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A NEW METHODOLOGY FOR RESEARCH IN THE SCHOOLS AND UNIVERSITIES -NONPARAMETRIC ANALYSIS OF TREND

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Abstract

Trend analysis is explained in nontechnical language with examples given for both parametric and nonparametric situations. Similarities and differences between parametric and nonparametric trend analyses are conveniently charted. Practical research applications for Directors of Testing and Directors of Institutional Research are stressed. A linear nonparametric trend which serves as a prototype is calculated in a step-by-step manner. The linear and quadratic tests for trend for the three and four level case are programmed in both FORTRAN IV and BASIC. This paper concludes with the explanation of interpreting computer printout.

Parametric Trend Analysis

Trend analysis is an application of the analysis of variance where the levels of the treatment variable (independent variable) are equally spaced with equal sample size. The treatment variable must be of interval or ratio type. When the dependent variable is of the interval type, we can ask questions concerning means. For example, "Do the group means of the dependent variable increase significantly in a linear fashion with increases in the independent variable?" The above question is concerned with the direction not with the strength of the relationship. A second question about trend may be, "Do the group means increase and then decrease with increase in the independent variable"? The latter question relates to a quadratic trend in the data while the former question relates to a linear trend in the data.

Suppose an investigator is interested in determining whether there is a relationship between level of schooling and hours spent studying per week. Table 1 illustrates a parametric trend example. Level of schooling is then the independent variable and study hours per week is the dependent variable. Sixty students were randomly selected from each level. The three levels are junior high, senior high, and college (excluding final year). Notice that the independent variable is equally spaced, i.e., the junior high includes grades 7, 8, and 9; the senior high includes the next three years and the college level includes the



Table 1
Parametric Example

Study Hours

Jr. H.S.	Sr. H.S.	College
N = 60	N = 60	N = 60



next three years. Years of schooling is construed to be interval type of data and levels of schooling in our example are equally spaced.

Since the dependent variable (study hours per week) is also interval type of measurement, a parametric trend analysis can be performed. We can now deal with such questions as, "Is there a linear trend between mean hours studied and level of schooling?" or stated another way, "Does the mean of hours studied increase significantly in a linear fashion with increases in level of schooling?" Similarly, we may inquire about a quadratic trend between mean hours studied and levels of schooling.

A second example uses the college level as the independent variable. Now the independent variable has four levels - freshman, sophomore, junior, and senior year. The four level case is obviously the usual research situation prevailing in the school studies. The possible research questions now become, "Is there a linear or quadratic trend over academic levels?" Technically, in the four level case, it is possible to extract another trend component called cubic. The cubic trend, however, presents interpretation problems. On this point, Marascuilo (1971) claims that the interpretative difficulties of cubic components diminish their practical value.

The parametric analysis of trend is based on the F statistic. Computational formulae are presented adequately in Ferguson (1971) and Kirk (1969). The BIOMED statistical program called BMD02V has provisions for calculating and



printing out the trend components (e.g., linear, quadratic, cubic, etc.). Graphically, the means can be charted and visual inspection of trend can be made as a preliminary check to computer processing. Table 2 illustrates a linear (a), quadratic (b), and cubic (c) relations between independent (Y) and dependent (X) variable.

Nonparametric Trend Analysis

Very frequently research in the schools and universities consists of dealing with dependent variables which are of the nominal type. As long as the levels of the independent variable can be equally spaced with equal sample sizes, trend analysis can still be performed on nominal data. The X² statistic is used in nonparametric trend analysis.

An example of nominal data generally encountered is the binary variety of either YES/NO or AGREE/DISAGREE responses. The research question now becomes, "Do the group proportions of the dependent variable increase significantly in linear fashion with increases in the independent variable?". This question relates to a linear trend analogous to the question of parametric linear trend above. In like manner, we can raise the question of a quadratic trend on the proportions, "Do the proportions increase and then decrease with increase in the independent variable?"

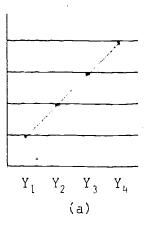
Table 3 distinguishes between a parametric and nonparametric trend analysis.

