|---|---------------------------------------|--|
| A. Control on Student Application Data Accuracy | | | |
| 1. Match Against Tax Forms? | Yes | Institution | Action taken, if any, to be decided by State Board of Education. |
| 2. Match Against BEOG Applications? | Yes, All | Institution | |
| 3. Match Against Institutional Student Records? | Yes, All | Institution | |
| 4. Warnings of Prosecution? | Yes | State | |
| 5. Prosecution? | Yes | State | |
| B. Controls on Attendance and/or Progress | | | |
| 1. Check on Enrollment/Partial Attendance? | Yes, All | Institution | Action taken, if any, to be decided by State Board of Education. |
| 2. Check on Mid-Term Attendance? | Yes, All | Institution | |
| 3. Check on Course Completion? | Yes, All | Institution, State | |
| 4. Check on Academic Progress Before Award? | Yes, All | Institution, State | |
| 5. Partial Awards? | | | |
| C. Controls on Award Size | | | |
| 1. Check to Make Sure Other Awards Actually Made? | Yes, All | Institution | Action taken, if any, to be decided by State Board of Education. |
| 2. Match Against BEOG, Campus-Based Award Files? | Yes, All | Institution | |
| 3. Re-Check to Ensure Award Adjustments - Actually Made? | | | |
| 4. Second Review of Aid Packages? | Yes, All | Institution | |
| D. Controls on Institutional Student-Aid Operations | | | |
| 1. Independent Program Audits? | Unavailable | | |
| 2. Aid Officer Bonding? | | | |
| 3. Aid Officer Certification? | | | |
| 4. Double Signatures on All Fund Transfers? | | | |
| E. Controls on Central Administrative Staff Operations | | | |
| 1. Independent Program Audits? | Yes, All | State | Audit by legislative auditor |
| 2. Bonding of Staff Members? | Yes, All | State | |
| 3. Double Signatures on All Fund Transactions? | Yes, All | State | |

681

682

**PROGRAM INFORMATION DISSEMINATION PROCEDURES
USED FOR STATE NEED BASED PROGRAMS**

IDAHO C1

TYPE OF INFORMATION PROVIDED

| <u>INFORMATION PROVIDED THROUGH</u> | <u>APPLICATION FORMS</u> | <u>ELIGIBILITY CONDITIONS</u> | <u>FILING/CLOSING DATES FOR STATE PROGRAMS</u> | <u>INFORMATION ON THE COST OF ATTENDANCE AT VARIOUS TYPES OF INSTITUTIONS</u> | <u>INFORMATION ABOUT APPLYING FOR AID FROM OTHER SOURCES</u> | <u>INFORMATION ON PENALTIES FOR PROVIDING FRAUDULENT DATA</u> |
|--|--------------------------|-------------------------------|--|---|--|---|
| 1. High School Counselors | X | X | X | X | X | X |
| 2. College Financial-Aid Officers | X | X | X | X | X | X |
| 3. Direct Mailings to Graduating High School Students or Parents | X | X | X | X | X | X |
| 4. High School Meetings or Workshops | | | | | | |
| 5. College Admissions Office | X | X | X | | | |
| 6. Newspaper Ads | | | | | | |
| 7. Radio, Television Ads | | | | | | |
| 8. Social Service Agencies | | | | | | |
| 9. Other (Please Specify) | | | | | | |

683

684

DESCRIPTIONS OF STATE-SPONSORED STUDENT ASSISTANCE PROGRAMS

• IDAHO DJ

State of Idaho Scholarship Program - A grant program to encourage scholastically talented high school graduates in the state to enroll in state postsecondary educational institutions and to recognize such high standards of performance.

State Student Incentive Grant Program - A grant program to enable eligible resident and non-resident undergraduate students to attend in-state postsecondary educational institutions through the removal of the financial barriers to their educational goals.

WICHE Student Exchange Program - A tuition-reimbursement program which aids students in participating western states to obtain access to fields of professional education that are not available in their home states. Funds appropriated by a sponsoring state reimburse the receiving school through an institutional support fee for the educational service offered. The student does not receive financial aid. Idaho students participate in professional health programs not available in the state.

State Assistance to Children of POW/MIA -

BASIC STATE PROGRAM INFORMATION

IDAHO D2

| <u>Programs</u> | <u>Year</u> | <u>Number of Awards</u> | <u>Average Full-Time Award Size</u> | <u>Total Dollars Awarded</u> | <u>Eligible Population</u> |
|---------------------------------------|-------------|-------------------------|-------------------------------------|------------------------------|--|
| <u>Need-Based</u> | | | | | |
| <u>Grants</u> | | | | | |
| State Student Incentive Grant Program | 1975-76 | 175 | \$ 300 | \$100,556 | Undergraduate Students |
| <u>Non Need-Based</u> | | | | | |
| State of Idaho Scholarship Program | 1974-75 | 26 | \$1,500 | \$ 40,000 | Freshman Residents |
| | 1975-76 | 53 | \$1,500 | \$ 77,800 | |
| WICHE Student Exchange Program | 1972-73 | 150 | Not | \$418,234 | Legal Residents attending Out-of-State Schools |
| | 1975-76 | 135 | Applicable | \$626,338 | |

687

688

1 Financial aspect of the program is indirect. Not actually a scholarship program--it is to provide access for Idaho students to medical, dental, physical therapy, optometry, pediatry, and occupational therapy programs.

STUDENT ELIGIBILITY CRITERIA FOR STATE PROGRAMS

IDAHO E1

| <u>Programs</u> | <u>Acceptable Residency Status</u> | <u>Acceptable Attend. Status</u> | <u>Acceptable Student Levels</u> | <u>Special Priority to Cont'g. Awards</u> | <u>Income Limits</u> | <u>Need Limits</u> |
|---------------------------------------|--|----------------------------------|-----------------------------------|---|----------------------|--------------------|
| <u>Need-Based</u> | | | | | | |
| <u>Grants</u> | | | | | | |
| State Student Incentive Grant Program | Residents attending In-State & Non-Residents | Half-Time Full-Time | All Undergraduates | Yes | \$20,000 | \$90 |
| <u>Non Need-Based</u> | | | | | | |
| State of Idaho Scholarship Program | Residents attending In-State | Full-Time | Freshmen Sophomores Juniors | No | --- | --- |
| WICHE Student Exchange Program | Legal Residents at Out-of-State Schools | Full-Time | Graduates | Yes | --- | --- |

INSTITUTIONAL ELIGIBILITY CRITERIA FOR STATE STUDENT-ASSISTANCE PROGRAMS

IDAHO E2

| <u>Programs</u> | <u>University and 4-Year Colleges</u> | <u>Community/ Junior Colleges</u> | <u>Voc./Tech. Schools</u> | <u>Proprietary</u> | <u>Special Types of Institutions</u> |
|---------------------------------------|---|---------------------------------------|-------------------------------|--------------------|--|
| <u>Need-Based</u> | | | | | |
| <u>Grants</u> | | | | | |
| State Student Incentive Grant Program | Public Private | Public | Public | Yes | No |
| <u>Non-Need-Based</u> | | | | | |
| State of Idaho Scholarship Program | Public Private | Public | Public | No | No |
| WICHE Student Exchange Program | Participating schools in 13 Western States. | | | | |

NEED DETERMINATION CRITERIA FOR STATE PROGRAMS

IDAHO E3

| <u>Programs</u> | <u>Program Definition of Need</u> | <u>Is BEOG Application Required?</u> | <u>Expected Family Contribution (EFC) Method</u> | <u>Student Budget Includes What Items?</u> |
|---|--|--------------------------------------|--|--|
| <u>Need-Based</u> <u>Grants</u> State Student Incentive Grant Program | Need = Student's Anticipated Expenses - Net Financial Assets Available | | | |

Including those available from parents, parent, guardians or other persons to whom applicant looks for support or who stands in loco parentis.

AWARD SIZE DETERMINATION CRITERIA FOR STATE PROGRAMS

IDAHO E4

| <u>Programs</u> | <u>Maximum Award Size?</u> | <u>What Award Size Determination Method Is Used?</u> | <u>How is Award Priority Determined?</u> | <u>Who Ultimately Determines Award Amount?</u> |
|---------------------------------------|----------------------------|---|--|--|
| <u>Need-Based</u> | | | | |
| <u>Grants</u> | | | | |
| State Student Incentive Grant Program | \$1,500 | Institution FAO's determine award by packaging SSIG with other campus-based financial aid programs. | Renewals; then initial awards. | |
| <u>Non-Need-Based</u> | | | | |
| State of Idaho Scholarship Program | \$1,500 | All awards are for \$1,500 a year--maximum \$6,000. | Renewals beginning with date of initial award. Further, recipient's rank within his/her academic or vocational major will be used. | State |
| WICHE Student Exchange Program | Not Applicable | Not Applicable | Not Applicable | Not Applicable |

NUMBER OF NEED-BASED GRANT PROGRAM APPLICANTS

IDAHO F1

DATA NOT AVAILABLE

| | \$0- 5,999 | \$6,000- 8,999 | \$9,000- 11,999 | \$12,000- 14,999 | \$15,000- 19,999 | \$20,000- & Over |
|--------------|---------------|-------------------|--------------------|---------------------|---------------------|---------------------|
| INDEPENDENTS | | | | | | |
| DEPENDENTS | | | | | | |

Income Level

DATA NOT AVAILABLE

| WHITE | BLACK | HISPANIC | AMERICAN INDIAN | ASIAN. | OTHER |
|-------|-------|----------|--------------------|--------|-------|
| | | | | | |

Ethnic Status

DATA NOT AVAILABLE

| MEN | WOMEN |
|-----|-------|
| | |

Sex

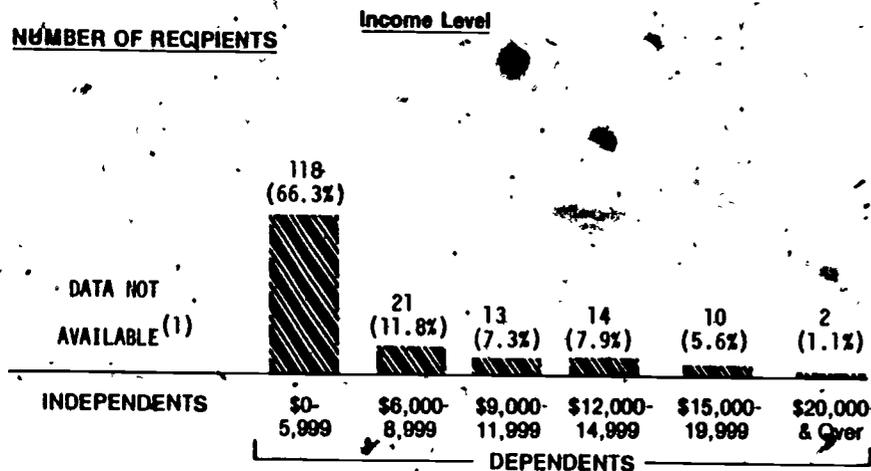
NUMBER OF NEED-BASED GRANT PROGRAM RECIPIENTS AND AVERAGE AWARD SIZE

F2

I D A H O

THESE FIGURES FOR THE 1975-76
SCHOOL YEAR PERTAIN TO:

• STATE STUDENT INCENTIVE GRANT
PROGRAM

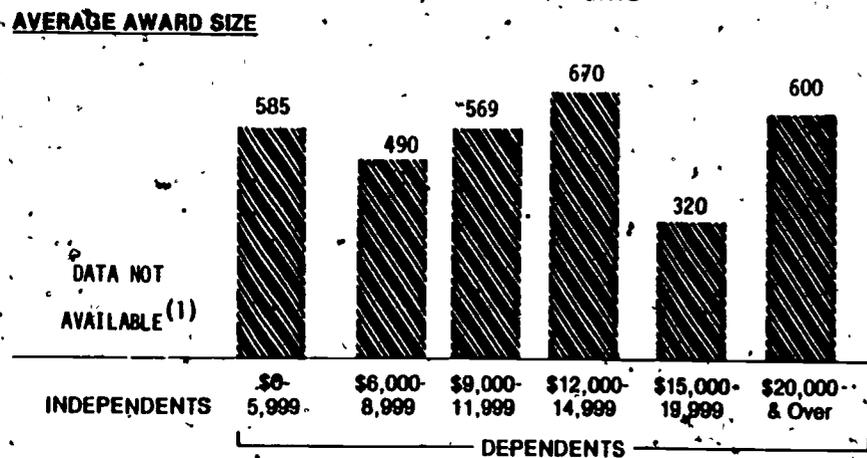


Ethnic Status

Sex

DATA NOT AVAILABLE

| Ethnic Status | Number of Recipients | Sex |
|-----------------|----------------------|-------|
| WHITE | DATA NOT AVAILABLE | MEN |
| BLACK | DATA NOT AVAILABLE | WOMEN |
| HISPANIC | DATA NOT AVAILABLE | |
| AMERICAN INDIAN | DATA NOT AVAILABLE | |
| ASIAN | DATA NOT AVAILABLE | |
| OTHER | DATA NOT AVAILABLE | |



DATA NOT AVAILABLE

| Ethnic Status | Average Award Size | Sex |
|-----------------|--------------------|-------|
| WHITE | DATA NOT AVAILABLE | MEN |
| BLACK | DATA NOT AVAILABLE | WOMEN |
| HISPANIC | DATA NOT AVAILABLE | |
| AMERICAN INDIAN | DATA NOT AVAILABLE | |
| ASIAN | DATA NOT AVAILABLE | |
| OTHER | DATA NOT AVAILABLE | |

(1) The distribution of awards by student income categories includes awards made to both dependent and independent students. The data available did not distinguish between dependent and independent students.

SOURCES AND DISTRIBUTION OF NEED-BASED GRANT PROGRAM FUNDS

THESE FIGURES FOR THE 1975-76 SCHOOL YEAR PERTAIN TO:

- STATE STUDENT INCENTIVE GRANT PROGRAM

STATE APPROPRIATIONS

\$24,948 24.8%

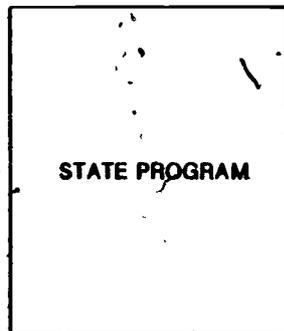
PRIVATE GIFTS

\$50,278 50.0%

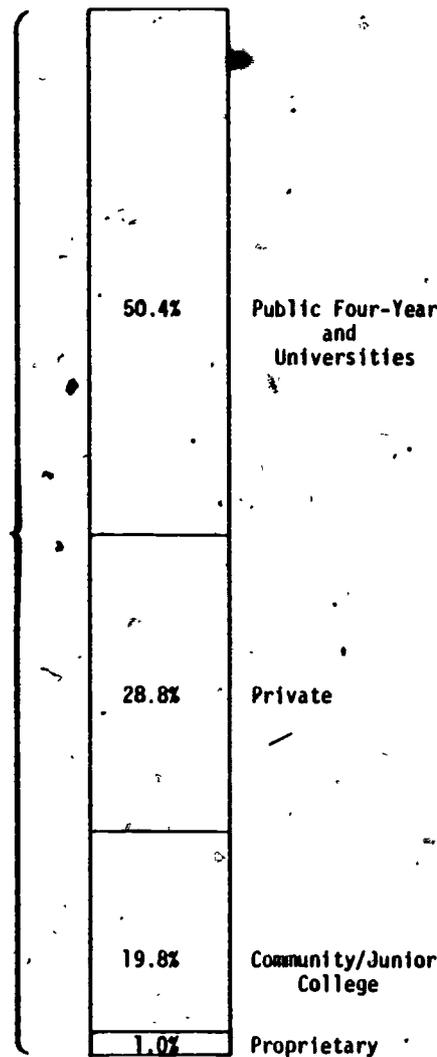
FEDERAL SSIG PROGRAM

\$25,330 25.2%

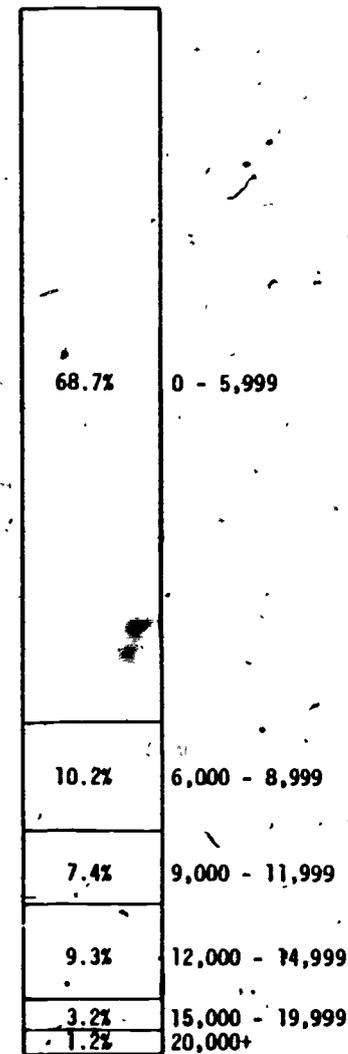
STATE INSTITUTIONAL MATCH



INSTITUTIONAL SECTORS



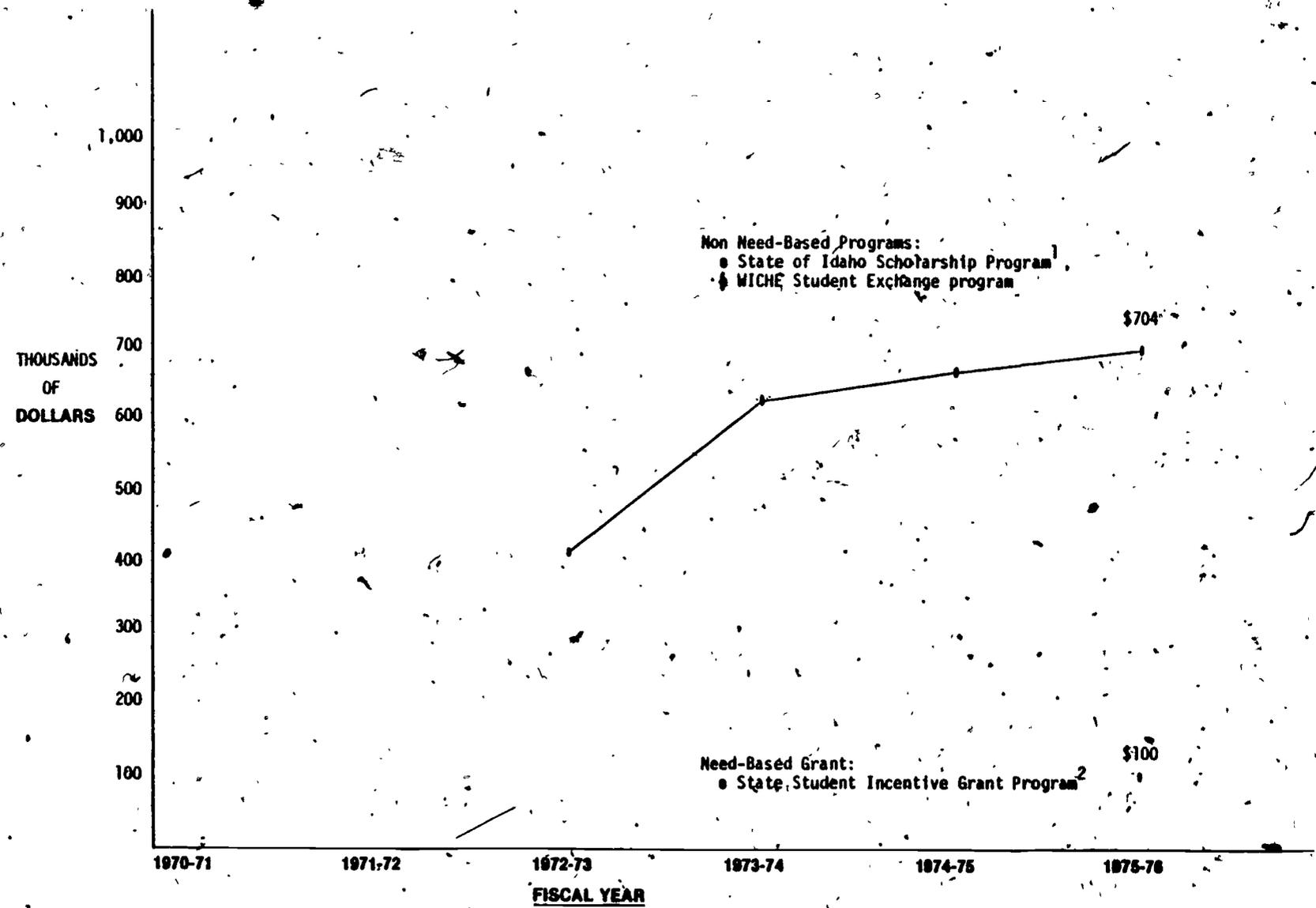
STUDENT INCOME CATEGORIES



The distribution of dollars by institutional sector includes awards to non-resident students attending in-state institutions.

The distribution of awards by student income categories includes awards made to both dependent and independent students. The data available did not distinguish between dependent and independent students.

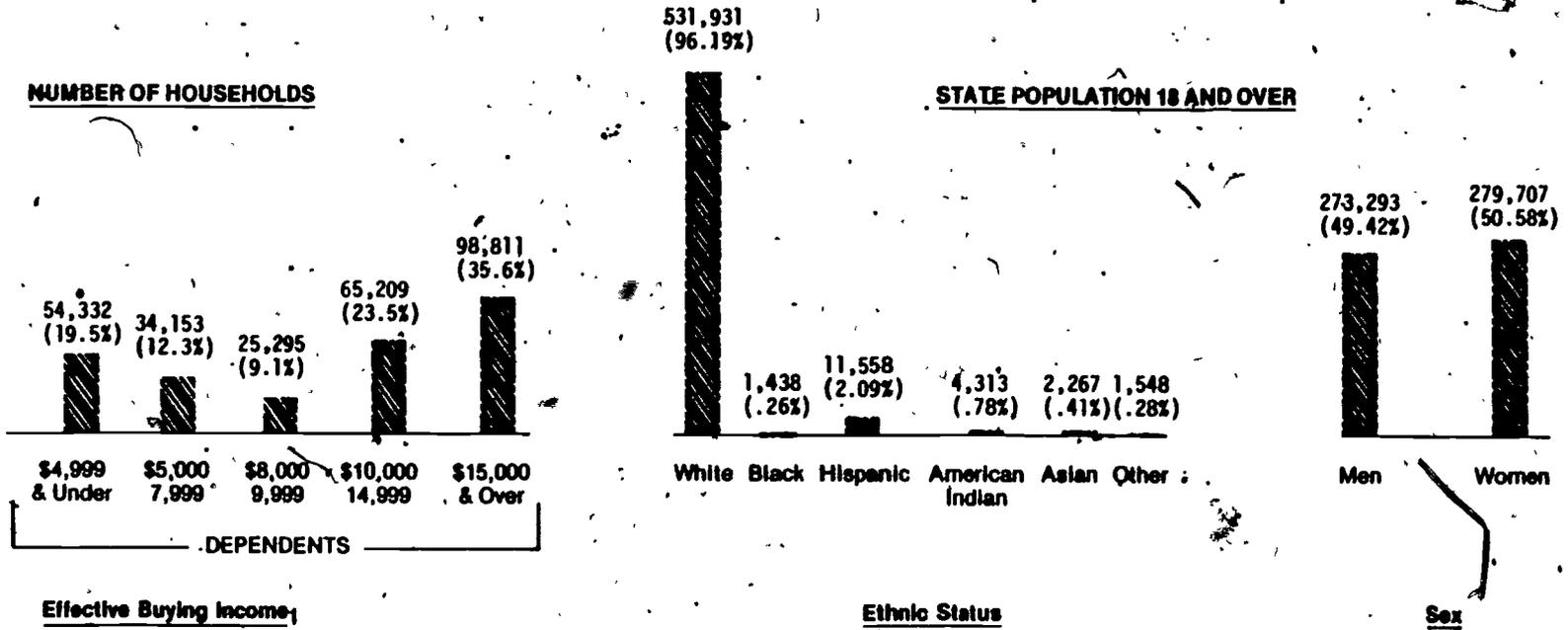
HISTORIC STATE EXPENDITURES ON STUDENT-ASSISTANCE PROGRAMS



¹ 1974-75 first year of program.
² 1975-76 first year of program.

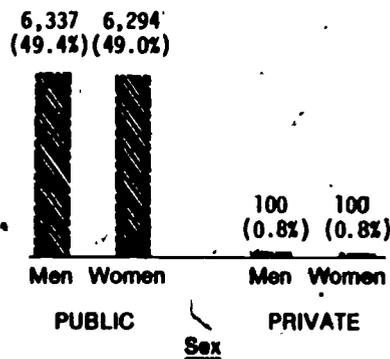
STATE POPULATION PROFILE

IDAHO H3



STATE HIGH SCHOOL GRADUATES

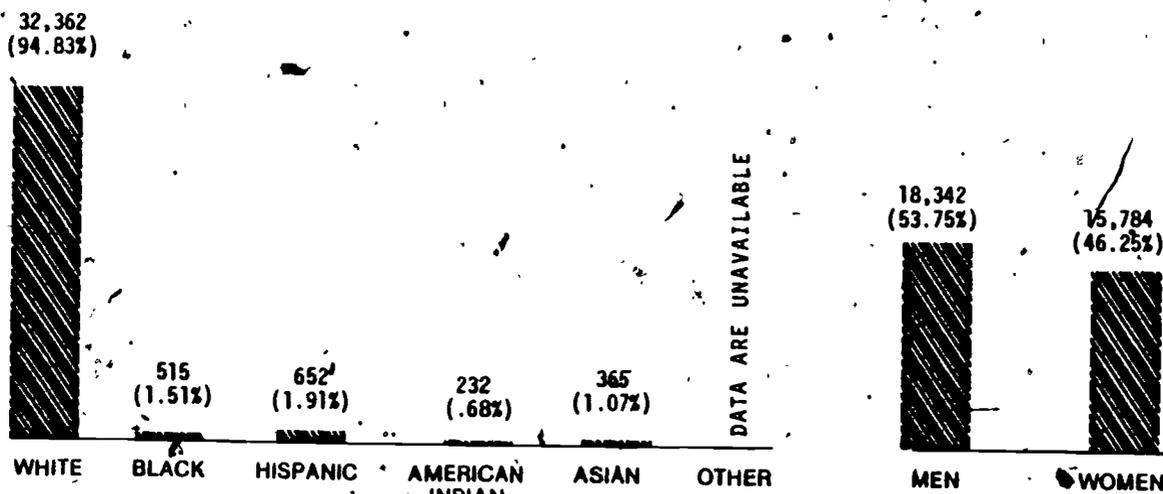
(c) Sales and Marketing Management 1977 Survey of Buying Power Data Service



The high school graduation figures do not include 762 persons granted a high school equivalency certificate, nor 46 persons graduated from other than regular day school programs.

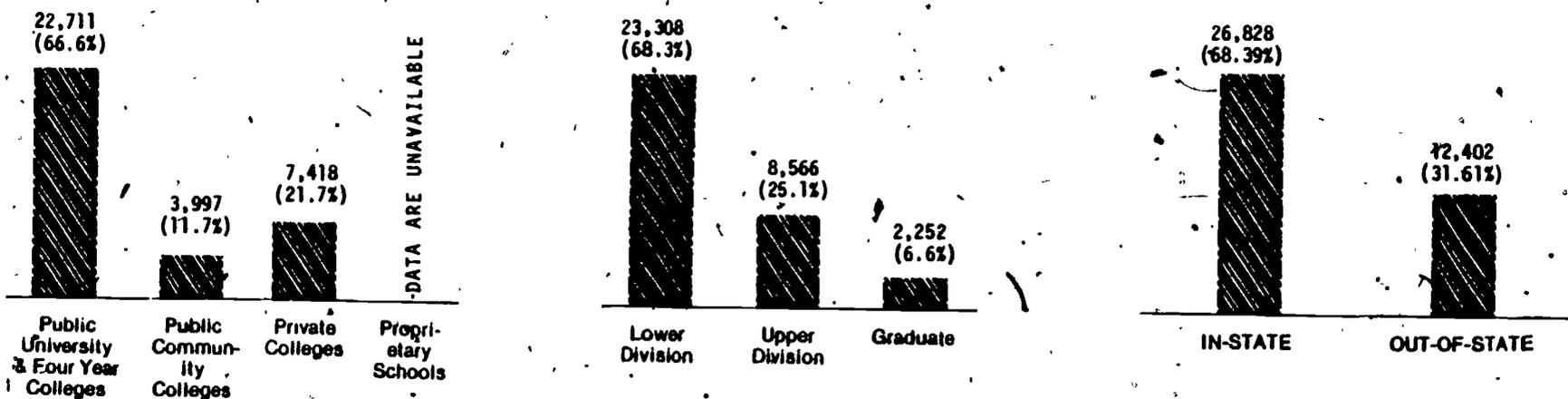
STATE POSTSECONDARY EDUCATION STUDENT ENROLLMENTS

IDAHO H2



Ethnic Status.

Sex



Institutional Sector

Student Level

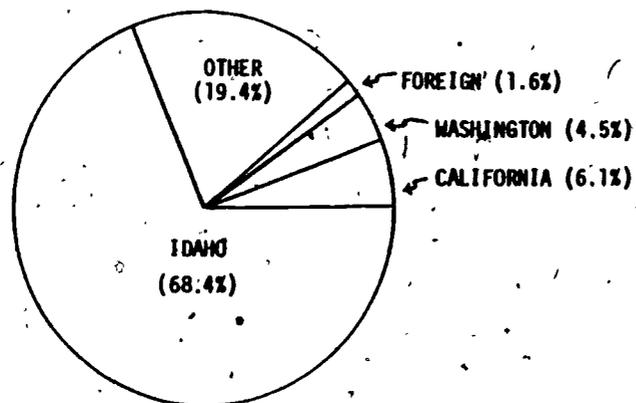
Residency

707

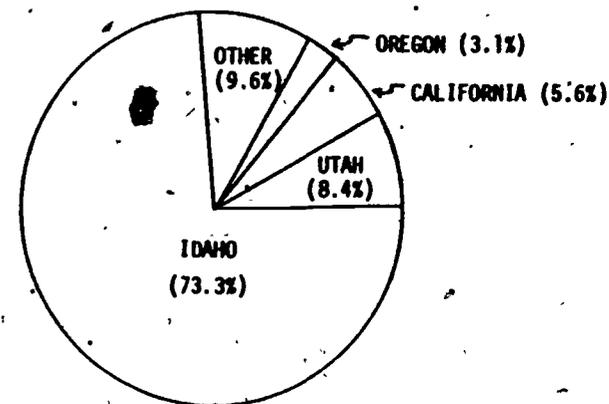
708

INTERSTATE MIGRATION OF STUDENTS

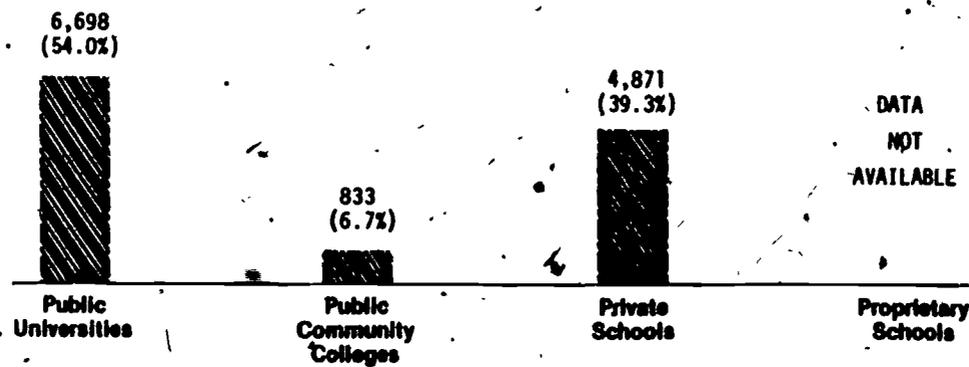
IDAHO H3



RESIDENT AND NON-RESIDENT STUDENTS ATTENDING IN-STATE INSTITUTIONS



RESIDENT STUDENTS ATTENDING IN-STATE AND OUT-OF-STATE INSTITUTIONS



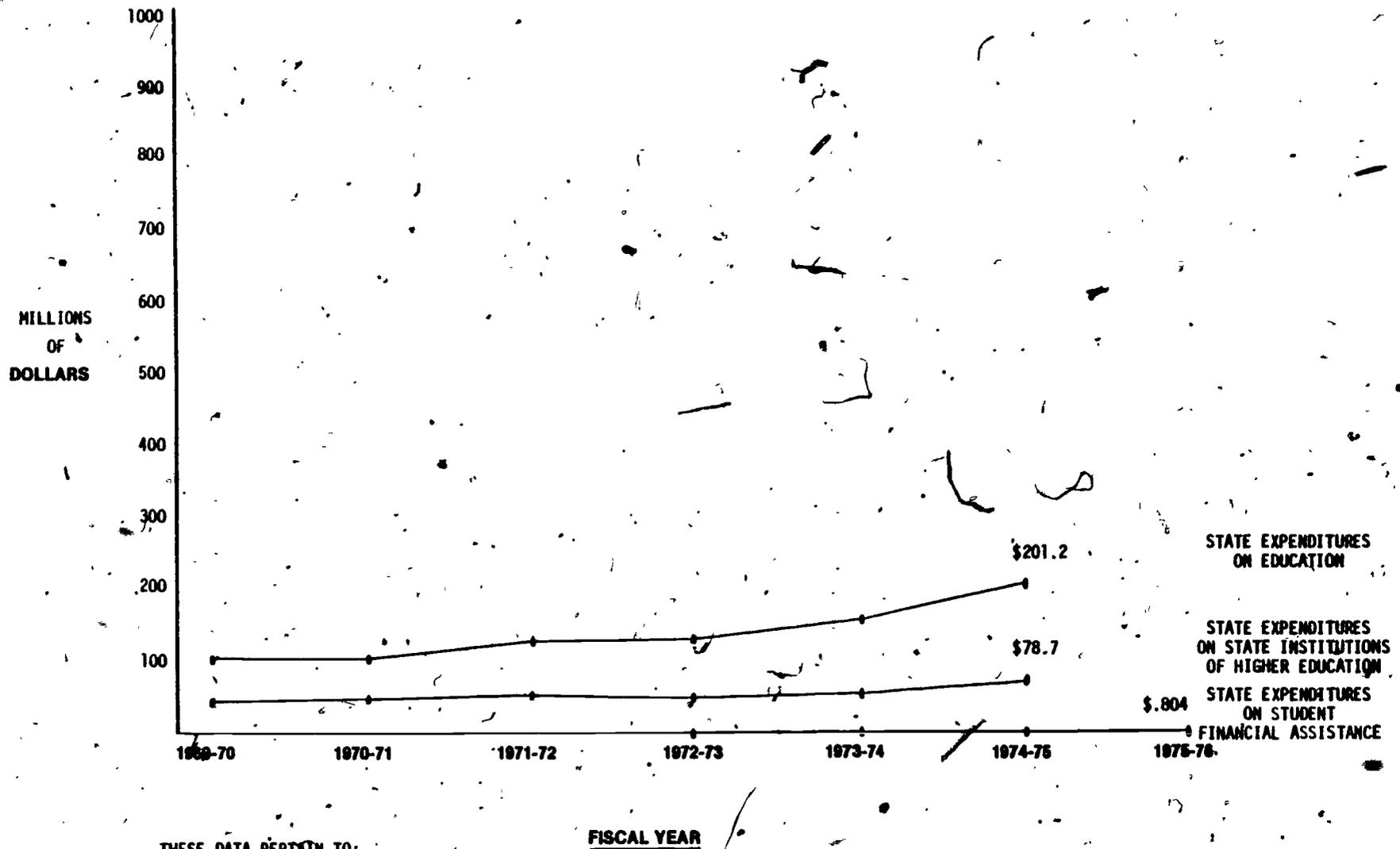
INSTITUTIONAL SECTOR
(Out-of-State Students in School in-State)

709

710

STATE EXPENDITURE ON POSTSECONDARY EDUCATION STUDENT ASSISTANCE

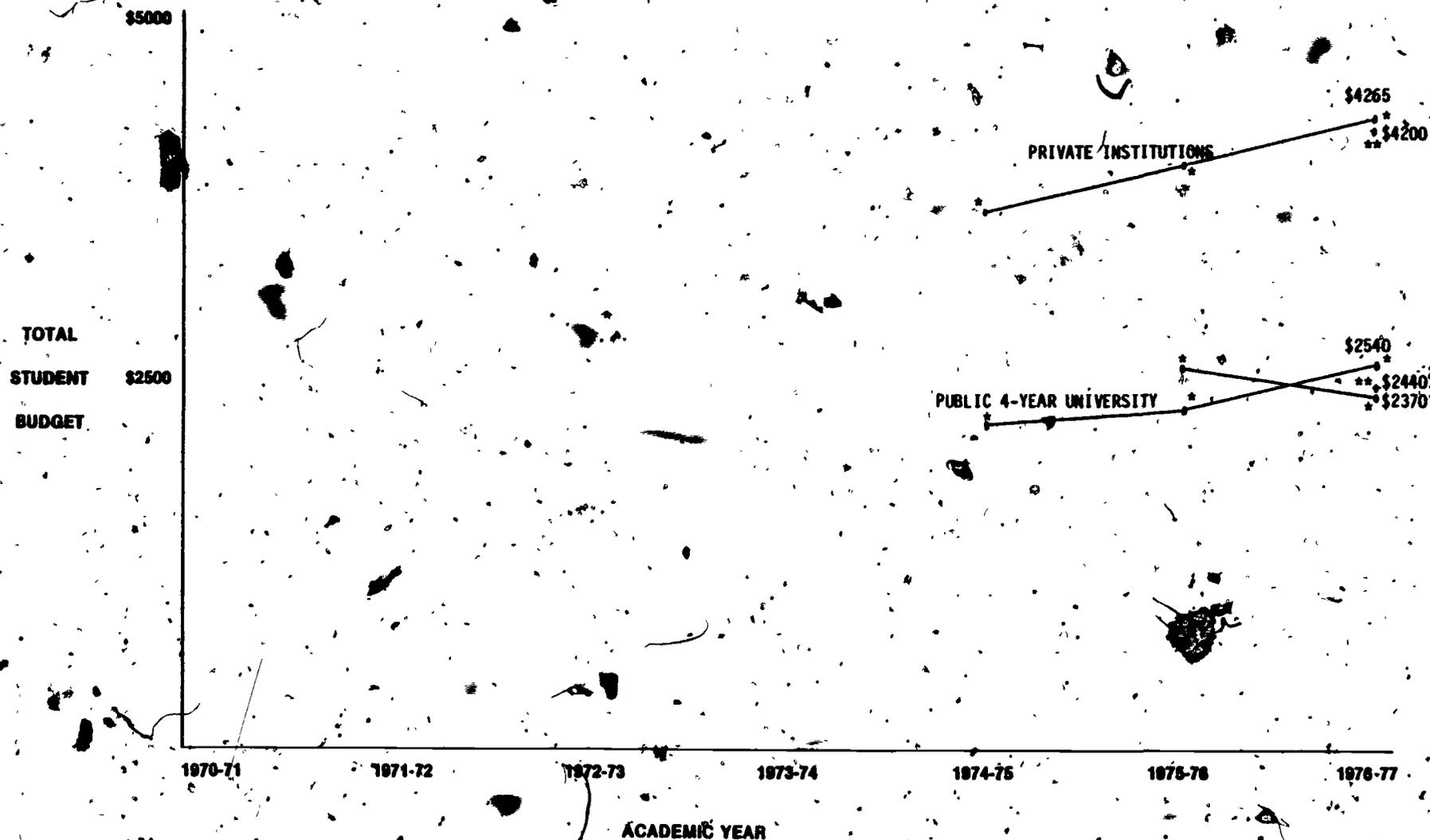
IDAHO '11



THESE DATA PERTAIN TO:
 • STATE STUDENT INCENTIVE GRANT PROGRAM
 • STATE OF IDAHO SCHOLARSHIP PROGRAM
 • WICHE STUDENT EXCHANGE PROGRAM

FISCAL YEAR

GROSS COSTS TO STUDENTS ATTENDING SCHOOL BY POSTSECONDARY EDUCATION SECTORS



- 1 PROPRIETARY INSTITUTIONS
- 2 PUBLIC VOCATIONAL-TECHNICAL INSTITUTIONS
- 3 PUBLIC COMMUNITY/JUNIOR COLLEGES

* Response is not based on fact, but is reasonably certain.
 ** Response is based on a reasonable estimation or approximation.

