DOCUMENT RESUME

ED 100 197 HE 006 090

AUTHOR El-Khawas, Elaine H.; Furniss, W. Todd

TITLE Faculty Tenure and Contract Systems: 1972 and 1974.

Report No. 22.

INSTITUTION American Council on Education, Washington, D.C.

Higher Education Panel.

PUB DATE Dec 74
NOTE 35p.

AVAILABLE FROM Higher Education Panel, American Council on

Education, One Dupont Circle, Washington, D.C.

20036

EDRS PRICE MF-\$0.75 HC-\$1.85 PLUS POSTAGE

DESCRIPTORS *College Faculty; *Contracts; *Higher Education;

*Probationary Period; Statistical Data: Teacher

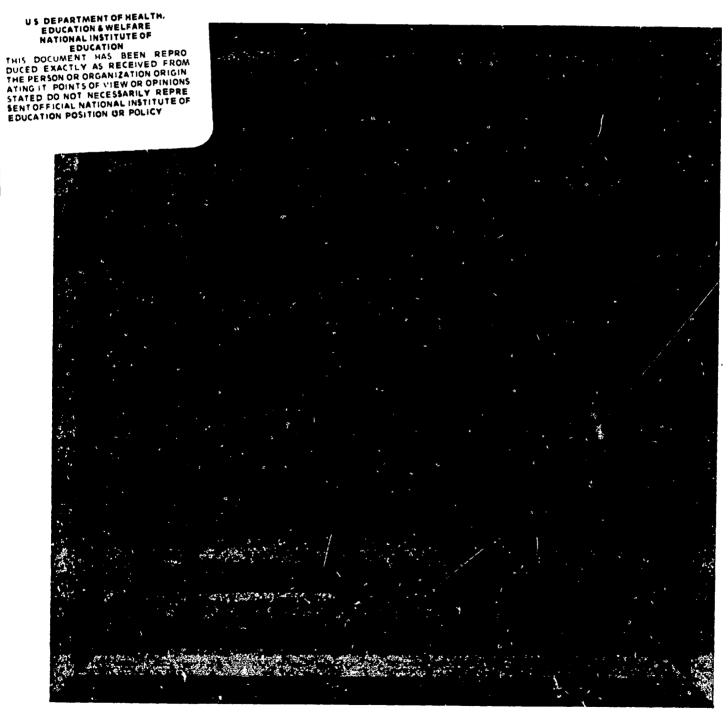
Employment; Teacher Welfare: *Tenure

ABSTRACT

This survey of faculty tenure and contract systems was conducted during August 1974 as a followup to an earlier Higher Education Panel survey of institutional policies and practices affecting faculty employment. A survey questionnaire mailed to the entire membership of the Higher Education Panel (644 institutions) received a 91 percent response rate. Highlights of the survey indicated: (1) There has been no overall change between 1972 and 1974 in the general prevalence of tenure systems. (2) Between 1972 and 1974, there was an upward shift in the percentage of full-time faculty holding tenure. (3) As compared to 1972 data, somewhat fewer of those faculty formally considered for tenure in 1973-74 actually received tenure. (4) Two-thirds of the institutions with tenure systems reported that they had renewed 80 percent or more of their term contracts expiring during the 1973-74 academic year. (5) Of the institutions operating under term-contract systems in 1973-74, almost all (93 percent) had reappointed 90 percent or more of their faculty. (6) As in 1972, almost all tenure institutions have probationary periods, and 2-year institutions reported shorter maximum periods of probation than did 4-year colleges and universities. (7) There is some shift toward longer probationary periods of tenure. Additional results and statistical data are provided. (MJM)

Faculty Tenure and Contract Systems: 1972 and 1974

Elaine H. El-Khawas and W. Todd Furniss



HIGHER EDUCATION PANEL REPORTS, NUMBER 22 AMERICAN COUNCIL ON EDUCATION

DECEMBER 1974



AMERICAN COUNCIL ON EDUCATION

Roger W. Heyns, President

The American Council on Education, founded in 1918, is a council of educational organizations and institutions. Its purpose is to advance education and educational methods through comprehensive voluntary and cooperative action on the part of American educational associations, organizations, and institutions.

The Higher Education Panel is a survey research program established by the Council for the purpose of securing policy-related information quickly from representative samples of colleges and universities. Higher Education Panel Reports are designed to expedite communication of the Panel's survey findings to policy-makers in government, in the associations, and in educational institutions across the nation.

The Higher Education Panel's surveys on behalf of the Federal Government are conducted under grant support provided jointly by the National Science Foundation, the National Institutes of Health, and the U.S. Office of Education (NSF Grant GR-99).

STAFF OF THE HIGHER EDUCATION PANEL

Frank Atelsek, Panel Director
Paula R. Knepper, Programmer
Gloria Walker, Project Secretary

HEP ADVISORY COMMITTEE

Lyle H. Lanier, Director, Office of Administrative Affairs and Educational Statistics, ACE, Chairman

John A. Creager, Director, Division of Educational Statistics, ACE

W. Todd Furniss, Director, Office of Academic Affairs, ACE

John F. Hughes, Director, Policy Analysis Service, ACE

Charles V. Kidd, Executive Secretary, Association of American Universities

J. Boyd Page, President, Council of Graduate Schools in the United States

FEDERAL ADVISORY BOARD

Charles E. Falk, National Science Foundation, Chairman Robert R. Trumble, National Institutes of Health Paul D. Planchon, Office of Management and Budget Richard T. Sonnergren, U.S. Office of Education Felix H. Lindsay, National Science Foundation, Secretary

TECHNICAL ADVISORY COMMITTEE TO THE FEDERAL ADVISORY BOARD

Martin Frankel, U.S. Office of Education, Chairman Nancy M. Conlon, National Science Foundation Tavia Gordon, National Institutes of Health



HIGHLIGHTS

Extent of Tenure

- There has been no overall change between 1972 and 1974 in the general prevalence of tenure systems. Tenure systems are nearly universal among universities and four-year colleges and are found in about two-thirds of two-year institutions (Table 1). Of the institutions utilizing term or contract systems of faculty appointment, very few (2.5 percent) reported any plans to establish a tenure system (Table 3, Item 7c).
- Between 1972 and 1974, there has been an upward shift in the percentage of fulltime faculty holding tenure. By 1974, 59 percent of those institutions with tenure systems reported that half or more of their full-time faculty held tenure (compared to 43 percent in 1972) (Table 2, Item 4).

Tenure Awards and Contract Renewals

- As compared to 1972 data, somewhat fewer of those faculty formally considered for tenure in 1973-74 actually received tenure. Percentage differences were small, however (Table 2, Item 2f).
- Two-thirds of the institutions with tenure systems reported that they had renewed 80 percent or more of their term contracts expiring during the 1973-74 academic year (other than those whose renewal would confer tenure) (Table 2, Item 2e).
- Of the institutions operating under term-contract systems in 1973-74, almost all (93 percent) had reappointed 90 percent or more of their faculty (Table 3, Item 7b).

Probationary Periods Under Tenure Systems

- As in 1972, almost all tenure institutions have probationary periods, and two-year institutions reported shorter maximum periods for probation than did four-year colleges and universities (Table 2, Item 2a).
- There is some shift toward longer probationary periods for tenure. Four-year colleges and universities, particularly those under public control, showed the greatest amount of change (Table 2, Item 2b).
- In 1974, contracts during the probationary period were still typically for terms of one year. However, a small shift can be noted toward two- or three-year contracts, primarily at four-year institutions (Table 2, Item 2d).
- Four-year colleges also reported an increase in the number of years of prior service creditable to the probationary period (Table 2, Item 2c).