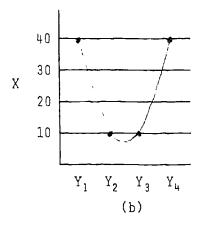


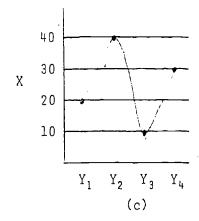
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Table 2

Graphs of Various Trends







9



Table 3
Similarities and Differences Between Parametric and Nonparametric Trend Analyses

•	1					
	Similar	ities	Differences			
	Independent Variable	Orthogonal Coefficients	Dependent Variable	Underlying Statistic	Research Question	
Parametric	Must be in- terval with (1) equal spacing of levels and (2) equal sample sizes	For equal n, these values are fixed and can be supplied by a table of orthogonal polynominals found in most statistic texts		F statistic	Is there a (linear) (quadratic) trend in the group means?	
Nonparametric	Same as above	Same as above	Nominal e.g. sex, agree/disagree, yes/no	X ² statistic	Is there a (linear) (quadratic) trend in the group proportions?	
10					•	

For nonparametric trends, Marascuilo (1971) has developed the following confidence interval test which is based on Scheffe's confidence interval tests.

$$\Psi_{\text{linear}} - \sqrt{\chi_{K-1}^{2^{1-\alpha}}} \cdot \sqrt{\text{var } \Psi} \leq \Psi \leq$$

$$\hat{Y}_{linear} + \sqrt{X_{K-1}^{2}} \cdot \sqrt{var \Psi}$$

If the interval does not contain zero, a statistically significant linear relationship exists for the proportions. A zero within the interval amounts to acceptance of the null hypothesis of no linear trend. Conversely, no zero within the interval amounts to rejection of the null hypothesis.

Using a three level case, let's take a closer look at the confidence interval. The Ψ (read as psi hat) is the population estimate which is obtained by multiplying each proportion by a "weight" and then summing. These "weights" are referred to as coefficients and they can be read from Table Ψ .

Hence,

 $\Psi = a_1 \cdot p_1 + a_2 \cdot p_2 + a_3 p_3$ where the a's are the orthogonal coefficients and the p's are the proportions. For the three level case:

$$\Psi_{\text{linear}} = (-1)p_1 + (0)p_2 + (1)p_3$$

and

$$\Psi_{\text{quadratic}} = (1)p_1 + (-2)p_2 + (1)p_3$$



Table 4
Coefficients of Orthogonal Polynominals

Levels of Independ- ent Variable	Polynominal	Coefficients	
3 3	linear quadratic	1 -2 1	
14	linear quadratic linear	-3 -1 1 3 1 -1 -1 1 -1 3 -3 1	



Research Example

In a recent drug survey (Pascale and Streit, 1972), six hundred students were sampled from each of the following strata - junior high school, senior high school, and college.

tudents were assured of anonymity and were administered a brief questionnaire which is presented in Table 5.

Only the results of item 1 will be analyzed and discussed here. The reader can use the item 1 data as a prototype for future trend calculations involving three groups.

The independent variable is educational level which is equally spaced and contains equal sample sizes. The dependent variable is the YES or NO response. The data meets all the prerequisites for nonparametric trend analysis as outlined in Table 3.

Table 6 shows the results of tally of YES/NO responses to the seven items on the questionnaire. Columns 2, 4, and 6 show the proportions of the response variable. The question of interest is not whether there is a significant difference in the proportions of responses but rather is there a significant linear or quadratic trend in the proportions of the three groups. Prior information from adolescent psychology would lead us to hypothesize a linear trend in the proportions since the beginning years of adolescence is marked by gradual shift away from the family envelope.



Table 5

Drug Questionnaire		
Suppose each of the following	groups o	f people
gave you advice on the use and abus	e of dru	gs. T
whom would you listen? Circle your	choice.	
1. father or mother	yes	no ,
2. police officer, lawyer or judge	yes	no
3. teacher or school counselor	yes	no
4. minister, prients, or rabbi	yes	no
5. older brother or sister	yes	no
6. a friend or fellow stu- dent	yes	no
7. someone who has used drugs	yes	no

