UD 001 823

ED 023 711

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The Universal Trap.

Pub Dare 66

Note-9p.; Article published in Urban School Crisis, by League for Industrial Democracy/United Federation of Teachers, AFL-CIO, New York, 1966.

EDRS Price MF -\$0.25 HC -\$0.55

Descriptors-Democratic Values, Dropouts, Economically Disadvantaged, Educational Change, Educational Objectives, Educational Philosophy, Educational Problems, Educational Quality, Educational Responsibility, \*Power Structure, \*Public Education, School Industry Relationship, \*School Role, \*Social Values, \*Universal Education

The compulsory system of education is criticized on the grounds that it has become a regimented "universal trap" antithetical to democracy. In contrast to the leftersonian concept of education in the service of citizen initiative for the preservation of freedom, current compulsory education is a tool of industrialism and of a rigidly stratified society. The schools do not even reflect middle-class values. Their significant strengths are, in fact, petty-bourgeois. When poor youth are confined in a situation which is useless and damaging to them, they drop out, either actually or behaviorally, a response which could be termed life-preservative. The sterility of traditional education and the conformity it demands are also questioned. Possible alternatives are having no school at all for a few selected classes, conducting school work in the community rather than in the school building, using community adults as "teachers," and establishing a policy of voluntary attendance. Other suggestions include decentralizing the urban school into small units, using store-fronts as classrooms, and sending urban children to farms for a few months, thus helping perhaps to stimulate a new kind of rural life (NH)



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An Anthology of Essays

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# THE UNIVERSAL TRAP

by Paul Goodman

I

A conference of experts on school drop-outs will discuss the background of poverty, cultural deprivation, race prejudice, family and emotional troubles, neighborhood uprooting, urban mobility. It will explore ingenious expedients to counteract these conditions, though it will not much look to remedying them—that is not its business. And it will suggest propaganda—e.g. no school, no job—to get the youngsters back in school. It is axiomatic that they ought to be in school.

After a year, it proves necessary to call another conference to cope with the alarming fact that more than 75% of the drop-outs who have been cajoled into returning, have dropped out again. They persist in failing; they still are not sufficiently motivated. What curricular changes must there be? how can the teachers learn the life-style of the underprivileged?

Curiously muffled in these conferences is the question that puts the burden of proof the other way: What are they drop-outs from? Is the schooling really good for them, or much good for anybody? Since, for many, there are such difficulties with the present arrangements, might not some better arrangements be invented? Or bluntly, since schooling undertakes to be compulsory, must it not continually review its claim to be useful? Is it the only means of education? Isn't it unlikely that any single type of social institution could fit almost every youngster up to age 16 and beyond? (It is predicted that by 1970, 50% will go to college.)

But conferences on drop-outs are summoned by school professionals, so perhaps we cannot hope that such elementary questions will be raised. Yet neither are they raised by laymen. There is a mass superstition, underwritten by additional billions every year, that adolescents must continue going to school. The middle-class know that no professional competence—i.e. status and salary—can be attained without many diplomas; and poor people have allowed themselves to be convinced that the primary remedy for their increasing deprivation is to agitate for better schooling.

Nevertheless, I doubt that, at present or with any reforms that are conceivable under present school administration, going to school is the best use for the time of life of the majority of youth.

#### H

Education is a natural community function and occurs inevitably, since the young grow up on the old, toward their activities, and into (or against) their institutions; and the old foster, teach, train, exploit, and abuse the young. Even neglect of the young, except physical neglect, has an educational effect—not the worst possible.

Formal schooling is a reasonable auxiliary of the inevitable process, whenever an activity is best learned by singling it out for special attention with a special person to teach it. Yet it by no means follows that the complicated artifact of a school system has much to do with education, and certainly not with good education.