Review and Appeal Procedures

- A third of institutions with tenure systems had made changes in their review policies during the last two years. Further reviews of tenure systems were currently underway, particularly among public universities (Table 2, Item 6).
- As was true in 1972, close to half of all institutions always provided written reasons to a faculty member when tenure was denied or a contract was not renewed. Only a small proportion never gave written reasons (Table 4, Item 8).
- In 1972, procedures for appealing adverse decisions had been available at almost all institutions with tenure systems, but at few institutions with contract systems. By 1974, most contract institutions -- especially the private four-year colleges and public two-year colleges -- had modified their procedures to allow faculty members to appeal adverse contract decisions (Table 4, Item 9a).



ů

ACKNOWLEDGEMENTS

This survey was conducted during August 1974 as a followup to an earlier Higher Education Panel survey (Spring 1972) of institutional policies and practices affecting faculty employment. Results of the first survey were printed in "Faculty Tenure and Contract Systems: Current Practice," (ACE Special Report, July 27, 1972). The data were also used extensively in Faculty Tenure, the report of the Commission on Academic Tenure (Jossey-Bass, 1973).

In its report, the Commission on Academic Tenure recommended that followup surveys be conducted to document changes in institutional policies and practices concerning faculty tenure and contracts. The Lilly Endowment provided partial funding for the collection and processing of the current survey data.

We wish to acknowledge the substantial assistance provided by M. Storme Smithers in preparation of this report. Paula B. Knepper efficiently directed all data-processing tasks.



TABLE OF CONTENTS

		Page
I.	Introduction	1
II.	Nature of Survey	1
III.	Summary of Findings	2
ıv.	Interprecation	8
v.	Tables	11
VI.	Appendixes	23
	Appendix A: Sampling and Weighting Procedure Appendix B: 1974 Survey Questionnaire	38



Introduction

During August of 1974, the Higher Education Panel conducted a survey of the characteristics of current faculty tenure and contract systems. This survey, conducted at the request of ACE's Office of Academic Affairs with partial support from the Lilly Endowment, was a sequal to an earlier HEP survey conducted in April 1972 and repeated most of the items on the first questionnaire. Together, the survey results provide a basis for assessing changes that have occurred in tenure and contract systems over the past two years.

Nature of the Survey

The survey questionnaire was mailed to the entire membership of the Higher Education Panel, currently including 644 institutions broadly representative of colleges and universities across the country. Responses were received from 586 institutions (or 91 percent). Each respondent was asked to indicate whether his institution used a tenure or a term-appointment (contract) system, and to answer a variety of questions concerning the characteristics and operation of the existing system. All responses were statistically weighted in order to provide national estimates appropriate to the entire population of colleges and universities in the United States. Information on the sampling and weighting procedures and a copy of the questionnaire may be found in Appendixes A and B.



W. T. Furniss, "Faculty Tenure and Contract Systems: Current Practice,"

ACE Special Report, July 27, 1972. Washington, D.C.: American Council on Education.

The weighted data esults have been organized into seven major reporting categories according to institutional type and control. Survey findings, expressed as percentages, are presented for the following:

- 1. public universities
 - 2. private universities
 - 2. public four-year colleges
 - 4. private four-year colleges
 - 5. public two-year colleges
 - 6. private two-year colleges
 - 7. all institutions

Table A2 (Appendix A) shows the distribution of respondents among these categories.

Comparisons with the results of the 1972 survey are presented wherever appropriate. Data in the earlier survey were based on largely similar questionnaire items and parallel weighting and reporting procedures. Where question wording differs on the two surveys, both the 1972 and the 1974 question items are printed verbatim in the tables.

Summary of Findinge

The tabulations in this report present data separately for institutions with tenure systems (Items 2-6, 8-9) and for the small set of institutions with contract systems (Items 7, 8-9). Data are presented in item order, keyed numerically to the items on the questionnaire.

Extent of Tenure Systems

There has been no overall change between 1972 and 1974 in the general prevalence of tenure systems (Table 1). As reported earlier, tenure systems are nearly universal among universities and four-year colleges and are found in about two-thirds of two-year institutions.



A. RESPONSES OF INSTITUTIONS WITH TENURE SYSTEMS

Probationary Periods under Tenure Systems

The 1974 survey again reflects the earlier finding that almost all tenure institutions have probationary periods and that two-year institutions set shorter maximum periods for probation than do four-year colleges and universities (Table ?, Items 2a and 2b).

There is some shift, however, toward longer probationary periods. Four-year colleges and universities, particularly those under public control, showed the greatest amount of change (Table 2, Item 2b).

- As compared to 29 percent in 1972, almost 50 percent of public four-year colleges reported in 1974 that their maximum probationary periods were seven or more years.
- At public universities, the proportion reporting maximum periods of seven years or longer increased from 44 percent in 1972 to 57 percent in 1974.

A sharply increased proportion of four-year colleges reported in 1974 that the probationary period could be reduced by credit for prior service at other educational institutions (Table 2, Item 2c).

- In 1974, 57 percent of public four-year colleges and 77 percent of private four-year colleges accepted prior service as part of the probationary period; the comparable figures in 1972 had been 31 percent and 59 percent, respectively.
- Other types of institutions showed little change from 1972. As before, two-thirds of universities accepted prior service, typically for a maximum of three or four years.
- Conversely, two-thirds or more of two-year colleges, which as a group have shorter probationary periods, did not grant credit for prior service at other institutions.

In 1974, contracts during the probationary period were still typically for terms of one year. However, a small shift can be noted toward two- or three-year contracts, primarily at four-year institutions (Table 2, Item 2d).



- At public four-year colleges, the proportion granting initial contracts of one year dropped from 94 percent in 1972 to 79 percent in 1974.
- A similar decrease, from 91 percent to 83 percent, took place at private four-year colleges.

In response to a new question, most institutions with tenure systems replied that 80 percent or more of their term contracts expiring during the 1973-74 academic year had been renewed (i.e. contracts other than those whose renewal would confer tenure).

- About three-quarters of two-year colleges, two-thirds of fouryear colleges and only about half of universities reported this level of contract renewal (Table 2, Item 2e).
- In contrast, 11 percent of private universities reported that only 21 to 40 percent of expiring concracts had been renewed.
- The high "no response" rate in some categories in Items 2e and 2f should be considered in interpreting these tables, however. Removing the "no response" institutions will raise the percentages in each column, but does not significantly alter the trends from 1971 to 1974.

Tenure awards during 1973-1974

Comparisons of responses about the award of tenure in the two survey periods indicate that fewer of those faculty formally considered for tenure in 1973-74 actually received tenure (Table ?. Item 2f).

- Somewhat fewer institutions (37 percent in 1973-74 versus 42 percent in Spring 1971) granted tenure to all faculty members who were considered for tenure.
- Among universities and four-year colleges (but not among twoyear colleges), increased proportions of institutions reported that as few as 20 to 60 percent of those faculty who were reviewed actually received tenure. The greatest change occurred among private universities.
- A number of institutions (7 percent overall) volunteered that no faculty were considered for tenure during 1973-74; other institutions might have given this response if it had been an option on the questionnaire.

Institutions showed little change in their use of the conventional faculty-rank system and in their policies toward awarding tenure to faculty at different ranks. Several small differences can be noted, however (Table 2, Items 3a and 3b).

11 1



- The percentage of private two-year colleges using faculty ranks -- already lower than that of most other institutions in 1972 -- decreased further by 1974. Only 33 percent of the private two-year colleges with tenure systems had ranked faculties in summer 1974.
- As compared to 1972, an increased percentage of public universities reported in 1974 that assistant professors could hold tenure.
- Slight increases were also reported, for particular types of institutions, in the eligibility of instructors for tenure.

Data from the two surveys document an upward shift in the percentage of full-time faculty holding tenure (Table 2, Item 4).