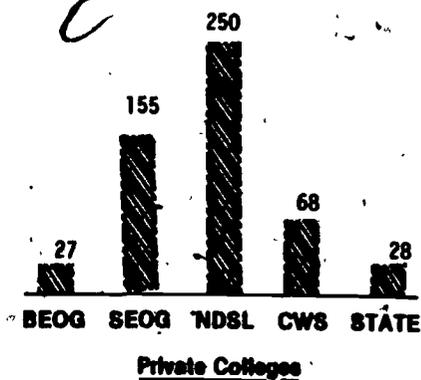
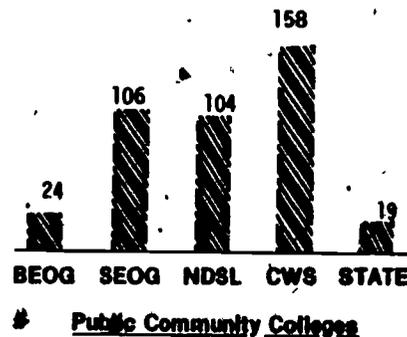
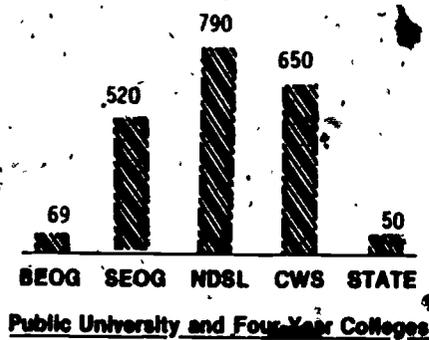
LEVELS OF FEDERAL AND STATE PROGRAM FUNDING BY INSTITUTIONAL SECTOR

IDAHO 13

(Figures in thousands)

THE FIGURES SHOWN FOR STATE DOLLARS AWARDED ARE FOR THE 1975-76 SCHOOL YEAR AND PERTAIN TO:

- STATE STUDENT INCENTIVE GRANT PROGRAM



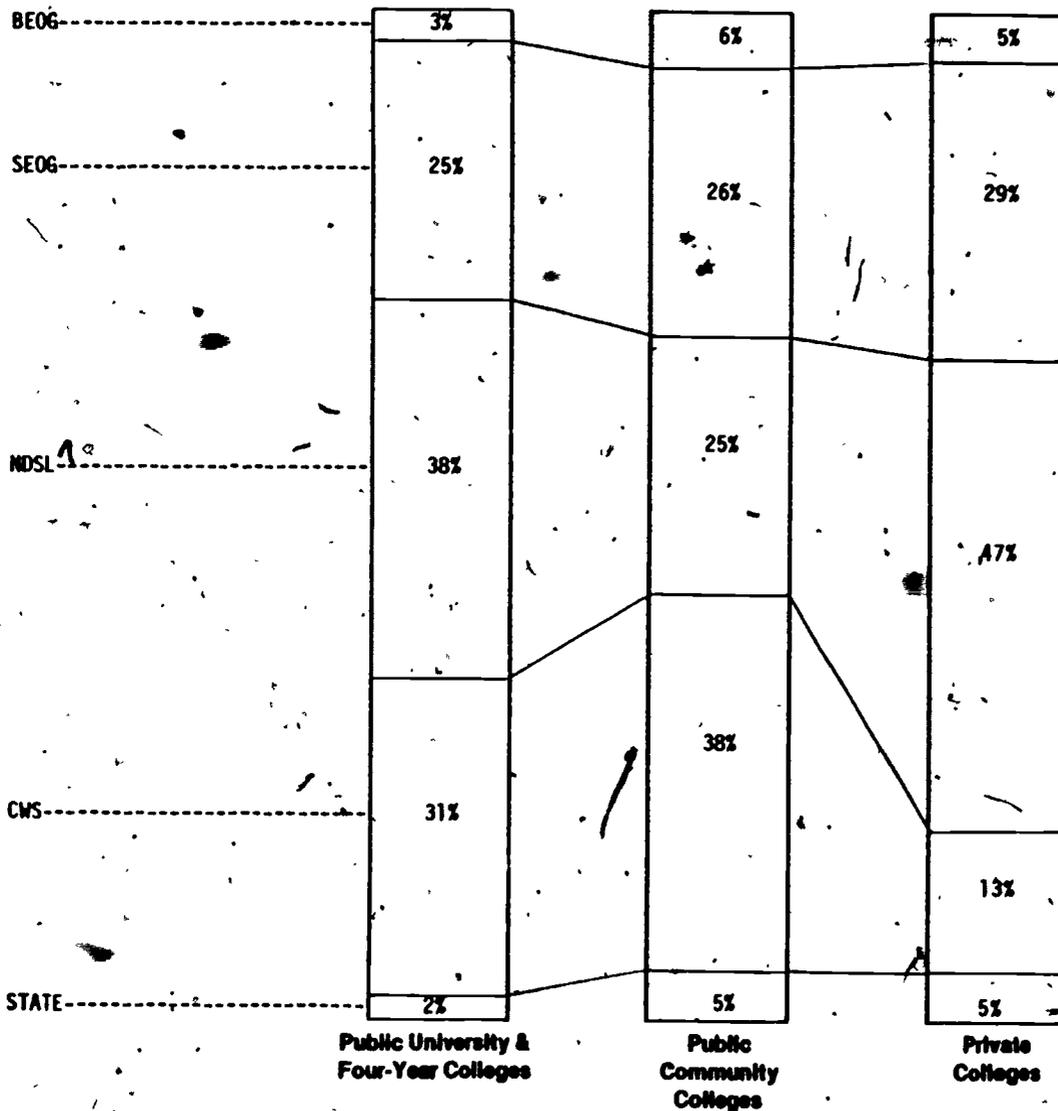
State funds were also awarded to the following types of institutions:

- Proprietary - \$998

716

RELATIVE IMPORTANCE OF FEDERAL AND STATE PROGRAM FUNDING BY SECTOR

IDAHO 14



THE FIGURES SHOWN FOR STATE DOLLARS AWARDED ARE FOR THE 1975-76 SCHOOL YEAR AND PERTAIN TO:

STATE STUDENT INCENTIVE GRANT PROGRAM.

} Percent
Distribution of
Student
Assistance
By Program
By Sector

State funds were also awarded to the following types of institutions:

- Proprietary - \$998

DESCRIPTIONS OF PROGRAM OFFICES AND AGENCIES AND CORRESPONDING PROGRAM RESPONSIBILITIES

ILLINOIS A1

The Illinois State Scholarship Commission (ISSC) is a legislatively created commission and is an independent state agency. The Governor's Bureau of Budget advises on budget matters. Appropriations Committees and Higher Education Committees of both houses of the General Assembly consider fiscal and substantive matters.

The Illinois Board of Higher Education (IBHE) recommends to the governor and General Assembly what level of funding is requested for ISSC programs. ISSC cooperates with but is not administratively under IBHE.

1. Monetary Award Program
2. Bilingual Scholarships
3. National Guard/Naval Militia Awards
4. POW/MIA Dependents Awards
5. Fireman/Police/Correctional Officers
6. Student-to-Student Grant Program
7. Guaranteed Student Loan Program
8. Identification of State Scholars

Illinois Department of Veterans Affairs

1. Veteran's Scholarships

Illinois Office of Education

1. Special Education Scholarships

Division of Vocational Rehabilitation

1. Vocational Rehabilitation Services Program

Office of Public Education

1. General Assembly Awards

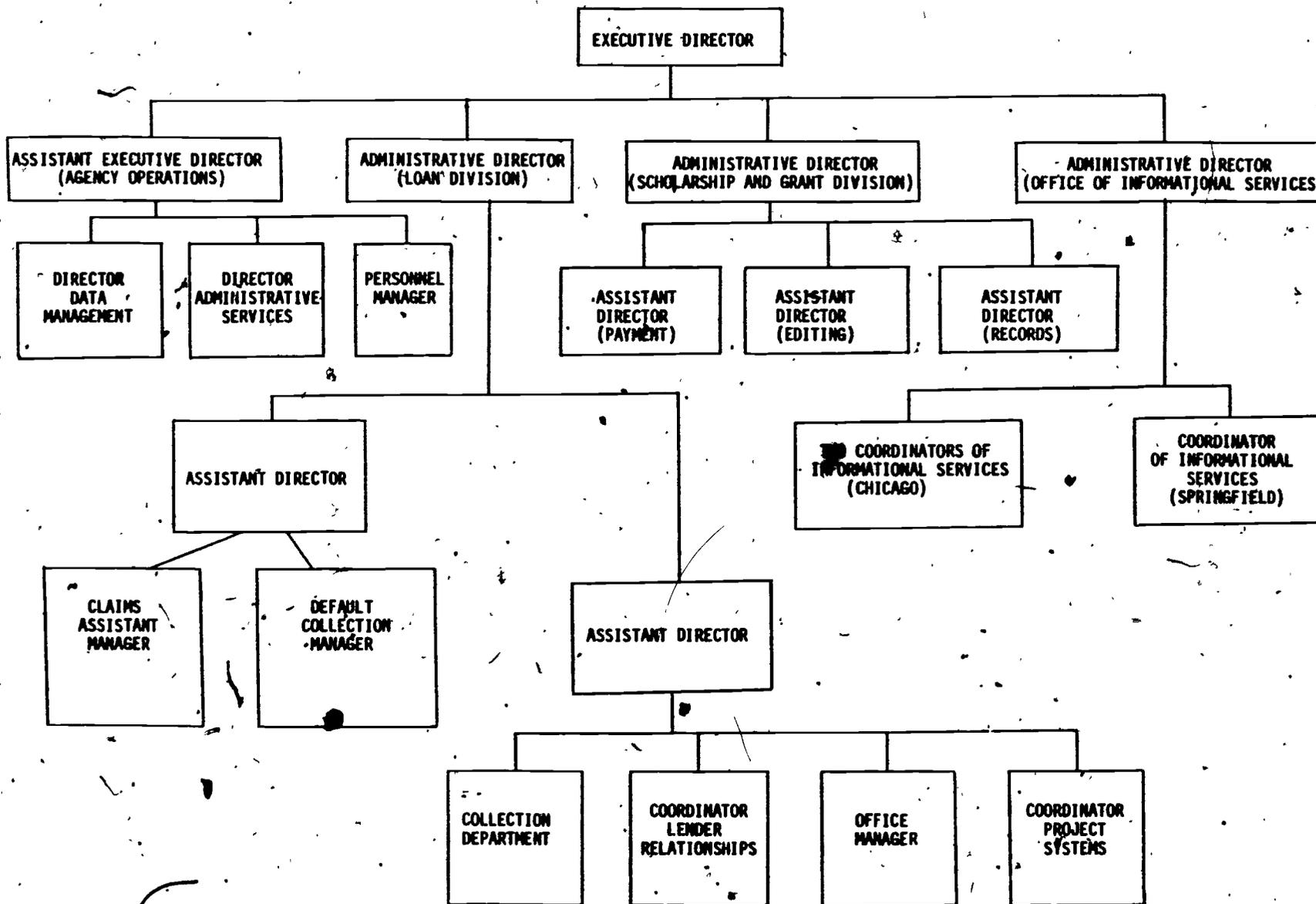
RELATIONSHIPS OF STATE AGENCIES

ILLINOIS A2

- The Illinois State Scholarship Commission (ISSC) is a legislatively created commission and is an independent state agency.
- The Illinois Board of Higher Education (IBHE) does recommend to the governor and General Assembly what level of funding is requested for ISSC programs. The IBHE also, as its master planning role, recommends maximum award amount and other significant student aid master planning. ISSC cooperates with and is not administratively under IBHE.
- The Governor's Bureau of Budget also advises on budget matters relating to ISSC.
- Appropriations Committees and Higher Education Committees of both houses of the General Assembly consider fiscal and substantive ISSC matters.

STATE AGENCY ORGANIZATION

ILLINOIS 81



723

724

**MANAGEMENT RELATIONSHIPS BETWEEN THE STATE AGENCY
AND OTHER STATE AGENCIES/INSTITUTIONS**

ILLINOIS B2

WHO ACCOMPLISHES THE FOLLOWING?

**STATE
PROGRAM
OFFICE**

**INSTITUTIONAL
FINANCIAL
AID OFFICER**

OTHER OFFICES OR AGENCIES

Processing of Applications

- Verification of Student Application Information
- Identification of Eligible Recipients
- Determination of Award Size
- Assignment of Priority to Awards
- Formally Approves Award

Makes Payment to Student (or Credits Student Account).

Makes Post-Award Adjustments

Initiates the Prosecution of Possible Fraudulent Student applications

Publicizes Award Availability

X

X

X

X

X

X¹

X

X

X

X

X

X

725 Verifies enrollment.

726

STATE PROGRAM OFFICE DEFINITIONS FOR SELECTED TERMS

ILLINOIS B3

**DEFINITIONS USED BY
STATE PROGRAM OFFICES**

**STATE DEFINITION
SPECIFIED BY**

**STATE DEFINITION COMMONLY
USED BY ALL INSTITUTIONS**

**STATE DEFINITION CONSISTENT
ACROSS ALL STATE PROGRAMS**

PUBLIC

PRIVATE

Half-Time Student - One who is registered for 6-11 (quarter or semester) hours of credit or its equivalent.

Law

Yes

Yes

Yes

State Resident - One whose parents and/or parent/legal guardian resides within the state of Illinois.

Law

Yes

Yes

Yes

*Independent Student - Must reside in the state of Illinois in some capacity other than as a college student for twelve months prior to the term in which the award is to be used.

Interpretation

Non-Profit Institution - An institution not conducted or maintained for the purpose of making a monetary gain.

Law

Yes

Yes

Yes

*Satisfactory Academic Progress - Enrollment at an approved Illinois institution as defined by the institution.

Interpretation

Yes

United States Citizenship - Persons born in the United States and/or Territories of the U.S. who have been naturalized in the U.S. and have become citizens. Please Note: For most programs, permanent resident immigrants (who possess a green card) and have lived in the state of Illinois for twelve months are eligible for most award programs.

Law

Yes

Yes

Yes

*For the 1977-78 award year must reside in the state of Illinois in some capacity other than a college student for twelve months prior to the term in which the award is to be used.

728

INFORMATION FLOW BETWEEN THE STATE PROGRAM OFFICE AND VARIOUS FEDERAL OFFICES

ILLINOIS B4

| | <u>TYPE OF INFORMATION PROVIDED BY STATE STUDENT-AID AGENCY</u> | <u>TYPE OF INFORMATION RECEIVED BY STATE STUDENT-AID AGENCY</u> | <u>PERCEPTION OF ADEQUACY OF INFORMATION FLOW</u> | |
|--|---|---|---|----------|
| <u>FEDERAL OFFICES</u> | | | | |
| SSIG Office | Summaries | Applications Regulations | Adequate | |
| BEOG Office | | Applications and Application Information | Marginal | |
| Regional OE Student-Assistance Office (Campus-Based Programs) | Almost None | Regulations (Illinois College Allocations) | Adequate | |
| Washington OE Office (Campus-Based Programs) | Almost None | Regulations (Approval for college to use common methodology calcu- lated by ISSC) | Adequate | |
| FISL/GSL Office | Summaries | Summaries | Adequate | |
| <u>STATE/INSTITUTIONAL OFFICES</u> | | | | |
| Public Institutions | Summaries Recipients | Applications Regulations | Applications (Verification of enrollment) | Adequate |
| Private Institutions | Summaries Recipients | Applications Regulations | Applications (Verification of enrollment) | Adequate |
| Governor/Budget Office | Summaries (Annual Report) | Summaries (Budget Analysis, Requests for Information) | | Adequate |
| State Legislature (Including Committee Staffs) | Summaries (Annual Report) | Summaries (Budget Analysis, Requests for Information) | | Adequate |

MANAGEMENT STAFFING OF STATE PROGRAM OFFICES

ILLINOIS BS

| <u>MANAGEMENT FUNCTION</u> | <u>PERCENTAGE OF STAFF EFFORT</u> | <u>STAFF ASSISTANCE FROM OTHER AGENCIES</u> <u>COMMENTS</u> |
|--|-----------------------------------|--|
| APPLICATIONS PROCESSING (Includes verification of student information, need analysis, award determination, etc.) | 65 ¹ | "0" - Includes defaulted loan prevention and collection |
| RESPONDING TO REQUESTS FOR INFORMATION (Includes federal requests for information, parent/student inquiries, testimony in hearings, etc.) | 10 ¹ | "0" |
| PROGRAM REVIEW (Includes on-site visits to institutions, formal/informal audits, etc.) | 5 ¹ | "0" |
| PUBLIC RELATIONS AND INFORMATION DISSEMINATION (Includes parent/student workshops, work on promotional literature, etc.) | 5 ¹ | "0" |
| GENERAL ADMINISTRATION (Includes executive personnel, staff training and development, regulations and guidelines, preparation of summary statistics, etc.) | 15 ¹ | "0" |
| OTHER MANAGEMENT ACTIVITIES (Includes any special staff activities which do not clearly fall in one of the above categories) | | |

¹Response is based on a reasonable estimation or approximation.

**STATE AGENCY CALENDAR OF EVENTS FOR NEED-BASED
PROGRAMS FOR 1976-77 SCHOOL YEAR**

ILLINOIS B9

MONTH/YEAR

EVENTS

APRIL - 1975 BEOG applications information received from federal office

OCTOBER - 1975 1). Agency budget presented to governor's office or budget office
2) Agency makes student-aid applications available

NOVEMBER - 1975 Agency completes planning workshops for students or counselors

(DECEMBER 1975-NOVEMBER 1976) DECEMBER - 1975 Agency announces award winners

APRIL - 1976 Agency budget presented to legislature

JUNE - 1976 Legislature appropriates student assistance funds

SEPTEMBER - 1976 Deadline for student-aid applications

APRIL - 1977 Last date at which
award changes and
adjustments are made

**PROGRAM AND FISCAL CONTROLS OF PROGRAMS
OPERATED BY STATE AGENCY**

ILLINOIS 87

| <u>PROGRAM CONTROLS</u> | <u>CONTROL USED SAMPLE OR TOTAL POPULATION STUDIED</u> | <u>AGENCY/OFFICE UNDERTAKING CONTROLS</u> | <u>SPECIAL ACTIONS COMMENTS</u> |
|--|--|---|---|
| A. <u>Control on Student Application Data Accuracy</u> | | | |
| 1. Match Against Tax Forms? | Yes, Sample | State | All awards pending if federal tax paid is not according to income, exemptions and itemized deductions indicated. If actual federal forms show differences in ISSC application data, one year of benefits from ISSC is denied. |
| 2. Match Against BEOG Applications? | Yes, Sample | State | |
| 3. Match Against Institutional Student Records? | Yes, All | State | |
| 4. Warnings of Prosecution? | | | |
| 5. Prosecution? | | | |
| 6. Denial of future benefits? | Yes | State | |
| B. <u>Controls on Attendance and/or Progress</u> | | | |
| 1. Check on Enrollment/Initial Attendance? | Yes, All | State | |
| 2. Check on Mid-Term Attendance? | | | |
| 3. Check on Course Completion? | | | |
| 4. Check on Academic Progress Before Award? | | | |
| 5. Partial Awards? | | | |
| C. <u>Controls on Award Size</u> | | | |
| 1. Check to Make Sure Other Awards Actually Made? | Yes, All | State | ISSC benefits reduced in future to equal amount of unreported to ISSC gift aid. |
| 2. Match Against BEOG, Campus-Based Award Files? | Yes, All | State | |
| 3. Re-Check to Ensure Award Adjustments Actually Made? | | | |
| 4. Second Review of Aid Packages? | | | |
| D. <u>Controls on Institutional Student-Aid Operations</u> | | | |
| 1. Independent Program Audits? | | | |
| 2. Aid Officer Bonding? | | | |
| 3. Aid Officer Certification? | | | |
| 4. Double Signatures on All Fund Transfers? | | | |
| E. <u>Controls on Central Administration Staff Operations</u> | | | |
| 1. Independent Program Audits? | Yes | State | |
| 2. Bonding of Staff Members? | | | |
| 3. Double Signatures on All Fund Transactions? | | | |

**PROGRAM INFORMATION DISSEMINATION PROCEDURES
USED FOR STATE NEED BASED PROGRAMS**

ILLINOIS C1

TYPE OF INFORMATION PROVIDED

| <u>INFORMATION PROVIDED THROUGH</u> | <u>APPLICATION FORMS</u> | <u>ELIGIBILITY CONDITIONS</u> | <u>FILING/CLOSING DATES FOR STATE PROGRAMS</u> | <u>INFORMATION ON THE COST OF ATTENDANCE AT VARIOUS TYPES OF INSTITUTIONS</u> | <u>INFORMATION ABOUT APPLYING FOR AID FROM OTHER SOURCES</u> | <u>INFORMATION ON PENALTIES FOR PROVIDING FRAUDULENT DATA</u> |
|--|--------------------------|-------------------------------|--|---|--|---|
| 1. High School Counselors | X | X | X | X | X | X |
| 2. College Financial-Aid Officers | X | X | X | X | X | X |
| 3. Direct Mailings to Graduating High School Students or Parents | | | | | | |
| 4. High School Meetings or Workshops | X | X | X | X | X | X |
| 5. College Admissions Office | X | X | X | X | X | X |
| 6. Newspaper Ads | | X | X | | X | |
| 7. Radio, Television Ads | | X | X | | X | |
| 8. Social Service Agencies | X | X | X | X | X | X |
| 9. Other (Please Specify) | | | | | | |

DESCRIPTIONS OF STATE-SPONSORED STUDENT ASSISTANCE PROGRAMS

ILLINOIS D1

Illinois Guaranteed Loan Program (IGLP) - Guarantees loans for educational expenses made to postsecondary students by Illinois private banks, savings and loan associations, and credit unions:

Monetary Award Program - A grant program for needy state residents which provides access to and reasonable choice of public and private state institutions for undergraduate study, by removing financial barriers and keeping loan(s) and work at reasonable levels.

Bilingual Scholarships - A conditional grant program for state residents attending in-state schools (1) who are bilingual but whose primary language is not English; (2) who are eligible to teach in another state or country; (3) who are seeking Illinois Teacher Certification; and (4) who need not more than four semesters/six quarters to be eligible for Illinois Teachers Certification.

National Guard/Naval Militia Awards - A grant program providing educational benefits for currently enlisted members of Illinois National Guard or Illinois Naval Militia who have served at least one year and who are attending in-state public schools.

POW/MIA Dependents Awards - A grant program providing educational benefits for spouse and/or dependent children of any Illinois veteran officially reported to be a POW, MIA, or a deceased or 90% disabled veteran since 1/1/60. Students may be resident or non-resident dependents attending public or private in-state schools.

Fireman/Policeman Dependents Awards - A grant program providing educational benefits for children (age 25 and under) of policemen and firemen killed in the line of duty. Deceased officer must have been an Illinois resident, but dependents may be resident or non-resident students who are attending public or private in-state schools.

Student-to-Student Grant Program - A program providing matching funds to state universities for any contributions they receive toward establishing special scholarships for needy students at their institution. Contributions must come from students with a maximum contribution of \$9.00 a year (per student). Recipients must be state residents attending in-state schools.

Illinois Veteran's Scholarships - A grant program to provide financial assistance to state veterans who have been honorably discharged, who have served at least one year, and who were residents at least six months prior and six months following service. Recipients must be resident students attending in-state public schools.

Illinois Special Education Scholarship - A scholastic tuition-remission program (conditional that the student teach for two years in state) which provides educational benefits for a maximum of 250 resident students per year who are enrolled at least half-time at a four-year Illinois State Institution in the field of special education only. Awards are available to recent high school graduates or certified teachers retraining in special education.

Illinois Program for Veteran's Dependents and War Orphans - A grant program to provide educational opportunity to resident dependents of Illinois veterans 100% disabled, killed in action, or died due to disability. This program is not for postsecondary students.

Vocational Rehabilitation Services Program - A grant program to provide education eligibility and services to needy individuals based on presence of physical or mental disability that represents substantial handicap to employment and education. Recipients may be resident or non-resident students attending in-state schools or special institutions, or resident students attending out-of-state schools or special institutions.

General Assembly Awards - A mandated program to assist, each year, two members of each legislative district with tuition waivers at the University of Illinois and at one other state university.

Dependents of Department of Correctional Employees - A grant program to provide educational benefits to dependents of the Dept. of Correctional Employees. Recipients may be resident or non-resident students attending in-state schools.

BASIC STATE PROGRAM INFORMATION

ILLINOIS D2

| <u>Need-Based</u> | <u>Programs</u> | <u>Year</u> | <u>Number of Awards</u> | <u>Average Full-Time Award Size</u> | <u>Total Dollars Awarded</u> | <u>Eligible Population</u> |
|-------------------|--|-------------------------|-------------------------|-------------------------------------|------------------------------|---|
| | <u>Grants</u> | | | | | |
| | Monetary Award Program | 1970-71 | 48,369 | \$ 671 | \$32,461,000 | Resident Undergraduates |
| | | 1975-76 | 92,211 | \$ 739 | \$68,135,000 | |
| | Student-to-Student Grant Program | 1972-73 | 831 | \$ 177 | \$ 147,205 | Resident Undergraduates |
| | | 1975-76 | 4,442 | \$ 109 | \$ 486,157 | |
| | Vocational Rehabilitation Services Program ¹ | Unavailable | | | | Handicapped |
| | | 1975-76 | 533 | \$1,500 | \$ 800,000 | |
| | <u>Loans</u> | | | | | |
| | Illinois Guaranteed Loan Program (IGLP) ² | 1970-71 | 35,865 | \$1,166 | \$41,829,668 | All Students |
| | | 1975-76 | 30,916 | \$1,587 | \$47,823,946 | |
| | <u>Non Need-Based</u> | | | | | |
| | Bilingual Scholarships ³ | 1972-73 | 255 | \$ 494 | \$ 126,016 | Bilingual Students |
| | | 1975-76 | 113 | \$1,151 | \$ 130,118 | |
| | National Guard/Naval Militia Awards ⁴ | | | | | |
| | POW/MIA Dependents Awards | 1972-73 | 4 | \$ 492 | \$ 1,969 | Dependents of Veterans killed or 90% disabled |
| | | 1975-76 | 102 | \$ 311 | \$ 31,711 | |
| | Fireman/Policeman Dependents Awards | 1972-73 | 1 | \$1,200 | \$ 1,200 | Children (under 25) of Law/Fire Officers |
| | | 1975-76 | 8 | \$ 387 | \$ 3,093 | |
| | Illinois Veteran's Scholarships ⁵ | 1972-73 | 49,388 ⁶ | \$ 167 | \$ 8,247,430 | Veterans |
| | | 1975-76 | 91,722 ⁶ | \$ 128 | \$11,774,271 | |
| | Illinois Special Education Scholarship ⁸ | 1970-71 | Unavailable | | | Students enrolled in Special Education Study |
| | | 1975-76 | 250 | \$ 500 | \$ 125,000 | |
| | Illinois Program for Veteran's Dependents and War Orphans ⁹ | Information Unavailable | | | | Dependents of Illinois Veterans |

CONTINUED

BASIC STATE PROGRAM INFORMATION

ILLINOIS D2

| <u>Programs</u> | <u>Year</u> | <u>Number of Awards</u> | <u>Average Full-Time Award Size</u> | <u>Total Dollars Awarded</u> | <u>Eligible Population</u> |
|--|-------------|-------------------------|-------------------------------------|------------------------------|--|
| General-Assembly Awards ¹⁰ | 1972-73 | 1,924 | Tuition | \$ 347,816 | One student named each year in District enrolled at University of Illinois and one student at another Illinois university. |
| | 1975-76 | 2,394 | Tuition | \$ 432,093 | |
| Dependents of Department of Correctional Employees | 1972-73 | -0- | | | Dependents of Department of Correction Employees |
| | 1975-76 | -0- | | | |

- 1 Applicants must apply to all other aid programs (ISSC, BEOG, etc.) prior to being granted an award from DVR based on Congressional Act in 1973.
- 2 Is a need-based program if student is requesting federally-paid interest loan. Is not need-based for non-subsidized loan.
- 3 Recipients of awards must sign a declaration of intent to teach in an Illinois school were there are a substantial number of non-English speaking students enrolled. Under certain conditions, grant becomes a repayable loan.
- 4 First year of awards will be 1976-77.
- 5 Student has twelve years after discharge to take advantage of this program. The student is eligible for an "equivalent" of four years.
- 6 Response not based on fact; but reasonable certainty.
- 7 A "best guess" response.
- 8 If student can prove that he/she is unable to find a job, he/she is not liable for grant. Substitute teaching or part-time teaching is acceptable.
- 9 This program is not for postsecondary students. Further information is contained in state statute 126/2, paragraphs 26 and 27.
- 10 Each of 235 representatives and 59 senators must nominate from his/her district one candidate on the merit of the student for tuition waiver at the University of Illinois and one candidate at one other state four-year school. Legislators may choose to nominate one student for four years or four students for one year each. If students drop out of school, the remaining monies go back to legislator who may reissue waiver to a different student. Legislator is only responsible for nominating a candidate if that student chooses not to attend University of Illinois--the waiver does not have to be reissued.

STUDENT ELIGIBILITY CRITERIA FOR STATE PROGRAMS

ILLINOIS E1

| <u>Programs</u> | <u>Acceptable Residency Status</u> | <u>Acceptable Attend. Status</u> | <u>Acceptable Student Levels</u> | <u>Special Priority to Cont'g. Awards</u> | <u>Income Limits</u> | <u>Need Limits</u> |
|--|---|-------------------------------------|--|---|---------------------------------|--------------------|
| <u>Need-Based</u> | | | | | | |
| <u>Grants</u> | | | | | | |
| Monetary Award Program | Residents attending In-State | Half-Time Full-Time | All Undergraduates | No | None | \$120 ¹ |
| Student-to-Student Grant Program | Residents attending In-State | Full-Time | All Undergraduates at Public Senior Colleges | No | None | \$120 |
| Vocational Rehabilitation Services Program | Residents attending In/Out of State & Non-Residents | Part-Time Half-Time Full-Time | All | Yes | Financial need analysis | Not Applicable |
| <u>Loans</u> | | | | | | |
| Illinois Guaranteed Loan Program (IGLP) | Residents attending In/Out of State & Non-Residents | Half-Time Full-Time | All | No | \$24,999 for Interest subsidies | None |
| <u>Non Need-Based</u> | | | | | | |
| Bilingual Scholarships | Residents attending In-State | Half-Time Full-Time | Juniors Seniors Graduates | No | Not Applicable | Not Applicable |
| National Guard/Naval Militia Awards | 2 | Part-Time Half-Time Full-Time | All | No | Not Applicable | Not Applicable |
| POM/MIA Dependents Awards | Residents & Non-Residents attending In-State Schools ³ | Part-Time Half-Time Full-Time | All | No | Not Applicable | Not Applicable |
| Fireman/Policeman Dependents Awards | Residents & Non-Residents attending In-State Schools ³ | Part-Time Half-Time Full-Time | All Undergraduates | No | Not Applicable | Not Applicable |



STUDENT ELIGIBILITY CRITERIA FOR STATE PROGRAMS

ILLINOIS E1

| <u>Programs</u> | <u>Acceptable Residency Status</u> | <u>Acceptable Attend. Status</u> | <u>Acceptable Student Levels</u> | <u>Special Priority to Cont'g. Awards</u> | <u>Income Limits</u> | <u>Need Limits</u> |
|---|--|-------------------------------------|----------------------------------|---|----------------------|--------------------|
| Illinois Veteran's Scholarships | Residents - In-State | Part-Time Half-Time Full-Time | All | No | Not Applicable | Not Applicable |
| Illinois Special Education Scholarship | Residents - In-State | Half-Time ⁴ | All Undergraduates | No | Not Applicable | Not Applicable |
| Illinois Program for Veterans' Dependents and War Orphans | Residents - In-State | Full-Time | Not Applicable | No | Not Applicable | Not Applicable |
| General Assembly Awards | Residents (See Note 10, Table D2) | Not Applicable | All | No | Not Applicable | Not Applicable |
| Dependents of Department of Correctional Employees | Residents & Non-Residents in In-State Schools ³ | Part-Time Half-Time Full-Time | All Undergraduates | No ⁴ | Not Applicable | Not Applicable |

¹ Unless tuition and fees are less than \$120.

² Membership in Illinois National Guard/Militia determines eligibility, not state of residency.

³ Veteran must have been an Illinois resident; beneficiary may live out of state.

⁴ At least half-time. University makes final decision.

INSTITUTIONAL ELIGIBILITY CRITERIA FOR STATE STUDENT-ASSISTANCE PROGRAMS

ILLINOIS E2

| <u>Programs</u> | <u>University and 4-Year Colleges</u> | <u>Community/ Junior Colleges</u> | <u>Voc./Tech. Schools</u> | <u>Proprietary</u> | <u>Special Types of Institutions</u> |
|---|---|---------------------------------------|-------------------------------|--------------------|---|
| <u>Need-Based</u> | | | | | |
| <u>Grants</u> | | | | | |
| Monetary Award Program | Public Private | Public Private | No | No | Hospital schools of nursing & allied health programs |
| Student-to-Student Grant Program | Public | No | No | No | No |
| Vocational Rehabilitation Services Program | Public Private | Public Private | Public | Yes | Training of any sort; on-job-training |
| <u>Loans</u> | | | | | |
| Illinois Guaranteed Loan Program (IGLP) | Public Private | Public Private | Public | Yes | All schools fed- erally approved & meet State of Illi- nois criteria |
| <u>Non Need-Based</u> | | | | | |
| Bilingual Scholarships | Public Private | | No | No | No |
| National Guard/Naval Militia Awards | Public | Public | No | No | No |
| POW/MIA Dependents Awards | Public Private | Public Private | No | No | No |
| Fireman/Policeman Dependents Awards | Public Private | Public Private | No | No | No |
| Illinois Veteran's Scholarships | Public | Public | No | No | No |
| Illinois Special Education Scholarship | Public | No | No | No | No |
| Illinois Program for Veterans' Dependents and War Orphans | State, elementary, secondary, high school, vocational/trade, college or university. | | | | |
| General Assembly Awards | Public | No | No | No | |
| Dependents of Department of Correctional Employees | Public Private | Public Private | No | No | |

NEED DETERMINATION CRITERIA FOR STATE PROGRAMS

ILLINOIS E3

| <u>Programs</u> | <u>Program Definition of Need</u> | <u>Is BEOG Application Required?</u> | <u>Expected Family Contribution (EFC) Method</u> | <u>Student Budget Includes What Items?</u> |
|--|---|--------------------------------------|--|--|
| <u>Need-Based</u> | | | | |
| <u>Grants</u> | | | | |
| Monetary Award Program | Need = Respective College Cost Budget - Expected Contribution from Income/ Assets, Arbitrary Self-Help & any BEOG Dollars | No | Use own system of need analysis | Tuition/Fees |
| Student-to-Student Grant Program | Need = Respective College Cost Budget - Expected Contribution from Income Assets - Arbitrary Self-Help - Any BEOG Dollars | No | Needs analysis of ISSC | Tuition/Fees |
| Vocational Rehabilitation Services Program | Need = If, without DVR, person cannot get training necessary for ultimate employment | Yes | Income Tax, BEOG, Total Need Analysis | All |
| <u>Loans</u> | | | | |
| Illinois Guaranteed Loan Program (IGLP) | Federal law definition | No | Not Given | Any educational cost |

Need calculation assumes application to BEOG and benefits will be received, if eligible. Filing of BEOG is not technically required.

752

AWARD SIZE DETERMINATION CRITERIA FOR STATE PROGRAMS

ILLINOIS E4

| <u>Programs</u> | <u>Maximum Award Size?</u> | <u>What Award Size Determination Method is Used?</u> | <u>How is Award Priority Determined?</u> | <u>Who Ultimately Determines Award Amount?</u> |
|--|---|---|---|--|
| <u>Need-Based</u> | | | | |
| <u>Grants</u> | | | | |
| Monetary Award Program | \$1,500 for 1976-77 | If difference between college cost budget minus expected contribution from income-assets, arbitrary self-help and any BEOG support is equal or greater than tuition and fees, then up to \$1,500, not to exceed tuition and fees, is assigned. Partial awards from \$120 to \$1,440 (\$60 intervals) are assigned for those with less need. | Date of filing | State |
| Student-to-Student Grant Program | Tuition/Fees | By need analysis | Date of filing | Institution |
| Vocational Rehabilitation Services Program | No limits | Financial need/cost of institute/ other scholarships/special category handicapped | When monies are short--most severely handicapped are helped first. | Institution & combination of counselors |
| <u>Loans</u> | | | | |
| Illinois Guaranteed Loan Program (IGLP) | \$ 2,500/academic yr. \$ 7,500/undergrad. \$10,000/graduate | Expenses minus aid to maximum of \$2,500 per academic year for third year and above, maximum of \$1,000 for Freshman and \$1,500 for Sophomores. Lender must approve. | None. Lender must approve loan--all eligible students receive guarantee by State of Illinois. | State |
| <u>Non Need-Based</u> | | | | |
| Bilingual Scholarships | \$1,500 for 1976-77 | Payment of tuition and fees | Date of filing | State |
| National Guard/Naval Militia Awards | Tuition/Fees | Tuition and mandatory fees (which include matriculation, graduation, activity, term and incidental) | Not applicable | State |
| POW/MIA Dependents Awards | \$1,500 for 1976-77 | Based on tuition and fees | Not applicable | State |

CONTINUED

AWARD SIZE DETERMINATION CRITERIA FOR STATE PROGRAMS

ILLINOIS E4

| <u>Programs</u> | <u>Maximum Award Size?</u> | <u>What Award Size Determination Method is Used?</u> | <u>How is Award Priority Determined?</u> | <u>Who Ultimately Determines Award Amount?</u> |
|--|----------------------------|--|---|--|
| Fireman/Policeman Dependents Awards | \$ 1,500 | Based on tuition and fees | Not applicable | State |
| Illinois Veteran's Scholarships | Tuition/Fees | By amount of tuition and fees | Not applicable | Institution |
| Illinois Special Education Scholarship | Tuition | ACT scores and high school rank in class must be upper half | ACT scores and high school rank in class (must be upper half) | Institution |
| Illinois Program for Veterans Dependents and War Orphans | \$ 150 | Not applicable | Not applicable | State |
| General Assembly Awards | Tuition | Individual legislator has privilege of awarding two students (maximum) on whatever basis he desires each year. | Dependent upon individual legislator | Institution based on school budget |
| Dependents of Department of Correctional Employees | \$ 1,500 for 1976-77 | Based on tuition and fees | Not applicable | State |

755

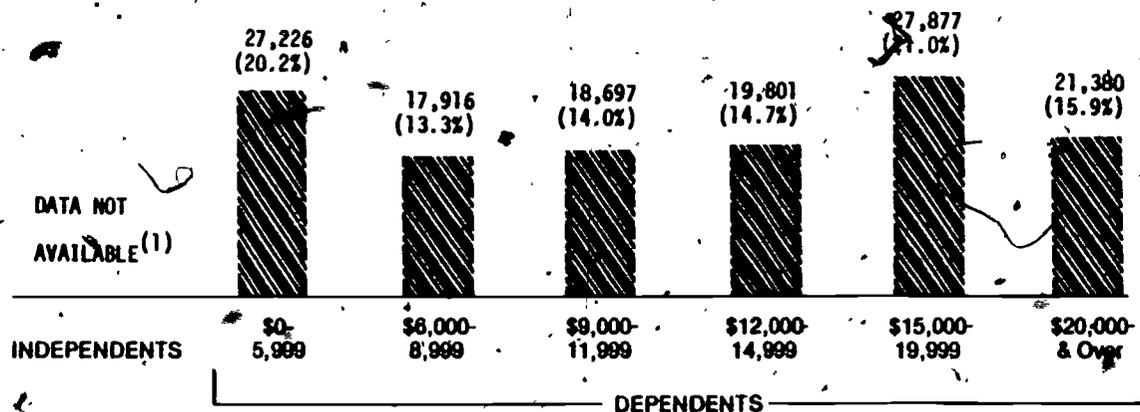
756

NUMBER OF NEED-BASED GRANT PROGRAM APPLICANTS

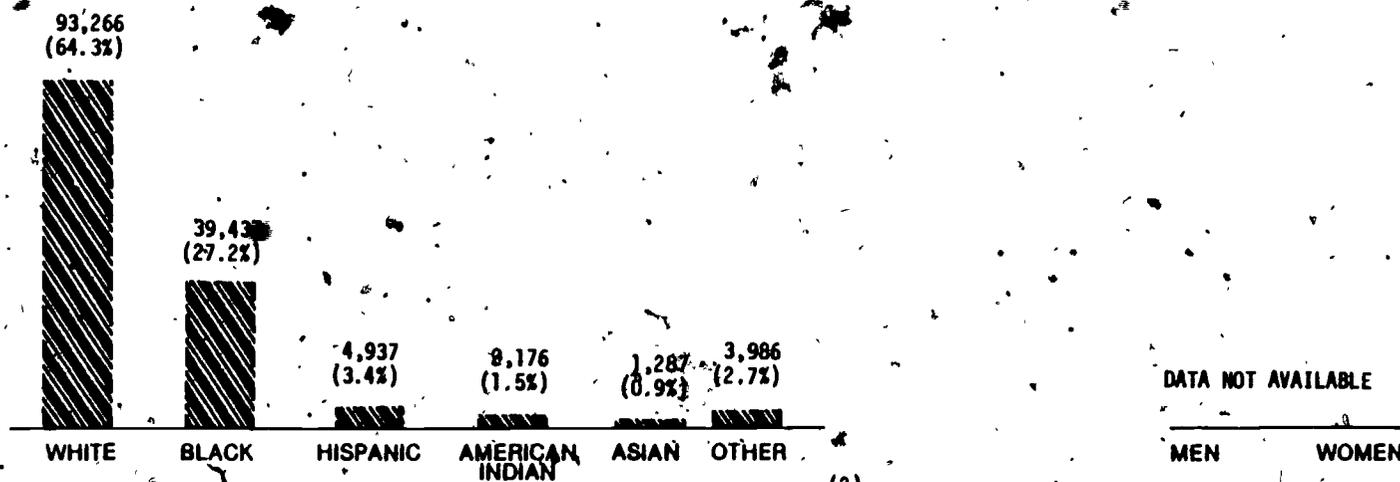
ILLINOIS F1

THESE FIGURES FOR THE 1975-76 SCHOOL YEAR PERTAIN TO:

• MONETARY AWARD PROGRAM



Income Level (Data Includes Only Applicants Eligible to Process)



Ethnic Status (Data Includes All Applicants) (2)

Sex

(1) The distribution of awards by student income categories includes awards made to both dependent and independent students. The data available did not distinguish between dependent and independent students.