Let us bear in mind the way in which a big school system might have nothing to do with education at all. The New York system turns over \$700 millions annually, not including capital improvements. There are 750 schools, with perhaps 15 annually being replaced at an extra cost of \$2 to \$5 milions each. There are 40,000 paid employees. This is a vast vested interest, and it is very probable that—like much of our economy and almost all of our political structure, of which the public schools are a part—it goes on for its own sake, keeping more than a million people busy, wasting wealth, and pre-empting time and space in which something else could be going on. It is a gigantic market for text-book manufacturers, building contractors, and gradute-schools of Education.

The fundamental design of such a system is ancient, yet it has not been altered although the present operation is altogether different in scale from what it was, and therefore it must have a different meaning. For example, in 1900, 6% of the 17-year-olds graduated from high school, and less than ½% went to college; whereas in 1963, 65% graduated from high school and 35% went on to something called college. Likewise, there is a vast difference between schooling intermitted in life on a farm or in a city with plenty of small jobs, and schooling that is a child's only "serious" occupation and often his only adult contact. Thus, a perhaps outmoded institution has become almost the only allowable way of growing up. And with this pre-empting, there is an increasing intensification of the one narrow experience, e.g. in the shaping of the curriculum and testing according to the increasing requirements of graduate schools far off in time and place. Just as our American society as a whole is more and more tightly organized, so its school system is more and more regimented as part of that organization.

In the organizational plan the schools play a non-educational and an educational role. The non-educational role is very important. In the tender grades, the schools are a baby-sitting service during a period of collapse of the old-type family and during a time of extreme urbanization and urban mobility. In the junior and senior high school grades, they are an arm of the police, providing cops and concentration camps paid for in

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the budget under the heading "Board of Education." The educational role is, by and large, to provide—at public and parents' expense—apprentice-training for corporations, government, and the teaching profession itself, and also to train the young, as New York's Commissioner of Education has said (in the Worley case), "to handle constructively their problems of adjustment to authority."

The public schools of America have indeed been a powerful, and beneficent, force for the democratizing of a great mixed population. But we must be careful to keep reassessing them when, with changing conditions, they become a universal trap and democracy begins to look like regimentation.

#### m

Let me spend a page on the history of the compulsory nature of the school systems. In 1961, in *The Child, the Parent, and the State*, James Conant mentions a possible incompatibility between "individual development" and "national needs"; this, to my mind, is a watershed in American philosophy of education and puts us back to the ideology of Imperial Germany, or on a par with contemporary Russia.

When Jefferson and Madison conceived of compulsory schooling, such an incompatibility would have been unthinkable. They were in the climate of the Enlightenment, were strongly influenced by Congregational (town-meeting) ideas, and were of course makers of a revolution. To them, "citizen" meant society-maker, not one "participating in" or "adjusted to" society. It is clear that they regarded themselves and their friends as citizens existentially, so to speak; to make society was their breath of life. But obviously such conceptions are worlds removed from, and diametrically opposed to, our present political reality, where the ground rules and often the score are pre-determined.

For Jefferson, people had to be taught in order to multiply the sources of citizenly initiative and to be vigilant for freedom. Everybody had to become literate and study history, in order to make constitutional innovations and be fired to defend free institutions, which was presumably the moral that history taught. And those of good parts were to study a technological natural philosophy, in order to make inventions and produce useful goods for the new country. By contrast, what are the citizenly reasons for which we compel everybody to be literate, etc.? To keep the economy expanding, to understand the mass-communications, to choose between indistinguishable Democrats and Republicans. Planning and decision-making are lodged in top managers; rarely, and at most, the electorate serves as a pressure-group. There is a new emphasis on teaching science but the vast majority will never use this knowledge and will forget it; they are consumers.

