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Abstract

To determine factors influencing the permanency of a protrusticnal lisp and to incorporate them into predictive prefiles, approximately 8,000 kindergarten children were screened for articulation and those appearing to have a protrusional lisp were tested individually. The 1,043 subjects exhibiting such a lisp were examined semiannually for 4 years with a battery of speech and other tests; their school records were assessed; no speech therapy was given. The data for the 475 subjects who remained, based on recovery and nonrecovery by the end of grade 3, were formulated into seven profiles, one for each testing period. The pattern indicated that no single test or subtest proved to be predictive at all periods. Results demonstrated a consistent increase in the number of subjects recovering, with 56" recovering without therapy. Higher recovery rates were found among subjects with a protrusional lisp only or with less severe articulation problems initially and more rapid reduction of errors throughout. Also, subjects producing [t,d,n,l] with the tongue tip and not the blade had a better chance to recover without therapy. (Author/JD)



FINAL REPORT

Project No. 5-0319 Contract No. OE-5-10-180

A STUDY OF PROTRUSIONAL LISPS TO IDENTIFY CHILDREN REQUIRING SPEECH THERAPY

Kathleen Pendergast Stanley E. Dickey Anton L. Soder

June 1969

Washington State Department of Education Old Capitol Building P.O. Box 500 Olympia, Washington 98501



U.S. DEPARTMENT OF HEALTH, EDUCATION, AND WELFARE Office of Education, Bureau of Research

FIRAL KEPORT

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June, 1969

The research reported herein was performed pursuant to a contract with the Office of Education, U.S. Department of Health, Education, and Welfare. Contractors undertaking such projects under Government sponsorship are encouraged to express freely their professional judgment in the conduct of the project. Points of view or opinions stated do not, therefore, necessarily represent official Office of Education position or policy.

U.S. DEPARTMENT OF HEALTH, EDUCATION, AND WELFARE

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SUMMARY

Background

Previous research has found that more children misarticulate the [s] than any other sound. A large number of defective [s] sounds are protrusional lisps. It is known that some children correct a lisp without speech therapy while others do not. If predictive instruments were available to identify those kindergarten and first grade children who will spontaneously correct a protrusional lisp without therapy, time could be used more profitably with those who will retain the lisp.

Objectives

The objectives of this study were to examine various factors which may influence the permanency of a protrusional lisp and to incorporate the significant factors into predictive profiles for retention or recovery.

Procedures

Approximately 8000 kindergarten children were administered an articulation screening test by specially trained speech clinicians employed by the Seattle Public Schools. Those children who appeared to have a protrusional lisp received an individual articulation test. A protrusional lisp was defined as any tongue protrusion anterior to the upper central incisors. The 1043 subjects who exhibited a protrusional lisp on the articulation test were examined semi-annually for the four years of the study with a battery of tests which included articulation, nonsense syllable, sound discrimination, tongue mobility, dental classification, swallow pattern, peripheral speech mechanism and diadochokinesis. In addition, the subjects were examined for muscular control, auditory acuity, psycholinguistic ability, social maturity, and intelligence. Reading readiness scores, achievement scores, school grades, and general health information were obtained from school records. Subjects did not receive speech therapy while they were enrolled in the study.

Results and Recommendations

At the cnd of the four years of periodic tests, 475 subjects remained in the study. The data, based on recovery and non-recovery from a protrusional lisp by the end of third grade, were formulated into profiles of tests and subtests. The seven profiles represented testing at six month intervals from the beginning of kindergarten through the beginning of third grade. The pattern of tests and subtests in the profiles indicated that no single test or subtest, by itself, proved to be predictive at all testing periods.

The results also permit some observations. A consistent increase in the number of subjects who had recovered was maintained through all the testing periods. In addition, the data showed that 56% of the



subjects had recovered from a protrusional lisp without speech therapy.

More subjects who had only a protrusional lisp recovered by the end of third grade than subjects who had a protrusional lisp along with errors on other sounds articulated with the tongue and sounds articulated with the lips.

Subjects in the recovery group tended to have a slightly less severe articulation problem when they began the study, as measured by the number of errors, and reduced the errors more rapidly than subjects in the non-recovery group.

The data showed that subjects with a protrusional lisp articulated [t, d, n, l] differently than usually described in the literature. Subjects at the beginning of kindergarten had a better chance to recover from a protrusional lisp without speech therapy if they produced [t, d, n, l] with the tongue tip rather than the tongue blade.

The profiles were compiled from the results of a group of testing instruments and were cross-validated on a subsample of the subjects. For further research, the profiles might be tested on other populations of kindergarten through third grade children to determine predictability of recovery and non-recovery from a protrusional lisp.



INTRODUCTION

Background

In a study of over 15,000 first grade children, it was found that more children misarticulated the [s] than any other sound (Pendergast et al., 1966). A large number of the defective [s] sounds were protrusional lisps. It is known that some children correct a lisp without speech thorapy while others do not. But when children in kindergarten or first grade have a protrusional lisp, it is difficult to identify those who eventually will need speech therapy. Standardized criteria are needed to select those children who will not correct a protrusional lisp without speech therapy so that remedial measures may be started early. Also, if children who will correct a protrusional lisp without therapy could be identified, therapy time could be used more profitably with those who will retain the lisp.

Related Literature

Steer and Drexler (1960) said, "If it were possible to identify in kindergarten or first grade those children who would not achieve good articulation through the normal process of maturation, the problem of case selection would be greatly simplified." A need for predictive instruments has been generally acknowledged and some studies have attempted to identify these instruments (Carter and Buck, 1958; Farquhar, 1961; Pettit, 1957; Steer and Drexler, 1960; Irwin et al., 1966; Van Riper, 1966, 1968).

The research which has been conducted prior to the present study and subsequent to its inception has tested many factors which might be predictive. In a review of a number of these studies, Pronovost (1966) suggested that "...a battery of tests be used to assess all factors which have been clinically assumed to be causal factors in articulatory disorders." He also stated that, "Children who demonstrate average or above-average ability on the entire test battery should show significant improvement in articulation proficiency..."

These previous studies examined a variety of articulation problems, so it was felt that more precise predictions might be attained if the examination were limited to one articulation problem, the protrusional lisp. Therefore, in the present study, many of the factors generally considered to affect articulatory disorders were applied to children having a protrusional lisp.

Objectives

One objective of this study was to examine various factors which may influence the permanency of a protrusional lisp. Another was to incorporate significant factors into predictive profiles to identify those children who will and those who will not correct a protrusional lisp without speech therapy.



PROCEDURES

Experimental Design

Each of the approximately 8,000 kindergarten children in the Seattle Public Schools was administered an articulation screening test. Those children who appeared to have a protrusional lisp were administered an individual articulation test. Permission to take part in the study and background information through a questionnaire were obtained from the parents of those children who exhibited a protrusional lisp on the complete articulation test. The subjects were tested every October and April for four years with a battery of tests which included articulation, nonsense syllable, sound discrimination, tongue mobility, peripheral speech mechanism and diadochokinesis. An orthodontic examination which noted the dental classification and swallow pattern also were given every six months. The subjects were examined for muscular control, auditory acuity, psycholinguistic ability, social maturity, and intelligence. Reading readiness scores, achievement scores, school grades, and general health information were obtained from school records. All of the data was statistically treated by Professor James A. Walsh, Department of Psychology, Iowa State University. Subjects did not receive speech therapy while they were enrolled in the study.

Examiners

The examiners were speech clinicians employed by the Seattle Public Schools. One or more training sessions were conducted prior to administering the four standardized tests and each of the eight semi annual administrations of the battery of tests. Each speech clinician was provided with a manual of test instructions for this battery of tests. The instructions for all tests were read, demonstrated, and discussed. In addition to these formal training sessions, all speech clinicians new to the staff each year were advised, six weeks prior to the testing period, of the kinds of judgments they would be required to make.

Interrater reliability for the articulation testing was established with the Photo Articulation Test (PAT). Five randomly selected examiners judged the same articulation responses of fifteen children. As each child responded to a PAT picture, the examiners made an independent judgment and scored it on a recording sheet which was not visible to the other examiners. Using this method, mean interrater reliability was .988.

Subject Selection

This study was begun in October, 1964, with the selection of subjects from the kindergarten population of the 88 elementary schools of the Seattle Public Schools. Because many first graders have



missing incisors*, it was necessary to initiate the study with subjects who were beginning kindergorten and who had not lost their deciduous teeth. Children who were repeating kindergarten were excluded. A speech screening test sentence for subject selection was given to 7,931 kindergarten children. The sentence, "She lives in a little red house with her brothers and sisters," was an adaptation of the screening sentence used in previous research (Pendergast et al., 1966). The original sentence, "She lives in a little red house with her brother, Gary, and her sister, Kay," 'as validated by one examiner screening 52 first grade children with the sentence and a second examiner administering the Bryngleson-Glaspey Test to the same 52 children. No children were found by the Bryngleson-Glaspey Test who had not already been identified as having [s-z] errors by the screening sentence. To shorten the sentence for kindergarten children and to include two more [z] scunds, the words "Gary" and "Kay," were omitted and "brother" and "sister" were pluralized. Each child was asked to say this sentence according to Instructions for Administering the Screening Test, PL-3a (Appendix A). Any child who appeared to have a protrusional lisp on one or more of the [s-z] sounds in the sentence was tested on the first 6 items of the PAT as described in Instructions for Administering the First Photo Articulation Test, PL-3b (Appendix A). For the purposes of this study, a protrusional lisp was defined as any tongue protrusion anterior to the upper central incisors. This screening and testing procedure identified 1,043 children (13%) with a protrusional lisp.

A letter, PL-1 (Appendix A), requesting approval for each child to participate in the Ltudy, was sent to the parents of all the children who had a protrusional lisp. There were 98 parents who did not respond and 51 who did not give their approval. There remained 894 children at the beginning of the first series of periodic tests.

During the four years of the study, some children moved from the city, transferred to private schools, were absent for one of the many periodic tests or for various reasons could not be included in the final group of 475 subjects for whom all tests and examinations were complete. A summary of subject attrition for the entire term of the study is found in Appendix B.

Of the 475 subjects, 221 were girls and 254 were boys. Their age range at the beginning of the study was four years ten months to six years eight months with a mean of five years five months. The distribution according to race was: Caucasian 368, Negro 69, Oriental 32, and other 6.

^{*} Snow (1961) found that more first grade children with defective or missing upper central incisors misarticulated the [s-z] than did children with normal teeth.