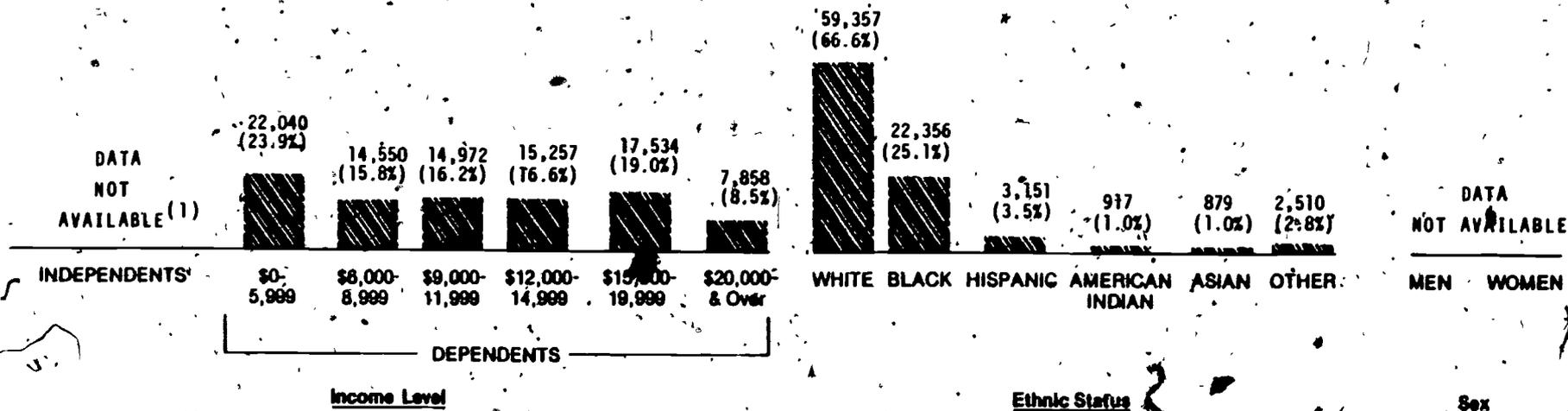
(2) There were 5,721 applicants whose ethnic status was not reported. These are not included in the ethnic status distributions shown above.

NUMBER OF NEED-BASED GRANT PROGRAM RECIPIENTS AND AVERAGE AWARD SIZE

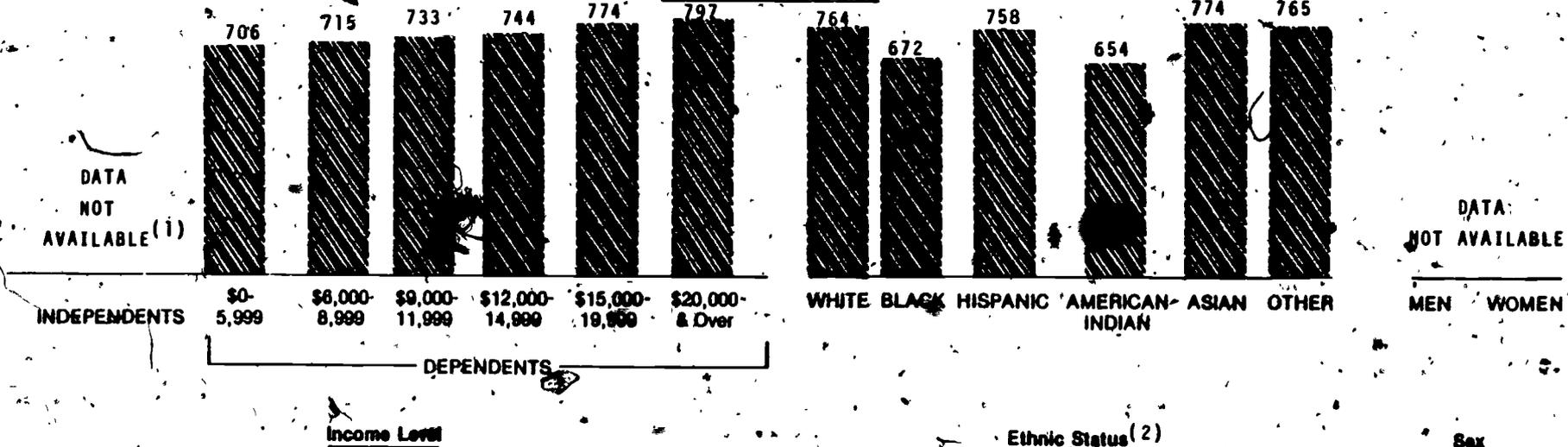
THESE FIGURES FOR THE 1975-76 SCHOOL
YEAR PERTAIN TO:

• MONETARY AWARD PROGRAM

NUMBER OF RECIPIENTS



AVERAGE AWARD SIZE (1)



(1) Responses are based on reasonable estimations or approximations. Also, the distribution of awards by student income categories includes awards made to both dependent and independent students. The data available did not distinguish between dependent and independent students.

(2) There were 3,040 applicants whose ethnic status was not reported. These are not included in the ethnic status distributions shown above.

SOURCES AND DISTRIBUTION OF NEED-BASED GRANT PROGRAM FUNDS

THESE FIGURES FOR THE 1975-76 SCHOOL YEAR PERTAIN TO:

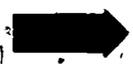
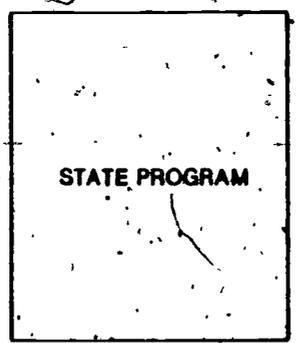
- MONETARY AWARD PROGRAM

STATE APPROPRIATIONS
\$67,073,000 98.4%

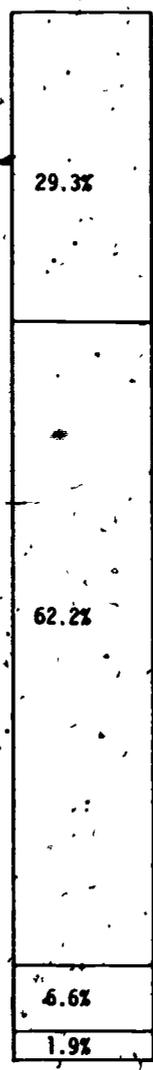
PRIVATE GIFTS

\$1,062,000 1.6%

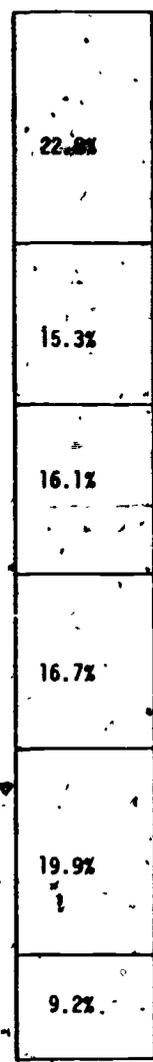
FEDERAL SSI PROGRAM



INSTITUTIONAL SECTORS

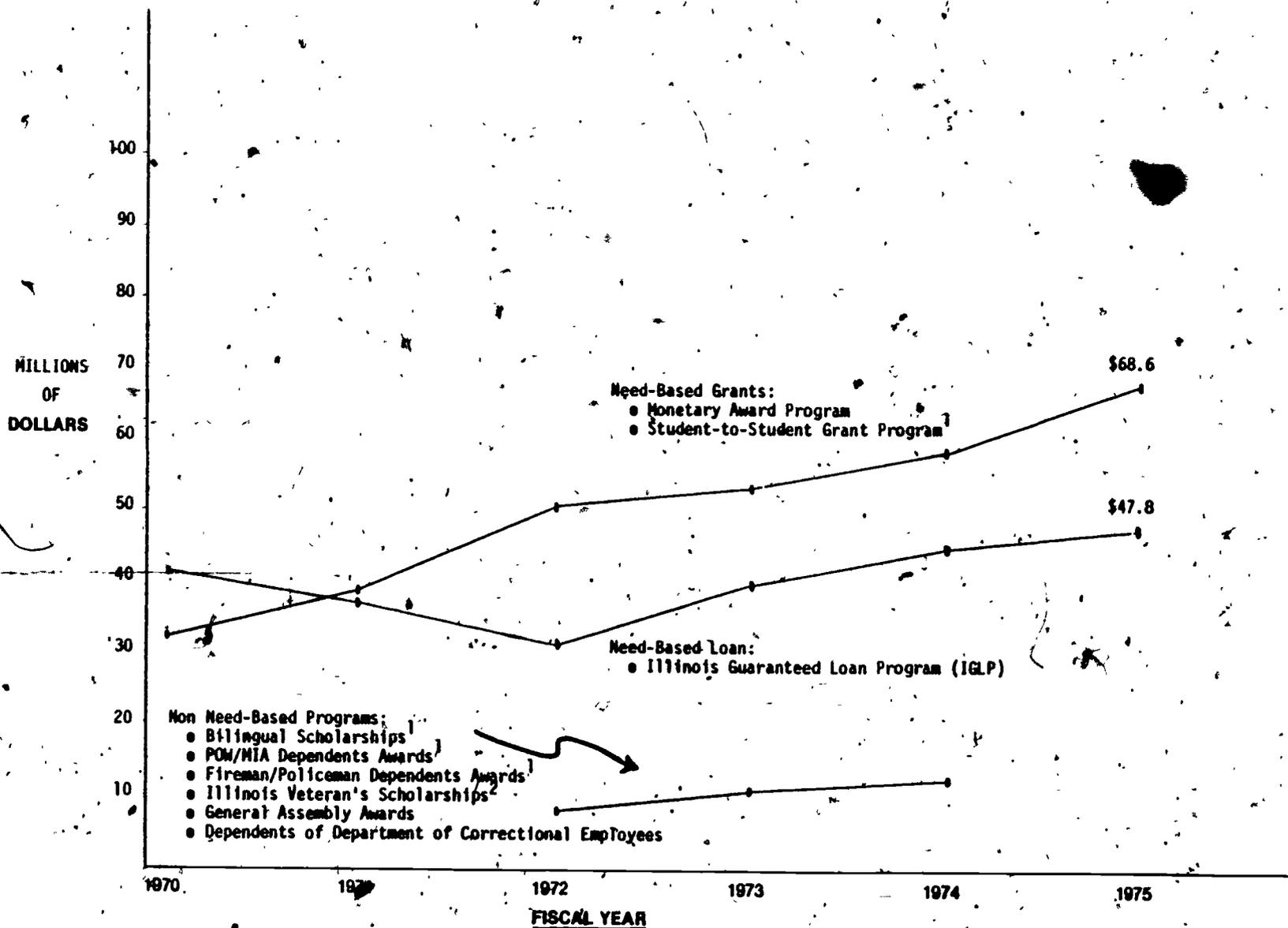


STUDENT INCOME CATEGORIES



The distribution of awards by student income categories includes awards made to both dependent and independent students. The data available did not distinguish between dependent and independent students.

HISTORIC STATE EXPENDITURES ON STUDENT-ASSISTANCE PROGRAMS

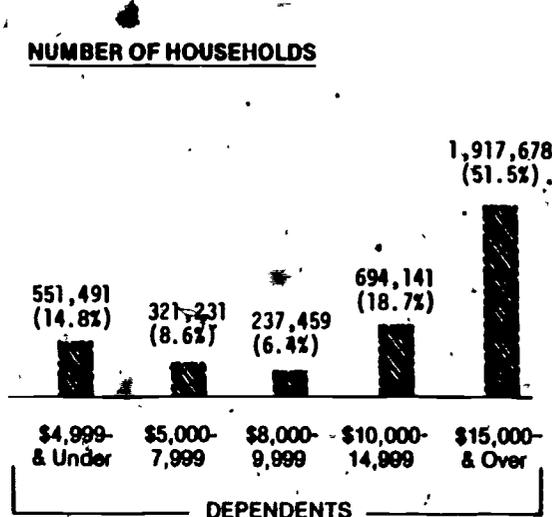


¹ 1972-73 first year of program.
² Data not given for 1975-76.

STATE POPULATION PROFILE

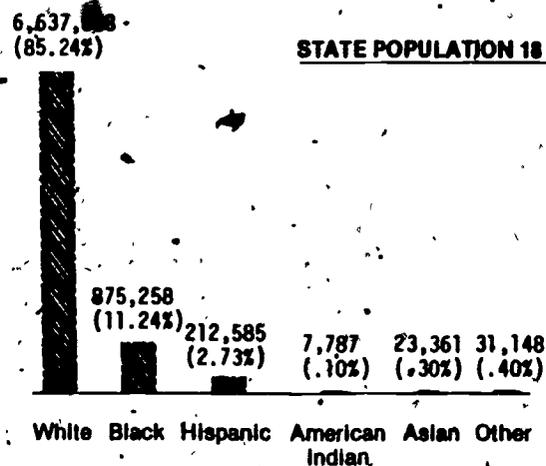
ILLINOIS H3

NUMBER OF HOUSEHOLDS

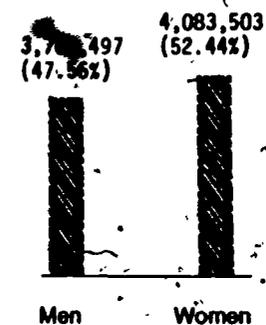


Effective Buying Income

STATE POPULATION 18 AND OVER

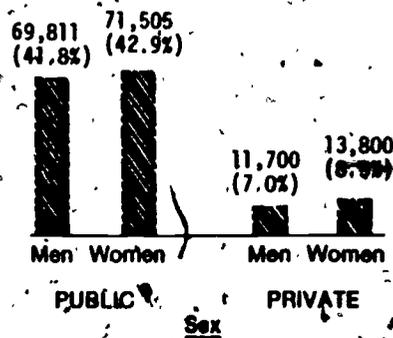


Ethnic Status



Sex

STATE HIGH SCHOOL GRADUATES



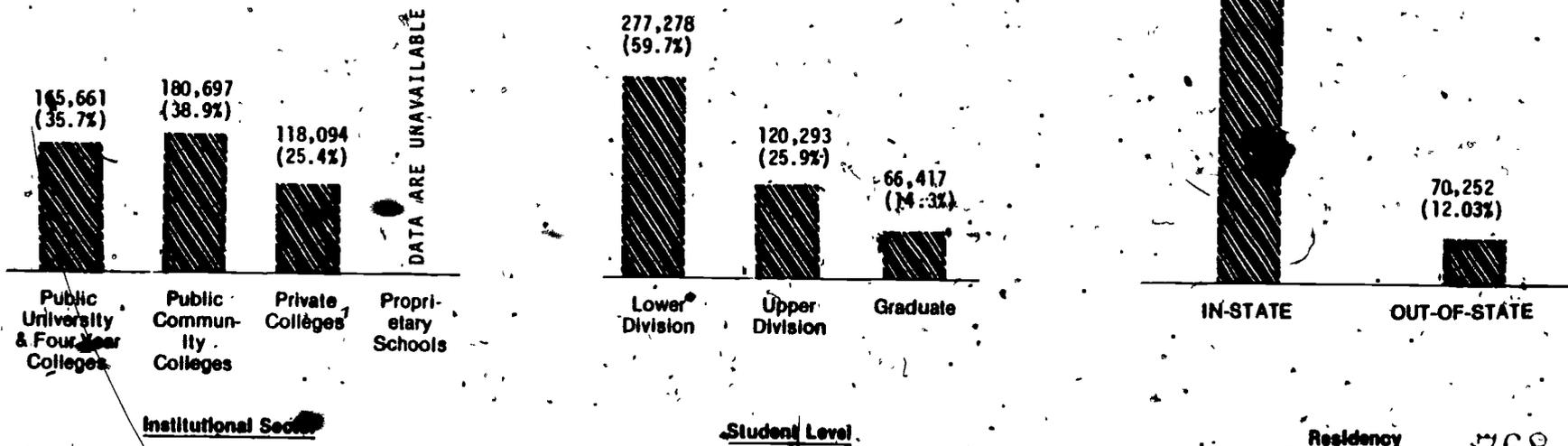
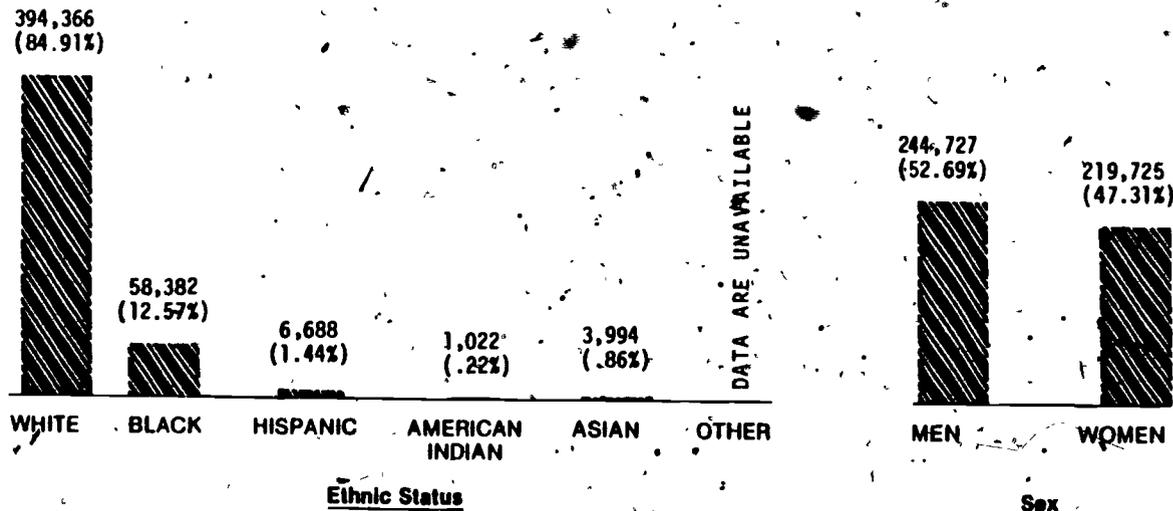
Sex

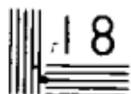
The high school graduation figures do not include 15,807 persons granted a high school equivalency certificate.

(c) Sales and Marketing Management 1977 Survey of Buying Power Data Service

STATE POSTSECONDARY EDUCATION STUDENT ENROLLMENTS

ILLINOIS H2

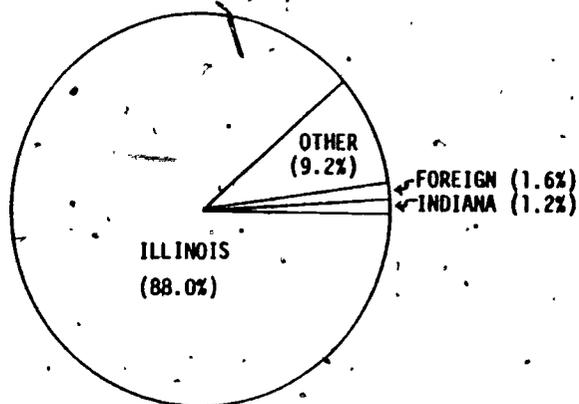




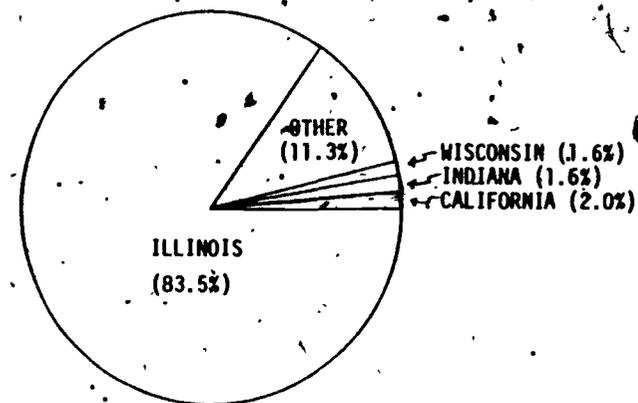
INTERSTATE MIGRATION OF STUDENTS

ILLINOIS

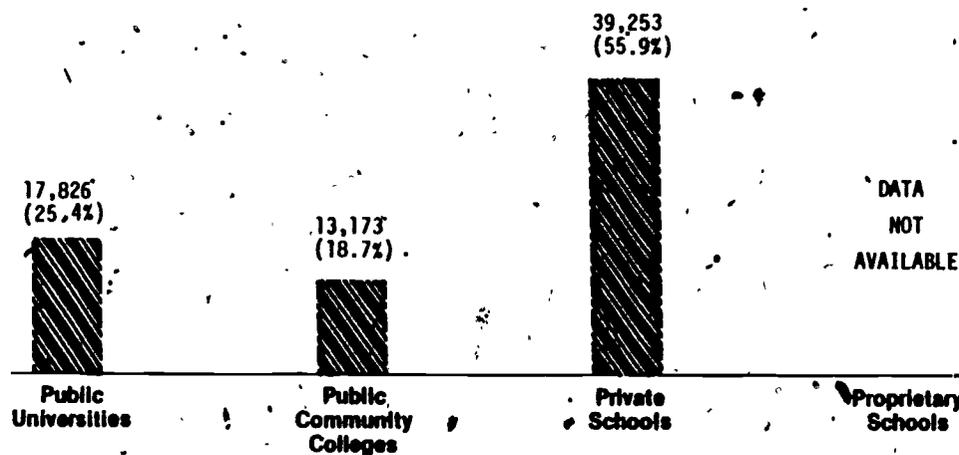
H3



**RESIDENT AND NON-RESIDENT STUDENTS
ATTENDING IN-STATE INSTITUTIONS**



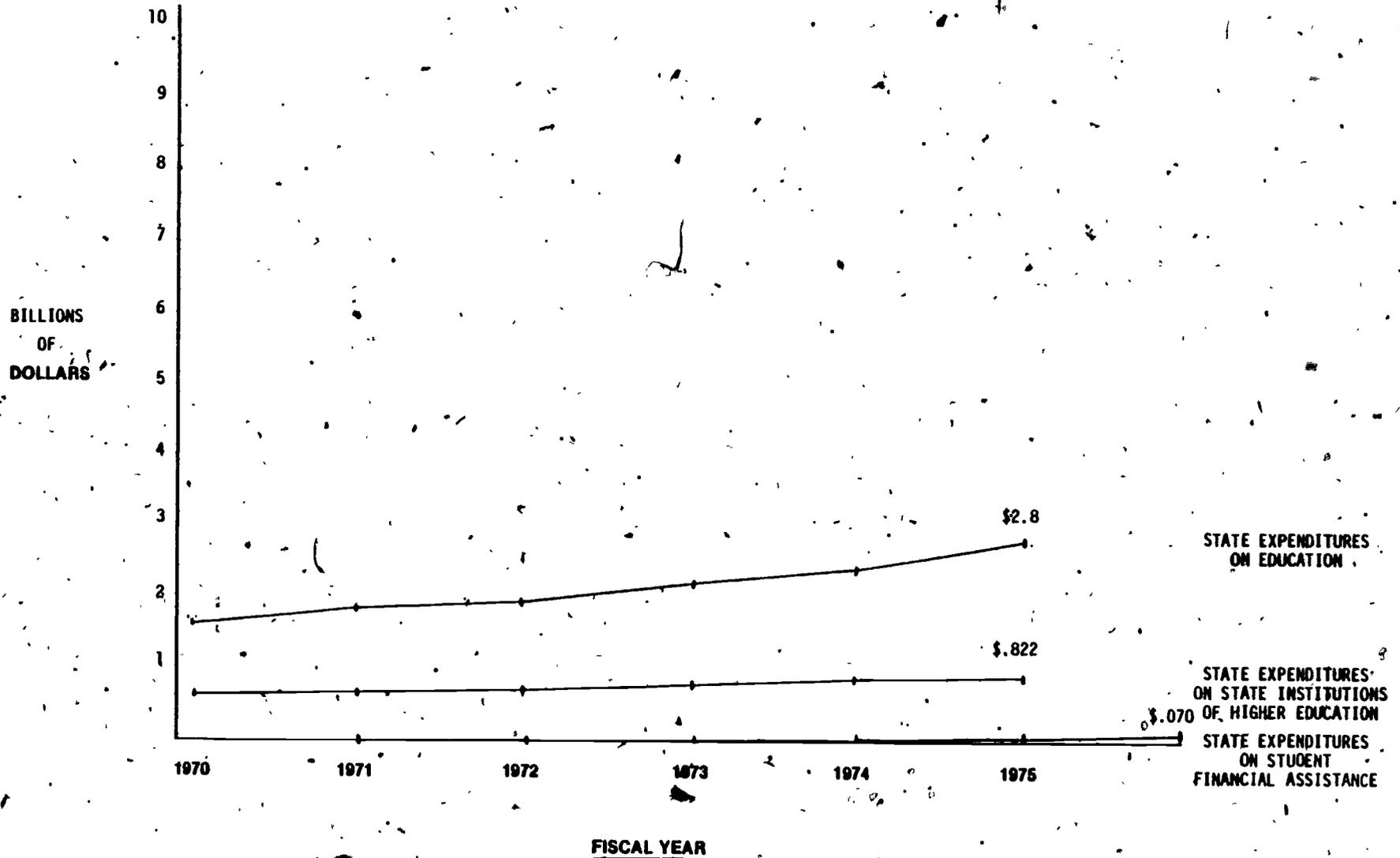
**RESIDENT STUDENTS ATTENDING IN-STATE
AND OUT-OF-STATE INSTITUTIONS**



INSTITUTIONAL SECTOR

(Out-of-State Students in School in-State)

STATE EXPENDITURE ON POSTSECONDARY EDUCATION STUDENT ASSISTANCE



THESE DATA PERTAIN TO:

- MONETARY AWARD PROGRAM
- BILINGUAL SCHOLARSHIPS
- POW/MIA DEPENDENT AWARDS

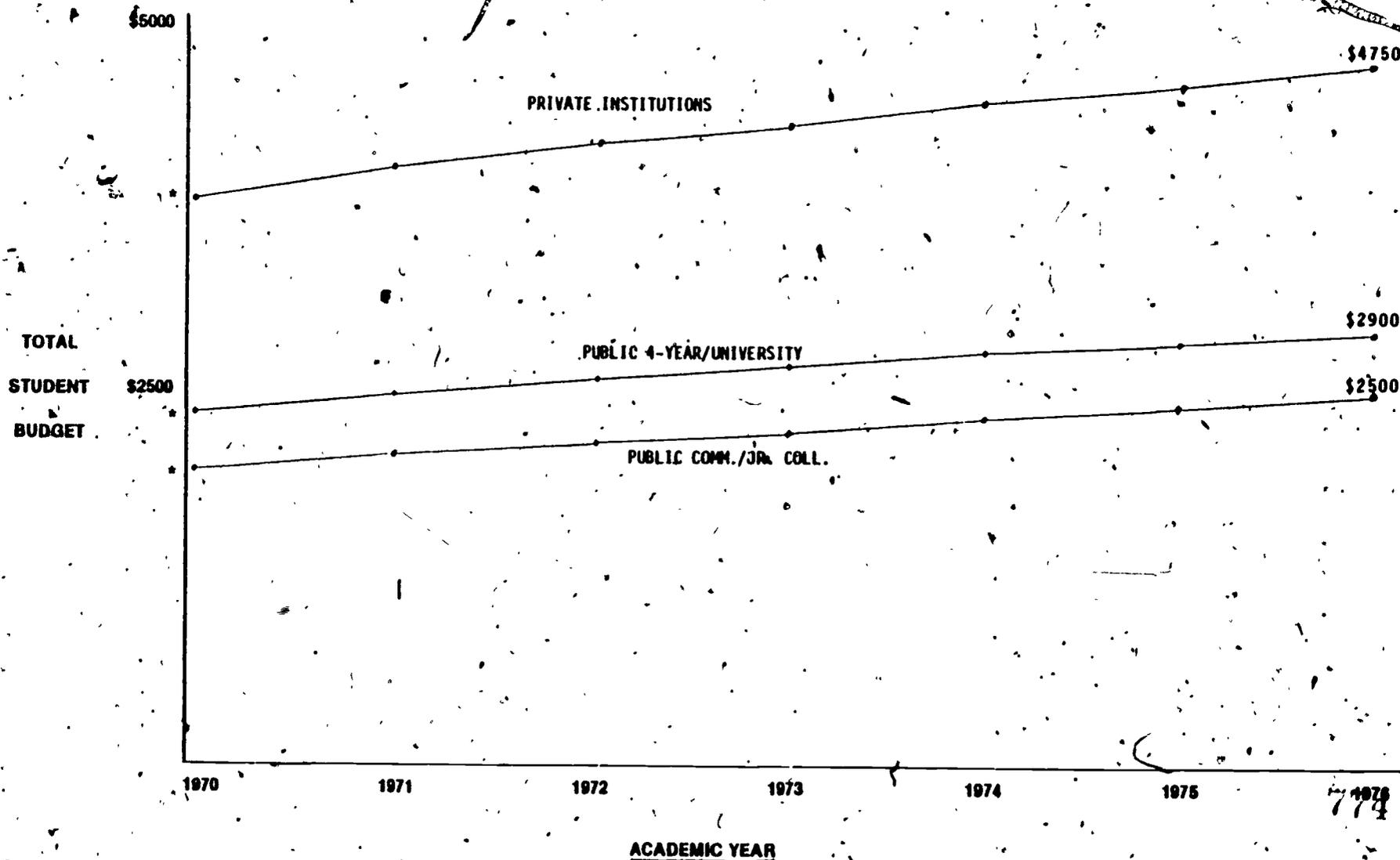
- FIREMAN/POLICEMAN DEPENDENTS AWARDS
- STUDENT-TO-STUDENT GRANT AWARDS
- VETERANS' SCHOLARSHIPS

- SPECIAL EDUCATION SCHOLARSHIP
- VOCATIONAL REHABILITATION SERVICES PROGRAM
- GENERAL ASSEMBLY AWARDS

GROSS COSTS TO STUDENTS ATTENDING SCHOOL BY POSTSECONDARY EDUCATION SECTORS

ILLINOIS

12



1976
774

ACADEMIC YEAR



*All responses are based on reasonable estimations or approximations.

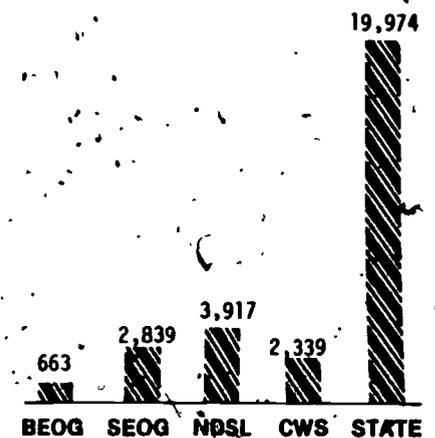
LEVELS OF FEDERAL AND STATE PROGRAM FUNDING BY INSTITUTIONAL SECTOR

ILLINOIS 13

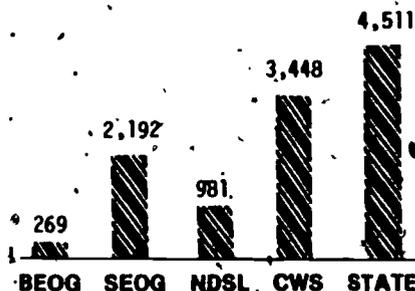
(Figures in thousands)

THE FIGURES SHOWN FOR STATE DOLLARS AWARDED ARE FOR THE 1975-76 SCHOOL YEAR AND PERTAIN TO:

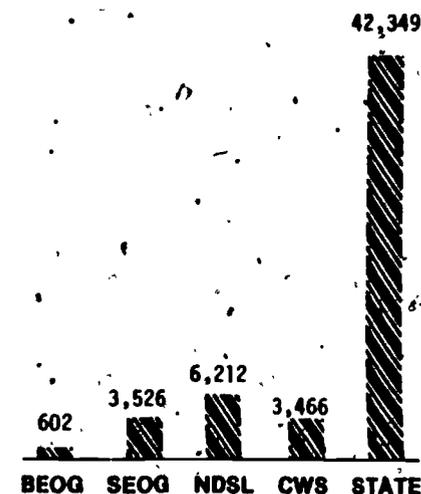
- MONETARY AWARD PROGRAM



Public University and Four-Year Colleges



Public Community Colleges



Private Colleges

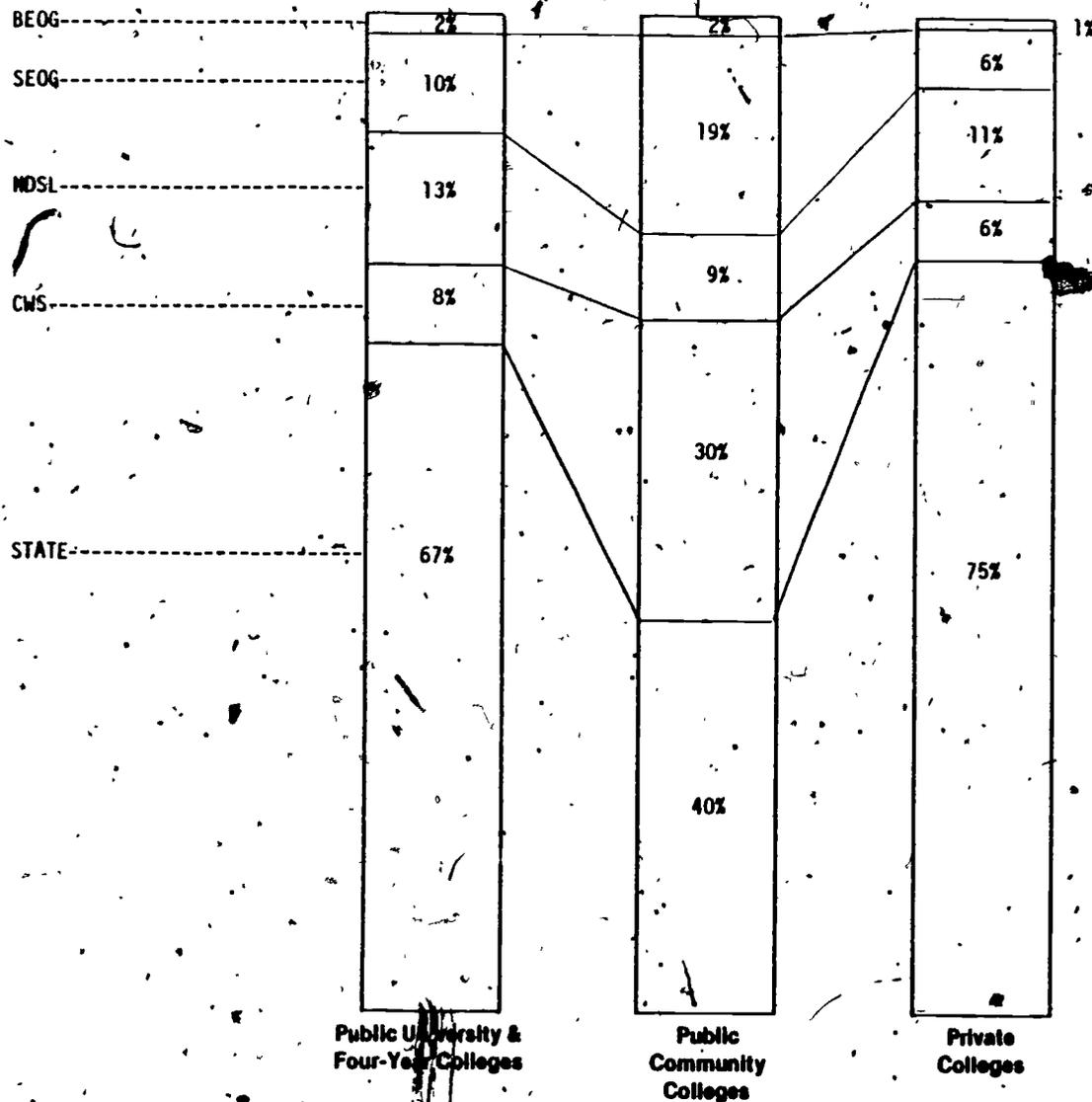
State funds were also awarded to the following types of institutions:

- Special (Health) - \$1,306,027

RELATIVE IMPORTANCE OF FEDERAL AND STATE PROGRAM FUNDING BY SECTOR

ILLINOIS 14

THE FIGURES SHOWN FOR STATE DOLLARS AWARDED ARE FOR THE 1976-76 SCHOOL YEAR AND PERTAIN TO



• MONETARY AWARD PROGRAM

Percent
Distribution of
Student
Assistance
By Program
By Sector

State funds were also awarded to the following types of institutions:

- Special (Health) - \$1,306,027

777

778

2

DESCRIPTIONS OF PROGRAM OFFICES AND AGENCIES AND CORRESPONDING PROGRAM RESPONSIBILITIES

INDIANA

A1

The State Student Assistance Commission of Indiana makes all policy decisions which affect the state need-based student financial aid programs and acts as the guarantor for student loans made by approved lenders in the state loan program. The Commission membership consists of eleven persons, citizens of Indiana who are appointed by the Governor for three-year terms. Each of the eleven congressional districts are represented by one member who resides therein. No member, while serving his/her term, may be an employee of or serve on the governing board of any state or private college, university, school corporation or other educational institution in Indiana, or be an employee or official of the State of Indiana. However, the Governor may appoint up to two members affiliated with private educational institutions and up to two members affiliated with public educational institutions. The Commission appoints the Executive Director who is the chief administrative officer that administers the programs of the Commission. The Executive Director prepares the budget for approval by the Commission. The approved budget is then sent to the State Budget Agency and the Commission for Higher Education for their review and recommendations to the Governor and the state legislative committees. The final approval of the budget is made by the full state legislature.