- In 1972, the median institution reported that between 41 and 50 percent of the faculty were tenured. In 1974, the median response moved to between 51 and 60 percent.
- By 1974, as a result, 59 percent of all institutions reported that half or more of their full-time faculty held tenure (compared to 43 percent in 1972).
- A markedly increased proportion of public two-year colleges reported that 70 percent or more of their full-time faculty held tenure. 5? percent reported this level in 1974, up from the already substantial 28 percent reported in 1972.

Limitations on the Percentage of Tenured Faculty

Despite differences in question wording, the data provide some indication of an increased use of a formal goal or numerical limit on the percentage of tenured faculty (Table 2, Item 5a).

- In 1972, 6 percent of all institutions reported limits on the percentage of tenured faculty. In 1974, with a more restrictively worded question, nine percent of institutions responded that they have a formally announced limitation on tenure. Increases appear in all institutional categories except four-year public colleges.
- Private institutions more often reported such limits than did public institutions.
- In general, the limitation on tenure takes the form of a fixed percentage (Table 2, Item 5b). Low numbers of respondents on the item prevent any detailed conclusions.



Recent Changes in Tenure Policies

A good number of institutions had made changes in their tenure policies during the last two years. Further reviews were currently underway, particularly among public universities (Table 2, Items 6a and 6b).

- Between Spring 1972 and Spring 1974, a small proportion of institutions lengthened their period of probation for tenure.
 Public two-year colleges were the only type of institution with a good number that shortened the probationary period.
- Fully a third of institutions had altered their review procedures with regard to tenure during the same period
- In addition, a third of all institutions reported that their tenure systems were currently under review. The proportion was higher among public universities (47 percent) and lower among public two-year colleges (17 percent).

B. RESPONSES OF INSTITUTIONS WITH CONTRACT SYSTEMS

As in the earlier survey, a small proportion of two-year colleges (public and private) and private four-year colleges reported operating under term-appointment or contract systems. No universities or public four-year colleges appeared in this group.

- From a 1972 norm of one-year contracts, there has been a small shift toward longer contracts. This was true with both initial and succeeding contracts (Table 3, Item 7a).
- Most institutions continued to reappoint 90 percent or more of their faculty. Specific changes can be noted, in that fewer private four-year colleges renewed all contracts while an increased proportion of public two-year colleges did so.
- Among private two-year colleges, there were no responses of less than 80 percent renewal in the 1974 survey, although 23 percent had reported lower renewal rates in 1972 (Table 3, Item 7b).
- In 1972, 14 percent of contract institutions were planning to establish tenure systems. In 1974, only 2.5 percent were planning to do so (Table 3, Item 7c).

. 1



t

C. RESPONSES OF BOTH TYPES OF INSTITUTIONS

Procedures for Lopesling Adverse Decisions

As was true in 1972, close to half of all institutions always provide written reasons to a faculty member when tenure is denied or a contract is not renewed.

Only a small proportion never give written reasons. Changes since 1972 occurred mainly among certain types of institutions (Table 4. Item 8).

- In 1972, the majority of contract institutions always gave written reasons when a contract was not renewed; in 1974, the proportion doing so increased, primarily among public two-year colleges.
- Substantial percentages of tenure-granting public four-year colleges and private two-year colleges appear to have moved from always giving reasons to sometimes.
- Despite little overall change, certain types of tenure institutions showed small increases in the proportion never giving written reasons for denial of tenure.

In 1972, procedures for appealing adverse decisions were available at almost all institutions with tenure systems but at very few institutions with contract systems. By 1974, contract institutions -- at least almost all private four-year colleges and public two-year colleges in the category -- had modified their procedures in order to allow faculty members to appeal adverse contract decisions (Table 4, Items 9a and 9b).

- Comparisons between the surveys suggest that appeal procedures have been used more often in recent years; this appears to be the case at public four-year colleges, at private universities and, most markedly, at public universities.
- For the earlier period (September 1969 to April 1972), 27 percent of public universities reported that four or more appeals had been filed. In contrast, 60 percent of public universities reported that appeals procedures had been used four or more times during the period between September 1972 and August 1974.
- Institutions with contract systems also reported a somewhat increased use of appeals procedures. Fewer contract institutions reported no appeals, and a much increased proportion reported that two, three or more appeals had been filed.



Collective Bargaining Contracts

The questionnaires for both surveys included an item on collective bargaining. Relatively few institutions indicated that they had a collective bargaining agent or contract in either year although, as expected, some increase did take place. Because of the small number of institutions on which the survey data are based, detailed presentations have not been given for these responses. Recent nationwide counts are available from the Academic Collective Bargaining Information Service, however. As of mid-October 1974, there were 232 institutions of higher education, with 342 campuses, where faculty had chosen bargaining agents. A total of 279 institutions, with 189 campuses, had bargaining contracts in effect as of that time.²

Interpretation

Many of the 43 percent of institutions that reported their tenure systems under review for change in 1972 appear to have completed their work while others have since begun such a review (Table 2, Item 6c). The policy alterations seem to provide somewhat different approaches to untenured faculty members already on board at the time of change and those to be appointed thereafter. It may be inferred from the continued rise in percentages of tenured faculty (Table 2, Item 4), from the absence of steep declines in the award of tenure (Table 2, Item 2f), and from a relatively high rate of contract renewal in 1973-74 (Table 2, Item 2e), that institutions have "grandfathered" their probationary faculty even at the risk of higher percentages of tenured staff. But for new appointees the probationary periods have been increased (Table 2, Items 2b and 6a) and a small but perhaps significant number of institutions have adopted limitations on the allowable percentage of tenured faculty (Table 2, Item 5a). Offsetting the possibly

 $^{^{2}}$ Taken from an October 1974 bulletin of the Academic Collective Bargaining Information Service.



adverse effects of these measures on individual faculty members, institutions are today more likely to consider instructors and assistant professors eligible for tenure without promotion to a higher rank (Table 2, Item 3b), and (particularly in public four-year colleges) the lengthening of the probationary period may be accompanied by an increase in the number of years of prior service creditable toward that period (Table 2, Items 2b and 2c).

The faculty member denied tenure or contract renewal is more likely today than in 1972 to have a formal appeals procedure to use (Table 4, Item 9a), although somewhat less likely to be able to base an appeal upon a statement of reasons given automatically at the time of notification (Table 4, Item 8). Although the number of times such appeals procedures have been used seems to have increased in most institutional categories (Table 4, Item 9b), the increase is modest and does not necessarily reflect an increase in the length or cost of litigation; in fact, it may suggest a desirable reduction in both of these as good procedures replace inadequate ones.

Comparable trends can be noted among the small number of institutions with contract systems. The exception is a turn toward longer initial or succeeding contracts in some institutions (Table 3, Item 7a), providing somewhat more job security than in the past.

Looking to the future, it seems likely that in tenure institutions the modification of the tenure system will most seriously affect newer faculty members seeking permanency of employment. Those institutions with a high percentage of tenured faculty will -- until that percentage drops with time and attrition -- have few vacancies for initial appointments, although the chance of tenure for a new appointee may be reasonably good. Institutions with moderate percentages, but with policies controlling substantial increases, will offer more positions to new faculty, but greater competition for permanency. These contrasting



situations suggest that in a future survey the first type of institution may report close to 100 percent of those eligible being granted tenure and the second type fewer than 50 percent.

The data suggest that the well-publicized experience of a few institutions in 1973-74 taking emergency measures to reduce faculty positions for financial exigency has not yet been characteristic of the large majority; many institutions have apparently been able to anticipate difficulties and take more moderate steps to meet them. Whether the steps will prove to be effective in every case remains to be seen, but there is considerable evidence that recent revisions of tenure and contract systems have resulted in many policy and procedural clarifications beneficial to both faculty members and institutions.