Another great impulse for compulsory education came from the new industrialism and urbanism during the three or four decades after the Civil War, a time also of maximum immigration. Here the curricular demands were more mundane: in the grades, literacy and arithmetic; in the colleges, professional skills to man the expanding economy. But again, no one would have spoken of an incompatibility between "indi-

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vidual development" and "national needs," for it was considered to be an open society, abounding in opportunity. Topically, the novels of Horatio Alger, Jr., treat schooling as morally excellent as well as essential for getting ahead; and there is no doubt that the immigrants saw education-for-success as also a human value for their children. Further, the school-system was not a trap. The 94% who in 1900 did not finish high school had other life opportunities, including making a lot of money and rising in politics. But again, by and large this is not our present situation. There is plenty of social mobility, opportunity to rise-except precisely for the ethnic minorities who are our main concern as dropouts—but the statuses and channels are increasingly stratified, rigidified, cut and dried. Most enterprise is parceled out by feudal corporations, or by the state; and these autermine the requirements. Ambition with average talent meets these rules or fails; those without relevant talent, or with unfortunate backgrounds, cannot even survive in decent poverty. The requirements of survival are importantly academic, attainable only in schools and universities; but such schooling is ceasing to have an initiating or moral meaning.

We do not have an open economy; even when jobs are not scarce, the corporations and state dictate the possibilities of enterprise. General Electric swoops down on the high schools, or IBM on the colleges, and skims off the youth who have been pre-trained for them at public or private expense. (Private college tuition runs upward of \$6000, and this is cstimated as a third or less of the actual cost for "education and educational administration.") Even a department store requires a diploma for its salespeople, not so much because of the skills they have learned as that it guarantees the right character: punctual and with a smooth record. And more generally, since our powers-that-be have opted for an expanding economy with a galloping standard of living, and since the powers of the world are in an arms and space race, there is a national need for many graduates specifically trained. Thus, even for those selected, the purpose is irrelevant to citizenly initiative, the progress of an open society, or personal happiness, and the others have spent time and effort in order to be progressively weeded out. Some drop out.

### IV

It is said that our schools are geared to "middle-class values," but this is a false and misleading use of terms. The schools less and less represent any human values, but simply adjustment to a mechanical system.

Because of the increasing failure of the schools with the poor urban mass, there has developed a line of criticism—e.g. Oscar Lewis, Patricia Sexton, Frank Riessman, and even Edgar Friedenberg—asserting that there is a "culture of poverty" which the "middle-class" schools do not fit, but which has its own virtues of spontaneity, sociality, animality. The implication is that the "middle class," for all its virtues, is obsessional, prejudiced, prudish.

Pedagogically, this insight is indispensable. A teacher must try to reach each child in terms of what he brings, his background, his habits, the

language he understands. But if taken to be more than technical, it is a disastrous conception. The philosophic aim of education must be to get each one out of his isolated class and into the one humanity. Prudence and responsibility are not middle class virtues but human virtues; and spontaneity and sexuality are not powers of the simple but of human health. One has the impression that our social-psychologists are looking not to a human community but to a future in which the obsessionals will take care of the impulsives!

In fact, some of the most important strengths that have historically belonged to the middle class are flouted by the schools: independence, initiative, scrupulous honesty, earnestness, utility, respect for thorough scholarship. Rather than bourgeois, our schools have become petty-bourgeois, bureaucratic, time-serving, gradgrind-practical, timid, and nouveau riche climbing. In the upper grades and colleges, they often exude a cynicism that belongs to rotten aristocrats.

Naturally, however, the youth of the poor and of the middle class respond differently to the petty bourgeois atmosphere. For many poor children, school is orderly and has food, compared to chaotic and hungry homes, and it might even be interesting compared to total deprivation of toys and books. Besides, the wish to improve a child's lot, which on the part of a middle class parent might be frantic status-seeking and pressuring, on the part of a poor parent is a loving aspiration. There is here a gloomy irony. The school that for a poor Negro child might be a great joy and opportunity is likely to be dreadful; whereas the middle class child might be better off *not* in the "good" suburban school he has.