Articulation Test

Research by Snow and Milisen (1954), examining the difference between pictorial and imitative presentation of the stimuli for articulation testing, indicated that "...the picture, not the oral, test should be preferred when testing the articulation of children." This finding was supported by Siegel, Winitz, and Conkey (1963) and Carter and Buck (1958). An experimental edition of the Photo Articulation Test (PAT) (Pendergast et al., 1969), which is a pictorial presentation of stimuli, was chosen because the photographs were more readily recognized by kindergarten children than tests using pictures with line drawings.

The test was administered according to Instructions for Administering the Photo Articulation Test, PL-3c (Appendix A). Misarticulations were recorded on the Photo Articulation Test form, PL-3 (Appendix A).

It has been recognized that error sounds are not consistently misarticulated but may be correctly produced at times. inconsistency of articulation has been noted by Van Riper and Irwin (1958), Templin and Darley (1960), McDonald (1964), and Baer and Winitz (1968). Historically, it has been assumed that the frequency of correct articulations of a sound would predict its probable generalization to all positions and contexts. Therefore, if a sound is articulated correctly in most contexts, the sound would be learned without speech therapy. However, Baer and Winitz (1968) found their low-error and average-error groups "...showed similar acquisition and performance rates of the [v] sound with repeated sound stimulation." Error frequency did not account for the similarity in learning. should be pointed out that this conclusion was based on a change after a period of prescribed training and does not necessarily preclude the assumption that consistency of articulation is a predictor of sound learning without training.

Nonsense Syllable Test

The ability to imitate nonsense syllables containing the sound misarticulated on a pictorially presented articulation test has proved to be a fairly reliable predictive factor in previous research. Carter and Buck (1958) reported, "In using the Nonsense-syllable type test as compared with the Spontaneous Test, the speech therapist might expect that those children who make no correction on this test will need therapy to correct their misarticulations." In her predictive study, Farquiar (1961) reaffirmed these findings and concluded, "The results of this study suggest that the speech clinician may utilize the imitation of words and nonsense syllables as prognostic tools." A nonsense syllable test was included in this study to determine its predictability on the permanency of a protrusional lisp.



A test similar to, but shorter than, the Carter and Ruck was formulated. This was accomplished by limiting the test to only one vowel [a] rather than three vowels as in the Carter and Buck. This test was constructed so the order of syllables was the same as the order of sounds on the PAT. The examiner tested only the items corresponding to the misarticulated sounds on the PAT. Instructions for Administering the Honsense Syllable Test PL-4a and recording form PL-4 are in Appendix A.

Sound Discrimination Test

Research to examine the relationship between auditory discrimination ability and articulation ability has indicated equivocal conclusions. The findings of Cohen and Diehl (1963), Kronvall and Diehl (1954), Farquhar (1961), Schiefelbusch and Lindsey (1958), Sherman and Geith (1967), Weiner (1967), and Wepman (1960) showed significant relationships between discrimination and articulation, while those of Aungst and Frick (1964), Hansen (1944), and Prins (1963) did not.

A survey of the literature by Powers (in Travis, 1957) showed that "...studies on speech-sound discrimination as related to articulation skill are seen to be conflicting and inconclusive." She indicated that "...the great weight of evidence is against there being a systematic inferiority of functional articulatory defectives in ability to discriminate speech sounds."

Other authors have considered auditory discrimination ability as a possible predictor of articulation improvement. Dickson (1962) suggested that speech-sound discrimination ability has minimal value in predicting spontaneous elimination of articulation errors. However, Pronovost (in Webster et al., 1966) "...found very few children with misarticulations who had low auditory discrimination scores, but when both abilities were low there was less tendency for articulatory proficiency to improve."

A sound discrimination test was included in the battery of tests because of its possible value as a predictor of either retention or recovery from a protrusional lisp. The standard tests were not used because of interest in only the subject's ability to discriminate the sibilant sounds along with [θ] and [δ]. A test was devised similar to that used by Pendergast (1952) in which "Each consonant phonetic element was matched with another acoustically similar consonant phonetic element, with two acoustically different consonant phonetic elements and with itself." The present adaptation was limited to fifteen pairs of consonant phonetic elements combined with [α]. The five different sibilant pairs were arranged in an increasing order of discrimination difficulty and the [θ] and [δ] pairs were added. The eight similar pairs were randomly interspersed with the seven different pairs. This test was administered verbally to each subject individually in the manner described in Instructions for Administering the Sound Discrimination Test, PL-5a (Appendix A) and recorded on PL-5 (Appendix A).



Tongue Tip Examination

Standard classifications describe the involvement of the tongue and alveolar ridge in the production of certain counds. For the production of [t, d], Carrell and Tiffany (1960) note that "...the tonguetip is placed on the alveolar ridge behind the upper central teeth, and the lateral margins of the tongue are in contact with the teeth and gums in such a way as to form an airtight closure." Similarly, for [n] production, "...the tonguetip is placed on the alveolar ridge, with the sides of the tongue in contact with the teeth and gums." Also, [l] "...is made with the tonguetip against the alveolar ridge, but with the tongue adjusted in such a manner that its margins do not touch the teeth and gums at the sides."

However, therapy experience has shown that children with a protrusional lisp sometimes produce acoustically acceptable [t, d, n, l] with the tongue blade as they place the tongue tip either anterior to the upper central incisors or posterior to the lower central incisors. The tongue and alveolar ridge are used in the production of both [t, d, n, l] and [s, z]. It was decided to investigate the production of [t, d, n, l] in this study because of the possibility that their manner of production might be of value in predicting retention of a protrusional lisp or the development of normal [s, z].

Four short sentences were designed so the subject's tongue positions for his production of [t, d, n, l] could be observed. To facilitate observations of tongue positions, words containing sounds such as [p, b, m] which would have obstructed the examiner's view were excluded. Each sentence was constructed so the sound being tested either initiated the sentence, ended the sentence, or was preceded and followed by a vowel. Antero-postero tongue tip position was judged as described in the Instructions for Administering the Tongue Tip Examination, PL-6a, and recorded on Tongue Tip Examination Form, PL-6 (Appendix A).

Speech Mechanism Examination

In many studies, subjects are excluded because of various physical anomalies of the peripheral speech mechanism. However, some children with a protrusional lisp have these anomalies and must be included in the therapy program. Therefore, in the present study, subjects with tongue, palate, and alveolar ridge deviations were not excluded so the effect of these factors upon the permanency of a protrusional lisp could be examined.

Accepted diagnostic procedures include the examination of the attachment of the frenum because of its possible effect on tongue mobility. Even though acoustically acceptable production of [s, z] is commonly found in children with various degrees of frenum attachment, it was thought that the ability to elevate the tongue might have a value in predicting the permanency of a protrusional lisp.



Gross judgments of polate shape and alveolor ridge width were included in the Speech Rechanism Examination because the palate and ridge are involved in the production of [5, 2].

Evidence in the literature shows the relationship between visceral swallowing patterns and deviant production of certain speech sounds. Ward et al. (1961) stated that "...visceral swallowing in children with sound distortions at the first grade level may well have predictive value... (It) may be a complicating factor interfering with the normal development of tongue-tip phonemes." The results of a study by Fletcher, Casteel, and Bradley (1961), "...indicated that the subject with a tongue-thrust swallow was much more likely to have associated sibilant distortion than was the subject without this pattern of swallowing." Because of this relationship between swallow patterns and sound production, along with the possibility of swallow characteristics being a predictor of change in sibilant sound production, an examination for facial grimace and tongue protrusion during a swallow was indicated.

The ability to move tongue and lips is important in the production of [s, z]. Tests of diadochokincsis were formulated to investigate the possible relationship of this ability to the retention of a protrusional lisp. Mase (1946) compared fifth and sixth grade articulatory defective boys with normal speakers on several actions of the speech mechanism. He found no significant differences between the two groups on any of these tests. A report by Pronovost (in Webster et al., 1966) indicates that "Children who were unable to execute rapid, rhythmical movements of the tongue (in-out, side to side, tapping alveolar ridge, etc.), or whose movements were sluggish, tended to show less progress in articulatory development."

Therefore, degree of frenum attachment, palate shape, alveolar ridge width, facial grimace and tongue protrusion during a swallow, and diadochokinetic abilities were judged according to instructions for administering the Speech Mechanism Examination, FL-7a, and recorded on PL-7 (Appendix A).

Orthodontic Examination

Snow (1961) studied the relationship of certain sounds, including [s, z] to the condition of the upper incisors. She found a greater number of children misarticulated these sounds when they had missing or abnormal upper incisors. However, she pointed out that 70% of the children with missing or abnormal incisors correctly articulated the [s].

Bankson and Byrne (1962) tested the influence of missing teeth on [s, f, z]. They found no statistically significant relationship between the presence or absence of teeth among children who produced the sounds incorrectly before the loss of their deciduous teeth. Because the present research was designed to study protrusional lisps, an investigation was made of the presence or absence of teeth for their possible predictive value.



Jammet al. (1964) states "...we can note that malocclusion appeared so frequently in the population studied that it merits consideration as the statistical norm." To investigate further the nature of malocclusions, the present study included measurements of overbite, overjet, and openbite to determine their possible influence on the retention of a protrusional lisp.

A pedodontist and two speech clinicians (Ward et al., 1961) studied the articulation, swallow pattern, and teeth of 358 children in grades 1-3. Subtelny and Subtelny (1962), an orthodontist and a speech clinician, studied subjects with the Class II, division 1 type of malocclusion to explore normal protrusive tongue habits during speech and swallowing in relation to this type of malocclusion. The present study also included dental classifications made by dental specialists. The Dean of the School of Orthodontics, University of Washington, formulated Orthodontic Examination, PL-8 (Appendix A), for the recording of judgments by practicing dentists enrolled in the School of Orthodontics.

Audiometric Test

Historically, hearing acuity has been assumed to be related to articulation proficiency. Because the present study was designed to examine any factor which might influence the permanency of a protrusional lisp, subjects with hearing losses were not excluded.

The subjects were screened for hearing at 25 decibels (ISO) for 500 cycles and 20 decibels (ISO) for 2000 and 4000 cycles either by the audiologist or the audiometrist of Seattle Public Schools as a part of the routine screening of first grade children. Any child who failed the screening was given a full scale audiometric examination. Of the 475 subjects, 35 (7.4%) had a mild to moderate hearing loss in one or both ears, but these subjects were not excluded.