Table 6
Results of Drug Questionnaire

	Juni High	lor School	Sent High S	ior School	Col	Lege	
Item 1:	N	0/0	N	96	N	%	X ²
Yes No	168 32	84 16	142 58	71 29	126 74	63 37	74.65 linear p<.01
Item 2:			! 				,, , , , ,
Yes No	150 50	75 25	132 68	66. 34	122 78	61 39	29.04 linear p<.01
Item 3:							
Yes No	144 56	72 28	124 76	62 38	138 60	69 31	14.16 quadratic p<.01
Item 4							Í
Yes No	136 64	68 32	116 84	- 13 L B	106 94	53 47	28.94 linear p<.01
Item 5:	1						
Yes No	144	72 28	144 56	708	146 54	73 27	0.34 not significant
Item 6:							6
Yes No	132 68	66 34	148 52	74 20	166 34	83 17	46.57 linear p<.01
Item 7:							, , ,
Yes No	132 68	66 34	160 4)	80 20	172 28	86 14	11.98 linear p<.01



The next part of the confidence interval is:

 $\chi^{2^{1-\alpha}}_{K-1}$. This is simply the square root of the tabled value of the X^2 statistic. The 1- α subscript refers to the level of significance and the K subscript refers to the number of levels (or number of proportions) of the independent variable. Finally, the

$$var \hat{\Psi} = \sqrt{a_1^2 \frac{(p_1 q_1)}{n_1} + a_2^2 \frac{(p_2 q_2)}{n_2} + a_3^2 \frac{(p_3 q_3)}{n_3}}$$

which is an estimate of the standard error of the proportions of the three levels or groups.

To evaluate the quadratic component involves a similar procedure with the only difference being the use of a different set of coefficients. For $\Psi_{\rm quadratic}$ with three levels, Table 4 shows the "weights" or coefficients to be 1, -2, and 1.



Hand Calculation of Linear Trend

(1)
$$v_{\text{linear}} = a_1 p_1 + a_2 p_2 + a_3 p_3$$

Table 4 gives us our coefficients $(a_1, a_2, and a_3)$.

p represents the proportion of group answering YES. Later we will use q (q = 1 - p) in estimating the standard error of the proportions (the $\sqrt{\text{var } \Psi}$ part of Marascuilo's equation).

So,
$$\Psi_L = (-1) .84 + (0) .71 + (1) .63$$

$$\Psi_L = -.21$$

(2)
$$\sqrt{X_{K-1}^{2^{1-\alpha}}} = \sqrt{X_{3-1}^{2(.95)}} = \sqrt{5.991} = 2.718$$

This part of the equation tells us we are working with the X^2 statistic at the .05 level of confidence with two (2) degrees of freedom. The number 2.718 is the square root of 5.991 which is the tabled value of X^2 statistic.

(3)
$$\operatorname{var}_{L} = \sqrt{a_{1}^{2} \cdot \frac{(\tau_{1} q_{1})}{n_{1}} + a_{2}^{2} \cdot \frac{(p_{2} q_{2})}{n_{2}} + a_{3}^{3} \cdot \frac{(p_{3} q_{3})}{n_{3}}}$$

$$= \sqrt{(-1)^{2} \cdot \frac{(.84 \cdot .16)}{200} + (0)^{2} \cdot \frac{(71 \cdot .29)}{200} + (1)^{2} \cdot \frac{(.63 \cdot .37)}{200}}$$

$$= \sqrt{.000672 + 0 + .001165}$$

= .042

Finally, putting all three elements into the Marascuilo equation, we get:



$$-.21 - (2.718 \cdot .042) \le \Psi \le$$
 $-.21 + (2.718 \cdot .042)$
 $-.324 \le \Psi_L \le -.096$

Since the interval for the linear contrast does not include zero, a significant linear trend for the proportions exists.

Let's now determine the significance of the quadratic trend. We follow the same procedure as outlined for the linear trend but we use different values for the coefficients a_1 , a_2 , and a_3 . (Table 4).