1. State Scholarship Program
2. Indiana Educational Grant Program
3. Freedom of Choice Grant Program
4. Top Twenty Hoosier Scholars Awards
5. Student-Guaranteed Loan Program

Other Agencies

1. Vocational Rehabilitation Grant
2. State Assistance for Dependents of POW/MIA & Disabled Veteran's
3. State Assistance for Dependents of Deceased Firemen and Policemen

RELATIONSHIPS OF STATE AGENCIES

INDIANA 22

MANAGEMENT

The State Student Assistance Commission of Indiana makes all rules and policies which affect the state need-based student financial aid programs, as well as, the state loan program. The Commission membership consists of eleven persons, citizens of Indiana representing each of the eleven congressional districts, who are appointed by the Governor. The members represent the "lay" component, with the possible exception of up to two members affiliated with private educational institutions and up to two members affiliated with public educational institutions.

The Commission appoints the Executive Director who is the chief administrative officer of the agency. The Commission along with the Executive Director and staff members are responsible for managing the programs and are also responsible for planning activities.

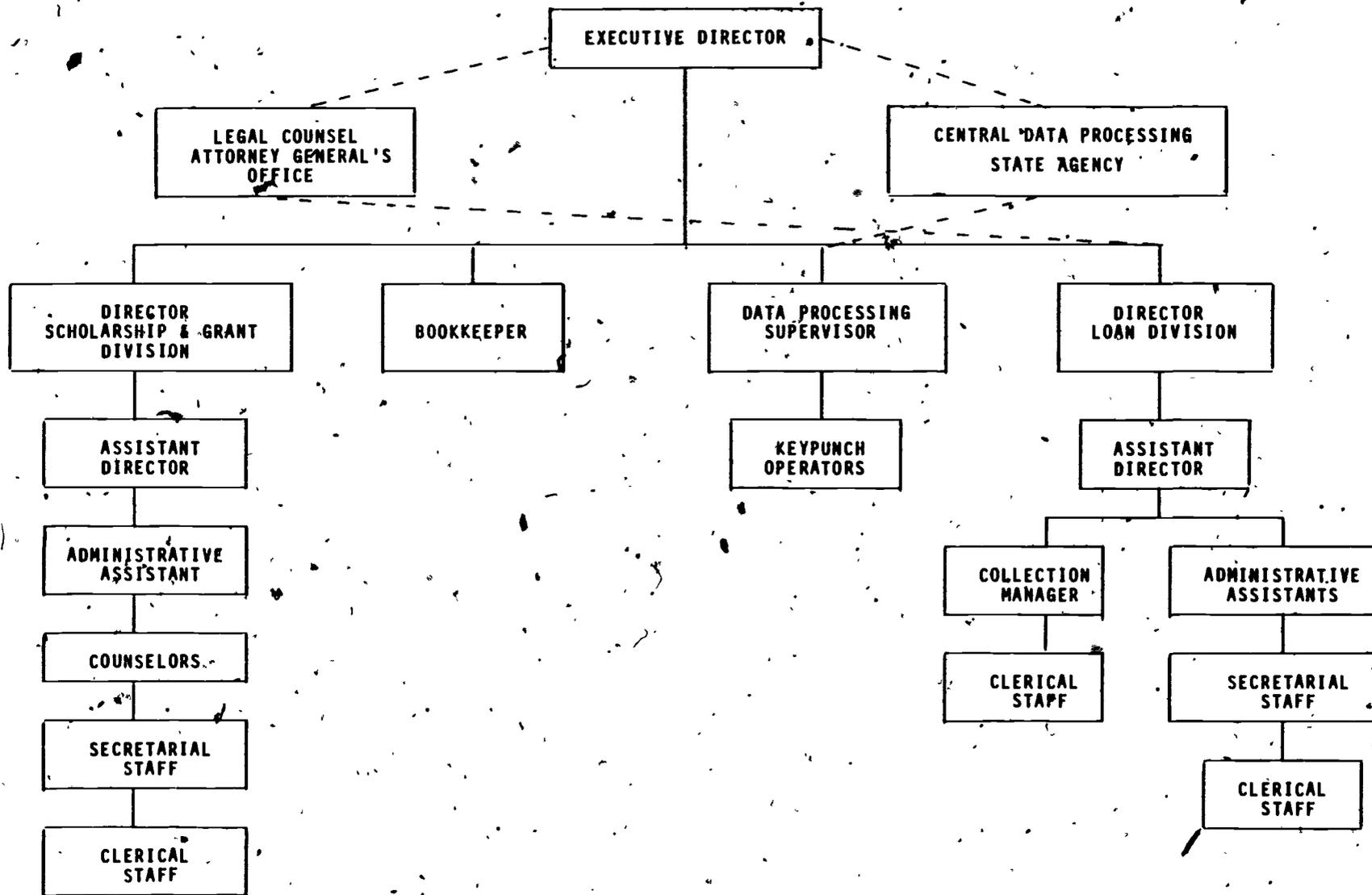
BUDGET APPROVAL

The Executive Director prepares the budgetary requests. The Executive Director presents the budget to the Commission. Upon the Commission's approval, the budget is sent to the Commission for Higher Education and the State Budget Agency (which acts to maintain the wishes of the Governor's Office) for their review and recommendations to the state legislature. Legislative committees which recommend budgetary matters to the full legislature are the House Ways and Means Committee and the Senate Finance Committee. Finally, the entire state legislature approves the budget.

STATE AGENCY ORGANIZATION

INDIANA

B1



784

MANAGEMENT RELATIONSHIPS BETWEEN THE STATE AGENCY AND OTHER STATE AGENCIES/INSTITUTIONS

INDIANA B2

| <u>WHO ACCOMPLISHES THE FOLLOWING?</u> | <u>STATE PROGRAM OFFICE</u> | <u>INSTITUTIONAL FINANCIAL AID OFFICER</u> | <u>OTHER OFFICES OR AGENCIES</u> |
|---|-------------------------------------|--|----------------------------------|
| Processing of Applications | | | |
| • Verification of Student Application Information | X | X | |
| • Identification of Eligible Recipients | X | | |
| • Determination of Award Size | X | | |
| • Assignment of Priority to Awards | X | | |
| • Formally Approves Award | X | | |
| Makes Payment to Student (or Credits Student Account) | | X | |
| Makes Post-Award Adjustments | X | X | |
| Initiates the Prosecution of Possible Fraudulent Student applications | X | X | |
| Publicizes Award Availability | X | X | |

785

786

STATE PROGRAM OFFICE DEFINITIONS FOR SELECTED TERMS

**DEFINITIONS USED BY
STATE PROGRAM OFFICES**

**STATE DEFINITION
SPECIFIED BY:**

**STATE DEFINITION COMMONLY
USED BY ALL INSTITUTIONS**

**STATE DEFINITION CONSISTENT
ACROSS ALL STATE PROGRAMS**

Part-Time Student - A student who is enrolled with less than the number of hours needed to be classified as a full-time student as determined by the policies of the institution the student is attending.

Interpretation

PUBLIC
Yes

PRIVATE
Yes

Yes

State Resident - Student is "domiciled in the state for the six-month period immediately preceding the first-year scholarship." The domicile of a dependent student for purposes of state student financial aid is that of the student's parents.

Law and
Interpretation

Yes

Yes

Yes

Independent Student - 1) Has not and will not be listed as an exemption for federal income tax purposes by any person except his or her spouse for the calendar year(s) in which aid is received and the calendar year prior to the academic year for which aid is requested; 2) Has not received and will not receive financial assistance of more than \$600 from his or her parent(s) in the calendar year(s) in which aid is received and the calendar year prior to the academic year for which aid is requested; and 3) Has not lived or will not live for more than two consecutive weeks in the home of a parent during the calendar year in which aid is received and the calendar year prior to the academic year for which aid is requested.

Interpretation

Yes

Yes

Yes

Non-Profit Institution - An "approved institution of higher learning" means an educational institution located in the state (a) that provides an organized two-year or longer program of collegiate grade directly creditable toward a baccalaureate degree; (b) that is either operated by the state or operated not for profit; and (c) that is accredited by a recognized regional accrediting agency or is certified by the state department of public instruction as qualified to prepare persons to teach in the state, also includes a hospital which operates a nursing diploma program which is accredited by the Indiana State Board of Nursing Registration and Nursing Education.

Law

Yes

Yes

Yes

Satisfactory Academic Progress - A student is eligible for renewal if the student continues to be a student in good standing as defined by the institution the student is attending.

Interpretation

Yes

Yes

Yes

United States Citizenship - A student must be a citizen of the United States.

Law

Yes

Yes

Yes

INFORMATION FLOW BETWEEN THE STATE PROGRAM OFFICE AND VARIOUS FEDERAL OFFICES

INDIANA B4

| | <u>TYPE OF INFORMATION PROVIDED BY STATE STUDENT-AID AGENCY</u> | <u>TYPE OF INFORMATION RECEIVED BY STATE STUDENT-AID AGENCY</u> | <u>PERCEPTION OF ADEQUACY OF INFORMATION FLOW</u> |
|--|---|---|---|
| <u>FEDERAL OFFICES</u> | | | |
| SSIG Office | Summaries | Summaries Regulations | Adequate |
| BEOG Office | | Regulations | Adequate |
| Regional OE Student Assistance Office (Campus-Based Programs) | | Regulations | Adequate |
| Washington OE Office (Campus-Based Programs) | | Regulations | Adequate |
| FISL/GSL Office | Summaries Regulations | Regulations | Adequate |
| <u>STATE/INSTITUTIONAL OFFICES</u> | | | |
| Public Institutions | Recipients Regulations | Terminations | Summaries Terminations Adequate |
| Private Institutions | Recipients Regulations | Terminations | Summaries Terminations Adequate |
| Governor/Budget Office | Summaries | | Summaries Adequate |
| State Legislature (including Committee Staffs) | Summaries | | Adequate |

MANAGEMENT STAFFING OF STATE PROGRAM OFFICES

INDIANA B5

| <u>MANAGEMENT FUNCTION</u> | <u>PERCENTAGE OF STAFF EFFORT</u> | <u>STAFF ASSISTANCE FROM OTHER AGENCIES</u> <u>COMMENTS</u> |
|--|-----------------------------------|--|
| APPLICATIONS PROCESSING (Includes verification of student information, need analysis, award termination, etc.) | 55 ¹ | Institutional financial aid offices notify our office of need analysis changes on special circumstances. |
| RESPONDING TO REQUESTS FOR INFORMATION (Includes federal requests for information; parent/student inquiries; testimony in hearings, etc.) | 15 ¹ | |
| PROGRAM REVIEW (Includes on-site visits to institutions, formal/informal audits, etc.) | 3 ¹ | |
| PUBLIC RELATIONS AND INFORMATION DISSEMINATION (Includes parent/student workshops, work on promotional literature, etc.) | 5 ¹ | |
| GENERAL ADMINISTRATION (Includes executive personnel, staff training and development; regulations and guidelines, preparation of summary statistics, etc.) | 17 ¹ | |
| OTHER MANAGEMENT ACTIVITIES (Includes any special staff activities which do not clearly fall in one of the above categories) | 5 ¹ | |

¹ Response is based on a reasonable estimation or approximation.

STATE AGENCY CALENDAR OF EVENTS FOR NEED-BASED PROGRAMS FOR 1976-77 SCHOOL YEAR

INDIANA B6

MONTH/YEAR

EVENTS

- SEPTEMBER 1975 1) Agency budget presented to governor's office and budget agency
2) Agency makes student-aid applications available
- OCTOBER 1975 Agency completes planning workshops for students and counselors
- DECEMBER 1975 1) Deadline for Freshmen scholarship applications
2) Deadline for taking SAT examination--Freshmen scholarship applicants
- JANUARY 1976 Agency budget presented to legislature
- FEBRUARY 1976 1) Legislature appropriates student assistance funds
2) Deadline for renewal student-aid applications
- MARCH 1976 1) Deadline for Freshman grant applications
2) Deadline for parents' financial statements
- MAY 1976 Agency announces award winners
- SEPTEMBER 1976 Institutions sent first semester check
- JANUARY 1977 Institutions sent second semester check
- ONGOING Award changes and adjustments are made continuously or as needed throughout the year
- MAY 1977 Reconciliation of awards for 1976-77 per institution

1) Legislature had already appropriated funds for the 1976-77 academic year in April 1975. Our budget is a biennial one and the budgetary considerations for 1976 concern supplemental or additional requests only

**PROGRAM AND FISCAL CONTROLS OF PROGRAMS
OPERATED BY STATE AGENCY**

INDIANA B7

| <u>PROGRAM CONTROLS</u> | <u>CONTROL USED SAMPLE OR TOTAL POPULATION STUDIED</u> | <u>AGENCY/OFFICE UNDERTAKING CONTROLS</u> | <u>SPECIAL ACTIONS COMMENTS</u> |
|---|--|---|-------------------------------------|
| A. Control on Student Application Data Accuracy | | | |
| 1. Match Against Tax Forms? | Yes, Sample | Institution, State | Note 1 |
| 2. Match Against BEOG Applications? | Yes | Institution | |
| 3. Match Against Institutional Student Records? | Yes, All | Institution, State | Note 2 |
| 4. Warnings of Prosecution? | Yes, Sample | State | Note 3 |
| 5. Prosecution? | Yes | State | Note 4 |
| 6. Reduction or Cancellation | Yes, All | Institution, State | Note 5 |
| B. Controls on Attendance and/or Progress | | | |
| 1. Check on Enrollment/Initial Attendance? | Yes, All | Institution, State | Note 6 |
| 2. Check on Mid-Term Attendance? | Yes, All | Institution | Note 7 |
| 3. Check on Course Completion? | Yes | Institution | |
| 4. Check on Academic Progress Before Award? | Yes Yes | Institution | |
| 5. Partial Awards? | Yes | Institution, State | Note 8 |
| C. Controls on Award Size | | | |
| 1. Check to Make Sure Other Awards Actually Made? | Yes, All | Institution, State | |
| 2. Match Against BEOG, Campus-Based Award Files? | Yes, All | Institution, State | |
| 3. Re-Check to Ensure Award Adjustments Actually Made? | Yes, All | State | |
| 4. Second Review of Aid Packages? | Yes, Sample | Institution, State | Note 9 |
| D. Controls on Institutional Student-Aid Operations | | | |
| 1. Independent Program Audits? | | | |
| 2. Aid Officer Bonding? | | | |
| 3. Aid Officer Certification? | | | |
| 4. Double Signatures on All Fund Transfers? | | | |
| E. Controls on Central Administrative Staff Operations | | | |
| 1. Independent Program Audits? | Yes | State Board of Accounts | |
| 2. Bonding of Staff Members? | Yes, All | Private Insurance Agency | |
| 3. Double Signatures on All Fund Transactions? | | | |

- 1 Application is delayed or award is revised to reflect tax data.
- 2 If recipient is not enrolled, award is withdrawn.
- 3 When repayment of an award based on fraudulent PCS data doesn't occur, a prosecution warning is sent.
- 4 If repayment of an award when requested doesn't occur or a student defaults on a loan, prosecution is pursued.
- 5 If tax forms do not correspond with PCS, award may be reduced or canceled.
- 6 Award is canceled if student is not enrolled.
- 7 Award is canceled if student is not enrolled full-time.
- 8 If a student withdraws or only attends part of an academic year, a partial award is given.
- 9 Review is done as needed.

PROGRAM INFORMATION DISSEMINATION PROCEDURES USED FOR STATE NEED BASED PROGRAMS

INDIANA C1

TYPE OF INFORMATION PROVIDED

| <u>INFORMATION PROVIDED THROUGH</u> | <u>APPLICATION FORMS</u> | <u>ELIGIBILITY CONDITIONS</u> | <u>FILING/CLOSING DATES FOR STATE PROGRAMS</u> | <u>INFORMATION ON THE COST OF ATTENDANCE AT VARIOUS TYPES OF INSTITUTIONS</u> | <u>INFORMATION ABOUT APPLYING FOR AID FROM OTHER SOURCES</u> | <u>INFORMATION ON PENALTIES FOR PROVIDING FRAUDULENT DATA</u> |
|--|--------------------------|-------------------------------|--|---|--|---|
| 1. High School Counselors | X | X | X | | X | |
| 2. College Financial-Aid Officers | X | X | X | X | X | X |
| 3. Direct Mailings to Graduating High School Students or Parents | | | | | | |
| 4. High School Meetings or Workshops | X | X | X | X | X | X |
| 5. College Admissions Office | | X | X | X | X | |
| 6. Newspaper Ads | | X | X | | X | |
| 7. Radio, Television Ads | | X | X | | | |
| 8. Social Service Agencies | | X | X | X | X | X |
| 9. Other (Please Specify) | | | | | | |

DESCRIPTIONS OF STATE-SPONSORED STUDENT ASSISTANCE PROGRAMS

INDIANA D1

State Scholarship Program - A grant program to assist financially needy students who have scholastically qualified for the program. Recipients must be resident students attending in-state schools. Awards are financial need based, range from \$100 to \$1400.

Indiana Educational Grant Program - A grant program to assist resident students with financial need to attend in-state schools. Awards range from \$100 to \$1400.

Freedom of Choice Grant Program - A supplemental grant program to provide resident students who have already received the maximum state scholarship or educational grant and who continue to demonstrate need at private, in-state institutions.

Top Twenty Hoosier Scholars Awards - A grant program to award \$500 to each of the top twenty scholastically qualified Freshmen students in the state.

Vocational Rehabilitation Grants - Benefits are available to students with physical disabilities which interfere with their ability to pursue a gainful occupation or which threaten continued employment. Funds are administered through the local Vocational Rehabilitation Office.

State Assistance for Dependents of POW/MIA/Deceased/Disabled Veterans - Awards are made to students whose parents have been declared a prisoner or missing in action after January 1, 1960. The parent must have been a resident of Indiana at the time he entered the service. Students must be undergraduates and attend a state-supported institution.

State Assistance for Dependents of Deceased Firemen/Policemen - Children of deceased policemen or firemen who were killed in the line of duty are eligible. Students must have resided in the state for the immediate previous year. Students must be full-time and attend a state-supported institution.

State Student Guaranteed Loan Program - A program to guarantee loans made by approved lenders to students who are attending qualified institutions in or out of the state. Students must be enrolled at least half-time. Federal regulations are abided. Purpose of program is to persuade approved lenders to make student loans.

BASIC STATE PROGRAM INFORMATION

INDIANA D2

| <u>Programs</u> | <u>Year</u> | <u>Number of Awards</u> | <u>Average Full-Time Award Size</u> | <u>Total Dollars Awarded</u> | <u>Eligible Population</u> |
|--|-------------|---------------------------------|---|--------------------------------------|---|
| <u>Need-Based</u> | | | | | |
| <u>Grants</u> | | | | | |
| State Scholarship Program | 1970-71 | 7,214 | \$476 | \$ 3,439,930 | Resident Undergraduates |
| | 1975-76 | 13,384 | \$872 | \$11,667,572 | |
| Indiana Educational Grant Program | 1971-72 | 625 | \$787 | \$ 492,255 | Resident Undergraduates |
| | 1975-76 | 2,815 | \$731 | \$ 2,058,414 | |
| Freedom of Choice Grant Program | 1973-74 | 1,556 | \$897 | \$ 521,240 | Private School Students Only |
| | 1975-76 | 3,892 | \$424 | \$ 1,648,831 | |
| <u>Non Need-Based</u> | | | | | |
| Top Twenty Hoosier Scholars Awards | 1974-75 | 20 | \$500 | \$ 10,000 | Top Twenty Resident Freshmen |
| | 1975-76 | 20 | \$500 | \$ 10,000 | |
| Vocational Rehabilitation Grants ¹ | | | | | |
| State Assistance for Dependents of POW/MIA/ Deceased/Disabled Veterans ¹ | | | | | |
| State Assistance for Dependents of Deceased Fireman/Policeman ¹ | | | | | |
| State Student Guaranteed Loan Program ² | | | | | All students enrolled at least half-time |

¹ Information not available from the Student Assistance Commission.
² Created by 1977 Legislation of Indiana General Assembly.

STUDENT ELIGIBILITY CRITERIA FOR STATE PROGRAMS

INDIANA E1

| <u>Programs</u> | <u>Acceptable Residency Status</u> | <u>Acceptable Attend. Status</u> | <u>Acceptable Student Levels</u> | <u>Special Priority to Cont'g. Awards</u> | <u>Income Limits</u> | <u>Need Limits</u> |
|---|------------------------------------|----------------------------------|----------------------------------|---|----------------------|--------------------|
| <u>Need-Based</u> | | | | | | |
| <u>Grants</u> | | | | | | |
| State Scholarship Program | Residents attending In-State | Full-Time | All Undergraduates | Yes | Not Applicable | Not Applicable |
| Indiana Educational Grant Program | Residents attending In-State | Full-Time | All Undergraduates | Yes | Not Applicable | Not Applicable |
| Freedom of Choice Grant Program | Residents attending In-State | Full-Time | All Undergraduates | Yes | Not Applicable | Not Applicable |
| <u>Non Need-Based</u> | | | | | | |
| Top Twenty Hoosier Scholars Awards | Residents attending In-State | Full-Time | Freshmen | Not Applicable | Not Applicable | Not Applicable |
| Vocational Rehabilitation Grants | Residents attending In-State | Half-Time | All Undergraduates | No | Not Applicable | Not Applicable |
| State Assistance for Dependents of POW/MIA/Deceased/Disabled Veterans | Residents attending In-State | Half-Time | All Undergraduates | No | Not Applicable | Not Applicable |
| State Assistance for Dependents of Deceased Fireman/Policeman | Residents attending In-State | Full-Time | All Undergraduates | No | Not Applicable | Not Applicable |
| / State Student Guaranteed Loan Program | U.S. Citizen or Permanent Resident | Half-Time | Undergraduates and Graduates | No | Yes ¹ | Yes ¹ |

¹ Only if interest-free benefits are requested.

INSTITUTIONAL ELIGIBILITY CRITERIA FOR STATE STUDENT-ASSISTANCE PROGRAMS

INDIANA E2

| <u>Programs</u> | <u>University and 4-Year Colleges</u> | <u>Community/ Junior Colleges</u> | <u>Voc./Tech. Schools</u> | <u>Proprietary</u> | <u>Special Types of Institutions</u> |
|---|---|---------------------------------------|-------------------------------|--------------------|--|
| <u>Need-Based</u> | | | | | |
| <u>Grants</u> | | | | | |
| State Scholarship Program | Public Private | Public | Public | No | Hospital Schools of Nursing |
| Indiana Educational Grant Program | Public Private | Public | Public | No | Hospital Schools of Nursing |
| Freedom-of Choice Grant Program | Private | No | No | No | No |
| <u>Non Need-Based</u> | | | | | |
| Top Twenty Hoosier Scholars Awards | Public Private | Public | Public | No | No |
| Vocational Rehabilitation Grants | Public Private | Public Private | Yes | Yes | Yes |
| State Assistance for Dependents of POW/MIA/ Deceased/Disabled Veterans | Public | Public | Public | No | No |
| State Assistance for Dependents of Deceased Fireman/Policeman | Public | Public | Public | No | No |
| State Student Guaranteed Loan Program | Public Private | Yes | Yes | Yes | Yes |

NEED DETERMINATION CRITERIA FOR STATE PROGRAMS.

| <u>Programs</u> | <u>Program Definition of Need</u> | <u>Is BEOG Application Required?</u> | <u>Expected Family Contribution (EFC) Method</u> | <u>Student Budget Includes What Items?</u> |
|-----------------------------------|-----------------------------------|--------------------------------------|--|---|
| <u>Need-Based</u> | | | | |
| <u>Grants</u> | | | | |
| State Scholarship Program | Same as CSS | No | Consensus | Tuition/Fees, Books, Room/Board, Travel, Personal |
| Indiana Educational Grant Program | Same as CSS | No | Consensus | Tuition/Fees, Books, Room/Board, Travel, Personal |
| Freedom of Choice Grant Program | Same as CSS | No | Consensus | Tuition/Fees, Books, Room/Board, Travel, Personal |

AWARD SIZE DETERMINATION CRITERIA FOR STATE PROGRAMS

INDIANA E4

| Programs | Maximum Award Size? | What Award Size Determination Method Is Used? | How Is Award Priority Determined? | Who Ultimately Determines Award Amount? |
|---|----------------------|--|---|---|
| <u>Need-Based</u> | | | | |
| <u>Grants</u> | | | | |
| State Scholarship Program | \$1,400 | Difference between the institutional budget and the Total Family Contribution equals need. The award amount will equal the need or tuition at the institution--whichever is less, but not to exceed \$1,400. | All renewal obligations first; amount left from appropriation after renewals used for Freshman class (ranked by a Composite Score--SAT and class rank) until funds run out. | State |
| Indiana Educational Grant Program | \$1,400 | Same as State Scholarship Program | Renewals first; then Freshmen with greatest need first and down the line until funds are exhausted. | State |
| Freedom of Choice Grant Program | \$ 600 since 1975-76 | On a percentage basis, but not to exceed \$600. (Percentage will vary from year to year depending upon appropriation and number of students who are eligible.) | Not Applicable | State |
| <u>Non Need-Based</u> | | | | |
| Top Twenty Hoosier Scholars Awards | \$ 500 | By ranking all Freshmen applicants by a Composite Score (SAT and class rank). The top twenty receive the award. | Not Applicable | State |
| Vocational Rehabilitation Grants | Variable | Counselor Determination | Not Applicable | State |
| State Assistance for Dependents of POW/MIA/Deceased/Disabled Veterans | Variable | On a percentage basis of fees | Not Applicable | Student |
| State Assistance for Dependents of Deceased Fireman/Policeman | Variable | On a percentage basis of fees | Not Applicable | Student |
| State Student Guaranteed Loan Program | \$2,500 | Difference between the institutional budget and other types of financial aid but not to exceed \$2500 (\$5000 for Graduate). | Not Applicable | Lender |

809

810

NUMBER OF NEED-BASED GRANT PROGRAM APPLICANTS

INDIANA

F1

DATA NOT AVAILABLE (1)

| | | | | | | |
|---------------------|-------------------|-------------------|--------------------|---------------------|---------------------|---------------------|
| INDEPENDENTS | \$0- 5,999 | \$6,000- 8,999 | \$9,000- 11,999 | \$12,000- 14,999 | \$15,000- 19,999 | \$20,000- & Over |
| | DEPENDENTS | | | | | |
| <u>Income Level</u> | | | | | | |

DATA NOT AVAILABLE (1)

| | | | | | |
|----------------------|--------------|-----------------|----------------------------|--------------|--------------|
| WHITE | BLACK | HISPANIC | AMERICAN INDIAN | ASIAN | OTHER |
| <u>Ethnic Status</u> | | | | | |

DATA NOT AVAILABLE (1)

| | |
|------------|--------------|
| MEN | WOMEN |
| <u>Sex</u> | |

(1) This type of information is kept on SSIG recipients only; not total recipients.

NUMBER OF NEED-BASED GRANT PROGRAM RECIPIENTS AND AVERAGE AWARD SIZE

INDIANA F2

NUMBER OF RECIPIENTS

DATA NOT AVAILABLE (1)

DATA NOT AVAILABLE (1)

DATA NOT AVAILABLE (1)

| | | | | | | |
|---------------------|---------------|-------------------|--------------------|---------------------|---------------------|---------------------|
| INDEPENDENTS | \$0- 5,999 | \$6,000- 8,999 | \$9,000- 11,999 | \$12,000- 14,999 | \$15,000- 19,999 | \$20,000- & Over |
|---------------------|---------------|-------------------|--------------------|---------------------|---------------------|---------------------|

| | | | | | |
|--------------|--------------|-----------------|----------------------------|--------------|--------------|
| WHITE | BLACK | HISPANIC | AMERICAN INDIAN | ASIAN | OTHER |
|--------------|--------------|-----------------|----------------------------|--------------|--------------|

| | |
|------------|--------------|
| MEN | WOMEN |
|------------|--------------|

DEPENDENTS

Income Level

Ethnic Status

Sex

AVERAGE AWARD SIZE

DATA NOT AVAILABLE (1)

DATA NOT AVAILABLE (1)

DATA NOT AVAILABLE (1)

| | | | | | | |
|---------------------|---------------|-------------------|--------------------|---------------------|---------------------|---------------------|
| INDEPENDENTS | \$0- 5,999 | \$6,000- 8,999 | \$9,000- 11,999 | \$12,000- 14,999 | \$15,000- 19,999 | \$20,000- & Over |
|---------------------|---------------|-------------------|--------------------|---------------------|---------------------|---------------------|

| | | | | | |
|--------------|--------------|-----------------|----------------------------|--------------|--------------|
| WHITE | BLACK | HISPANIC | AMERICAN INDIAN | ASIAN | OTHER |
|--------------|--------------|-----------------|----------------------------|--------------|--------------|

| | |
|------------|--------------|
| MEN | WOMEN |
|------------|--------------|

DEPENDENTS

Income Level

Ethnic Status

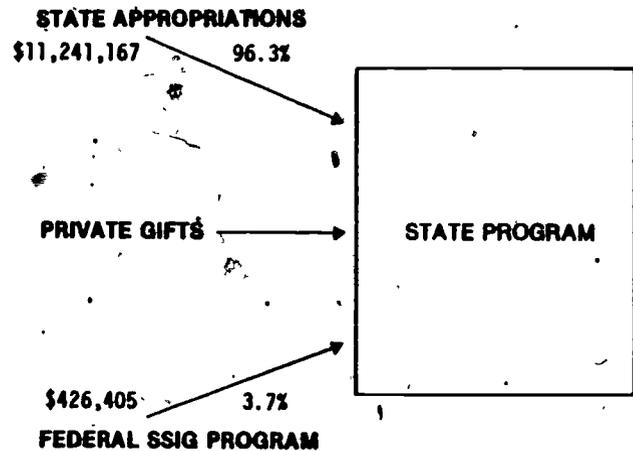
Sex

(1) This type of information is kept on SSIG recipients only; not total recipients.

SOURCES AND DISTRIBUTION OF NEED-BASED GRANT PROGRAM FUNDS

THESE FIGURES FOR THE 1975-76 SCHOOL YEAR PERTAIN TO:

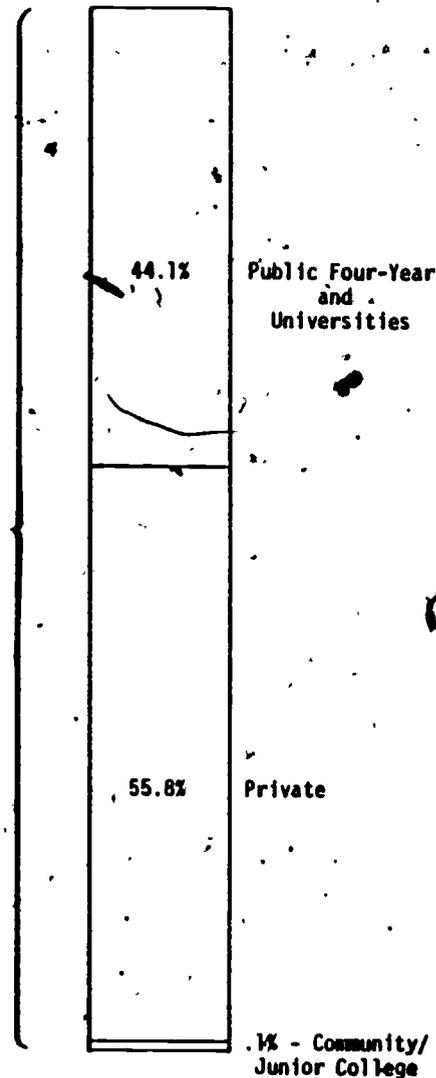
- STATE SCHOLARSHIP PROGRAM



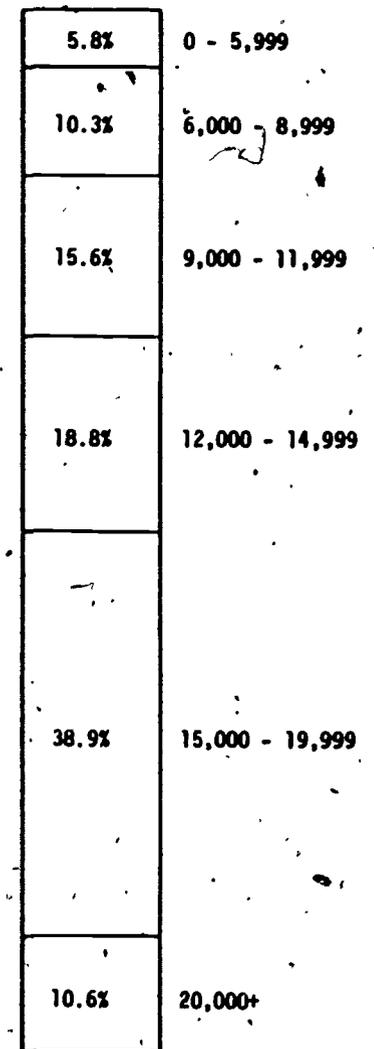
These data were taken from the Financial Status and Performance Report for the reporting period July 1, 1975, to September 30, 1976. The figures pertaining to the distribution of funds by institutional sector and student income categories only reflect the distribution of the federal portion of the total dollars.

The distribution of awards by student income categories includes awards made to both dependent and independent students. The data available did not distinguish between dependent and independent students.

INSTITUTIONAL SECTORS



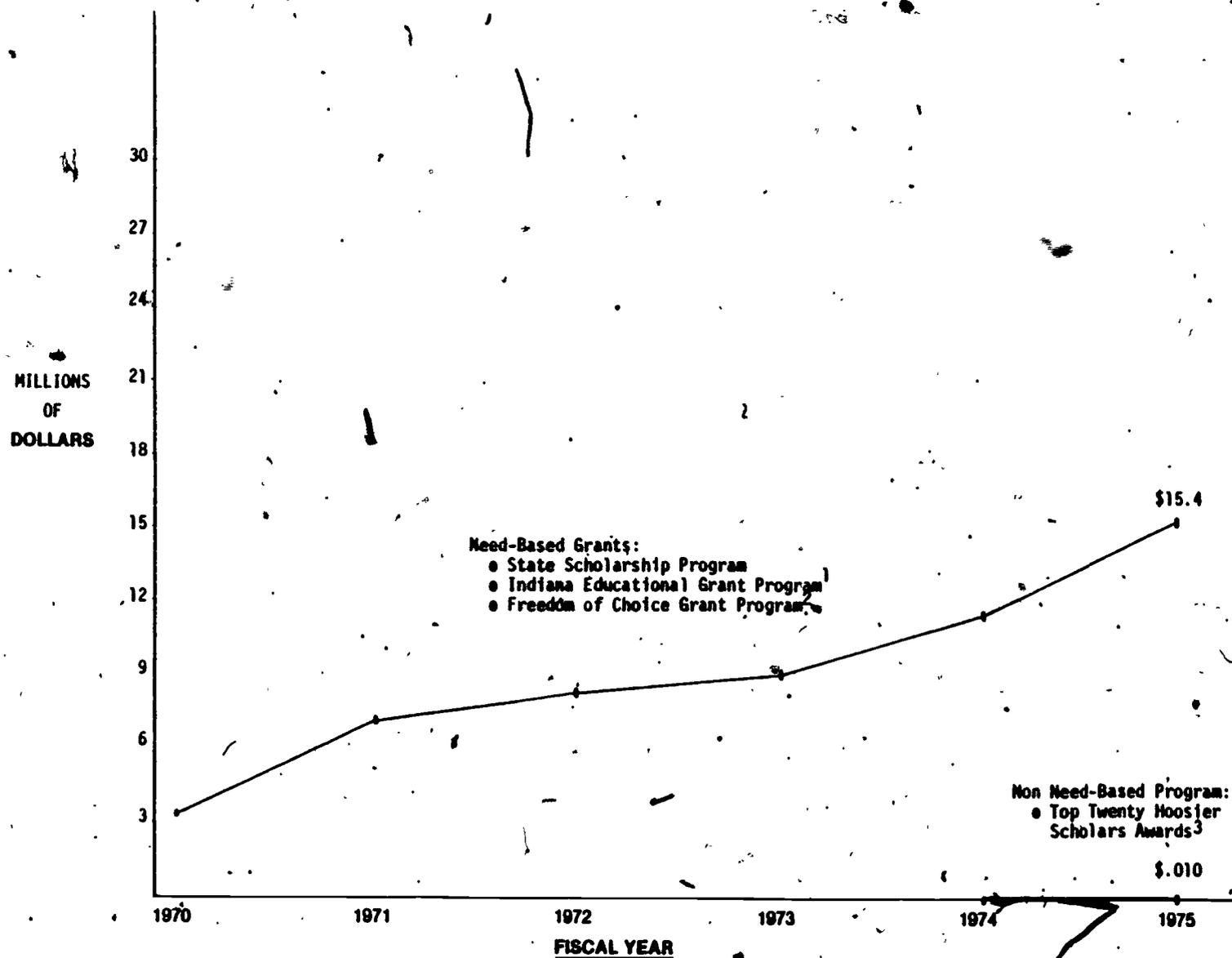
STUDENT INCOME CATEGORIES



PK - Community/
Junior College

HISTORIC STATE EXPENDITURES ON STUDENT-ASSISTANCE PROGRAMS

INDIANA G2

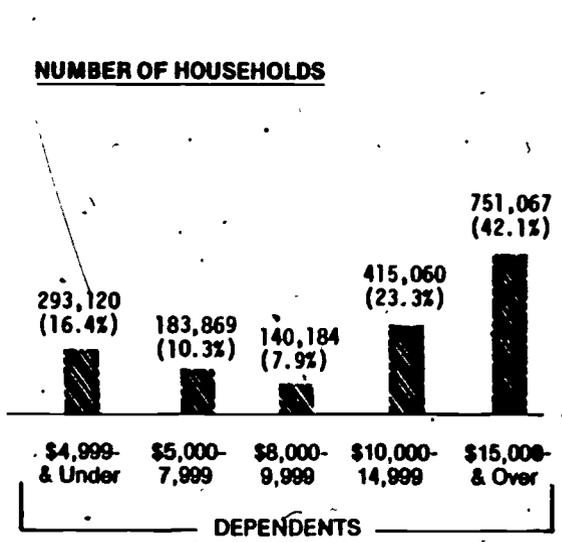


¹ 1971-72 first year of program.
² 1973-75 first year of program.
³ 1974-75 first year of program.