The Oserctsky Tests of Motor Proficiency

Previous research as presented by Jonkins and Lohr (1964) has pointed out conflicting conclusions regarding the relationship between motor ability and articulation disorders. However, in their study, they found that "...children with severe articulation defects do on the average have more difficulty in motor proficiency, as measured by the Oseretsky tests, than do children without severe articulation disorders." Dickson (1962) found that "Children who had outgrown speech errors... were able to complete significantly more motor tasks successfully than those who had not outgrown their speech errors..." He proposed "...that a gross motor deficit may be related to articulation errors but may not necessarily be manifested in isolated fine motor functions...."

For the present research, a pilot project on the Oseretsky Tests of Motor Proficiency was designed. Comparisons were made between three testing procedures: (a) the complete test as described in the manual, (b) the level corresponding to the subject's age and the



levels immediately preceding and following his age, and (c) the subject's age level only. Procedures (a) and (b) proved to be excessively time consuming because each test took from one to two hours. This pilot project also showed that levels other than the subject's age level added no significant information to the motor performance evaluation. Therefore, only the age level of each subject was tested. Dickson (1952) also found it appropriate to abbreviate the Oscretsky tests in his research to determine differences between children who had retained articulation errors and those who had not.

General Information on the Oseretsky Tests (Appendix A) was developed to clarify testing procedures given in the Doll translation of the manual from Portuguese to English. Conversions of metric system lengths to equivalent feet and inches were included.

In the study by Jenkins and Lohr (1964), "...the speed tests proved insensitive and were dropped from the test battery after they were failed by the first eighteen children tested, including experimental and control subjects..." Similarly, in the present study, only two of the subjects were able to do the motor speed task corresponding to their age le els, according to the interpretation of the manual. Therefore, the motor speed category was excluded when the total score was determined.

Vineland Social Maturity Scale

In order to investigate the possible effect that social maturity might have on the retention of a protrusional list, the Vineland Social Maturity Scale was administered during the third year of the study. The items related to the several age levels were examined for adaptation to the population being tested. Items in the various categories assigned to the IV-V age level were commonplace for second grade children enrolled in public schools. Items in the XV-XVIII level were too advanced to give meaningful information to the scores. It was decided, therefore, to base each subject's maturity score on those items in levels V-VI through XII-XV.

Information was obtained by interviewing the subjects. Doll, in the Manual of Directions, states that "Under favorable conditions the Scale may be administered with the subject of the examination acting as his own informant. This has been found practicable with normal children as young as five years of age..."

A supplement, Instructions for Administering Vineland Social Maturity Scale (Appendix A), was developed to facilitate the use of the Manual of Directions and to standardize interpretation, scoring symbols, and testing procedures. In scoring, credit was arbitrarily given for all items prior to level V-VI. Each subject's score was a total of this prior credit and the score on the tested items. This was converted to a social age by using the Manual of Directions.



Peabody Picture Vocabulary Test

Intelligence has been accepted as a factor relating to articulatory development. Pronovost (in Webster et al., 1966) recommended that non-verbal items be included in a test of intellectual functioning as a part of a test battery to choose candidates for speech therapy. The Peabody Picture Vocabulary Test (PPVT) requires a non-verbal response on the part of the subject. Dunn (1959) in the manual for the PPVT states "The administration... requires no special preparation other than complete familiarity with the test materials, including practice in giving the instrument prior to its use as a standardized measure."

In he present research, the PPVT was included as a possible predictor of retention of a protrusional lisp. Form "B" of the test was adminstered and scored according to the instructions in the manual.

Illinois Test of Psycholinguistic Abilities

Bateman (1964) presented a summary of studies on the Illinois Test of Psycholinguistic Abilities (ITPA) in current research. Two of these summaries reported the application of the ITPA to the articulation of normal children. Foster (1963) found that "Children with persistent speech disorders performed significantly lower than the controls (raw score means) on the following subtests: Auditory-Vocal Automatic, Auditory-Vocal Sequential, Visual-Motor Sequential, Visual Decoding, Visual-Motor Association, and Vocal Encoding." Ferrier (1963) noted that "Children with functional defects of articulation scored significantly lower than children without these defects on the three ITPA subtests at the automatic-sequential level and on the auditoryvocal channel subtests at the representational level." These studies suggested that some subtests of the ITPA might show value for inclusion in a predictive profile of retention of a protrusional lisp. The ITPA was given by qualified examiners according to the Examiner's Manual.

Questionnaire and School Record Information

A background information questionnaire, PL-2 (Appendix A), was included in the first testing period. The parent provided the subject's history. The father's occupation was classified according to the Minnesota Scale for Paternal Occupations. Birthplaces were divided by regions according to Kenyon and Knott (1949).

Additional information on each subject was obtained from school records. Scores for Mctropolitan Readiness Tests, The Lorge-Thorndike Intelligence Tests, and Mctropolitan Achievement Tests, along with academic, social, psychological, health, and attendance information were recorded on form PL-9 (Appendix A) in accordance with Instructions for School Record Information, PL-9a (Appendix A).



TRUATMENT OF THE DATA

The 475 subjects remaining in the study at the completion of the last testing in third grade were randomly divided into an analysis sample of 250 subjects and a cross-validation sample of 225 subjects. The analysis group was composed of a recovery group of 145 subjects (73 boys and 72 girls) and a non-recovery group of 105 subjects (64 boys and 41 girls). The cross-validation sample had a recovery group of 121 subjects (59 boys and 62 girls) and a non-recovery group of 104 subjects (55 boys and 49 girls). The statistician's treatment of the analysis and cross-validation samples for the development of predictive profiles is described in detail in "Statistical Methodology and Analysis," Appendix C.

RESULTS AND DISCUSSION

Seven "profiles"* to predict recovery and non-recovery from a protrusional lisp were formulated. The first profile represented testing early in kindergarten. Subsequent profiles represented testing at six month intervals, October and April, through the beginning of third grade. Successful prediction of recovery and non-recovery by the end of third grade was the goal of the profiles.

The percentages of correct prediction for the analysis sample and the cross-validation sample are given on the profiles. The percentages of prediction increased at each successive testing period. The profile for beginning kindergarten correctly predicted for 62.0 percent of the cases in the analysis sample and 53.3 percent in the cross-validation sample. However, for the profile at the beginning of the third grade, the correct prediction had increased to 87.2 percent and 84.0 percent, respectively.

Seven subtests from the PAT, two From the Orthodontic Examination, two from the Speech Mechanism Examination, along with the unlike items from the Sound Discrimination Test, the correct responses on the [s-z] items on the Nonsense Syllable Test, and the total score on the Tongue Tip Examination made up the predictive profiles. Each profile was predictive at only one testing period.

The Photo Articulation Test, or sections of it, was a part of the group of predictors for every testing period.

The Nonsense Syllable Test was a part of the profile for the end of first grade.

Part of the Sound Discrimination Test was in the predictive profile only for the beginning of kindergarten. The whole test included responses to sibilant pairs which were either the same or

* The term is not used in its usual sense but, instead, refers to the tabular presentation of regression analyses.



different, but only the responses to the different items were predictive.

Sections of the Speech Mechanism Examination appeared in the profiles for three testing periods. The score on the test of lateral tongue movement at the end of first grade and judgments of swallow characteristics at both the beginning and end of second grade were parts of the profiles.

Parts of the Orthodontic Examination appeared in the predictive profiles for three testing periods. The judgment of the facial grimace at the beginning of kindergarten was predictive. Openbite was part of the profiles at the end of second grade and the beginning of third grade.

Several tests did not appear in the profiles. These were the Oseretsky Tests of Motor Proficiency, Vineland Social Maturity Scale, Peabody Picture Vocabulary Test, Illinois Test of Psycholinguistic Abilities, and the audiometric test. Also, none of the information from the school records or the parent questionnaire was in any of the profiles.

The profiles are Tables 1 through 7. These profiles were applied to each subject in the study. For example, on Table 1, the first subtest was from the PAT, items 1-9. The possible error range was 0 to 9. The number of errors for each subject was multiplied by .070, the raw score regression weight, which resulted in a possible range of scores from 0. to .630. The second subtest was the grimace from the Orthodontic Examination. The possible score was either "l" if the subject had a grimace, or "2" if he had no grimace. This number was multiplied by -.147, the raw score regression weight, which resulted in a possible range of scores from -.294 to -.147. The third subtest was the unlike items from the Sound Discrimination Test. The possible number of correct responses was 0 to 7. This was multiplied by -.037, the raw score regression weight, which resulted in a possible range of scores from -.259 to 0. These three scores were added to .254, the intercept value. This produced the profile score range, -.299 to .737. The raw score cutoff from Table 1 was .44. For subjects whose scores were more than .44, non-recovery was predicted and for subjects whose scores were less than .44, recovery was predicted. This prediction was correct for 62.0% of the subjects in the analysis sample and 53.3% in the cross-validation sample.

The subjects who had more* omissions, substitutions, and distortions of [s-z]; who had a facial grimace when they swallowed; and

^{*} It is not possible to give exact scores for each item on most profiles because a variety of individual scores will result in a total score above or below the cutoff. Therefore, the terms "more" and "fewer" seem appropriate. In this context, these terms refer to a comparison of the recovery and non-recovery groups.



who were unable to identify many unlike sounds as being different were less likely to recover from a protrusional lisp than subjects who had fewer omissions, substitutions, and distortions of [s-z]; no facial grimace when they swallowed; and good sound discrimination.

TABLE 1. Profile for the Beginning of Kindergarten.

Test or Subtest	Scoring		Raw Score Regression Weight			ible se of es
Items 1-9, Photo Articulation Test (PAT)	Count erro	rs	.070	0.	to	.630
Grimace, Orthodontic Exam	Judge grim 1-grimaco 2-no grim (1-2)	:	147	294	to	147
Sound Discrimination Test	Count corresponses unlike it 2, 3, 5, 10, 13, 1 (0-7)	on ems 9,	037	259	to	0.
	xxx	xxx				
	Intercept	Value		.254_		.254
	Profile So	core Ra	nge	299	to	.737
	Raw Score	Cutoff			• 44	
Correct Predictions Analysis Sampl	.e	62.0%				
Cross-Validati	on Sample	53.3%				



At the end of kindergarten, the profile (Table 2) contained a single predictor, the score on the first nine items of the PAT.