(1)
$$\Psi = (1) .84 + (-2) \cdot .71 + (1) \cdot .63$$

 $\Psi = .84 - 1.42 + .63$
 $\Psi = .05$

(2)
$$\sqrt{X_{K-1}^{2^{1-\alpha}}} = \sqrt{X_{2}^{2.95}} = \underline{2.718}$$

(3)
$$\operatorname{var} \Psi_{I_{1}} = \sqrt{\frac{(1)^{2} \cdot (.84 \cdot .16)}{200} + \frac{(-2)^{2} \cdot (.72 \cdot .29)}{200} + \frac{(1)^{2} \cdot (.63 \cdot .37)}{200}}$$

$$= \sqrt{\frac{.000672 + .0010295 + .001165}{}}$$

$$= \sqrt{\frac{.0028665}{.0028665}}$$

Finally, putting the above three components in the equation, we get:

$$.05 - .0535 \le \Psi_Q \le .05 + .0535$$

- $.0035 \le \Psi_Q \le .1035$



Since the interval for a quadratic contrast includes zero, we conclude there is no statistically significent quadratic trend for the proportions of item 1.



Computer Programs

The major author is currently analyzing the trends of 250 questions 're items answer by college students. Another study modern as facult, reaction to collective bargaining practices is also underway. Computer programs were written to handle the large calculations of these two The nature of school and institutional research is such that it could benefit from these two programs to handle routine analyses of many small and even large scale studies. The first study cited in this section is an example of four level case, i.e., K=4 which means there are four levels of the independent variable. The second study is an example of the K=3 case. The levels of the independent variable are assistant professor, associate professor, and The research question is "Is there a full professor. linear/quadratic relationship between faculty rank and attitude toward various aspects of collective bargaining?" More specifically, for the linear trend question - "Do the group proportions of the dependent variable (YES/NO responses of the faculty) increase significantly in linear fashion with increases in faculty rank?"

Listings

Listings of the two programs are presented in Appendix A and B. A pendix A contains the FORTRAN IV programs. The program to: the K=4 case is labeled TREND 4 and the program for the K=3 case is labeled TREND 3.

. Appendix B contains the BASIC programs. The program



for the K=4 case is called T4 and the program for the K=3 case i filed T? The authors wrote the BASIC programs for use with an IBM 2741 Interactive Terminal. However, the BASIC programs need not be used solely for the interactive mode. They can be easily adapted for batch mode. Printed Output

The TREND 3 and TREND 4 FOITRAN programs contain D¢ loops which can provide many trend analyses with one job run of the computer. For example, suppose we wanted to analyze the trend components of the seven items of the questionnaire in Table 5. Simply change card 0001 to read N=7 and format the data deck according to the following:



Notice that there are two data cards for each item. This was done merely to look like a 2 X 3 matrix which conforms to the conventional setup of X² contingency tables. Since seven items are being analyzed, there should be fourteen data cards. Each data entry gets a dedicated five column space which is right hand justified according to the format statement 11 which reads (3F5.0/3F5.0). In layman's terminology, the format says there are three fields of five columns each with the decimal point fixed at the end of each fifth column; after column fifteen proceed to the next card which will also have three fields of five columns each. Note that if the first card read

16800 | 00142 | 00126

the first cell entry would have been construed to be sixteen thousand eight hundred (16,800) instead of the one hundred sixty eight (168) which is the correct value from Table 5.

The output of the program will look like the following:

3747699	-4.523003E -02
3939264	-2.607358E -02
3696550	.469656
	.518446

The first row contains the interval for the test of linear trend at the .05 level. The second row contains the interval for the test of linear trend at the .01 level. The third row contains the interval for the test of quadratic trend at the .05 level. The fourth row contains the interval for the test of the quadratic trend at the .01 level.

Interpretation

Recall that if an interval contains zero, there is no signi-



ficant trend. The interval -.374769 -4.523003 does <u>not</u> contain zero. Therefore, we may conclude that there is a significant linear trend at the .05 level. Let's look at the second row. Since the interval -.3939264 -2.607358E 02 does not contain zero, we may conclude that the linear trend is significant at the .01 level.

The third and fourth rows of the program output deal with the quadratic trend. Since the third row interval -.3696550 .469656 contains zero, there is no significant quadratic trend at the .05 level. Likewise, inspection of the interval in the fourth row tells us there is no significant quadratic trend at the .01 level.