STATE POPULATION PROFILE

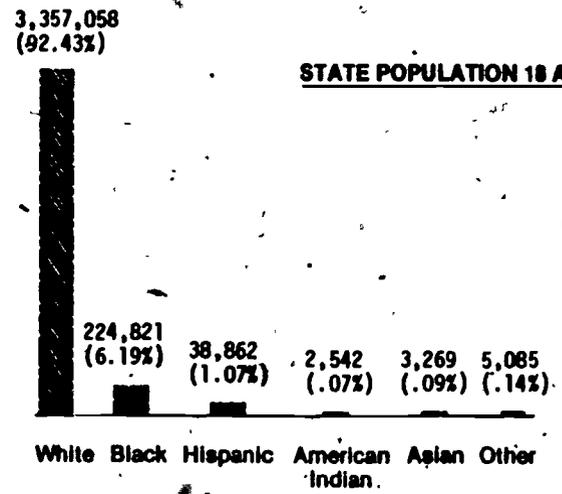
INDIANA H1

NUMBER OF HOUSEHOLDS

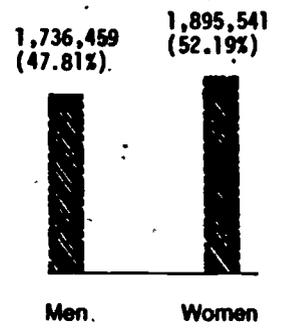


Effective Buying Income

STATE POPULATION 18 AND OVER

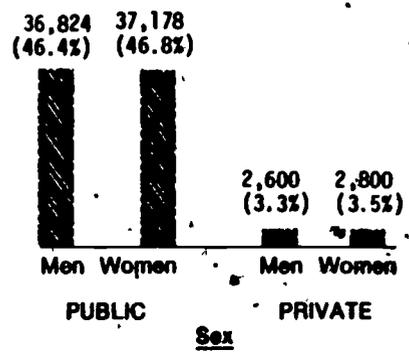


Ethnic Status



Sex

STATE HIGH SCHOOL GRADUATES



Sex

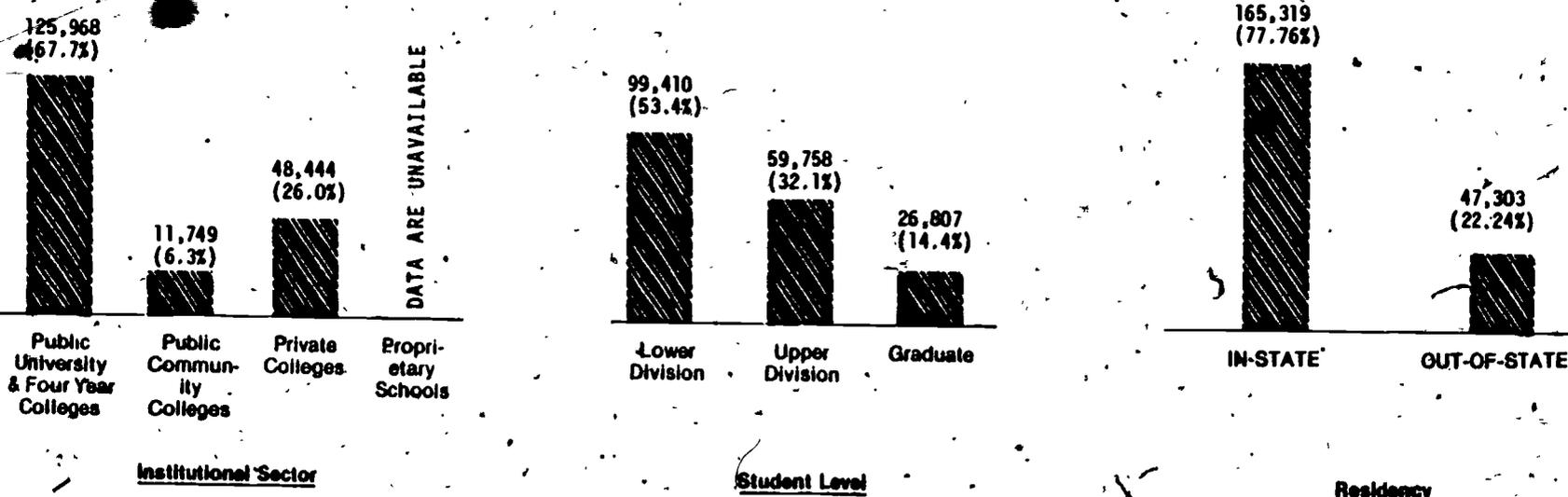
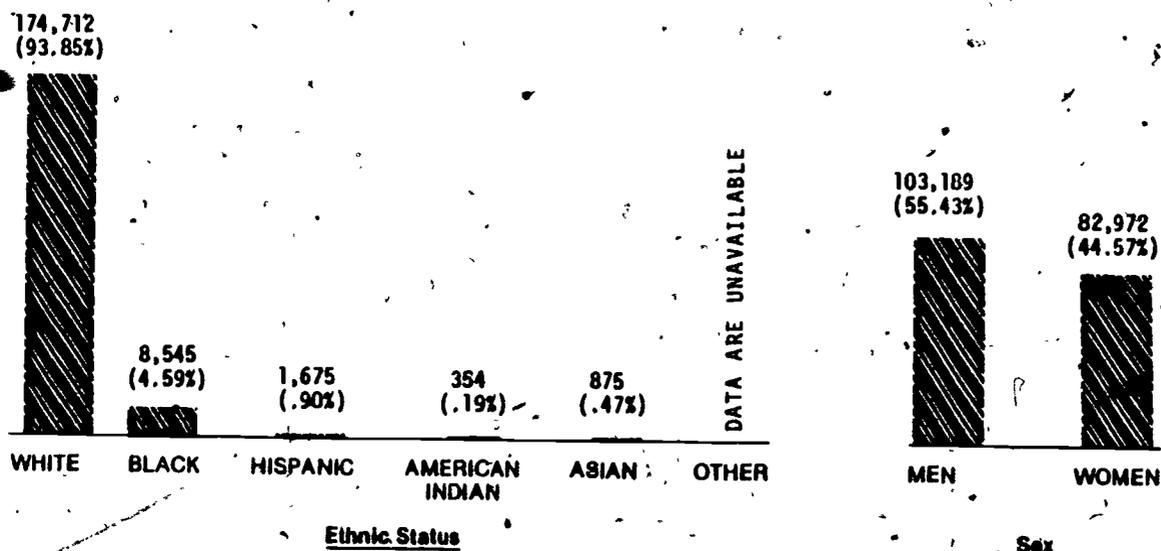
The high school graduation figures do not include 3,671 persons granted a high school equivalency certificate, nor 2,213 persons graduated from other than regular day school programs.

(c) Sales and Marketing Management 1977 Survey of Buying Power Data Service



STATE POSTSECONDARY EDUCATION STUDENT ENROLLMENTS

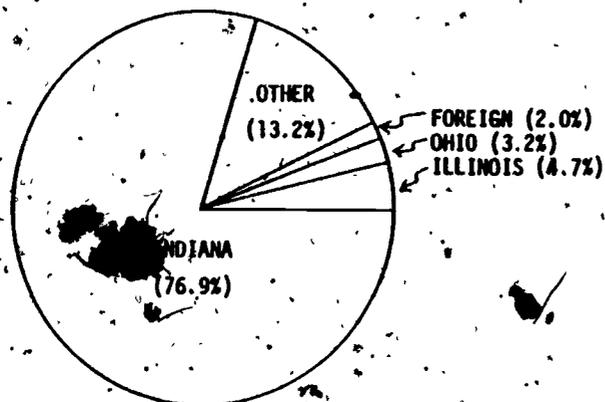
INDIANA H2



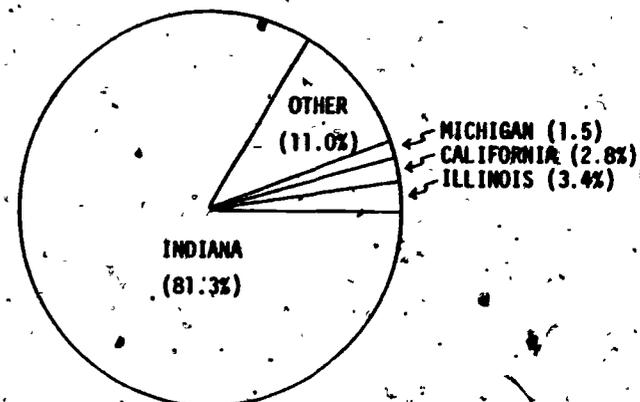
INTERSTATE MIGRATION OF STUDENTS

INDIANA

H3



**RESIDENT AND NON-RESIDENT STUDENTS
ATTENDING IN-STATE INSTITUTIONS**



**RESIDENT STUDENTS ATTENDING IN-STATE
AND OUT-OF-STATE INSTITUTIONS**

DATA
NOT
AVAILABLE

Public
Universities

Public
Community
Colleges

Private
Schools

Proprietary
Schools

INSTITUTIONAL SECTOR

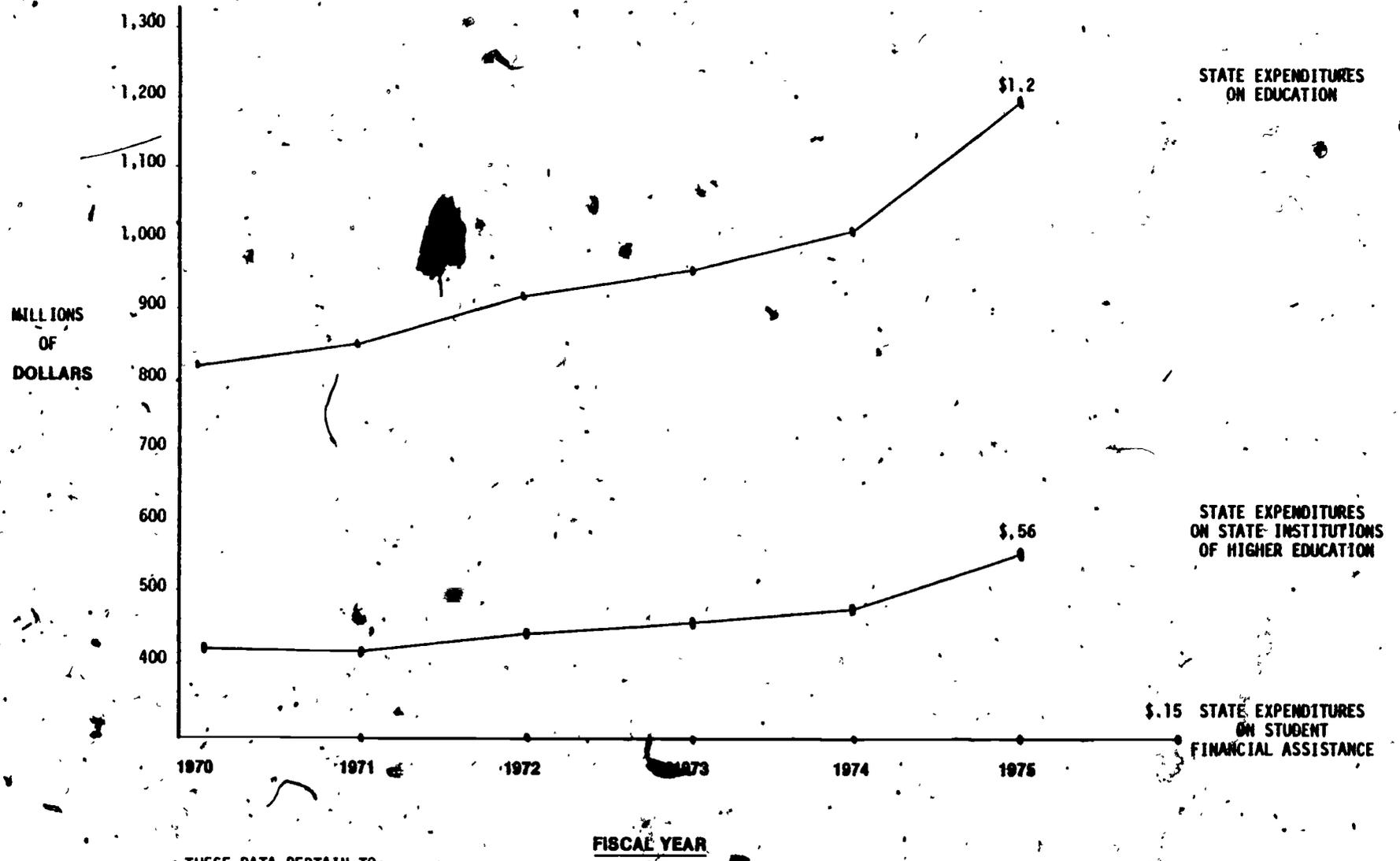
(Out-of-State Students | In-State)

823

824

STATE EXPENDITURE ON POSTSECONDARY EDUCATION STUDENT ASSISTANCE

INDIANA 11



- THESE DATA PERTAIN TO:
- STATE SCHOLARSHIP PROGRAM
 - TOP TWENTY HOOSIER SCHOLARS AWARDS
 - EDUCATIONAL GRANT PROGRAM
 - VOCATIONAL REHABILITATION GRANTS
 - FREEDOM OF CHOICE GRANT PROGRAM

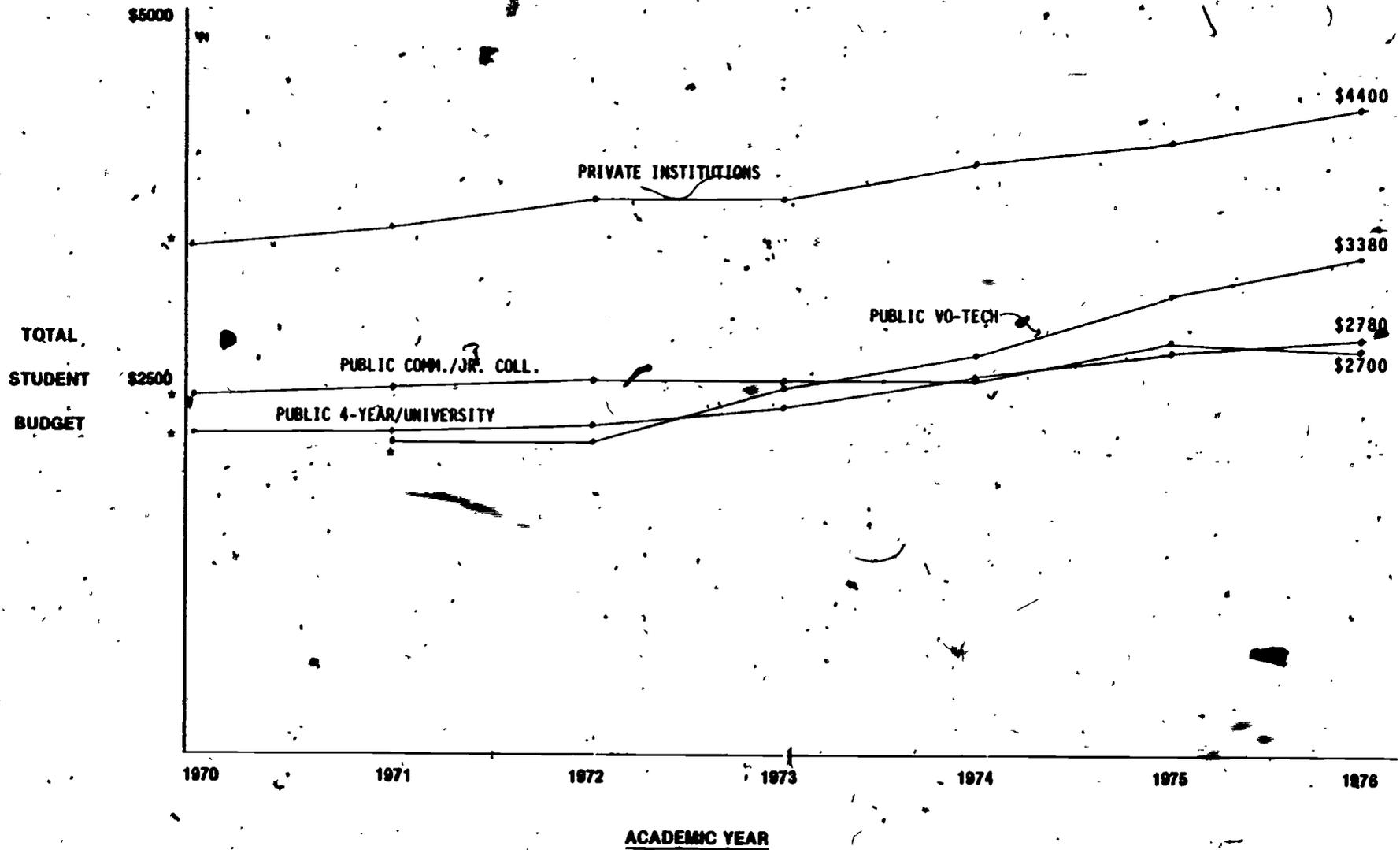
825

826

GROSS COSTS TO STUDENTS ATTENDING SCHOOL BY POSTSECONDARY EDUCATION SECTORS

INDIANA

12



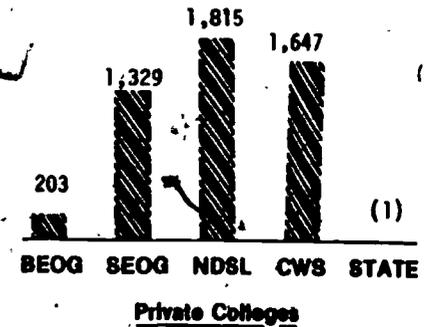
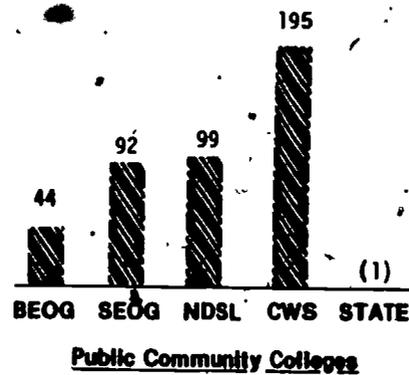
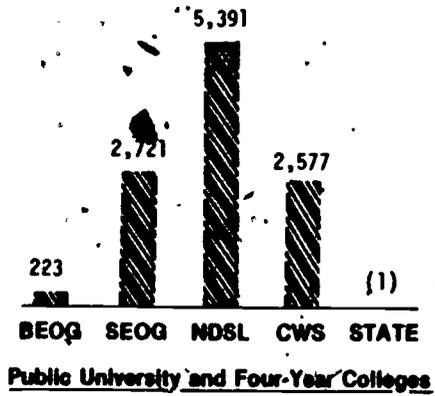
*All responses are not based on fact, but are reasonably certain.

828

**LEVELS OF FEDERAL AND STATE PROGRAM FUNDING
BY INSTITUTIONAL SECTOR**

INDIANA 13

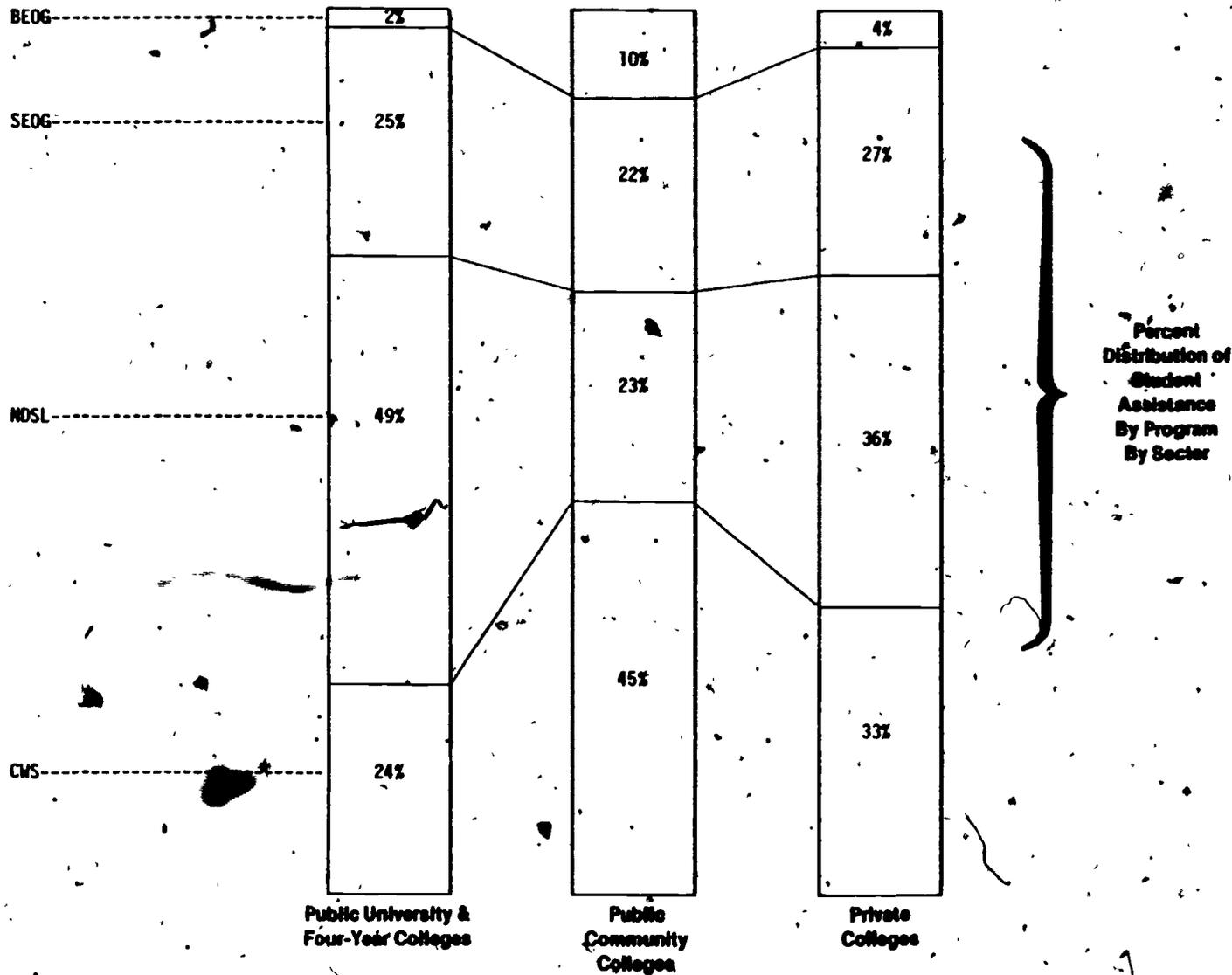
(Figures in thousands)



(1) The data pertaining to state dollars awarded by institutional sector are unavailable.

RELATIVE IMPORTANCE OF FEDERAL AND STATE PROGRAM FUNDING BY SECTOR

INDIANA 14



The data pertaining to state dollars awarded by institutional sector are unavailable.

DESCRIPTIONS OF PROGRAM OFFICES AND AGENCIES AND CORRESPONDING PROGRAM RESPONSIBILITIES

IOWA A1

The Higher Education Facilities Commission has nine members: four appointed by the Governor, one appointed by the Board of Regents, one appointed by the State Career Education Advisory Council, the Superintendent of State Department of Public Instruction, and one appointed legislator from each house. The legislators are non-voting members. The state legislature establishes parameters of the programs and appropriates annual operational and program funds based on Commission requests and Governor's budget recommendations.

1. Iowa Tuition Grant Program
2. State of Iowa Scholarship Program
3. Iowa Vocational-Technical Tuition Grant Program

Iowa Bonus Board

1. Program for War Orphans and Dependents of POW/MIAs

RELATIONSHIPS OF STATE AGENCIES

IOWA A2

GOVERNOR - Appoints four members of nine-member commission, one to represent private postsecondary schools and three to represent the general public; sets salary of Executive Director within range established by state legislature, recommends operational and program funding (based upon budget requests developed by commission) to legislature.

STATE LEGISLATURE - Appoints two non-voting members to commission, sets salary range of Executive Director, establishes statutory parameters of programs, appropriates annual operational and program funds based on commission requests and Governor's budget recommendations, and reviews administrative rules established by commission.

ADVISORY COUNCIL ON STATE STUDENT AID PROGRAMS - Appointed by commission--12 members representative of high schools, all types of postsecondary schools, financial aid association, admissions counselors' association and high school guidance counselors' association.

STATE 1202 - HEFC² is 1202, as designated--no statutory authority for coordination of postsecondary education:

BOARD OF REGENTS - Appoints one of nine-member commission.

DEPARTMENT OF PUBLIC INSTRUCTION - State Superintendent is a member of the commission by virtue of his office.

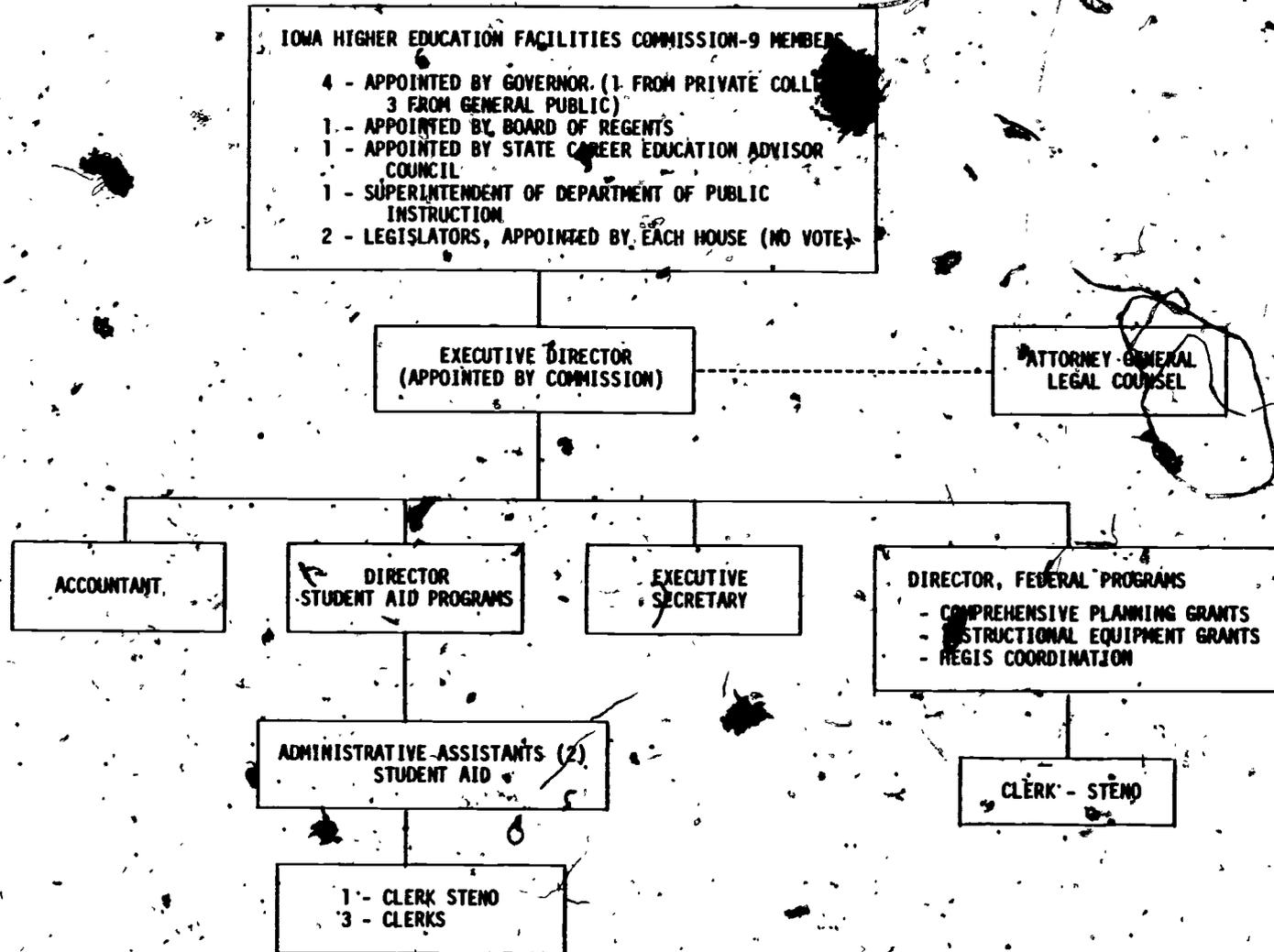
CAREER EDUCATION ADVISORY COUNCIL - Appoints one of nine member commission.

¹Not a state agency.

²Vocational Rehabilitation Department of Social Services, and Iowa Bonus Board are the only state agencies concerned with student aid, other than the HEFC.

STATE AGENCY ORGANIZATION

IOWA B1



837

838

**MANAGEMENT RELATIONSHIPS BETWEEN THE STATE AGENCY
AND OTHER STATE AGENCIES/INSTITUTIONS**

IOWA B2

| <u>WHO ACCOMPLISHES THE FOLLOWING?</u> | <u>STATE PROGRAM OFFICE</u> | <u>INSTITUTIONAL FINANCIAL AID OFFICER</u> | <u>OTHER OFFICERS OR AGENCIES</u> |
|---|-----------------------------|--|-----------------------------------|
| Processing of Applications | | | |
| • Verification of Student Application Information | X | | |
| • Identification of Eligible Recipients | X | | |
| • Determination of Award Size | X | | |
| • Assignment of Priority to Awards | X | | |
| • Formally Approves Award | X | | |
| Makes Payment to Student (or Credits Student Account) | | X | |
| Makes Post-Award Adjustments | X | X | |
| Initiates the Prosecution of Possible Fraudulent Student applications | X | | |
| Publicizes Award Availability | X | X | |

STATE PROGRAM OFFICE DEFINITIONS FOR SELECTED TERMS

IOWA B3

**DEFINITIONS USED BY
STATE PROGRAM OFFICES**

**STATE DEFINITION
SPECIFIED BY**

**STATE DEFINITION COMMONLY
USED BY ALL INSTITUTIONS**

**STATE DEFINITION CONSISTENT
ACROSS ALL STATE PROGRAMS**

PUBLIC

PRIVATE

Half-Time Student - A student enrolled for at least six semester credit hours or trimester equivalent.

Law

Yes

Yes

Yes

State Resident (Self-Supporting) - One who has been domiciled in the State for a one-year period without full-time enrollment at any postsecondary institution; or who has been married to an Iowa resident for at least one year. A dependent student's residency is generally that of the parent.

Interpretation

No

Yes

Yes

Independent Student - Same definition as that used by the U.S. Office of Education.

Interpretation

Yes

Yes

Yes

Non-Profit Institution - A corporation no part of the income or profit of which is distributable to its members, directors or officers except as provided in Ch. 504A.2 of Iowa Code.

Law

Yes

Yes

Satisfactory Academic Progress - As determined by the postsecondary institution.

Interpretation

Yes

Yes

Yes

United States Citizenship - As defined in the U.S. Constitution. State programs also provide eligibility for refugees and other aliens domiciled in Iowa on a permanent resident basis.

Interpretation

Yes

Yes

Residency definition differs at 2-year public institutions.

842

INFORMATION FLOW BETWEEN THE STATE PROGRAM OFFICE AND VARIOUS FEDERAL OFFICES

IOWA B4

| FEDERAL OFFICES | <u>TYPE OF INFORMATION PROVIDED BY STATE STUDENT-AID AGENCY</u> | <u>TYPE OF INFORMATION RECEIVED BY STATE STUDENT-AID AGENCY</u> | <u>PERCEPTION OF ADEQUACY OF INFORMATION FLOW</u> |
|---|---|---|---|
| SSIG Office | Summaries Applications | Regulations | Adequate |
| BEOG Office | | Rosters, tapes & application materials | Adequate |
| Regional OE Student-Assistance Office (Campus-Based Programs) | | | Marginal |
| Washington OE Office (Campus-Based Programs) | | | Marginal |
| FISL/GSL Office | | | No Contact |
| Comprehensive Statewide Planning, National Center for Educational Statistics, Office of Library and Learning Resources | Applications Summaries | Regulations Applications | Adequate |
| <u>STATE/INSTITUTIONAL OFFICES</u> | | | |
| Public Institutions | Recipients Summaries | Certifications Terminations | Adequate |
| Private Institutions | Recipients Summaries | Certifications Terminations | Adequate |
| Governor/Budget Office | Summaries | Regulations | Adequate |
| State Legislature (Including Committee Staffs) | Summaries Recipients | Regulations | Adequate |

843

When information is requested, however, agency gets quick response.

844

MANAGEMENT STAFFING OF STATE PROGRAM OFFICES

IOWA B5

| <u>MANAGEMENT FUNCTION</u> | <u>PERCENTAGE OF STAFF EFFORT</u> | <u>STAFF ASSISTANCE FROM OTHER AGENCIES</u> <u>COMMENTS</u> |
|--|-----------------------------------|--|
| APPLICATIONS PROCESSING (includes verification of student information, need analysis, award determination, etc.) | 50 | |
| RESPONDING TO REQUESTS FOR INFORMATION (includes federal requests for information, parent/student inquiries, testimony in hearings, etc.) | 15 | |
| PROGRAM REVIEW (includes on-site visits to institutions, formal/informal audits, etc.) | 0 ¹ | |
| PUBLIC RELATIONS AND INFORMATION DISSEMINATION (includes parent/student workshops, work on promotional literature, etc.) | 5 | |
| GENERAL ADMINISTRATION (includes executive personnel, staff training and development, regulations and guidelines, preparation of summary statistics, etc.) | 25 | |
| OTHER MANAGEMENT ACTIVITIES (includes any special staff activities which do not clearly fall in one of the above categories) | 5 | |

¹Advisory Council (secondary and postsecondary representatives) assists with program/policy review). Review Board (postsecondary financial aid officers) assists with review of financial aid forms filed by applicants.

846

STATE AGENCY CALENDAR OF EVENTS FOR NEED-BASED PROGRAMS FOR 1976-77 SCHOOL YEAR

I O W A B6

MONTH/YEAR

EVENTS

SEPTEMBER 1975 1) Agency budget presented to governors office or budget bureau
2) Agency makes student-aid applications available

SEPTEMBER-OCTOBER 1975 Agency completes planning workshops for students or counselors

JANUARY 1976 Agency budget presented to legislature

February - two programs;
May - one program

FEBRUARY & MAY 1976 Deadline for student-aid applications*

April - two programs;
August - one program

APRIL & AUGUST 1976 Agency (or institutions) announces award winners*

Although the programs now have a standing limited appropriation, an earlier vote on supplemental funds would be helpful

MAY-JUNE 1976 Legislature appropriates student assistance funds

JANUARY 1977 BEOG applications information received from federal office*

ONGOING Award changes and adjustments are made up to matriculation*

* Would be better if BEOG and state programs could be geared to same timetable, using a common application form.

**PROGRAM AND FISCAL CONTROLS OF PROGRAMS
OPERATED BY STATE AGENCY**

IOWA 87

| <u>PROGRAM CONTROLS</u> | <u>CONTROL USED SAMPLE OR TOTAL POPULATION STUDIED</u> | <u>AGENCY/OFFICE UNDERTAKING CONTROLS</u> | <u>SPECIAL ACTIONS COMMENTS</u> |
|--|--|---|-------------------------------------|
| A. <u>Control on Student Application Data Accuracy</u> | | | |
| 1. Match Against Tax Forms? | Yes, Sample | State | Award adjusted or withdrawn |
| 2. Match Against BEOG Applications? | Yes, All | State | |
| 3. Match Against Institutional Student Records? | Yes, All | Institution | Note 1 |
| 4. Warnings of Prosecution? | | | |
| 5. Prosecution? | | | |
| B. <u>Controls on Attendance and/or Progress</u> | | | |
| 1. Check on Enrollment/Initial Attendance? | Yes, All | Institution, State | |
| 2. Check on Mid-Term Attendance? | Yes, All | Institution, State | |
| 3. Check on Course Completion? | | | |
| 4. Check on Academic Progress Before Award? | Yes, All | State | Scholarship only |
| 5. Partial Awards? | | | |
| C. <u>Controls on Award Size</u> | | | |
| 1. Check to Make Sure Other Awards Actually Made? | Yes, All | Institution, State | Adjust if necessary |
| 2. Match Against BEOG, Campus-Based Award Files? | Yes, All | Institution, State | |
| 3. Re-Check to Ensure Award Adjustments Actually Made? | | | |
| 4. Second Review of Aid Packages? | | | |
| D. <u>Controls on Institutional Student-Aid Operations</u> | | | |
| 1. Independent Program Audits? | | | |
| 2. Aid Officer Bonding? | | | |
| 3. Aid Officer Certification? | Yes | Institution, State | |
| 4. Double Signatures on All Fund Transfers? | | | |
| E. <u>Controls on Central Administrative Staff Operations</u> | | | |
| 1. Independent Program Audits? | Yes | State | |
| 2. Bonding of Staff Members? | Yes | State | |
| 3. Double Signatures on All Fund Transactions? | | | |

Award not paid unless enrolled.

PROGRAM INFORMATION DISSEMINATION PROCEDURES USED FOR STATE NEED BASED PROGRAMS

IOWA C1

TYPE OF INFORMATION PROVIDED

| <u>INFORMATION PROVIDED THROUGH</u> | <u>APPLICATION FORMS</u> | <u>ELIGIBILITY CONDITIONS</u> | <u>FILING/CLOSING DATES FOR STATE PROGRAMS</u> | <u>INFORMATION ON THE COST OF ATTENDANCE AT VARIOUS TYPES OF INSTITUTIONS</u> | <u>INFORMATION ABOUT APPLYING FOR AID FROM OTHER SOURCES</u> | <u>INFORMATION ON PENALTIES FOR PROVIDING FRAUDULENT DATA</u> |
|--|--------------------------|-------------------------------|--|---|--|---|
| 1. High School Counselors | X | X | X | X | X | X |
| 2. College Financial-Aid Officers | X | X | X | X | X | X |
| 3. Direct Mailings to Graduating High School Students or Parents | | | | | | |
| 4. High School Meetings or Workshops | X | X | X | X | X | X |
| 5. College Admissions Office | X | X | X | X | X | X |
| 6. Newspaper Articles | X | X | X | | | |
| 7. Radio, Television Ads | X | X | X | | | |
| 8. Social Service Agencies | | | | X | X | |
| 9. Other (Please Specify) | | | | | | |

851

852

DESCRIPTIONS OF STATE-SPONSORED STUDENT ASSISTANCE PROGRAMS

I O W A D I

Iowa Tuition Grant Program - A grant program which provides tuition equalization between in-state public and private colleges and universities to allow "freedom of choice" to resident students who need assistance to meet private college costs.

State of Iowa Scholarship Program - A grant program to give recognition and financial aid, if needed, to Iowa's academically outstanding high school graduates while attending in-state schools.

Iowa Vocational-Technical Tuition Grant Program - A need-based grant program to provide access to Voc/Tech training for resident students attending in-state schools.

Program for War Orphans and Dependents of POWs/MIAs - A non-need based grant program for resident dependents of war orphans and dependents of POWs/MIAs who are attending in-state public and private institutions.

Vocational Rehabilitation - Non-need based grants for residents attending in or out-of-state institutions.

Rural Student Loan Program - A Federally-guaranteed direct loan program operated by the Iowa Department of Social Services for rural students.

BASIC STATE PROGRAM INFORMATION

IOWA D2

| <u>Programs</u> | <u>Year</u> | <u>Number of Awards</u> | <u>Average Full-Time Award Size</u> | <u>Total Dollars Awarded</u> | <u>Eligible Population</u> |
|---|--------------------|---------------------------------|---|--------------------------------------|--|
| <u>Need-Based</u> | | | | | |
| <u>Grants</u> | | | | | |
| Iowa Tuition Grant Program | 1970-71 1975-76 | 3,536 7,771 | \$ 860 \$1,254 | \$2,968,978 \$8,992,019 | Resident Undergraduates |
| State of Iowa Scholarship Program | 1970-71 1975-76 | 546 1,001 | \$ 599 \$ 555 | \$ 327,431 \$ 555,563 | Academically Superior High School Graduates entering Iowa Schools |
| Iowa Vocational Technical Tuition Grant Program | 1974-75 1975-76 | 200 476 | \$ 185 \$ 150 | \$ 39,385 \$ 71,418 | Freshmen or Sophomores attending Voc-Tech Schools |
| Rural Student Loan Program | 1975-76 | 78 | \$1,275 | \$ 99,348 | Rural & Farm residents entering Iowa schools or schools within 50 miles of state borders |
| <u>Non Need-Based</u> | | | | | |
| Program for War Orphans and Dependents of POWs/MIAs | 1970-71 1975-76 | 129 106 | \$ 389 \$ 300 | \$ 50,216 \$ 31,875 | Dependents of Veterans/ POWs/MIAs |
| Vocational Rehabilitation Grants | 1975-76 | --- | --- | \$1,500,000 | |

STUDENT ELIGIBILITY CRITERIA FOR STATE PROGRAMS

IOWA E1

| <u>Programs</u> | <u>Acceptable Residency Status</u> | <u>Acceptable Attend. Status</u> | <u>Acceptable Student Levels</u> | <u>Special Priority to Cont'g. Awards</u> | <u>Income Limits</u> | <u>Need Limits</u> |
|---|--|-------------------------------------|-------------------------------------|---|----------------------|--------------------|
| <u>Need-Based</u> | | | | | | |
| <u>Grants</u> | | | | | | |
| Iowa Tuition Grant Program | Residents attending In-State | Half-Time ¹ Full-Time | All Under-graduates | No | Not Applicable | Not Applicable |
| State of Iowa Scholarship Program | Residents attending In-State | Full-Time | Freshmen ² Sophomores | Yes | Not Applicable | Not Applicable |
| Iowa Vocational-Technical Tuition Grant Program | Residents attending In-State | Full-Time | Freshmen Sophomores | No | Not Applicable | Not Applicable |
| Rural Student Loan Program | Residents within 50 mile radius of state borders | Full-Time Part-Time | All | No | Not Applicable | Not Applicable |
| <u>Non Need-Based</u> | | | | | | |
| Program for War Orphans and Dependents of POWs/MIAs | Residents attending In-State | Part-Time | All | Not Given | Not Applicable | Not Applicable |
| Vocational Rehabilitation Grants | Residents attending In/Out of State | Part-Time Full-Time | All | | Not Applicable | Not Applicable |

¹Half-time effective for 1977-78 awards.