TABLE 2. Profile for the End of Kindergarte	TABLE	2.	Profile	for	the	End	of	Kindergarter
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Test or Subtest	<u>Scoring</u>	Raw Score Regression Weight		Possible Range of Scores		
Items 1-9, PAT	Count errors (0-9)	.056	0.	to .504		
$x \times x \times x$						
I	ntercept Value		023	023		
P	rofile Score Ra	nge	023	to .481		
Raw Score Cutoff				.47		
Correct Prediction Analysis S		58.03				
Cross-Vali	dation Sample	62.7%				

Subjects who had nine omissions, substitutions, or distortions on the nine [s-z] test words were less likely to recover from a protrusional lisp without speech therapy than subjects who misarticulated eight or less of the nine test words.

The predictive profile for the beginning of first grade (Table 3) included three subtests of the PAT. These were the substitution score for the [s-z] items, the total score for all sounds tested in the final position, and the substitution score for the final position of [s-z].



TABLE 3. Profile for the Regiming of Pirot Grade.

Test or Subtest	Scoring	Raw Score Regression Weight		Possible Range of Scores
Items 1-9, PAT	Count substitu- tions · (0-9)	.038	0.	to .792
Final items, PAT	Count errors (0-20)	•01:0	0.	to .800
Items 3 and 6, PAT	Count substitu- tions (0-2)	173	346	5 to 0.
	x			
	Intercept Value		.095	.095
	Profile Score Ra	mge	253	l to 1.687
	Raw Score Cutoff	.		.47
Correct Predictions Analysis Sampl	le 66.4%			
Cross-Validati	ion Sample 70.2%			

Subjects who had more substitutions on the nine [s-z] test words; who had an omission, substitution, or distortion on many of the twenty words which test each sound in the final position; and who did not have a substitution on either of the words which test [s-z] were less likely to recover from a protrusional lisp without speech therapy than subjects who had fewer substitutions on the nine [s-z] test words; more correct articultion of all sounds in the final position; and substitutions on final [s-z].

At the end of first grade, subtests from three erasinations for ad the profile (Table 4). The subtests of the PAT were the total errors on the first nine items and the arrors on the two [s-s] items tested in the final position. The other subtests in the profile were the correct responses to the [s-z] items from the Romence Syllable Test and the lateral tongue novement score from the Speech Rechanism Examination.

TABLE 4. Profile for the End of First Grade.

TABLE 4. If OTTER TOP the had of First Glade.						
Test or Subtest	Scori	ing	Raw Score Regression Weight	I	Possible Range of Scores	
Items 1-9, PAT	Count err	rors	.123	0.	to 1.107	
Items 1-5, Nonsense Syllable Test	Count con response (0-15)		019	285	to 0.	
Items 3 and 6, PAT	Count err	rors	196	392	to 0.	
Lateral Tongue Movement, Speech Mechanism Exam		ore	010	300	to 0.	
xxxxx						
	Intercept	: Value		.239	.239	
	Profile S	Score Ran	ge	738	to 1.346	
	Raw Score	e Cutoff		•	.50	
Correct Predictions Analysis Sample		73.6%				
Cross-Validation	Sample	69.88				

Subjects who had more omissions, substitutions, or distortions on the nine [s-z] test words; did not misarticulate either of the words testing [s-z] in the final position; were unable to repeat correctly the nonsense syllables testing [s-z]; and were unable to move their tongue quickly from one corner of the mouth to the other were less likely to recover from a protrusional lisp than children who had fewer omissions, substitutions, or distortions of the nine [s-z] test words; had misarticulations on the two words testing [s-z] in the final



position; were able to repeat correctly the nearest cylimbles testing [s-z]; and could have their tengue here rapidly from one corner of the mouth to the other.

Three subtests of the PAT and a subtest of the Speech Mechanism Examination formed the profile (Table 5) for the beginning of the second grade. The PAT subtests were the total errors on the first nine items, the total omissions for the tongue sounds, and the errors on the two [s-z] items tested in the final position. The subtest of the Speech Mechanism Examination was the swallow score.

TABLE 5. Profile for the Beginning of Second Grade.

Test or Subtest	Scoring.	Raw Score Regression Weight			ible e of es
Items 1-9, PAT	Count errors (0-9)	.055	0.	tc	.495
Swallow, Speech Mechanism Exam	Judge swallow 2-No grimace- No protrusion 3-Grimace- No protrusion 4-No grimace- Protrusion 5-Grimace- Protrusion	.076	.152	to	.380
Tongue items, PAT	Count omissions on items 10-46 and 53-60 (0-45)	.183	0.	to	8.235
Items 3 and 6, PAT	Count errors (0-2)	.051	0.	to	.1.02
	x x x x x x				
	Intercept Value		<u>201</u>		201
	Profile Score Range	9	-•0#8	to	9.011
	Raw Score Cutoff			.1i8	
Correct Predictions Analysis Samp	le 76.4%				
Cross-Validat	ion Sample 72.6%				



Subjects who had more emissions, substitutions, or distortions on the nine words testing [s-z] and the two words testing [s-z] in the final position; protrailed the tengue and gris seed when they swallowed; and had emissions on sounds acticulated with the tengue [f, 5, tf, dz, t, d, n, l, 0, ŏ, r, k, g, n] were less likely to recover from a protrusional lisp them swell are sho had fewer emissions, substitutions or distortions on the nine words testing [s-z] and the two words testing [s-z] in the final position; did not protrude the tengue or grisace when they swallowed; and had fewer emissions on sounds articulated with the tengue.

At the end of the second grade, two subtests from the PAT, one from the Speech Mechanism Examination, and one from the Orthodontic Examination combined as the predictive profile (Table 6). The PAT subtest scores included the errors on the first nine items and the total of all substitutions. The swallow score from the Speech Mechanism Examination, along with the openbite judgment from the Orthodontic Examination completed the profile.

Subjects who had more omissions, substitutions, or distortions on the nine words testing [s-z]; had more substitutions on the entire articulation test; protruded the tongue and grimaced when they swallowed; and had an openbite were less likely to recover from a protrusional lisp than subjects who had fewer emissions, substitutions, or distortions on the nine words testing [s-z]; had fewer substitutions on the entire articulation test; did not protrude the tongue or grimace when they swallowed; and had a normal bite.



Test or Subtest	Scoring	Raw Score Regression Weight	Possible Range of Scores
Items 1-9, PAT	Count errors (0-9)	.050	0. to .450
PAT	Count substitutions (0-72)	.023	0. to 1.656
Swallow, Speech Mechanism Exam	Judge swallow 2-No grimace- No protrusion 3-Grimace- No protrusion 4-No grimace- Protrusion 5-Grimace- Protrusion	.055	.110 to .275
Openbite, Orthodontic Exam	Judge openbite 1. 0 mm. 2. 0-2 mm. 3. 2+ mm.	.061	.061 to .183
	Tatawand Value		192192
	Intercept Value		
	Profile Score Ra Raw Score Cutoff	_	02]. to 2.372
Correct Predictions Analysis Sample	80.8%		
Cross-Validatio	on Sample 82.2%		

The profile for prediction at the Leginning of third grade (Table 7) included the errors on the first nine items of the PAT, the total substitutions on the first nine items on the PAT, the openbite judgment from the Orthodontic Examination, and the score on the Tongue Tip Examination.

TABLE 7. Profile for the Beginning of Third Grade.

Test or Subtest	Scoring.	Raw Score Regression ing Weight		Possible Range of Scores	
Items 1-9, PAT	Count errors (0-9)	.051	0.	to	.459
Items 1-9, PAT	Count substi- tutions (0-9)	• 0141	0.	to	.396
Openbite, Orthodontic Exam	Judge openbite 1. 0 mm. 2. 0-2 mm. 3. 2+ mm.	.064	.064	to	.192
Tongue Tip Exam	Total score (4-8)	.030	.120	to	.240
	x x x x x x	<			
	Intercept Value		164		164
	Profile Score Range	e	.020	to	1.123
	Raw Score Cutoff			.42	
Correct Predictions Analysis Sam;					

Cross-Validation Sample 84.0%

Subjects who had more misarticulations and also substitutions on the nine words testing [s-z]; had an openbite; and articulated [t, d, n, l] with the tongue blade were less likely to recover from a protrusional lisp without speech therapy than subjects who had fewer misarticulations and substitutions on the nine words testing [s-z]; had a normal bite; and articulated [t, d, n, l] with the tongue tip.



Figure I shows the pattern of tests and subtests in the profiles. When factors other than the first nine items of the PAT appeared in

Figure 1. Pattern of Tests as	nd Sub	tests i	in the I	rofile	ಚಾ.		
Test or Subtest			Testi	ing Per	riod Pr	cofile	<u>:</u>
	K-1	gi. K-2	1-1	3t 1-2		nd 2-2	
Photo Articulation Test							
Items 1-9	1	2		11	5	6	7
Items 1-9 substitutions			3				7
All final items			3				
Items 3 and 6, substitutions			3				
Items 3 and 6				11	5		
Tongue omissions					5		
All substitutions						6	
Nonsense Syllable Test							
Items 1-5				Įį			
Sound Discrimination Test							
Unlike items	1						
Tongue Tip Examination							
Total score							7
Speech Mechanism Examination							
Swallow					5	6	
Lateral tongue movement				4			
Orthodontic Examination							

1

7

6

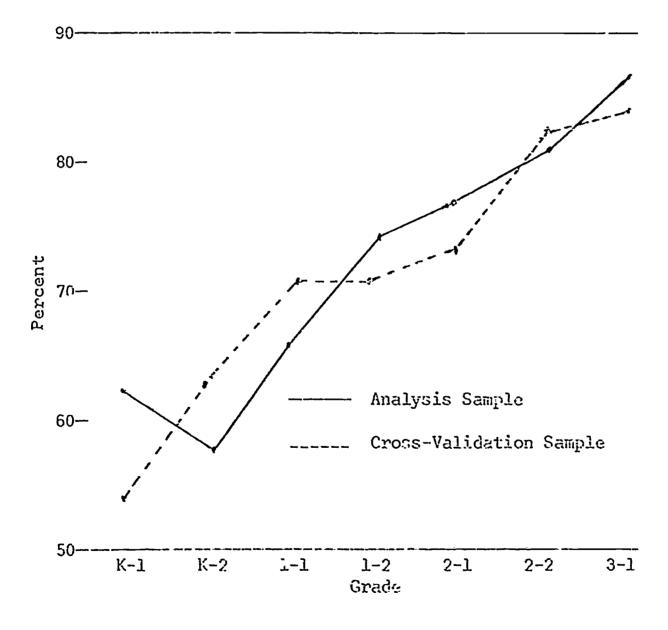
Grimace

Openbite

more than one profile, the profiles usually represented successive testing periods. Figure 1 also shows that no single test or subtest, by itself, proved to be predictive at all testing periods, although a FAT subtest, items 1-9, was predictive at all but one period. The pattern suggested that, as the subjects' ages changed, different factors became predictors.