Appendix A

Fortran Program For K=3

0001	READ(5,11) R1C1,R1C2,R1C3,R2C1,R2C2,R2C3
0002	11 FORMAT (3F5.0/3F5.0)
0003	SN1=P1C1+R2C1
0004	SN2=R1C2+R2C2
0005	SN3=R1C3+R2C3
9906	P1=91C1/SN1
0007	P2=R1C2/SN2
0003	P3=R1C3/SN3
0009	Q1 = 1 - P1
0010	Q2=1-P2
0011	Q3=1-P3
0012	AL1=-1
0013	<u>AL2=0</u>
0014	AL3=1
0015	$\Delta O 1 = 1$
2015	$ \Lambda $
0017	AQ3=1
0018	ALPHO5=2.718
0013	$\Lambda LPH(1) = 3.034$
0030	VARP1=(P1*Q1)/SV1
0051	VARP2=(P2*Q2)/SN2
0022	VARP3=(P3*Q3)/SN3
0023	PHILI=(AL1*P1)+(AL2*P2)+(AL3*P3)
0024	VARL[=((AL1**2)*VARP1)+((AL2**2)*VARP2)+((AL3**2)*VARP3)
0025	ERLOI = ALPHOI * SQPT (VARLI)
00.59	ERLUS=ALPHOS*SQRT(VARLI)
0027	TLPO5=PHILI+ERLO5
0028	TLPO1=PHILT+ERLO1
0029	ILMU5=PHILI-ERLO5
0030	TLMU1=PHILI-ERLO1
0031	PHIQ=(A.)1*P1)+(AQ2*P2)+(AQ3*P3)
0032	VARQ=((AQ1 **?) *VARP1)+((AQ2**?)*VARP2)+((AQ3**2)*V1<23)
0033	EPQN1=ALPHN1 * SQRT (VAPQ)
0034	ERQO5=ALPHO5*SGRT(VARQ)
0035	TOPUS=PHIQ+ERQOS
0036	TOPO1=PHIQ+ERGOI
2037	TOMOS=PHIQ-ERQOS
0039	TOMUL = PHIO-ER COL
0039	WPITE (6,13) TEMO5, TEPO5, TEMO1, TEPO1, TOMO5, TOPO5, TOMO1, TUPU1
0043	13 FORMAT (4(2F30.10/))
0041	CALL EXII
0)42	f.N.)



Fortran Program For K=4

```
0001
               00 6K = 1.8
0.002
               READ(5,11) #101,R102,R103,R104,R201,R202,R203,R204
0003
            11 FORMAT (465.0/465.0)
0004
               SN1=R1C1+R2C1
0005
               SN2=R1C2+92C2
0005
               SN3=R1C3+P2C3
0007
               SN4=R1C4+R2C4
0003
               PI=RICI/SNI
0000
              P2=81C2/SN2
0010
               P3=R1C3/SN3
0011
               D4=R1C4/SN4
 $100
               \gamma l = 1 - P l
0013
               35=1-b3
 0014
               Q3=1-P3
 0015
               Q4=1-P4
 0.016
               AL 1=-3
 0017
                4L2=-1 -
 0018
                <u> AL3=1</u>
 0019
                \Delta L4=3
 00.30
                \Delta i \Im 1 = 1
 0021
 0022
                AQ2=-1 '
                AQ3 = -1
 0023
                \Delta ()4=1
 0024
                ALPHO5=2.7954
 0025
                ALPHO1=3.3682
 0036
                VARP1=(P1#:Q1)/SN1
 0027
                VARP2=(P2*Q2)/SN2...
 0028
                VAQP3=(P3*Q3)/SN3
 0029
                VARP 4= (P+*04)/SN4
 00.30
                PHILI=(AL1*P1)+(AL2*P2)+(AL3*P3)+(AL4*P4)
 0031
                VARLT=((AL1**2)*VARP1)+((AL2**2)*VARP2)+((AL3**2)*VARP3)+((AL4**2)
 00 32
               **VARP4)
                ERLU1=ALPHO1*SQRT(VARLI)
 0033
                ERLO5=ALPHO5*SQRT(VARLT)
 00344
                TLPO5=PHILI+ERLU5
 0035
                TLPO1=PHILI+FRLO1
 00.36
                TLMD5=PHILT-ERLD5
 JJ37
                TLMO1=PHILI-FRLUI
 0033
                PHIQ=(AQ1*P1)+(AQ2*P2)+(AQ3*P3)+(AQ4+P4)
 0033
                VARQ=((A01**2)*VARP1)+((A02**7)*VARP7)+((A03**2)*VARP3)+((Au4**2)*
 0040
               *VARP4)
                ERCOI=ALPHOI * SCRI(VARQ)
 0041
                ERQOS=ALPHOS*SQRT(VARQ)
 00+2
                TOPO5=PHIQ+ERQU5
 0043
                TOPOI=PHIU+ERGOI
 0044
                TOMO5=PHIQ-ERG05
 0045
                TOMOI= PHIQ-FROOI
 0946
                WRITE (0.13) JEMO5, TEPUS, TEMO1, TEPO1, TOMO5, 109 15, TOM 11, ExPUI
 0047
             13 FORMAT (4(2F15.9/))
 0048
              6 CONTINUE
 0049
                CALL EXIT
  0050
                 END
  0051
```