²Acceptable levels include all undergraduate years--limited funding has restricted to first two years.

INSTITUTIONAL ELIGIBILITY CRITERIA FOR STATE STUDENT-ASSISTANCE PROGRAMS

IOWA E2

| <u>Programs</u> | <u>University and 4-Year Colleges</u> | <u>Community/ Junior-Colleges</u> | <u>Voc./Tech. Schools</u> | <u>Proprietary</u> | <u>Special Types of Institutions</u> |
|--|---|---------------------------------------|-------------------------------|--------------------|--|
| Need-Based | | | | | |
| <u>Grants</u> | | | | | |
| Iowa Tuition Grant Program | Private | No | No | Yes ¹ | Hospital Schools of Nursing |
| State of Iowa Scholarship Program | Public Private | Public | Public | Yes ² | Nursing Schools |
| Iowa Vocational-Technical Tuition Grant Program | No | No | Public | No | No |
| Rural Student Loan Program | Public Private | Public Private | Public Private | Yes | Yes |
| Non Need-Based | | | | | |
| Program for War Orphans and Dependents of POWs/MIAs | Public Private | Public | Public | Yes | Yes |
| Vocational Rehabilitation Grants | -----ALL----- | | | | |

859

860

¹ If credits are certified as acceptable at three Iowa NCA-accredited institutions.

² If qualified under 3-letter criterion.

NEED DETERMINATION CRITERIA FOR STATE PROGRAMS

IOWA E3

| <u>Programs</u> | <u>Program Definition of Need</u> | <u>Is BEOG Application Required?</u> | <u>Expected Family Contribution (EFC) Method</u> | <u>Student Budget Includes What Items?</u> |
|---|-------------------------------------|--------------------------------------|--|--|
| <u>Need-Based</u> | | | | |
| <u>Grants</u> | | | | |
| Iowa Tuition Grant Program | Need = Budget - Family Contribution | No | Consensus | Tuition/Fees |
| State of Iowa Scholarship Program | Need = Budget - Family Contribution | No | Consensus | Tuition/Fees |
| Iowa Vocational-Technical Tuition Grant Program | Need = Budget - Family Contribution | No | Consensus | Tuition/Fees |
| Rural Student Loan Program | Need = Budget - Family Contribution | No | Consensus | All educational/related costs |

AWARD SIZE DETERMINATION CRITERIA FOR STATE PROGRAMS

IOWA E4

| <u>Programs</u> | <u>Maximum Award Size?</u> | <u>What Award Size Determination Method Is Used?</u> | <u>How Is Award Priority Determined?</u> | <u>Who Ultimately Determines Award Amount?</u> |
|---|-----------------------------------|--|--|--|
| <u>Need-Based</u> | | | | |
| <u>Grants</u> | | | | |
| Iowa Tuition Grant Program | \$1,300 | Lesser of student's need or the amount of tuition and fees after deducting the average tuition at the Iowa state universities. | By ranking applicants in ascending order of Family Contribution. | State |
| State of Iowa Scholarship Program | \$ 600 | Award equals tuition and fees, student's need, or maximum allowable--whichever is the lowest figure. | Descending academic score and awards given in that order to those demonstrating financial need. | State |
| Iowa Vocational-Technical Tuition Grant Program | \$ 400 | Lesser of need, tuition and fees or award maximum. | Ascending sequence of Family Contribution. | State |
| Rural Student Loan Program | \$2,000 | Recommendation of financial officer. | By County Social Services Agent | State |
| <u>Non Need-Based</u> | | | | |
| Program for War Orphans and | \$400/year up to total of \$2,000 | By enrollment period; example: one semester = \$200; one quarter = \$100. | If number of eligible applicants exceeds available dollars, amount of awards would be prorated. This has not occurred. | State |

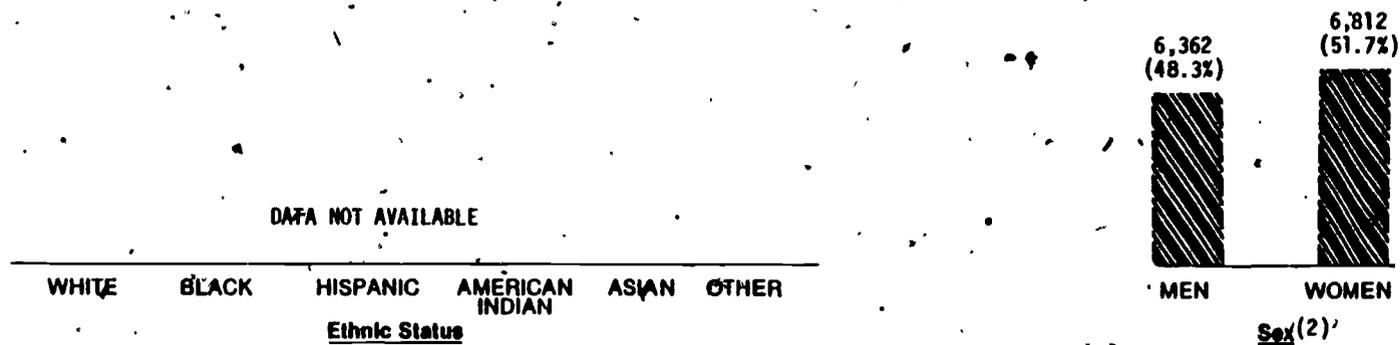
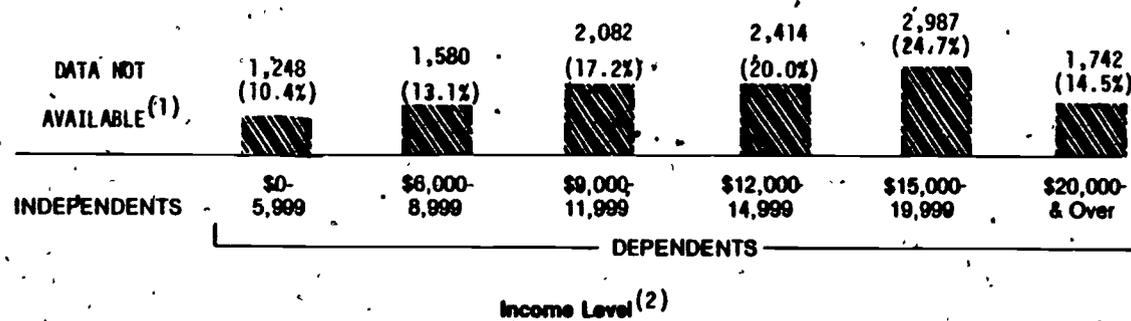
Present grant of \$1,300 provides 56% of average tuition and 32% of average total costs of Iowa private colleges. Commission is seeking increase in maximum grant.

NUMBER OF NEED-BASED GRANT PROGRAM APPLICANTS

IOWA F1

THESE FIGURES FOR THE 1975-76 SCHOOL YEAR PERTAIN TO:

- IOWA TUITION GRANTS
- STATE OF IOWA SCHOLARSHIPS



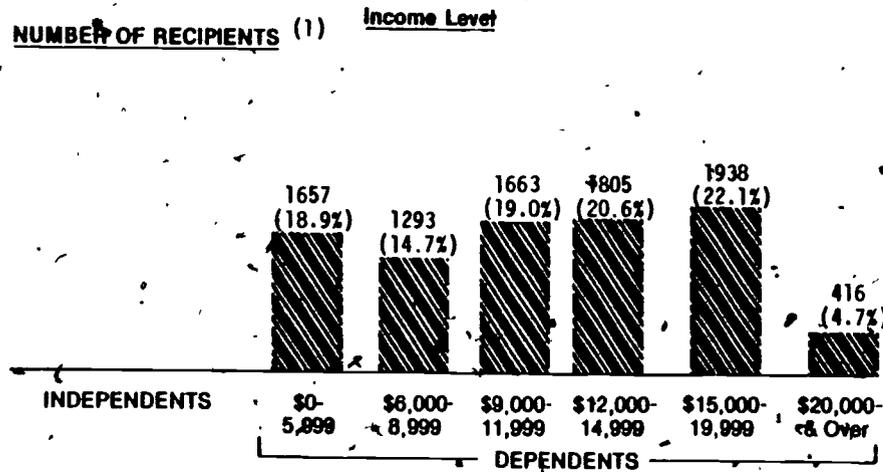
(1) The distribution of awards by student income categories includes awards dependent students only. The data available did not include include breakdowns for 1,121 independent students.

NUMBER OF NEED-BASED GRANT PROGRAM RECIPIENTS AND AVERAGE AWARD SIZE

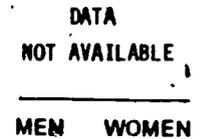
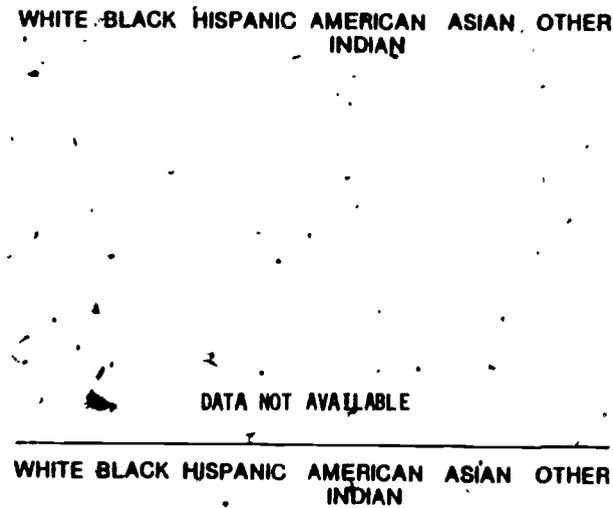
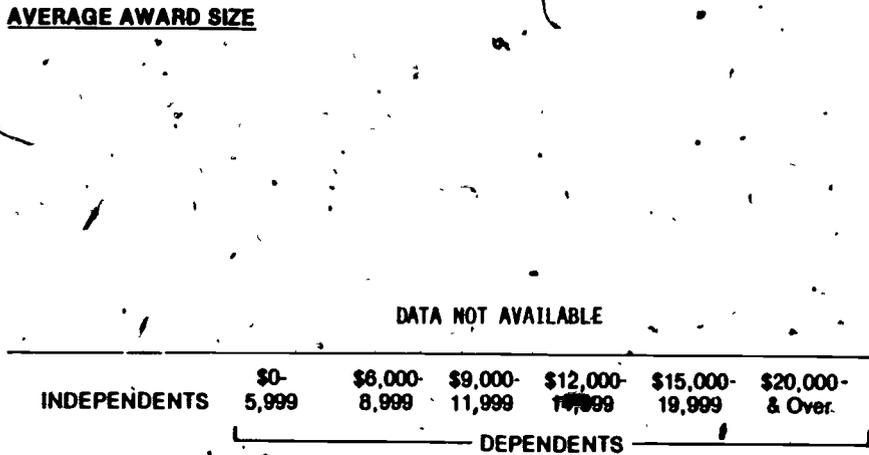
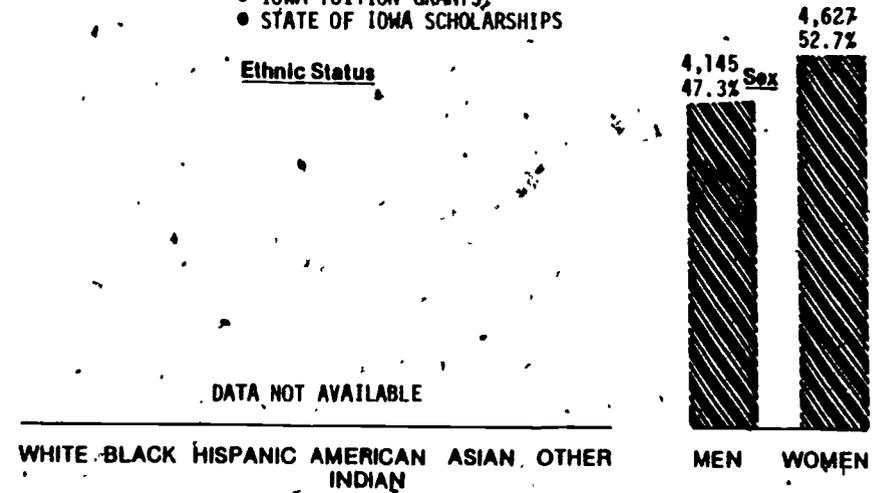
F2
I O W A

THESE FIGURES FOR THE 1975-76 SCHOOL YEAR PERTAIN TO:

- IOWA TUITION GRANTS
- STATE OF IOWA SCHOLARSHIPS



Ethnic Status



867 (1) Data includes both dependent and independent recipients

SOURCES AND DISTRIBUTION OF NEED-BASED GRANT PROGRAM FUNDS

THESE FIGURES FOR THE 1975-76 SCHOOL YEAR PERTAIN TO:

- IOWA TUITION GRANT PROGRAM
- STATE OF IOWA SCHOLARSHIP PROGRAM
- IOWA VOCATIONAL-TECHNICAL TUITION GRANT PROGRAM

STATE APPROPRIATIONS

\$9,386,945

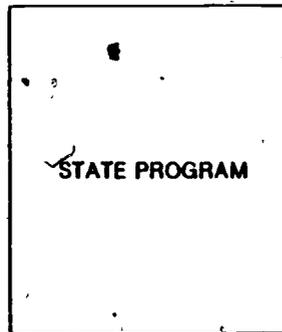
97.6%

PRIVATE GIFTS

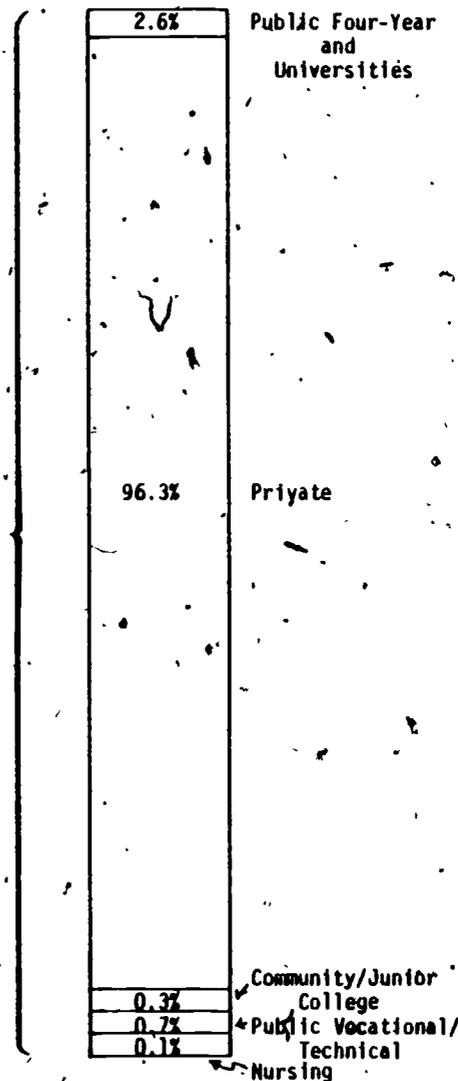
\$231,956

2.4%

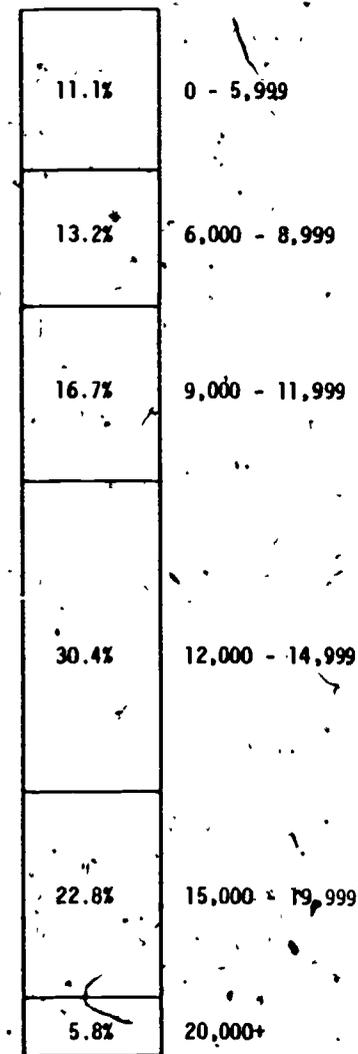
FEDERAL SSIG PROGRAM



INSTITUTIONAL SECTORS



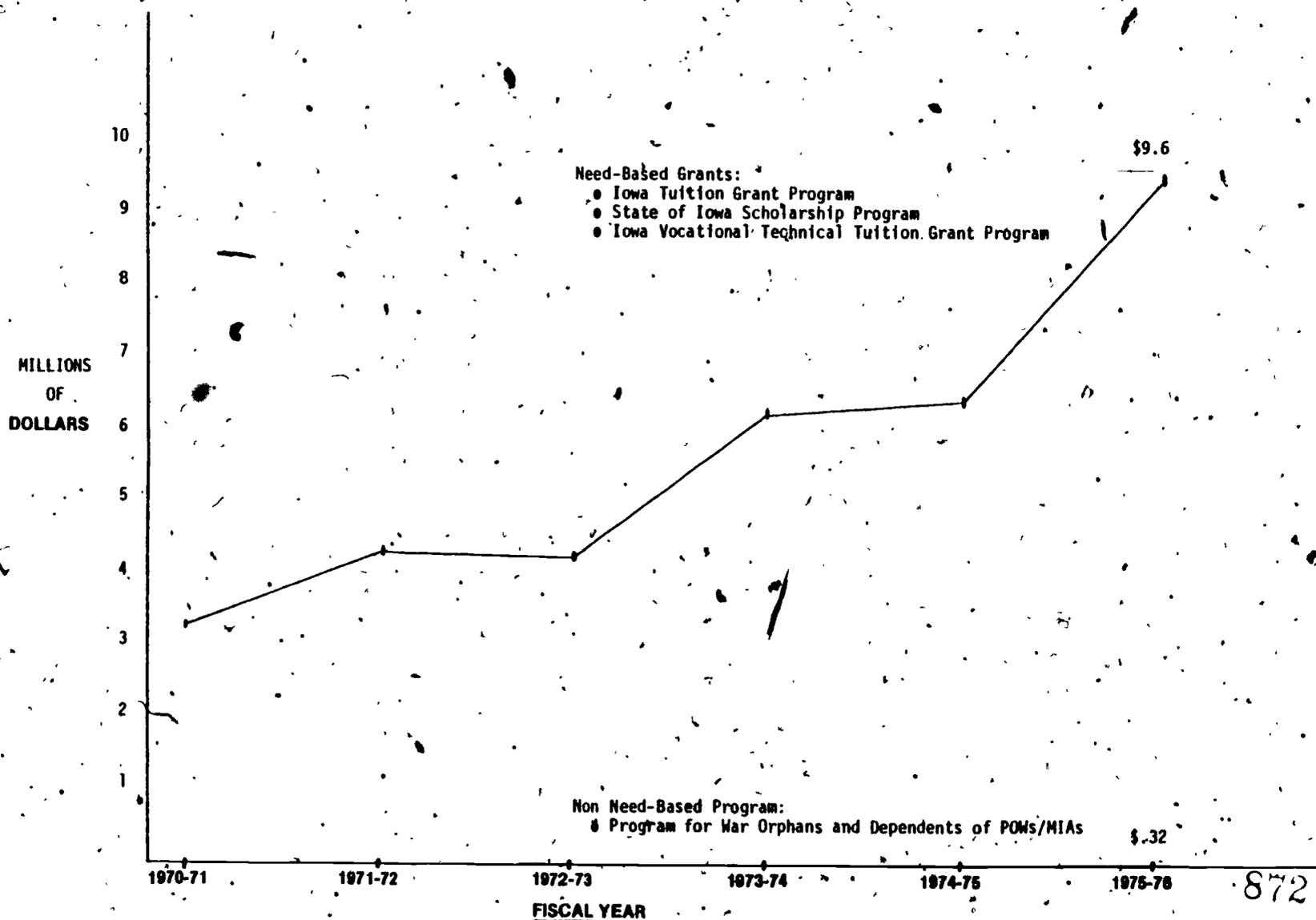
STUDENT INCOME CATEGORIES



The data for student income categories were taken from the Financial Status and Performance Report for the Reporting Period July 1, 1975, to September 30, 1976, and reflect only the distribution of the federal portion of the total dollars.

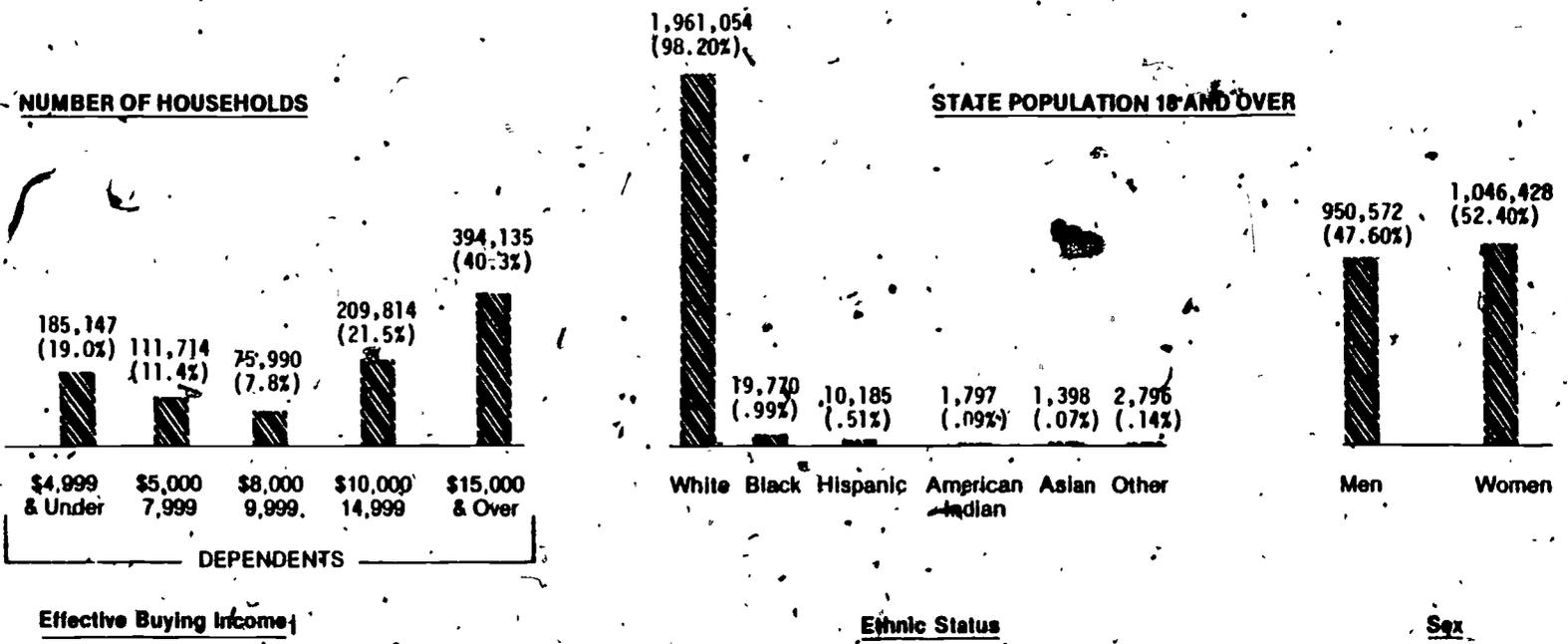
HISTORIC STATE EXPENDITURES ON STUDENT-ASSISTANCE PROGRAMS

IOWA G2



STATE POPULATION PROFILE

IOWA H1



DEPENDENTS

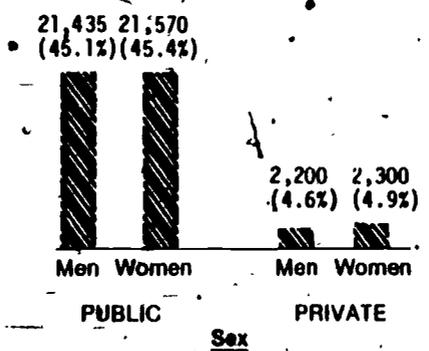
Effective Buying Income

Ethnic Status

Sex

STATE HIGH SCHOOL GRADUATES

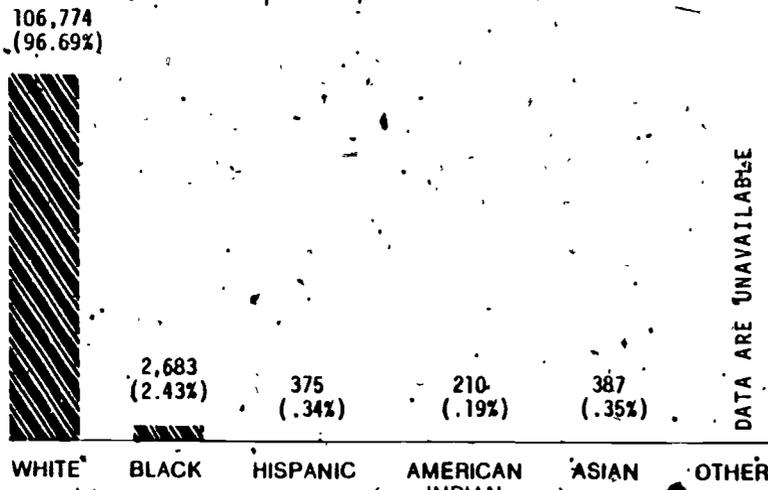
(c) Sales and Marketing Management 1977 Survey of Buying Power Data Service



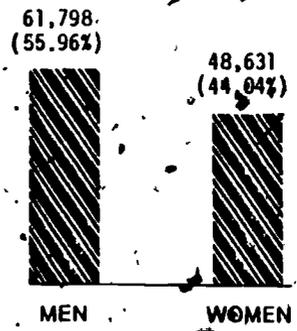
The high school graduation figures do not include 3,186 persons granted a high school equivalency certificate.

STATE POSTSECONDARY EDUCATION STUDENT ENROLLMENTS

IOWA H2

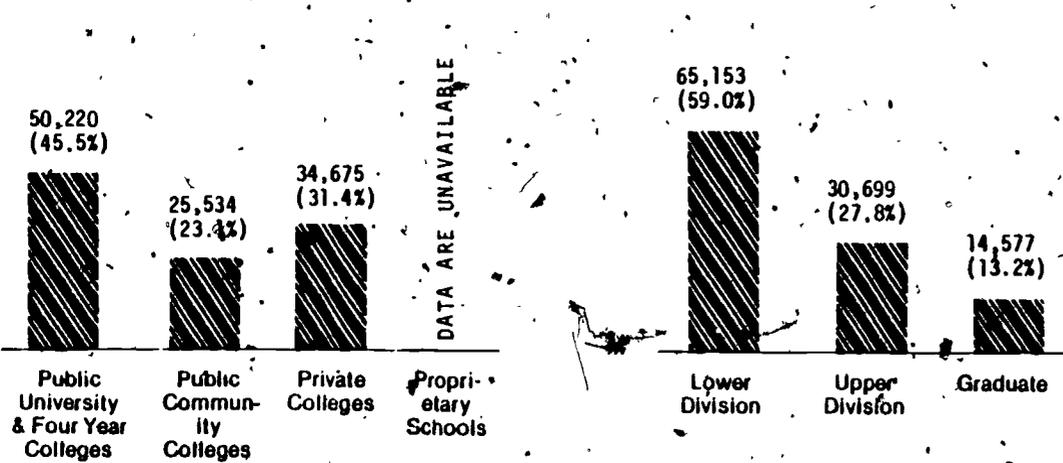


DATA ARE UNAVAILABLE



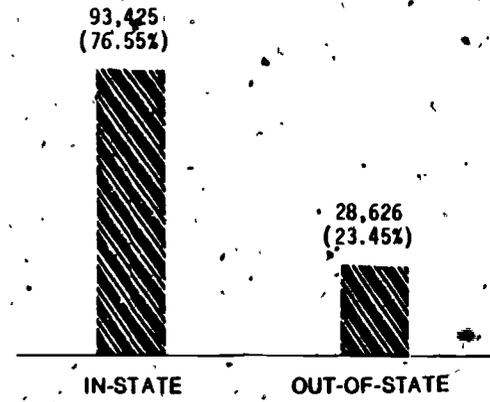
Ethnic Status

Sex



Institutional Sector

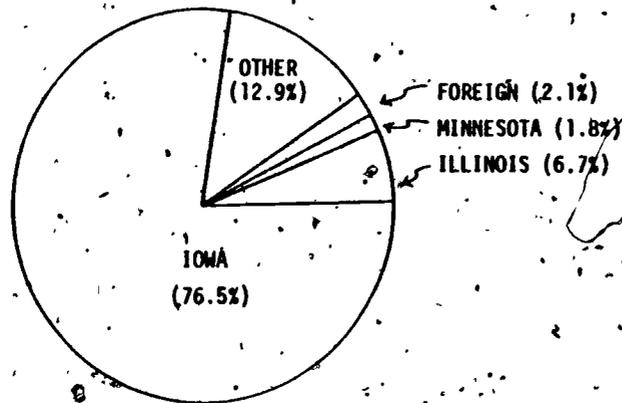
Student Level



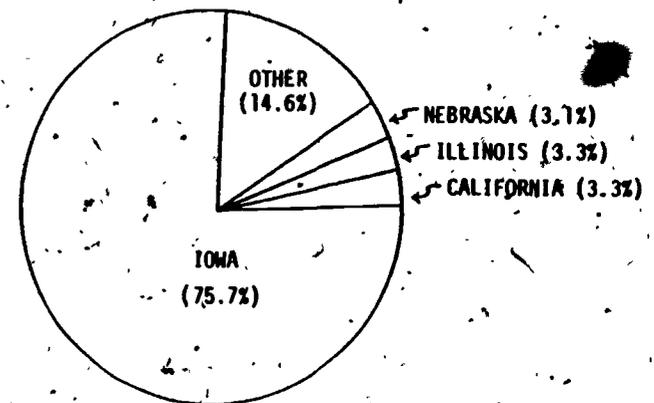
Residency

INTERSTATE MIGRATION OF STUDENTS

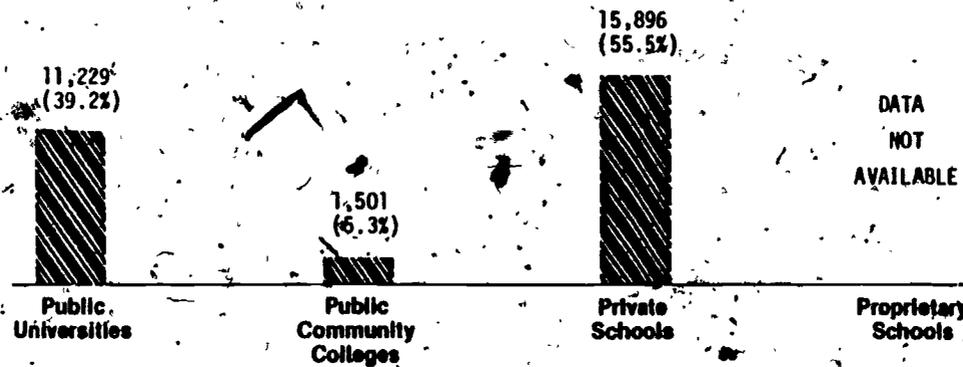
IOWA HS



**RESIDENT AND NON-RESIDENT STUDENTS
ATTENDING IN-STATE INSTITUTIONS**



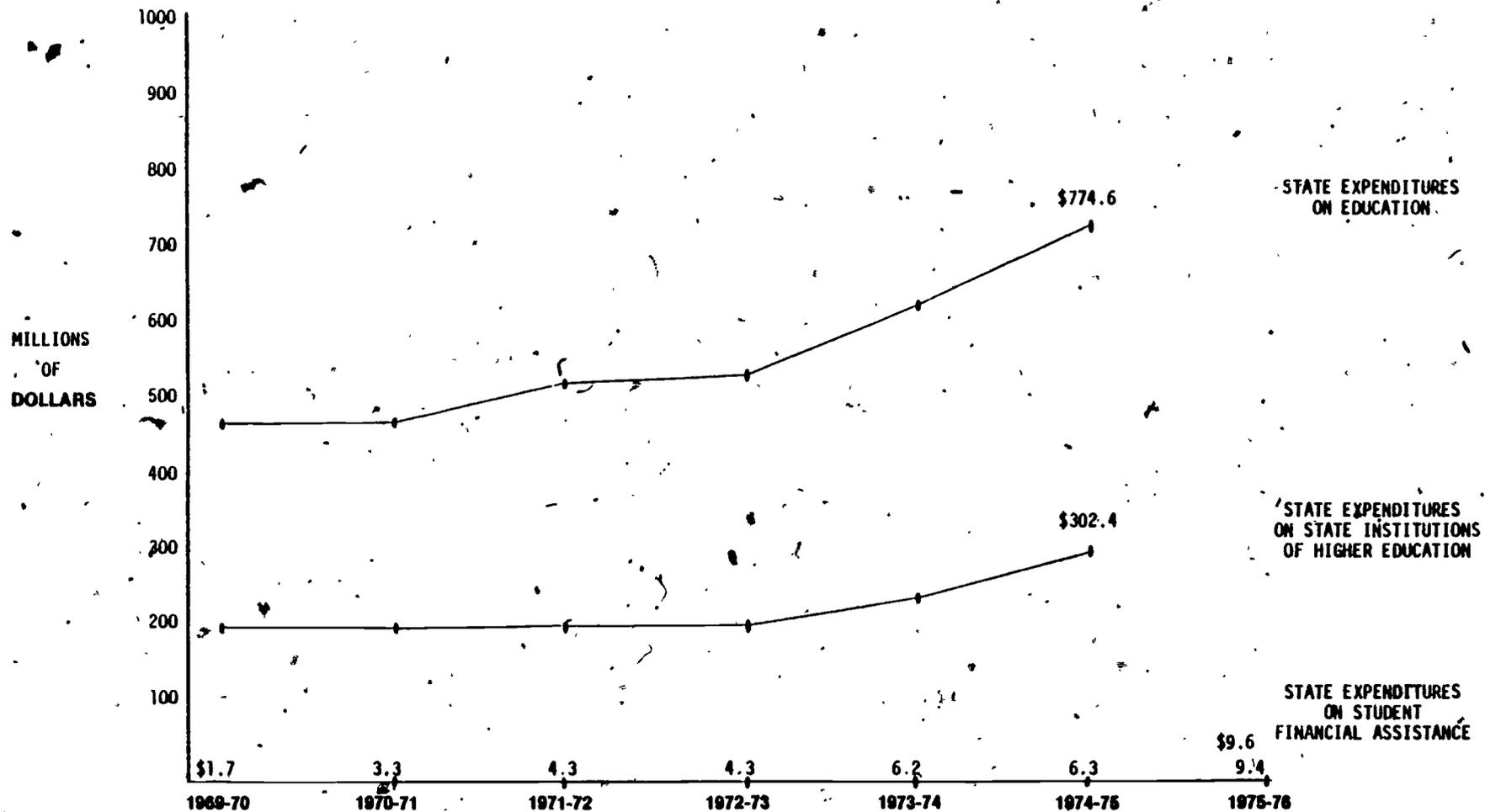
**RESIDENT STUDENTS ATTENDING IN-STATE
AND OUT-OF-STATE INSTITUTIONS**



**INSTITUTIONAL SECTOR
(Out-of-State Students in School In-State)**

STATE EXPENDITURE ON POSTSECONDARY EDUCATION STUDENT ASSISTANCE

IOWA 11



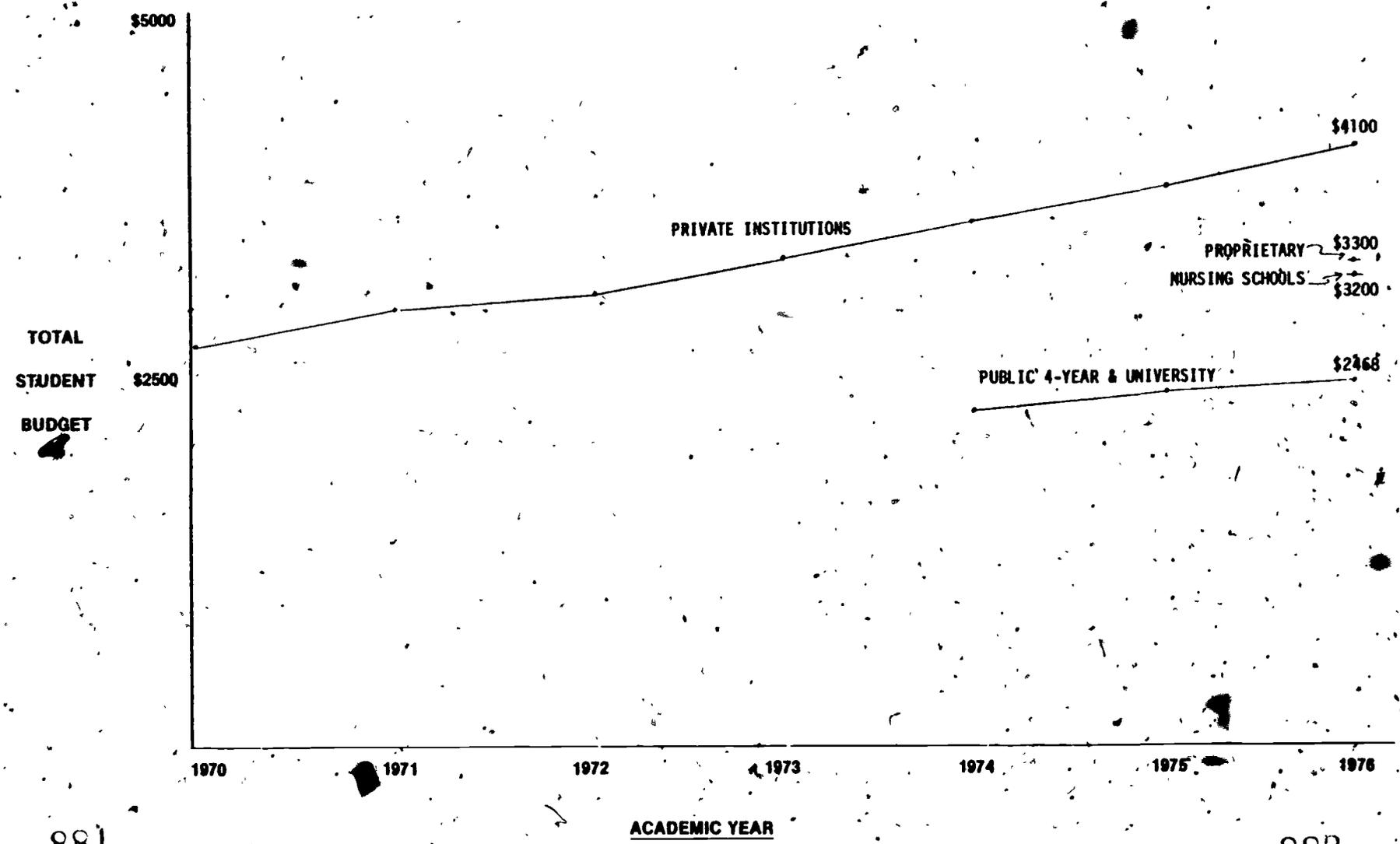
THESE DATA PERTAIN TO:
 ● IOWA TUITION GRANT PROGRAM
 ● STATE OF IOWA SCHOLARSHIP PROGRAM