Figure 2 shows the percentage of predictability by the profiles for each of the testing periods for the analysis and the cross-validation groups. Lower prediction for the cross-validation group occurred at the 1st, 4th, 5th, and 7th testing periods. Higher prediction occurred at the 2nd, 3rd, and 6th testing periods. This phenomenon can be understood by consideration of the statistical methods involved (Appendix C).

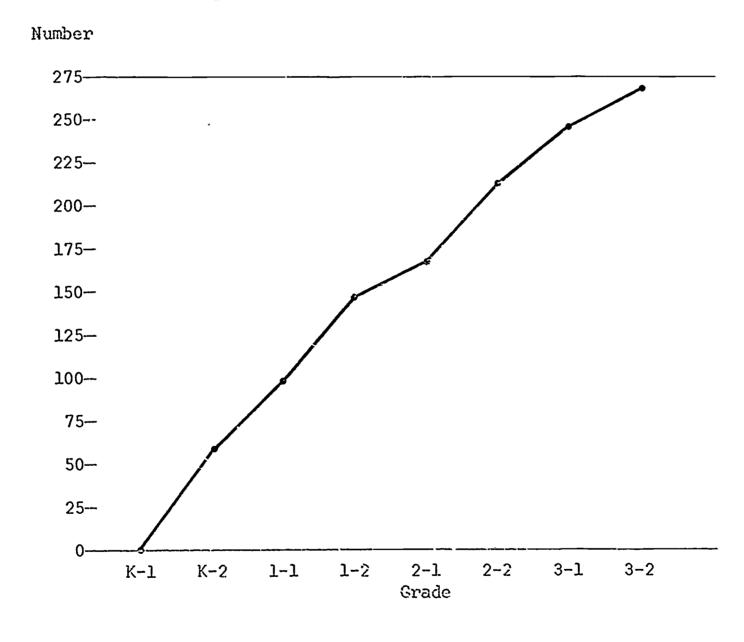
Figure 2. Percent of correct prediction by the profile for each testing period in the analysis and the cross-validation samples.





In the kindergarten population of 7,931 children, 1,043 (13%) had a protrusional lisp. This study was based on 475 subjects from that group. There were 132 boys (52.6%) who recovered, while 119 did not and 134 girls (60.0%) who recovered, while 90 did not. This difference was not significant. The final testing showed that 266 (56%) of the 475 subjects had recovered from the lisp without speech therapy. Figure 3 shows the number of subjects who had recovered by each testing period. This figure indicates a consistent rate of recovery from the beginning of kindergarten through the end of third grade.

Figure 3. Number of children who recovered from a protrusional lisp without speech therapy at each testing period.



The data from the PAT was examined carefully because as much specific information about articulation as possible was desired. This examination included items 1-9 (the [s-z] items), items 3 and 6 (the final [s-z] items), items 10-46 and 53-60 (the other sounds articulated with the tongue), items 47-52 and 61-72 (the sounds articulated with the lips), and the entire test. The examination also included the type of error: omission, substitution, distortion, or a combination of these for each of the categories. Initial, medial, and final sounds were also examined.



The articulation patterns for the 250 subjects from the analysis group are shown in Figure 4. There were 40 subjects (16%) who entered the study with a protrusional lisp only. Of the 40 (Group 1), 26 (65%) recovered while 14 (35%) did not. There were 52 subjects (21%) who had a protrusional lisp and one or more errors on other sounds articulated with the tongue. Of the 52 (Croup 2), 33 (64%) recovered while 19 (36%) did not. There were 130 subjects (52%) who entered the study with a protrusional lisp and one or more errors on sounds articulated with the lips. Of the 130 (Group 3), 76 (59%) recovered while 54 (41%) did not. There were 28 subjects (11%) who entered the study with a protrusional lisp and one or more errors on other sounds made with the tongue and sounds made with the lips. Of the 28 (Group 4), 10 (36%) recovered, and 18 (64%) did not. It is of interest to note that 65% of the subjects who entered the study with only a protrusional lisp (Group 1), recovered, while 64% of the subjects who entered the study with all types of articulation errors (Group 4) did not recover. Conversely, 35% of the subjects who entered the study with only a protrusional lisp (Group 1) did not recover, while 36% of the subjects who entered the study with all types of articulation errors (Group 4) did recover. The proportion of recovery between Group 1 and Group 4 is significantly different at the .01 level of significance. result suggested that more kindergarten subjects who had only a protrusional lisp recovered by the end of third grade than subjects who had a protrusional lisp along with errors on other sounds articulated with the tongue and sounds articulated with the lips.

The rates of recovery or non-recovery for Groups 1, 2, and 3 shown in Figure 4 were very similar (35%, 36%, 41% for the non-recovery group and 65%, 64%, 59% for the recovery group). The rate of recovery or non-recovery for Group 4 was quite different. The rate of recovery was 36% which compared with the rate of non-recovery for the other three groups and the rate of non-recovery was 64% which compared to the rate of recovery for the other three groups. However, Group 4 represented only 11% of the analysis sample of 250 subjects and therefore the possible gain in prediction did not justify its separate analysis.



Figure 4. Differential rate of recovery for the 250 subjects in the analysis sample.

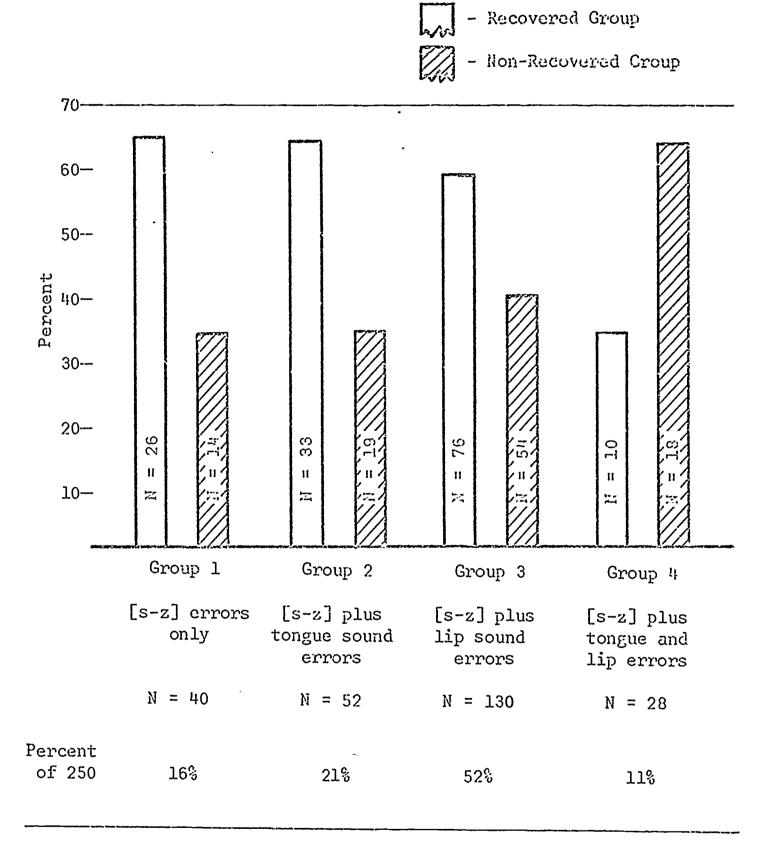
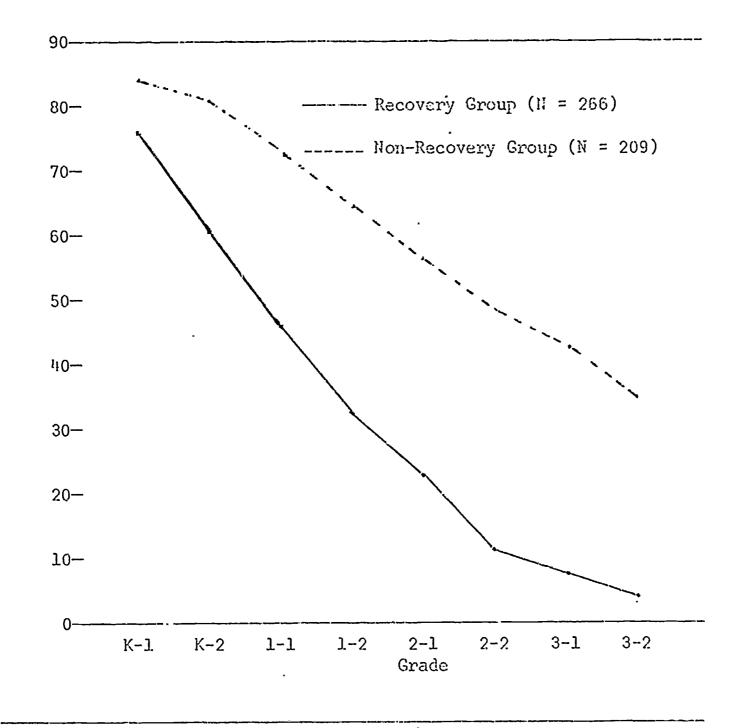


Figure 5 shows the percentage of subjects who had more than nine articulation errors on the PAT at each of the eight testing periods. Subjects in the recovery group tended to have a slightly less severe articulation problem when they began the study, as measured by number of sound errors, than did subjects in the non-recovery group. Subjects in the recovery group reduced the number of errors more rapidly than those in the non-recovery group. Further, the average number of errors on all sounds at the end of third grade was 1.3 for the recovery group and 10.5 for the non-recovery group.

Figure 5. Percent of subjects at each testing period having more than 9 errors on the PAT.



The Tongue Tip Examination showed that many subjects with a protrusional lisp produced [t, d, n, l] with the tongue blade, rather than the tongue tip. The percentages of blade production for these sounds in the test at the beginning of kindergarten were [t] 30%, [d] 41%, [n] 35%, and [l] 58%. The percentages of blade production decreased at each testing period as more and more subjects articulated these sounds with the tongue tip as usually described. At the end of third grade, the percentages of blade production were [t] 170, [d] 15%, [n] 12%, and [l] 36%.