TABLES

1972 and 1974 Surveys on Faculty Tenure and Contract Systems

TABLE 1
RESPONSES OF ALL INSTITUTIONS: ITEM 1
(STATED IN PERCENTAGES)

	UNIVE	Augu UNIVERSITIES	18t 19.	August 1974 Survey TIES COLLEGES	rey	į			UNIVER	Aprí Universities	April 1972 Survey TES COLLEGE	2 Survey COLLEGES	SES SES		
ITEM	public	Private	Public 4-Year	k-Year Private	Public Zear	Private 2-Year	ALL INSTITU- TIONS	ITBM	Public	Private	Public 4-Year	6-Yere Private	Public Public	S-Yeat Private	ALL INSTITU- TIONS
ITEM 1: A full-time faculty member may be granted tenure at this	faculty	петрет	may b	e grani	ted ter	wre at	chis								
institution Yes	100.0	100.0 100.0 100.0 93.2 66.9 65.7	100.0	93.2	6.99	65.7	84.5	Yes	100.0	100.0 100.0 100.0 94.1 68.3 68.2	100.0	%	68.3	68.2	86.9
										•					

TABLE 2

RESPONSES OF INSTITUTIONS WITH TENURE SYSTEMS: ITEMS 2-6 (STATED IN PERCENTAGES)

April 1972 Survey ERSITIES COLLEGES	Publications Private Private Publications Publicatio	No. 107.	1		0.0 0.0 2.0 0.5 4.4 0.0 1.6	0.0 0.0 0.0 0.5 4.0 0.0	7.8 6.5 3.9 6.2 39.4	7.8 0.0 12.1 4.5 17.5 10.8	28.9 9.7 24.4 18.1 15.1 29.4	11.7 16.1 28.7 20.7 9.0 14.4	36.7 64.5 27.5 47.5 17.5 32.3	7.0 3.2 1.4 1.5 2.0 0.0	0.0 0.0 0.0 6.4 0.0 0				fcy No available data	32.8 37.9 69.4 40.8 69.7 73.7 54.7	0.0 0.0 3.7 0.3 2.4 2.4	s 5.6 0.0 7.0 6.5 6.4 0.0	8.6 8.8.3 9.8	13.6 3.4 2.2 11.1 4.4 10.8	re upare 7.9 10.3 7.8 5.8 0.0 3.6	
	TEM				1 year	2 years	3 years	4 years	5 years	6 years	7 years	8 years	9 years				No policy	None	1 year		3 years		S or m	
ALL	TIONS	- 9 8	1.66		1.1	3.9	8.8	6.2	15.9	18.6	41.3	۳, ۳	1.0		part of		5.7	41.4	1.2	3.8	29.6	10.3	8.0))
1 93	Priva 2-Yea	for tenure		tenure	0.0	0.0	8. 6	6.3	37.6	18.8	27.5	0.0	0.0		accepted as		0.6	66.2	0.0	0.0	12.4	2.6	8.6) •
. 931 -	Publ 1	ry period for te		for ter	4.5	16.3	25.0	16.1	12.8	4.5	18.1	0.8	0.0		ce acce		6.0	76.3	0.0	0.0	16.9	4.0	2.0) }
August 1974 Survey TIES COLLEGES	Pr1748	ABEY PO			0.0	0.0	Э.Э	2.0	14.2	23.1	51.6	4.2	1.7		service		7.3	22.9	2.1	5.9	37.2	15.6	0.6)
Sust 19	Publi	obation 99 A		probationary period			3.9	•	_		43.9	5.9	0.0		f prior			4	0.7		28.0		13.9	
Augu UNIVERSITIES	Priva	on has a pro		robati			0			71		1.8	7.2		ears o	riod	9.8	34.0	0.0		4		3.7	
UNIVI	FIQNA	institution has a probationary period		length of p	0.0	0.0	1.3	6. 7	9.7	27.0	24.0	2.2	more years 0.0		mber of y	probationary period	12.1	34.4	1.2	5.0	34.6	10.1	years 2.7	
	HEM	I TEM		ITEM 2b: Maximum le		~ (4		6 years		8 years	9 or more	ITEM 2c:	Maximum number of years of	the probat	No policy	None		2 years	3 years	4 years	5 or more	

TABLE 2 (Continued)

	UNIVER	August UNIVERSITIES	St	1974 Survey	ey GES				Apr	Apri	April 1972 Survey	Survey	<u>ئ</u>		
ITEM	Public	93 BV 1T4	Public 7.2017	Private Private	Public Test-S	Private 2-Year	ALL INSTITU- TIONS	ITEM	Public	PTLVACE	Public 4-Year	Private Private	S-Year Public	Private Private	ALL INSTITU- I IOMS
ITEM 2d:															
Typical length of contracts awarded	n of co	ntract	S SWRY		facult	v membe	a faculty member during								
the probationary period	ary per	iod							•						
1 year	69.5	63.2	78.8	83.2	100.0 100.0	100.0	86.3	First Contract	BCT Kf. A	7	93	6	0 001	9	6
2 years	12.3	11.2	15.1	10.2	0.0	0.0	8.1		18.0) L		2 0		7. v
3 or more											;	;			•
years	18.2	25.6	6.1	9.9	0.0	0.0	5.5	Vesta	35.6	32,3	1.4	6	2,5	0.0	ď
Succeeding con	contracts							œ	contracts				•		;
None	0.0	0.0	0.0	0.0	4.7	0.0	1.1)	No ava	No available	data		
J year	66.5	64.1	77.3	71.0		100.0	77.8	l year	0.49	61.3	6.76	84.0		91.6	
	14.9	9.7	18.4	17.0		0.0	11.9		18.0	3.2	0.0	9.2	2,0		
3 years	18.5	21.8	2.8	11.5	6.5	0.0	8.7		12.5	35.5	2.5	2.7	0	φ φ	י י
4 or more													;		;
years	0.0	4.3	1.4	7.0	0.0	0.0	0.5	years	5.5	0.0	0.0	1.2	2.0	0.0	1.3
ITEM 2e:															
>(what p	ercent	of re	newabl	e conti	racts (c	other than								
25)	expiring during the	R duri	ng the		atc ye	ar 1973.	scademic year 1973-74 were								
reneved:															
0	1:1	0.0	0.0	1.1	2.3	3.3	1.3				Not 1	Not in 1972 survey	Burve	>	
1-20	3.5	0.0	2.4	4.2	0.0	0.0	2.5								
21-40	0.0	11.0	9.0	Q.8	0.0	0.0	9.0								
41-60	5.6	1.8	2.9	5.7	0.0	0.0	3.4								
61-80	15.1	18.5	10.3	12.6	6.0	14.4	11.0								
81-90	19.8	24.7	15.0	26.7	7.7	19.8	19.4								
91-99	22.2	26.0	30.2	16.5	29.4	18.3	22.5								
100	3.4	4.0	17.3	21.6	5.09	35, 5	25.0								
None renewable		0.0	0.0	0,0	0.0	0.0	0								
No response	4	14.1	21.3	10.7	14.2	8.7	14.0								
							1								

TABLE 2 (Continued)