Other poor youth, herded into a situation that does not fit their disposition, for which they are unprepared by their background, and which does not interest them, simply develop a reactive stupidity very different from their behavior on the street or ball field. They fall behind, play truant, and as soon as possible drop out. If the school situation is immediately useless and damaging to them, their response must be said to be life-preservative.

The reasonable social policy would be not to have these youth in school, certainly not in high school, but to educate them otherwise and provide opportunity for a decent future in some other way. How? In my opinion, the wise thing would be to have our conferences on *this* issue, and omit the idea of drop-out altogether. But the brute fact is that our society isn't really interested; the concern for the drop-outs is mainly because they are a nuisance and a threat and can't be socialized by the existing machinery.

Numerically far more important than these overt drop-outs at 16, however, are the children who conform to schooling between the ages of 6 to 16 or 20, but who drop out internally and day-dream, their days wasted, their liberty caged and scheduled. And there are many such in the middle class, from backgrounds with plenty of food and some books and art, where the youth is seduced by the prospect of money and status but even more where he is terrified to jeopardize the only pattern of life he knows.

It is in the schools and from the mass media, rather than at home or

from their friends, that the mass of our citizens in all classes learn that life is inevitably routine, depersonalized, venally graded; that it is best to toe the mark and shut up; that there is no place for spontaneity, open sexuality, free spirit. Trained in the schools, they go on to the same quality of jobs, culture, politics. This is education, mis-education, socializing to the national norms and regimenting to the national "needs."

John Dewey used to hope, naively, that the schools could be a community somewhat better than society and serve as a lever for social change. In fact, our schools reflect our society closely, except that they *emphasize* many of its worst features, as well as having the characteristic defects of academic institutions of all times and places.

#### V

Can it be denied that in some respects the drop-outs make a wiser choice than many who go to school, not to get real goods but to get money? Their choice of the "immediate"—their notorious "inability to tolerate delay"—is not altogether impulsive and neurotic. The bother is that in our present culture, which puts its entire emphasis on the consumption of expensive commodities, they are so nagged by inferiority, exclusion, and despair of the future that they cannot enjoy their leisure with a good conscience. Because they know little, they are deprived of many profound simple satisfactions and they never know what to do with themselves. Being afraid of exposing themselves to awkwardness and ridicule, they just hang around. And our urban social arrangements—e.g. high rent—have made it impossible for anybody to be decently poor on a "low" standard. One is either in the rat-race or has dropped out of society altogether.

As a loyal academic, I must make a further observation. Mainly to provide Ph.D.'s, there is at present an overwhelming pressure to gear the "better" elementary schools to the graduate-universities. This is the great current reform, genre of Rickover. But what if the top of the ladder is corrupt and corrupts the lower grades? On visits to 70 colleges everywhere in the country, I have been appalled at how rarely the subjects are studied in a right academic spirit, for their truth and beauty and as part of humane international culture. The students are given, and seek, a narrow expertise, "mastery," aimed at licenses and salary. They are indoctrinated with a national thoughtlessness that is not even chauvinistic. Administrators sacrifice the community of scholars to aggrandizement and extramurally sponsored research.

Conversely, there is almost never conveyed the sense in which learning is truly practical, to enlighten experience, give courage to initiate and change, reform the state, deepen personal and social peace. On the contrary, the entire educational system itself creates professional cynicism or the resigned conviction that Nothing Can Be Done. If this is the University, how can we hope for aspiring scholarship in the elementary schools? On the contrary, everything will be grades and conforming, getting ahead not in the subject of interest but up the ladder. Students "do" Bronx Science in order to "make" M.I.T. and they "do" M.I.T. in order to "make" Westinghouse; some of them have "done" Westinghouse in order to "make" jail.

What then? The compulsory system has become a universal trap, and it is no good. Very many of the youth, both poor and middle class, might be better off if the system simply did not exist, even if they then had no formal schooling at all. (I am extremely curious for a philosophic study of Prince Edward County in Virginia, where for some years schooling did not exist for Negro children.)