FISCAL YEAR
 ● IOWA VOCATIONAL TECHNICAL TUITION GRANT PROGRAM
 ● PROGRAM FOR WAR ORPHANS AND DEPENDENTS OF POWs/MIAs
 ● RURAL STUDENT LOAN PROGRAM
 ● VOCATIONAL REHABILITATION

1975-76
 \$1,736,025

880

GROSS COSTS TO STUDENTS ATTENDING SCHOOL BY POSTSECONDARY EDUCATION SECTORS



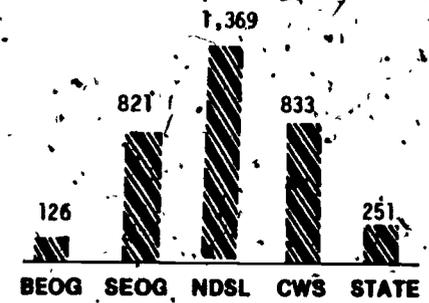
LEVELS OF FEDERAL AND STATE PROGRAM FUNDING BY INSTITUTIONAL SECTOR

IOWA 13

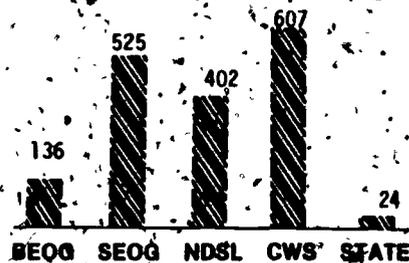
(Figures in thousands)

THE FIGURES SHOWN FOR STATE DOLLARS AWARDED ARE FOR THE 1975-76 SCHOOL YEAR AND PERTAIN TO:

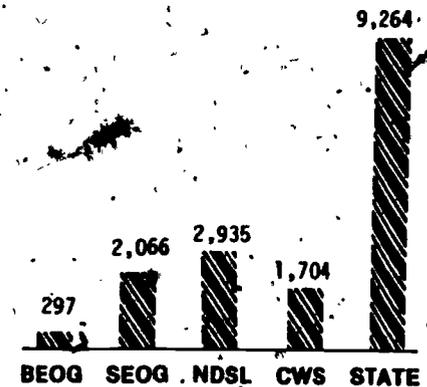
- IOWA TUITION GRANT PROGRAM
- STATE OF IOWA SCHOLARSHIP PROGRAM
- IOWA VOCATIONAL-TECHNICAL TUITION GRANT PROGRAM



Public University and Four-Year Colleges



Public Community Colleges



Private Colleges

State funds were also awarded to the following types of institutions:

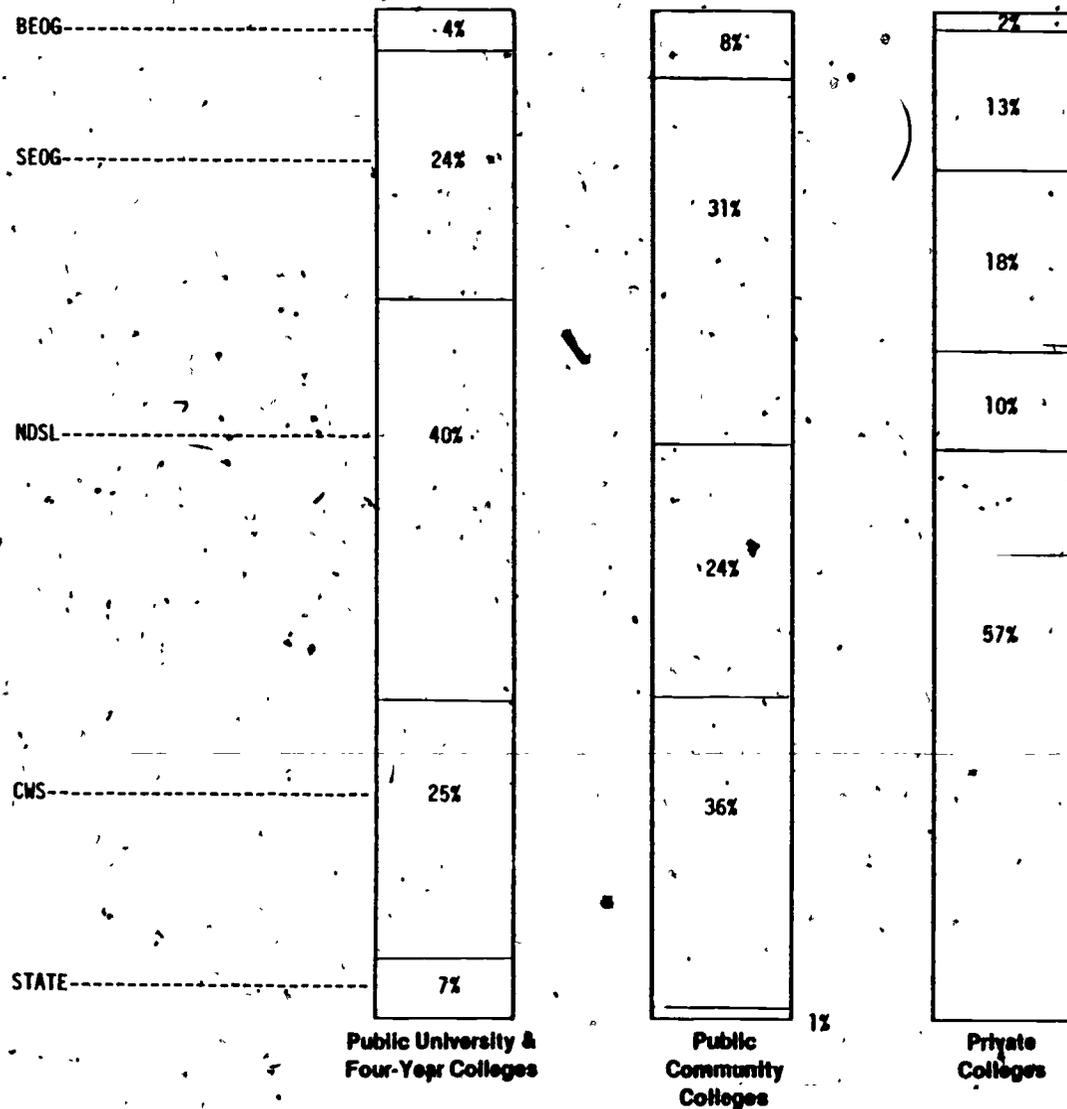
- Public Vocational - \$71,418
- Nursing - \$6,820

RELATIVE IMPORTANCE OF FEDERAL AND STATE PROGRAM FUNDING BY SECTOR

IOWA 14

THE FIGURES SHOWN FOR STATE DOLLARS AWARDED ARE FOR THE 1975-76 SCHOOL YEAR AND PERTAIN TO:

- IOWA TUITION GRANT PROGRAM
- STATE OF IOWA SCHOLARSHIP PROGRAM
- IOWA VOCATIONAL-TECHNICAL TUITION GRANT PROGRAM



Percent
Distribution of
Student
Assistance
By Program
By Sector

State funds were also awarded to the following types of institutions:

- Public Vocational - \$71,418
- Nursing - \$ 6,820

**DESCRIPTIONS OF PROGRAM OFFICES AND AGENCIES AND
CORRESPONDING PROGRAM RESPONSIBILITIES**

KANSAS - A1

Board of Regents

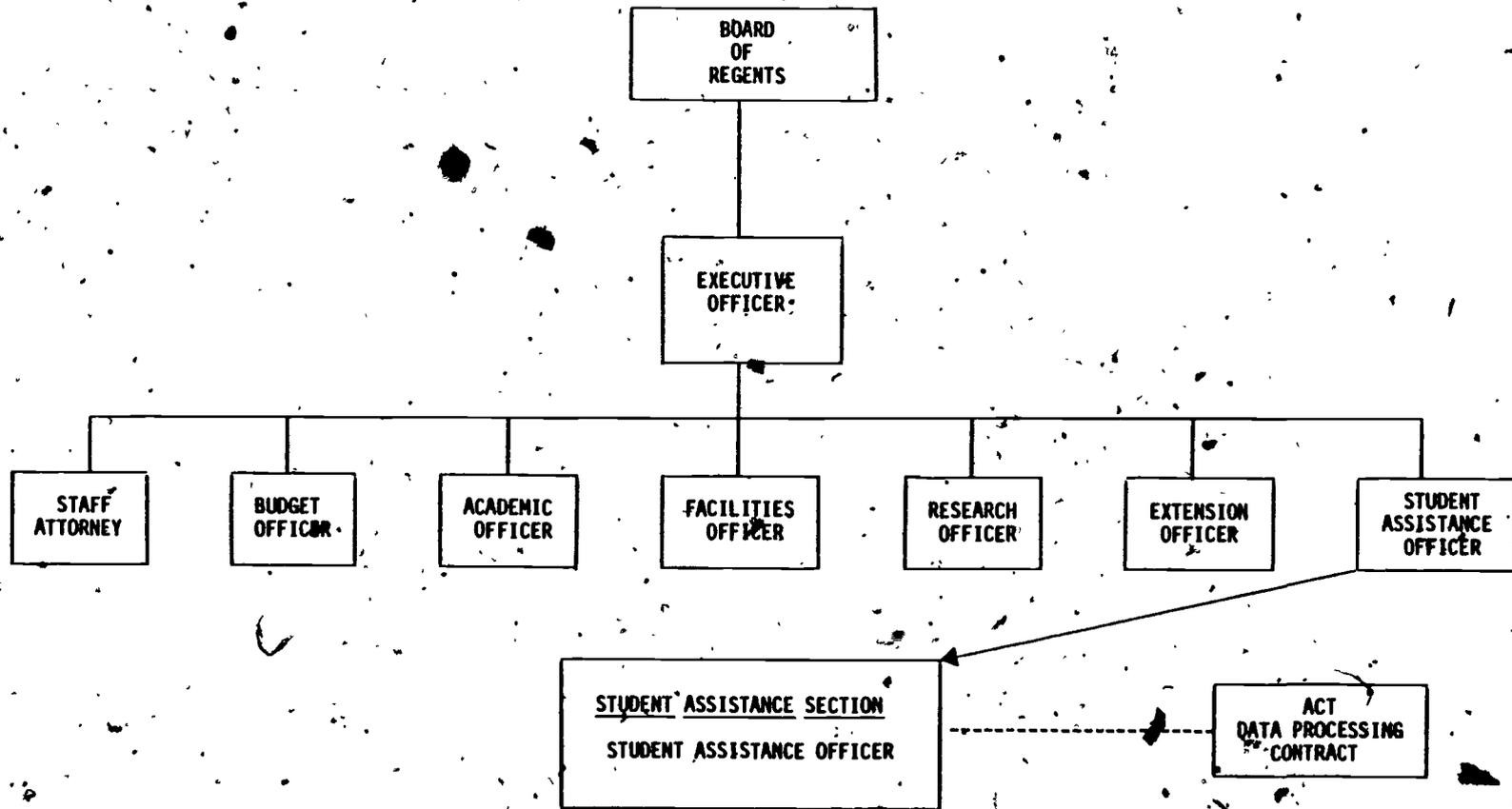
1. Tuition Grant Program
2. State Scholarships
3. Medical/Osteopathic Loans/Scholarships

Veteran's Commission

1. Benefit Program for Dependents of POWs and MIAs

STATE AGENCY ORGANIZATION

KANSAS B1



The Student-Assistance Section has responsibility for Title VI-A, instructional equipment grants, in addition to student and programs.

MANAGEMENT RELATIONSHIPS BETWEEN THE STATE AGENCY AND OTHER STATE AGENCIES/INSTITUTIONS

KANSAS B2

| <u>WHO ACCOMPLISHES THE FOLLOWING?</u> | <u>STATE PROGRAM OFFICE</u> | <u>INSTITUTIONAL FINANCIAL AID OFFICER</u> | <u>OTHER OFFICES OR AGENCIES</u> |
|---|-------------------------------------|--|----------------------------------|
| Processing of Applications | | | |
| • Verification of Student Application Information | X | | |
| • Identification of Eligible Recipients | X | | |
| • Determination of Award Size | X | | |
| • Assignment of Priority to Awards | X | | |
| • Formally Approves Award | X | | |
| Makes Payment to Student (or Credits Student Account) | X | | |
| Makes Post-Award Adjustments | X | | |
| Initiates the Prosecution of Possible Fraudulent Student applications | X | | |
| Publicizes Award Availability | X | X | Admissions Personnel |

893

894

STATE PROGRAM OFFICE DEFINITIONS FOR SELECTED TERMS

KANSAS B3

| <u>DEFINITIONS USED BY STATE PROGRAM OFFICES</u> | <u>STATE DEFINITION SPECIFIED BY</u> | <u>STATE DEFINITION COMMONLY USED BY ALL INSTITUTIONS</u> | | <u>STATE DEFINITION CONSISTENT ACROSS ALL STATE PROGRAMS</u> |
|---|--|---|----------------|--|
| | | <u>PUBLIC</u> | <u>PRIVATE</u> | |
| State Resident - An individual who lives (or has lived) in the state for purposes other than attending college for a minimum of one year prior to enrollment. | Interpretation | Yes | Yes | Yes |
| Independent Student - BEOG definition for independent student. | Interpretation | Yes | Yes | Yes |
| United States Citizenship - As determined by Immigration Service. | Interpretation | Yes | Yes | Yes |

INFORMATION FLOW BETWEEN THE STATE PROGRAM OFFICE AND VARIOUS FEDERAL OFFICES

KANSAS B4

| | <u>TYPE OF INFORMATION PROVIDED BY STATE STUDENT-AID AGENCY</u> | <u>TYPE OF INFORMATION RECEIVED BY STATE STUDENT-AID AGENCY</u> | <u>PERCEPTION OF ADEQUACY OF INFORMATION FLOW</u> |
|--|---|---|---|
| <u>FEDERAL OFFICES</u> | | | |
| SSIG Office | Summaries | Not Applicable | Adequate |
| BEOG Office | Not Applicable | Applications | Adequate |
| Regional OE Student-Assistance Office (Campus-Based Programs) | Not Applicable | Not Applicable | |
| Washington OE Office (Campus-Based Programs) | Not Applicable | Not Applicable | |
| FISL/GSL Office | Not Applicable | Not Applicable | |
| <u>STATE/INSTITUTIONAL OFFICES</u> | | | |
| Public Institutions | Summaries Recipients | Applications Regulations | Terminations Adequate |
| Private Institutions | Summaries Recipients | Applications Regulations | Terminations Adequate |
| Governor/Budget Office | Summaries | Regulations | Adequate |
| State Legislature (Including Committee Staffs) | Summaries | Regulations | Adequate |

MANAGEMENT STAFFING OF STATE PROGRAM OFFICES

KANSAS B5

| <u>MANAGEMENT FUNCTION</u> | <u>PERCENTAGE OF STAFF EFFORT</u> | <u>STAFF ASSISTANCE FROM OTHER AGENCIES</u> <u>COMMENTS</u> |
|--|-----------------------------------|--|
| APPLICATIONS PROCESSING (Includes verification of student information, need analysis, award determination, etc.) | 70 | Contract with ACT provides data processing, ranking applicants, etc. |
| RESPONDING TO REQUESTS FOR INFORMATION (Includes federal requests for information, parent/student inquiries, testimony in hearings, etc.) | 10 | |
| PROGRAM REVIEW (Includes on-site visits to institutions, formal/informal audits, etc.) | 5 | |
| PUBLIC RELATIONS AND INFORMATION DISSEMINATION (Includes parent/student workshops, work on promotional literature, etc.) | 5 | |
| GENERAL ADMINISTRATION (Includes executive personnel, staff training and development, regulations and guidelines, preparation of summary statistics, etc.) | 10 | |
| OTHER MANAGEMENT ACTIVITIES (Includes any special staff activities which do not clearly fall in one of the above categories) | 0 | |

**STATE AGENCY CALENDAR OF EVENTS FOR NEED-BASED
PROGRAMS FOR 1976-77 SCHOOL YEAR**

KANSAS

B6

MONTH/YEAR

EVENTS

JANUARY 1976 1) Agency budget presented to legislature
2) Agency makes student-aid applications available

APRIL 1976 Legislature appropriates student assistance funds

SEPTEMBER 1976 Agency budget presented to governors office or budget bureau

APRIL 1977 Deadline for student-aid applications

MAY 1977 Agency (or institutions) announces award winners

PROGRAM AND FISCAL CONTROLS OF PROGRAMS OPERATED BY STATE AGENCY

KANSAS B7

| <u>PROGRAM CONTROLS</u> | <u>CONTROL USED SAMPLE OR TOTAL POPULATION STUDIED</u> | <u>AGENCY/OFFICE UNDERTAKING CONTROLS</u> | <u>SPECIAL ACTIONS COMMENTS</u> |
|--|--|---|---|
| A. <u>Control on Student Application Data Accuracy</u> | | | |
| 1. Match Against Tax Forms? | Yes, On Request | State | Remove from consideration. |
| 2. Match Against BEOG Applications? | Yes | Institution | Remove from program. |
| 3. Match Against Institutional Student Records? | | | |
| 4. Warnings of Prosecution? | | | |
| 5. Prosecution? | | | |
| B. <u>Controls on Attendance and/or Progress</u> | | | |
| 1. Check on Enrollment/Initial Attendance? | Yes, All | Institution | Dropped from program. |
| 2. Check on Mid-Term Attendance? | | | |
| 3. Check on Course Completion? | | | |
| 4. Check on Academic Progress Before Award? | | | |
| 5. Partial Awards? | | | |
| C. <u>Controls on Award Size</u> | | | |
| 1. Check to Make Sure Other Awards Actually Made? | Yes, All | Institution | Institutional aid officer adjusts aid package. |
| 2. Match Against BEOG, Campus-Based Award Files? | Yes; All | Institution | |
| 3. Re-Check to Ensure Award Adjustments Actually Made? | | | |
| 4. Second Review of Aid Packages? | | | |
| D. <u>Controls on Institutional Student-Aid Operations</u> | | | |
| 1. Independent Program Audits? | Yes, All | State | |
| 2. Aid Officer Bonding? | | | |
| 3. Aid Officer Certification? | | | |
| 4. Double Signatures on All Fund Transfers? | | | |
| E. <u>Controls on Central Administrative Staff Operations</u> | | | |
| 1. Independent Program Audits? | Yes, All | State | |
| 2. Bonding of Staff Members? | | | |
| 3. Double Signatures on All Fund Transactions? | | | |

**PROGRAM INFORMATION DISSEMINATION PROCEDURES
USED FOR STATE NEED BASED PROGRAMS**

KANSAS C1

TYPE OF INFORMATION PROVIDED

| <u>INFORMATION PROVIDED THROUGH</u> | <u>APPLICATION FORMS</u> | <u>ELIGIBILITY CONDITIONS</u> | <u>FILING/CLOSING DATES FOR STATE PROGRAMS</u> | <u>INFORMATION ON THE COST OF ATTENDANCE AT VARIOUS TYPES OF INSTITUTIONS</u> | <u>INFORMATION ABOUT APPLYING FOR AID FROM OTHER SOURCES</u> | <u>INFORMATION ON PENALTIES FOR PROVIDING FRAUDULENT DATA</u> |
|--|--------------------------|-------------------------------|--|---|--|---|
| 1. High School Counselors | X | X | X | | X | X |
| 2. College Financial-Aid Officers | X | X | X | | | X |
| 3. Direct Mailings to Graduating High School Students or Parents | | | | | | |
| 4. High School Meetings or Workshops | | X | X | | X | X |
| 5. College Admissions Office | X | X | | | X | X |
| 6. Newspaper Ads | | | | | | |
| 7. Radio, Television Ads | | | | | | |
| 8. Social Service Agencies | X | X | X | | X | X |
| 9. Other (Please Specify) | | | | | | |

906

905

DESCRIPTIONS OF STATE-SPONSORED STUDENT ASSISTANCE PROGRAMS

KANSAS D1

Kansas Tuition Grant Program - A grant program for needy resident students attending in-state private schools to reduce differential between public and private costs, particularly tuition. It is not tied to tuition except the grant cannot exceed the tuition or \$1,000. The need is determined by total student budget.

Kansas State Scholarships - A grant program to recognize scholastic achievement as evidenced by ACT assessment and provide a limited (\$500 maximum) stipend to those who also have need. Recipients must be residents attending in-state schools.

Medical/Osteopathic Loans/Scholarships - A conditional grant/loan program to encourage doctors to practice primary care medicine and osteopathic medicine and surgery in areas of Kansas where there is insufficiency of medical care. Recipients must be medical and osteopathy students and/or interns attending medical and osteopathy schools.

Benefit Program for Dependents of POWs and MIAs - A tuition-remission program for resident students which covers fees at any state supported (or partially supported) institution for students whose parent was a POW, MIA, killed in action, or died from injuries received in service.

907

908

BASIC STATE PROGRAM INFORMATION

KANSAS D2

| <u>Programs</u> | <u>Year</u> | <u>Number of Awards</u> | <u>Average Full-Time Award Size</u> | <u>Total Dollars Awarded</u> | <u>Eligible Population</u> |
|---|-------------|---------------------------------|---|--------------------------------------|---|
| <u>Need-Based</u> | | | | | |
| <u>Grants</u> | | | | | |
| Kansas Tuition Grant Program | 1975-76 | 3231 | \$ 888 | \$2,867,826 | Resident Undergraduates |
| Kansas State Scholarships | 1975-76 | 1120 | \$ 493 | \$ 552,009 | Resident Undergraduates |
| <u>Non Need-Based</u> | | | | | |
| Medical/Osteopathic Loans/Scholarships ¹ | 1975-76 | 14 | \$6,000 | \$ 84,000 | Medical and Osteopathy Students and/or Interns |
| Benefit Program for Dependents of POWs and MIAs | 1973-74 | 7 | Not Given | Not Given ² | Dependents of POWs and MIAs |
| | 1975-76 | 18 | \$ 550 ² | \$ 10,000 ² | |

¹ No basic difference between loan assumption or scholarship. This program was initiated for 1975-76, and of the 14 students selected initially, 12 were renewed for 1976-77. The legislation did not authorize selection of additional students to a total of 16 after the first year. Funds were provided for continuation of original recipients only.

² "Best guess" estimates.

STUDENT ELIGIBILITY CRITERIA FOR STATE PROGRAMS

KANSAS E1

| <u>Programs</u> | <u>Acceptable Residency Status</u> | <u>Acceptable Attend. Status</u> | <u>Acceptable Student Levels</u> | <u>Special Priority to Cont'g. Awards</u> | <u>Income Limits</u> | <u>Need Limits</u> |
|---|--|-------------------------------------|----------------------------------|---|----------------------|--------------------|
| <u>Need-Based</u> | | | | | | |
| <u>Grants</u> | | | | | | |
| Kansas Tuition Grant Program | Residents attending In-State | Full-Time | All Undergraduates | Yes | Not Applicable | \$200 |
| Kansas State Scholarships | Residents attending In-State | Full-Time | All Undergraduates | Yes | Not Applicable | \$200 |
| <u>Non Need-Based</u> | | | | | | |
| Medical/Osteopathic Loans/Scholarships | Residents attending In/Out of State and Non-Residents ¹ | Full-Time | Graduates or Interns. | Yes | Not Applicable | Not Applicable |
| Benefit Program for Dependents of POW's and MIA's | Residents attending In-State | Part-Time Half-Time Full-Time | All | Yes | Not Applicable | Not Applicable |

¹ Students must attend University of Kansas Medical School for medical school. Also, one-half of medical and osteopathy students must be Kansas residents.

INSTITUTIONAL ELIGIBILITY CRITERIA FOR STATE STUDENT-ASSISTANCE PROGRAMS

KANSAS E2

| <u>Programs</u> | <u>University and 4-Year Colleges</u> | <u>Community/ Junior Colleges</u> | <u>Voc./Tech. Schools</u> | <u>Proprietary</u> | <u>Special Types of Institutions</u> |
|--|---|---------------------------------------|-------------------------------|--------------------|--|
| <u>Need-Based</u> | | | | | |
| <u>Grants</u> | | | | | |
| Kansas Tuition Grant Program | Private | No | No | No | No |
| Kansas State Scholarships | Public Private | Public | Public | Yes | Allied Health |
| <u>Non Need-Based</u> | | | | | |
| Medical/Osteopathic Loans/Scholarships | No | No | No | No | Medical and Osteopathy Schools |
| Benefit Program for Dependents of POW's and MIA's | Public | Public | Public | No | Must be partially state supported institutions |

3

NEED DETERMINATION CRITERIA FOR STATE PROGRAMS

KANSAS E3

| <u>Programs</u> | <u>Program Definition of Need</u> | <u>Is BEOG Application Required?</u> | <u>Expected Family Contribution (EFC) Method</u> | <u>Student Budget Includes What Items?</u> |
|---|---|--------------------------------------|--|--|
| <p><u>Need-Based</u></p> <p><u>Grants</u></p> <p>Kansas Tuition Grant Program</p> | <p>BLS low maintenance budget for 9 months + \$200 books and supplies + tuition and fees = college budget less PC less \$450 student contribution or student asset contribution and veterans, social security, and other benefits, = financial need less grant = unmet need (Institutional financial aid package cannot exceed this unmet need figure which most often is greater than institutional student and budget.)</p> | <p>No</p> | <p>Consensus</p> | <p>General Student Costs</p> |
| <p>Kansas State Scholarships</p> | <p>Same as Tuition Grant Program</p> | <p>No</p> | <p>Consensus</p> | <p>General Student Costs</p> |

AWARD SIZE DETERMINATION CRITERIA FOR STATE PROGRAMS

KANSAS E4

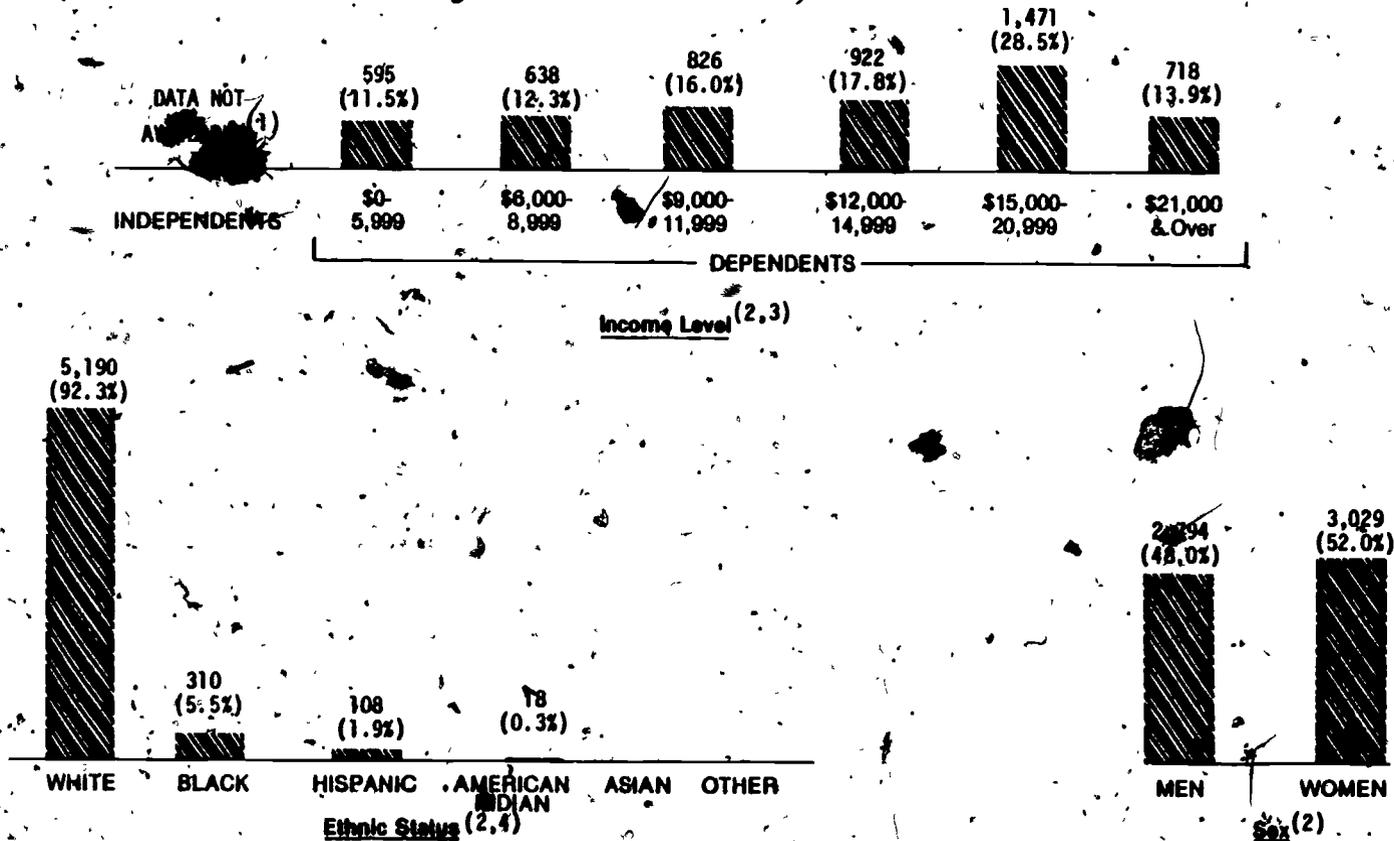
| <u>Programs</u> | <u>Maximum Award Size?</u> | <u>What Award Size Determination Method is Used?</u> | <u>How is Award Priority Determined?</u> | <u>Who Ultimately Determines Award Amount?</u> |
|---|----------------------------|--|---|--|
| <u>Need-Based</u> | | | | |
| <u>Grants</u> | | | | |
| Kansas Tuition Grant Program | \$1,000 | Financial need | Rank order from smallest PC (or family contribution for independent student). | State |
| Kansas State Scholarships | \$ 500 | Financial need | Rank order from smallest PC (family contribution for independent student). | State |
| <u>Non Need-Based</u> | | | | |
| Medical/Orthodontic Loans/Scholarships | \$6,000 | All receive maximum award of \$6,000. | (1) Likelihood of practicing medicine in designated underserved areas of Kansas; (2) Kansas residency; (3) shortness of time to enter practice. | State |
| Benefit Program for Dependents of POW's and MIA's | Fees | What is charged as fees to student. | All eligible students will be assisted. | State |

NUMBER OF NEED-BASED GRANT PROGRAM APPLICANTS

KANSAS F1

THESE FIGURES FOR THE 1975-76
SCHOOL YEAR PERTAIN TO:

- KANSAS TUITION GRANT PROGRAM
- KANSAS STATE SCHOLARSHIPS



- (1) The distribution of awards by student income categories includes awards made to both dependent and independent students. The data available did not distinguish between dependent and independent students.
- (2) There is a discrepancy in the total applicants by "Income", "Ethnic Status", and "Sex." However, this was the information supplied in the MASSGP Survey.
- (3) There were 16 applicants whose income status was not reported. These are not included in the income status distributions shown above.
- (4) There were 210 applicants whose ethnic status was not reported. These are not included in the ethnic status distributions shown above.

NUMBER OF NEED-BASED GRANT PROGRAM RECIPIENTS AND AVERAGE AWARD SIZE

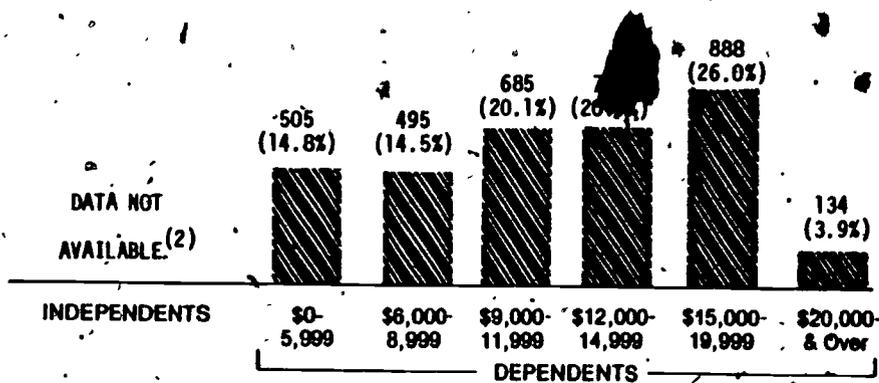
F2

KANSAS

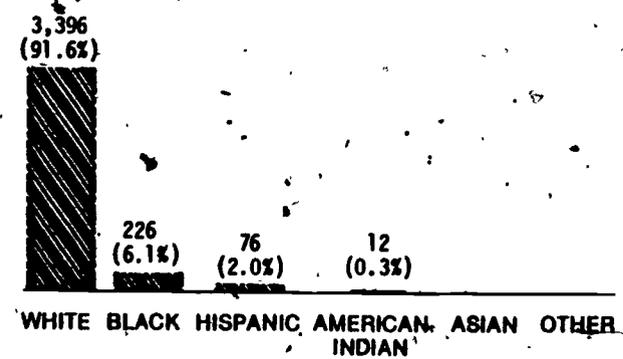
THESE FIGURES FOR THE 1975-76 SCHOOL YEAR PERTAIN TO:

- KANSAS TUITION GRANT PROGRAM
- KANSAS STATE SCHOLARSHIPS

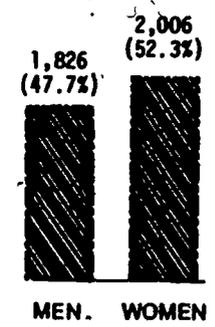
NUMBER OF RECIPIENTS (1) Income Level



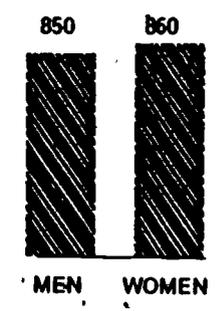
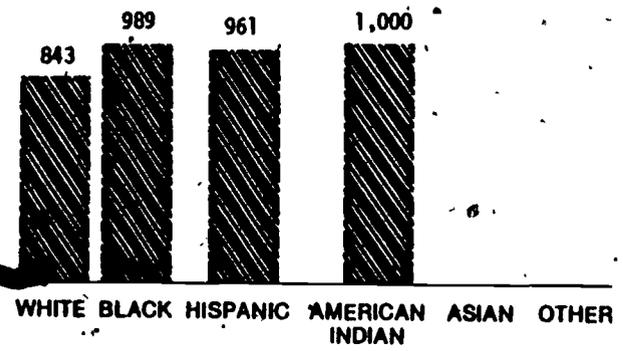
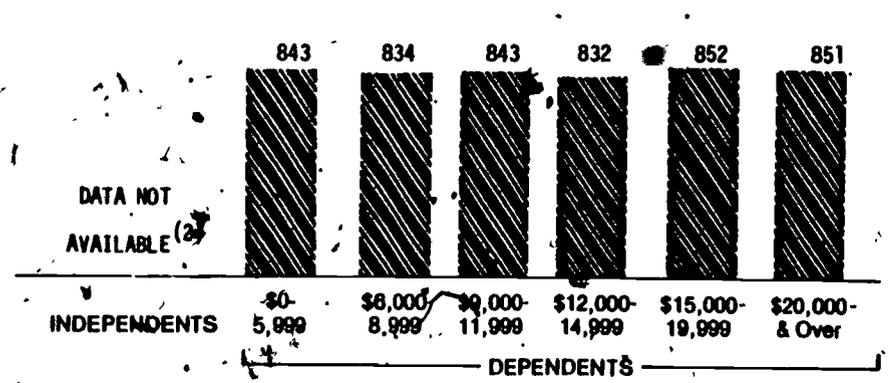
Ethnic Status (3)



Sex



AVERAGE AWARD SIZE (1)



(1) There is a discrepancy in the total number of awards and dollars awarded as reported in the NASSGP Survey data.
 (2) The distribution of awards by student income categories includes awards made to both dependent and independent students. The data available do not distinguish between dependent and independent students.
 (3) There were 136 applicants whose ethnic status was not reported. These are not included in the ethnic status distributions shown above.