	ALL INSTIT 1-		enure		8.7	2.9	1.9	6.9	16.4	13.5	42.1		7.5			81.4	18.6			100.0	100.0	85.2	33,3		×.
	T SEL		for tenure		-	. •	. •	-	Ä	-	4					α	Ä			Ŏ	Ö	æ	řή	`	-
	Private 2-Year				16.8	3.0	2.4	2.4	10.8	2.4	62.3	-	0.0			50.9	49.1			100.0	100.0	18.8	52.9		0.0
~ S3	Public 2-Year		Consta		5.2	0.0	0.0	8.9	13.5	18.8	\$.2	e data	4.4			47.8	52.2			100.0	100.001		50.0		77.3
Survey COLLEGES	Private A-Yest		noers		12.5	3.8	2.8	9.2	18.3	5.0	41.0	availabl	7.5			95.4	4.6			160.001	100.001				7.0
Apríl 1972 Survey IES COLLEGE	Public Public	<u>.</u>			9.3	3.7	2.0	3.6		25.8	32.3	No av	5.3			100.0	0.0			100.001	100.001				77.7
April	1		What percent of those tacuity members considered in the spring of 1971 actually received tenure?		0.0	6.4	6.4	6.5	22.6	25.8	9.7		22.6				0.0			100.01	100.01				0.0
Apr Universities	Public		those for 1971		0.0			5.5	15.7 2	29.7 2			29.7 2			100.0 10	0.0						43.0 1		20
INI			ine or					•		ři	7	dered				ğ				Ö					
			perce				0	5	0	o		None considered	No response			g	nked			Professor	Assoc. Prof.	. Prof.			L.
	METI	*	in E		0	1-26	21-40	41-60	61-80	81-99	100	None	No r			Ranked	Unranked			Prof	AS 50	Asst.	Inst	1	Ucher
	ALL FASTITU- TIONS		rorms: 1y		3.7	4.1	4.5	10.4	15.2	12.5	37.0	5.3	7.4			83.5	16.5			100.0	100.0	81.0	33.0	7 7	o t
	5-Yost Privato		c vear 1973-74 ac		5.6	17.5	0.0	3.3	0.0	0.0	31.4	11.1	31.1			33.2	65.8			100.0	100.0	73.7	40.3		o.
y ES	Public 2-Year	-	ear 1		4.3	1.7	0.0	0.0	8.3	13.2	9 9	0.0	9.9			51.7	48.3			100.0	100.0		63.0	, ,	7. / 1
Survey	Private 1497-4	•	Se rac		9.4	2.8	6.7	16.3	17.3	6.2	35.5	7.7	3.0				1.5				100.001				† •
August 1974 Survey TIES COLLEGE	t-Year Public	•	or tho		0.1		5.4		18.9	27.8		6.2	9.5		nranke		0.0		held?	0.00	100.001				٠. م
Augus			to the		0.0	5.7	12.8		30.8	22.9		0.0	3.5		or u		0.0		ire be	100.0 100.0 100.0					
Augu · Universities	Public	1	coure		0.0			12.3 2		27.8 2	3.6	0.0	17.3		ranked	100.0 100.0	0.0		w tea	100.001	100.01				10.7
25 ·		ITEM 25:	Approximately what percent of those tacuity members forma considered for tenure in the academic year 1973-74 actual	received tenure?		2	21-40	41-60	61-80	81-99	•	None considered	No response 1	ITEM 3a:	r facult	Ranked 10	Unranked	ITEM 3b:	In what ranks may tenure be held?	Professor 10	Assoc. Prof. 10	Asst. Prof. 8		1	
	ra l	H.	<u>a</u> 5	le l	0	1-20	21.	41.		15 2	100	Nor	S	17.1	SI	Rot	Uni	11	۳,	Pro	ASS	AS	In		orner



TABLE 2 (Continued)

	UNIVE	Augu Universities	ıst 19	August 1974 Survey TIES COLLECES	vey				Apt	April		1972 Survey			
ITEM	Public	Private	y-Year	Private 4-Year	Public TesY-2	S- Kede Private	ALL INSTITU- TIONS	ITEM	public	Private	-Year	-Year Private	Jest Jublic	- Keet	ALL INSTITU- TIONS
ITEM 4:															
Percentage of		ime fa	culty	full-time faculty with tenure	enure										
•	,							•	2.3	C	c	۳ د	ç	9	*
1-20	0.0	0.0	2.0	6.9	5.7	7.6	5.0	1-20			, w) c	2.4.	. c	5 -7
21-30	0.0	0.0	7.1	5.2	1.7	17.5	6.5	21_30) r) (?!	1.51	٠ • •	7.8
31-40	3.5	0.0	8.1	12.6	10.9	8.7	10,5	31-60		7 6	2.5.5		L/.9	19.2	12.1
41-50	12.0	16.7	14.6	19.7	14.0	~	16.6	07-17 71-60	7.6		7.71	0.0	Ø.	29.9	13.7
- 51-60	39.4	53.3	22.7	28.7	9	7	2 T	05-14	26.9	32.3	23.0	28.1	2.0	13.8	19.9
. 61-70	30.2	24.2	74.7	13.1	0	2	2 4 4	20-10	25.U	7.87	10.1	17.2	21.5	., 8	18.4
71-80	7.6	5.7	13 7	2 5	י מנ	7.0	7.5.5	0/-10	15.6	19.4	23.3	12.6	9. 9	2.4	12.4
81-90	, ,		, ,	? -	70.0	7.0		08-1/	5.5	Ö.	1.9	4.3	13.1	13.2	6.7
	7.0) (7.0) ·	6.12	2.3	6.2	81-90	0.0	0.0	1.9	3.0	11.1	2.4	5 7
	o (0	0.0	0.0	11.9	8.7		001-16	0,0	3.2		6) -
o no response	H.3	0.0	8.4	2.5	G.0	26.4	3.9	No response	4.7	3.2	0.0	3.0	0.0	0	1.6
ITEM Sa:))
This institution has formally approach	And not	form.];;		•	1		-							
limit for the percentage of	Dercen	Case o	f required	red for	1 E 508 1	ä	a numerical	Does your institution limit the	stituti	n Itmi	t the	percent of		tenured	faculty?
Yes	7.1	10.1	1	12.2	2 4.6	15.7	9.3	Yes	5.5	6.5	7.5	8	6	2 6	6
ITEM So:) 	•		2	;
he limit is	expressed	ed as													
A fixed															
	28.6	42.9	66.7	51.7	50.0	84.6	55.9				Not in	in 1972 enrueu			
A range of															
percentages Numerically	14.3	42.9	0.0	35.0	0.0	15.4	24.4								
unspecified	i 28.6	14.3	22.2	12.6	20,0	0	17.2								
No response	28.6	0.0	11.1	0.7	0.0	0.0	2.5								

TABLE 2 (Continued)

	ENTAR.	Augu	st 19.	August 1974 Survey	rey SCES				UNIVE	Apr UNIVERSITIES	April 1972 Survey IES COLLEGE	2 Survey COLLEGES	200		1
ITEM	Publice.	Private	Public 4-Year	Private 4-Year	Public 2-Year	Private 2-Yeat	ALL INSTITU- TIONS	ITEM	Public	Private	Public 4-Year	Private rasy-A	Public 2-Year	Private 2-Year	ALL INSTITU- TIONS
ITEM 6a: Between Spring 1972 and Spring 1974, the probationary period	1972	dS pue	ring 1	974. E	he pro	battonar	r period								
for tenure has Shortened Lengthened Not changed	1.2 14.5 84.4	1.8 15.0 83.3	2.9 15.2 81.9	2.1 14.6 83.3	11.5 7.2 81.2	0.0 18.4 81.6	4.3 13.2 82.5				Not	la 1972	Not in 1972 survey	_	
ITEM 6b: In the same period, have the tenure review procedures been eltered? 26.8 34.8 38.1 36.8 22.3 39.2 33.8 38.1	36.8	34.8	he ten 38.1	36.8	22.3	rocedure 39.2	s been 33.8				Not	la 1972	Not in 1972 survey	5.	
ITEM 6c: Is the tenure system currently under review for change on your campus? Yes 47.0 28.7 36.8 39.5 17.0 36.2 33	system 47.0	ystem currel	nely 1	39.5	16.8 39.5 17.0	for chan 36.2	33.6	¥e.	4.84	6.19	36.6	3 .1	28.6	40.1	43.2