Even though the Tongue Tip Examination, by itself, did not efficiently predict recovery or non-recovery from a protrusional lisp, the results did yield information concerning recovery. When only the

subjects who were judy it to have a relatively consistent production of [t, d, n, 1], either tip or black, none considered, the relationship between the number of probability these nowals and recovery or nonrecovery from a protransicual ling was significant. The results from the reagns Tip Larmination were supersated into two groups, tip and blade. The "normal" and "posterior" productions were considered tip, and the "anterior" and "down" productions were considered blade. The subjects who were incompictent with an equal number of both tip and blade productions were disregarded. There were 253 subjects who produced [t, d, n, 1] with the tongue tip at the first testing in kindergarten. Of this number, 155 subjects (61.3%) were in the recovery group. There were 150 subjects who produced [t, d, n, 1] with the tongue blade at the first testing in kindergarten. Of this number, 75 subjects (50.0%) were in the recovery group. The difference between these two proportions was significant (z = 2.04 corrected for continuity, p<05 two-tailed). This result suggested that the beginning kindergarten subjects with a protrusional lisp had a better chance to recover from the lisp without speech therapy if they produced [t, d, n, 1] with the tongue tip rather than the tongue blade, as tested in this research.

The subjects in this study scored considerably higher than the published norms on the Peabody Picture Vocabulary Test. These scores suggested two possibilities. Protrusional lispers might have scored higher than non-lispers or Seattle Public School children as a total population sample might have scored higher than the group represented by the norms. To examine these possibilities, a group of one hundred non-lisping children was matched in proportion to the subjects in the study for grade, sex, age, and school. Form "B" of the PPVT was administered to this group under the same conditions as the group in the study. The scores of the two groups were almost identical, so it was concluded that the higher scores originally shown by the lispers merely reflected the difference between the Seattle Public School sample and the standardization sample.

concedes for and accommendations

The data from many testing instruments were formulated into profiles designed to predict recovery or non-recovery from a protrusional lisp by the end of third grade. These profiles were compiled from the results of a group of testing instruments and were cross-validated on a subsample of the subjects. The seven profiles represented testing at six nonth intervals from the beginning of kindergarten through the beginning of third grade. A tongue tip examination and subtests from an articulation test, an orthodontic examination, a speech mechanism examination, a sound discrimination test, and a nonsense syllable test made up the profiles. Testing instruments which examined muscular control, psycholinguistic ability, social maturity, and intelligence were not found to be predictive in this research. None of the information on the school records or the questionnaire was predictive of recovery or non-recovery.

The pattern of tests and subtests in the profiles indicated that no single test or subtest, by itself, proved to be predictive at all testing periods. Each profile was predictive at only one testing period and the percentages of correct predictions increased with each successive profile.

The subjects remaining at the end of the study were randomly divided into an analysis sample and a cross-validation sample. The analysis sample was composed of a recovery group and a non-recovery group to establish cutoff scores and percentages of correct prediction for each profile. The profiles were then applied to the cross-validation sample to establish percentages of correct prediction for that group. The cutoff scores and the percentages of correct prediction are appropriate for the particular population tested and different figures might be more appropriate for other populations.

In addition to the profiles, this research provided general information about the subjects. Of the kindergarten population, 13% exhibited a protrusional lisp. By the end of the four years of the study, 56% of the subjects had recovered from their lisps without speech therapy. There was no significant difference between the number of boys and girls who recovered. The rate of recovery, based on numbers of subjects at each testing period, was consistent from the beginning of kindergarten through the end of third grade. Hore subjects who began the study with only a protrusional lisp recovered from the lisp than those who had a protrusional lisp along with errors on other sounds articulated with the tongue and sounds articulated with the lips. Subjects in the recovery group tended to have a slightly less severe articulation problem when they began the study, as measured by the number of sound errors, then did subjects in the non-recovery group. Subjects in the recovery group reduced the number of errors more rapidly than did subjects in the non-recovery group.

The examination of the production of [t, d, n, 1] showed that many subjects with a protructional lisp produced these sounds with the tongue blade, rather than the tongue tip, as usually described in the



literature. Also, a significant number of subjects, who recovered, produced [t, d, n, 1] with the tongue tip rather than the tongue blade.

The results of this study suggest areas for further research. A large percentage of the kindergarten subjects with protrusional lisps articulated [t, d, n, l] differently then usually described, so research might determine if the general kindergarten population also articulates these sounds in the same manner.

The profiles might be tested on other populations of kindergarten through third grade subjects to determine predictability of recovery and non-recovery from a protrucional lisp. If these profiles could be shown to identify children who will spontaneously correct their protrusional lisps without therapy, on populations other than the cross-validation sample, the clinician's time could be used more profitably with those who will retain the lisp.

Manager

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APPENDIX A

TESTS AND INSTRUCTIONS

ADMINISTRATIVE AND SERVICE CENTER

\$15 FOURTH AVENUE NORTH

Special Education Department Speech Therapy

Dear

October 16, 1964

Your child has been chosen to part to learn more about the speech sounds States Department of Health, Education information is important and has prove	of school children. The United n, and Welfare has agreed that this
With your approval, your child we language tests every six months during examination will be given by members of from the University of Washington Dentwill be thorough speech and hearing expists in the Special Education Department	g the time of this study. A dental of the Department of Orthodontics cal School. In addition, there caminations administered by thera-
If you have any questions about y project, please call Kathleen Penderga Hearing, AT 3-0900, Ext. 416.	your child's participation in this ast, Supervisor of Speech and
Thank you for your cooperation.	
	Sathleen Pendergast ervisor of Speech and Hearing
Please cut on the dotted line and retuenclosed scamped, self-addressed envel	-
I am willing to have my child particip study of speech sounds.	pate in the Seattle Public Schools
<u>-</u>	No
Child's Name	Signature of Parent or Guardian
School School	
38/39	
•	•



SEATTLE PUBLIC SCHOOLS Administrative and Service Center 815 - 4th Avenue North Seattle, Washington 98109 Special Education Department Speech Therapy

			Maine	of Child		
			Scho	01		***************************************
Family i	nformation:					
Father:	Occupation		Birt	hplace		
	Years lived in Seatt	tle				
Mother:	Occupation	A	Birt	hplace		
	Years lived in Seats	tle				
	Language	other than Engli	ish sp	ooken in home	·	
Names of	other children in fa	amily	Ages	of other children		Grade
					,	
	verse side if necessa		***************************************			
Informa	tion about your child	l in the study:				
Birthpl	ace		Year	rs lived in Seattle_		
	began walking			he began talking	·	
Have hi	s tonsils been remove	ed? Yes / No /		Are adenoids removed	? Yes //	No /_/
Does he	have colds? Often /	/// Once in awh	ile /	_/ Seldom /_/		
Does he	have any allergies?					
	breast fed?			f yes, until what ag		
Was he	bottle fed?	Yes / / No /	<u>_/</u> I	f yes, until what ag	e?	





INSTRUCTIONS FOR ADMINISTERING THE SCREENING TEST

Test Sentence: She lives in a little red house with her brothers and sisters.

- 1. Explain to the teacher what you plan to do and ask her to list the names of the children you indicate by your pre-arranged signal.
- 2. Tell the class, "I am going to play a game with you to see if you can remember this sentence."
 - a. Tester says test sentence twice.
 - b. Children say sentence in unison.
 - c. Tester repeats sentence.
 - d. Children again repeat sentence in unison.
- 3. Go to each child and listen to him say the test sentence. Indicate to the teacher by your signal those children who will be given the Photo Articulation Test. It is permissible to repeat the sentence for the child if he forgets.



INSTRUCTIONS FOR ADMINISTERING THE FIRST PHOTO ARTICULATION TEST

After the kindergarten screening test, take each child whom you think has a protrusional lisp to your speech room. With no other child present, administer the first six items of the Photo Articulation Test using the following method.

- 1. Place the closed book in front of the child and say, "I am going to show you some pictures. As I point to each one, tell me what it is." Open the Photo Articulation Test book to page one and point to each picture in the same sequence as the words appear on the test form. Start at the top and move from left to right.
- 2. Make a check in the "Omitted" column if the sound is omitted.
- 3. Record the phonetic symbol if a sound is substituted.
- 4. Make a check in the "Distorted" column if a sound is distorted.
- 5. If the picture is named incorrectly, tell him the correct word, ask him to repeat it and score his response.
- 6. If the child exhibits a protrusional lisp on any of the first six items, administer the rest of the Photo Articulation Test.
- 7. Test item number 13 [3] in the medial position only. Ask the child to repeat the word "measure" and score his response.



INSTRUCTIONS FOR ADMINISTERING THE PHOTO ARTICULATION TEST

Give the complete PAT to each child.

- 1. Place the closed book in front of the child and say, "I am going to show you some pictures. As I point to each one, tell me what it is."

 Open the Photo Articulation Test book to page one and point to each picture in the same sequence as the words appear on the test form.

 Start at the top and move from left to right.
- 2. Make a check in the "Omitted" column if the sound is omitted.
- 3. Record the phonetic symbol if a sound is substituted.
- 4. Make a check in the "Distorted" column if a sound is distorted.
- 5. If the picture is named incorrectly, tell him the correct word, ask him to repeat it and score his response.
- 6. Test item number 13 [3] in the medial position only. Ask the child to repeat the word "measure" and score his response.

ERIC Afultax Provided by ERIC

SCHOCIS	Dopartmont	7:11:14
SHATTLE FUBLIC SCHOOLS	Special Bineation Department	Managell deports

School No.

Crild No.

11-3

PFORO ATMICULATION TEST

Gredo Room

Techer

Date

School

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[3]					13. แอนุฏมหอ						i
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PHOTO ARTICULATION THST

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boo!t				66. bely				ర్వ. లుడిగ్ర			
monkey				68. herrier				69. co <u>m</u> b			
70. windows				71. sandwich				72. Whichle			

INSTRUCTIONS FOR ADMINISTERING THE NONSENSE SYLLABLE TEST

- 1. Give this test for only those sounds missed on the PAT. If an error was recorded on a sound in any position -IMF- on the PAT, test that sound in all three positions on the Nonsense Syllable Test.
- 2. Say to the child, "Watch me carefully and say what I say." Do not direct the child's attention to specific sounds.
- 3. Say one syllable at a time using the vowel sound $[\alpha]$. Have the child imitate you and score his responses on the test form. Take each sound in turn giving the Initial, Medial, and Final positions in that order.
- 4. If the child does not respond, repeat the instructions and/or syllables as often as necessary.
- 5. Score a correct response as one and an error as zero. Any consonant deviation from your presentation of the sound tested is an incorrect response. Vowel deviations are not errors.