But what would become of these children? For very many, both poor and middle class, their homes are worse than the schools, and the city streets are worse in another way. Our urban and suburban environments are precisely not cities or communities where adults naturally attend to the young and educate to a viable life. Also, perhaps especially in the case of the overt drop-outs, the state of their body and soul is such that we must give them refuge and remedy, whether it be called school, settlement house, youth worker, or work camp.

There are thinkable alternatives. Here are half a dozen directly relevant to the subject we have been discussing, the system as compulsory trap. In principle, when a law begins to do more harri than good, the best policy is to alleviate it or try doing without it.

i. Have "no school at all" for a few classes. These children should be selected from tolerable, though not necessarily cultured, homes. They should be neighbors and numerous enough to be a society for one another and so that they do not feel merely "different." Will they learn the rudiments anyway? This experiment cannot do the children any academic harm, since there is good evidence that normal children will make up the first seven years school-work with four to seven months of good teaching.

ii. Dispense with the school building for a few classes; provide teachers and use the city itself as the school—its streets, cafeterias, stores, movies, museums, parks, and factories. Where feasible, it certainly makes more sense to teach using the real subject-matter than to bring an abstraction of the subject-matter into the school-building as "curriculum." Such a class should probably not exceed 10 children for one pedagogue. The idea—it is the model of Athenian education—is not dissimilar to youth gang work, but not applied to delinquents and not playing to the gang ideology.

iii. Along the same lines, but both outside and inside the school building, use appropriate unlicensed adults of the community—the druggist, the storekeeper, the mechanic—as the proper educators of the young into the grown-up world. By this means we can try to overcome the separation of the young from the grown-up world so characteristic in modern urban life, and to diminish the omnivorous aut—ity of the professional school-people. Certainly it would be a useful and animating experience for the adults. (There is the beginning of such a volunteer program in the New York and some other systems.)

iv. Make class attendance not compulsory, in the manner of A. S. Neill's Summerhill. If the teachers are good, absence would tend to be eliminated; if they are bad, let them know it. The compulsory law is useful to get the children away from the parents, but it must not result in trapping the children. A fine modification of this suggestion is the rule used by

Frank Brown in Florida: he permits the children to be absent for a week or a month to engage in any worthwhile enterprise or visit any new environment.

v. Decentralize an urban school (or do not build a new big building) into small units, 20 to 50, in available store-fronts or clubhouses. These tiny schools, equipped with record-player and pin-ball machine, could combine play, socializing, discussion, and formal teaching. For special events, the small units can be brought together into a common auditorium or gymnasium, so as to give the sense of the greater community. Correspondingly, I think it would be worthwhile to give the Little Red Schoolhouse a spin under modern urban conditions, and see how it works out: the combine all the ages in a little room for 25 to 30, rather than to grade by age.

vi. Use a pro rata part of the school money to send children to economically marginal farms for a couple of months of the year, perhaps 6 children from mixed backgrounds to a farmer. The only requirement is that the farmer feed them and not beat them; best, of course, if they take part in the farm-work. This will give the farmer cash, as part of the generally desirable program to redress the urban-rural ratio to something nearer to 70% to 30%. (At present, less than 8% of families are rural.) Conceivably, some of the urban children will take to the other way of life, and we might generate a new kind of rural culture.

I frequently suggest these and similar proposals at teachers colleges, and I am looked at with an eerie look—do I really mean to diminish the state-aid grant for each student-day? But mostly the objection is that such proposals entail intolerable administrative difficulties.

Above all; we must apply these or any other proposals to perticular individuals and small groups, without the obligation of uniformity. There is a case for uniform standards of achievement, lodged in the Regents, but they cannot be reached by uniform techniques. The claim that standardization of procedure is more efficient, less costly, or alone administratively practical, is often false. Particular inventiveness requires thought, but thought does not cost money.