TABLE 3

ERIC Full Text Provided by ERIC

RESPONSES OF INSTITUTIONS WITH CONTRACT SYSTEMS: ITEM ? (STATED IN PERCENTAGES)

TITEM 74: TITEM 74: TITEM 75: TITE		August	1974 Survey				April	1 1972 Survey		
Pieze contract Secondario Para Pieze Pieze contract Pieze Pieze	ITEN	789Y-2	189Y-S	2-Year	TOTAL	TYEN	269Y-4	2-Year	2-Year	TOTAL
Second contract Second con	ITEM 7a:						Í		4	
Part	What has been the	ie typical l	ength of con	tracts?						
Year 94.1 100.0 92.9 1 year 100.0	First contract	•				First contract				
Succeeding contracts Succeeding contracts	l year	1.48	90.1	100.0	92.9	l year	100.0	100.0	100.0	100.0
Succeeding contracts Countracts Countr				0 0	0,					•
year 62.2 73.7 62.1 69.1 jurgans charteening contracts charteening contracts charteening contracts charteening contracts 2 years 71.2 100.0 100.0 95.7 years 0.0 11.0 37.9 16.2 3 years 20.3 0.0		cts	;	2	7.,	Cooperator				
Years 0.0 11.0 0.0 6.6, 2 years 7.1, 100.0 100.0 95.7 Years 20.4 4.3 0.0 37.9 16.2 Years 20.4 4.3 0.0 3.0 Years 20.4 4.3 0.0 0.0 Years 20.4 4.3 0.0 0.0 Years 20.4 4.3 0.0 0.0 Years 20.4 4.3 0.0 Years 20.4 4.3 0.0 Years 20.3 0.0 Years 20.3		62.2	73.7	62.1	69 1	succeeding col	73.2	•		(
years 6.0 11.0 37.9 16.7 3 years 20.3 0.0 0.0 1.3 years 2.4 4.3 0.0 3.0 3.0 0.0 <		0.0	11.0	0.0	9		7.77	0.00	0.001	95.7
years 2.4 4.3 0.0 3.0 or more years 29.4 0.0 0.0 5.1 Mry 1b: Experientage of those faculty whose contracts expired during to execute tenewed? 1973-74 academic year were renewed? 0 0.0 0.0 0.0 0.0 0.0 0.0 0.0 0.0 0.0		6. 0	11.0	37.9	16.2		. e.			1.3
March Percentage of those faculty whose contracts expired during What percentage of those faculty whose contracts expired during 1973-74 academic year were renewed? 1971 were renewed? 1971 were renewed? 1971 were renewed? 1971 were renewed? 1972		2.4	4.3	0.0	3.0		۲۰۰۶))	3.1
## 7b: ### percentage of those faculty whose contracts expired during What percentage of those faculty whose contracts expired 1973-74 academic year were renewed?	or more	7	0.0	0.0	5.1					
1973-74 academic year were renewed? 1973-74 academic year were system? 1974-74 academic year were system? 1974-74 academic year were system? 1974-74 academic year were system? 1975-74 academic year whose contracts expired 1973-74 academic year were system? 1974-74 academic year were system? 1974-74 academic year were system? 1975-74 academic year were system. 1975-74 academic year	ITEM 76:									
1973-74 academic year were renewed? 1971 were renewed? 0-20 0-20 0-20 0-20 0-20 0-20 0-20 0-	What percentage	of those fa	culty whose	contracts es	rpired during	_		nalty whose o		
0 0.0 0.0 0.0 0.0 0.0 0.0 0.0 0.0 0.0 0	the 1973-74 acad	enic year w	ere renewed?							
0.0 0.0						0-20		0.0	0.0	0.0
0 0.0 0.0 0.0 0.0 17.9 90 17.5 0.0 20.1 6.7 81-90 8.5 9.4 6.4 99 32.0 45.4 46.8 43.1 91-99 25.4 83.5 34.6 50.1 54.6 33.1 50.2 100 66.1 7.1 35.9 W 7c: your institution planning to establish a tenure system? 9.6 1.6 0.0 2.5 Xes 14.6 0.0 1						21-40	0.0	0.0	5.1	1.0
90 17.5 0.0 0.0 0.0 0.0 0.0 0.0 0.0 0.0 0.0 0	0-80	c	c	ć	•	09-15	0.0	0.0	17.9	3.6
99 32.0 45.4 56.8 43.1 91-99 25.4 63.5 34.6 50.1 50.2 100 66.1 7.1 35.9	\$1-90	17.5		, ç) v	08-10	0.0	٠ ٠	0.0	0.0
50.1 54.6 33.1 50.2 100 66.1 7.1 35.9 17.1 35.9 17.1 35.9 17.1 35.9 17.1 35.9 17.1 35.9 17.1 35.9 17.1 35.9 17.1 35.9 17.1 35.9 17.1 35.9 17.1 35.9 17.1 35.9 17.1 35.9 17.1 35.9 17.1 35.9 17.1 35.9 17.1 35.9	91-99	32.0	\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \	7.07 7.77		06-10	٠٠ ٢٠	7.6	6.4	8.7
Your institution planning to establish a tenure system? 9.6 1.6 0.0 2.5 Yes 28.8 14.6 0.0	, oor	25.0	**C*	0.0	43.1	66-16	25.4	83.5	34.6	65.0
your institution planning to establish a tenure system? 9.6 1.6 0.0 2.5 Yes 28.8 14.6 0.0		70.7	o. +	33.1	50.2	100	66.1	7.1	35.9	21.7
vour institution planning to establish a tenure system? 9.6 1.6 0.0 2.5 Yes 28.8 14.6 0.0	ITEM 7c:									
9.6 1.6 0.0 2.5 Yes 23.8 14.6 0.0		ion planning	g to establis	a tenure	svstem?					
		9.6	1.6	0.0	2.5	Yes	23.8	14.6	0.0	13.8
								! !	}	

0.

25

TABLE 4

RESPONSES OF ALL INSTITUTIONS, BY TYPE OF SYSTEM: ITEMS 8-9 (STATED IN PERCENTAGES)