921



922

SOURCES AND DISTRIBUTION OF NEED-BASED GRANT PROGRAM FUNDS

INSTITUTIONAL SECTORS

STUDENT INCOME CATEGORIES

THESE FIGURES FOR THE 1975-76 SCHOOL YEAR PERTAIN TO:

- KANSAS TUITION GRANT PROGRAM
- KANSAS STATE SCHOLARSHIPS

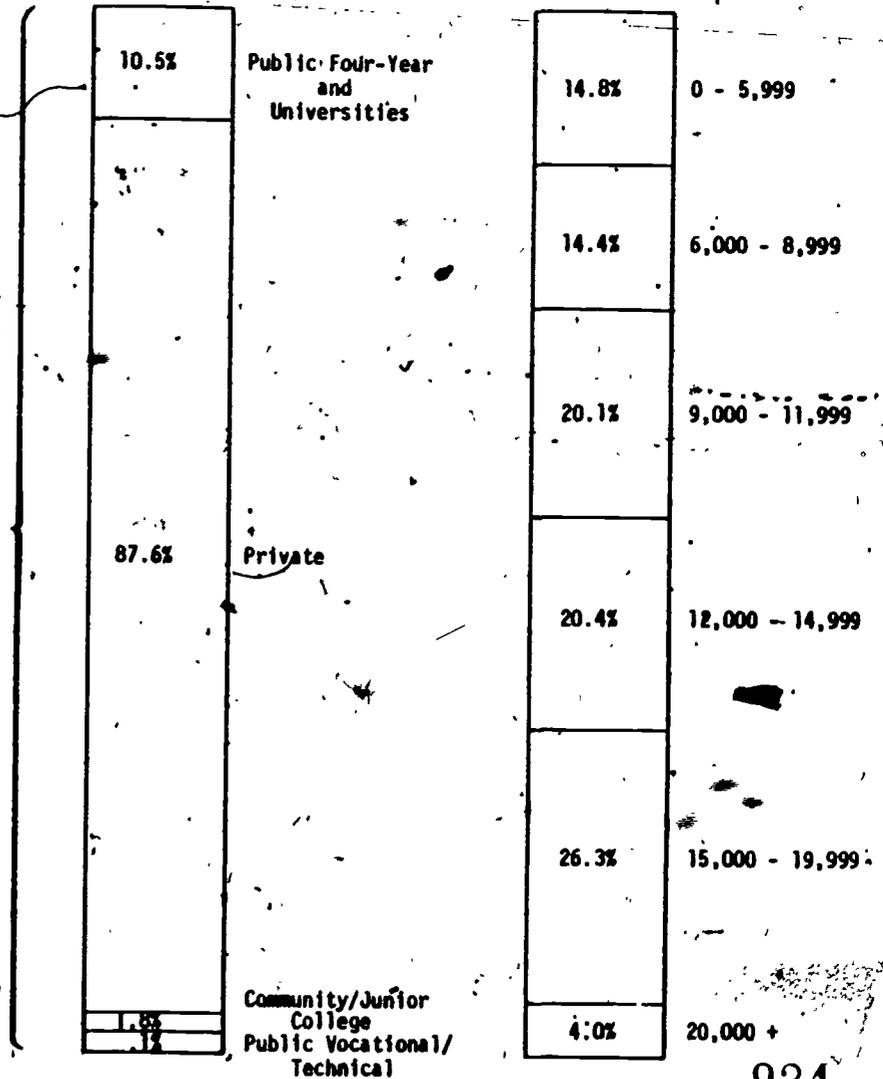
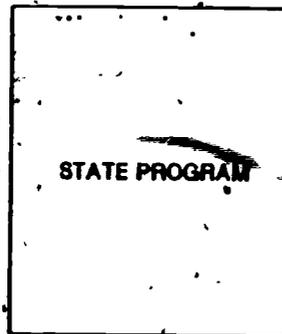
STATE APPROPRIATIONS

\$3,189,635 93.3%

PRIVATE GIFTS

\$230,199 6.7%

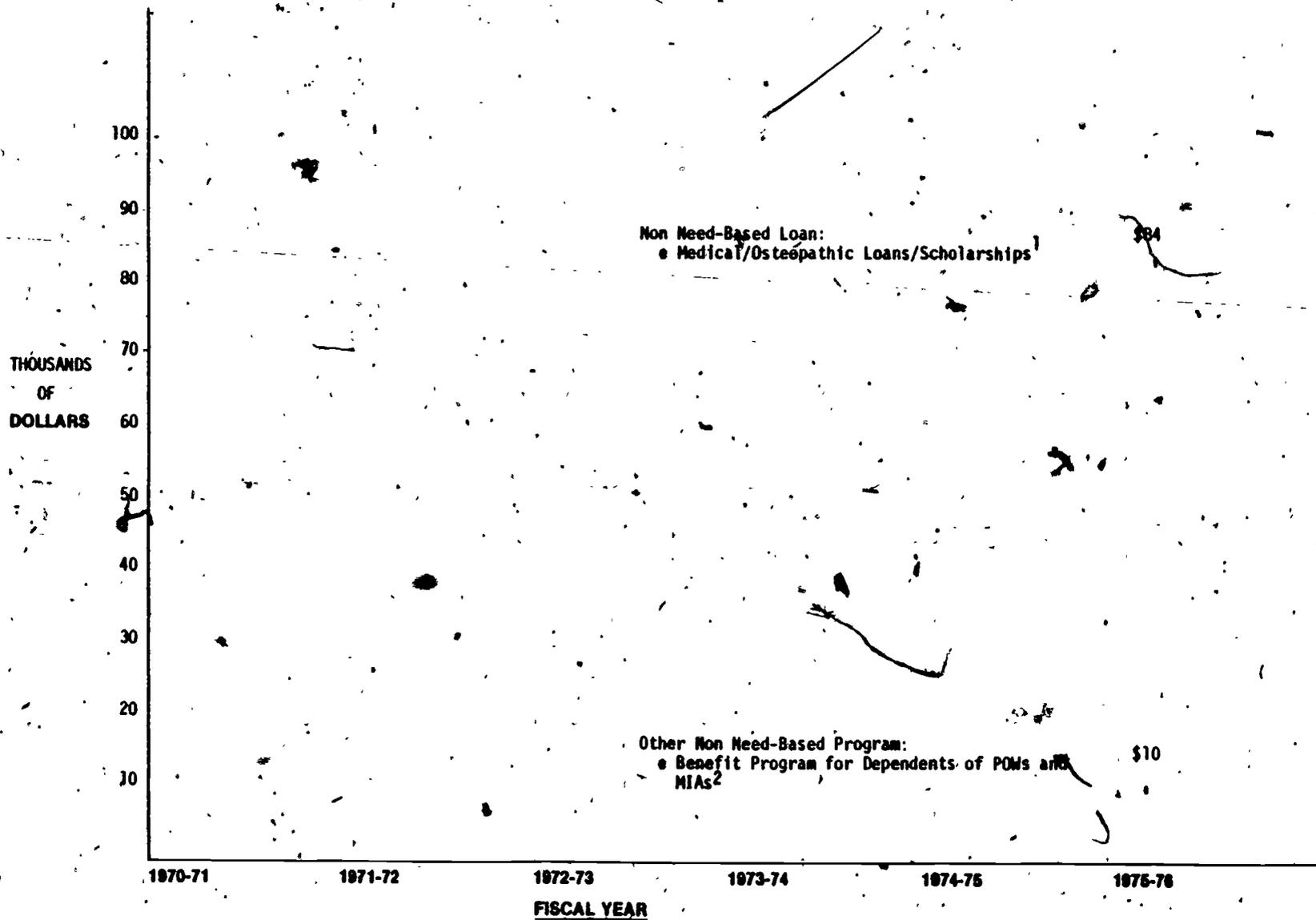
FEDERAL SSIQ PROGRAM



The distribution of awards by student income categories includes awards made to both dependent and independent students. The data available did not distinguish between dependent and independent students.

HISTORIC STATE EXPENDITURES ON STUDENT-ASSISTANCE PROGRAMS

KANSAS G2

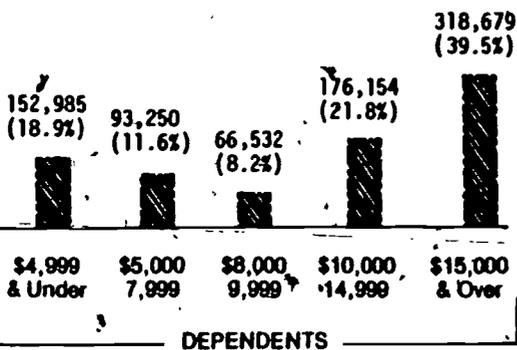


¹ 1975-76 first year of program.
² 1973-74 first year of program.

STATE POPULATION PROFILE

KANSAS H1

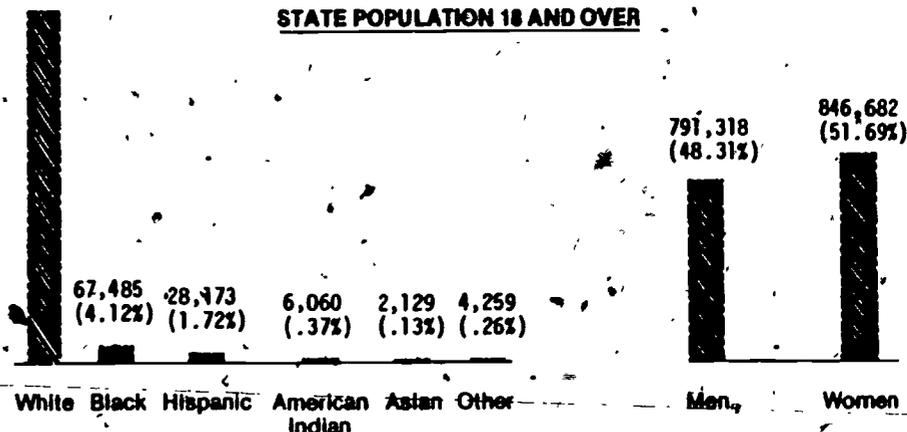
NUMBER OF HOUSEHOLDS



Effective Buying Income

1,529,728
(93.39%)

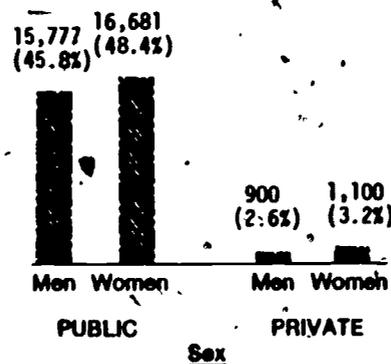
STATE POPULATION 18 AND OVER



Ethnic Status

Sex

STATE HIGH SCHOOL GRADUATES



Sex

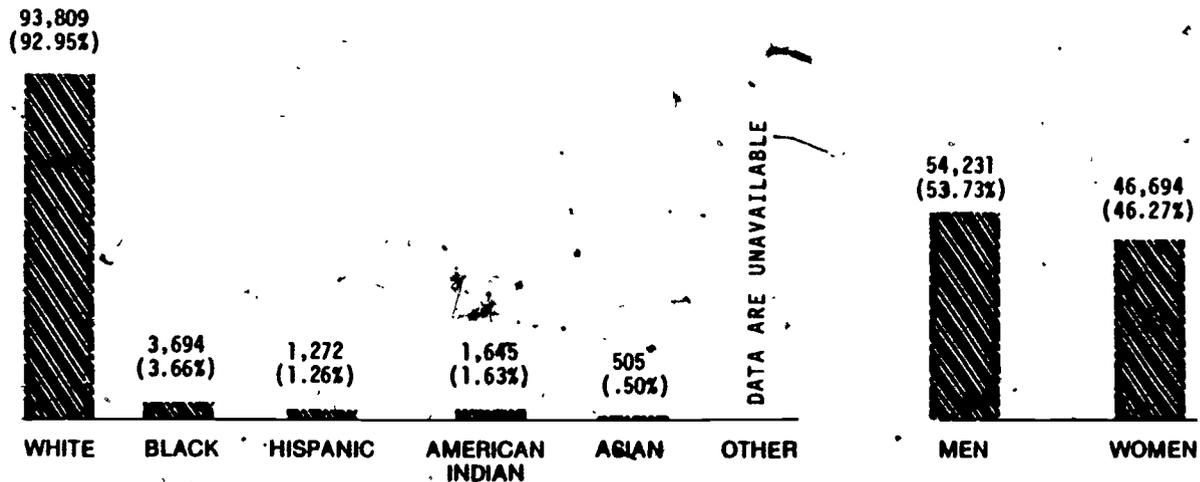
The high school graduation figures do not include 5,345 persons granted a high school equivalency certificate.

(c) Sales and Marketing Management 1977 Survey of Buying Power Data Service

STATE POSTSECONDARY EDUCATION STUDENT ENROLLMENTS

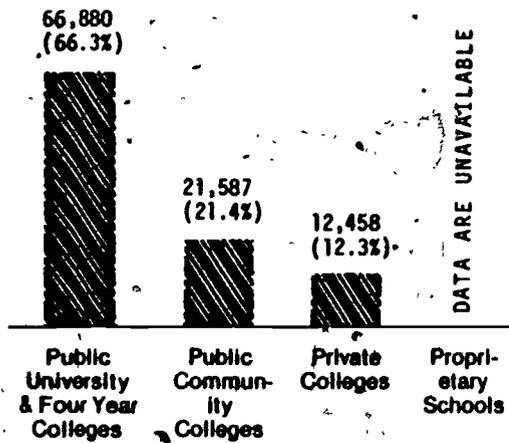
KANSAS H2

(Figures in Thousands)

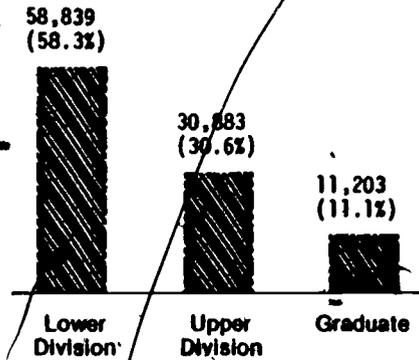


Ethnic Status

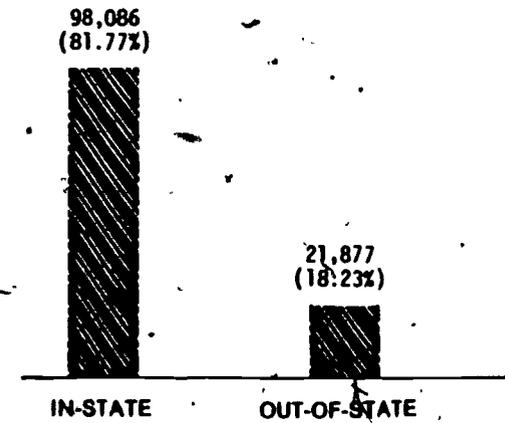
Sex



Institutional Sector



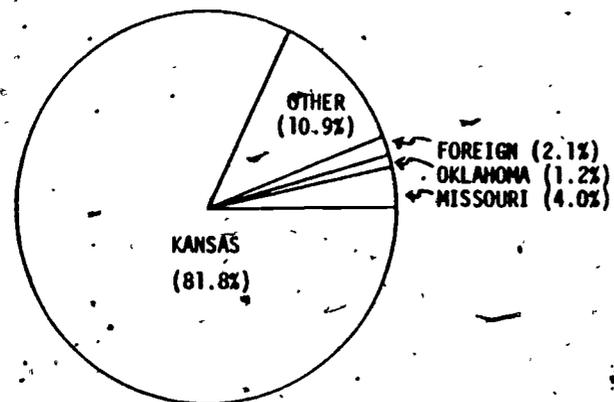
Student Level



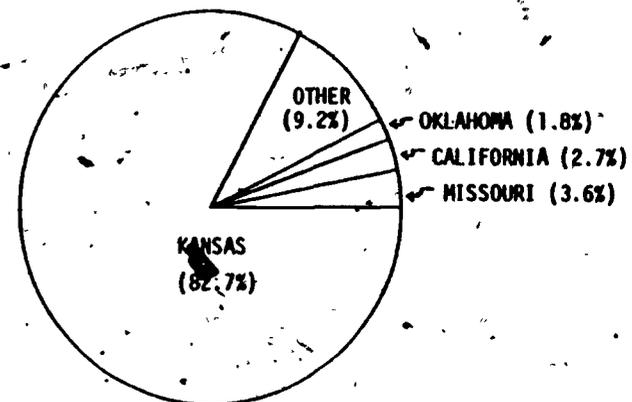
Residency

INTERSTATE MIGRATION OF STUDENTS

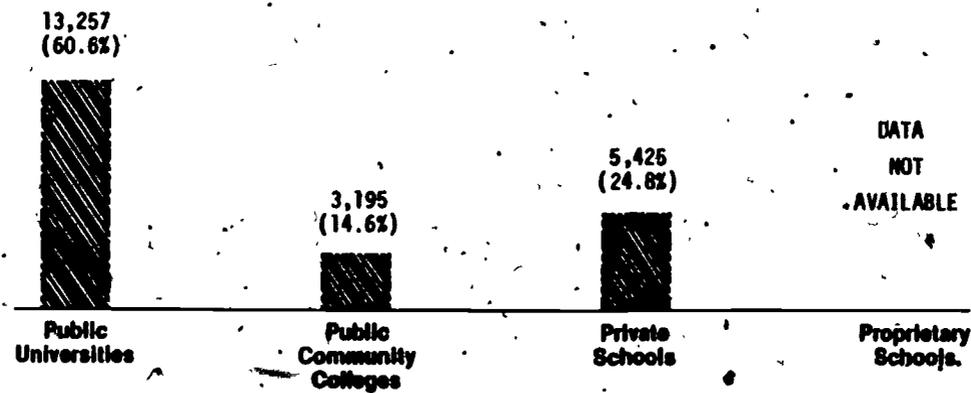
KANSAS H3



RESIDENT AND NON-RESIDENT STUDENTS ATTENDING IN-STATE INSTITUTIONS



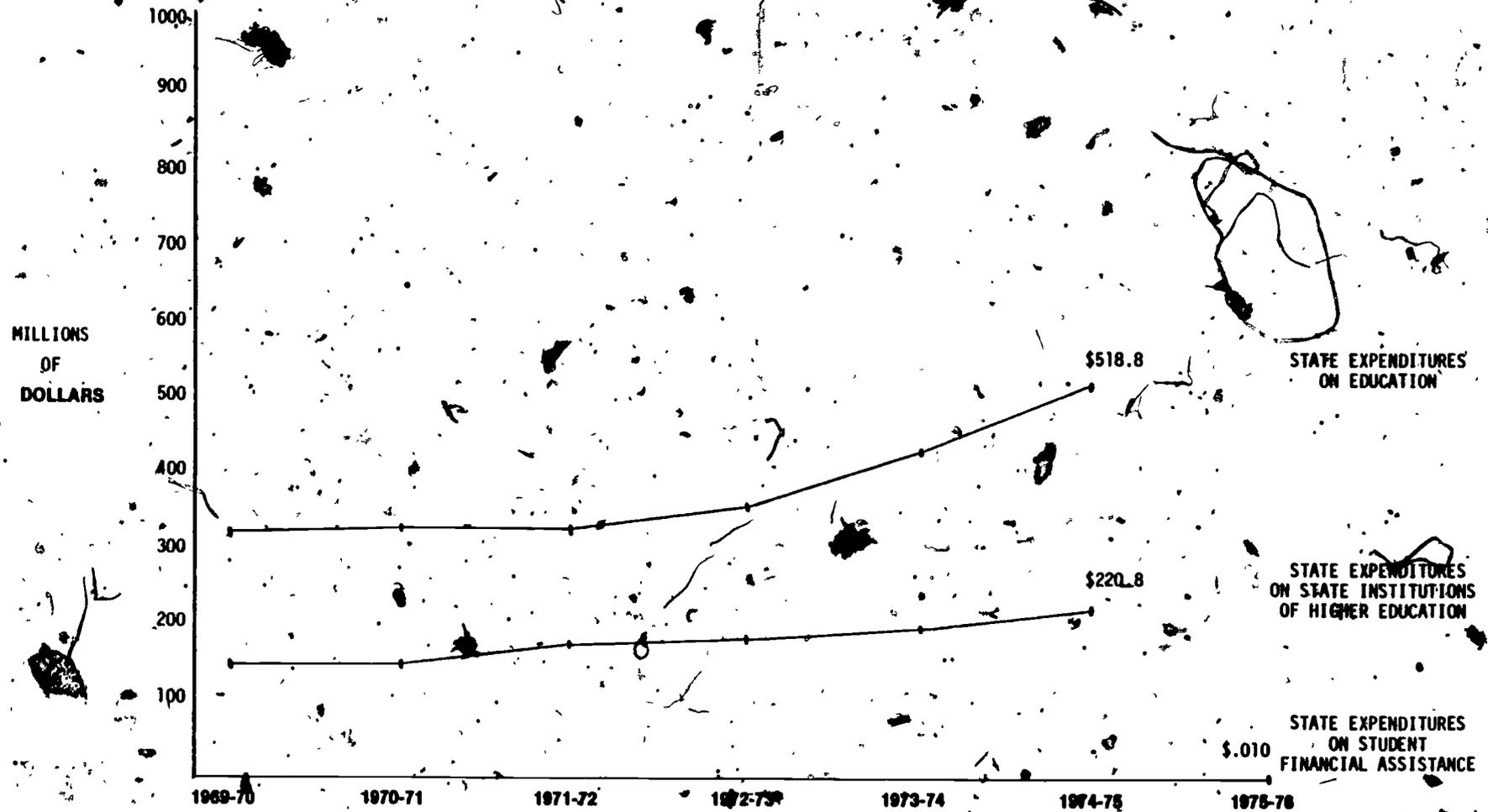
RESIDENT STUDENTS ATTENDING IN-STATE AND OUT-OF-STATE INSTITUTIONS



INSTITUTIONAL SECTOR
(Out-of-State Students in School in-State)

STATE EXPENDITURE ON POSTSECONDARY EDUCATION STUDENT ASSISTANCE

KANSAS 11



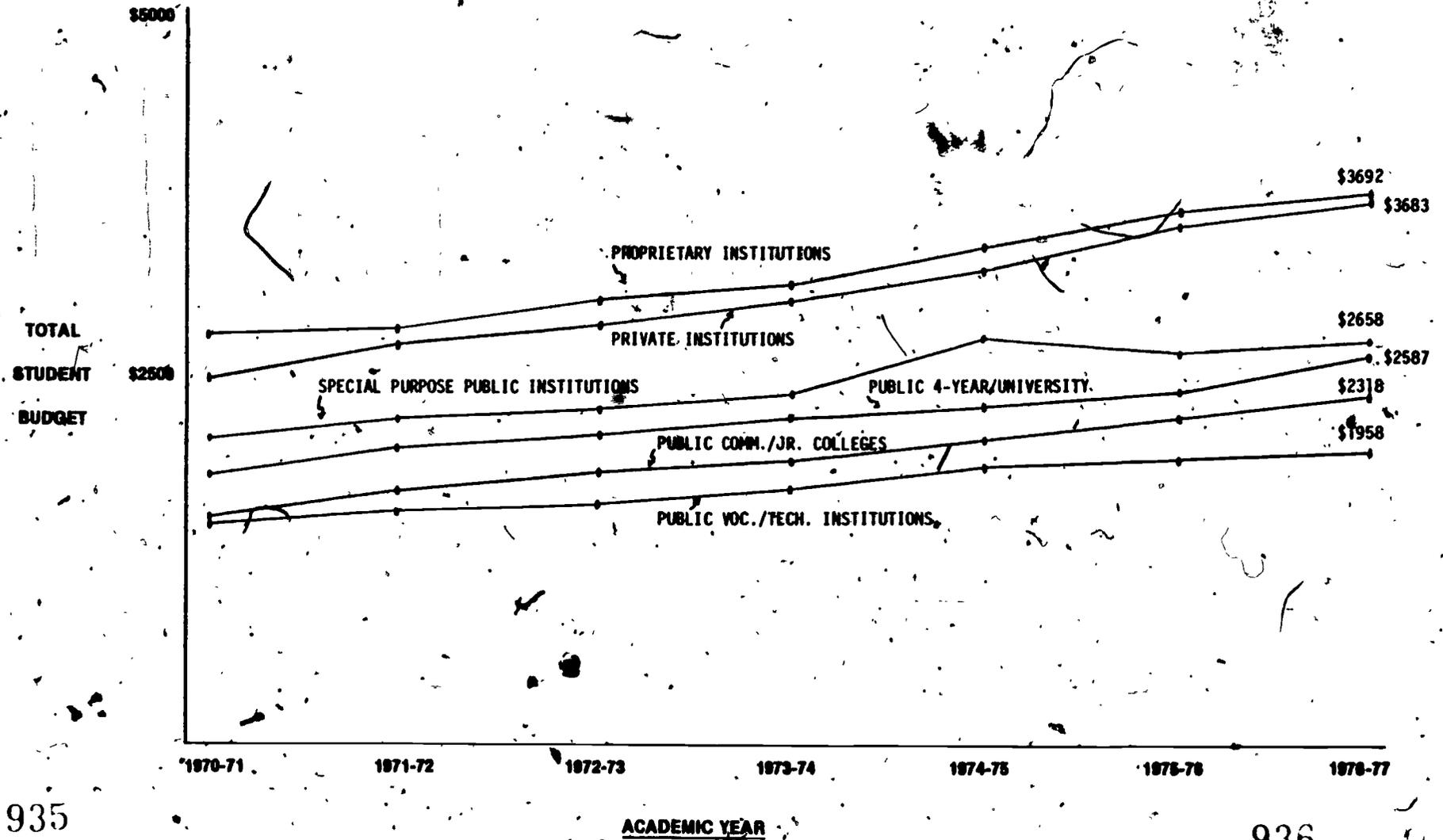
933

934

THESE DATA PERTAIN TO: FISCAL YEAR
 • BENEFIT PROGRAM FOR DEPENDENTS OF POWS AND MIAs

GROSS COSTS TO STUDENTS ATTENDING SCHOOL BY POSTSECONDARY EDUCATION SECTORS

KANSAS 12



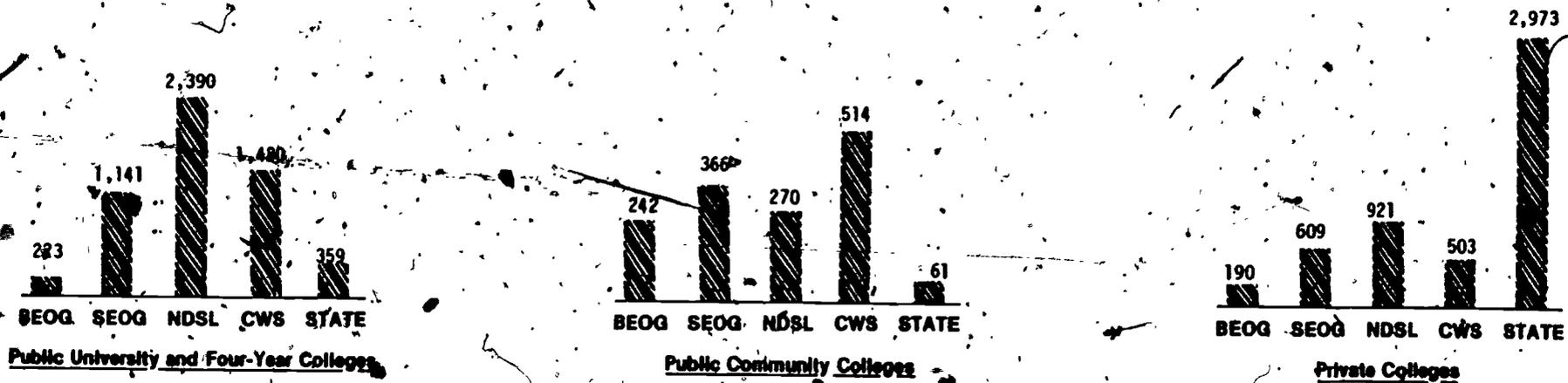
LEVELS OF FEDERAL AND STATE PROGRAM FUNDING BY INSTITUTIONAL SECTOR

KANSAS 13

(Figures in thousands)

THE FIGURES SHOWN FOR STATE DOLLARS AWARDED ARE FOR THE 1975-76 SCHOOL YEAR AND PERTAIN TO:

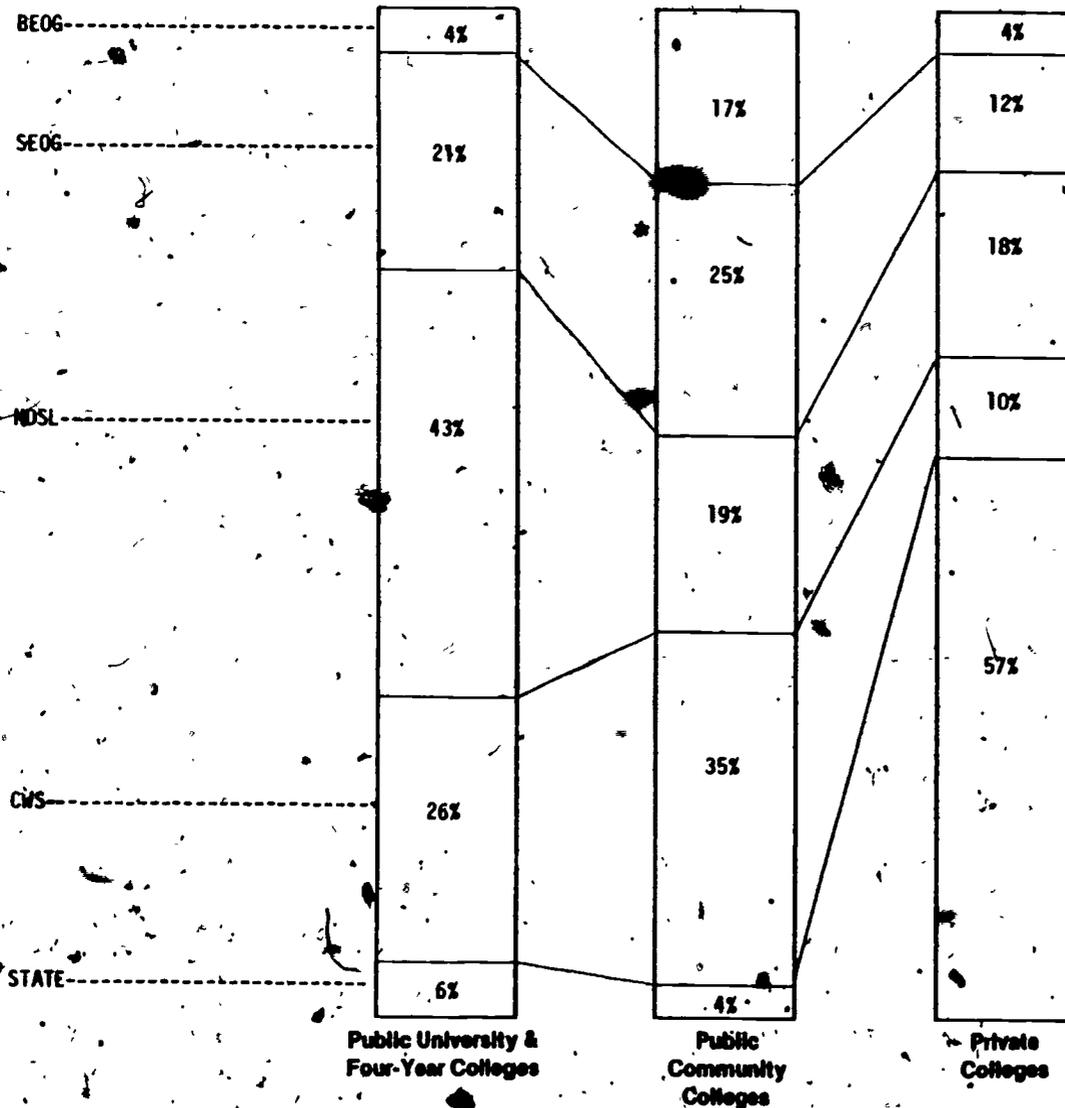
- KANSAS TUITION GRANT PROGRAM
- KANSAS STATE SCHOLARSHIPS



State funds were also awarded to the following types of institutions:

- Public Vocational - \$1,200

RELATIVE IMPORTANCE OF FEDERAL AND STATE PROGRAM FUNDING BY SECTOR



THE FIGURES SHOWN FOR STATE DOLLARS AWARDED ARE FOR THE 1975-76 SCHOOL YEAR AND PERTAIN TO:

- KANSAS TUITION GRANT PROGRAM
- KANSAS STATE SCHOLARSHIPS

} Percent Distribution of Student Assistance By Program By Sector

State funds were also awarded to the following types of institutions:
 ● Public Vocational - \$1,200

APPENDIX

APPENDIX

The data used in preparing the profiles have been assembled from a variety of data sources. These are listed below. The following table gives a detailed explanation of the use of these data in each of the profiles.

Bureau of the Census

- Data from the 1970 Decennial Census₁
- 1976 Estimates of State Population₂
- 1970-1975 Statistics of State Expenditures on Education₃

National Association of State Student Grant Programs (NASSGP)

- NASSGP Eighth Annual Survey - Supplemental Survey₄

National Center for Education Statistics

- 1975 HEGIS₅ - Residency and Migration of College Students
- 1975 and 1976 HEGIS - Opening Fall Enrollments (Preliminary Statistics for 1976)
- 1975 High School Graduation Statistics

Office of Civil Rights

- 1974 Higher Education Civil Rights Survey

Office of Education

- Higher Education Data Base: FY 1967 to FY 1975₆
- Data from the Financial Status and Performance Report--1975-76₇

Sales and Marketing Management Magazine

- Statistics from the 1977 Survey of Buying Power₈

Notes on Following Pages

- [1] Data from the 1970 Census (various special tabulations and published statistics) were used to derive percentage distributions of the population 15 years old and over, by racial/ethnic background, and sex. These data were then used to estimate 1976 data by applying the 1970 percentage distributions to the 1976 population estimates.
- [2] 1976 estimates of the population 18 and over were obtained from Table 1 of the following publication:
- Population Estimates and Projections - Estimates of the Populations of State by Age: July 1, 1975 and 1976 Advanced Report. Series P-25, No. 646, February, 1977. Bureau of the Census, U. S. Department of Commerce
- [3] These data were taken from statistics annually published by the Bureau of the Census. Specifically, they were taken from: State Government Finances--Table 9: Expenditures by Type and Function, for years 1970 through 1975. Descriptions of these and other tables are contained in the following publication.
- Guide to Recurrent and Special Governmental Statistics--State and Local Government Special Studies No. 62, May, 1972. Bureau of the Census, Department of Commerce.
- [4] The National Association for State Student Grant Programs (NASSGP) annually conducts a survey to collect information about state-level

student aid offices and grant programs. For further information contact:

Dr. Joseph D. Boyd
Illinois State Scholarship Commission
102 Wilmot Road
Deerfield, Illinois 60015
(312) 945-1500

[5] HEGIS - Higher Education General Information Survey

[6] Higher Education Data Base - FY 1967 to FY 1975 (Specifically, the Student Financial-Aid File). Data on federal student-aid program expenditures were obtained from this machine-readable source. A preliminary release version of this data base was obtained so that it could be utilized. This data base is being developed for the Office of Planning and Budget Evaluation, Office of Education, Department of Health, Education and Welfare - O.E. Contract No. 500-75-0382, titled Student Access to Postsecondary Education: Comparative Analyses of Federal State Student Aid Programs (SISFAP/Study D).

[7] This is a reporting form used to collect annual operating information from the state offices administering the SSIG program by the federal SSIG program office.

[8] For more information about this data source, contact:

Sales and Marketing Management Magazine
633 Third Avenue
New York, New York 10017
(212) 986-4800

| DESCRIPTIVE PROFILES - SECTION/TITLE | DATA SOURCE | COMMENTS |
|---|--|---|
| A. Office Relationships and Program Responsibilities 1. Description of Program Offices and Agencies and Corresponding Program Responsibilities 2. Relationships of State Agencies | NASSGP - Supplemental Survey NASSGP - Supplemental Survey | |
| B. Office Program Management 1. State Agency Organization 2. Management Relationships Between State Agency and Other State Agencies/Institutions 3. State Program Office Definitions for Selected Terms 4. Information Flow Between State Program Office and Various Federal Offices 5. Management Staffing of State Program Offices 6. State Agency Calendar of Events for Need-Based Programs for 1976-77 School Year 7. Program and Fiscal Controls of Programs Operated by State Agency | NASSGP - Supplemental Survey NASSGP - Supplemental Survey | |
| C. Consumer-Oriented Efforts 1. Basic Program Information Need-Based Dissemination Procedures Used for State Agency Programs 2. Consumer Grievance Procedures Used for State Agency Programs | NASSGP - Supplemental Survey SSIG Program Review Record | |
| D. Brief Program Summaries 1. Descriptions of State-Sponsored Student Assistance Programs 2. Basic State Program Information | NASSGP - Supplemental Survey NASSGP - Supplemental Survey | |
| E. Criteria for Determination of Need, Award Size, Etc. 1. Student Eligibility Criteria for State Programs 2. Institutional Eligibility Criteria for State Student-Assistance Programs 3. Need Determination Criteria for State Programs 4. Award Size Determination Criteria for State Programs | NASSGP - Supplemental Survey NASSGP - Supplemental Survey NASSGP - Supplemental Survey NASSGP - Supplemental Survey | |
| F. Counts of Eligibles, Recipients, Applicants, Etc. 1. Number of Need-Based Grant Program Applicants 2. Number of Need-Based Grant Program Recipients and Average Award Size | NASSGP - Supplemental Survey NASSGP - Supplemental Survey | The figures shown for Average Award Size were computed by dividing the Total Dollars Awarded by the number of recipients. This calculation was done for each of the categories shown. |

| DESCRIPTIVE PROFILES - SECTION/TITLE | DATA SOURCE | COMMENTS |
|---|--|---|
| <p>G. Program Financing</p> <p>1. Sources and Distribution of Need-Based Grant Program Funds</p> <p>2. Historic State Expenditures on Student-Assistance Programs</p> | <p>NASSGP - Supplemental Survey or the Financial Status and Performance Report</p> <p>NASSGP - Supplemental Survey</p> | <p>Where the desired data (i.e. distribution of SSIG program funds by student income and institutional sector) were unavailable from the NASSGP Supplemental Survey, the second data source was used. In most cases, the distributions shown only reflect the distribution of the federal portion of the total dollars.</p> <p>These data reflect dollars awarded through state programs including both state and federal dollars for matching programs (e.g. SSIG, Vocational-Rehabilitation). Because the information collected may not have been totally comprehensive, that is, there may have been state programs which were overlooked or for which the dollars awarded data were not available, these figures may not reflect total state dollars to student-aid.</p> <p>The figures do not include BEOG or the campus based programs.</p> |
| <p>H. Counts of State Populations, Students, etc.</p> <p>1. State Population Profile</p> | <p>Effective Buying Income: Sales and Marketing Management-1977 Survey of Buying Power</p> | <p>Effective Buying Income: A classification exclusively developed by Sales & Marketing Management, it is personal income less personal tax and nontax payments. Personal income is the aggregate of wages and salaries, other labor income (such as employer contributions to private pension funds), proprietors' income, rental income (which includes imputed rental income of owner-occupants of nonfarm dwellings), dividends paid by corporations, personal interest income from all sources, and transfer payments (such as pensions and welfare assistance). Deducted from this total are personal taxes (federal, state, and local), nontax payments (such as fines, fees, penalties), and personal contributions for social insurance. The resultant figure is commonly known as "disposable personal income." Market Statistics removes from this figure compensation paid to military and diplomatic personnel stationed overseas to arrive at Effective Buying Income</p> |

| DESCRIPTIVE PROFILES - SECTION/TITLE | DATA SOURCE | COMMENTS |
|--|---|---|
| <p>H. Counts of State Populations, Students, Etc. (continued)</p> <p>1. State Population Profile (continued)</p> | <p>Population: Bureau of the Census 1970 Decennial Census</p> | <p>Effective Buying Income is a bulk measurement of market potential. It indicates the general ability to buy and is essential in comparing, selecting, and grouping markets on that basis. But for products that appeal to specific income classes, the percent of households by Effective Buying Income groups should be considered.</p> <p>The population distributions by ethnic status and by sex were derived in the following manner. For the population 15 and over, the percent distribution by sex was taken and the percent distribution by ethnic status was derived from 1970 census data. These percentages were then applied to 1976 state population estimates (Bureau of Census) to arrive at the estimates shown.</p> |
| <p>2. State Postsecondary Education Student Enrollments</p> | <p>High School Graduates: National Center for Education Statistics</p> <p>Office of Civil Rights (OCR) 1974 Higher Education Civil Rights Survey</p> <p>National Center for Education Statistics (NCES) 1975 Residency & Migration Survey</p> <p>1975 Opening Fall Enrollment Survey (OFE)</p> <p>1976 Opening Fall Enrollment Survey (OFE)</p> | <p>The data on high school graduations were obtained from published statistics.</p> <p>All figures are in terms of FTE except for the Residency-In/Out of State data which are in terms of headcount. 1 FTE = 1 full time student or 2 part-time students.</p> <p>The data on Ethnic Status were estimated by obtaining a percentage distribution of FTE enrollments by ethnic status from the 1974 OCR survey. These percentages were then applied to the 1976 FTE total enrollment (obtained from the NCES 1976 OFE survey) to obtain the distribution shown.</p> <p>The data on enrollments by sex were obtained from the NCES 1976 OFE Survey.</p> <p>The data on institutional sector were obtained from the NCES 1976 OFE survey.</p> <p>The data on student level were estimated by obtaining a percentage distribution from the NCES 1975 OFE survey and applied to the total obtained from the 1976 OFE survey. (The 1976 OFE survey did not collect data by the lower/upper division, graduate, professional categories, thus the 1975 data were utilized).</p> |

| DESCRIPTIVE PROFILES - SECTION/TITLE | DATA SOURCE | COMMENTS |
|--|---|--|
| H. Counts of State Populations, Students, etc. (Continued) 2. State Postsecondary Education Student Enrollments (Continued) | National Center for Education Statistics 1975 Residency and Migration Survey | The graduate category combines graduate and professional students. The Residency-In-State/Out-of-State data were obtained directly from the NCES 1975 Residency and Migration Survey. The data shown are in terms of head count and pertain to 1975. |
| 3. Interstate Migration of Students | National Center for Education Statistics 1975 Residency and Migration Survey | All the data shown are from the NCES 1975 Residency and Migration Survey and pertain to 1975; these data are in terms of or based on headcount data. |
| I. State and Federal Financing of Education 1. State Expenditure on Postsecondary Education Student Assistance | Bureau of the Census (governmental statistics program) NASSGP - Supplemental Survey | The data on state expenditures on education and state expenditures on state institutions of higher education were taken from the census data. The data on state expenditures of student financial assistance were taken from the NASSGP survey and pertain only to those programs that are specifically listed on the profile. (In many instances the data were not given for all the years.) |
| 2. Gross Costs to Students Attending School by Postsecondary Education Sectors | NASSGP Supplemental Survey | |
| 3. Levels of Federal and State Program Funding by Institutional Sector | Office of Planning and Budget Evaluation of OE/HEW Higher Education Data Base: FY 1967 to FY 1975 (Preliminary release) NASSGP Supplemental Survey | |
| 4. Relative Importance of Federal and State Program Funding by Sector | Office of Planning and Budget Evaluation of OE/HEW Higher Education Data Base: FY 1967 to FY 1975 (Preliminary release) NASSGP Supplemental Survey | The data pertaining to the federal programs (BEOG, SEOG, CW-S, etc.) pertain to FY 1974, the last year available from these sources. The data pertaining to state programs are for FY 1976 (taken from the NASSGP survey). |