PL-4

SHATTLE FURLIC SCHOOLS	PL-4
Special Education begarineri	
Steech There's	Child ID.

RUSSELLE SYLLABLE TRAT

School	315,	•	Steech Their

Name	Grade	Date
School	Poor.	Tester

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3. sp	spo	αspα	asp	19. r	ra	ara	ar
4. sk	ska	αskα	ask	20. br	bra	abra	abr
5. st	sta	asta	ast	21. kr	kra	akra	akr
6. S	ſα	α∫α	as	22. tr	tra	atra	atr
7. 3	3a	αζα	αз	23. f	ſa	αfα	αf
8. ts	t∫α	αt∫α	αtĵ	24. v	vα	ava	GA
9. dz	d3 0	adza	ad3	25. k	kα	aka	αk
10. t	tα	αtα	αt	26. g	gα	යදිය	αg
11. d	dα	αdα	જવે	27. ŋ	ηα	ana	c:ŋ
12. n	nα	ana	αn	28. p	ρα	αρα	αρ
13. 1	lα	αlα	αl	29. b	Ъα	aba	αb
l4. bl	bla	αblα	abl	30. m	ma .	cmc:	can
15. kl	klα	akla	αkl	31. W	wα	awa	
16. fl	fla	αflα	αfl	31b.hw	hwa	ahwa	
17. 0	θα	σθα	αθ				



INSTRUCTIONS FOR ADMINISTERING THE SOUND DISCRIMINATION TEST

Give this test individually with no other child present. Say to the child, "I am going to say two sounds for you. Tell me if they are the same or different. Let me show you what I mean. [sa ba]. Were those the same or different?" ______. "Yes, they were different." If an incorrect response or no response is given, say, "They were different, weren't they?" Then say, "[sa sa]. Were those the same or different?" _____. "Yes, they were the same." If the child still does not understand what to do, explain further using the following sound combinations until he understands the procedure: [sa ma, sa wa, sa sa, sa ga, sa ka].

To give the test, hold a piece of tagboard six to eight inches in front of your mouth so the child cannot see your lips. Say the sound pairs in the same order as they appear on the Sound Discrimination Test form. After the presentation of each pair, ask, "Same or different?" Maintain a standard pitch, intensity, quality, and time throughout all the sound pairs. Score the test and record the total correct responses in the box at the bottom of the test form.

If the child does not understand how to take the test, write "unable to test." If the child's answers are all in one column, write "perseveration." Score O in each instance.



PL-5

SEATTLE PUBLIC SCHOOLS Special Education Department Speech Therapy

School No.						Chil	ld No.
		SOUND DI	SCRI	MINATION	TEST		
Name	. <u> </u>			Grade		Date	
School				Room		Tester_	
	sa	sα	s <u>/</u>		D <u>/</u>		1.
	sα	d3α	s <u>/</u>		D /		2.
	sα	t∫α	s <u>/</u>		D Ţ		3.
	sα	sa,	s <u>/</u>		D Ţ		4.
	. sa	3α	s <u>/</u>		D <u>/</u>		5.
	sα	sα	s <u>/</u>		D <u>/</u>	/	6.
	sa	sa	s <u>/</u>		D <u>/</u>		7.
	sα	sα	s <u>/</u>		D /		8.
	sα	ſα	s <u>/</u>		D \(\sum_{\text{\color}} \)		9.
	$s\alpha$	za	s <u>/</u>		D Ţ		10.
	sα	sa	s <u>/</u>		D \(11.
	sα	sα	s <u>/</u>		D <u>/</u>		12.
	sα	ðα	s <u>/</u>		D \(\sum_{\limits} \)		13.
	sα	sα	s <u>/</u>		D /		14.
	sα	θα	s <u>/</u>		D /		15.

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PL-6a

SEATTLE PUBLIC SCHOOLS Special Education Department Speech Therapy

INSTRUCTIONS FOR ADMINISTERING THE TONGUE TIP EXAMINATION

With the child in a position enabling you to view his tongue placement, insert a one-fourth inch wooden coffee stirrer vertically between the molars on either side. Have the child bite on the stirrer in that position and repeat each phrase after you. It may be necessary to have the child repeat the phrases more than once and you may change your position as needed to accurately view the tongue placement. If the tongue position is ever deviant, score it as a deviation.

Circle one word to describe the position of the tongue tip as the sound is produced.

- 1. If the tongue tip approximates the alveolar ridge, circle "normal."
- 2. If there is any degree of tongue tip protrusion in front of the upper central incisors, circle "anterior."
- 3. If the tongue tip position is behind the alveolar ridge, circle "posterior."
- 4. If the tongue tip is in any position below the alveolar ridge, such as approximating the upper or lower central incisors without a protrusion in front of the upper central incisors, circle "down."



PL-6

SEATTLE PUBLIC SCHOOLS Special Education Department Speech Therapy

School No.		Child No.					
TOL	NGUE TIP EX	OITANIMA'	N FORM				
Name		Grade	Date				
School		Room	Tester				
Sentences:							
I <u>t</u> ie i <u>t</u> tight.							
Norma1	Anterior	•	Posterior	Down			
Daddy had a dog.			T				
Normal	Anterior		Posterior	Down			
I knock any night.							
Normal	Anterior		Posterior	Down			
I <u>l</u> ike a <u>l</u> ake.							
Norma1	Anterior		Posterior	Down			



PL-7

SEATTLE PUBLIC SCHOOLS Special Education Department Speech Therapy

SPEECH MECHANISM EXAMINATION

Scho	ool No.			Child's No.	
Name	<u></u>		Frade	Date	
Scho	001	F	Room	Tester	
1.	FRENUM	EXAMINATION			
	a.	Can raise tongue tip volunt	carily Yes	No/	
	ъ.	Normal			
	c.	Anterior attachment prevent	ts tongue tip	movement	
	d.	Anterior attachment does no	ot prevent tor	ngue tip movement	
2.	PALATE				
	a.	Normal // b. High	and narrow	c. Other	
3.	ALVEOL	AR RIDGE			
	a.	Normal / b. Wide	c	Narrow //	
4.	SWALLO	₩			
	a.	Has facial grimaces	Yes /	/ No/	
	ъ.	Tongue protrudes			
	c.	Tongue does not protrude			
5.	TEST O	F LIP AND TONGUE MOVEMENT		Total	/
6.	TEST O	F LATERAL TONGUE MOVEMENT		Total	//



SPEECH MECHANISM EXAMINATION

1. FRENUM EXAMINATION

Say, "Open your mouth as wide as you can." Touch the midline of the alveolar ridge with the end of a coffee stirrer. As you remove the stirrer, say, "Touch that spot with your tongue." If he is unable to raise his tongue voluntarily, use a tongue depressor to lift his tongue for the examination. On PL-7, score part (a) for each child. Also examine the frenum attachment and record your observations as b, c, or d.

- b. Normal
- c. Frenum attached and prevents voluntary tongue tip elevation to the alveolar ridge.
- d. Frenum attached, but does not prevent voluntary tongue tip elevation to the alveolar ridge.

2. PALATE EXAMINATION

Examine and judge shape.

3. ALVEOLAR RIDGE EXAMINATION

Examine the alveolar ridge and judge the midline distance from the junction of the upper central incisors and the alveolar ridge, to its posterior border.

 $\sqrt{}$

-more-

4. SWALLOWING EXAMINATION

Have the child take a sip of water and ask him to swallow. Watch for facial grimaces and record observations. Tell the child you are going to have him swallow again, but this time you are going to pull his lower lip down as he swallows. Observe his swallow and record observations.

5. TEST OF LIP AND TONGUE MOVEMENT

Say, "I want you to say the word 'Tippy'." (Child responds.) "Now say Tippy Tippy Tippy. (Child responds.) "When I say go, say 'Tippy' over and over as fast as you can until I say 'stop'. Ready, go." Using the stop watch, count the number of tippys in a five-second period and record the total. If the child does not understand the task, demonstrate as often as necessary in the previously described manner.

6. TEST OF LATERAL TONGUE MOVEMENT

Say, "I am going to see if you can move your tongue as I do. Watch me carefully so you will know what to do when it is your turn."

Demonstrate by touching each corner of your mouth with your tongue tip. Very slowly make six successive tongue touches during the demonstration. Say, "Now, you do that." Make sure the child understands what to do. Say, "When I say go, see how many times you can do it. Keep going until I say stop." "Ready, go."

Keep your eye on the stop watch held beside the child's mouth and, with peripheral vision, observe (each touch during a five-second period.) Count each touch as a point and record the total.

SC	HOOL	NO		-										(CHILD 1	10
NAME						G	GRADE DATE									
) ————————————————————————————————————							R(OOM			TESTE	R		
DO	CTOR		*						R(oll n	0	·····	PICTU	ire 1	10s	
AN	TERI	OR TEE	TH													
Maxillary							Mandibular									
Ī	Rc	Rb	Ra	a	b	С				Rc	R	b	Ra	a	b	С
Ī																
	R3	R2	R1	1	2	3				R3	R	2	R1	1	2	3
	,															
ΩV	OVERBITE OVERJET OPENBITE (ANTERIORS)															
0-	5 mm	ı				0-3	3	mm.	·			(mm.			
5+	mm	ı				3+		mm.		0-2 mm.						
2+ mm.																
ERUPTION STAGE $\frac{1/1}{1/1}$																
Primary Denture Half Eruption																
Early Eruption Full Eruption																
MO	LAR	RELATI	مرسم	ght									•	Left	:	
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		~														1
TONGUE						7	les .	No		Undec	ided					
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	Swallow Tooth Contact															
Ch	arac	terist	ics:						<u> </u>	· 						



INSTRUCTIONS FOR SCHOOL RECORD INFORMATION

Obtain the following information from each child's white, permanent record card in his school.

- 1. Record the score for the Metropolitan Readiness Tests given in late kindergarten or early first grade.
- 2. Record the Lorge-Thorndike Scholastic Aptitude Test score given in late September or early October of the child's second grade. This is a non-verbal test and yields I.Q., percentile, and stanine scores.
- 3. Record the achievement scores which are from the Metropolitan Achievement Tests. There is a word discrimination score for the second grade and there are word knowledge and reading scores for the third grade.
- 4. Record total days absent for kindergarten, first, and second grades.
- 5. Record general health evaluation as found on the white card for the same three grades.
- 6. Record end-of-year subject grades for reading, language, and arithmetic in the appropriate blanks for kindergarten, first, and second grades.
- 7. Check "yes" or "no" as appropriate if the child has been an active case with the Home Visitor, has been seen by the Psychologist, has a health problem or has been enrolled in reading improvement class.
- 8. Put a line in a score space if no test score is available.