	ALL INSTITU- TIONS	ulty	onery re?		14.7	58.0 67.3	?	16.4	40.5	43.1	4	23.5	71.1				86.3	1 28	•	18.7
	Private 2-Year	Does your institution give written reasons to the faculty	(probationary		0.0	28.8 61.2	7.1	0.0		46.7	•	20.0	29.5				77.6	05.7	•	60.3
S	Public 2-Year	ions to	for denia			18.0		17.5		63.0 4	•	16.6					93.1	93 2		7.1 (
Survey COLLEGES	Private 4-Year	in read	of cont			40.4 20.4		14.9		35.2 (9	0.0					81.1	, c	•	13.6
April 1972 Survey IES COLLEGE	k-Year	write	ents) c			26.95 28.0	2	26.9		38.2	systems	V Z					91.4	systems of A	SYSE	I.A.
April	'	n give	polate			701				19.4	ntract.								ntract	N.A.
Apr Universities	भगगर	frutto	era sp	ns		36.8 36.0		} _		32.9	8	V Z Z				30	2	with tenure	ith co	N.A.
5		ir fast	mber concerned for nonrenewal of contracts (recurring term appointments) or for denial	tucio			3	1								:tructo			w suot:	
	## H	Does you	member concerned for nonrenewal or recurring term appointments)	All institutions	Never	Squecimes	Institutions	Never	Sometimes	Alvays	Institutions	Somerames	Always			All institutions	Yes	Institutions	Institutions with contract	Yes
	ALL INSTITU- TIONS	6	reneved?		16.8	28.8	7.	19.4	44.0	36.6		3.0	85.5	faculty	ded tenure		88.7	7 88		88.3
	Private 2-Year	asons :	was not		19.7	7 -	4		_	_		.		~	den		٥.	y y 0	;	80
~ 83	1 1	Ž,		1	= ;	22.5	Ċ	24.2	8.7	11.1	:	37.0	50.7	#ict	283		78.5	đ	•	3
ត ច	Public Public	ten	Whose Bent)			16.3 55.		18.9 24.2	20.9 64.7	60.2 11.		6.8 37		inder which	or who was		96.0 78	6		
4 Survey	S-Year Public K-Year Private	l, written	nure or whose		12.6		1.1/				•		93.2	dures under which	neved or who was denied					93.5 100.0 44.8
st 1974	Public Private Private A-Year	formal, written	term appointment)		16.8 12.6	16.3	*0.2 /1.1	17.7 18.9	46.2 20.9	60.2	systems) v	95.1 93.2	procedures under which	not renewed or who was		96.0	0 70 1 08	systems	N.A. 93.5 100.0
st 1974	Public Private Private A-Year	n give formal, written	as denied tenure or whose contract urring term appointment) was not r		21.8 16.8 12.6	63.0 16.3	svstems	3 21.8 17.7 18.9	46.2 20.9	36.2 60.2	systems	6.4	N.A. 95.1 93.2	n have procedures under which	t was not renewed or who was		93.6 82.7 96.0	systems	systems	N.A. 93.5 100.0
August 1974 Survey	Public Private Private A-Year	ITEM 8: Does your institution give formal, written reasons	faculty member who was denied tenure or whose contract (probationary or recurring term appointment) was not renewed?	All institutions	24.3 21.8 16.8 12.6	10 6 18 9 60 2 71 1	IS.9 40.2 /I.1 systems	3 21.8 17.7 18.9	56.3 59.2 46.2 20.9	18.9 36.2 60.2	ontract systems	N.A. 4.9	N.A. N.A. 95.1 93.2	Does your institution have procedures under which a faculty	contract was not renewed or who was	All institutions	93.6 82.7 96.0	0 70 1 08	t systems	N.A. 93.5 100.0

TABLE 4 (Continued)

	UNIVE	Augu UNIVERSITIES	ust 19 S	August 1974 Survey TIES COLLEGES	vey				UNIVE	Apr	11	1972 Survey	ka Satu		
ITEM	Public	Private	Public Public	Private Private	Public TasY-S	Private 2-Year	ALL INSTITU- TIONS	ITEM	Public	Private	Public 4-Year	rivate Private	Sublic Tear	Ttvate	ALL INSTITU- TIONS
ITEM 9b:															
Approximately how often have any of	how of	ten ha	ve any	of th	ese pr	ocedures	these procedures been used	How often have				•	! ! !		
since September 1972?	er 1972	2.						September 19	1969?		riese procedures been used	ceanre	s Deen		since
All institutions	Suc														
None	11.6	15.1	26.6	9.67	66.1	6.69	50.7	None None	26.1	73 1	22 2	F 62	2		6
-	3.5	6.0	12.8	16.0	16.2	8.5	14.3		180	23.1	200	7.70	0.00	1.79	93.9
2-3	19.8		14.8	26.2	11.1	2.8	17.4	2-3	26.2	100	20.0	17 6	,	7.0	17.0
. 4-10	45.3	43.2	26.4	6.8	5.4	2.0	11.3	4-10	100		16.5	\$ · \	13.0	7.5	8./1
11+	14.2	4.5	8.0	0.1	1.2	0.0	2.3	11+	2.0	7	7.01	4	.	7.4	9.0
No response	5.7	6.5	11.5	1.3	0.0	16.8	6	No months		11.0	0.01	9 6	T. 0	o (2.4
Institutions w	with te	tenure a	SVSTems)	•	•	•	acundent on) · ;	0.0	0.0	.	0.0	0.0	0.2
		-	26.6	Q C	9	0 61	6	Institutions	Sich	tenure	systems	(A			
		4 6	200	2 :	2.5	7.6	200	None	26.1	23.1	33.3	52.7	56.4	81.1	50.4
2-3	200	, , , , , , , , , , , , , , , , , , ,	17. 0	7 70	0.71	n (13.4	, ,	18.0	23.1	20.0	23.1	6. 8	2.0	16.4
01-7	7.50	0.47	0.41	707	0.0)) (19.1	2-3	26.1	19.2	20.3	19.5	25.3	2.0	19.9
11+) · ·	7.04	† ° °	1.,	0.	2.5	12.5	4-10	27.0	19.1	16.3	2.0	7.6	æ æ	10.1
No socio	7.51	4.		7.0	æ (o :	2.7	11+		11.5	10.0	0.7	2.2	0.0	2.8
2		0.0	11.5	1.3	0	21.0	9.4	No response	ie 2.7	3.8	0.0	0.0	0.0	0.0	6
acrons	with co	contract	systems	ans				Institutions	with	contract		, SE	•	•)
None	N.A.	N.A.	N.A.	29.8	68.6	57.3	61.6	None	N N	4	2	23 0	2 27	27 1	7 26
H	N.A.	N.A.	N.A.	45.0	13.3	28.5		grad.	2	2			70.0	100	
2-3	M.A.	N.A.	N.A.	22.5	12.0	14.2	13.8	2-3	V	· ·			7.7.	12.9	20.¢
9-7	N.A.	N.A.	N.A.	2.7	6.1	0.0)	5		N . Fa	•	0.0	o.	4.1
							•								



APPENDIXES



APPENDIX A

Sampling and Weighting Procedures

The sampling and reporting unit for this survey was the institution. The relevant population consisted of the 3,022 colleges and universities listed in Education Directory 1973-74. The population was stratified into 37 cells as indicated in Table Al. Response frequencies from each institution were weighted by the appropriate cell weight: the ratio of the number of institutions in the population to the number of responding institutions in the sample for the given cell.

These stratification cells are grouped into seven reporting categories:

public universities, private universities, public four-year colleges, private
four-year colleges, public two-year colleges, private two-year colleges, and all
institutions. The finer stratification within these reporting categories permits more exact control for size, selectivity and, in the case of the four-year
private colleges, for control (nonsectarian, Catholic, other sectarian). Table
A2 shows the distribution of respondents and of the population among the seven
reporting categories.

These sampling, weighting, and reporting procedures parallel those used in the 1972 HEP survey on tenure and contract practices. Consequently, data from the two surveys provide a basis for comparing national trends over the past two years with regard to tenure and contract systems.

For more detail, see W. T. Furniss, "Faculty Tenure and Contract Systems: Current Practice," ACE Special Report, July 27, 1972. Washington, D.C.: American Council on Education.



lEducation Directory 1973-74: Higher Education, U.S. Department of Health, Education, and Welfare. Washington, D.C.: Government Printing Office, 1974.