Appendix B

TR3

```
list
 00001 TYPUT 01,02,03,04,05,09
00002 11=01+04
 00003 12=02+05
 00010 43=03+06
 00020 P1=01/"!1
 00030 P2=02/N2
 00040 PD=03/13
 00050
                                  71=1-71
 00000 02=L-P2
 00070 03=1-03
 00000 L1=-1
 00000 L2=0
 00100 L5=1
 00110 U1=1
00120 1/2=-2
00130 U3=1
00140 /5=2.718
00159 1=3.034
99159 \ V1 = (P1 * Q1) / "1
99179 V2 = (P2 * P2) / 2
 00100 73=(P3*Q3)/73
00100 F1=(L1*P1)+(L2*P2)+(L3*P5)
 30200 V4=((L1**2)*V1)+((L2**2)*V2)+((L3**2)*V5)
 00210 F1=A1*SOR(V4)
 00220 E5=A5*307(V4)
 00230 T5=F1+E5
 00240 T1=51+51
 00250 45=F1-E5
 90250 '11=F1-E1
00270 F2=(U1*P1)+(U2*P2)+(U3*P3)
 00280 V5=((U1**2)*V1)+((U2**2)*V2)+((U3**2)*Y3)
00210 52 = 1.3 \times 1.3 \times
 00300 En=A5*S1R(V5)
 00310 TG=F2+EC
 00020 T2=F2+F2
00330 115=F2-E0
 00340 112=92-52
00350 PRINT MS.TS
00360 PRINT M1.TI
0037) PRINT NO.15
00380 PRIMIT 112, T2
20310 3100
FOIT
```



```
TR4
      list
00910 PUT 01,00,03,04,05,03,07,00
00020 11=01+05
00030 "2=02+00
00040 13=05+07
00050 114=74+70
00000 [1=01/21
00071 02=02/02
00000 n3=n3/"5
000000 64=04/115
00100 D1=1-D1
00110 02=1-02
00120 73=1-75
09130 Ph=1-Ph
00140 L1=-3
00150 L2=-1
00150 L3=1
00170 L4=3
00180 U1=1
00100 UC=-1
00200 U5=-1
00210 U4=1
00220 45=2.7054
00230 \ A1=3.3602
00240 V1=(P1*01)/11
00250 Y2=(P2*02)/Y2
00200 VD=(PD*03)/ND
90270 V4=(P4*94)/M4
00230 F1=(L1*P1)+(L2*P2)+(L5*P5)+(L4*P4)
00200 V5=((L1**2)*V1)+((L5**2)*V2)+((L5**2)*V5)+((L4**2)*V4)
00300 E1=41*80R(V5)
00310 [5=A5*SDR(V5)
00520 TS=F1+F5
00030 T1=F1+F1
00340 115=51-65
00350 11=F1-E1
00350 F2=(U1*P1)+(U2*P2)+(U3*P3)+(U4*P4)
99379 VS=((U1**2)*V1)+((U2**2)*V2)+((U3**2)*V3)+((U5**2)*V4)
00380 M2=A1*SOR(VO)
00300 ES=A5*000(Vc)
00400 T0=F2+E5
00410 T2=F2+F2
00420 MS=F2-E8
11431 112=52-52
00446 POLIT 119, TS
            1,11
20450 12177
מד, ייי דייורים כסוננ
00470 PRINT 02, TO
10401 STOP
TIFT
```



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