		Child No	, -	
SCHOOL RE	COPD INFORMATION			
	Gr ade	Date		
	Room	Tester		
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1964-65	1965-66	1966-67		
	- 7			
YesNo				
YesNo				
YesNo				
YesNo	_			
	Yes No Yes No	Room Room	SCHOOL RECORD INFORMATION Grade	

61

INSTRUCTIONS FOR ADMINISTERING VINELAND SOCIAL MATURITY SCALE

The following directions standardize the scoring and testing procedures for the Vineland Scale.

- 1. Use categories (+), (+), and (-) only.
- 2. Score Tasks 57 through 89.
- 3. When judging a task, a plus indicates the child performs as well as an average child at the age level of the task. For example, on task #89, "Performs responsible routine chores," a child may set the table, help his father wash the car, and water the flowers when asked to, but the key words are "is responsible for performing recurrent and variable work." Just because the child does some of these things, does not necessarily mean that he does them regularly on his own initiative as a twelve to fifteen year old might do them. As another example, consider task #73, "reads on own initiative." A child may look at the comics and read simple stories. However, a plus credit could be given only if the reading material were on 4th grade level and the child "made independent and effective use of the material for his own enterta_nment and information," as an eight or nine year old child would do.
- the section in the manual on scoring to guide your judgments.

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GENERAL INFORMATION ON THE OSERETSKY TEST

1. Test the child at his age level only.

If he is 5-6 to 6-5, give the six year level; if he is 6-6 to 7-5, give the seven year level; if he is 7-6 to 8-5, give the eight year level.

To determine age level, subtract birthdate from current date as in the Peabody Test, with 16 days or more counting as another month.

- 2. On the Record Blank indicate the child's preferred hand in the space under age. To determine handedness, ask the child to pick up chalk or a pencil and print his name on a chalkboard or paper.
- 3. Conversion of the metric system to approximate feet and inches is given for the three testing levels. The proper subtests are indicated.

Six year level

Subtest

- 2. Target height meter and a half = 5 feet.
- 3. Rope height 20 cm = 8 inches.
- 4. Drawn lines 3 n = less than 1/16 inch.

Seven year level

Subtest

3. Walking line - 2 meters = 6 feet 7 inches.

Eight year level

Subtest

- 3. Push matchbox 5 meters = 16 feet 5 inches.
- 4. Running 5 meters = 16 feet 5 inches.
- 4. Caution in judging each task is necessary. This is gained from a careful study of the manual.
- 5. Time will be most efficiently used if 3 or 4 children at the same age level are tested at the same time. This reduces the time needed to explain the various tasks.
- Score each task on the Record Blank and write the total score on the top of the first page. Two points are scored for each task. When done by each hand or leg, one point for each is scored in the proper column. If negative, score with "0".



APPENDIX B
SUBJECT ATTRITION

SUBJECT ATTRITION

Kindergarten Population, October, 1964	7931				
Protrusional Lispers, October, 1964	1043				
Attrition During Study					
No Parental Approval	149				
No Questionnaire Returned	23				
Excluded from School	5				
Moved from City	215				
Transferred to Private School	108				
Absent for Testing	45				
Enrolled for Therapy	20				
Parent Request	2				
Deceased	1				
Total Attrition					
Subjects Remaining, May, 1968		475			

APPENDIX C
STATISTICAL METHODOLOGY AND ANALYSIS

STATISTICAL METHODOLOGY AND ANALYSIS

The considerations which guided the selection of testing instruments have been described earlier in this paper. The considerations by which numbers were assigned to test responses will now be discussed. The purposes of assigning numbers to responses were: 1) to allow for convenient and space-saving representation of the raw data on punched cards (which also facilitated the computation of frequency distributions and descriptive statistics, e.g., total "scores"); and 2) to represent quantitative aspects of responses numerically so that the relationships of these responses to recovery or non-recovery from a protrusional lisp could be investigated by means of statistical procedures. Alternative scoring procedures (including numerical transformations such as the arcsin) appeared to be theoretically feasible in several instances. Where this was the case, the scores derived from each different procedure were related to the criterion (recovery or non-recovery) in a subsample (to be discussed below) of the experimental participants. The procedure which yielded the highest simple product-moment correlation with the criterion was retained and the other procedure(s) discarded. Some of the available information yielded purely qualitative information, e.g., language other than English spoken in the home. each instance the relationship of such a variable to the criterion was examined by means of a contingency table. In no instance did such a variable increase the predictability of recovery by more than seven percent. On every occasion when such a variable was used in conjunction with a correlational procedure to predict the criterion, no gain in predictability over that produced by the correlational procedure alone occurred. Therefore all such variables were discarded for purposes of prediction.

The statistical procedure used to relate the several hundred "scores," i.e., numerical representations of responses or clusters of responses on the test instruments, to the criterion was multiple linear regression. Because the criterion was dichotomous, i.e., since a participant was judged to be either recovered or not recovered from his lisp, nonlinear relationships between predictors and criterion presented little difficulty. There is as yet no technology available which allows an investigator to determine which subset from among several hundred predictors will best predict the criterion in question unless all subsets are examined. However, there are several procedures which allow one to approximate a best subset with a reasonable expenditure of investigator effort and computer time. The technique used in this investigation is "stepwise" regression.

The variables considered for use in the regression equation were those which correlated |.15| or more with the criterion. Such a value is significant at about the one percent level for the number of observations available. The number of variables thus entered into the multiple regression program (1) varied from five to 28. (With 28 variables, there are 2²⁸ possible regressions.) The stepwise multiple regression works in the following fashion. The single variable with



the highest correlation with the criterion is selected. At each subsequent step a candidate variable is selected for addition to the regression equation if it effects a significant decrease in the residual sum of squares. Also at each step, the variables which have been included are examined to see if elimination of any one (or more) of them would cause a non-significant increase in the residual sum of squares. Any such variable (or variables) is deleted. The selection procedure stops when all variables included would cause a significant increase in the residual sum of squares if removed and no more candidate variables can be found whose inclusion in the regression would result in a significant decrease in the residual sum of squares. The significance level used varied in a small band (because of varying degrees of freedom and the fact that only one F could be specified around .05.

Stepwise regressions were performed to relate the variables measured on each of the seven testing occasions to the criterion measure taken 1968(2). The resulting 7 equations are given in Tables 1 through 7. The interpretation of these tables may be aided if Table 1 is used as an example. The variable to be predicted was the criterion of recovery (signified by the number "0") or non-recovery (signified "1"). Six predictor variables correlated |.15 | or more with the criterion and were introduced, together with the criterion, into the stepwise regression program. Three variables were found to significantly add to the predictability of the criterion when selected and to significantly increase the residual sum of squares (roughly, the degree of unpredictability) when discarded. They are the total number of the faulty productions of the [s] and [z] sounds on the first nine items of the Photo Articulation Test (PAT); the presence or absence of a facial grimace during swallowing, Orthodontic Examination (OE); and the number of correct responses to the unlike items on the Sound Discrimination Test (SDT). It may be well to note that these three variables are linearly independent, but it can be seen in other tables that variables which are not linearly independent are often used together as predictors of the criterion. This is contrary to the usual usage of the regression model and is justified by the fact that empirical evidence for the efficiency of the prediction equations has been obtained; basically it is the least squares aspect of the regression technique that is being utilized and not its parametric inferential properties. To return to the prediction equation, one would estimate a score on the criterion (designated \widehat{Y}) by the following equation:

$$\hat{\mathbf{Y}} = .254 + .070 \text{ (PAT)} - .147 \text{ (OE)} - .037 \text{ (SDT)}.$$

The value, .254, is called the "intercept" and is a kind of weighted average of the three predictor variables and the criterion variable. Suppose that individual M had eight errors on the PAT, a facial grimace on the OE which was scored as 1, and three errors on the unlike items of the SDT. Then the predicted criterion value is:



$$\hat{Y}_{M}$$
 = .254 + .070 (8) - .147 (1) - .037 (3)
= .254 + .560 - .147 - .111
= .556

Since the criterion can take values of only 0 and 1 and since .556 is closer to 1 than to 0, one might imagine that the prediction for person M would be non-recovery. This is not necessarily the case. The possible predicted scores can range from -.299, if PAT is zero, OE is 2, and SDT is 7, to .737. Therefore, one must search for the predicted value below which most scores belong to recovered persons. That is, one must hunt for the cut-off score which maximizes the number of correct predictions. This was accomplished by means of two separate computer programs (2, 3). In this case the cut-off score had a value of .44. By applying the rule that, for a score from the regression equation that was below this value recovery was predicted, and that for a score above this value non-recovery was predicted, 62.0 percent correct predictions were made. No other cut-off score gave such a high proportion of correct predictions.

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It should be obvious that such a cut-and-try procedure allows one to take advantage of chance differences due to sampling variability to make more correct predictions than would be possible in an ordinary random sample. What is not so apparent is that any least-squares procedure such as the regression technique used here allows the same thing to happen. That is, fortuitous differences are always exploited to obtain the smallest sum of squared deviations between observed and predicted value of the dependent variable. Therefore, unless very large samples are used, prediction will ordinarily be better in an original ("analysis") sample than it will be in later ("crossvalidation") samples. There are formulas which can be used to estimate the amount of "shrinkage" to be expected, but these depend upon average sampling variability and upon strict adherence to the model. It is usually better to obtain empirical data on the shrinkage by collecting a second sample of data and applying the original equation and cut-off score to it (4). In this study, the 475 participants who remained in the study at the end of the final year were divided into an analysis group of 250 (upon whom trial scoring procedures were experimented with and from whom variables were selected and a cut-off score was found) and a cross-validation sample of 225. When the regression equation and cut-off score in Table 1 were applied to the cross-validation sample, the correct predictions dropped to 53.3 percent. Such shrinkage is typical. but greater values and even negative shrinkage, i.e., gain, in the cross-validation sample will occasionally occur due to sampling variation.

In viewing Tables 1 through 7, it is apparent that prediction is better as the testing year more resely approaches the criterion year. This is the expected finding, of course. The participants are more like their criterion selves the closer a testing year is to the criterion measurement.

STATISTICAL METHODOLOGY AND ANALYSIS REFERENCES

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