TABLE A1

HIGHER EDUCATION PANEL

Distribution of Population and Panel Members by Stratification Categories

Cell Number	Stratification Design for Sampling	Pane1 (N=644)	Population (N=3022)
	PUBLIC UNIVERSITIES Selectivity:		
1 2 3	Less than 550 550-599 600 or more	51 27 11	56 29 11
	PRIVATE UNIVERSITIES Selectivity:		
4 5 6	Less than 550 550-599 600 or more	12 13 32	16 14 37
	FOUR-YEAR PUBLIC COLLEGES Selectivity:		
7 8 9 10	Less than 450 450-499 500 or more Unknown	21 12 28 33	96 66 73 174
·	FOUR-YEAR PRIVATE NON- SECTARIAN COLLEGES Selectivity:		
11, 15 12 13 14	Less than 500; Unknown 500-574 575 or more 650 or more	34 9 30 33	372 36 48 46
	FOUR-YEAR CATHOLIC COLLEGES Selectivity:		
16 17 18 19	Less than 500 500-574 575 or more Unknown	20 21 14 .8	58 69 36 94
	FOUR-YEAR OTHER SECTARIAN COLLEGES Selectivity:		
20 21 22 23 24	Less than 450 450-499 500-574 575 or more Unknown	11 14 29 37	56 54 73 54 200
	TWO-YEAR PUBLIC COLLEGES Selectivity:		
25, 26, 27 28 29	Less than 500 500-999 1000 or more	19 18 22	4 36 234 213



- 27 -

Distribution of Population and Panel Members by Stratification Categories (Con't)

Cell Number	Stratification Design for Sampling	Pane1 (N=644)	Population (N=3022)
	TWO-YEAR PRIVATE COLLEGES Enrollment:		
30,31	Less than 250	16	177
32 33	250-499 500 or more	13 6	43 28
	PREDOMINANTLY BLACK COLLEGES		
34	Public Four-Year	9	37
35	Private Four-Year	15	52
36	Two-Year	7	22
37	INDEPENDENT MEDICAL SCHOOLS	8	12



TABLE A2

Unweighted and Weighted Number of Institutions in Each Reporting Category

REPORTING CATEGORY	unweighted Number (Respondents)	WEIGHTED NUMBER (POPULATION ESTIMATES)
Public Universities	86	97
Private Universities	53	67
Public Four-year Colleges	96	451
Private Four-year Colleges	253	1255
Public Two-year Colleges	41	894
Private Two-year Colleges	20	258
All institutions	586	3022



· · · 32

APPENDIX B: 1974 Survey Questionnaire

AMERICAN COUNCIL ON EDUCATION

Higher Education Panel Survey Number 22

Faculty Tenure and Contract Systems

in many of the questions below the phrase "full-time faculty" is used. This should be interpreted as referring to current full-time faculty members and other full-time staff members who hold faculty appointments (e.g., administrators). Specifically excluded from this definition are graduate students who act as teaching assistants or teaching fellows.

1.	A full-time facul	ty member may be gra	anted tenure at this institution:	
		V	" skip to question #7)	
2a.	This institution	has a probationary ;	period for tenure:	
	,	Yes (If "no,	"skip to question #7)	
ь.			for tenure:years	
c.	arv neriod:		No prior service accepted	
đ.	period:	contracts awarded a First contract: Succeeding contracts	faculty member during the probationary years years	
€.	Approximately what tenure in the acad	t percent of those f demic year 1973-74 a	faculty members formally considered for cutually received tenure?percent	
f.	Approximately what tion 2e) expiring	t percent of renewab during the academic	le contracts (other than those in ques- year 1973-74 were renewed?percent	Ŀ.
3.	In what ranks may	tenure be held? (C	heck as many as apply)	
			InstructorOther(Please Specify)	
4.		<pre>faculty is unranked -time faculty with</pre>	tenure:percent.	
		as formally announc	ed a goal or a numerical limit for the	
		/es lo		
ь.	If "yes," the limi	t is expressed as:	•	
	A	fixed percentage: range of percentage umerically unspecif		



6a.	Between Spring 1972 and Spring 1974, the probationary period for tenure has been: shortened, lengthened, not changed
b.	in the same period, have the tenure review procedures been altered?
	Yes No
c.	is the tenure system currently under review for change on your campus?
	Yes No
7.	FOR THOSE INSTITUTIONS WITH ONLY TERM APPOINTMENT (CONTRACT) SYSTEMS (Other institutions skip to question #8)
	a. What has been the typical length of contracts?
	First contract:years Succeeding contracts:years
	b. What percentage of those faculty whose contracts expired during the 1973-74 academic year were renewed?percent
	c. Is your institution planning to establish a tenure system?
	Yes (Continue to #8)
8.	Does your institution give formal, written reasons to a faculty member who was denied tenure or whose contract (probationary or recurring term appointment) was not renewed?
	Always Sometimes Never
9a.	Does your institution have procedures under which a faculty member whose contract was not renewed or who was denied tenure may appeal?
	Yes No
L.	
.	If yes, approximately how often have any of these procedures been used since September 1972?Times
10a.	Did your institution operate under a faculty collective bargaining contract during the 1973-74 academic year?
	Yes No
b.	If wes, with what organization was the bargaining agent offillered?
- •	AAUP NEA AFT Other
	AAUP NEA AFT Other (Please Specify)
	or your cooperation. rn this form by August 14, 1974. PERSON COMPLETING FORM
	R EDUCATION PANEL
AMERI	CAN COUNCIL ON EDUCATION PHONE
	UPONT CIRCLE



Other Reports of the Higher Education Panel American Council on Education

- Blandford, B. and Dutton, D. Survey of First-Year Graduate and Postdoctoral Enrollment in Science and Engineering. Higher Education Panel Report, No. 1, August, 1971.
- Blandford, B. and Dutton, D. Research Support for Science Faculty. Higher Education Panel Report, No. 2, November, 1971.
- Astin, A., Blandford, B., and Mahn, T. Freshman Class Vacancies in Fall 1971 and Recent Trends in Enrollment of Minority Freshmen. Higher Education Panel Report, No. 3, February, 1972.
- Changes in Graduate Programs in Science and Engineering 1970-72 and 1972-1974. Science Resources Studies Highlights. Washington: National Science Foundation, July 1972.
- Blandford, B. and Sell, C. Enrollment of Junior-Year Students (1970 and 1971). Higher Education Panel Report, No. 5, April, 1972.
- Trexler, J. and Blandford, B. What College Presidents Are Reading. Higher Education Panel Report, No. 6, March, 1972.
- Trexler. J. and Kent, L. Commercial Theme-Writing Services. Higher Education Panel Report, No. 7, June, 1972.
- Furniss, W. T. Faculty Tenure and Contract Systems: Current Practice. ACE Special Report, July, 1972.
- Bayer, A. E. and Astin, A. W. War Protest on U.S. Campuses During April, 1972. Higher Education Panel Report, No. 9, May, 1972.
- Blandford, B. A. and Trexler, J. C. Expected First-Year Graduate Enrollment in Science and Engineering, Fall 1972. Higher Education Panel Report, No. 10, August, 1972.
- Blandford, B. A. Student Participation on Institutional Governing Boards. Higher Education Panel Report, No. 11, October, 1972.
- Dutton, J. E. and Blandford, B. A. Enrollment of Junior-Year Students (1971 and 1972). Higher Education Panel Report, No. 12, April, 1973.
- Dutton, J. E. Courses and Enrollment in Ethnic/Racial Studies. Higher Education Panel Report, No. 14, August, 1973.
- Dutton, J. E. and Jenkins, M. D. The Urban Involvement of Colleges and Universities. Higher Education Panel Report, No. 15, August, 1973.
- Dutton, J. E. and El-Khawas, E. H. Production of Doctorates in Selected Fields, 1972-1975. Higher Education Panel Report, No. 16, April, 1974.
- Dutton, J. E. First-Year Enrollment for Masters or Higher Degrees, Fall 1973, Higher Education Panel Peport, No. 17, April, 1974.
- El-Khawas, E. H. and Kinzer, J. L. The Impact of Office of Education Student Assistance Programs. Fall 1973. Higher Education Panel Report, No. 18, April, 1974.
- El-Khawas, E. H. and Kinzer, J. L. Enrollment of Minority Graduate Students at Ph.D. Granting Inside tutions. Higher Education Panel Report No. 19, August, 1974.
- El-Khawas, E. H. College and University Facilities: Expectations of Space and Maintenance Needs for Fall 1974. Higher Education Panel Report No. 20, September, 1974.
- Kinzer, J. L. and Fl-Khawas, E. H. Compensation Practices for Graduate Research Assistants: A Survey of Selected Doctoral Institutions. Higher Education Panel Report No. 21, October, 1974.

Single copies of the above reports may be obtained from the Higher Education Panel, American Council on Education,

BEST COPY ANTALABLE

